

INSTITUT NATIONAL DE RECHERCHE EN INFORMATIQUE ET AUTOMATIQUE

# Parsing ASN.1:1990 with Caml Light

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Calcul symbolique, programmation et génie logiciel





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Abstract: ASN.1 is a language for network protocol specification, normalized by the ISO and frequently used in telecommunications. It allows the modular description of the types and values that may be exchanged between two applications. The ambiguity of the ASN.1 grammar, in its 1990 version, led the compilers conceptors to compromise with the normative document. We show in this work how to transform the grammar in an LL(1) equivalent one. A parser has been implemented in Caml Light, a typed functional language of the ML family. It offers the security of strong static type-checking and the expressivity of full functionality, very useful for abstract-syntax tree building and on-the-fly macro-processing, leading to a one-pass parser. A method for parser writing in Caml Light is also given.

**Key-words:** ASN.1, specification languages, ISO protocols, Caml, ML, functional languages, parsing.

(Résumé : tsvp)

## Une analyse syntaxique d'ASN.1:1990 avec Caml Light

Résumé: ASN.1 est un langage de spécification de protocoles normalisé par l'ISO et utilisé fréquemment dans les télécommunications. Il permet de décrire et de regrouper en modules les types et les valeurs que sont susceptibles d'échanger des applications. L'ambiguïté de la grammaire d'ASN.1, dans sa version 1990, avait jusqu'à présent contraint les concepteurs de compilateurs à prendre des libertés avec la norme. Nous montrons dans ce document comment il est possible de transformer la grammaire en une grammaire équivalente LL(1). Un analyseur syntaxique a été réalisé en Caml Light, un langage fonctionnel typé de la famille ML. Il apporte la sécurité du typage statique fort et l'expressivité de la pleine fonctionnalité, deux atouts majeurs pour la construction d'un arbre de syntaxe abstraite et le traitement des macros « à la volée », conduisant ainsi à un analyseur en une passe. Une méthode pour réaliser des analyseurs syntaxiques en Caml Light est de plus présentée en détails.

Mots-clé: ASN.1, langages de spécification, protocoles ISO, Caml, ML, langages fonctionnels, analyse syntaxique.

MAÎTRE DE PHILOSOPHIE. — On les peut mettre [les paroles] premièrement comme vous avez dit : Belle Marquise, vos beaux yeux me font mourir d'amour, ou bien : D'amour mourir me font, belle Marquise, vos beaux yeux. Ou bien : Vos yeux beaux d'amour me font, belle Marquise, mourir. Ou bien : Mourir vos beaux yeux, belle Marquise, d'amour me font. Ou bien : Me font vos yeux beaux mourir, belle Marquise, d'amour.

MONSIEUR JOURDAIN. — Mais de toutes ces façons-là laquelle est la meilleure ?

MAÎTRE DE PHILOSOPHIE. — Celle que vous avez dite : Belle Marquise, vos beaux yeux me font mourir d'amour.

Molière. Le bourgeois gentilhomme, Acte II, Scène IV.

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## Introduction

OSI service and protocol specifications<sup>1</sup> are the backbone for design and implementation of distributed heterogeneous systems. These OSI communication standards are specified in natural language and the data exchanged through the application-layout of the ISO model are described using the ASN.1 notation.

This <u>Abstract Syntax Notation One</u> is a common standard of ISO [4] and CCITT (X.208) allowing a formal description of types and values. It can be compared in this way to the type and value definitions in programming languages like Pascal or C. The notation includes predefined types like integers, real numbers, booleans, etc., and make possible the definition of structured types like records, ordered sets, or even unions of types (like in C).

A tag may be used to distinguish between different optional elements in a set, or in a union of types; basically it identifies each value type, and each value is transmitted on-line with its tag type.

This paper presents the results of a research study of ASN.1 grammar, in its ISO version 1990 [4]. We showh that we can get another ASN.1 grammar satisfying the LL(1) property<sup>2</sup>, using a sequence of transformations from its standard form, and making no compromises with the latter (Three ISO Technical Corrigenda were nevertheless added.). A grammar of a context-free language can be considered as a set of rational equations whose lowest solution (in terms of inclusion of sets) is a context-free language. Each transformation is therefore described in function of rational operations (union, product and star), assuring step-by-step the invariance of the language generated by the resulting grammar.

ASN.1 macros are handled, but not in their full generality because it would not be realistic. An on-the-fly macro parsing and dynamic generation (the result of a macro parsing is a specific parser) is presented, and also its orthogonal integration to the base parser (without macro-processing facility). So the complete parser works in *one pass*.

We first present a grammar for ASN.1 tokens recognition, and follows a study of ASN.1 syntax. The constraint which guided the election of transformations was the will to make the final grammar top-down parsable, that implies it had not to be ambiguous. But there is no algorithm able to decide whether a given grammar is ambiguous or not (it's a theoretical bound too), so it became necessary to make ad hoc choices in order to get rid of ambiguities. Moreover, we even didn't know a priori, assuming these ambiguities eliminated, if an LL(1) grammar existed for ASN.1 (another theoretical bound). The two preceding reasons lead us to a "hand-made" work. Next, it was known that, given two grammars, the problem of the equality of their generated language is undecidable[1]. Thus the proof of this equality will be a step-by-step fully commented presentation of each syntactic transformation. For

<sup>&</sup>lt;sup>1</sup> Open Systems Interconnection

<sup>&</sup>lt;sup>2</sup>Cf. section 4

sake of simplicity the initial grammar will be split into sections. The proof of the LL(1) property is given after. The technical corrigenda were taken in account from the initial grammar.

This study led to the implementation of a complete parser, fully written in the Caml Light programming language, currently designed and developed at INRIA[8, 5], and freely distributed by anonymous ftp. It's a functional language, dialect of ML, which strong static typing assures the good structure and the consistence of values at run-time. Its compiler with type inference makes it a good and fast prototyping language, and it's compilation (to C[6]) assures efficiency. Caml is small and highly portable (PC, Macintosh, MS-DOS, UNIX, MS-Windows). Another point is that it allows direct parser writings, with a special abstract data type called *stream*[7]. These streams behave like lazy lists<sup>3</sup> with destructive semantics. It means that when you access the element at the head, this one is then evaluated (hence laziness) and is bound to be extracted from the list (hence destruction). A specific pattern matching construct allows an exact description of what is happening at run-time, particularly when parsing, and with a Caml syntax very close to a standard grammar notation (for instance BNF). The advantage, compared to YACC, is that we continue taking the benefits of full functionality and formal semantics ("We know what is parsed and computed, when it is parsed and computed and how it is parsed and computed."). The disadvantage is that the grammar must be top-down parsable, with one token of look-ahead and no back-tracking (cf. section 5).

A systematic method for parser writing in Caml Light from a LL(1) grammar is also presented. The parser hence produced is *not* a "black box", because of the particular readability of stream pattern matchings and the code uniformity.

<sup>&</sup>lt;sup>3</sup>Thus maybe infinite.

## 1 Notations

The grammar notation used in this document is an extended BNF<sup>4</sup> (rational operators).

#### 1.1 ASN.1 tokens

- Token identifiers appear in lower case.
- Keywords are in upper case.
- Nonterminals identifiers are the concatenation of identifiers in lower case, each one beginning with an upper case letter.
- Extracts of concrete syntax (terminals) lay between inverted commas if the inverted commas belong to the concrete syntax, they are preceded by a back-slash.

## 1.2 Grammars

We'll call "production rule" (or for short "rule"), the couple formed by a nonterminal and all the words that can be derived from in one step.

We'll call "right-hand of a production rule" (or for short "right-hand") one of the words (containing or not tokens) that can be derived in one step. For instance,  $X_0 \ X_1 \dots X_n$  is a right-hand of the production rule X in:  $X \to X_0 \ X_1 \dots X_n \mid \dots$ 

We'll name "production" the set of right-hands of a production rule.

Structure The grammar is divided in sections which are themselves divided in subsections separated by a line. The purpose is to gather the rules which have a close semantics or that contribute to the same semantics. A subsection A separated by the double-line of a subsection B means that A is transformed into B. The order of the rules inside the same subsection is meaningful: it's a breadth-first traversal from an entry rule (see below), considering productions in writing order. Order between entry rules is not significant.

Entry rules Entry rules are rules which are called from outside of the subsection where they are defined. If calls are limited to the current section, the rule is said "local" (to the section); if they are restricted to the outside of the defining section, the rule is said "global", and if they are calls both in the current section and in the other sections, the rule is said "mixed". In the first case the defining nonterminal identifier will appear in italics; in the second it will appear underlined, and in the last in underlined italics. The axiom (or entry point) will be in bold font. Multiple entry rules in the same subsection are allowed.

<sup>&</sup>lt;sup>4</sup> Backus-Naur Form

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Remarks In the presentation of temporary grammars, comments will be boxed after the rules. It is mandatory to read them in the order of appearance in the subsection, because they can describe composed transformations (hence sequencing). For the same reason one must read the sections in the order of presentation. On the other hand the rules created by a transformation will be given between brackets.

Conventions It is sometimes hard to find a pertinent identifier for a newly created rule, suggesting its semantics. In this situation the rule will be given the concatenation of the calling rule name (maybe shortened) with a prefix and/or suffix, often numbered. In the examples contained in this document, we'll adopt moreover the following lexicographical conventions:

- The empty word is denoted  $\varepsilon$ .
- A latin lower case always denotes a terminal.
- A latin upper case always denotes a nonterminal.
- A greek lower case always denotes any concatenation of terminals and nonterminals
- We'll note "A  $\Longrightarrow \alpha$ " the relation "A produces  $\alpha$ ".

#### 1.3 Rational operators

The following rational operators were added to the BNF, in order to gain in compaction and readability (it is not a gain of expressivity):  $\alpha^*$ ,  $\alpha^+$ ,  $[\alpha]$ ,  $\{Aa...\}^*$ ,  $\{Aa...\}^+$ . Any occurrence of these expressions can be replaced by a nonterminal which defining rule is specific. As we'll see in the section devoted to the LL(1) property checking (cf. 4), we are even allowed to consider that there is no sharing between these rules. Here is the array giving their semantics.

Notation	Definition	Validity constraint
$X \to \alpha^*$	$X \to \alpha X \mid \varepsilon$	$\neg(\alpha \stackrel{*}{\Longrightarrow} \varepsilon)$
$X \to \alpha^+$	$X \to \alpha \alpha^*$	$\neg(\alpha \stackrel{*}{\Longrightarrow} \varepsilon)$
$X \to [\alpha]$	$X \to \alpha \mid \varepsilon$	$\neg(\alpha \stackrel{*}{\Longrightarrow} \varepsilon)$
$X \to \{A \ a \dots\}^*$	$X \to \varepsilon \mid A \ (a \ A)^*$	$\neg(A \stackrel{*}{\Longrightarrow} \varepsilon)$
$X \to \{A \ a \dots\}^+$	$X \to A (a A)^*$	$\neg(A \stackrel{*}{\Longrightarrow} \varepsilon)$
$X \to \{[A] \ a \dots\}$	$X \to \varepsilon \mid A \ (a \ [A])^* \mid (a \ [A])^+$	$\neg(A \stackrel{*}{\Longrightarrow} \varepsilon)$

The elected definitions are LL(1), and the validity constraints allow a direct definition of associated parsers in Caml Light (suitable argument types).

## 2 Grammar transformations

The transformations are merely the application of basic properties of rational expressions, expressed in a slightly different way in the frame of grammars.

Factorisation The factorisation forms prefixes and/or suffixes of a production subset of a rule

We can apply these factorisations if n=1, or partially on the factorizable productions.

**Reduction** The reduction gather productions of the same rule and create a new nonterminal:

$$\mathbf{X} \rightarrow \alpha_1 \mid \alpha_2 \mid \ldots \mid \alpha_n \quad becomes \qquad \begin{matrix} \mathbf{X} & \rightarrow & \mathbf{Y} \mid \alpha_{i+1} \mid \alpha_{i+2} \mid \ldots \mid \alpha_n \\ \mathbf{Y} & \rightarrow & \alpha_1 \mid \alpha_2 \mid \ldots \mid \alpha_i \end{matrix}$$

Elimination Each useless rule (typically after a global expansion — see below) may be suppressed. We can also remove redundant productions inside a rule, and just keep one.

Remark 1 A global or mixed entry rule now useless inside a section will be moved and therefore disappear — but is *not* removed from the whole grammar!

Remark 2 The following transformation is not an elimination:

$$X \to X \mid A \quad becomes \quad X \to A$$

Cf. paragraph about the Arden lemma (2.1).

Remark 3 the following elimination is legal:

$$X \rightarrow lower_{val} \mid lower_{id} \quad becomes \quad X \rightarrow lower$$

**Renaming** For sake of readability identifiers may be globally renamed in all the grammar. We'll try to avoid such an operation choosing with care from the beginning suitable names (to avoid clashes).

Expansion An expansion can be total, partial, prefix, suffix or global.

**Total** A total expansion is a textual substitution of a whole rule right-hand at a particular occurrence of the corresponding nonterminal. For example:

It will be the default expansion in the following, in absence of indication.

Global A global expansion is the total expansion of a rule for all possible occurrences of its corresponding nonterminal in the whole grammar (this rule can therefore be eliminated). The transformations presentation being modular, the reader might be disconcerted by this global scope. Actually a global expansion will be equivalent to a total expansion applied to the whole subsection, followed by an elimination; else a note will be supplied.

**Partial** A partial expansion is the composition of a **global** expansion, a partial bifix factorisation and a renaming. To sum up:

**Prefix** A prefix expansion is the composition of the **global** expansion of a left-factorisable rule, a bifix factorisation and a renaming. Briefly:

Suffix A suffix expansion is the composition of the global expansion of a right-factorizable rule, a bifix factorisation and a renaming. That is to say:

**Option** The option (transformation) is a particular case of the **partial** expansion of an empty production, followed by a "square bracketing". For instance:

The same we'll assimilate to an option (for short) the transformation:

Inria

In order to ease the LL(1) checking of the resulting grammar, we'll apply the option each time it will be possible. Thus the resulting form will not contain *explicit* empty productions (Beware: we do *not* remove these productions!). In other words, no production will produce explicitly  $\varepsilon$ .

Note that the converses of factorisations, reductions, expansions and options are also legal transformations.

## 2.1 The Arden lemma

Suppose we have a rational equation of languages of the form  $X = \alpha X + \beta$ , with  $\varepsilon \notin \alpha$ , and where + denotes disjunction (|). Then the Arden lemma states that  $X = \alpha^*\beta$  is the sole solution of the equation. If  $\varepsilon \in \alpha$ , then  $X = \alpha^*(\beta + \gamma)$  is a solution, for all  $\gamma$  (which can even be a context-dependent language). We thus have an infinity of solutions, and we'll choose in this case the lowest solution language ("the minimal fix-point"):  $X = \alpha^*\beta$ . For short, we'll always allow the transformation:  $X \to \alpha X \mid \beta \text{ becomes } X \to \alpha^*\beta$ .

We so have in particular:  $X \to X \mid \beta$  becomes  $X \to \beta$ . We'll call "arden" all the elementary transformations that imply the application of the Arden lemma:

**Remark 1** We get:  $X \to X \alpha \mid \beta \text{ becomes } X \to \beta \alpha^*$ .

**Remark 2** The Arden lemma allows us to find non trivial equalities, as for example:  $(a + cb^*d)^* = a^* + a^*c(b + da^*c)^*da^*$ .

## 2.2 Syntactic ambiguities

The question of grammar ambiguity is always hard. A grammar is said to be ambiguous if and only if one can build two different derivations for a word of the language. A sufficient condition of ambiguity is the double-recursion of a rule.

When double-recursion is inside a production, we can sometimes solve this problem applying the Arden lemma. A typical case is arithmetic expressions grammars, where some operators are both binary and infix:  $E \to E$  "+"  $E \mid E$  "\*"  $E \mid E$  "\text{" (" E ")" | id.

If double-recursion is left-side and right-side, on two productions, we can proceed as it follows. Assume:

$$Z \rightarrow A Z \mid Z B \mid C$$

The Arden lemma implies here:  $Z \to A Z B^* \mid C B^*$ But it is trivial that:  $X \to \alpha X \beta \mid \gamma$  becomes  $\forall n \ge 0.X \to \alpha^n \gamma \beta^n$ Thus:  $\forall n \ge 0.Z \to A^n (C B^*) (B^*)^n$  simplified in  $Z \to A^* C B^*$ Rewriting  $Z \to A^+ C B^* \mid C B^*$  it follows  $Z \to A Z \mid C B^*$ To conclude, we'll keep the following transformation:

$Z \rightarrow A Z$	7. R	С	becomes	7. →	A 7.	C B*
D 'A D			occomecs		$\Lambda L$	O D

# 3 Transforming the ASN.1:1990 grammar

## 3.1 Modules

## 3.1.1 Step 0

We present first the section corresponding to the ASN.1 modules specification. We introduce rule gathering.

ModuleDefinition	$\rightarrow$	ModuleIdentifier DEFINITIONS TagDefault "::=" BEGIN ModuleBody END
Module Identifier	$\rightarrow$	modulereference AssignedIdentifier
AssignedIdentifier	$\rightarrow$	ObjectIdentifierValue
$\underline{Object Identifier Value}$	$\rightarrow$	ε "{" ObjIdComponentList "}" "{" DefinedValue ObjIdComponentList "}"
${\bf Obj Id Component List}$	$\rightarrow$	$\operatorname{ObjIdComponent}$
${\bf ObjIdComponent}$	$\stackrel{ }{\rightarrow}$	ObjIdComponent ObjIdComponentList NameForm NumberForm NameAndNumberForm
NameForm	$\rightarrow$	identifier
NumberForm	$\rightarrow$	number
		DefinedValue
${\bf Name And Number Form}$	$\rightarrow$	identifier "(" NumberForm ")"
TagDefault	→   	EXPLICIT TAGS IMPLICIT TAGS $\varepsilon$

Module Body	$\rightarrow$	Exports Imports AssignmentList
_		ε
$\operatorname{Exports}$	$\rightarrow$	EXPORTS SymbolsExported ";"
<u>.</u>		ε ΤΙΤΡΟΡΙΙΙ Ο
$\operatorname{Imports}$	$\rightarrow$	IMPORTS SymbolsImported ";"
		$\varepsilon$
${ m Symbols Exported}$	$\rightarrow$	$\operatorname{SymbolList}$
C 1 1 1 4 1		ε C 1.15 M 1.11:4
$\operatorname{SymbolsImported}$	$\rightarrow$	$\operatorname{SymbolsFromModuleList}$
SymbolList	$\rightarrow$	arepsilon Symbol
Sy middle is t	<b>→</b>	Symbol "," SymbolList
SymbolsFromModuleList	$\rightarrow$	Symbols From Module
by modistronimodule list		SymbolsFromModuleList SymbolsFromModule
Symbol	$\rightarrow$	typereference
Sy III SOI	ĺ	valuereference
SymbolsFromModule	$\rightarrow$	SymbolList FROM ModuleIdentifier
- J		- J
Assignment List	$\rightarrow$	Assignment
		AssignmentList Assignment
${\it Assignment}$	$\rightarrow$	TypeAssignment
T		ValueAssignment
TypeAssignment	$\rightarrow$	typereference "::=" Type
Value Assignment	$\rightarrow$	valuereference Type "::=" Value
$\operatorname{DefinedType}$	$\rightarrow$	Externaltypereference
		typereference
Defined Value	$\rightarrow$	Externalvaluereference
		valuereference
Externaltypereference	$\rightarrow$	modulereference "." typereference
${\bf External valuer eference}$	$\rightarrow$	modulereference "." valuereference

#### 3.1.2 Step 1

We substitute (lexically) ambiguous token identifiers, trying when possible to keep information on their original "semantics" (which then appears in subscript). On the other hand we apply option until all  $\varepsilon$  disappear, and we use the Arden lemma to introduce rational operators.

```
 \begin{array}{ccc} \textbf{ModuleDefinition} & \rightarrow & \text{ModuleIdentifier} \\ & \text{DEFINITIONS} \\ & [\text{TagDefault TAGS}] \\ & \text{"::="} \\ & \text{BEGIN} \\ & [\text{ModuleBody}] \\ & \text{END} \\ \end{array}
```

Cf. 'TagDefault' and 'ModuleBody'.

```
Option and then global expansion of 'AssignedIdentifier'.

Arden of 'ObjIdComponentList'.
Global expansion of 'NameForm'.
Global expansion of 'NameAndNumberForm'.

Elimination of the second production of 'ObjectIdentifierValue' because:
ObjIdComponentList ⇒ ObjIdComponent ObjIdComponentList
⇒ NumberForm ObjIdComponentList ⇒ DefinedValue ObjIdComponentList.
Global expansion of 'ObjectIdentifierValue'.
Global expansion of 'ObjectIdentifierValue'.
Beware! We keep ObjectIdentifierValue → "{" ObjIdComponent+ "}"
in the section (3.3).
```

 $\begin{array}{ccc} \underline{TagDefault} & \longrightarrow & \text{EXPLICIT} \\ & & | & \text{IMPLICIT} \end{array}$ 

Option and then suffix expansion of 'TagDefault'.

 $ModuleBody \rightarrow [Exports] [Imports] AssignmentList$ 

Exports  $\rightarrow$  EXPORTS [SymbolList] ";"

Imports → IMPORTS [SymbolsFromModuleList] ";"

valuereference

 $SymbolsFromModule \rightarrow SymbolList FROM ModuleIdentifier$ 

Option of 'Exports' and of 'Imports'.

Option and then global expansion of 'SymbolsExported'.

 $Option\ and\ then\ global\ expansion\ of\ `Symbols Imported'.$ 

Arden of 'SymbolList' and 'SymbolsFromModuleList'.

 $AssignmentList \rightarrow Assignment^+$ 

Assignment  $\rightarrow$  upper<sub>typ</sub> "::=" Type

 $\rightarrow$  upper<sub>typ</sub> "::=" Type | lower<sub>val</sub> Type "::=" Value

 ${\bf Arden~of~'} Assignment List'.$ 

Global expansion of 'TypeAssignment' and 'ValueAssignment'.

Defined Type  $\rightarrow$  upper  $_{mod}$  "." upper  $_{typ}$ 

 $\texttt{upper}_{typ}$ 

 $\underline{DefinedValue} \qquad \qquad \rightarrow \quad \underline{upper_{mod}} \text{ "" lower}_{val}$ 

extstyle ext

Global expansion of 'ExternalTypeReference' and 'ExternalValueReference'.

#### 3.1.3 Step 2

```
ModuleDefinition
                                   ModuleIdentifier
                                   DEFINITIONS
                                   [TagDefault TAGS]
                                   ``:="
                                   BEGIN
                                   [ModuleBody]
                                   END
                                   uppermod ["{" ObjIdComponent+ "}"]
Module Identifier
ObjIdComponent
                                   number
                                   \mathtt{upper}_{mod} "." \mathtt{lower}_{val}
                                   lower ["(" ClassNumber ")"]
 Total expansion of 'Defined Value'.
 Prefix factorisation (lower).
 Elimination of 'NumberForm' because 'NumberForm' = 'ClassNumber' (Cf. section 3.2).
                                   EXPLICIT
TagDefault
                                   IMPLICIT
                                   [Exports] [Imports] Assignment<sup>+</sup>
Module Body
                                  EXPORTS {Symbol "," ... }* ";"
Exports
                                   IMPORTS SymbolsFromModule* ";"
Imports
                                   {Symbol "," ...}+ FROM ModuleIdentifier
SymbolsFromModule
Symbol
                                   \mathtt{upper}_{typ}
                                   lower_{val}
 Global expansion of 'AssignmentList'.
 Global expansion of 'SymbolList'.
 Global expansion of 'SymbolsFromModuleList'.
                                   \begin{array}{ll} \mathtt{upper}_{typ} \ \text{``::="} \ \mathtt{Type} \\ \mathtt{lower}_{val} \ \mathtt{Type} \ \text{``::="} \ \mathtt{Value} \end{array}
Assignment
```

'<u>DefinedType</u>' is moved to the section (3.2).

'Defined Value' is moved to the sections 3.2 and (3.3).

## 3.2 Types

## 3.2.1 Step 0

We first give the standard notation devoted to ASN.1 types specification, after restructuring. Notice we recover the rules 'DefinedType' and 'DefinedValue' from the section (3.1). 'Subtype' and 'ParentType' rules are showed in this section, and not in the section 3.4, in order to facilitate reading. 'SubtypeSpec' is presented in the section (3.4). Notice also that 'ClassNumber' is a mixed entry rule (Cf.3.1.3).

```
BuiltIn\,Type
                    Boolean Type
                    IntegerType
                    BitStringType \\
                    {\bf OctetStringType}
                    NullType
                    SequenceType
                    Sequence Of Type \\
                    SetType
                    SetOfType
                    ChoiceType
                    SelectionType
                    Tagged Type
                    AnyType
                    ObjectIdentifierType
                    {\bf Character String Type}
                    UsefulType
                    Enumerated Type
```

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	_	RealType
NamedType	→   	identifier Type Type SelectionType
Subtype ParentType SizeConstraint	→    -  -  -  -  -	ParentType SubtypeSpec SET SizeConstraint OF Type SEQUENCE SizeConstraint OF Type Type SIZE SubtypeSpec
Integer Type Named Number List Named Number Signed Number		INTEGER INTEGER "{" NamedNumberList "}" NamedNumber NamedNumberList "," NamedNumber identifier "(" SignedNumber ")" identifier "(" DefinedValue ")" ["-"] number
Boolean Type	$\rightarrow$	BOOLEAN
Enumerated  Type Enumeration		ENUMERATED "{" Enumeration "}" NamedNumber Enumeration "," NamedNumber
Real Type	$\rightarrow$	REAL

BitStringType $NamedBitList$ $NamedBit$	$\begin{array}{c} \rightarrow \\ \mid \\ \rightarrow \\ \mid \\ \rightarrow \\ \mid \\ \mid \\ \end{array}$	BIT STRING BIT STRING "{" NamedBitList "}" NamedBit NamedBitList "," NamedBit identifier "(" number ")" identifier "(" DefinedValue ")"
Octet String Type	$\rightarrow$	OCTET STRING
$Sequence Of Type \ Set Of Type$	→       	SEQUENCE OF Type SEQUENCE SET OF Type SET
NullType	$\rightarrow$	NULL
SequenceType SetType ElementTypeList ElementType	→   →   →   →     	SEQUENCE "{" ElementTypeList "}" SEQUENCE "{" "}" SET "{" ElementTypeList "}" SET "{" "}" ElementType ElementType ElementTypeList "," ElementType NamedType NamedType OPTIONAL NamedType DEFAULT Value COMPONENTS OF Type
Choice Type Alternative Type List	$\begin{array}{c} \rightarrow \\ \rightarrow \\   \end{array}$	, ,
Selection Type	$\rightarrow$	identifier "<" Type

$TaggedType$ $Tag \\ \underline{ClassNumber}$ $Class$	→	Tag Type Tag IMPLICIT Type Tag EXPLICIT Type "[" Class ClassNumber "]" number DefinedValue UNIVERSAL APPLICATION PRIVATE ε
Any Type	$\overset{\rightarrow}{\mid}$	ANY ANY DEFINED BY identifier
Object Identifier Type	$\rightarrow$	OBJECT IDENTIFIER
Useful Type	→     	EXTERNAL "UTCTime" "GeneralizedTime" "ObjectDescriptor"
Character String Type	→	"NumericString"  "PrintableString"  "TeletexString"  "T61String"  "VideotexString"  "VisibleString"  "ISO646String"  "IA5String"  "GraphicString"  "GeneralString"

#### 3.2.2 Step 1

```
NamedType \rightarrow lower_{id} Type 
| Type
```

Elimination of the production 'SelectionType' because:  $Type \Rightarrow BuiltInType \Rightarrow SelectionType$ 

```
Total expansion of 'DefinedType'.

Partial expansion of productions 'SetType', 'SequenceType', 'SetOfType', 'SequenceOfType', 'NullType', 'SelectionType' and 'TaggedType' of the rule 'BuiltInType'.

Global expansion of 'Subtype' after the global expansion of 'ParentType':

Subtype ⇒ ParentType SubtypeSpec ⇒ Type SubtypeSpec

the goal is to left-factorise the rule 'Type' and to make clear the double-recursion, source of ambiguity.

Global expansion of 'SizeConstraint' which is moved to the section (3.4).
```

```
BuiltIn Type → BOOLEAN

| INTEGER ["{" {NamedNumber "," ...}+ "}"]

| BIT STRING ["{" {NamedBit "," ...}+ "}"]

| OCTET STRING

| CHOICE "{" {NamedType "," ...}+ "}"

| ANY [DEFINED BY lower<sub>id</sub>]

| OBJECT IDENTIFIER

| ENUMERATED "{" {NamedNumber "," ...}+ "}"

| REAL
```

```
"NumericString"
                            "PrintableString"
                            "TeletexString"
                            "T61String"
                            "VideotexString"
                            "VisibleString"
                            "ISO646String"
                            "IA5String"
                            "GraphicString"
                            "GeneralString"
                           EXTERNAL
                            "UTCTime"
                            "GeneralizedTime"
                            "ObjectDescriptor"
 {\bf Global\ expansion\ of\ '} Boolean Type',\ 'Integer Type',\ 'BitString Type',\ 'OctetString Type',
   'Tagged Type', 'Any Type', 'Object Identifier Type', 'Useful Type', 'Character String Type', 'Real Type'.
 {\bf Arden\ of\ 'Enumeration'\ and\ global\ expansion,\ and\ then\ global\ expansion\ of\ '} Enumerated Type'.
 Arden\ of\ `Alternative Type List'\ and\ global\ expansion,\ and\ then\ global\ expansion\ of\ `Choice Type'.
 Cf. \ `Type', \ `NamedNumber', \ `NamedBit'.
NamedNumber
                           lower<sub>id</sub> "(" AuxNamedNum ")"
AuxNamedNum
                           SignedNumber
                           Defined Value
 Arden of 'NamedNumberList' and global expansion.
 Prefix factorisation of 'Integer Type' and then global expansion.
 Bifix factorisation of 'NamedNumber' ('AuxNamedNum').
                         lower_{id} "(" ClassNumber ")"
NamedBit
 Bifix factorisation: we recognize the rule 'ClassNumber'.
Sequence Of Type
                           SEQUENCE [OF Type]
SetOfType
                           SET [OF Type]
 Prefix factorisations.
```

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SEQUENCE "{" {ElementType "," ...}\* "}"
SET "{" {ElementType "," ...}\* "}"
NamedType [ElementTypeSuf] Sequence TypeSetType

 ${\bf ElementType}$ 

COMPONENTS OF Type

ElementTypeSufOPTIONAL

DEFAULT Value

Biffix factorisation of 'SequenceType' and 'SetType'. Arden of 'ElementTypeList' and global expansion.

