

COSC 221 - Introduction to Discrete Structures

Lecture - Proof Techniques (I)

Readings

- ▶ Sections 4.1, 4.3, 5.1 - 5.3
- ▶ Computer Science Connections
 1. Computer Generated Proofs (Section 4.3)
 2. The Cost of Missing Proofs (Section 4.5)
 3. Loop Invariants (Section 5.2)
 4. Page 415 Regular Expressions (Section 8.3)

- ▷ Establish Truth
- ▷ Solve Problems

Proof Techniques



Importance of Proofs?

- ▷ Establish Truth
- ▷ Solve Problems

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Programmers also Need Proofs

```
int[ ] magicSort(int[ ] array){
    return array;
}
```

Claim: magicSort() works!

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Not this kind !!!

- ▶ "It is not correct!"
- ▶ "No, try [1, 2, 3]"
- ▶ "It is so wrong!!"
- ▶ "How?"
- ▶ "It is definitely wrong!!!"
- ▶ "Because #?&@!!!!"

Proof Techniques

Importance of Proofs?

- ▷ Establish Truth
- ▷ Solve Problems

Pure Logic Proof

Prove $\text{EVEN}(k^2)$

Given: $\text{EVEN}(k)$

(for a particular even number $k > 0$.)

$\forall n \in \mathbb{Z}^+, \text{EVEN}(n) \Rightarrow (\exists m \in \mathbb{Z}^+ \text{ such that } n = 2m).$

$\therefore \text{EVEN}(k) \Rightarrow (\exists m \in \mathbb{Z}^+ \text{ such that } k = 2m).$

$\text{EVEN}(k) \rightarrow (\exists m \in \mathbb{Z}^+ \text{ such that } k = 2m).$

$\text{EVEN}(k)$

$\therefore k = 2m \text{ for some } m \in \mathbb{Z}^+.$

$\forall n \in \mathbb{N}, (n = 2m \text{ for some } m \in \mathbb{N}) \rightarrow \text{EVEN}(n).$

$k^2 = 4m^2 = 2 * (2m^2)$

$\therefore \text{EVEN}(k^2).$

A logically-sound argument

Programmers also Need Proofs

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Proofs and Good Proofs: Convincing argument that are

- ▷ Easy to Read
- ▷ Easy to Understand

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Proofs and Good Proofs: Convincing argument that are

- ▷ Easy to Read
- ▷ Easy to Understand

Good Proof = Well-Written Essay

- ▷ Being Concise
- ▷ Enough Details

Logic statements + Statements in English

Proof Techniques

Importance of Proofs?

▷ Establish Truth

▷ Solve Problems

Problem-Solving Strategy

1. Conjecture/Assumption
2. Algorithms/Design/Models
3. Proofs. Yes — Happy!
4. No — Go to Step 1

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Proof Techniques

Importance of Proofs?

▷ Establish Truth

▷ Solve Problems

Proofs Help Understand Properties of

- ▶ Algorithmic Problems
- ▶ Computing Systems
- ▶ Mathematical Systems

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Logic statements + Statements in English

Mathematics and the Axiomatic Method ^a

- ▷ From axioms to all possible true statements (Theorems).
E.g., Number Theory, Topology, Theory of Probability

^aInvented by Euclid (300 BC):



- ▷ Regular Expressions
- ▷ Limitation of Computing



- ▷ Regular Expressions
- ▷ Limitation of Computing

Proof Techniques

Proof in Computing: From Proofs to Software

- ▷ Regular Expressions
- ▷ Limitation of Computing

(See Page 8-40, Textbook)

A pattern describing a collection of strings

E.g. $((10^*1) \cup 0)^*10^*$

Supported in most programming languages.

```
Pattern p = Pattern.compile("a*b");
Matcher m = p.matcher("aaaaab");
boolean b = m.matches();
```

(zero or more 'a' followed by a single 'b')

Proof Techniques

Proof in Computing: From Proofs to Software

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Parser/Engine

- ▷ How to design a parser?