Prefix factorisation of 'ElementType'.

Selection Type $lower_{id}$  "<" Type

NullTypeNULL

"[" [Class] ClassNumber "]" [TagDefault] Type  $Tagged\,Type$ 

UNIVERSAL Class

APPLICATION PRIVATE

Bifix factorisation of 'TaggedType': we recognize the option of the rule 'TagDefault' (Cf. 3.1). Global expansion of 'Tag'.

Option of 'Class'.

 $\underline{ClassNumber}$ number

 $[\mathtt{upper}_{mod} \ "."] \ \mathtt{lower}_{val}$ 

Total expansion of 'Defined Value'.

#### 3.2.3 Step 2

```
NamedType
                                                                                                         lower_{id} Type
                                                                                                          Type
                                                                                                         upper[""upper_{typ}]
Type
                                                                                                         BuiltInType
                                                                                                         SET "{" {ElementType "," ...}* "}"
SEQUENCE "{" {ElementType "," ...}* "}"
                                                                                                          SET [OF Type]
                                                                                                         SEQUENCE [OF Type]
                                                                                                          lower_{id} "<" Type
                                                                                                          "[" [Class] ClassNumber "]" [TagDefault] Type
                                                                                                         NULL
                                                                                                          Type SubtypeSpec
                                                                                                          SET SIZE SubtypeSpec OF Type
                                                                                                          SEQUENCE SIZE SubtypeSpec OF Type
      {\bf Global\ expansion\ of\ '} SetType',\ 'SequenceType',\ 'SetOfType',\ 'SequenceOfType',\ 'SelectionType',\ 'SelectionType',\ 'SetOfType',\ 'SequenceOfType',\ 'SelectionType',\ 'SelectionType',\ 'SetOfType',\ 'SequenceOfType',\ 'SelectionType',\ 'SelectionType',
                  `TaggedType' and `NullType'.
```

```
BuiltIn\,Type
                 BOOLEAN
                 INTEGER ["{" \{NamedNumber "," ...\}^+ "\}"]
                 BIT STRING ["{" {NamedBit "," ... } + "}"]
                 OCTET STRING
                 CHOICE "{" {NamedType "," ...}+ "}"
                 ANY [DEFINED BY lower_{id}]
                 OBJECT IDENTIFIER
                 ENUMERATED "{" {NamedNumber "," ... }+ "}"
                 REAL
                 "NumericString"
                 "PrintableString"
                 "TeletexString"
                 "T61String"
                 "VideotexString"
                 "VisibleString"
                 "ISO646String"
                 "IA5String"
                 "GraphicString"
                 "GeneralString"
```

```
| EXTERNAL
| "UTCTime"
| "GeneralizedTime"
| "ObjectDescriptor"

| NamedNumber → lower<sub>id</sub> "(" AuxNamedNum ")"
| AuxNamedNum → ["-"] number
| [upper<sub>mod</sub> "."] lower<sub>val</sub>

| Total expansion of 'SignedNumber' and 'DefinedValue' in 'AuxNamedNum'.
| 'SignedNumber' and 'DefinedValue' moved to the section (3.3).
```

## 3.2.4 Step 3

We get rid here of the 'Type' rule ambiguity, applying the transformation of the section (2.2). Then we'll make LL(1) the rule 'NamedType'.

```
lower_{id} "<" Type
Type
                "[" [Class] ClassNumber "]" [TagDefault] Type
                SetSeq [SIZE SubtypeSpec] OF Type
                Type SubtypeSpec
                NULL
                BuiltInType
                upper ["." upper<sub>typ</sub>]
SetSeq ["{" {ElementType "," ...}* "}"]
SetSeq
                \operatorname{SET}
                SEQUENCE
 Suffix factorisations ('SetSeq').
                lower_{id} "<" Type
Type
                "[" [Class] ClassNumber "]" [TagDefault] Type
                SetSeq [SIZE SubtypeSpec] OF Type
                NULL SubtypeSpec*
                BuiltInType SubtypeSpec*
                \texttt{upper} \ [\text{``.''} \ \texttt{upper}_{typ}] \ \texttt{SubtypeSpec}^*
                SetSeq ["{" {ElementType "," ...}* "}"] SubtypeSpec*
                \operatorname{SET}
SetSeq
                SEQUENCE
 Application of section (2.2) transformation.
```

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## Prefix factorisation ('TypeSuf').

Option of 'TypeSuf'.

Reduction of 'Type' ('AuxType'). We'll understand why at the fourth step of section (3.3).

```
\begin{array}{ccc} NamedType & \rightarrow & \mathtt{lower}_{id} \ \mathrm{Type} \\ & | & \mathrm{Type} \end{array}
```

```
NamedType 
ightarrow lower_{id} Type  | lower_{id} "<" Type  | upper ["." upper_{typ}] SubtypeSpec^*  | NULL SubtypeSpec*  | AuxType
```

Total expansion of 'Type'.

Bifix factorisation.

### 3.2.5 Step 4

Here's the resulting grammar of the section (3.2).

```
lower_{id} "<" Type
Type
                   upper ["." upper_{typ}] SubtypeSpec*
NULL SubtypeSpec*
                   AuxType
AuxType
                   "[" [Class] ClassNumber "]" [TagDefault] Type
                   BuiltInType SubtypeSpec*
                   SetSeq [TypeSuf]
SetSeq
                   SET
                   SEQUENCE
TypeSuf
                   SubtypeSpec<sup>+</sup>
                   "{" {ElementType "," ...}* "}" SubtypeSpec*
                   [SIZE SubtypeSpec] OF Type
BuiltIn\,Type
                   BOOLEAN
                   INTEGER ["{" {NamedNumber "," ...}+ "}"] BIT STRING ["{" {NamedBit "," ...}+ "}"]
                   OCTET STRING
                   CHOICE "{" {NamedType "," ... } + "}"
                   ANY [DEFINED BY lower_{id}]
                   OBJECT IDENTIFIER
                   ENUMERATED "{" {NamedNumber "," ... }+ "}"
                   REAL
                    "NumericString"
                   "PrintableString"
                    "TeletexString"
                    "T61String"
                    "VideotexString"
                    "VisibleString"
                    "ISO646String"
                    "IA5String"
                    "GraphicString"
                    "GeneralString"
               \Box
```

	     	EXTERNAL "UTCTime" "GeneralizedTime" "ObjectDescriptor"
NamedType	→     	${f lower}_{id}$ ["<"] Type ${f upper}$ ["." ${f upper}_{typ}$ ] SubtypeSpec* NULL SubtypeSpec* ${f AuxType}$
$NamedNumber \ AuxNamedNum$	$\begin{array}{c} \rightarrow \\ \rightarrow \\   \end{array}$	$lower_{id}$ "(" $AuxNamedNum$ ")" ["-"] $number$ [upper $_{mod}$ "."] $lower_{val}$
NamedBit	$\rightarrow$	lower <sub>id</sub> "(" ClassNumber ")"
ElementType ElementTypeSuf		NamedType [ElementTypeSuf] COMPONENTS OF Type OPTIONAL DEFAULT Value
Class $Class Number$	→         	$egin{array}{lll}  ext{UNIVERSAL} &  ext{APPLICATION} &  ext{PRIVATE} &  ext{number} &  ext{[upper}_{mod} "."]  ext{lower}_{val} &  ext{} \end{array}$

# 3.3 Values

## 3.3.1 Step 0

Here is the standard notation of the ASN.1 values grammar. Notice we recover the rules 'ObjectIdentifier Value', coming from the section 3.1, and '<u>Defined Value</u>' and 'Signed Number', from the section (3.2).

<u>Value</u>	$\overset{\rightarrow}{\mid}$	BuiltInValue DefinedValue
Defined Value	$\rightarrow$	$[ ext{upper}_{mod}  ext{ "."}]  ext{lower}_{val}$
Signed Number	$\rightarrow$	["-"] number
Built In Value		Boolean Value Integer Value BitString Value OctetString Value Null Value Sequence Value Sequence Of Value Set Value Set Of Value Choice Value Tagged Value Any Value Object Identifier Value Character String Value Enumerated Value Real Value
Named Value	→ 	identifier Value Value

Boolean Value	$\overset{\rightarrow}{\mid}$	TRUE FALSE
Integer Value	$\overset{\rightarrow}{\mid}$	SignedNumber identifier
Enumerated  Value	$\rightarrow$	identifier
Real Value	$\rightarrow$	NumericRealValue SpecialRealValue
NumericRealValue	$\rightarrow$	"{" Mantissa "," Base "," Exponent "}" "0"
Mantissa	$\rightarrow$	SignedNumber
Base	$\rightarrow$	"2"
		"10"
Exponent	$\rightarrow$	${f Signed Number}$
${f Special Real Value}$	$\rightarrow$	PLUS-INFINITY
		MINUS-INFINITY
$Octet String \ Value$	$\rightarrow$	bstring
		hstring
BitStringValue	$\rightarrow$	bstring
		hstring
		"{" IdentifierList "}"
TI CO TO		"{" "}"
${\bf Identifier List}$	$\rightarrow$	identifier
		IdentifierList "," identifier
NullValue	$\rightarrow$	NULL

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```
Sequence Value
                                 "{" ElementValueList "}"
                                 "{" "}"
"{" ElementValueList "}"
SetValue
                                 "{" "}"
                                 {\bf Named Value}
Element Value List
                                 ElementValueList "," NamedValue
                                 [identifier ":"] Value
Choice Value \\
 And not:
            ChoiceValue \rightarrow NamedValue
                                          (Technical corrigendum).
Selection Value \\
                                 Value
 And not:
            Selection\,Value\,\rightarrow\,{\tt NamedValue}
                                           ({\bf Technical\ corrigendum}).
Sequence Of Value
                                 "{" ValueList "}"
                                 "{" "}"
                                 "{" ValueList "}"
Set Of Value
                                 "{" "}"
ValueList
                                 Value
                                 ValueList "," Value
Tagged\,Value
                                 Value
                                 Type ":" Value
Any Value
 And not:
            Any Value \rightarrow \text{Type Value}
                                      (Technical corrigendum).
                                 "{" ObjIdComponent+ "}"
Object Identifier {\it Value}
Character String \ Value
                                 cstring
```

 $_{\rm Inria}$ 

#### 3.3.2 Step 1

We'll take care that the tokens bstring and hstring are merged to basednumber. Cf. (6.2). On the other hand, the productions in double are not immediately merged for sake of clarity. Notice that the terminals "0", "2" and "10" become the token number. (It's just what does a lexical analyser.)

```
      Value
      → BuiltIn Value

      | Integer Value
      Null Value

      | Choice Value
      Selection Value

      | Tagged Value
      Any Value

      | Enumerated Value
      Enumerated Value

      | [upper mod "."] lower val

      Partial expansion of 'Integer Value', 'Null Value', 'Choice Value', 'Selection Value', 'Tagged Value', 'Any Value' and 'Enumerated Value' of the rule 'BuiltIn Value'.

      Global expansion of 'Defined Value'.
```

 $\label{local-continuous} Global\ expansion\ of\ `Boolean Value',\ `Object Identifier Value',\ `Character String Value' \\ and\ `Octet String Value'.$ 

 $\label{lem:condition} Arden of 'Identifier List' and global expansion, and then bifix factorisation in 'BitString Value'.$  Global expansion of 'BitString Value'.

 $\label{lem:and:sequenceValue} Arden of `Element Value List' and global expansion, and then bifix factorisation in `Sequence Value' and `Set Value'.$ 

Global expansion of 'Sequence Value' and 'Set Value'.

Arden of 'ValueList' and global expansion, and then bifix factorisation in 'SequenceOfValue' and 'SetOfValue'.

Global expansion of 'Sequence Of Value' and 'Set Of Value'.

Subsection 'RealValue': total expansion of 'SignedNumber' in 'Mantissa' and 'Exponent'.

Global expansion of 'Mantissa', 'Base' (Base → number) and 'Exponent'.

 ${\bf Global\ expansion\ of\ `Numeric Real Value',\ `Special Real Value'\ and\ `Real Value''.}$ 

NamedValue	$\overset{\rightarrow}{\mid}$	${f lower}_{id}$ Value Value
Integer Value	$\overset{\rightarrow}{\mid}$	$ hinspace{"-"}$ number $ hinspace{lower}_{id}$
Global expansion of '	Signed	l.Number'.
$Enumerated \ Value$	$\rightarrow$	${ t lower}_{id}$
NullValue	$\rightarrow$	NULL
Choice Value	$\rightarrow$	$[lower_{id} \ ":"] \ \mathrm{Value}$
Selection Value	$\rightarrow$	Value
Tagged  Value	$\rightarrow$	Value
Any Value	$\rightarrow$	Type ":" Value

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### 3.3.3 Step 2

```
      Value
      → BuiltIn Value

      | ["-"] number

      | lower<sub>id</sub>

      | NULL

      | lower<sub>id</sub> ":"] Value

      | Value

      | Value

      | lower<sub>id</sub>

      | lupper<sub>mod</sub> "."] lower<sub>val</sub>

      | number

Global expansion of 'Integer Value', 'Null Value', 'Choice Value', 'Selection Value', 'Tagged Value', 'Any Value', and 'Enumerated Value'.

Partial expansion of BuiltIn Value → number
```

```
BuiltInValue → TRUE

| FALSE
| PLUS-INFINITY
| MINUS-INFINITY
| basednum
| string
| "{" BetBraces "}"

BetBraces → {NamedValue "," ...}*
| ObjIdComponent+
| ["-"] number "," number "," ["-"] number
```

```
Arden lemma (Elimination of redundant Value \rightarrow Value | \dots \rangle.

Elimination of the production "{" {lower}_id "." ...}* "}"

because "{" {Value "." ...}* "}" \Rightarrow "{" {lower}_id "." ...}* "}"

Elimination of the production "{" {Value "." ...}* "}"

because "{" {NamedValue "." ...}* "}" \Rightarrow "{" {Value "." ...}* "}"

Bifix factorisation ('BetBraces').
```

```
egin{array}{lll} Named Value & 
ightarrow & {\sf lower}_{id} \ & {\sf Value} \ & & & & & \end{array}
```

### 3.3.4 Step 3

```
\begin{array}{cccc} \underline{Value} & \longrightarrow & \text{BuiltInValue} \\ & & [\text{``-''}] \text{ number} \\ & & \text{NULL} \\ & & \text{lower} [\text{``:''} \text{ Value}] \\ & & & \text{Type ``:''} \text{ Value} \\ & & & \text{upper}_{mod} \text{``.''} \text{ lower}_{val} \end{array}
```

Elimination of redundancies. Arden of '<u>Value</u>'. Prefix factorisation of lower.

```
Option of 'BetBraces'.

Elimination of the third production of 'BetBraces' because:

\{\text{NamedValue "," ...}\}^+ \stackrel{+}{\Longrightarrow} [\text{","}] \text{ number "," number "," ["-"] number}
```

```
egin{array}{lll} Named Value & 
ightarrow & {
m lower}_{id} \ {
m Value} \ & {
m Value} \end{array}
```

### 3.3.5 Step 4

We make LL(1) the rule 'Value', and then 'Named Value'.

Total expansion of 'Type'.

```
Prefix factorisations.

Reduction ('AuxVal0').

The reason is given in (3.3.6).
```

AuxVal0Valueupper AuxVal1 lower [AuxVal2] ["-"] number  ${\bf Built In Value}$ AuxVal0 AuxType ":" Value NULL [SpecVal] AuxVal1 SpecVal"."  ${\bf AuxVal}{\bf 11}$ ["<" Type] ":" Value AuxVal2 AuxVal11  $\mathtt{upper}_{typ} \; \mathrm{SpecVal}$  ${ t lower}_{val}$ SubtypeSpec\* ":" Value SpecVal

Converse expansion ('SpecVal').

Prefix factorisation of 'AuxVal1' ('AuxVal11').

 $egin{array}{lll} Named Value & 
ightarrow & lower_{id} & Value \\ & | & AuxVal0 \\ & | & upper & AuxVal1 \\ & | & lower & [AuxVal2] \\ & | & ["-"] & number \end{array}$ 

Expansion of 'Value'.

 $\begin{array}{ccc} {\rm NamedValSuf} & \to & {\rm Value} \\ & | & {\rm AuxVal2} \end{array}$ 

Prefix factorisation.

#### 3.3.6 Step 5

We now make LL(1) the rule 'BetBraces'.

```
\begin{array}{lll} BetBraces & \to & NamedValue \ [\text{``,''} \ \{NamedValue \ \text{``,''} \ \dots \}^+] \\ & | & ObjIdComponent \ ObjIdComponent^* \end{array}
```

Rational operations.

Converse expansion ('AuxNamed').

Total expansion of 'Named Value'.

Total expansion of 'ObjIdComponent'.

Cf. (3.1.3).

```
AuxVal0 [AuxNamed]
BetBraces
                  "-" number [AuxNamed]
                  lower [AuxBet1]
                  upper AuxBet2
                  number [AuxBet3]
                  "(" ClassNumber ")" ObjIdComponent*
AuxBet1
                  ObjIdComponent<sup>+</sup>
                  NamedValSuf [AuxNamed]
                  AuxNamed
                  AuxVal1 [AuxNamed]
AuxBet2
                  "." lower<sub>val</sub> ObjIdComponent*
                  ObjIdComponent<sup>+</sup>
AuxBet3
                  AuxNamed
```

Prefix factorisations and development of 'AuxBet1'.

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```
AuxBet1
                   "(" ClassNumber ")" ObjIdComponent*
                   AuxNamed
                   ObjIdComponent ObjIdComponent*
                   ObjIdComponent ObjIdComponent*
                   ObjIdComponent ObjIdComponent*
                   Value [AuxNamed]
                   AuxVal2 [AuxNamed]
AuxBet2
                  SpecVal [AuxNamed]
                   "." upper<sub>typ</sub> SpecVal [AuxNamed]
"." lower<sub>val</sub> [AuxNamed]
                   "." lowerval ObjIdComponent*
 Rational operation in 'AuxBet1'.
 Total expansion of 'NamedValSuf'.
 Total expansion of 'AuxVal1' in 'AuxBet2', and then of 'AuxVal11'.
                   "(" ClassNumber ")" ObjIdComponent*
AuxBet1
                   AuxNamed
                  AuxVal2 [AuxNamed]
                  number ObjIdComponent*
                  upper<sub>mod</sub> "." lower<sub>val</sub> ObjIdComponent*
lower ["(" ClassNumber ")"] ObjIdComponent*
                   AuxVal0 [AuxNamed]
                   upper AuxVal1 [AuxNamed]
                   lower [AuxVal2] [AuxNamed]
                   ["-"] number [AuxNamed]
AuxBet2
                   SpecVal [AuxNamed]
                   "." AuxBet21
AuxBet21
                  upper_{typ} SpecVal [AuxNamed]
                   lower_{val} [AuxBet3]
 Total expansion of 'ObjectIdComponent'.
 Total expansion of 'Value'.
 Prefix factorisation of 'AuxBet2'.
   We recognize 'AuxBet3'.
```

```
AuxBet1 → "(" ClassNumber ")" ObjIdComponent*

| AuxNamed | AuxVal2 [AuxNamed] | "-" number [AuxNamed] | AuxVal0 [AuxNamed] |
| lower [AuxBet11] | number [AuxBet3] | upper AuxBet2

AuxBet11 → "(" ClassNumber ")" ObjIdComponent* | ObjIdComponent+ | AuxVal2 [AuxNamed] | AuxNamed
```

Prefix factorisations in 'AuxBet1' ('AuxBet11') where we recognize 'AuxBet3' and 'AuxBet2'. We develop 'AuxBet11'.

NOTA: We did not put here the rules 'AuxBet2' and 'AuxBet21'.

## 3.3.7 Step 6

We recall the result of previous transformations.

$\underline{Value}$	$\overset{\rightarrow}{\mid}$	AuxVal0 upper AuxVal1
AuxVal0	     	lower [AuxVal2] ["-"] number BuiltInValue AuxType ":" Value
AuxVal1	$\stackrel{ }{\rightarrow}$	NULL [SpecVal] SpecVal "." AuxVal11
AuxVal2	$\rightarrow$	["<" Type] ":" Value
AuxVal11	$\rightarrow$	$\operatorname{upper}_{typ}\operatorname{SpecVal}$
	1	$lower_{val}$
${\rm SpecVal}$	$\rightarrow$	SubtypeSpec* ":" Value
BuiltInValue		TRITE
Buittinvalue	$\rightarrow$	TRUE FALSE
		PLUS-INFINITY
		MINUS-INFINITY
		basednum
		string
		"{" [BetBraces] "}"

```
BetBraces
                   AuxVal0 [AuxNamed]
                   "-" number [AuxNamed]
                   lower [AuxBet1]
                   upper AuxBet2
                   number [AuxBet3]
                   "(" ClassNumber ")" ObjIdComponent*
AuxBet1
                   {\bf AuxNamed}
                   AuxVal2 [AuxNamed]
                   "-" number [AuxNamed]
                   AuxVal0 [AuxNamed]
                   lower [AuxBet11]
                   number [AuxBet3]
                   upper AuxBet2
AuxBet2
                   SpecVal [AuxNamed]
                   "." AuxBet21
                   ObjIdComponent<sup>+</sup>
AuxBet3
                   AuxNamed
                   "(" ClassNumber ")" ObjIdComponent*
AuxBet11
                   ObjIdComponent+
                   AuxVal2 [AuxNamed]
                   AuxNamed
AuxBet21
                   upper_{typ} SpecVal [AuxNamed]
                   lower_{val} [AuxBet3]
AuxNamed
                   "," \{NamedValue "," \dots\}^+
NamedValue
                   lower [NamedValSuf]
                   {\tt upper} \ {\rm AuxVal} 1
                   ["-"] number
                   AuxVal0
NamedValSuf
                   Value
                   AuxVal2
```

# 3.4 Subtypes

## 3.4.1 Step 0

We present here the standard notation for ASN.1 subtypes grammar, after restructuring. Notice we recover the rule 'SizeConstraint', coming from the section (3.2).

$\frac{SubtypeSpec}{\text{SubtypeValueSetList}}$ $\text{SubtypeValueSet}$	→	"(" SubtypeValueSet SubtypeValueSetList ")" " " SubtypeValueSet SubtypeValueSetList $\varepsilon$ SingleValue ContainedSubtype ValueRange PermittedAlphabet SizeConstraint InnerTypeConstraints
SingleValue	$\rightarrow$	Value
Contained Subtype	$\rightarrow$	INCLUDES Type
ValueRange LowerEndPoint UpperEndPoint LowerEndValue UpperEndValue	→ →   →   →   →     →     →     →       →         →	LowerEndPoint "" UpperEndPoint LowerEndValue LowerEndValue "<" UpperEndValue "<" UpperEndValue Value MIN Value MAX
Size Constraint	$\rightarrow$	SIZE SubtypeSpec
Permitted Alpha bet	$\rightarrow$	FROM SubtypeSpec

Inner Type Constraints	$\rightarrow$	WITH COMPONENT SingleTypeConstraint
		WITH COMPONENTS MultipleTypeConstraints
${ m Single Type Constraint}$	$\rightarrow$	$\operatorname{SubtypeSpec}$
${ m Multiple Type Constraints}$	$\rightarrow$	FullSpecification
		PartialSpecification
FullSpecification	$\rightarrow$	"{" TypeConstraints "}"
PartialSpecification	$\rightarrow$	"{" "" "," TypeConstraints "}"
Type Constraints	$\rightarrow$	NamedConstraint
		NamedConstraint "," TypeConstraints
${f Named Constraint}$	$\rightarrow$	identifier Constraint
		Constraint
Constraint	$\rightarrow$	ValueConstraint PresenceConstraint
Value Constraint	$\rightarrow$	SubtypeSpec
		arepsilon
PresenceConstraint	$\rightarrow$	PRESENT
		ABSENT
	İ	OPTIONAL
	j	arepsilon

### 3.4.2 Step 1

```
"(" {SubtypeValueSet "|" ... }+ ")"
SubtypeSpec
\overline{\text{SubtypeValueSet}}
                            SingleValue
                             {\bf Contained Subtype}
                             ValueRange
                            PermittedAlphabet
                             SizeConstraint
                            Inner Type Constraints \\
 Arden of 'SubtypeValueSetList', and then global expansion.
Single\,Value
                             Value
Contained Subtype \\
                            INCLUDES Type
                            {\bf LowerEndValue}~["<"]~".."~["<"]~{\bf UpperEndValue}
ValueRange
Lower End Value \\
                             Value
                            MIN
UpperEndValue
                             Value
                            MAX
 Prefix factorisation of 'LowerEndPoint'.
 Suffix factorisation of 'UpperEndPoint'.
 Global\ expansion\ of\ `LowerEndPoint'\ and\ `UpperEndPoint'.
Size Constraint \\
                             SIZE SubtypeSpec
PermittedAlphabet \rightarrow
                            FROM SubtypeSpec
```

 $InnerTypeConstraints \rightarrow WITH InnerTypeSuf$ 

 $InnerTypeSuf \qquad \qquad \rightarrow \quad COMPONENT \ SubtypeSpec$ 

COMPONENTS MultipleTypeConstraints

NamedConstraint  $\rightarrow$  [lower<sub>id</sub>] Constraint

Constraint  $\rightarrow$  [SubtypeSpec] [PresenceConstraint]

 $\begin{array}{ccc} \text{PresenceConstraint} & & \rightarrow & \text{PRESENT} \end{array}$ 

| ABSENT | OPTIONAL

 ${\bf Global\ expansion\ of\ `Single Type Constraint'}.$ 

Prefix factorisation of 'InnerTypeConstraints' ('InnerTypeSuf').

 ${\bf Global\ expansion\ of\ `Full Specification'\ and\ `Partial Specification'}.$ 

Bifix factorisation of 'MultipleTypeConstraints'.

Arden of 'TypeConstraints'.

Suffix factorisation of 'NamedConstraint'.

Option of 'ValueConstraint', and then global expansion.

Option of 'PresenceConstraint'.