(See Page 8-40, Textbook)

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Theorem in Theory of Computation

For any set S of strings, the following are equivalent

- ▶ S can be described by a regular expression
- ▶ S can be “recognized” by a **finite-state machine**

Applications

- Compiler/Programming Languages/
- Natural Language Processing
- Computational Biology

Supported in most programming languages.

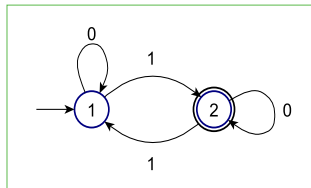
Proof Techniques

Proof in Computing: From Proofs to Software

- ▶ Regular Expressions
- ▶ Limitation of Computing

Model of Computing Devices with Limited Memory

- ▶ Read input, one char at a time
- ▶ Change state based on transition rules
- ▶ “Yes” if finishing in “Accept”



Theorem in Theory of Computation

For any set S of strings, the following are equivalent

- ▶ S can be described by a regular expression
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Proof Techniques

Proof in Computing: From Proofs to Software

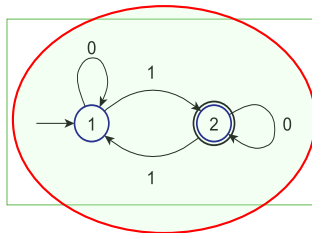
- ▶ Regular Expressions
- ▶ Limitation of Computing

Accepts:

"1", "10101", and even crazier

(Earth) 110100001 ... 0100111 (Moon)

Memory Usage: one bit for two states!!!



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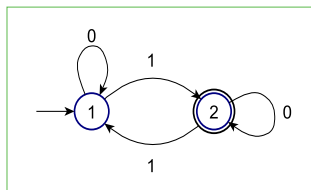
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Memory Usage: one bit for two states!!!



Q.1) What does this cute machine accept?

- A) Any binary string
- B) Binary strings ending with "1"
- C) Binary string containing odd number of 1's
- D) Binary strings ending with "0"

Proof Techniques

Proof in Computing: From Proofs to Software

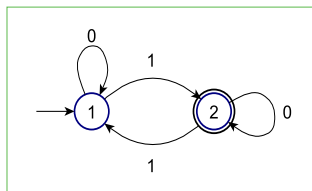
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Q.2) Number of bits needed to encode a state?

- A) 1
- B) 2
- C) 4
- D) $2^{\text{String Length}}$

Proof Techniques

Proof in Computing: From Proofs to Software

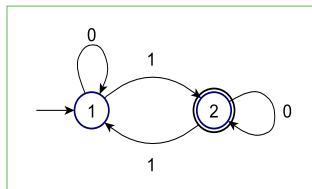
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Dream algorithm for data streams

Proof Techniques

Proof in Computing: From Proofs to Software

- ▷ Regular Expressions
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Significance of the Proof:

- ▷ Limitation of devices with Fixed Amount of Memory
- ▷ Automatic way to design software parsers (back on this later on)

Theorem in Theory of Computation

For any set S of strings, the following are equivalent

- ▷ S can be described by a regular expression
- ▷ S can be “recognized” by a **finite-state machine**

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Existence of NP-Complete Problems

- ▶ S. Cook's Proof on Satisfiability Problem
- ▶ Turing Award (1982)

Proof Techniques

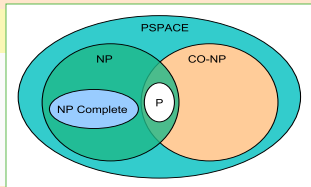
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- ▷ Regular Expressions
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(Roughly) Hardest among all problems whose solution can be **verified efficiently** on modern computers (Turing machines)

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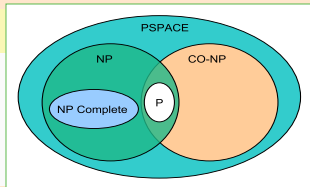
Theorem (S. Cook (1971), UoT)