## 3.4.3 Step 2

```
"(" {SubtypeValueSet "|" \dots}+ ")"
SubtypeSpec
SubtypeValueSet
                               Value
                               INCLUDES Type
                               {\bf LowerEndValue}~["<"]~"."~["<"]~{\bf UpperEndValue}
                               FROM SubtypeSpec
                               {\bf SIZE~SubtypeSpec}
                               WITH InnerTypeSuf
LowerEndValue
                               Value
                               MIN
UpperEndValue
                               Value
                               MAX
InnerTypeSuf
                               COMPONENT SubtypeSpec
                               COMPONENTS MultipleTypeConstraints
                               "{" ["..." ","] {[NamedConstraint] "," ...} "}"
\\ Multiple Type Constraints
NamedConstraint
                               lower_{id} [SubtypeSpec] [PresenceConstraint]
                               SubtypeSpec [PresenceConstraint]
                               {\bf Presence Constraint}
{\bf Presence Constraint}
                               PRESENT
                               ABSENT
                               OPTIONAL
```

```
Global expansion of 'SingleValue'.

Global expansion of 'ContainedSubtype'.

Global expansion of 'ValueRange'.

Global expansion of 'PermittedAlphabet'.

Global expansion of 'SizeConstraint'.

Global expansion of 'InnerTypeConstraints'.

Global expansion of 'TypeConstraints'.

Global expansion of 'Constraint' and then option of 'NamedConstraint'.
```

#### 3.4.4 Step 3

```
SubtypeSpec
                               "(" {SubtypeValueSet "|" ... }+ ")"
<u>SubtypeValueSet</u>
                               Value [SubValSetSuf]
                               INCLUDES Type
                               {\bf MIN~SubValSetSuf}
                               FROM SubtypeSpec
                               {\bf SIZE~SubtypeSpec}
                               WITH InnerTypeSuf
SubValSetSuf
                               ["<"] ".." ["<"] UpperEndValue
UpperEndValue
                               Value
                               MAX
InnerTypeSuf
                               COMPONENT SubtypeSpec
                               COMPONENTS MultipleTypeConstraints
\\ Multiple Type Constraints
                               "{" ["..." ","] {[NamedConstraint] "," ...} "}"
                               lower<sub>id</sub> [SubtypeSpec] [PresenceConstraint]
NamedConstraint
                               SubtypeSpec [PresenceConstraint]
                               {\bf Presence Constraint}
PresenceConstraint
                               PRESENT
                               ABSENT
                               OPTIONAL
```

Global expansion of 'LowerEndValue'.

 $Prefix\ factorisation\ of\ `SubtypeValueSet'\ (`SubValSetSuf').$ 

#### 3.4.5 Step 4

A subtle problem arises, impeding the grammar to be LL(1). We indeed previously obtain (Cf. 3.3):

```
\begin{array}{c|cccc} \underline{Value} & \to & \operatorname{AuxVal0} \\ & | & \operatorname{upper} \operatorname{AuxVal1} \\ & | & \operatorname{lower} \left[ \operatorname{AuxVal2} \right] \\ & | & \left[ \text{``-''} \right] \operatorname{number} \\ \operatorname{AuxVal2} & \to & \left[ \text{``<''} \operatorname{Type} \right] \text{``:''} \operatorname{Value} \end{array}
```

The third production of '<u>Value</u>' implies that the terminal "<" must not be a possible symbol after an occurrence of '<u>Value</u>', otherwise we did not comply with the third condition defining an LL(1) grammar — cf. (4). But we happen to have formed:

That implies that "<" is a possible symbol after 'Value'...

We are going to show that we are able to remove this difficulty. The sketch is to make appear the sub-word 'Value [SubValSetSuf]' everywhere it's possible thanks to some expansions, and to form a rule with it. We'll try next to transform this rule in order to get a definition never producing a word containing 'Value [SubValSetSuf]': at each occurrence of it (in the dependant rules or in the rule itself) we'll substitute a call to this rule. Notice it was not sure a priori that such a solution existed.

Converse factorisation (SVSAux).

#### Total expansion of 'Value'.

Total expansion of 'AuxVal0'.

Converse expansions ('SVSAux1' and 'SVSAux2').

```
SVSAux
               BuiltInValue [SubValSetSuf]
               AuxType ":" SVSAux
               NULL [SVSAux3]
               upper SVSAux1
               lower [SVSAux2]
               ["-"] number [SubValSetSuf]
SVSAux1
               SpecVal [SubValSetSuf]
               "." AuxVal11 [SubValSetSuf]
               ["<" Type] ":" Value [SubValSetSuf]
SVSAux2
               ["<"] ".." ["<"] UpperEndValue
SVSAux3
               SpecVal [SubValSetSuf]
               {\bf SubValSetSuf}
```

```
Converse expansion ('SVSAux3').

Total expansion of 'AuxVal1'.

Total expansion of 'AuxVal2' and 'SubValSetSuf'.

Converse expansions ('SVSAux1' and 'SVSAux2').
```

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```
SVSAux
                  BuiltInValue [SubValSetSuf]
                  AuxType ":" SVSAux
                  NULL [SVSAux3]
                  upper SVSAux1
                  lower [SVSAux2]
                  ["-"] number [SubValSetSuf]
                 SubtypeSpec* ":" Value [SubValSetSuf]
SVSAux1
                  "." SVSAux11
SVSAux2
                  ":" SVSAux
                  ".." ["<"] UpperEndValue
                  "<" SVSAux21
                  SubtypeSpec* ":" Value [SubValSetSuf]
{\rm SVSAux3}
                  {\bf SubValSetSuf}
SVSAux11
                  {\tt upper}_{typ} \; {\tt SpecVal} \; [{\tt SubValSetSuf}]
                  lower<sub>val</sub> [SubValSetSuf]
                  Type ":" SVSAux
SVSAux21
                  ".." ["<"] UpperEndValue
```

We recognize 'SVSAux' in 'SVSAux2', and then prefix factorisation ('SVSAux21').

Total expansion of 'SpecVal' in 'SVSAux1' and 'SVSAux3'.

Converse total expansion of 'SVSAux11' ('SVSAux11'):

SVSAux11 → AuxVal11 [SubValSetSuf]

and then total expansion of 'AuxVal11' in 'SVSAux11'.

```
SVSAux
                 BuiltInValue [SubValSetSuf]
                 AuxType ":" SVSAux
                 NULL [SVSAux3]
                 upper SVSAux1
                 lower [SVSAux2]
                 ["-"] number [SubValSetSuf]
                SubtypeSpec* ":" SVSAux
SVSAux1
                 "." SVSAux11
SVSAux2
                 ":" SVSAux
                 ".." ["<"] UpperEndValue
                 "<" SVSAux21
                 SubtypeSpec* ":" SVSAux
SVSAux3
                 {\bf SubValSetSuf}
                 {\tt upper}_{typ} \; {\tt SubtypeSpec^*} \; ":" \; {\tt SVSAux}
SVSAux11
                 lower<sub>val</sub> [SubValSetSuf]
                 Type ":" SVSAux
SVSAux21
                  ".." ["<"] UpperEndValue
```

Total expansion of 'SpecVal' in 'SVSAux11'.

We recognize 'SVSAux' in 'SVSAux1', 'SVSAux3' and 'SVSAux11'.

## 3.4.6 Step 5

Here stands the result of the previous sequence of transformations.

$rac{SubtypeSpec}{SubtypeValueSet}$	→ →       	"(" {SubtypeValueSet " "}+ ")" INCLUDES Type MIN SubValSetSuf FROM SubtypeSpec SIZE SubtypeSpec WITH InnerTypeSuf SVSAux
InnerTypeSuf  MultipleTypeConstraints	$\begin{array}{c} \rightarrow \\  \\ \rightarrow \end{array}$	COMPONENT SubtypeSpec COMPONENTS MultipleTypeConstraints "{" ["" ","] {[NamedConstraint] ","} "}"
NamedConstraint	$\stackrel{'}{\rightarrow}$	lower <sub>id</sub> [SubtypeSpec] [PresenceConstraint] SubtypeSpec [PresenceConstraint] PresenceConstraint
PresenceConstraint	$\stackrel{ }{\rightarrow}$	PRESENT ABSENT OPTIONAL
SubValSetSuf UpperEndValue	$\overset{\rightarrow}{\rightarrow}$	["<"] "" ["<"] UpperEndValue Value MAX
SVSAux	→       	BuiltInValue [SubValSetSuf] AuxType ":" SVSAux NULL [SVSAux3] upper SVSAux1 lower [SVSAux2] ["-"] number [SubValSetSuf]
SVSAux1	$\stackrel{ }{\rightarrow}$	SubtypeSpec* ":" SVSAux "." SVSAux11
SVSAux2	$\stackrel{ }{\rightarrow}$	":" SVSAux "" ["<"] UpperEndValue "<" SVSAux21
SVSAux3	$\stackrel{ }{\rightarrow}$	SubtypeSpec* ":" SVSAux SubValSetSuf
SVSAux11	$\stackrel{\ }{\rightarrow}$	${\tt upper}_{typ} \; {\tt SubtypeSpec^*} \; ":" \; {\tt SVSAux} \ {\tt lower}_{val} \; [{\tt SubValSetSuf}]$
SVSAux21	→ 	Type ":" SVSAux "" ["<"] UpperEndValue

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# 3.5 The new ASN.1:1990 grammar

We give here the new ASN.1:1990 grammar we got thanks to the previous transformations. It describes exactly the same language as the standard grammar of ISO (modulo the three corrigenda).

		MODULES
ModuleDefinition	$\rightarrow$	ModuleIdentifier DEFINITIONS [TagDefault TAGS] "::=" BEGIN [ModuleBody] END
ModuleIdentifier ObjIdComponent	→ →   	$\begin{array}{l} \operatorname{upper}_{mod} \ [\text{``}\{\text{''} \ \operatorname{ObjIdComponent}^+ \ \text{``}\}\text{''}] \\ \operatorname{number} \\ \operatorname{upper}_{mod} \ \text{``.''} \ \operatorname{lower}_{val} \\ \operatorname{lower} \ [\text{``}(\text{''} \ \operatorname{ClassNumber} \ \text{``})\text{''}] \end{array}$
$\underline{TagDefault}$	<b>→</b>	EXPLICIT IMPLICIT
ModuleBody Exports Imports SymbolsFromModule Symbol	$\begin{array}{c} \rightarrow \\ \rightarrow \\ \rightarrow \\ \rightarrow \\ \rightarrow \\ \end{array}$	( / , , , , , , , , , , , , , , , , , ,
Assignment	<b>→</b>	$\operatorname{upper}_{typ}$ "::=" Type $\operatorname{lower}_{val}$ Type "::=" Value

#### **TYPES**

```
lower_{id} "<" Type
Type
                  upper ["." upper<sub>typ</sub>] SubtypeSpec*
                  NULL SubtypeSpec*
                  AuxType
                  "[" [Class] ClassNumber "]" [TagDefault] Type
AuxType
                  BuiltInType SubtypeSpec*
                  SetSeq [TypeSuf]
SetSeq
                  SET
                  SEQUENCE
TypeSuf
                  SubtypeSpec+
                   "{" {ElementType "," ...}* "}" SubtypeSpec*
                   [SIZE SubtypeSpec] OF Type
BuiltIn\,Type
                  BOOLEAN
                  INTEGER ["{" {NamedNumber "," ...} + "}"] BIT STRING ["{" {NamedBit "," ...} + "}"]
                   OCTET STRING
                  CHOICE "{" {NamedType "," \dots}+ "}"
                   ANY [DEFINED BY lower_{id}]
                   OBJECT IDENTIFIER
                  ENUMERATED "{" {NamedNumber "," ...}+ "}"
                  REAL
                   "NumericString"
                   "PrintableString"
                   "TeletexString"
                   "T61String"
                   "VideotexString"
                   "VisibleString"
                   "ISO646String"
                   "IA5String"
                   "GraphicString"
                   "GeneralString"
                   EXTERNAL
                   "UTCTime"
                   "GeneralizedTime"
                   "ObjectDescriptor"
```

NamedType	→     	$lower_{id}$ ["<"] Type $upper$ ["." $upper_{typ}$ ] SubtypeSpec* NULL SubtypeSpec* AuxType
$NamedNumber \ AuxNamedNum$		$lower_{id}$ "(" $AuxNamedNum$ ")" ["-"] $number$ [ $upper_{mod}$ "."] $lower_{val}$
NamedBit	$\rightarrow$	$lower_{id}$ "(" ClassNumber ")"
ElementType $ElementTypeSuf$		NamedType [ElementTypeSuf] COMPONENTS OF Type OPTIONAL DEFAULT Value
Class $Class Number$		$\begin{array}{c} \text{UNIVERSAL} \\ \text{APPLICATION} \\ \text{PRIVATE} \\ \text{number} \\ [\text{upper}_{mod} \text{ "."}] \text{ lower}_{val} \end{array}$

## VALUES

$\underline{Value}$	$\rightarrow$	AuxVal0 upper AuxVal1
		lower [AuxVal2]
	İ	["-"] number
Aux Val0	$\rightarrow$	BuiltInValue
		AuxType ":" Value
		NULL [SpecVal]
AuxVal1	$\rightarrow$	$\operatorname{SpecVal}$
		"." AuxVal11
AuxVal2	$\rightarrow$	["<" Type] ":" Value
AuxVal11	$\rightarrow$	$\mathtt{upper}_{typ}\ \mathrm{SpecVal}$
		${ t lower}_{val}$
${ m SpecVal}$	$\rightarrow$	SubtypeSpec* ":" Value

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```
BuiltInValue
                   TRUE
                   FALSE
                   PLUS-INFINITY
                   MINUS-INFINITY
                   basednum
                   string
                    "{" [BetBraces] "}"
                   AuxVal0 [AuxNamed]
BetBraces
                    "-" number [AuxNamed]
                   lower [AuxBet1]
                   upper AuxBet2
                   number [AuxBet3]
                    "(" ClassNumber ")" ObjIdComponent*
AuxBet1
                   AuxNamed
                   AuxVal2 [AuxNamed]
                    "-" number [AuxNamed]
                   AuxVal0 [AuxNamed]
                   lower [AuxBet11]
                   number [AuxBet3]
                   upper AuxBet2
AuxBet2
                   SpecVal [AuxNamed]
                   "." AuxBet21
                   {\bf ObjIdComponent}^+
AuxBet3
                   {\bf AuxNamed}
AuxBet11
                   "(" ClassNumber ")" ObjIdComponent*
                   {\bf ObjIdComponent}^+
                   AuxVal2 [AuxNamed]
                   {\bf AuxNamed}
AuxBet21
                   upper_{typ} SpecVal [AuxNamed]
                   lower_{val} [AuxBet3]
AuxNamed
                   "," {NamedValue "," ... }+
                   lower [NamedValSuf]
Named Value
                   {\tt upper} \ {\rm AuxVal} 1
                   ["-"] number
                   AuxVal0
NamedValSuf
                   Value
                   AuxVal2
```

	SUBTYPES
$\frac{SubtypeSpec}{\text{SubtypeValueSet}}$	<ul> <li>→ "(" {SubtypeValueSet " "}+ ")"</li> <li>→ INCLUDES Type</li> <li>  MIN SubValSetSuf</li> <li>  FROM SubtypeSpec</li> <li>  SIZE SubtypeSpec</li> <li>  WITH InnerTypeSuf</li> <li>  SVSAux</li> </ul>
SubValSetSuf	→ ["<"] "" ["<"] UpperEndValue
UpperEndValue	→ Value   MAX
Inner Type Suf	<ul> <li>→ COMPONENT SubtypeSpec</li> <li>  COMPONENTS MultipleTypeConstraints</li> </ul>
$\label{eq:MultipleTypeConstraints} \\ NamedConstraint$	→ "{" ["" ","] {[NamedConstraint] ","} " → lower <sub>id</sub> [SubtypeSpec] [PresenceConstraint]   SubtypeSpec [PresenceConstraint]   PresenceConstraint
PresenceConstraint	→ PRESENT   ABSENT   OPTIONAL
SVSAux	<ul> <li>→ BuiltInValue [SubValSetSuf]</li> <li>  AuxType ":" SVSAux</li> <li>  NULL [SVSAux3]</li> <li>  upper SVSAux1</li> <li>  lower [SVSAux2]</li> <li>  ["-"] number [SubValSetSuf]</li> </ul>
SVSAux1	→ SubtypeSpec* ":" SVSAux    "." SVSAux11
SVSAux2	→ ":" SVSAux   "." ["<"] UpperEndValue   "<" SVSAux21
SVSAux3	→ SubtypeSpec* ":" SVSAux   SubValSetSuf
SVSAux11	$\begin{array}{ll} \longrightarrow & \text{upper}_{typ} \text{ SubtypeSpec}^* \text{ ":" SVSAux} \\ &   \text{lower}_{val} \text{ [SubValSetSuf]} \end{array}$
SVSAux21	

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## 4 LL(1) checking

We bring here the proof that the previous grammar, obtained after a lot of transformations, is LL(1). We first give an exact definition of the LL(1) property.

### 4.1 Definition of the LL(1) property

LL(1) grammars generate languages that can be top-down parsed, with one token of look-ahead and no back-tracking ( $\underline{L}$ eft to right scanning of the input, building a  $\underline{L}$ eftmost derivation with <u>one</u> token of look-ahead). In order to define them formally, it is necessary to introduce before two functions. We'll call  $\mathcal{N}$  the set of the nonterminals and  $\Sigma$  the set of the terminals.

#### 4.1.1 The First function

The first function  $\mathcal{P}$  is defined as:

$$\forall A \in \mathcal{N}, \ \mathcal{P}(A) = \{ x \in \Sigma \cup \{ \varepsilon \} \mid A \stackrel{*}{\Longrightarrow} x\alpha \ and \ x \neq \varepsilon \ or \ A \stackrel{*}{\Longrightarrow} x \}$$

#### 4.1.2 The Follow function

The follow function S is defined as:

$$\forall A \in \mathcal{N}, \ \mathcal{S}(A) = \{x \in \Sigma \mid \exists B \in \mathcal{N}, B \stackrel{*}{\Longrightarrow} \alpha A x \beta \ or \ B \stackrel{*}{\Longrightarrow} \alpha A x \}$$

#### 4.1.3 LL(1) definition

We note here the implication relation by "\=", in order to avoid any confusion with the "\==" relation. A grammar is LL(1) if and only if it satisfies the following properties:

$$\forall A \in \mathcal{N}, \ \neg(A \stackrel{*}{\Longrightarrow} A\alpha) \tag{P1}$$

$$A \to \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_n \quad \models \quad \bigcap_{i=1}^n \mathcal{P}(\alpha_i) = \emptyset$$
 (P2)

$$A \to \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_n \quad et \quad \alpha_1 \stackrel{*}{\Longrightarrow} \varepsilon \quad \models \quad \forall i \in [1, n], \ \mathcal{P}(\alpha_i) \cap \mathcal{S}(A) = \emptyset$$
 (P3)

#### 4.1.4 Extension to rational operators

We saw at section 1.3 the definition of the rational operators used in this ASN.1 grammar. We pointed out we could have considered rational expressions as being produced by a specific rule. We're going to extend the functions  $\mathcal{P}$  et  $\mathcal{S}$  to these expressions, and give a recursive and algorithmic definition.

New definition of 
$$\mathcal{P}$$
:
$$\begin{cases}
\mathcal{P}(\varepsilon) = \{\varepsilon\} \\
\mathcal{P}(x\gamma) = \{x\} \\
\mathcal{P}(B\gamma) = \mathcal{P}(B) \\
\mathcal{P}([\beta]\gamma) = \mathcal{P}(\beta) \cup \mathcal{P}(\gamma) \\
\mathcal{P}(\{B \text{ b...}\}^*\gamma) = \mathcal{P}(B) \cup \mathcal{P}(\gamma) \\
\mathcal{P}(\{B \text{ b...}\}^+\gamma) = \mathcal{P}(B) \\
\mathcal{P}(\{[B] \text{ b...}\}\gamma) = \mathcal{P}(B) \cup \{b\} \cup \mathcal{P}(\gamma) \\
\mathcal{P}(\beta^*\gamma) = \mathcal{P}(\beta) \cup \mathcal{P}(\gamma) \\
\mathcal{P}(\beta^+\gamma) = \mathcal{P}(\beta) \\
\mathcal{P}(A) = \bigcup_{i=1}^n \mathcal{P}(\alpha_i) \text{ if } A \to \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_n
\end{cases}$$

Notice that in order to ease the definition we extended  $\mathcal{P}$  to  $\varepsilon$  (in spite of  $\varepsilon$  never appears explicitly in the grammar) and  $\gamma$  can be  $\varepsilon$ .

New definition of S:

$X \to \dots \mid \alpha A B \beta$	=	S(A) = P(B)	
$X \to \dots \mid \alpha A \{B \ b \dots\}^+ \beta$	Ė	Idem.	
$X \to \dots \mid \alpha A[\beta] \gamma$	=	$\mathcal{S}(\mathrm{A}) = \left\{ \begin{array}{cc} if & \gamma \stackrel{*}{\Longrightarrow} \varepsilon \end{array} \right.$	alors $\mathcal{P}(\beta) \cup (\mathcal{P}(\gamma) \setminus \{\varepsilon\}) \cup \mathcal{S}(X)$ sinon $\mathcal{P}(\beta) \cup \mathcal{P}(\gamma)$
$X \to \dots \mid \alpha A \beta^* \gamma$	=	Idem.	
$X \to \dots \mid \alpha A$	=	S(A) = S(X)	
$X \to \dots \mid \alpha A x \beta$		$\mathcal{S}(\mathbf{A}) = \{x\}$	
$X \to \dots \mid \alpha A \beta^+ \gamma$		$S(A) = P(\beta)$	
$X \to \dots \mid \alpha A\{B \ b \dots\}^* \beta$	=	- ()	alors $\mathcal{P}(B) \cup (\mathcal{P}(\beta) \setminus \{\varepsilon\}) \cup \mathcal{S}(X)$ sinon $\mathcal{P}(B) \cup \mathcal{P}(\beta)$
$X \to \dots \mid \alpha A\{[B] \text{ b} \dots\} \gamma$	=	$\mathcal{S}(\mathbf{A}) = \left\{ \begin{array}{cc} si & \gamma \stackrel{*}{\Longrightarrow} \varepsilon \end{array} \right.$	alors $\mathcal{P}(B) \cup \{b\} \cup (\mathcal{P}(\gamma) \setminus \{\varepsilon\}) \cup \mathcal{S}(X)$ sinon $\mathcal{P}(B) \cup \{b\} \cup \mathcal{P}(\gamma)$
$X \to \dots \mid \alpha \{A \text{ a}\}^* \beta$	=	$\mathcal{S}(\mathbf{A}) = \left\{ \begin{array}{cc} si & \beta \stackrel{*}{\Longrightarrow} \varepsilon \end{array} \right.$	alors $\{a\} \cup (\mathcal{P}(\beta) \setminus \{\varepsilon\}) \cup \mathcal{S}(X)$ sinon $\{a\} \cup \mathcal{P}(\beta)$
$X \to \dots \mid \alpha \{A \ a \dots\}^+ \beta$	=	Idem.	
$X \to \dots \mid \alpha\{[A] \text{ a} \dots\}\beta$	=	Idem.	

Everywhere it is possible we can read the previous array substituting A by [A], A\* or A<sup>+</sup>.

It was the general definition but, in our study, grammars don't own explicit empty productions (Cf. 2). We can thus replace equation P3 by the following algorithm: at each occurrence of the rational expressions  $\alpha^*$ ,  $\alpha^+$ ,  $[\alpha]$ ,  $\{A \ a \dots\}^*$ , et  $\{A \ a \dots\}^+$ , consider it as being produced by a specific rule (without sharing) and check the following associated constraints:

Rational rule	Constraint
$X \to \alpha^*$	$\mathcal{P}(\alpha) \cap \mathcal{S}(X) = \emptyset$
$X \to \alpha^+$	$\mathcal{P}(\alpha) \cap \mathcal{S}(X) = \emptyset$
$X \to [\alpha]$	$\mathcal{P}(\alpha) \cap \mathcal{S}(X) = \emptyset$
$X \to \{A \ a \dots\}^*$	$(\mathcal{P}(A) \cup \{a\}) \cap \mathcal{S}(X) = \emptyset$
$X \to \{A \ a \dots\}^+$	$\{a\} \cap \mathcal{S}(X) = \emptyset$
$X \to \{[A] \ a \dots \}$	$(\mathcal{P}(A) \cup \{a\}) \cap \mathcal{S}(X) = \emptyset$

The fact to do not consider rule sharing ease the work if it is "hand-made": the cost is the same but the task is more localized at each step. A software could get rid of this election, of course.

## 4.2 LL(1) property checking of the new ASN.1:1990 grammar

### 4.2.1 Equation P1

We present in the following array the nonterminal which are generated at the head of each production. We easily check by transitive closure that no left recursion appears.

Rule	Head
ModuleDefinition	ModuleIdentifier
ModuleIdentifier	
ObjIdComponent	
TagDefault	
ModuleBody	Exports, Imports, Assignment
Exports	
Imports	
SymbolsFromModule	Symbol
Symbol	
Assignment	

Rule	Head
Туре	AuxType
AuxType	BuiltInType, SetSeq
SetSeq	Zandin 1, po, zeozeg
TypeSuf	SubtypeSpec
BuiltInType	
Named Type	AuxType
NamedNumber	114111111111111111111111111111111111111
${ m AuxNamedNum}$	
NamedBit	
ElementType	NamedType
Element TypeSuf	
Class	
ClassNumber	
Value	AuxVal0
AuxVal0	BuiltInValue, AuxType
AuxVal1	SpecVal
AuxVal2	Spectual
AuxVal11	
SpecVal	SubtypeSpec
BuiltInValue	Subty people
BetBraces	AuxVal0
AuxBet1	AuxNamed, AuxVal2, AuxVal0
AuxBet2	SpecVal
AuxBet3	ObjIdComponent, AuxNamed
AuxBet11	ObjIdComponent, AuxVal2, AuxNamed
AuxBet21	<b>J</b>
AuxNamed	
Named Value	AuxVal0
Named ValSuf	Value, AuxVal2
SubtypeSpec	, a.u.e, 11u.1 u.2
SubtypeSpec	SVSAux
SubValSetSuf	
UpperEndValue	Value
InnerTypeSuf	
MultipleTypeConstraints	
Named Constraint	SubtypeSpec, PresenceConstraint
PresenceConstraint	The state of the s
SVSAux	BuiltInValue, AuxType
SVSAux1	SubtypeSpec
SVSAux2	
SVSAux3	SubtypeSpec, SubValSetSuf
SVSAux11	
SVSAux21	Туре
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# 4.2.2 Equation P2

For all the rules we write the constraint imposed by equation P2, and then we calculate the sets  $\mathcal{P}(\alpha_i)$  necessary to solve it. For easy reading we won't write the constraint if all the  $\alpha_i$  are tokens (trivial). The same we'll reduce directly the  $\mathcal{P}(\alpha_i)$ , where  $\alpha_i$  is a rational expression, to their form without operator (Cf. 4.1.4).

Rule	Constraint
ModuleDefinition	
ModuleIdentifier	
ObjIdComponent	
TagDefault	
ModuleBody	
Exports	
Imports	
SymbolsFromModule	
Symbol	
Assignment	
Type	$\{ exttt{lower},  exttt{upper},  exttt{NULL}\} \cap \mathcal{P}( ext{AuxType}) = \emptyset$
AuxType	$\{\text{``["]} \cap \mathcal{P}(\text{BuiltInType}) \cap \mathcal{P}(\text{SetSeq}) = \emptyset$
SetSeq	
TypeSuf	$\mathcal{P}(\text{SubtypeSpec}) \cap \{\text{``{\{", SIZE, OF\}}} = \emptyset$
BuiltInType	
NamedType	$\{ exttt{lower},  exttt{upper},  exttt{NULL}\} \cap \mathcal{P}( ext{AuxType}) = \emptyset$
NamedNumber	
AuxNamedNum	
NamedBit	
ElementType	$\mathcal{P}(\text{NamedType}) \cap \{\text{COMPONENTS}\} = \emptyset$
ElementTypeSuf	
Class	
ClassNumber	

Rule	Constraint
Value	$\mathcal{P}(\mathrm{AuxVal0}) \cap \{\mathtt{upper},\mathtt{lower}, ext{``-''},\mathtt{number}\} = \emptyset$
AuxVal0	$\mathcal{P}(\text{BuiltInValue}) \cap \mathcal{P}(\text{AuxType}) \cap \{\text{NULL}\} = \emptyset$
AuxVal1	$\mathcal{P}(\operatorname{SpecVal}) \cap \{\text{``.''}\} = \emptyset$
AuxVal2	
AuxVal11	
${ m SpecVal}$	
$\operatorname{BuiltInValue}$	
$\operatorname{BetBraces}$	$\mathcal{P}(\mathrm{AuxVal0}) \cap \{ ext{``-"},  ext{lower},  ext{upper},  ext{number}\} = \emptyset$
AuxBet1	$\mathcal{P}(\mathrm{AuxNamed}) \cap \mathcal{P}(\mathrm{AuxVal2}) \cap \mathcal{P}(\mathrm{AuxVal0})$
	${}\cap \; \{\text{``(", "-", lower, upper, number}\} = \emptyset$
AuxBet2	$\mathcal{P}(\operatorname{SpecVal}) \cap \{\text{``.''}\} = \emptyset$
AuxBet3	$\mathcal{P}(\text{ObjIdComponent}) \cap \mathcal{P}(\text{AuxNamed}) = \emptyset$
AuxBet11	$\{\text{``(")} \cap \mathcal{P}(\text{ObjIdComponent}) \cap \mathcal{P}(\text{AuxVal2})$
	$\cap \mathcal{P}(\text{AuxNamed}) = \emptyset$
AuxBet21	
AuxNamed	
${ m NamedValue}$	$\mathcal{P}(\mathrm{AuxVal0}) \cap \{ ext{``-''},  ext{lower},  ext{upper},  ext{number}\} = \emptyset$
${ m NamedValSuf}$	$\mathcal{P}(\text{Value}) \cap \mathcal{P}(\text{AuxVal2}) = \emptyset$
SubtypeSpec	
SubtypeValueSet	$\{INCLUDES, MIN, FROM, SIZE, WITH\} \cap \mathcal{P}(SVSAux) = \emptyset$
SubValSetSuf	
${ m UpperEndValue}$	$\mathcal{P}(\text{Value}) \cap \{\text{MAX}\} = \emptyset$
InnerTypeSuf	
MultipleTypeConstraints	
NamedConstraint	$\{1ower\} \cap \mathcal{P}(SubtypeSpec) \cap \mathcal{P}(PresenceConstraint) = \emptyset$
${ m Presence Constraint}$	, , , , , , , , , , , , , , , , , , , ,
SVSAux	$\mathcal{P}(\text{BuiltInValue}) \cap \mathcal{P}(\text{AuxType})$
	$\cap \{ \text{NULL}, \text{upper}, \text{lower}, \text{"-", number} \} = \emptyset$
SVSAux1	$\mathcal{P}(\text{SubtypeSpec}) \cap \{\text{".", ":"}\} = \emptyset$
SVSAux2	
SVSAux3	$\mathcal{P}(\text{SubtypeSpec}) \cap \{\text{":"}\} \cap \mathcal{P}(\text{SubValSetSuf}) = \emptyset$
SVSAux11	
SVSAux21	$\mathcal{P}(Type) \cap \{\text{``.''}\} = \emptyset$

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Thus equation (2) is satisfied.

Finally, after simplification, the following set of equations must be satisfied:

```
\{ "["\} \cap \mathcal{P}(BuiltInType) \cap \mathcal{P}(SetSeq) = \emptyset
              \mathcal{P}(\text{SubtypeSpec}) \cap \{\text{``}\{\text{``},\text{``.''},\text{``.''},\text{SIZE, OF}\} = \emptyset
              \mathcal{P}(\mathrm{NamedType}) \cap \{\mathrm{COMPONENTS}\} = \emptyset
     (3)
              \mathcal{P}(\operatorname{SpecVal}) \cap \{\text{``"}\} = \emptyset
     (5)
              \mathcal{P}(\text{AuxNamed}) \cap \mathcal{P}(\text{AuxVal2}) \cap \mathcal{P}(\text{AuxVal0}) \cap \{\text{"(", "-", lower, upper, number}\} = \emptyset
     (6)
              \{"(") \cap \mathcal{P}(ObjIdComponent) \cap \mathcal{P}(AuxVal2) \cap \mathcal{P}(AuxNamed) = \emptyset
     (7)
              \mathcal{P}(Value) \cap \mathcal{P}(AuxVal2) = \emptyset
     (8)
              \mathcal{P}(Value) \cap \{MAX\} = \emptyset
     (9)
              {INCLUDES, MIN, FROM, SIZE, WITH} \cap \mathcal{P}(SVSAux) = \emptyset
    (10)
              \{lower\} \cap \mathcal{P}(SubtypeSpec) \cap \mathcal{P}(PresenceConstraint) = \emptyset
    (11)
              \mathcal{P}(\text{BuiltInValue}) \cap \mathcal{P}(\text{AuxType}) \cap \{\text{NULL}, \text{upper}, \text{lower}, \text{"-"}, \text{number}\} = \emptyset
              \mathcal{P}(SubtypeSpec) \cap \{``."\} \cap \mathcal{P}(SubValSetSuf) = \emptyset
    (12)
              \mathcal{P}(\mathrm{Type}) \cap \{\text{``.''}\} = \emptyset
    (13)
We have:
      \mathcal{P}(BuiltInType)
                                        { BOOLEAN, INTEGER, BIT, OCTET, CHOICE, ANY,
                                         OBJECT, ENUMERATED, REAL, EXTERNAL,
                                         "NumericString", "PrintableString", "TeletexString",\\
                                         {\rm ``T61String",\ "VideotexString",\ "VisibleString",}
                                         "ISO646String", "IA5String", "GraphicString",
                                         "GeneralString", "UTCTime", "GeneralizedTime",
                                         "ObjectDescriptor" }
      \mathcal{P}(\text{SetSeq})
                                       { SET, SEQUENCE }
Thus equation (1) is satisfied.
    \mathcal{P}(SubtypeSpec) = \{ "(" \} \}
```

```
 \begin{array}{lll} \mathcal{P}(\operatorname{NamedType}) & = & \{\operatorname{lower}, \operatorname{upper}, \operatorname{NULL}\} \cup \mathcal{P}(\operatorname{AuxType}) \\ \mathcal{P}(\operatorname{AuxType}) & = & \{\text{``['']}\} \cup \mathcal{P}(\operatorname{BuiltInType}) \cup \mathcal{P}(\operatorname{SetSeq}) \\ & = & \{\text{``['']}, \operatorname{SET}, \operatorname{SEQUENCE}, \operatorname{BOOLEAN}, \operatorname{INTEGER}, \operatorname{BIT}, \\ \operatorname{OCTET}, \operatorname{CHOICE}, \operatorname{ANY}, \operatorname{OBJECT}, \operatorname{ENUMERATED}, \operatorname{REAL}, \\ \operatorname{EXTERNAL}, \text{``NumericString''}, \text{``PrintableString''}, \\ & \text{``TeletexString''}, \text{``TolString''}, \text{``VideotexString''}, \\ & \text{``VisibleString''}, \text{``ISO646String''}, \text{``IA5String''}, \\ & \text{``GraphicString''}, \text{``GeneralString''}, \text{``UTCTime''}, \\ & \text{``GeneralizedTime''}, \text{``ObjectDescriptor''} \ \} \end{array}
```

Thus equation (3) is satisfied.

```
 \begin{array}{lll} \mathcal{P}(\operatorname{SpecVal}) & = & \mathcal{P}(\operatorname{SubtypeSpec}) \cup \{\text{":"}\} \\ & = & \{\text{"(", ":")}\} \end{array}
```

Thus equation (4) is satisfied.

```
= { "," }
= { "<", ":" }
\mathcal{P}(AuxNamed)
\mathcal{P}(AuxVal2)
                    =\quad \{\ {\rm TRUE},\, {\rm FALSE},\, {\rm PLUS\text{-}INFINITY},\, {\rm MINUS\text{-}INFINITY},
\mathcal{P}(BuiltInValue)
                        basednum, string, "{" }
\mathcal{P}(\text{AuxVal0})
                    = \mathcal{P}(BuiltInValue) \cup \mathcal{P}(AuxType) \cup \{NULL\}
                    = { TRUE, FALSE, PLUS-INFINITY, MINUS-INFINITY,
                        basednum, string, "{", NULL, "[", SET, SEQUENCE,
                        BOOLEAN, INTEGER, BIT, OCTET, CHOICE, ANY,
                        OBJECT, ENUMERATED, REAL, EXTERNAL,
                         "NumericString", "PrintableString", "TeletexString",
                         "T61String", "VideotexString", "VisibleString",
                         "ISO646String", "IA5String", "GraphicString",
                         "GeneralString", "UTCTime", "GeneralizedTime",
                         "ObjectDescriptor" }
```

Thus equations (5) and (11) are satisfied.

```
\mathcal{P}(\text{ObjIdComponent}) = \{\text{number}, \text{upper}, \text{lower}\}
```

Thus equation (6) is satisfied.

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Thus equation (12) is satisfied.

```
\mathcal{P}(Value)
               = \mathcal{P}(\text{AuxVal0}) \cup \{\text{upper, lower, number, "-"}\}
                = { TRUE, FALSE, PLUS-INFINITY, MINUS-INFINITY, basednum,
                    string, "{", NULL, "[", SET, SEQUENCE, BOOLEAN,
                    INTEGER, BIT, OCTET, CHOICE, ANY, OBJECT,
                    ENUMERATED, REAL, EXTERNAL,
                    "NumericString", "PrintableString", "TeletexString",
                    "T61String", "VideotexString", "VisibleString",
                    "ISO646String", "IA5String", "GraphicString",
                    "GeneralString", "UTCTime", "GeneralizedTime",
                    "ObjectDescriptor", upper, lower, number, "-" }
Thus equations (7) and (8) are satisfied.
    \mathcal{P}(SVSAux)
                  = \mathcal{P}(BuiltInValue) \cup \mathcal{P}(Auxtype)
                       ∪ { NULL, upper, lower, number, "-" }
                     { TRUE, FALSE, PLUS-INFINITY, MINUS-INFINITY,
                       basednum, string, "{", "[", SET, SEQUENCE, BOOLEAN,
                       INTEGER, BIT, OCTET, CHOICE, ANY, OBJECT,
                       ENUMERATED, REAL, EXTERNAL, "NumericString",
                       {\rm ``PrintableString'', '`TeletexString'', '`T61String'',}
                       "VideotexString", "VisibleString", "ISO646String",
                       "IA5String", "GraphicString", "GeneralString",
                       "UTCTime", "GeneralizedTime", "ObjectDescriptor",
                       NULL, upper, lower, number, "-" }
Thus equation (9) is satisfied.
   \mathcal{P}(PresenceConstraint) = \{PRESENT, ABSENT, OPTIONAL\}
Thus equation (10) is satisfied.
   \mathcal{P}(SubValSetSuf) = \{ "<", ".." \}
```

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Thus equation (13) is satisfied.

# 4.2.3 Équation P3

We give here for each production of each rule the constraints imposed by equation P3 (Cf. 4.1.4). In order to not overload the array, redundant equations inside a rule and trivial equations don't appear. We simplify also all that can be locally simplified.

Rule	Constraint			
Teure	l			
ModuleDefinition	$\mathcal{P}(\text{TagDefault}) \cap \{\text{"::="}\} = \emptyset$			
M 1 1 T1 //C	$\mathcal{P}(\text{ModuleBody}) \cap \{\text{END}\} = \emptyset$			
ModuleIdentifier				
ObjIdComponent	$\{\text{``(")} \cap \mathcal{S}(\text{ObjIdComponent}) = \emptyset$			
TagDefault				
ModuleBody	$\mathcal{P}(\text{Exports}) \cap (\mathcal{P}(\text{Imports}) \cup \mathcal{P}(\text{Assignment})) = \emptyset$			
ū	$\mathcal{P}(\text{Imports}) \cap \mathcal{P}(\text{Assignment}) = \emptyset$			
Exports	$(\mathcal{P}(\mathrm{Symbol}) \cup \{\text{``,''}\}) \cap \{\text{``,''}\} = \emptyset$			
Imports	$\mathcal{P}(SymbolsFromModule) \cap \{\text{"};\text{"}\} = \emptyset$			
${\bf Symbols From Module}$				
Symbol				
Assignment				
TT.	$\{\text{"."}\} \cap (\mathcal{P}(\text{SubtypeSpec}) \cup \mathcal{S}(\text{Type})) = \emptyset$			
$\operatorname{Type}$	$\mathcal{P}(\mathrm{SubtypeSpec}) \cap \mathcal{S}(\mathrm{Type}) = \emptyset$			
	$\mathcal{P}(\text{Class}) \cap \mathcal{P}(\text{ClassNumber}) = \emptyset$			
AuxType	$\mathcal{P}(\text{TagDefault}) \cap \mathcal{P}(\text{Type}) = \emptyset$			
V 1	$\mathcal{P}(\text{TypeSuf}) \cap \mathcal{S}(\text{AuxType}) = \emptyset$			
SetSeq	( 12 / ( 12 /			
T Cf	$\mathcal{P}(\text{SubtypeSpec}) \cap \mathcal{S}(\text{TypeSuf}) = \emptyset$			
TypeSuf	$(\mathcal{P}(\mathrm{ElementType}) \cup \{\text{``,"}\}) \cap \{\text{``}\}\text{''}\} = \emptyset$			
D :141 /D	$\{\text{``}\} \cap \mathcal{S}(\text{BuiltInType}) = \emptyset$			
BuiltInType	$\{DEFINED\} \cap S(BuiltInType) = \emptyset$			
	$\{\text{"<"}\} \cap \mathcal{P}(\text{Type}) = \emptyset$			
NamedType	$\{\text{"."}\} \cap (\mathcal{P}(\text{SubtypeSpec}) \cup \mathcal{S}(\text{NamedType})) = \emptyset$			
V 1	$\mathcal{P}(\text{SubtypeSpec}) \cap \mathcal{S}(\text{NamedType}) = \emptyset$			
NamedNumber				
AuxNamedNum				
NamedBit				
ElementType	$\mathcal{P}(\text{ElementTypeSuf}) \cap \mathcal{S}(\text{ElementType}) = \emptyset$			
ElementTypeSuf				
Class				
ClassNumber				

Rule	Constraint			
Value	$\mathcal{P}(\text{AuxVal2}) \cap \mathcal{S}(\text{Value}) = \emptyset$			
AuxVal0	$\mathcal{P}(\operatorname{SpecVal}) \cap \mathcal{S}(\operatorname{AuxVal}0) = \emptyset$			
AuxVal1				
AuxVal2				
AuxVal11				
SpecVal	$\mathcal{P}(\text{SubtypeSpec}) \cap \{\text{":"}\} = \emptyset$			
BuiltInValue	, , ,			
BetBraces	$ \begin{array}{l} \mathcal{P}(\text{AuxNamed}) \cap \mathcal{S}(\text{BetBraces}) = \emptyset \\ \mathcal{P}(\text{AuxBet1}) \cap \mathcal{S}(\text{BetBraces}) = \emptyset \\ \mathcal{P}(\text{AuxBet3}) \cap \mathcal{S}(\text{BetBraces}) = \emptyset \end{array} $			
AuxBet1	$ \begin{split} \mathcal{P}(\mathrm{ObjIdComponent}) &\cap \mathcal{S}(\mathrm{AuxBet1}) = \emptyset \\ \mathcal{P}(\mathrm{AuxNamed}) &\cap \mathcal{S}(\mathrm{AuxBet1}) = \emptyset \\ \mathcal{P}(\mathrm{AuxBet11}) &\cap \mathcal{S}(\mathrm{AuxBet1}) = \emptyset \\ \mathcal{P}(\mathrm{AuxBet3}) &\cap \mathcal{S}(\mathrm{AuxBet1}) = \emptyset \end{split} $			
AuxBet2	$\mathcal{P}(AuxNamed) \cap \mathcal{S}(AuxBet2) = \emptyset$			
AuxBet3	$\mathcal{P}(\text{ObjIdComponent}) \cap \mathcal{S}(\text{AuxBet3}) = \emptyset$			
AuxBet11	$\mathcal{P}(\mathrm{ObjIdComponent}) \cap \mathcal{S}(\mathrm{AuxBet11}) = \emptyset$ $\mathcal{P}(\mathrm{AuxNamed}) \cap \mathcal{S}(\mathrm{AuxBet11}) = \emptyset$			
AuxBet21	$\mathcal{P}(\text{AuxNamed}) \cap \mathcal{S}(\text{AuxBet21}) = \emptyset$ $\mathcal{P}(\text{AuxBet3}) \cap \mathcal{S}(\text{AuxBet21}) = \emptyset$			
AuxNamed	$\{\text{``,"}\} \cap \mathcal{S}(AuxNamed) = \emptyset$			
NamedValue	$\mathcal{P}(\text{NamedValSuf}) \cap \mathcal{S}(\text{NamedValue}) = \emptyset$			
NamedValSuf				
SubtypeSpec				
SubtypeValueSet				
SubValSetSuf	$\{\text{``<"}\} \cap \mathcal{P}(\text{UpperEndValue}) = \emptyset$			
UpperEndValue				
InnerTypeSuf				
MultipleTypeConstraints	$\{"\dots", "\}"\} \cap \mathcal{P}(NamedConstraint) = \emptyset$			
NamedConstraint	$ \begin{array}{l} \mathcal{P}(\text{SubtypeSpec}) \cap (\mathcal{P}(\text{PresenceConstraint}) \\ \cup  \mathcal{S}(\text{NamedConstraint})) = \emptyset \\ \mathcal{P}(\text{PresenceConstraint}) \cap \mathcal{S}(\text{NamedConstraint}) = \emptyset \end{array} $			
PresenceConstraint				
SVSAux	$ \begin{array}{l} \mathcal{P}(\text{SubValSetSuf}) \cap \mathcal{S}(\text{SVSAux}) = \emptyset \\ \mathcal{P}(\text{SVSAux3}) \cap \mathcal{S}(\text{SVSAux}) = \emptyset \\ \mathcal{P}(\text{SVSAux2}) \cap \mathcal{S}(\text{SVSAux}) = \emptyset \end{array} $			
SVSAux1	$\mathcal{P}(\text{SubtypeSpec}) \cap \{\text{":"}\} = \emptyset$			
SVSAux2	$\{\text{``<''}\} \cap \mathcal{P}(\text{UpperEndValue}) = \emptyset$			
SVSAux3	$\mathcal{P}(\text{SubtypeSpec}) \cap \{\text{":"}\} = \emptyset$			
SVSAux11	$\mathcal{P}(\mathrm{SubtypeSpec}) \cap \{\text{":"}\} = \emptyset$ $\mathcal{P}(\mathrm{SubValSetSuf}) \cap \mathcal{S}(\mathrm{SVSAux}11) = \emptyset$			
SVSAux21	$\{\text{``<''}\} \cap \mathcal{P}(\text{UpperEndValue}) = \emptyset$			

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Finally, after simplifications, the following set of equations must be satisfied:

```
\mathcal{P}(TagDefault) \cap \{"::="\} = \emptyset
(1)
(2)
            \mathcal{P}(ModuleBody) \cap \{END\} = \emptyset
(3)
            \{``\{"\} \cap \mathcal{S}(ModuleIdentifier) = \emptyset
             \{"(") \cap S(ObjIdComponent) = \emptyset
(4)
(5)
            \mathcal{P}(\text{Exports}) \cap \mathcal{P}(\text{Imports}) = \emptyset
(6)
            \mathcal{P}(\text{Exports}) \cap \mathcal{P}(\text{Assignment}) = \emptyset
(7)
            \mathcal{P}(\text{Imports}) \cap \mathcal{P}(\text{Assignment}) = \emptyset
(8)
            \mathcal{P}(\text{TypeSuf}) \cap \mathcal{S}(\text{AuxType}) = \emptyset
(9)
            \mathcal{P}(SymbolsFromModule) \cap \{";"\} = \emptyset
            \mathcal{P}(SubtypeSpec) \cap \{".", ":"\} = \emptyset
(10)
            \mathcal{S}(\text{Type}) \cap \{\text{"."}\} = \emptyset
(11)
            \mathcal{P}(\text{Class}) \cap \mathcal{P}(\text{ClassNumber}) = \emptyset
(12)
            \mathcal{P}(\text{TagDefault}) \cap \mathcal{P}(\text{Type}) = \emptyset
(13)
            \mathcal{P}(\mathrm{Type}) \cap \{\text{``<''}\} = \emptyset
(14)
(15)
            \mathcal{P}(\text{SubtypeSpec}) \cap \mathcal{S}(\text{TypeSuf}) = \emptyset
(16)
            \mathcal{P}(\text{ElementType}) \cap \{\text{``}\}\text{''}\} = \emptyset
(17)
            \{``\{", DEFINED\} \cap \mathcal{S}(BuiltInType) = \emptyset
            \{\text{``.''}\} \cap \mathcal{S}(\operatorname{NamedType}) = \emptyset
(18)
(19)
            \mathcal{P}(\text{SubtypeSpec}) \cap \mathcal{S}(\text{NamedType}) = \emptyset
(20)
            \mathcal{P}(\text{ElementTypeSuf}) \cap \mathcal{S}(\text{ElementType}) = \emptyset
(21)
            \mathcal{P}(AuxVal2) \cap \mathcal{S}(Value) = \emptyset
(22)
            \mathcal{P}(\text{SpecVal}) \cap \mathcal{S}(\text{AuxVal0}) = \emptyset
            \mathcal{P}(AuxNamed) \cap \mathcal{S}(BetBraces) = \emptyset
(23)
(24)
            \mathcal{P}(AuxBet1) \cap \mathcal{S}(BetBraces) = \emptyset
            \mathcal{P}(AuxBet3) \cap \mathcal{S}(BetBraces) = \emptyset
(25)
            \mathcal{P}(\text{ObjIdComponent}) \cap \mathcal{S}(\text{AuxBet1}) = \emptyset
(26)
(27)
            \mathcal{P}(AuxNamed) \cap \mathcal{S}(AuxBet1) = \emptyset
            \mathcal{P}(AuxBet11) \cap \mathcal{S}(AuxBet1) = \emptyset
(28)
(29)
            \mathcal{P}(AuxBet3) \cap \mathcal{S}(AuxBet1) = \emptyset
(30)
            \mathcal{P}(AuxNamed) \cap \mathcal{S}(AuxBet2) = \emptyset
(31)
            \mathcal{P}(\text{ObjIdComponent}) \cap \mathcal{S}(\text{AuxBet3}) = \emptyset
(32)
            \mathcal{P}(\text{ObjIdComponent}) \cap \mathcal{S}(\text{AuxBet11}) = \emptyset
(33)
            \mathcal{P}(AuxNamed) \cap \mathcal{S}(AuxBet11) = \emptyset
(34)
            \mathcal{P}(AuxNamed) \cap \mathcal{S}(AuxBet21) = \emptyset
```

```
(35)
           \mathcal{P}(AuxBet3) \cap \mathcal{S}(AuxBet21) = \emptyset
           \{\text{``,''}\} \cap \mathcal{S}(AuxNamed) = \emptyset
(36)
           \mathcal{P}(NamedValSuf) \cap \mathcal{S}(NamedValue) = \emptyset
(37)
           \{"<"\} \cap \mathcal{P}(UpperEndValue) = \emptyset
(38)
           \{"...", "\}"\} \cap \mathcal{P}(NamedConstraint) = \emptyset
(39)
           \mathcal{P}(\text{SubtypeSpec}) \cap \mathcal{P}(\text{PresenceConstraint}) = \emptyset
(40)
           \mathcal{P}(\text{SubtypeSpec}) \cap \mathcal{S}(\text{NamedConstraint}) = \emptyset
(41)
(42)
           \mathcal{P}(\text{PresenceConstraint}) \cap \mathcal{S}(\text{NamedConstraint}) = \emptyset
(43)
           \mathcal{P}(SubValSetSuf) \cap \mathcal{S}(SVSAux) = \emptyset
           \mathcal{P}(SVSAux3) \cap \mathcal{S}(SVSAux) = \emptyset
(44)
           \mathcal{P}(SVSAux2) \cap \mathcal{S}(SVSAux) = \emptyset
(45)
(46)
           \mathcal{P}(SubValSetSuf) \cap \mathcal{S}(SVSAux11) = \emptyset
(47)
           \mathcal{P}(\text{SubtypeSpec}) \cap \mathcal{S}(\text{Type}) = \emptyset
```

Equations (10), (14) and (40) are immediately satisfied thanks to the sets  $\mathcal{P}$  previously computed (Cf. 4.2.2). We calculate hence the missing sets  $\mathcal{P}$ :

```
\mathcal{P}(\text{TagDefault}) = \{\text{EXPLICIT}, \text{IMPLICIT}\}

Thus equations (1) and (13) are satisfied.
```

```
\mathcal{P}(\text{Exports}) = \{\text{EXPORTS}\}\
\mathcal{P}(\text{Imports}) = \{\text{IMPORTS}\}\
```

Thus equation (5) is satisfied.

```
\mathcal{P}(Assignment) = \{upper, lower\}
```

Thus equations (6) and (7) are satisfied.

```
\mathcal{P}(\text{ModuleBody}) = \mathcal{P}(\text{Exports}) \cup \mathcal{P}(\text{Imports}) \cup \mathcal{P}(\text{Assignment})
= \{\text{EXPORTS, IMPORTS, upper, lower}\}
```

Thus equation (2) is satisfied.

```
 \begin{array}{lll} \mathcal{P}(\operatorname{Symbol}) & = & \{ \text{ upper, lower } \} \\ \mathcal{P}(\operatorname{SymbolsFromModule}) & = & \mathcal{P}(\operatorname{Symbol}) \cup \{\text{``,''}\} \\ & = & \{ \text{ upper, lower, ``,''} \} \end{array}
```

Thus equation (9) is satisfied.

```
{ UNIVERSAL, APPLICATION, PRIVATE }
                               { number, upper, lower }
     \mathcal{P}(\text{ClassNumber})
Thus equation (12) is satisfied.
     \mathcal{P}(\text{ElementType}) = \mathcal{P}(\text{NamedType}) \cup \{\text{COMPONENTS}\}
Thus equation (16) is satisfied.
     \mathcal{P}(\text{UpperEndValue}) = \mathcal{P}(\text{Value}) \cup \{MAX\}
Thus equation (38) is satisfied.
                                = \{ "(") \} 
 = \{lower\} \cup \mathcal{P}(SubtypeSpec) \cup \mathcal{P}(PresenceConstraint) 
     \mathcal{P}(SubtypeSpec)
     \mathcal{P}(NamedConstraint)
                                = { lower, "(", PRESENT, ABSENT, OPTIONAL }
Thus equation (39) is satisfied.
Moreover:
                               = \mathcal{P}(SubtypeSpec) \cup \{\text{``}\{\text{''}, SIZE, OF\}\}
     \mathcal{P}(\text{TypeSuf})
                               = { "(", "{", SIZE, OF }
     \mathcal{P}(\text{ElementTypeSuf}) = \{ \text{OPTIONAL}, \text{DEFAULT} \}
                               = { "<", ":" }
     \mathcal{P}(AuxVal2)
                               = \mathcal{P}(SubtypeSpec) \cup \{":"\}
     \mathcal{P}(\text{SpecVal})
                               = { "(", ":" }
                               = \{ (", "-", upper, lower, number) \cup \mathcal{P}(AuxNamed) \}
     \mathcal{P}(AuxBet1)
                                    \cup \mathcal{P}(AuxVal2) \cup \mathcal{P}(AuxVal0)
                               = { "(", "-", upper, lower, number, ",", "<", ":",
                                    TRUE, FALSE, PLUS-INFINITY, MINUS-INFINITY,
                                    basednum, string, "{", NULL, "[", SET, SEQUENCE,
                                    BOOLEAN, INTEGER, BIT, OCTET, CHOICE,
                                    ANY, OBJECT, ENUMERATED, REAL, EXTERNAL,
                                    "NumericString", "PrintableString", "TeletexString",
                                    "T61String", "VideotexString", "VisibleString",
                                    "ISO646String", "IA5String", "GraphicString",
                                     "GeneralString", "UTCTime", "GeneralizedTime",
```

"ObjectDescriptor" }

```
\mathcal{P}(\text{ObjIdComponent}) \cup \mathcal{P}(\text{AuxNamed})
\mathcal{P}(AuxBet3)
                                  { number, upper, lower, "," }
                            = \mathcal{P}(Value) \cup \mathcal{P}(AuxVal2)
\mathcal{P}(NamedValSuf)
                                  { TRUE, FALSE, PLUS-INFINITY, MINUS-INFINITY,
                                   basednum, string, "{", NULL, "[", SET, SEQUENCE,
                                   BOOLEAN, INTEGER, BIT, OCTET, CHOICE,
                                   ANY, OBJECT, ENUMERATED, REAL, EXTERNAL,
                                   "NumericString", "PrintableString", "TeletexString",
                                   "T61String", "VideotexString", "VisibleString",
                                   "ISO646String", "IA5String", "GraphicString", "GeneralString", "UTCTime", "GeneralizedTime"
                                   "ObjectDescriptor", upper, lower, number, "-", "<", ":" }
                             = \{ \text{``(")} \cup \mathcal{P}(\text{ObjIdComponent}) \cup \mathcal{P}(\text{AuxVal2}) \cup \mathcal{P}(\text{AuxNamed}) \\ = \{ \text{``(")}, \text{number}, \text{upper}, \text{lower}, \text{``<"}, \text{``:"}, \text{``,"} \} 
\mathcal{P}(AuxBet11)
                          = { "<", ".." }
\mathcal{P}(SubValSetSuf)
                            \begin{array}{ll} = & \mathcal{P}(SubtypeSpec) \cup \mathcal{P}(SubValSetSuf) \cup \{\text{":"}\} \\ = & \{\text{ "(", "<", "..", ":"}\} \end{array}
\mathcal{P}(SVSAux3)
                            = { ".", ".", "<" }
\mathcal{P}(SVSAux2)
```

Thus  $\mathcal{P}(\text{SubValSetSuf}) \subset \mathcal{P}(\text{SVSAux2}) \subset \mathcal{P}(\text{SVSAux3})$ , which allow the removal of equations(43) and (45), because they are implied by (44).