SAT is NP-Complete

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Existence of NP-Complete Problems

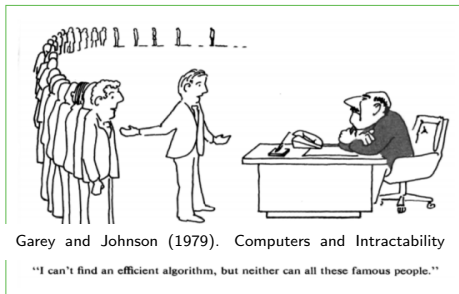
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Existence of NP-Complete Problems

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- ▷ Proof of existence
- ▷ Proof by counterexample

Proof Techniques

- ▶ Proof of existence
- ▶ Proof by counterexample

Basic Proof Methods (I)

$\exists x \in D$ such that $P(x)$

- ▶ Constructive
- ▶ Non-Constructive

Proof Techniques

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Basic Proof Methods (I)

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- ▶ Non-Constructive

Show/describe how to find such x

Example: Union of Regular Expressions

If two sets of strings, S_1 and S_2 , can both be “recognized” by some finite-state machine, then $S_1 \cup S_2$ can be recognized by a finite-state machine.

Proof Techniques

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Proof Idea:

Buy two machines

- ▷ M_1 for S_1
- ▷ M_2 for S_2

Assemble them!

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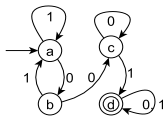
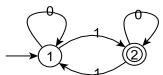
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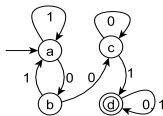
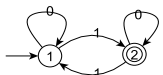
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Difficulty: Must have one input

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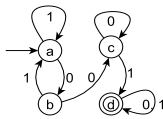
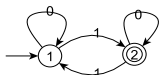
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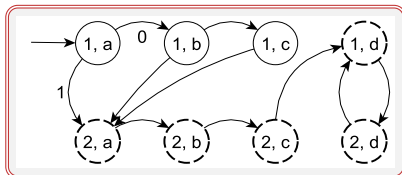
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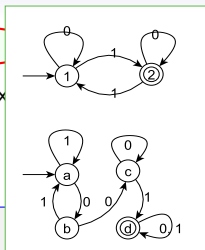


Example: Union of Regular Expressions

If two sets of strings, S_1 and S_2 , can both be “recognized” by some finite-state machine, then $S_1 \cup S_2$ can be recognized by a finite-state machine.

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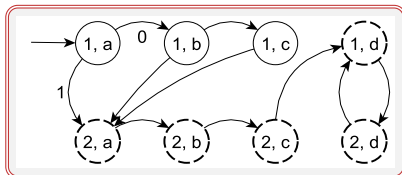
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Assemble them!

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Example: Union of Regular Expression

If two sets of strings, S_1 and S_2 , can be recognized by two finite state machines, then $S_1 \cup S_2$ can be recognized by a finite state machine.

Q.3) Do these two pictures make a Proof?

- A) Yes
- B) No

Proof Techniques

- ▶ Proof of existence
- ▶ Proof by counterexample

Show that the existence is logically guaranteed

Basic Proof Methods (I)

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Proof Techniques

- ▶ Proof of existence
- ▶ Proof by counterexample

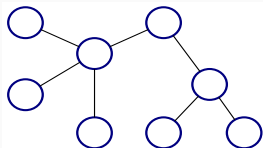
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Example: A tree has at least one leaf



- ▶ Tree — connected graph with no cycle
- ▶ Leaf — node with a single neighbor

Proof Techniques

- ▶ Proof of existence
- ▶ Proof by counterexample

Show that the existence is logically guaranteed

The two ends of the longest path!

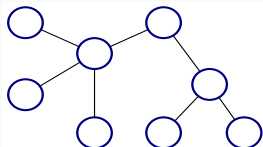
There must be a path that is the longest!!!