Moreover, it is pertinent to notice that:

```
\mathcal{S}(SVSAux11) = \mathcal{S}(SVSAux1)

\mathcal{S}(SVSAux1) = \mathcal{S}(SVSAux)
```

Thus we can remove equation (46) because it is implied by (44).

We compute now some sets S that, thanks to previously calculated sets P, allow us to conclude in one step.

Thus equation (3) is satisfied.

$$\mathcal{S}(\text{ElementType}) = \{\text{",", "}\}$$

Thus equation (20) is satisfied.

$$\begin{array}{lll} \mathcal{S}(\mathrm{NamedType}) & = & \{\text{``,''}, \text{``}\}^{"}\} \cup \mathcal{P}(\mathrm{ElementTypeSuf}) \cup \mathcal{S}(\mathrm{ElementType}) \\ & = & \{\text{``,''}, \text{``}\}^{"}, \, \mathrm{OPTIONAL}, \, \mathrm{DEFAULT} \ \} \end{array}$$

Thus equations (18) and (19) are satisfied.

$$S(BetBraces) = {"}"$$

Thus equations (23), (24) and (25) are satisfied

$$\begin{array}{rcl} \mathcal{S}(AuxBet1) & = & \mathcal{S}(BetBraces) \\ & = & \{\text{ "}\}\text{" }\} \end{array}$$

Thus equations (26), (27), (28), and (29) are satisfied.

$$\begin{array}{rcl} \mathcal{S}(\mathrm{AuxBet11}) & = & \mathcal{S}(\mathrm{AuxBet1}) \\ & = & \left\{ \text{ "}\right\} \text{"} \end{array} \}$$

Thus equations (32) and (33) are satisfied.

$$\begin{array}{lcl} \mathcal{S}(\mathrm{AuxBet2}) & = & \mathcal{S}(\mathrm{BetBraces}) \cup \mathcal{S}(\mathrm{AuxBet1}) \\ & = & \{\text{ "}\}\text{" }\} \end{array}$$

Thus equation (30) is satisfied.

$$\begin{array}{rcl} \mathcal{S}(\mathrm{AuxBet21}) & = & \mathcal{S}(\mathrm{AuxBet2}) \\ & = & \left\{ \text{ "}\right\} \text{"} \end{array}$$

Thus equations (34) and (35) are satisfied.

$$\begin{array}{lcl} \mathcal{S}(AuxBet3) & = & \mathcal{S}(BetBraces) \cup \mathcal{S}(AuxBet1) \cup \mathcal{S}(AuxBet21) \\ & = & \{ \ \ ^*\} ^* \ \} \end{array}$$

Thus equation (31) is satisfied.

$$S(NamedConstraint) = \{",","\}$$

Thus equations (41) and (42) are satisfied.

Thus equation (36) is satisfied.

$$\begin{array}{lll} \mathcal{S}(\operatorname{NamedValue}) & = & \{\text{``,''}\} \cup \mathcal{S}(\operatorname{AuxNamed}) \\ & = & \{\text{``,''},\text{``}\}\text{''} \ \} \end{array}$$

Thus equation (37) is satisfied.

```
 \begin{array}{lll} \mathcal{P}(\mathrm{ObjIdComponent}) & = & \{ \; \mathrm{number}, \, \mathrm{upper}, \, \mathrm{lower} \; \} \\ \mathcal{S}(\mathrm{ObjIdComponent}) & = & \mathcal{P}(\mathrm{ObjIdComponent}) \cup \{\text{``}\}\text{''}\} \cup \mathcal{S}(\mathrm{AuxBet1}) \cup \mathcal{S}(\mathrm{AuxBet3}) \\ & & \cup \; \mathcal{S}(\mathrm{AuxBet11}) \\ & = & \{ \; \mathrm{number}, \, \mathrm{upper}, \, \mathrm{lower}, \, \text{``}\} \\ \end{array}
```

Thus equation (4) is satisfied.

We only have now to check the following system (we replace the sets  $\mathcal{P}$  by their value, except  $\mathcal{P}(NamedValSuf)$ ):

$$\begin{cases} (8) & \{\text{``(", ``{\{", SIZE, OF\}} \cap \mathcal{S}(AuxType) = \emptyset} \\ (11) & \mathcal{S}(Type) \cap \{\text{``.''}\} = \emptyset \\ (15) & \{\text{``(")} \cap \mathcal{S}(TypeSuf) = \emptyset \\ (17) & \{\text{``{\{", DEFINED\}} \cap \mathcal{S}(BuiltInType) = \emptyset} \\ (21) & \{\text{``<", ``:''}\} \cap \mathcal{S}(Value) = \emptyset \\ (22) & \{\text{``(", ``:'')} \cap \mathcal{S}(AuxVal0) = \emptyset \\ (44) & \{\text{``(", ``<", ``.'', ``:''}\} \cap \mathcal{S}(SVSAux) = \emptyset \\ (47) & \{\text{``(")} \cap \mathcal{S}(Type) = \emptyset \end{cases}$$

We can therefore merge equations (8), (11), (15), (17) and (47) in one single, and the system is equivalent to:

```
(X) \{\text{".", "(", "{", DEFINED, SIZE, OF}} \cap \mathcal{S}(\text{Type}) = \emptyset

(21) \{\text{"<", ":"}\} \cap \mathcal{S}(\text{Value}) = \emptyset

(22) \{\text{"(", ":"}\} \cap \mathcal{S}(\text{AuxVal0}) = \emptyset

(44) \{\text{"(", "<", "..", ":"}\} \cap \mathcal{S}(\text{SVSAux}) = \emptyset
```

We have:

```
 \begin{array}{lll} \mathcal{S}(\operatorname{AuxType}) & = & \mathcal{S}(\operatorname{Type}) \cup \mathcal{S}(\operatorname{NamedType}) \cup \{\text{":"}\} \\ & = & \mathcal{S}(\operatorname{Type}) \cup \{\text{",","}}, \text{"}), \operatorname{OPTIONAL, DEFAULT, ":"} \} \\ \mathcal{S}(\operatorname{Type}) & = & \mathcal{S}(\operatorname{Assignment}) \cup \{\text{"::="}} \cup \mathcal{S}(\operatorname{AuxType}) \cup \mathcal{S}(\operatorname{TypeSuf}) \\ & & \cup \mathcal{S}(\operatorname{NamedType}) \cup \mathcal{S}(\operatorname{ElementType}) \cup \{\text{":"}} \} \\ & & \cup \mathcal{S}(\operatorname{SubtypeValueSet}) \\ \end{array}
```

And it follows, with the remark that  $S(ElementType) \subset S(NamedType) \subset S(AuxType)$ :

$$\mathcal{S}(\text{Type}) = \{\text{"::=", ":"}\} \cup \mathcal{S}(\text{Assignment}) \cup \mathcal{S}(\text{SubtypeValueSet}) \cup \mathcal{S}(\text{AuxType}) \\ = \{\text{"::=", ":"}\} \cup \mathcal{S}(\text{Assignment}) \cup \mathcal{S}(\text{SubtypeValueSet}) \cup \mathcal{S}(\text{Type}) \\ \cup \{\text{",", "}\}\text{", OPTIONAL, DEFAULT, ":"}\} \\ = \{\text{"::=", ":", ",", "}, OPTIONAL, DEFAULT}\} \cup \mathcal{S}(\text{Assignment}) \\ \cup \mathcal{S}(\text{SubtypeValueSet})$$

On the other hand:

```
\begin{array}{lll} \mathcal{S}(\operatorname{ModuleBody}) & = & \{ \ \operatorname{END} \ \} \\ \mathcal{S}(\operatorname{Assignment}) & = & \mathcal{S}(\operatorname{ModuleBody}) \cup \mathcal{P}(\operatorname{Assignment}) \\ & = & \{ \ \operatorname{END}, \ \operatorname{upper}, \ \operatorname{lower} \ \} \\ \mathcal{S}(\operatorname{SubtypeValueSet}) & = & \{ \ \ \text{"}", \ \ \text{"}", \ \ \ \ \} \end{array}
```

And finally:

$$\mathcal{S}(\mathsf{Type}) \quad = \quad \{ \text{ "::=", ":", ",", "}}, \text{ "}], \text{ "}], \text{ "OPTIONAL, DEFAULT, END, upper, lower } \}$$

Thus equation (X) is satisfied.

Note that 
$$\begin{cases} S(\text{Value}) & \subseteq & S(\text{AuxVal0}) \\ S(\text{AuxVal0}) & \subseteq & S(\text{Value}) \end{cases}$$
Thus: 
$$S(\text{AuxVal0}) = S(\text{Value})$$

We can therefore merge equations (21) and (22), and then the system is equivalent to:

$$\begin{array}{ll} (Y) & \{\text{``<''}, \text{``.''}, \text{``('')} \cap \mathcal{S}(\text{Value}) = \emptyset \\ (44) & \{\text{``('')}, \text{``<''}, \text{``.''}, \text{``.''}\} \cap \mathcal{S}(\text{SVSAux}) = \emptyset \end{array}$$

Moreover:

```
 \mathcal{S}(SVSAux) = \mathcal{S}(SubtypeValueSet) \cup \mathcal{S}(SVSAux1) \cup \mathcal{S}(SVSAux2) 
 \cup \mathcal{S}(SVSAux3) \cup \mathcal{S}(SVSAux11) \cup \mathcal{S}(SVSAux21)
```

We have:

```
S(SVSAux11) = S(SVSAux1)
S(SVSAux1) = S(SVSAux)
S(SVSAux2) = S(SVSAux)
S(SVSAux3) = S(SVSAux)
S(SVSAux21) = S(SVSAux2)
```

Thus  $S(SVSAux) = \{"|", ")"\}$  and so equation (44) is satisfied.

Now we have to compute:

We have:

```
\mathcal{S}(\text{ElementTypeSuf}) = \mathcal{S}(\text{ElementType})
\mathcal{S}(\text{NamedValSuf}) = \mathcal{S}(\text{NamedValue})
```

Hence

$$\mathcal{S}(\text{Value}) = \{ \text{END, upper, lower, ",", "} \} \cup \mathcal{S}(\text{AuxVal2}) \cup \mathcal{S}(\text{SpecVal}) \\ \cup \mathcal{S}(\text{UpperEndValue})$$

Moreover:

```
 \begin{array}{lll} \mathcal{P}(\operatorname{AuxNamed}) & = & \{\text{ ``,'' }\} \\ \mathcal{S}(\operatorname{AuxVal2}) & = & \mathcal{S}(\operatorname{Value}) \cup \mathcal{P}(\operatorname{AuxNamed}) \cup \mathcal{S}(\operatorname{AuxBet1}) \\ & & \cup \mathcal{S}(\operatorname{AuxBet11}) \cup \mathcal{S}(\operatorname{NamedValSuf}) \\ & = & \mathcal{S}(\operatorname{Value}) \cup \{\text{``,'', ''}\}^* \} \end{array}
```

It follows:

$$\mathcal{S}(Value) = \{END, upper, lower, ",", "\}"\} \cup \mathcal{S}(SpecVal) \cup \mathcal{S}(UpperEndValue)$$

On the other hand:

$$\begin{array}{lll} \mathcal{S}(\operatorname{SpecVal}) & = & \mathcal{S}(\operatorname{AuxVal0}) \cup \mathcal{S}(\operatorname{AuxVal1}) \cup \mathcal{S}(\operatorname{AuxVal11}) \cup \mathcal{P}(\operatorname{AuxNamed}) \\ & & \cup \mathcal{S}(\operatorname{AuxBet2}) \cup \mathcal{S}(\operatorname{AuxBet21}) \\ & = & \mathcal{S}(\operatorname{Value}) \cup \mathcal{S}(\operatorname{AuxVal1}) \cup \mathcal{S}(\operatorname{AuxVal11}) \cup \{\text{``,''}, \text{``}\}^{"} \} \end{array}$$

We have

$$\begin{array}{lcl} \mathcal{S}(\operatorname{AuxVal11}) & = & \mathcal{S}(\operatorname{AuxVal1}) \\ \mathcal{S}(\operatorname{AuxVal1}) & = & \mathcal{S}(\operatorname{Value}) \cup \mathcal{S}(\operatorname{NamedValue}) \\ & = & \mathcal{S}(\operatorname{Value}) \cup \{\text{``,''}, \text{``}\}^*\} \end{array}$$

Hence

$$S(SpecVal) = S(Value) \cup \{",","\}"\}$$

Therefore:

$$\mathcal{S}(\mathrm{Value}) \quad = \quad \{\mathrm{END}, \, \mathrm{upper}, \, \mathrm{lower}, \, \text{``,''}, \, \text{``}\} \text{''}\} \cup \mathcal{S}(\mathrm{UpperEndValue})$$

And finally:

$$\begin{array}{lll} \mathcal{S}(SubValSetSuf) & = & \mathcal{S}(SubtypeValueSet) \cup \mathcal{S}(SVSAux) \cup \mathcal{S}(SVSAux3) \\ & & \cup \mathcal{S}(SVSAux11) \\ & = & \{ \ ``|",\ ``)" \ \} \\ & \mathcal{S}(UpperEndValue) & = & \mathcal{S}(SubValSetSuf) \cup \mathcal{S}(SVSAux2) \cup \mathcal{S}(SVSAux21) \\ & = & \mathcal{S}(SubValSetSuf) \cup \{ \ ``|",\ ``)" \ \} \\ & = & \{ \ ``|",\ ``)" \ \} \end{array}$$

Hence

$$\mathcal{S}(\mathrm{Value}) \quad = \quad \{ \ \mathrm{END}, \ \mathrm{upper}, \ \mathrm{lower}, \ \text{``,''}, \ \text{``}\} \text{''}, \ \text{``}|\text{''}, \ \text{``}) \text{''} \ \}$$

Thus equation (Y) is satisfied.

Conclusion The system of equations is entirely satisfied, i-e. the new ASN.1:1990 grammar is LL(1).

# 5 Designing parsers in Caml Light

We describe in this section a method for writing parsers of LL(1) grammars in Caml Light, but it intends to universal. It is based upon the format presented at section 1.2 and some additional constraints imposed by the stream pattern matching semantics. (Cf. Introduction) We show how to produce error messages (without help of the context) solely for each rule susceptible of analysis abortion, and in a systematic way. We'll see moreover how partial application and full functionality allow high-order parsers building. It is recommended to the interested reader to read before [7], [8] (for beginners) or [5].

#### 5.1 Stream constraint

In general it is not possible to translate directly a LL(1) grammar to its corresponding correct parser.

Rules that are a problem have the form  $A \to X B \mid C$  where  $X \stackrel{*}{\Longrightarrow} \varepsilon$ .

Suppose indeed X recognise  $\varepsilon$  but B fails after; in this case the exception Parse\_failure raised by (the parser associated to) B becomes at the level of A in Parse\_error because B has not been called in head of the stream pattern, and so stop the parsing process although C could have matched. It's obvious that there was no danger to go on parsing because no token was removed from the stream. A simpler semantics for streams justifies this drawback, but it implies a previous analysis and maybe modification of LL(1) grammars.

The first step thus is to put the LL(1) grammar to the format presented in this document (cf. 1.2) and apply the following additional transformations, until it's impossible:

$X \to [\alpha] \beta$	becomes	$X \to \alpha \beta \mid \beta$
$X \to \alpha^* \beta$	becomes	$X \to \alpha^+ \beta \mid \beta$
$X \to \{A \ a \dots\}^* \beta$	becomes	$X \to \{ A a \dots \}^+ \beta \mid \beta$
$X \to \{ [A] a \dots \} \beta$	becomes	$X \rightarrow (a [A])^+ \beta \mid A (a [A])^* \beta \mid \beta$

This way we comply with the constraint imposed by Caml Light streams.

**Remark** If the empty word belongs to the language, we note the appearance of the unique explicit empty production of the grammar (let  $\beta = \varepsilon$ ).

#### 5.2 Plea for streams

The stream constraint previously presented upper do not have to make us forget the great number of advantages they bring. First, it is erroneous that the streams only allow us to parse LL(1) grammars<sup>5</sup>.

<sup>&</sup>lt;sup>5</sup>Modulo the constraint given in the previous section

Let's consider the famous "the dangling else" case. Let the ambiguous grammar [2]:

```
S \rightarrow \text{if } BoolExpr \text{ then } S S' \mid OtherInstr S' \rightarrow \text{else } S \mid \varepsilon
```

This grammar features the if then else construct. It leads down-top parsers like YACC to a shift/reduce conflict in presence of the else clause. Usually in this case one privilege the shift action in order to associate the else to the last non-closed then. With Caml Light this is done naturally, although there is no underlying stack-automaton for parsing. One has just to write the pattern of S' with else S' as the first alternative. The patterns indeed in a Caml Light matching are evaluated in writing order (from left to right and up to bottom); hence the parser S' will privilege (due to pattern matching semantics) the alternative else S' instead of  $\varepsilon$ .

Now we're going to show, following the example given in [7, 2], that we can also parse context-sensitive languages thanks to the Caml Light streams and to full functionality. Let the language  $\{wcw \mid w \in (a+b)^*\}$ , where a,b and c are tokens. It has been proved that it is context-dependent. The idea used to parse this language is to parse the prefix w and then built dynamically a list of parsers matching each character composing w. Hence, after c reading, we use this list to parse the suffix w. Look at the details.

So we first need a function wd (standing for "word definition") that parse w and built the latter list. In Caml Light the characters (of type char) a and b are respectively noted 'a' and 'b'.

The second parser<sup>6</sup> take the parsers list produced by wd and match them to the current stream. It is called wu (for "word usage").

```
#let rec wu = function

p::pl \rightarrow (function [\langle p x; (wu pl) w \rangle] \rightarrow x^w)

| [] \rightarrow (function [\langle \rangle] \rightarrow "")

;;

wu : (\alpha stream \rightarrow string) list \rightarrow \alpha stream \rightarrow string = \langlefun\rangle
```

<sup>&</sup>lt;sup>6</sup>A parser is merely a function.

Finally a parser for our language is:

```
#let wcw = function [\langle wd pl; ''c'; (wu pl) w \rangle] \rightarrow w;; wcw : char stream \rightarrow string = \langlefun\rangle

Application:

#wcw (stream_of_string "abaacabaa");;
- : string = "abaa"
```

## 5.3 Error handling

An important concern when parsing is the earliest detection of errors (the so called property of "the longest valid prefix"). Moreover messages should be the more informative as possible. It is to be inferred from the latter that the more context we have at the time of error detection, the more pertinent the message will be. When designing and implementing with Caml Light, it means that we should add parameters to parsers, dedicated to this purpose. We prefer here for sake of simplicity to ignore context. On the other hand we take care to raise only one message for a syntax error; that is to say that in the path (of the derivation tree — see 5.4) from the erroneous token to the root (axiom), no other message will be produced. Notice that error recovering, even in panic mode<sup>7</sup> is not easy a priori. Generally the semi-colon (as separator or terminator) is used to this recovering, but the absence of such a mark in ASN.1 allows nothing akin. We could imagine to re-synchronise parsing on symbol "::=", but in case of value declaration the value identifier may be arbitrary far behind "::="... There is hence no simple, satisfactory and general solution in case of only one module parsing. If an error is encountered while structured value parsing, we can re-synchronise on the next closing brace; otherwise if there are many modules in the same source file we can go to the END of the current module where appeared the error. Although this strategy is not difficult, it has not been implemented.

From the implementation point of view, we have a module called errors which exports a function syntax\_error of which type is string  $\rightarrow \alpha$  stream  $\rightarrow \beta$ , where the first argument is an error message and the second the current token stream which head is the erroneous token. It suspend the execution raising the exception Parsing\_error.

We propose now a general method for error message display. Basically there is no difference of handling between lexical and syntactic errors: what is meaningful is that an error occurred and we want to inform the user the most precisely as possible. Modulo a little constraint upon lexical analysis, the functions presented below are *independent* from the compilers where we want to reuse them. We could also use them for semantic errors, for example.

<sup>&</sup>lt;sup>7</sup>Tokens are ignored until one which belongs to a previously fixed set.

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#### 5.3.1 Elections for the lexer

The basic principle is the adjunction to each lexed token of its *location* in the source text, that is to say of both its position measured in characters from the beginning, and its concrete syntax, i-e. the character string identifying the token in the source. In fact, for error displays, the concrete syntax is not necessary because the length (in characters) of the erroneous source fragment is enough (For a token it would be its number of characters.). The convention for lexing are the following.

- 1. the location of the first character of the source text is 1.
- 2. We use a fictive token (also called "virtual") as a sentry in the stream produced by the lexer, that is to say it always exists at eh end of the produced stream this special token of which unique argument is a location. This latter is 1 if the (real) token stream is empty, and equal to the length of the source text plus one otherwise.
- 3. We always keep an original copy of the token stream where the error occurred.

#### 5.3.2 Display format of error messages

The display format is the same as Caml Light one:

- The name of source file.
- The number of the erroneous line.
- The location of the first erroneous character, counted from the beginning of the line.
- The erroneous line, with the error underlined.
- The specific error message.
- The location of the first erroneous character, counted from the beginning of the source file.

For instance, let err.asn the source file:

#### 5.3.3 The module errors

First here's three auxiliary functions.

- The function tabulation counts the number of tabulations in its argument string (it's used to underline correctly the error).
- The function out\_string send to the standard output the string passed as argument and flush it.
- The function get\_til\_eol takes as sole argument a character stream and returns the concatenation of the read characters until an *End Of Line*, or else until stream exhaustion.

```
let tabulations s =
  let tab = ref 0 in
  begin
    for n = 0 to string length s - 1
      if nth chars n = ' \t' then tab := !tab + 1
    done;
    !tab
  end
;;
let out string s = print string s; flush std out
;;
let rec get_til_eol = function
  [\langle ' (n') \rangle] \rightarrow ""
\mid [\langle 'c; get\_til\_eolt \rangle] \rightarrow (make\_string 1 c) ^t
\mid [\langle \rangle] \rightarrow ""
;;
```

We give now an auxiliary function find\_error which main role is, from an absolute location (i-e. counted from the beginning of the source text) and the original character stream, to return a triple formed by the number of the erroneous line, the relative location (i-e. counted from the beginning of the line), and a string representing this line.

;;

```
else aux\_err (l\_num+1) 1 "" (ofs-1) strm | [\langle \ 'c \ \rangle] \rightarrow if ofs = 1 then (l\_num, l\_ofs, line ^ (make_string 1 c) ^ (get_til_eol strm)) else aux\_err l\_num (l\_ofs+1) (line ^ (make_string 1 c)) (ofs-1) strm | [\langle \ \rangle] \rightarrow (l\_num, l\_ofs, line);
```

To terminate, here's the main function print\_error which is the sole exported outside the module errors, and therefore is the sole usable in the compilers. Its arguments denote:

- A header (header) qualifying the part of the compiler raising the error (for instance: "Asno'90 0.1 lexer").
- The original character stream (strm).
- The filename of the analysed source (filename).
- The error message (msg).
- The absolute location of the first character of the erroneous zone (ofs).
- The length of the erroneous zone (len).

let print\_error header strm filename msg ofs len =

```
begin
 out_string ("\n" ^ header);
 let trick = if ofs = 0 then 1 else ofs in
 let (I num, I ofs, line) = find error trick strm in
 let s = create string (l of s-1+len) in
 out_string ("\nFile \"" ^ filename ^ "\""
             ^ ", line " ^ (string_of_int l_num)
             ^ ", char " ^ (string_of_int l_ofs)
             ^ "\n");
 out_string ("> " ^ line ^ "\n");
 fill_string s 0 (l_ofs-1) '';
 fill_string s 0 (tabulations line) '\t';
 fill_string s (l_ofs-1) len '^';
 out_string ("> " ^ s ^ "\n");
 out_string ("> " ^ msg ^ " at char ");
 out_string ((string_of_int trick) ^ "\n")
end
```

# 5.4 Analysis method

Viewing an analysis method has to do with the election of the programming language used for implementation. Caml Light is a statically strong-typed language, what implies that functions may take as argument a function and return a function. In attribute grammars terminology the values passed to the parsers (i-e. analysis functions) are called *inherited attributes*, and their result *synthesised attributes*. In operational terms, the tree of function calls is named *derivation tree*.

As it is said in the introduction, Caml Light allows a descendent analysis, that is to say that the derivation tree is built from nodes to leaves. We call abstract-syntax tree the result of the highest-level parser (i-e. the synthesised attribute of the grammar axiom). The result of a parser can be either an abstract-syntax subtree or a function of which later application will produce an abstract-syntax tree.

In the frame of our present study, we voluntarily restrict us to a *purely* synthesised analysis, that is to say information for parser result building circulate in the derivation tree from the leaves to the nodes — in other words: there's no inherited attributes. This is done thanks to functional synthesised attributes. Assume indeed that a node of the derivation tree has an inherited attribute, we remove it and we abstract<sup>8</sup> the synthesised attribute with regards to this inherited attribute. Thus the final computation will be done at the level of the father of this node, where is all the needed information.

This method presents the advantage, in the eventual frame of an automatic parser generation, to separate well syntax and calculation of the syntactic tree. Indeed, if the first pass is devoted to the generation of a parser which answers yes or no, according to syntactic correction, then the second pass completes this parser instead of rewriting the argument declarations. Moreover we visually separate the nature of attributes: inherited for parsing and syntax errors handling and synthesised for abstract-syntax tree computation. One could object that if the number of inherited attributes at the beginning is large, we lose in readability at the end. The example of applying this method to ASN.1:1990 shows it is nevertheless a worthwhile approach. The sole concession to functionality is two top-level references representing the current module name and the default type-tagging mode, because these informations can be useful at any moment and it would have been cumbersome to abstract all the synthesised attributes over these values.

The semantics of stream pattern matchings impose on the other hand that attribute evaluation is from left to right. Hence, if we have the rule:

 $Z \to X_0 X_1 \dots X_n$ , each  $X_i$  having as synthesised attributes  $s_i$ , then  $s_i$  can be function of the  $s_{j < i}$ , but not of the  $s_{j > i}$ .

<sup>&</sup>lt;sup>8</sup> To abstract an expression e with regards to a variable x consists in forming the function fun  $x \to e$ .

# 5.5 General form of parsers

### 5.5.1 Code structuring

We have to define first a Caml Light type with two constant constructors, and of which values passed to parsers indicate in case of syntactic failure whether the parser must stop (and give an error message) or not.

```
type Parsing mode = Abort | Fail;;
```

In order to allow eventual partial applications of these parsers, we place the argument of type Parsing\_mode in first position. Therefore the general forma of parsers is:

```
\begin{tabular}{ll} \textbf{let} & my\_parser & mode & = & \textbf{function} \\ & [\langle \ \dots \ \rangle] & \to \ \dots \\ & | \ [\langle \ \dots \ \rangle] & \to \ \dots \\ & | \ [\langle \ strm \ \rangle] & \to & \textbf{match} \ mode & \textbf{with} \\ & & Fail & \to \ raise \ Parse\_failure \\ & & | \ Abort & \to \ syntax\_error \ "My \ message" \ strm \\ \end{tabular}
```

If know that the parser will never stop the analysis (we'll see in 5.7 how to decide this point), it's enough to put for the moment an empty error message.

We understand now better one of the advantages to have no rule producing explicitly  $\varepsilon$ : we use the empty stream pattern for error handling.

### 5.5.2 Renaming rules

A small problem about naming conventions of parser was til now hidden. A priori we take as identifiers the names of the associated grammatical rules, but we must take care to not generate illegal Caml Light identifiers, like keywords. So, if this situation occurs, we must treat specially these names. For instance, here we chose to prefix the generated identifier by the character  $\mathbf{x}$ .

### 5.6 Rational operators coding

The rational operators correspond to high-order parsers: they take as first argument the necessary parsers, then the failure mode and finally the token stream. This way we can partially apply an operator to its first argument and thus we obtain a parser which can be in turn be used to apply another operator. In other words: we can *combine* arbitrarily operators.

### 5.6.1 $\mathbf{X} \rightarrow \alpha^*$

This operator definition was:  $X \to \alpha X \mid \varepsilon$ . Its semantics is the list of the semantics of the  $\alpha$  read:

#### **let rec** star my parser mode = **function**

```
[\langle (my_parser Fail) sem; (star mode my_parser) lst \rangle] \rightarrow sem::lst | [\langle \rangle] \rightarrow [ ] ;;
```

#### **5.6.2** $X \to \alpha^+$

This operator definition was:  $X \to \alpha \alpha^*$ . Its semantics is the list of the semantics of the  $\alpha$  read:

#### **let** plus my\_parser mode = **function**

```
 [\langle \text{ (my\_parser mode) sem; (star mode my\_parser) lst } \rangle] \rightarrow \text{sem::lst}
```

#### 5.6.3 $\mathbf{X} \rightarrow [\alpha]$

Let's recall the definition: $X \to \alpha \mid \varepsilon$ . A first approach consistent with the other operators is to return the empty list (empty is  $\varepsilon$  is read).

## **let** option my\_parser mode = **function**

## 5.6.4 { $A \ a \ ...$ }\*

The definition was:  $X \to |A(aA)^*$ . We first code an auxiliary function which is also useful to operator  $\{Aa...\}^*$ , and which corresponds to  $(aA)^*$ :

## **let rec** aux<sub>1</sub> elm term mode strm =

```
let sym = function

Symbol (\_, syn) \rightarrow syn = term

|\_ \rightarrow false

in match strm with

[\langle (stream\_check sym)\_; (elm Abort) e; (aux_1 elm term mode) | \rangle] \rightarrow e::|

|[\langle \rangle] \rightarrow []

;;
```

The function elm parses the nonterminal "A", and term reads the token "a". Symbol is token constructor of which first argument is its location in the text source (int type), and of which second argument is its concrete syntax (string type). It follows:

```
let list_star elm term mode = function
  [\langle (elm \ Fail) \ e; (aux_1 \ elm \ term \ mode) \ lst \rangle] \rightarrow e::lst
\mid [\langle \rangle] \rightarrow []
;;
5.6.5 \{ A a ... \}^+
The definition was: X \to A (a A)*. We then get directly:
let list_plus elm term mode = function
  [\langle (elm \ mode) \ e; (aux_1 \ elm \ term \ mode) \ lst \rangle] \rightarrow e::lst
;;
5.6.6 \{[A] \ a \dots \}
     Recall the definition: X \to \varepsilon \mid A \ (a \ [A])^* \mid (a \ [A])^+, what can be rewritten:
X \to \varepsilon \mid A \text{ (a [A])}^* \mid a [A] \text{ (a [A])}^*. We first define an auxiliary parser which recognise (a
[A])^*:
let aux_2 elm term mode strm =
  let sym = function
    \mathsf{Symbol}\;(\underline{\phantom{a}},\,\mathsf{syn})\to\mathsf{syn}=\mathsf{term}
  \underline{\phantom{a}} \rightarrow \mathsf{false}
  in match strm with
        [\langle (stream\_check sym) \_; (option elm mode) e; (aux_2 elm term mode) lst \rangle] \rightarrow e::lst
      | [\langle \rangle] \rightarrow []
;;
Therefore:
let list_opt elm term mode = function
 [\langle (elm \ Fail) \ e; (aux_2 \ elm \ term \ mode) \ lst \rangle] \rightarrow (Some \ e)::lst
| [\langle 'Symbol (\underline{\ }, term); (option elm mode) e; (aux_2 elm term mode) lst \rangle] \rightarrow e::lst
\mid [\langle \rangle] \rightarrow []
```

## 5.7 Optimisations

Until now parsers have the same format that makes necessary a parameter indicating the behaviour in case of syntactic failure (Cf. 5.5). But it is clear that some functions, in case of failure, can never stop the parsing process, and others make it stop always. These are the parsers we want now to optimise, suppressing their useless behaviour-parameters, and we do the same with rational operators.

The advantage of such an optimisation is double: in one hand we create less closures, and on the other hand we remove useless code. The disadvantage is also double: in one hand we need an additional previous analysis of the grammar, and on the other hand we lose the ability to combine arbitrarily operators (for typing reasons).

```
5.7.1 \mathbf{X} \rightarrow \alpha^*
```

We had:

```
let rec star my_parser mode = function   [\langle \text{ (my_parser Fail) sem; (star my_parser mode) lst } \rangle] <math>\rightarrow \text{ sem::lst} | [\langle \text{ } \rangle] \rightarrow []
```

We note that argument mode is useless. Indeed we must always accept  $\varepsilon$ , and hence my\_parser must never interrupt execution. We assume therefore from now that we pass as argument a parser which never aborts the process, because it doesn't have any behaviour-argument and it raises Parse\_failure on  $\varepsilon$ , or because it owns a behaviour-argument and this one has been applied to Fail. The code becomes:

```
let rec star my_parser = function  \begin{array}{l} [\langle \mbox{ my_parser sem; (star my_parser) lst } \rangle] \rightarrow \mbox{sem::lst} \\ [\langle \mbox{ } \rangle] \rightarrow [\mbox{ } ] \\ \vdots \\ \hline \mbox{5.7.2} \quad \mbox{ } \mathbf{X} \rightarrow \alpha^+ \\ \mbox{We got:} \\ \\ \mbox{let plus my_parser mode} = \mbox{function} \\ [\langle \mbox{ (my_parser mode) sem; (star my_parser mode) lst } \rangle] \rightarrow \mbox{sem::lst} \\ \vdots \\ \end{array}
```

We cannot here remove the behaviour-parameter in the operator: it is my\_parser which determines its usage. The optimisation of star implies nevertheless the modifications of its calls:

```
let plus my_parser mode = function  [\langle \text{ (my_parser mode) sem; (star (my_parser Fail)) lst } \rangle] \rightarrow \text{sem::lst} ;;   5.7.3 \quad \mathbf{X} \rightarrow [\alpha]  We had:  [\text{det option my_parser mode = function} [\langle \text{ (my_parser Fail) sem } \rangle] \rightarrow [\text{sem}]   [\langle \text{ (my_parser Fail) sem } \rangle] \rightarrow [\text{sem}]
```

We understand here we can suppress the behaviour-parameter because it is left unused inside the function body (and  $\varepsilon$  must always be readable). We thus modify the operator as we did for star, taking care of changing all the calls to option in accordance. On the other hand since we chose to optimise (and therefore to lose the common format for operator types), it would be better to change the type of the returned value from option. We see indeed that the semantics of this operator is particular: on one hand we should express "the semantics of  $\alpha$ ", and on the other hand "no semantics". In order to do so, we define a polymorphic type which allow us to build such optional values:

```
type \alpha Option = Some of \alpha | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None | None
```

```
let rec aux_1 elm term mode strm =
  let sym = function
     \mathsf{Symbol}\ (\underline{\ \ },\,\mathsf{syn})\to\mathsf{syn}=\mathsf{term}
  |\_ \to \mathsf{false}
  in match strm with
         [\langle \text{ (stream\_check sym)} \_; \text{ (elm Abort) e; } (\text{aux}_1 \text{ elm term mode) } I \rangle ] \rightarrow \text{e::} I
       | [\langle \rangle] \rightarrow []
;;
      It's obvious that the behaviour-parameter is useless because it serves only to the recursive
call (and \varepsilon must always be readable). So:
let rec aux_1 elm term strm =
  let sym = function
     Symbol (\underline{\ }, syn) \rightarrow syn = term
  \underline{\hspace{0.1in}} \rightarrow false
  in match strm with
         [\langle \text{ (stream\_check sym)} \_; \text{ (elm Abort) e; (aux}_1 \text{ elm term) lst } \rangle] \rightarrow e::lst
       | [\langle \rangle] \rightarrow []
;;
Moreover we had:
let list_star elm term mode = function
  [\langle (\mathsf{elm} \; \mathsf{Fail}) \; \mathsf{e}; \; (\mathsf{aux}_1 \; \mathsf{elm} \; \mathsf{term} \; \mathsf{mode}) \; \mathsf{lst} \; \rangle] \to \mathsf{e}::\mathsf{lst}
\mid [\langle \rangle] \rightarrow []
Hence:
let list_star elm term = function
 [\langle (\mathsf{elm} \; \mathsf{Fail}) \; \mathsf{e}; \; (\mathsf{aux}_1 \; \mathsf{elm} \; \mathsf{term}) \; \mathsf{lst} \; \rangle] \to \mathsf{e}::\mathsf{lst}
| [\langle \rangle] \rightarrow []
;;
5.7.5 \{ A a ... \}^+
We got:
let list plus elm term mode = function
  [\langle \text{ (elm mode) e; (aux}_1 \text{ elm term) lst } \rangle] \rightarrow e::lst
Here we cannot remove the behaviour-parameter.
```

```
5.7.6 {[A] a ...} We had: let aux_2 elm term mode strm = aux_2 let aux_2 elm term mode strm = aux_2 let aux_2 elm term mode aux_2 let aux_2 elm term aux_2 let aux_2 elm term aux_3 let aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 elm term mode aux_4 let aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term mode aux_4 elm term
```

We have to remove the argument mode from the call to option. Thus the behaviour-parameter can be suppress because it is only used in the recursive call (and  $\varepsilon$  is accepted). Therefore:

```
let rec aux_2 elm term strm = let sym = function Symbol (_, syn) \rightarrow syn = term | _ \rightarrow false in match strm with [\langle (stream_check sym) _; (option elm) e; (aux_2 elm term) lst \rangle] \rightarrow e::lst | [\langle \rangle] \rightarrow []
```

On the other hand we gave:

```
let list_opt elm term mode = function  [\langle \text{ (elm Fail) e; (aux}_2 \text{ elm term mode) lst } \rangle] \rightarrow (Some e)::lst | [\langle \text{ 'Symbol (\_, term); (option elm mode) e; (aux}_2 \text{ elm term mode) lst } \rangle] \rightarrow e::lst | [\langle \text{ } \rangle] \rightarrow [] ;;
```

We have to remove the argument mode from the call to option. Thus the behaviour-parameter can be suppress because it is only used in the recursive call (and  $\varepsilon$  is accepted). Therefore:

```
 \begin{array}{l} \textbf{let} \ \mathsf{list\_opt} \ \mathsf{elm} \ \mathsf{term} = \textbf{function} \\ \ \ [\langle \ \mathsf{elm} \ \mathsf{e}; \ (\mathsf{aux}_2 \ \mathsf{elm} \ \mathsf{term}) \ \mathsf{lst} \ \rangle] \ \to \ (\mathsf{Some} \ \mathsf{e}) :: \mathsf{lst} \\ \ | \ [\langle \ '\mathsf{Symbol} \ (\underline{\ \ }, \ \mathsf{term}); \ (\mathsf{option} \ \mathsf{elm}) \ \mathsf{e}; \ (\mathsf{aux}_2 \ \mathsf{elm} \ \mathsf{term}) \ \mathsf{lst} \ \rangle] \ \to \ \mathsf{e} :: \mathsf{lst} \\ \ | \ [\langle \ \rangle] \ \to \ [\ ] \\ \ ;; \end{array}
```

#### 5.7.7 Nonterminal analysis

Suppose here we work with the non-implementative ASN.1:1990 grammar (Cf. 3.5), although the optimisation method works fine also with the implementative grammar (Cf. 7.1). We classify in three kinds the parsers, along with their behaviour in case of failure of the stream pattern matching.

Passing There are parsers which never abort execution.

Blocking There are parsers which always abort execution.

Mixed There are parsers which can abort or not execution, along with their context call.

If the axiom (i-e. the entry point of the grammar) is never called *inside* the grammar, then its associated parser is considered as being passing or blocking, in accordance whether the empty word ( $\varepsilon$ ) belongs to the language.

First of all, we traverse the grammar ignoring the expressions which involve the rational operators seen in (1.3). If a parser is always called in head of a pattern, then it is passing; if it is always called after the head of a pattern, then it is blocking; otherwise it is mixed. Parsers of nonterminals which only appear inside rational expressions are considered as being passing for the moment.

And now we take a look to the rational expressions:

- 1.  $\alpha^*$ 
  - We must distinguish the first element of  $\alpha$ . If it's a nonterminal of which associated parser was blocking, then it becomes mixed. For each following nonterminal, if their parser was passing, it then becomes mixed.
- $2. \alpha^+$

Let aside the first element of  $\alpha$ . For each following nonterminal, if their parser was passing, then it becomes mixed. We must distinguish now along the rational expression position. If  $\alpha^+$  is at the head of a stream pattern and the parser of the first element of  $\alpha$  was blocking, then it becomes mixed. If  $\alpha^+$  is not at the head and the parser of the parser of the first element of  $\alpha$  was passing, then it becomes mixed.

3.  $[\alpha]$ 

The same as  $\alpha^*$ .

4. { **A a** ...}\*

If the parser of A was passing or blocking, then it becomes mixed.

5. { **A a** ...}<sup>+</sup>

The same as  $A^+$ .

6. 
$$\{ [A] a \dots \}$$
  
The same as  $[A]$ .

To sum up, and assuming in the previous enumeration that  $\alpha = A$ :

	Head	${ m A}\ \it before$	A after
A*		Blocking	Mixed
A +	Yes	Blocking	Mixed
	No	Passing	
[A]		Blocking	Mixed
$\{A \ a \ \dots\}^*$			Mixed
{A a}+	Yes	Blocking	Mixed
	No	Passing	
$\{[A] a \dots\}$		Blocking	Mixed

All the necessary mixed parsers need a behaviour-argument; nonetheless some passing or blocking parsers, though they not use such an argument, can need it for typing reasons. For instance it's enough that one of these parsers is passed to an operator  $\alpha^+$  or  $\{Aa...\}^+$ , because they need a behaviour-parameter in order to be applied uniformly. So the last step of the method resides in detect which are these parsers and to impose to them a behaviour-parameter. It's this phenomenon that restricts partially the scope of the optimisation process, but the application to ASN.1:1990 shows that only one parser among sixty has a useless parameter.

The blocking parsers have the form:

```
\begin{array}{l} \textbf{let} \ \ \text{my\_parser} \ \ mode^{opt} = \textbf{function} \\ \ \ [\langle \ \dots \ \rangle] \to \dots \\ \ \ | \ \dots \ \rangle] \to \dots \\ \ \ | \ [\langle \ \text{strm} \ \rangle] \to \text{syntax\_error} \ \text{``My message''} \ \text{strm} \\ \ \ \vdots \\ \end{array}
```

Note that the optional behaviour-parameter mode is put in italics with an exponent opt.

The passing parsers have the form:

```
\begin{array}{l} \textbf{let} \ \ \text{my\_parser} \ \ mode^{\ opt} = \textbf{function} \\ \ \ [\langle \ \dots \ \rangle] \to \dots \\ \ \ | \ \dots \ \rangle] \to \dots \\ \ \ \vdots \end{array}
```

Idem for the optional parameter notation. Notice in this case there's no empty pattern: Parse\_failure is automatically raised when all the head-patterns fail.

The mixed parsers keep of course the form given in (5.5).

### 5.7.8 Token analysis

We analyse the grammar and we build the set of tokens which do not start any rule. For each one we define a *blocking* parser. Thus, at parser writing-time, we'll take care of reading explicitly the tokens appearing in head of the stream patterns, and the other terminals with their specific blocking parser. This way we remove the possibility of a Parse\_error raising when a failure on a token inside a pattern.

# 6 Lexical analysis of ASN.1:1990

# 6.1 A grammar for the ASN.1:1990 lexicon

From the ISO documentwe can extract a grammar (non LL(1)) for the lexicon. For more details, have a look to the annex below.

```
"a" | "b" | . . . | "z"
Lower
                 "A" | "B" | ...| "Z"
Upper
                 Lower | Upper
Letter
Digit
             \rightarrow "0" | "1" | ... | "9"
                 Letter | Digit
Alpha
ExtAlpha
                 Alpha | extrasym
                  "H" | "B"
HexaBin
Lexer
                 Tokens*
Tokens
                 Blank* Start
Blank
                  "" | "\t" | "\n"
Start
                 stdsym
                 Digit<sup>+</sup>
                  "-" [AuxMinus]
                  "." [AuxDot]
                  ":" [AuxColon]
                  "\" AuxString
                  "," Alpha* "," HexaBin
                 Lower AuxRef
                 Upper AuxRef
AuxMinus
                  "-" [Comment]
                  "." ["."]
AuxDot
{\bf Aux Colon}
                  ":" [Four]
                 ExtAlpha* "\"" ["\"" AuxString]
AuxString
                  Alpha* "-" (Alpha+ "-")* AuxMinus
AuxRef
Comment
                  "\n" | '-' [AuxCom] | ExtAlpha [Comment]
                  "-" | Comment
{\bf AuxCom}
                  "=" | AuxColon
Four
```

## 6.2 Lexical ambiguities

According to the ISO document, several terminals semantically different are not lexically distinguishable: only the context of use can tell us which they are. For example, a type identifier typereference is identical to a module identifier modulereference. The same, a value identifier valuereference is identical to a field identifier identifier in a SEQUENCE type. In the ASN.1:1990 grammar they will be denoted respectively by the identifiers upper and lower. When there's no ambiguity, we put in underscript the nature of the terminal:

```
typereference, modulereference \rightsquigarrow upper _{typ}, upper _{mod}, upper valuereference, identifier \rightsquigarrow lower _{val}, lower _{id}, lower
```

The terminals bstring and hstring have the same semantics (which is to denote a number in binary or hexadecimal base) and thus are merged under the terminal basednum.

Moreover, cstring which denotes a character string is renamed simply string.

## 7 Parsing ASN.1:1990

We here carry out all that was said in the previous section in order to write a parser for ASN.1:1990.

# 7.1 An ASN.1:1990 grammar for implementation

We apply the previous transformations and also the following, for rational operators uniform coding (Cf. 1.3):

$X \to \alpha [\beta] \gamma   \dots$	haaamaa	$X \rightarrow \alpha [I]$		$\alpha$ [B] $\gamma \mid \dots$
	vecomes	В	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$oldsymbol{eta}$
V 0+	1	Χ	$\rightarrow$	$\alpha B^+ \gamma   \dots$
$X \to \alpha \beta^+ \gamma   \dots$	vecomes	В	$B \rightarrow \mu$	$oldsymbol{eta}$
$X \to \alpha \beta^* \gamma \mid \dots$	haaamaa	Χ	$\rightarrow$	$\alpha B^* \gamma   \dots$
	oecomes	В	$\rightarrow$	$oldsymbol{eta}$

except if  $\beta$  is actually a nonterminal.

It is obvious that the resulting grammar is still LL(1). Here's the result for ASN.1:1990:

MODULES				
ModuleDefinition	$\rightarrow$	ModuleIdentifier DEFINITIONS [Tagging] "::=" BEGIN [ModuleBody] END		

```
Module Identifier
                                upper_{mod} [ObjIdCompLst]
                                "{" ObjIdComponent "}"
{\bf ObjIdCompLst}
{\bf ObjIdComponent}
                               number
                                \mathtt{upper}_{mod} "." \mathtt{lower}_{val}
                                lower [ClassAttr]
                                "(" ClassNumber ")"
{\bf ClassAttr}
                                TagDefault TAGS
Tagging
                                EXPLICIT
TagDefault
                                IMPLICIT
Module Body
                                Exports [Imports] Assignment<sup>+</sup>
                                Imports Assignment<sup>+</sup>
                                Assignment<sup>+</sup>
                                EXPORTS {Symbol "," ... }* ","
Exports
                               IMPORTS SymbolsFromModule* ";"
Imports
                                {Symbol "," ...}+ FROM ModuleIdentifier
{\bf Symbols From Module}
Symbol
                                \mathtt{upper}_{typ}
                                \mathtt{lower}_{val}
                                upper_{typ} "::=" Type
Assignment \\
                                lower<sub>val</sub> Type "::=" Value
```

## **TYPES**

Type	$\rightarrow$	$lower_{id}$ "<" Type
		upper [AccessType] SubtypeSpec*
		$ m NULL~SubtypeSpec^*$
		AuxType
AccessType	$\rightarrow$	"." $\mathtt{upper}_{typ}$
AuxType	$\rightarrow$	"[" [Class] ClassNumber "]" [TagDefault] Type
		BuiltInType SubtypeSpec*
	ĺ	SetSeq [TypeSuf]
$\operatorname{SetSeq}$	$\rightarrow$	SET
		SEQUENCE
TypeSuf	$\rightarrow$	${ m SubtypeSpec^+}$
		"{" {ElementType ","}* "}" SubtypeSpec*
	ĺ	SIZE SubtypeSpec OF Type
	j	OF Type

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```
BuiltIn\,Type
                       BOOLEAN
                       INTEGER [NamedNumLst]
                       BIT STRING [NamedBitLst]
                       OCTET STRING
                       CHOICE "{" {NamedType "," ...}+ "}"
                       ANY [AnySuf]
                       OBJECT IDENTIFIER
                       ENUMERATED NamedNumLst
                       REAL
                        "NumericString"
                        "PrintableString"
                        "TeletexString"
                        "T61String"
                       "VideotexString"\\
                        "VisibleString"
                        "ISO646String"
                        "IA5String"
                        "GraphicString"
                        "GeneralString"
                       EXTERNAL
                        "UTCTime"
                        "GeneralizedTime"
                        "Object Descriptor"\\
                       "{" {NamedNumber "," \dots}+ "}" "{" {NamedBit "," \dots}+ "}"
NamedNumLst
NamedBitLst
AnySuf
                       DEFINED BY lower_{id}
NamedType
                       lower_{id} ["<"] Type
                       upper [AccessType] SubtypeSpec*
                       NULL SubtypeSpec*
                       AuxType
NamedNumber
                       lower<sub>id</sub> "(" AuxNamedNum ")"
AuxNamedNum
                       number
                        "-" number
                       {	t lower}_{val}
                       \mathtt{upper}_{mod} "." \mathtt{lower}_{val}
```

NamedBit	$\rightarrow$	$lower_{id}$ "(" ClassNumber ")"
ElementType $ElementTypeSuf$	→       	NamedType [ElementTypeSuf] COMPONENTS OF Type OPTIONAL DEFAULT Value
Class ClassNumber	→	UNIVERSAL APPLICATION PRIVATE number lower $val$ upper $mod$ "." lower $val$

## VALUES

$\underline{Value}$	$\rightarrow$	AuxVal0
		upper AuxVal1
		lower [AuxVal2]
	ĺ	number
	ĺ	"-" number
AuxVal0	$\rightarrow$	$\operatorname{BuiltInValue}$
		AuxType ":" Value
	j	NULL [SpecVal]
AuxVal1	$\rightarrow$	$\operatorname{SpecVal}$
		"." AuxVal11
AuxVal2	$\rightarrow$	":" Value
		"<" Type ":" Value
AuxVal11	$\rightarrow$	$\operatorname{upper}_{typ}\operatorname{SpecVal}$
		$lower_{val}$
${ m SpecVal}$	$\rightarrow$	SubtypeSpec <sup>+</sup> ":" Value
		"." Value

```
BuiltIn Value
                   TRUE
                   FALSE
                   PLUS-INFINITY
                   MINUS-INFINITY
                   basednum
                   string
                   "{" [BetBraces] "}"
                   AuxVal0 [AuxNamed]
BetBraces
                   "-" number [AuxNamed]
                   lower [AuxBet1]
                   upper AuxBet2
                   number [AuxBet3]
                   "(" ClassNumber ")" ObjIdComponent*
AuxBet1
                   {\bf AuxNamed}
                   AuxVal2 [AuxNamed]
                   "-" number [AuxNamed]
                   AuxVal0 [AuxNamed]
                   lower [AuxBet11]
                   number [AuxBet3]
                  upper AuxBet2
AuxBet2
                   SpecVal [AuxNamed]
                   "." AuxBet21
                  ObjIdComponent^+
AuxBet3
                  AuxNamed
AuxBet11
                   "(" ClassNumber ")" ObjIdComponent*
                   ObjIdComponent+
                   AuxVal2 [AuxNamed]
                   {\bf AuxNamed}
AuxBet21
                   upper_{typ} SpecVal [AuxNamed]
                  lower_{val} [AuxBet3]
                  "," {NamedValue "," ...}+
AuxNamed
NamedValue
                   lower [NamedValSuf]
                   upper AuxVal1
                   number
                   "-" number
                   AuxVal0
NamedValSuf
                   Value
                   AuxVal2
```

		SUBTYPES
SubtypeSpec SubtypeValueSet		"(" {SubtypeValueSet " "}+ ")" INCLUDES Type MIN SubValSetSuf FROM SubtypeSpec SIZE SubtypeSpec WITH InnerTypeSuf SVSAux
SubValSetSuf UpperEndValue		"" ["<"] UpperEndValue "<" "" ["<"] UpperEndValue Value MAX
InnerTypeSuf  MultipleTypeConstraints NamedConstraint  PresenceConstraint	$\overset{ }{\rightarrow}$	COMPONENT SubtypeSpec COMPONENTS MultipleTypeConstraints  "{" ["" ","] {[NamedConstraint] "," } "}" lower <sub>id</sub> [SubtypeSpec] [PresenceConstraint] SubtypeSpec [PresenceConstraint] PresenceConstraint PRESENT ABSENT OPTIONAL

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SVSAux	$\rightarrow$	BuiltInValue [SubValSetSuf]
		AuxType ":" SVSAux
	İ	NULL [SVSAux3]
		upper SVSAux1
		lower [SVSAux2]
		number [SubValSetSuf]
		"-" $number [SubValSetSuf]$
SVSAux1	$\rightarrow$	SubtypeSpec+ ":" SVSAux
		":" SVSAux
	į	"." SVSAux11
SVSAux2	$\rightarrow$	":" SVSAux
		"." ["<"] UpperEndValue
	Ì	"<" SVSAux21
SVSAux3	$\rightarrow$	SubtypeSpec <sup>+</sup> ":" SVSAux
		":" SVSAux
	j	SubValSetSuf
SVSAux11	$\rightarrow$	$upper_{typ} SubtypeSpec^*$ ":" $SVSAux$
		$lower_{val}$ [SubValSetSuf]
SVSAux21	$\rightarrow$	
		"" ["<"] UpperEndValue
	ı	[ . ] - F.E.

## 7.2 Parser optimisation

We carry out here the optimisation method we previously saw for parsers, using the grammar for implementation. Note that we add a rule (Specification  $\rightarrow$  ModuleDefinition<sup>+</sup>) which plays the role of "super-axiom" (i-e. "super entry-point"), in order to allow the parsing of various ASN.1 modules in the same source file. We point out for each parser if it's need the behaviour-parameter mode (even if it does not use it). We get:

Parser	Status	Mode	Error message
Specification	Blocking	No	Module definition expected
ModuleDefinition	Passing	Yes	-
ModuleIdentifier	Mixed	Yes	Module reference expected
ObjIdCompLst	Passing	No	
ObjIdComponent	$\operatorname{Mixed}$	Yes	Object identifier component expected
${ m ClassAttr}$	Passing	No	
Tagging	Passing	No	
${ m TagDefault}$	Passing	No	
ModuleBody	Passing	No	
Exports	Passing	No	
Imports	Passing	No	
SymbolsFromModule	Passing	No	
Symbol	Mixed	Yes	Type reference or value reference expected
Assignment	Mixed	Yes	Type definition or value definition expected
Type	Mixed	Yes	Type expected
AccessType	Passing	No	
AuxType	Passing	No	
$\operatorname{SetSeq}$	Passing	No	
TypeSuf	Passing	No	
BuiltInType	Passing	No	
NamedNumLst	Mixed	Yes	Left braces beginning a
			named number list expected
NamedBitLst	Passing	No	
AnySuf	Passing	No	
NamedType	Mixed	Yes	Named type expected
NamedNumber	$\operatorname{Mixed}$	Yes	Named number expected
AuxNamedNum	Blocking	No	Number or external value reference expected
NamedBit	Mixed	Yes	Named bit expected
ElementType	Mixed	Yes	Named type or inclusion clause expected
ElementTypeSuf	Passing	No	
Class	Passing	No	
${ m ClassNumber}$	Blocking	No	Unsigned number or
			external value reference expected
Value	Mixed	Yes	Value expected
AuxVal0	Passing	No	
AuxVal1	Blocking	No	Subtype specification or symbol ':'
			or symbol '.' expected
AuxVal2	Passing	No	
AuxVal11	Blocking	No	Type reference or value reference expected
$\operatorname{SpecVal}$	Mixed	Yes	Subtype specification or symbol ':' expected
BuiltInValue	Passing	No	

Parser	Status	Mode	Error message
BetBraces	Passing	No	
AuxBet1	Passing	No	
AuxBet2	Blocking	No	Subtype specification or symbol ':'
			or symbol '.' expected
AuxBet3	Passing	No	
AuxBet11	Passing	No	
AuxBet21	Blocking	No	Type reference or value reference expected
AuxNamed	Passing	No	
NamedValue	Mixed	Yes	Named value expected
NamedValSuf	Passing	No	
SubtypeSpec	Mixed	Yes	Left bracket beginning a
			subtype specification expected
SubtypeValueSet	Mixed	Yes	Subtype value set expected
SubValSetSuf	Mixed	Yes	Symbol '' or symbol '<' expected
UpperEndValue	Blocking	No	Value or MAX clause expected
InnerTypeSuf	Blocking	No	Keyword COMPONENT
			or keyword COMPONENTS expected
MultipleTypeConstraints	Blocking	No	Multiple type constraints expected
NamedConstraint	Passing	No	
PresenceConstraint	Passing	No	
SVSAux	Mixed	Yes	Value expected
SVSAux1	Blocking	No	Subtype specification or symbol ':'
			or symbol '.' expected
SVSAux2	Passing	No	
SVSAux3	Passing	No	
SVSAux11	Blocking	No	Type reference or value reference expected
SVSAux21	Blocking	No	Type or symbol '' expected

We give now a part of the interface of the lexer, in order to understand the coding of parsers for tokens.

;;