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- ▷ Proof of existence
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Disproof of Universal Statements

- ▷ $\forall x \in D, P(x)$ or
- ▷ $\forall x \in D, P(x) \rightarrow Q(x)$

- ▷ Proof of existence
- ▷ Proof by counterexample

Disproof of Universal Statements

- ▷ $\forall x \in D, P(x)$ or
- ▷ $\forall x \in D, P(x) \rightarrow Q(x)$

Find an x^* , **counterexample**, such that $P(x^*)$ (or $P(x^*) \rightarrow Q(x^*)$) is false.

- ▶ Proof of existence
- ▶ Proof by counterexample

Yong' Claim: `magicSort()` works!

```
int [] magicSort(int [] array){  
    return array;  
}
```

Disproof of Universal Statements

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- ▶ $\forall x \in D, P(x) \rightarrow Q(x)$

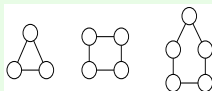
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- ▷ Proof of existence
- ▷ Proof by counterexample

Claim: If a graph has four or more nodes, then it is two-colorable

Q.4) Which one is a counterexample?

- A) First
- B) Second
- C) Third
- D) All of them



Disproof of Universal Statements

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Linear Search

```
int lSearch(int[] values, int value){
    int numOfSteps = 0;
    boolean found = false;
    while( !found && numOfSteps < values.size){
        numOfSteps++;
        if(values[numOfSteps] == value) found = true;
    }
    return numOfSteps;
}
```

Disproof of Universal Statements

- ▶ $\forall x \in D, P(x)$ or
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Proof Techniques

Basic Proof Methods (I)