```
| XString of Location * Syntax
| Symbol of Location * Syntax
| Sentry of Location
```

The first parameter of the constructors correspond to the location of the first character of the token in the ASN.1 source file, and the second one id for the concrete syntax of the token, i-e. its characteristic character string. The four first ones are obvious. BasedNum correspond to the basednum of the grammar and XString to string (Cf. 6.2). Symbol gather all ASN.1:1990 symbols, as ':', '..', '(', '\{'}, etc. Sentry is fictive token for private use.

Here is now the code for token parsing:

```
let term_kwd syn strm =
   let kwd = function
      Keyword (\underline{\ }, x) \rightarrow x = syn
    \_ \to \mathsf{false} 
   in match strm with
         \begin{array}{l} [\langle \ ({\sf stream\_check \ kwd}) \ \_ \ \rangle] \ \to \ () \\ |\ [\langle \ \rangle] \ \to \ {\sf syntax\_error} \ (\text{``Keyword''} \ \hat{} \ {\sf syn} \ \hat{} \ \text{`` expected''}) \ {\sf strm} \end{array} 
let term sym syn strm =
   let sym = function
      Symbol (\underline{\ }, x) \rightarrow x = syn
   \underline{\hspace{0.1in}} \longrightarrow false
   in match strm with
         \begin{array}{c} [\langle \; ({\sf stream\_check \; sym}) \; \_ \; \rangle] \; \to \; () \\ |\; [\langle \; \rangle] \; \to \; {\sf syntax\_error} \; ("{\sf Symbol} \; " \; {\sf `syn} \; {\sf `` ' \; expected"}) \; {\sf strm} \end{array} 
let term val = function
   [\langle Lower(\underline{\phantom{A}}, id) \rangle] \rightarrow id
| [\langle strm \rangle] \rightarrow syntax_error "Value reference expected" strm
;;
let term_id = function
 [\langle Lower(\underline{\ },id) \rangle] \rightarrow id
| [\langle strm \rangle] \rightarrow syntax\_error "Value identifier expected" strm
let term_type = function
  [\langle 'Upper(\underline{\ },id) \rangle] \rightarrow id
\mid \left[ \left\langle \text{ strm } \right\rangle \right] \rightarrow \text{syntax\_error "Type reference expected" strm}
```

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Notice the absence of string or basednum, due to their exclusive presence in head of productions.

### 7.3 A YACC specification

It is easy to obtain a YACC specification from the grammar for implementation (just expand rational operator definitions). That means, as far as YACC accepts this inputs without reporting errors, that the new ASN.1:1990 grammar is also LALR(1)9 Note that a YACC specification is interesting only if it allows a rather easy abstract-syntax tree building in C. But because the new grammar is LL(1), it is very far from the initial one, and so we lose the intuitive semantics helpful for this aim. That's why it was necessary to employ all the features of the Caml Light language to implement the parser, and among them highorder functions<sup>10</sup>. This functionality allows also on-the-fly macro-processing, and thus to keep a one-pass parser. This feature is not available in most imperative languages, like C. It means more precisely that results need sometimes to be themselves functions which return abstract-syntax nodes, and not directly nodes (for instance in the (sub-)grammar of subtypes). Maybe transforming this specification (taking care to let the generated language invariant, of course) without generating conflicts, one will get a grammar suitable for easy abstract-syntax tree building in C (but forgetting macro-processing). Another approach for the reader who wants to develop a software in C interfaced with this Caml Light front-end, is to use some compiler like Bigloo, an optimising Caml<sup>11</sup> to C compiler, freely distributed by the INRIA. Cf. [6]. Another possible way is to write in Caml Light a back-end which maps the Caml abstract-syntax tree into a C one.

<sup>&</sup>lt;sup>9</sup>A LL(1) grammar is not always an LALR(1) one.

<sup>&</sup>lt;sup>10</sup>Functions may take as argument a function and return a function.

<sup>&</sup>lt;sup>11</sup>and Scheme!

## 8 An abstract-syntax tree for ASN.1:1990

We present the abstract-syntax tree designed for ASN.1:1990. We try to extract the maximum information from the parsing process without making calculations with the context, without exploring the produced tree to check out for instance if a referenced type exists, if a subtype is empty, if a value has a correct type, or if a module exists — all things relevant to semantic analysis. Nevertheless, we check out (with no computations) the produced subtree in order to get rid of some semantic ambiguities, but we do not examine outside of this current subtree (it's a kind of "local-scope syntactic type-checking"!). Figure p.130 sum up all the syntactic constructions that may appear in the structured ASN.1:1990 values (i-e. values specified between braces — see rule 'BetBraces'). Each set is characterised by a pseudo-production generating its elements. For example, considering the set tagged "..."," lower Value"," ... " one must understand that its superset is {NamedValue"," ...}+: thus it is the set of non-empty lists of named values of which at least one is explicitly named. Each intersection of these sets therefore stress a syntactic ambiguity: we associate to each domain a specific Caml Light constructor, denoting the semantics of the syntactic construction, the best we are able to. This denotation is graphically shown with tags (constructor identifiers) pointing into each domain (for instance GenOfV denotes the syntactic domain "..."," lower Value "," ... "). Some set elements are boxed: that means that the domain which contains them is finite and that all elements are written in the figure (all the other elements are also enclosed).

The definition of an abstract-syntax tree implies various difficulties.

Firstly, it's obvious that one must interpret the most correctly as possible a semantics given in natural language, with all the well-known risks of ambiguities and non-consistence it carries.

Secondly, it's compulsory to have a very unform naming convention for Caml Light identifiers. For example, integer numbers appear in numerous contexts, semantically different, and we have to generate a distinct identifier for each context. Following the example: NumCat denotes the number of a type tag, and NumBit qualify the position of a named bit in a BitString value. The rule consists in abbreviate the local meaning ("It's a number, thus Num), then to concatenate the abbreviation of its context ("...qualifying the position of a named bit, so NumBit.")

Thirdly, type definitions in Caml Light are sometimes afferent to the computation of the tree in itself, which may necessitate temporary nodes, i-e. nodes which don't serve to tree building, and never occur in the final tree.

Finally, the main difficulty is that several definitions are justified by the limits upon the desambiguisation of ASN.1:1990 types during parsing: we have ambiguous nodes which denote several semantics. Some subtrees denote an ASN.1:1990 value which has more than

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one types, after parsing. From the implementation point of view, this leads to constructor identifiers (the nodes) which are the concatenation of the possible type abbreviations (for the subtree of which they are the root). For instance: BitOctStrV denotes a value of type BitString or OctetString — ambiguity only could be removed thanks to their concrete syntax.

We found the following Caml Light type definitions, corresponding to the abstract-syntax tree of ASN.1:1990 in module ast.

## 8.1 Elementary definitions

First we present some basic definitions which correspond essentially to tokens. Note we here distinguish between valuereference and

identifier, and between typereference and modulereference.

We also found type Option (see 5.6.3). Beware! In the following, we use the term "identifier" in a larger sense than ISO does (identifier). In order to avoid ambiguities we flank it with a complement (e.g. "type identifier"), and otherwise it must be taken in the general sense of "string of alphanumeric characters denoting an ASN.1 type, value, module or identifier".

```
\begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \begin{tabular}{ll} \beg
```

## 8.2 Auxiliary values

We give the temporary node definitions (Caml Light value constructors) always used to build other nodes. They never settle in the abstract-syntax tree.

Generic allows the transmission of some tokens of which semantics is not yet available, and that will be found in dependent (calling) parser. TagMode serves to point out how to compute a type tag.

Taking a look to ASN.1:1990 grammar transformations, we realize that some rules where identified (for they generate the same sub-language), and therefore we have to recover their original different semantics, of which we didn't care about, to construct the tree. It's the case for example for the rule 'ClassNumber' (Cf. 3.1.3). Its associated subtree is a Caml Light value of type ClassNum: it may be a literal integer (NumCl) or an integer value exported from another module (DefValCl). It's the calling context of 'ClassNumber' that decides between the two semantics.

#### 8.3 Modules

Here's the nodes corresponding to the ASN.1:1990 module specification, without details about types, subtypes and values (for syntax, see 3.1).

```
type Spec = Spec of ModId * Scope * Def list and ...
```

The module specification is a triple made of a module identifier (ModId) — which references it in a unique way in the ISO tree —, a clause (Scope) defining identifier scoping, and a list of type and value definitions (Def).

A module identifier is a pair made up of a module identifier (MRef) and node list (ObjIdComp) from the ISO tree. The scoping clause (Scope) gather imported identifiers (Import) and exported ones (Export). Definitions (Def) are of two kinds: type definitions

(TypeDef) or value definitions (ValDef). A type definition is a pair made up of a type identifier (TRef) and a type definition (Type), strictly speaking. A value definition is a triple made of a value identifier (VRef), a type (Type) and value definition (Value), strictly speaking.

A node in the ISO tree is qualified

- by a number (NumObj).
- or by an external value reference (EVRObj), that is to say a value reference exported from another module.
- or by IdObj: an explicit node name (Ident) specified directly by an ISO subtree number (NumForm), or indirectly (in another module: DefValForm).
- or by a value identifier or value reference (IdVRefObj).

An importation clause (Import) is made up of as list of exported identifiers from other modules (SymMod). Each element of this list is a pair made up of the identifier list (Sym) strictly speaking and of the exporting module identifier.

An exportation clause consists of an identifier list (qualifying a list of entities defined inside the current module: Sym).

An identifier (Sym) denotes either a value (SymVal) or a type (SymType).

## 8.4 Types

```
and ... and Type = Type of Tag list * Desc * Constraint list and ...
```

An ASN.1:1990 type is fully determined by a tag list (Tag list), an effective description of the type structure (Desc) and a list of sub-typing constraints (Constraint).

An ASN.1:1990 tag (Tag) can either be undefined (Undefined) for any type (Any Type) tagging, or a triple composed of a normalised class (Class), a reference to the ISO catalogue (Cat) and a tagging mode (TagMode). This reference may be indirect (DefValCat), under the form of an exported value from another module.

```
and ...
and Desc = DefType of MRef * TRef
           BooleanT
           IntegerT of NamedNum list
           BitStrT of NamedBit list
           OctStrT
           NullT
           SegT of ElementType list
           SeqOfT of Type
           SetT of ElementType list
           SetOfT of Type
           ChoiceT of NamedType list
           SelectT of Ident * Type
           AnyT of Ident Option
            ObjldT
            EnumT of NamedNum list
           RealT
           UsefulT of UsefulT
           CharStrT of CharStr
and ...
```

The structural description of an ASN.1:1990 type settles on the following type ones: type exported from another module (DefType), boolean (BooleanT), integer (IntegerT), bit string (BitStrT), octet string (OctStrT), unspecified<sup>12</sup> (NullT), ordered set (called also "sequence") of heterogeneous types (SeqT), ordered set of homogeneous types (SeqOfT), unordered set (also merely called "set") of heterogeneous types (SetT), unordered set of homogeneous types (SetOfT), choice (ChoiceT), selected (SelectT), any (AnyT), qualifier in the ISO tree (ObjIdT), enumerated (EnumT), real number (RealT), useful (UsefulT) and character string (CharStrT).

```
and ... and NamedNum = NamedNum of Ident * AuxNamedNum and AuxNamedNum = NumNum of Sign * Nat  | \  \, \text{DefValNum of MRef * VRef}  and ...
```

A list of named relative numbers (NamedNum) is used to qualify integer and enumerated types. Renaming is done thanks to a value identifier (Ident), the integer strictly speaking (AuxNamedNum) may either be a literal (NumNum), or exported from another module (DefValNum).

```
and ... and NamedBit = NamedBit of Ident * AuxNamedBit and AuxNamedBit = NumBit of Nat  | \  \, \text{DefValBit of MRef * VRef} \,  and ...
```

A list of named bits (NamedBit) is used to qualify bit strings. Renaming is done thanks to a value identifier (Ident), the bit strictly speaking (AuxNamedBit) may either be a literal (NumBit), or exported from another module (DefValBit).

The type of ordered sets of types (sequences) is composed of a list of basic types (ElementType). A component (or "field") may be of the form:

<sup>&</sup>lt;sup>12</sup>Some people say (incorrectly because it contains a value): "empty type".

- Mandatory That means that one will be obliged to give the value corresponding to this field when defining the sequence value.
- Optional In this case we'll can forget the value of this field when defining the sequence value (note that a possible ambiguity here cannot be detected during parsing).
- Default The same as for Optional, except that if the value of this field is omitted a default value will be employed.
- Included The field can *logically* be expanded into the fields of the indicated type, which must thus be a sequence (that cannot be checked out at parsing-time). It's a flat inclusion rule, i-e. included fields are at the same scoping level as the others. The ASN.1:1990 in this case is COMPONENTS OF.

A named type (NamedType) is used to qualify the components upper (except if they are included). The ASN.1:1990 norm allows, when there's no *semantic* ambiguity, to omit the named type identifier — giving this way birth to a strange animal that could be christened "anonymous named type". That's why Ident is optional in the Caml Light definition.

No comment.

and ...

#### 8.5 Subtypes

Fundamentally a subtype is a type. What distinguishes subtypes is a non-empty list of constraints (Constraint). The attentive reader remarked anyway that in the previous subsection (8.4) the Constraint type was not defined... That allows a better structuring of the presentation, devoting this subsection to subtypes.

```
and ...
and Constraint = Constraint of SubValSet list
and ...
A sub-typing constraint is value list (SubValSet) of "parent" type.
and ...
and SubValSet = Single of Value
                 Contained of Type
                  Range of Bound * Bound
                  Alphabet of SubValSet list
                  Size of SubValSet list
                  Inner of Inner
and Bound == Limit * EndVal
and Limit = Strict
           | Large
and EndVal = Min
               Max
               EndVal of Value
and ...
```

A value set of a subtype may be:

- Single The subtype hence owns one value Value of parent type.
- Contained The subtype includes values of type Type (which must also be a subtype of the same parent type It cannot be checked out at parsing-time.)
- Range The subtype contains integer or real values, between given bounds (Bound). A bound can either be strict (Strict) or large (Large). There exists two pseudo-values specifying respectively the minimal value of the interval (Min) and the maximal one (Max). Otherwise an explicit value is introduced by means of EndVal.
- Alphabet The subtype only contains a part of the characters of parent type (which hence must be of type "character string", modulo tagging).
- Size The subtype imposes a restriction upon the length of the values of the parent type (which thus must have a metric).
- Inner The subtype owns the values of the structured parent type, complying with constraints (eventually implicit) about their presence.

A constraint may either be simple (SingleConst) or multiple (MultConst). In the former case, the constraint applies to the whole subtype (the parent type must be an unordered homogeneous set); in the latter, it applies to the fields, and can either be complete (Full) or partial (Partial). In both situations, we have to supply with a list of optional named constraints (NamedConst). notice that the empty list and the logically absent elements (i-e. None values) correspond to an abbreviate specification which can be semantically ambiguous. A named constraint is the triple with optional fields composed of a value identifier, a constraint and presence clause (Member). Following the grammar (Cf.3.5) and abstract-syntax tree building, we see that it's impossible to have simultaneously these three fields "logically absent" — it's just a coding convenience.

#### 8.6 Values

We present the Caml Light types defining ASN.1:1990 value structures. Ambiguities are numerous and as far as they can be removed at parsing-time, we give an abstract-syntax tree the less ambiguous we are able to. We recall that a "structured" value is a value between braces.

We give firstly for sake of simplicity the definition corresponding to the two ASN.1:1990 tokens PLUS-INFINITY and MINUS-INFINITY. See later the reason for its existence.

```
and ... and Value = DefVal of MRef * VRef | BooleanV of bool | IntegerV of SignNum | NullV
```

```
ChoiceV of Ident * Value
AnyV of Type * Value
CharStrV of string
EmptyV
```

A value can be referenced in another module that exports it (DefVal), a boolean (BooleanV), a signed integer (IntegerV), unspecified (NullV), chosen (ChoiceV), instantiated (AnyV), character string (CharStrV), etc. There exists the value "empty structured value" (EmptyV), used in place of empty sets of any kind (in the mathematical sense: i-e. in place of sequence too) and empty bit strings (Cf. 'BuiltInValue' in 3.3.2).

```
| ...
| IntEnumVRefV of string (* lower *)
| ...
```

Following grammar transformations 3.3.3 we note that *Integer Value*, *Enumerated Value* and *Defined Value* have (syntactically) in common the production lower. This leads us to create an ambiguous node gathering these different possibilities: IntEnumVRefV. Notice also that the node IntegerV presented just before express the semantics of the sole non-ambiguous syntactic construction of an integer: the signed integer.

```
| ...
| RealV of Infinity
|
```

Transformations presented in 3.3.1, 3.3.3 and 3.3.4 show that the sole productions syntactically non-ambiguous of Real Value are these corresponding to tokens PLUS-INFINITY and MINUS-INFINITY. That justifies a node Real V. If we would have been particular, we could have argued that production "0" of Real Value is ambiguous, because, once transformed into number (Cf. 3.3.2), it is merged with the same one of Integer Value in Value (Cf. 3.3.3), and its semantics becomes Integer V. This objection is correct: value 0 is the only integer value which can denote a real number in ASN.1:1990, but because it is unique and it's easy to compare to zero in a semantic analyser, we accept to consider it as always being integer.

```
| ...
| BitOctStrV of string
| ...
```

The step presented in 3.3.2 shows that  $BitString\ Value$  includes the rule  $OctetString\ Value$  (basednum). Besides the step saw in 3.3.3 shows that production "{"  $\{lower_{id}$  "," ... }\* "}" is ambiguous and must be merged in BetBraces. Therefore we define a node BitOctStrV

which gather the two possible semantics of a token basednum. The semantics of the other ambiguous construction of *BitStringValue* is partially removed in the following presentation of the remaining Caml Light type Value.

```
OfV of Value list
              RealOfV of SignNum * Nat * SignNum
              BitOfV of Ident list
                                                                                     (* lower *)
              ObjBitOfV of string
              ObjOfV of Melting
              GenOfV of NamedVal list
              ObjGenOfV of Melting
              ObjldV of ObjldComp list
\textbf{and} \ \mathsf{NamedVal} = \mathsf{NamedVal} \ \textbf{of} \ \mathsf{Ident} \ \mathsf{Option} \ * \ \mathsf{Value}
                                                                   and Melting = LowNum of string * Nat
               LowEVR of string * (MRef * VRef)
                LowLow of string * string
                UpLow of MRef * VRef
                NumMelt of Nat
;;
```

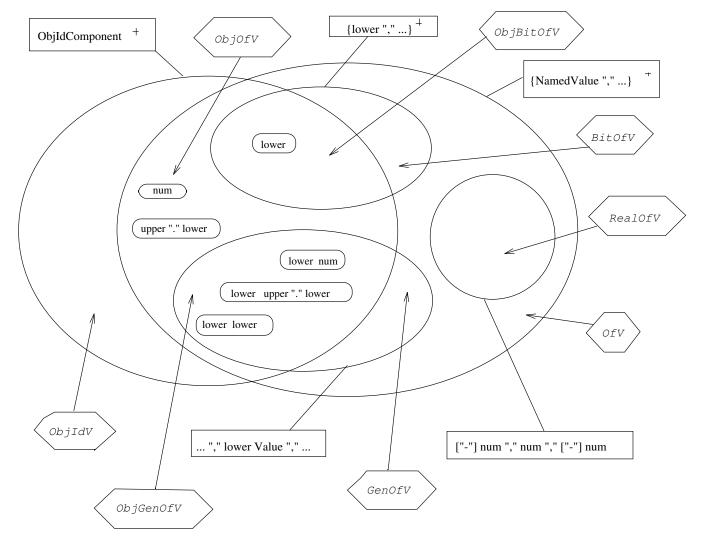


Figure 1: ASN.1:1990 structured values ambiguities

#### 9 ASN.1:1990 macros

ASN.1:1990 includes a construction called "macro" in order to allow user-defined syntax. That is to say that the parser must be able to recognise new value and type notations at run-time, or, with other words, it can extend dynamically the language it accepts. Typically, this makes ASN.1:1990 context-sensitive, i-e. the parsing cannot be achieved without a context (a knowledge about what was defined upper or lower). On the other hand, a macro definition (where the syntax for the new values and types are specified) is basically a grammar extension without any constraint, thus the user can specify ambiguous extensions — remember that it has been proved that no algorithm can check out whether a given grammar is ambiguous or not. Moreover their semantics given in [4] is dark and cumbersome.

The idea for macro handling is to use Caml Light full functionality, that is to say the result of a macro-definition parsing is a pair of specific parsers (functions): one for the new type notations and one for the new value notations. They will be used to parse their corresponding new notations. In other words, we compute functions at run-time that are parsers devoted to new notation recognition. Therefore as far as the result of parsing a macro-definition is a parser, our parser should check the semantics of the macro-definition... but we have no semantic analyser yet!

We define here a kind of subset of what macros seems have to be, but which has the advantage to be understandable, parsable and also not too limited in order the user doesn't feel bridled. Keep in mind also that this is just a small prototype that could be extended to integrate more features. Many standard macros (like those for the ROSE protocol [3], modulo the restrictions given in 9.8 and 9.5 point 3) are anyway accepted.

For a short introduction and critique of macros, please refer to [9].

#### 9.1 Vocabulary

Actually we use the same vocabulary for macros as for the BNF-like grammar notation we presented in section 1.2, but with the prefix "macro-". For instance, a *macro*-rule is a grammar rule defined in a *macro*-definition.

There are always two special macro-rules TYPE NOTATION and VALUE NOTATION which are the entry points of the macro-definition: the former defines the new syntax for types, and the latter the new syntax for values. A new type-notation (i-e. a type denoted by means of a syntax defined in a macro) and a new value-notation (i-e. a value denoted by means of a syntax defined in a macro) are respectively called in this document type instances and value instances.

Beware! In [4] the term "production" is used in the sense of "rule" we defined in 1.2, so be careful when reading the source code of the parser and the error messages...

### 9.2 Incremental integration

We want to integrate the macro handling to the core<sup>13</sup> parser in an incrementally way. For example we want to keep our core abstract-syntax tree as a strict subtree of the new tree. Another point is that we keep unchanged the lexer. This implies that although the macro-terminal ""->"" is legal inside a macro-definition and accepted, the parser will not recognise the corresponding *instance*. Indeed, the lexer actually generated *two* tokens: "-" and ">", because "->" is not an ASN.1:1990 token. The user should have written ""-" ">"". In order to relax this constraint, we make the parser accept all kind of symbols which potentially may appear in a macro-definition (like ">", "!", etc.) — the best would be a dynamically extensible lexer, like the parser.

Because of our incremental constraint, we have to leave the lexical convention for local value identifiers inside macro-definitions. Indeed, for historical reasons, it follows the same convention as for type identifiers (Cf. §A.2.8 of [4]), and make therefore impossible the reuse of the core parser for ASN.1:1990 value recognition. Then we impose inside the macro-definitions the same lexical convention as outside. Actually, we identify the token localvaluereference with valuereference, and no longer with typereference (Cf. 9.9.2). Note also that the token macroreference is a particular case of typereference: all its characters must be in upper case. That's why we cannot detect the macro identifiers while lexing, and we let this checking to a possible semantic analyser, identifying macroreference with typereference.

#### 9.3 Macro-tokens

We decided to remove the macro-tokens<sup>14</sup> astring and "string". These two macro-tokens are "defined" respectively in §A.2.7 (and §A.3.10) and §A.3.12 of [4], and their definitions are problematic. For astring the difficulty is the same as the one shown in (9.2). For "string", the following example shows the point:

```
MY-MODULE DEFINITIONS ::= BEGIN

PB MACRO ::=
BEGIN

TYPE NOTATION ::= string
VALUE NOTATION ::= value (VALUE BOOLEAN)
END
```

<sup>&</sup>lt;sup>13</sup>In this document "core" refers to ASN.1:1990 without macros.

 $<sup>^{14}</sup>$ That is to say a terminal appearing in the rule 'SymbolDefn' denoting a token in a macro-rule

```
T ::= TEST this is a string
val T ::= TRUE
END
```

The parser cannot determine when it has to stop consuming tokens (here, the character strings "this", "is", "a", "string") and may therefore "eat" the beginning of a possible following value declaration (here val). The worst case is when T is replaced by a selection type arbitrarily long. For sake of simplicity, we then propose to remove the macro-token astring and to modify the semantics of "string": one will have now to put between inverted commas the denoted string. In our previous sample, we must to write:

```
T ::= TEST "this is a string"
val T ::= TRUE
```

## 9.4 One-pass parsing

We want to keep a one-pass parser and it's feasible thanks to high-order parsers (functions) and full functionality of Caml Light. As said before, the result of a macro-definition parsing is a pair of specific parsers: one devoted to type-instance recognition and one to value-instance recognition. They are stored in two different global tables, and when the parser wants to recognise a type definition or a value definition, it then tries first to recognise an *instance* of a macro by calling the available parsers in these tables. If they all fails, then it tries to parse the notation as if it was a core one. Note that our algorithm forces macro-definitions to be before instances.

Macro-definitions may use instances of another macro. We keep this possibility, but we forbid mutually recursive definitions because we want to keep a one-pass parsing. Actually we won't check this kind of dependencies: parsing of macro-definitions will simply fail.

#### 9.5 Streams for instance parsing

We want to use the Caml Light stream feature for macro-instance parsing. In order to relax this "constraint", we add the ability of limited back-tracking, that is to say if a syntax error occurs inside a right-hand, then the parser tries the following right-hand instead of aborting analysis, as usual (raising Parse\_failure). If it's the last right-hand of a production, then it reports a failure (not an abortion) to the calling rule (raising Parse\_failure) that behaves as indicated previously (for more details, see [7]). The important improvement, comparing with the Caml Light stream pattern matching, is that we remove the constraint presented at (5.1).

If we try to sum up now the constraints at the time of writing a macro-definition, we find that:

- 1. The writer, as always, must check by hand that its new notation is not ambiguous (because this checking is in general undecidable). If the writer accepts an ambiguous definition (that can be sometimes very useful as we saw in 5.2), he must remember the order of evaluation of the corresponding stream pattern matching in Caml Light<sup>15</sup>.
- 2. The writer must make sure that there is no left recursive macro-rule, that could make the parser hang up in a loop. This is inherent to our elected method (top-down with limited back-tracking). This point could be automatically detected and solved (using an Arden transformation). For the moment, one must make sure that:

$$\forall A \in \mathcal{N}, \neg (A \Longrightarrow^* A\alpha)$$

3. The writer must make sure that there is no useless production, i-e. a production that generates the empty word  $(\varepsilon)$  and that is *not* the last of the (macro-)rule. This is due to the stream pattern matching semantics. This could be automatically checked in a further version of the parser (the problem consisting in checking whether  $\varepsilon$  belongs to a language is decidable), and solved by some transformations upon macro-rules. For the moment, one must make sure:

$$A \to \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_n \models \forall i \in [1, n], \{\varepsilon\} \subseteq \mathcal{P}(\alpha_i) \implies i = n$$

For example, consider the macro BIND for the ROSE protocol [3]. The (macro) empty word empty always appears in the first macro-productions, like:

This way, the parser generated for the instances will always read  $\varepsilon$  (empty) and never try to read the second production. Thus, one must write instead:

#### 9.6 Syntax-error detection

We integrate instance recognition in the core parser adding a pattern at the beginning of the patterns of the functions parsing the ASN.1:1990 types and values. If we put it in the last position (down), the parser would try first to analyse a core type (or value) in presence of a macro-instance and probably fail, without having tried to recognise an instance. On the contrary (addition in first position), the parser tries first to analyse an instance, and in case of failure, will naturally try to read a core type (or a value) in the following patterns.

<sup>&</sup>lt;sup>15</sup>Left-right, top-down

Accordingly, if the writer actually wanted to write an instance but made a syntax error (for example in a core type or value inside a macro-definition), he gets an error notification as if he had wanted to write a core type (or value). This is due of course to the back-tracking method: in general we lose the longest valid prefix property, and thus we lose precision on the error reporting.

#### 9.7 Macro-definition soundness

Exhaustive detection of errors in macro-definitions raise a rather hard difficulty. It should indeed be done a parsing-time whereas it is a semantic diagnostic, and therefore cause problems when we *just* want to build a parser. So, for sake of simplicity, we only detect the following errors:

- Non-definition of a macro-rule.
- Multiple definition of a macro-rule.
- Absence of macro-denotation.

We understand as *denotation* the semantic of an instance, i-e. a type or a value, and the ISO document states that each possible instance must be mapped to only one denotation ([4] §A.3.18). The lack of a denotation is detected when parsing the macro-definition. If we have had a semantic analyser for the core ASN.1:1990 language, it would have been enough to call it to check the macro-definition soundness.

## 9.8 Macro importation

Because Caml Light has no direct way to export functional values (i-e. making them persistent) and because the result of a macro-definition parsing is a pair of functions (one for type instance parsing and another for value instance parsing), we prefer for sake of simplicity to ignore macro importation. One solution would be to simulate the importation: when a macro is imported, the parser should parse the module containing it in order to get the associated functions (parsers), but the parser should only look for macros. The drawback is that we should take care of circular dependencies, which is typically a semantic issue. The advantage would be that it is not too difficult to implement.

## 9.9 Transformations of the macro grammar

#### 9.9.1 Step 0

We first give the official grammar, after re-structuring it in sections and subsections, and after the removal of production astring from rule 'SymbolDefn' (Cf. 9.3). On the other hand, the note #2 of §A.3.19 [4] tell us that localvaluereference in rule 'LocalValueassignement' may be "VALUE". But we decided implicitly from the beginning to parse ASN.1:1990 with reserved key-words (following in fact a Technical Corrigenda), and "VALUE" is a key-word.

Therefore, we had to change slightly the rule 'Local Valueassignment' in order to make appear explicitly the key-word "VALUE".

MacroDefinition MacroSubstance  MacroBody External macroreference		macroreference MACRO "::=" MacroSubstance BEGIN MacroBody END macroreference Externalmacroreference TypeProduction ValueProduction SupportingProductions modulereference "." macroreference
$Type Production \ Value Production$		TYPE NOTATION "::=" MacroAlternativeList VALUE NOTATION "::=" MacroAlternativeList
SupportingProductions  ProductionList  Production  MacroAlternativeList	 →   →	ProductionList  ε Production Production productionList Production productionreference "::=" MacroAlternativeList MacroAlternative MacroAlternativeList " " MacroAlternative

MacroAlternative SymbolList SymbolElement SymbolDefn	<pre>→ SymbolList → SymbolElement   SymbolList SymbolElement  → SymbolDefn   EmbeddedDefinitions  → productionreference   "string"   "identifier"   "number"   "empty"   "type"   "type" "(" localtypereference ")"   "value" "(" MacroType ")"   "value" "(" localvaluereference MacroType ")"   "value" "(" VALUE MacroType ")"</pre>
$Embedded Definitions \\ Embedded Definition List$	<ul> <li>→ "&lt;" EmbeddedDefinitionList "&gt;"</li> <li>→ EmbeddedDefinition</li> </ul>
${\bf Embedded Definition}$	EmbeddedDefinitionList EmbeddedDefinition  → LocalTypeassignment   LocalValueassignment
LocalTypeassignment	→ localtypereference "::=" MacroType
${\bf Local Value assign ment}$	→ localvaluereference MacroType "::=" MacroValue   VALUE MacroType "::=" MacroValue
MacroType	→ localtypereference
MacroValue	Type → localvaluereference   Value

#### 9.9.2 Step 1

We take in account the lexical ambiguities which lead us to merge macroreference, productionreference and localtypereference, with typereference; and localvalue-reference with valuereference. When the context allows it, we specify whether the terminal upper denotes a macro identifier or a production identifier, with help of a subscript (respectively upper  $_{mac}$  and upper  $_{prod}$ ).

Arden of 'ProductionList'.

Arden of 'MacroAlternativeList'.

Arden of 'SymbolList' and global expansion.

Prefix and bifix factorisation of 'SymbolDefn' (Creation of 'bind'.).

Arden of 'EmbeddedDefinitionList' and then global expansion.

Global expansion of 'LocalTypeassignment'.

Global expansion of 'LocalValueassignment'.

 $\begin{array}{ccc} \textit{MacroType} & \to & \text{Type} \\ \textit{MacroValue} & \to & \text{Value} \end{array}$ 

Elimination of the production upper  $_{typ}$  in the rule 'Macro Type' because Type  $\Longrightarrow$  upper Elimination of the production lower  $_{val}$  in the rule 'Macro Value' because Value  $\Longrightarrow$  lower

## 9.9.3 Step 2

Global expansion of 'ProductionList'.