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    while( !found && numOfSteps < values.size){
        numOfSteps++;
        if(values[numOfSteps] == value){
            found = true;
        }
    }
    return numOfSteps;
}
```

Q.5) Which one is a counterexample?

- A) value = 2
- B) value = 4
- C) value = 8
- D) value = 9

values: list of even integers

Claim: The while-loop terminates in less than $\text{values.size}/2$ iterations.

Disproof of Universal Statements

- ▷ $\forall x \in D, P(x)$ or
- ▷ $\forall x \in D, P(x) \rightarrow Q(x)$

Find an x^* , **counterexample**, such that $P(x^*)$ (or $P(x^*) \rightarrow Q(x^*)$) is false.

Announcement

Assignment 2 Posted. Due on Fri, March 3rd

Perfect Square: Every natural number is a perfect square

$\forall n \in \mathbb{Z}^+, n$ is a perfect square.

Counterexample: 5 is not a perfect square.

Euler's sum of powers conjecture of order 4

$\forall a, b, c, d \in \mathbb{Z}^+, a^4 + b^4 + c^4 \neq d^4$.

Smallest counterexample found in 1988:

▷ $a = 95800, b = 217519, c = 414560, d = 422481$.

Proof Techniques

Basic Proof Methods (II): Proof of $\forall x \in D, P(x) \rightarrow Q(x)$

- ▷ Generalizing from the generic particular
- ▷ Proof by cases

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Example

$$\forall n, m \in \mathbb{Z}, \quad \text{EVEN}(n) \wedge \text{EVEN}(m) \rightarrow \text{EVEN}(m + n)$$

Proof Techniques

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Q.6) Is the following a proof?

- ▷ $2 + 4 = 6$
- ▷ $100 + 256 = 356$
- ▷ ...

Therefore, the statement true.

-
- A) Yes
 - B) No

Proof Techniques

Basic Proof Methods (II): Proof of $\forall x \in D, P(x) \rightarrow Q(x)$

- ▷ Generalizing from the generic particular
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Example

$$\forall n, m \in \mathbb{Z}, \quad \text{EVEN}(n) \wedge \text{EVEN}(m) \rightarrow \text{EVEN}(m + n)$$

Consider a particular, but **arbitrary**, x

Template for writing a good proof

Proof Techniques

Basic Proof Methods (II): Proof of $\forall x \in D, P(x) \rightarrow Q(x)$

- ▷ Generalizing from the generic particular
- ▷ Proof by cases

Example

$$\forall n, m \in \mathbb{Z}, \quad \text{EVEN}(n) \wedge \text{EVEN}(m) \rightarrow \text{EVEN}(m + n)$$

Proof.

Let m and n be any even numbers. We have to show that $m + n$ is even.

Proof Techniques

Basic Proof Methods (II): Proof of $\forall x \in D, P(x) \rightarrow Q(x)$

- ▷ Generalizing from the generic particular
- ▷ Proof by cases

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Prologue:

- ▷ Title, Setting, and
- ▷ Articulating Your Plan

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- Then, $m + n = 2r + 2s = 2(r + s)$.
- Because $r + s \in \mathbb{Z}$, $m + n$ is even by definition of an even number.

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Existential Instantiation:

If the existence of an object is guaranteed, we can give it a name

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Q.E.D

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Q.E.D

Prologue:

- ▷ Title, Setting, and
- ▷ Articulating Your Plan

Epilogue: Concluding

- ▷ Initials of Latin phrase meaning "this is what we need to show".
- ▷ Alternatively, we put a black square at the end of the last line.

Proof Techniques

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Three Parts of a Well-Constructed Proof

- ▷ Prologue: Setting and Strategy
- ▷ Body: Logic Arguments
- ▷ Epilogue: Concluding

Proof Techniques

Basic Proof Methods (II): Proof of $\forall x \in D, P(x) \rightarrow Q(x)$

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Triangle Inequality

$$\forall x \text{ and } y \in R, |x + y| \leq |x| + |y|$$

(Simpler Version of Example 4.15 (Page 427, Textbook))

The absolute value of the sum of two real numbers is no greater than the sum of their absolute values

Proof Techniques

Basic Proof Methods (II): Proof of $\forall x \in D, P(x) \rightarrow Q(x)$

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Triangle Inequality

$$\forall x \text{ and } y \in R, |x + y| \leq |x| + |y|$$

(Simpler Version of Example 4.15 (Page 427, Textbook))

Proof.

Let x and y be two real numbers.

Generalizing from generic particular not enough

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$$\forall x \text{ and } y \in R, |x + y| \leq |x| + |y|$$

(Simpler Version of Example 4.15 (Page 427, Textbook))

Proof.

Let x and y be two real numbers.

Consider two cases in terms of the sign of $x + y$.

Case 1 $(x + y \geq 0) \quad |x + y| = x + y \leq |x| + |y|.$

Case 2 $(x + y < 0) \quad |x + y| = -(x + y) \leq |x| + |y|.$

Therefore, $|x + y| \leq |x| + |y|.$ ■

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Logic Foundation

$$\begin{array}{l} p \vee q \\ p \rightarrow r \\ q \rightarrow r \\ \therefore r \end{array}$$

Proof Techniques

Basic Proof Methods (II): Proof of $\forall x \in D, P(x) \rightarrow Q(x)$

- ▷ Generalizing from the generic particular
- ▷ Proof by cases

Strangers and Clubs

In a group of 6 people, there is either a club of 3 people **or** a group of 3 strangers

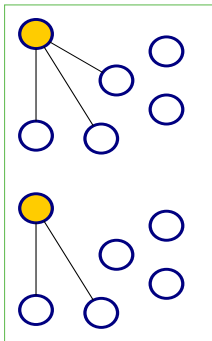
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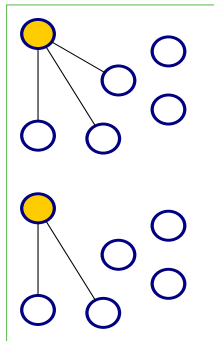
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Proof.

We use the method of proof by cases. Let x be one of the six people and consider two cases:

Case 1: x has at least 3 friends.

Case 2: x has at most 2 friends.

...