```
 \begin{array}{lll} \textit{TypeProduction} & \rightarrow & \text{TYPE NOTATION "::="} \left\{ \text{ MacroAlternative "|" } \ldots \right\}^+ \\ \textit{ValueProduction} & \rightarrow & \text{VALUE NOTATION "::="} \left\{ \text{ MacroAlternative "|" } \ldots \right\}^+ \\ \textit{Production} & \rightarrow & \text{upper}_{prod} \text{ "::="} \left\{ \text{ MacroAlternative "|" } \ldots \right\}^+ \\ \end{array}
```

Global expansion of 'MacroAlternativeList'.

Partial expansion of the production upper  $_{prod}$  of the rule 'SymbolDefn' in the rule 'SymbolElement'. Global expansion of 'MacroType'.

 ${\bf Global\ expansion\ of\ `EmbeddedDefinitions'}.$ 

Global expansion of 'MacroType' and 'MacroValue'.

## 9.9.4 Step 3

```
upper_{mac} MACRO "::=" MacroSubstance
MacroDefinition
                        BEGIN MacroBody END
MacroSubstance
                       upper[""upper_{mac}]
                        TypeProduction VALUE NOTATION "::="
MacroBody
                        { MacroAlternative "|" ...} + Production*
```

Global expansion of 'ValueProduction'.

```
TypeProduction
                            TYPE NOTATION "::=" { MacroAlternative "|" \dots}+
                            upper<sub>prod</sub> "::=" { MacroAlternative "|" ... }+
Production
```

Global expansion of 'ValueProduction'.

```
MacroAlternative
                              SymbolElement<sup>+</sup>
SymbolElement
                              \mathtt{upper}_{prod}
                              PartElem
PartElem
                              SymbolDefn
                              "<" EmbeddedDefinition<sup>+</sup> ">"
                              "string"
SymbolDefn
                              "identifier"
                              "number"
                              "empty"
                              "type" ["(" upper<sub>typ</sub> ")"]
                              "value" "(" Bind ")"
Bind
                              NamedType
                              VALUE Type
```

Reduction in the rule 'SymbolElement' (creation of 'PartElem'). We know that NamedType  $\Longrightarrow$  [lower<sub>id</sub>] Type Cf. (3.2.3). Thus, rewriting NamedType  $\Longrightarrow$  [lower] Type we can make a reverse total expansion in the rule 'Bind', and make appear an occurrence of 'NamedType'.

```
\begin{array}{ll} \mathtt{upper}_{typ} \ \text{``::="} \ \mathrm{Type} \\ \mathtt{lower}_{val} \ \mathrm{Type} \ \text{``::="} \ \mathrm{Value} \end{array}
EmbeddedDefinition
                                                                        VALUE Type "::=" Value
```

## 9.9.5 Step 4

The careful reader noticed the following problem:

```
\mathcal{P}(\text{MacroAlternative}) \cap \mathcal{P}(\text{Production}) = \{\text{upper}\}\
```

It hence prevents the rule 'MacroBody' of being LL(1). In order to get rid of this difficulty, we now transform this rule as follows:

```
TypeProduction VALUE NOTATION "::=" MacroSuf
MacroBody
                       { MacroAlternative "|" ... }+ Production*
{\it MacroSuf}
 Reverse total expansion. (Creation of the rule 'MacroSuf'.)
                      MacroAlternative ["|" { MacroAlternative "|" ... }+] Production*
MacroSuf
                      SymbolElement<sup>+</sup> ["|" { MacroAlternative "|" ...}<sup>+</sup>] Production*
MacroSuf
 Total expansion of 'MacroAlternative'.
                      SymbolElement Cont
MacroSuf
                      SymbolElement* ["|" { MacroAlternative "|" ...}+] Production*
Cont
MacroSuf
                      SymbolElement [Cont]
                       Symbol Element^+ \ ["|" \ \{ \ Macro Alternative \ "|" \ \ldots \ \}^+] \ Production^* \\ "|" \ \{ \ Macro Alternative \ "|" \ \ldots \ \}^+ \ Production^* 
Cont
                      Production<sup>+</sup>
 Option of 'Cont'.
```

```
\rightarrow SymbolElement [Cont]
\operatorname{Cont}
                    SymbolElement [Cont]
                    "|" MacroSuf
                    Production<sup>+</sup>
 We recognise 'Cont' and 'MacroSuf' (reverse total expansions).
MacroSuf \rightarrow SymbolElement [Cont]
Cont
              \rightarrow upper [Cont]
                   PartElem [Cont]
                    "|" MacroSuf
                    Production Production*
 Total expansion of 'SymbolElement' in 'Cont'.
                  SymbolElement [Cont]
\operatorname{Cont}
                   PartElem [Cont]
                  "|" MacroSuf
upper [Cont]
upper<sub>prod</sub> "::=" { MacroAlternative "|" ...}+ Production*
 Total expansion of 'Production' in 'Cont'.
MacroSuf → SymbolElement [Cont]
Cont
              \rightarrow PartElem [Cont]
                   "|" MacroSuf
                    upper [ContSuf]
{\bf ContSuf}
                    Cont
                    "::=" MacroSuf
 Prefix factorisation of 'Cont'. (Creation of the rule 'ContSuf'.)
```

## 9.9.6 Summary

```
MacroDefinition
                                  upper<sub>mac</sub> MACRO "::=" MacroSubstance
MacroSubstance
                                  BEGIN MacroBody END
                                 \mathtt{upper} \; [\text{``" upper}_{mac}]
                                  TypeProduction VALUE NOTATION "::=" MacroSuf
MacroBody
                                 TYPE NOTATION "::=" { MacroAlternative "|" \dots}+
TypeProduction
MacroAlternative
                                  SymbolElement<sup>+</sup>
MacroSuf
                                  SymbolElement [Cont]
                                  PartElem [Cont]
Cont
                                  "|" MacroSuf
                                  upper [ContSuf]
ContSuf
                                  Cont
                                  "::=" MacroSuf
Symbol Element
                                 \mathtt{upper}_{prod}
                                  PartElem
PartElem
                                  SymbolDefn
                                  "<" EmbeddedDefinition<sup>+</sup> ">"
                                  "string"
SymbolDefn
                                  "identifier"
                                  "number"
                                  "empty"
                                  "type" ["(" upper_{typ} ")"] "value" "(" \operatorname{Bind} ")"
Bind
                                  NamedType
                                  VALUE Type
                                 \begin{array}{ll} \mathtt{upper}_{typ} \ \text{``::="} \ \mathrm{Type} \\ \mathtt{lower}_{val} \ \mathrm{Type} \ \text{``::="} \ \mathrm{Value} \end{array}
EmbeddedDefinition
                                  VALUE Type "::=" Value
```

# 9.10 New complete ASN.1:1990 grammar

We have to graft this new grammar for macros to the core one, [4] didn't telling us explicitly how to do so. Actually, we must integrate the macro definitions among type and value definitions. From the initial form, we get the following transformations:

```
\begin{array}{ll} \rightarrow & \text{upper}_{typ} \text{ "::=" Type} \\ & | & \text{lower}_{val} \text{ Type "::=" Value} \end{array}
Assignment
                               \begin{array}{ll} \mathtt{upper}_{typ} \ \text{``::="} \ \mathrm{Type} \\ \mathtt{lower}_{val} \ \mathrm{Type} \ \text{``::="} \ \mathrm{Value} \end{array}
Assignment
                               MacroDefinition
                               \mathtt{upper}_{typ} \ "::=" \ \mathrm{Type}
Assignment
                               lower_{val} Type "::=" Value
                               upper_{mac} MACRO "::=" MacroSubstance
  Global expansion of 'MacroDefinition'.
Assignment
                               upper AssSuf
                               lower_{val} Type "::=" Value
                               MACRO "::=" MacroSubstance
AssSuf
                                "∷=" Type
 Prefix factorisation of 'MacroDefinition'.
```

Finally, the new complete grammar of ASN.1:1990 is:

		MODULES
ModuleDefinition	$\rightarrow$	ModuleIdentifier DEFINITIONS [TagDefault TAGS] "::=" BEGIN [ModuleBody] END
$Module Identifier \ { m ObjId Component}$	→ →   	$\begin{array}{c} \operatorname{upper}_{mod} \left[ \text{``{}} \left( \text{'' ObjIdComponent}^+ \text{''} \right) \text{''} \right] \\ \operatorname{number} \\ \operatorname{upper}_{mod} \text{``.'' lower}_{val} \\ \operatorname{lower} \left[ \text{``('' ClassNumber '')''} \right] \end{array}$
$\underline{TagDefault}$	<b>→</b>	EXPLICIT IMPLICIT
ModuleBody Exports Imports SymbolsFromModule Symbol	$\begin{array}{c} \rightarrow \\ \rightarrow \\ \rightarrow \\ \rightarrow \\ \rightarrow \\ \end{array}$	
Assignment $AssSuf$	→   → 	upper AssSuf lower <sub>val</sub> Type "::=" Value MACRO "::=" MacroSubstance "::=" Type

#### **TYPES**

```
lower_{id} "<" Type
Type
                  upper ["." upper<sub>typ</sub>] SubtypeSpec*
                  NULL SubtypeSpec*
                  AuxType
                 "[" [Class] ClassNumber "]" [TagDefault] Type
AuxType
                  BuiltInType SubtypeSpec*
                  SetSeq [TypeSuf]
SetSeq
                  SET
                  SEQUENCE
                  {\bf Subtype Spec^+}
TypeSuf
                  "{" {ElementType "," ...}* "}" SubtypeSpec*
                  [SIZE SubtypeSpec] OF Type
BuiltIn\,Type
                 BOOLEAN
                  INTEGER ["{" {NamedNumber "," ... }+ "}"]
                 BIT STRING ["{" {NamedBit "," ... }+ "}"]
                  OCTET STRING
                  CHOICE "{" \{NamedType "," ...\}^+ "\}"
                  ANY [DEFINED BY lower_{id}]
                  OBJECT IDENTIFIER
                  ENUMERATED "{" {NamedNumber "," ...}+ "}"
                  "NumericString"
                  "PrintableString"
                  "TeletexString"
                  "T61String"
                  "VideotexString"
                  "VisibleString"
                  "ISO646String"
                  "IA5String"
                  "GraphicString"
                  "GeneralString"
                  EXTERNAL
                  "UTCTime"
                  "Generalized Time"\\
                  "ObjectDescriptor"
```

NamedType	→     	lower ["<"] Type upper ["." upper <sub>typ</sub> ] SubtypeSpec* NULL SubtypeSpec* AuxType
NamedNumber AuxNamedNum	$\rightarrow$	$lower_{id}$ "(" $AuxNamedNum$ ")" ["-"] $number$ [ $upper_{mod}$ "."] $lower_{val}$
NamedBit	$\rightarrow$	$lower_{id}$ "(" ClassNumber ")"
ElementType $ElementTypeSuf$		NamedType [ElementTypeSuf] COMPONENTS OF Type OPTIONAL DEFAULT Value
Class <u>ClassNumber</u>	→	UNIVERSAL APPLICATION PRIVATE number [upper $_{mod}$ "."] lower $_{val}$

# VALUES

$\underline{Value}$	$\overset{\rightarrow}{\underset{ }{ }}$	AuxVal0 upper AuxVal1 lower [AuxVal2]
AuxVal0	$\overset{ }{\rightarrow}$	["-"] number BuiltInValue AuxType ":" Value
AuxVal1	$\overset{\mid}{\rightarrow}$	NULL [SpecVal] SpecVal
AuxVal2 AuxVal11	$\begin{array}{c}   \\ \rightarrow \\ \rightarrow \end{array}$	"." AuxVal11 ["<" Type] ":" Value upper <sub>typ</sub> SpecVal
$\operatorname{SpecVal}$	$\overset{ }{\rightarrow}$	lower <sub>val</sub> SubtypeSpec* ":" Value

Inria

```
BuiltIn Value
                   TRUE
                   FALSE
                   PLUS-INFINITY
                   MINUS-INFINITY
                   basednum
                   string
                   "{" [BetBraces] "}"
                   AuxVal0 [AuxNamed]
BetBraces
                   "-" number [AuxNamed]
                   lower [AuxBet1]
                   upper AuxBet2
                   number [AuxBet3]
                   "(" ClassNumber ")" ObjIdComponent*
AuxBet1
                   {\bf AuxNamed}
                   AuxVal2 [AuxNamed]
                   "-" number [AuxNamed]
                   AuxVal0 [AuxNamed]
                   lower [AuxBet11]
                   number [AuxBet3]
                   upper AuxBet2
AuxBet2
                   SpecVal [AuxNamed]
                   "." AuxBet21
AuxBet3
                  ObjIdComponent<sup>+</sup>
                   AuxNamed
AuxBet11
                   "(" ClassNumber ")" ObjIdComponent*
                   ObjIdComponent<sup>+</sup>
                   AuxVal2 [AuxNamed]
                   {\bf AuxNamed}
AuxBet21
                   upper_{typ} SpecVal [AuxNamed]
                   lower_{val} [AuxBet3]
AuxNamed
                   "," {NamedValue "," ...}+
NamedValue
                   lower [NamedValSuf]
                   upper AuxVal1
                   ["-"] number
                   AuxVal0
NamedValSuf
                   Value
                   AuxVal2
```

		SUBTYPES
SubtypeSpec SubtypeValueSet	→ →     	"(" {SubtypeValueSet " "}+ ")" INCLUDES Type MIN SubValSetSuf FROM SubtypeSpec SIZE SubtypeSpec WITH InnerTypeSuf SVSAux
SubValSetSuf UpperEndValue	$\begin{array}{c} \rightarrow \\ \rightarrow \\   \end{array}$	["<"] "" ["<"] UpperEndValue Value MAX
Inner Type Suf	$\rightarrow$	COMPONENT SubtypeSpec COMPONENTS MultipleTypeConstraints
$\label{eq:MultipleTypeConstraints} \\ NamedConstraint$	→ → 	"{" ["" ","] {[NamedConstraint] ","} "}" lower <sub>id</sub> [SubtypeSpec] [PresenceConstraint] SubtypeSpec [PresenceConstraint] PresenceConstraint
PresenceConstraint	$\stackrel{ }{\rightarrow}$	PRESENT ABSENT OPTIONAL
SVSAux	→     	BuiltInValue [SubValSetSuf] AuxType ":" SVSAux NULL [SVSAux3] upper SVSAux1 lower [SVSAux2] ["-"] number [SubValSetSuf]
SVSAux1	$\rightarrow$	SubtypeSpec* ":" SVSAux "." SVSAux11
SVSAux2	$\stackrel{ }{\rightarrow}$	":" SVSAux "" ["<"] UpperEndValue "<" SVSAux21
SVSAux3	$\stackrel{\mid}{\rightarrow}$	SubtypeSpec* ":" SVSAux SubValSetSuf
SVSAux11	$\stackrel{\downarrow}{\rightarrow}$	$ ext{upper}_{typ}  ext{SubtypeSpec}^*$ ":" $ ext{SVSAux}$ $ ext{lower}_{val}  ext{[SubValSetSuf]}$
SVSAux21	$\stackrel{ }{\rightarrow}$	Type ":" SVSAux "" ["<"] UpperEndValue

#### **MACROS**

```
{\it MacroSubstance}
                                  BEGIN MacroBody END
                                  upper[""upper_{mac}]
MacroBody
                                  TypeProduction VALUE NOTATION "::=" MacroSuf
                                  TYPE NOTATION "::=" { MacroAlternative "|" ... }+
TypeProduction
MacroAlternative
                                  SymbolElement<sup>+</sup>
MacroSuf
                                  SymbolElement [Cont]
Cont
                                  PartElem [Cont]
                                  "|" MacroSuf
                                  upper [ContSuf]
ContSuf
                                  Cont
                                  "::=" MacroSuf
Symbol Element
                                  \mathtt{upper}_{prod}
                                  PartElem
PartElem
                                  {\bf Symbol Defn}
                                  "<" EmbeddedDefinition<sup>+</sup> ">"
SymbolDefn
                                  "string"
                                  "identifier"
                                  "number"
                                   "empty"
                                  "type" ["(" upper_{typ} ")"] "value" "(" \operatorname{Bind} ")"
\operatorname{Bind}
                                  NamedType
                                  VALUE Type
                                  \begin{array}{ll} \mathtt{upper}_{typ} \ \text{``::="} \ \mathrm{Type} \\ \mathtt{lower}_{val} \ \mathrm{Type} \ \text{``::="} \ \mathrm{Value} \end{array}
EmbeddedDefinition
                                  VALUE Type "::=" Value
```

# 9.11 Checking the LL(1) property of the extended grammar

We're not going to check the LL(1) property of the whole extended grammar (i-e. including macros) from scratch, but do it incrementally, from the results given in (4).

### 9.11.1 Equation P1

It's obvious that the macro sub-grammar doesn't own any left recursion.

#### 9.11.2 Equation P2

It's easy to check that the intersection of the first functions  $(\mathcal{P})$  of each alternative is empty.

#### 9.11.3 Equation P3

Before starting, note that the modification of the rule 'Assignment' doesn't generate new constraints. For the macro sub-grammar:

Rule	$\operatorname{Constraints}$
MacroSubstance	$\{"."\} \cap \mathcal{S}(MacroSubstance) = \emptyset$
TypeProduction	$\{`` "\} \cap \mathcal{S}(\text{TypeProduction}) = \emptyset$
MacroAlternative	$\mathcal{P}(\text{SymbolElement}) \cap \mathcal{S}(\text{MacroAlternative}) = \emptyset$
MacroSuf	$\mathcal{P}(\mathrm{Cont}) \cap \mathcal{S}(\mathrm{MacroSuf}) = \emptyset$
Cont	$\mathcal{P}(\mathrm{Cont}) \cap \mathcal{S}(\mathrm{Cont}) = \emptyset$
	$\mathcal{P}(\mathrm{ContSuf}) \cap \mathcal{S}(\mathrm{Cont}) = \emptyset$
EmbeddedDefinition	$\mathcal{P}(\text{EmbeddedDefinition}) \cap \{\text{``>"}\} = \emptyset$
SymbolDefn	$\{\text{``(")} \cap \mathcal{S}(SymbolDefn) = \emptyset$

Let:

- (1)  $\{"."\} \cap \mathcal{S}(MacroSubstance) = \emptyset$
- (2)  $\{"|"\} \cap \mathcal{S}(\text{TypeProduction}) = \emptyset$
- (3)  $\mathcal{P}(SymbolElement) \cap \mathcal{S}(MacroAlternative) = \emptyset$
- (4)  $\mathcal{P}(\text{Cont}) \cap \mathcal{S}(\text{MacroSuf}) = \emptyset$
- (5)  $\mathcal{P}(\text{Cont}) \cap \mathcal{S}(\text{Cont}) = \emptyset$
- (6)  $\mathcal{P}(\text{ContSuf}) \cap \mathcal{S}(\text{Cont}) = \emptyset$
- (7)  $\mathcal{P}(\text{EmbeddedDefinition}) \cap \{\text{">"}\} = \emptyset$
- (8)  $\{$ "(" $\} \cap \mathcal{S}(SymbolDefn) = \emptyset$

Let's compute the first functions  $(\mathcal{P})$ :

```
{ upper, "<", "string", "identifier", "number",
     \mathcal{P}(SymbolElement)
                                           "empty", "type", "value" }
                                     = { upper, "<", "string", "identifier", "number",</pre>
     \mathcal{P}(Cont)
                                           "empty", "type", "value", "|" }
                                     = \quad \{ \text{ upper, "<"}, \text{ "string", "identifier", "number"},
     \mathcal{P}(\text{ContSuf})
                                           "empty", "type", "value", "|", "::=" }
     \mathcal{P}(\text{EmbeddedDefinition}) = \{ \text{upper}, \text{lower}, \text{VALUE} \}
And the following functions (\mathcal{S}):
   \mathcal{S}(MacroSubstance)
                                =
                                     \mathcal{S}(AssSuf)
                                     \mathcal{S}(Assignment)
                                     { END, upper, lower }
```

{ VALUE }  $\mathcal{S}(\text{TypeProduction})$ 

 $\mathcal{S}(MacroAlternative)$  $\{"|"\} \cup \mathcal{S}(TypeProduction)$ { VALUE, "|" }

 $\mathcal{S}(\text{ContSuf})$  $\mathcal{S}(Cont)$ 

 $\mathcal{S}(MacroSuf)$  $\mathcal{S}(MacroBody) \cup \mathcal{S}(Cont) \cup \mathcal{S}(ContSuf)$  $\{END\} \cup \mathcal{S}(Cont) \cup \mathcal{S}(ContSuf)$ 

 $\{END\} \cup \mathcal{S}(Cont)$ 

 $\mathcal{S}(Cont)$  $\mathcal{S}(MacroSuf) \cup \mathcal{S}(ContSuf)$  $\mathcal{S}(MacroSuf) \cup \mathcal{S}(Cont)$ 

 $\mathcal{S}(MacroSuf)$ 

 $\mathcal{S}(\text{PartElem})$  $\mathcal{S}(SymbolDefn)$ =

 $\mathcal{P}(\text{Cont}) \cup \mathcal{S}(\text{Cont}) \cup \mathcal{S}(\text{SymbolElement})$ 

 $\mathcal{P}(\text{Cont}) \cup \mathcal{S}(\text{Cont}) \cup \mathcal{S}(\text{MacroAlternative}) \cup \mathcal{S}(\text{MacroSuf})$  $\mathcal{S}(\text{MacroSuf}) \cup \{\text{VALUE}, \text{ ``|''}, \text{upper}, \text{ ``<''}, \text{ ``string''},$ 

"identifier", "number", "empty", "type", "value" }

Hence:

 $S(MacroSuf) = S(Cont) = S(ContSuf) = {END}$ 

And:

```
 \mathcal{S}(\mathrm{SymbolDefn}) = \{ \mathrm{END}, \mathrm{VALUE}, \ ``|", \mathrm{upper}, \ ``<", \ ``\mathrm{string}", \\ \ ``\mathrm{identifier}", \ ``\mathrm{number}", \ ``\mathrm{empty}", \ ``\mathrm{type}", \ ``\mathrm{value}" \ \}
```

Then the system (1)-(8) is verified.

We have now to make sure that the nonterminal appearing in the macro sub-grammar and in the core grammar don't induce sets S which invalidate the LL(1) property of the core grammar. The nonterminal to examine in this case are 'NamedType', 'Type' and 'Value'.

We had:

$$S(NamedType) = { ",", "}", OPTIONAL, DEFAULT }$$

Now:

$$\begin{array}{lll} \mathcal{S}(\operatorname{NamedType}) & = & \mathcal{S}(\operatorname{Bind}) \cup \{\text{``,''},\,\text{``}\}\text{''},\,\operatorname{OPTIONAL},\,\operatorname{DEFAULT}\} \\ & = & \{\text{``)''},\,\text{``,''},\,\text{``}\}\text{''},\,\operatorname{OPTIONAL},\,\operatorname{DEFAULT}\} \end{array}$$

Let's consider now the places where  $\mathcal{S}(\text{NamedType})$  is used. It's used to check equations (18) and (19) given in 4.2.3, and, on the other hand, to compute  $\mathcal{S}(\text{AuxType})$ , which itself is used only to compute  $\mathcal{S}(\text{Type})$ . Equations (18) and (19) are still verified and  $\mathcal{S}(\text{Type})$  is still invariant because it contained yet ")".

The occurrences of 'Type' and 'Value' in the macro sub-grammar introduce in  $\mathcal{S}(\text{Type})$  and  $\mathcal{S}(\text{Value})$  the (terminal) symbol ">"; but this one doesn't exist in the core grammar, thus it cannot interfere in the calculi of (4.2.3).

**Conclusion** The extended grammar is LL(1).

### 9.12 Extended abstract-syntax tree

Here we are going to explain why it is necessary to extend the abstract-syntax tree in order to process macros and how to do it easily and incrementally. The problem can be divided into two parts not connected: type instances and value instances.

### 9.12.1 Type instances

The sixth paragraph of section A.1 in [4] says that a type instance may depend on a value instance. Consider indeed the following example:

```
SAMPLE DEFINITIONS ::=
BEGIN

TEST MACRO ::=
BEGIN

TYPE NOTATION ::= empty
VALUE NOTATION ::= value (VALUE BOOLEAN) | value (VALUE INTEGER)
END

T ::= TEST
```

What is the type of T? Answer: it depends. If a value instance would appear in the module then T could be either the boolean type or the integer type. In absence of such an instance, we must reject it as being incorrectly defined...<sup>16</sup> At this moment arrives another time the sixth paragraph of section A.1 in [4], telling us that we "should interpret" a type instance as a choice type ("[...] the use of the new type notation is similar to a CHOICE [...]."). Therefore in our last sample we are lead to think that T would be equivalent (in a sense that is still to be defined) to:

Nevertheless, the possible types for a type instance (i-e. the different fields of the associated choice type) may contain local identifiers inside the macro-definition. For example:

<sup>&</sup>lt;sup>16</sup>Beware! T is not even the NULL type.

END

In this case one must produce a *type closure*, that is to say a pair made up of a (choice) type and an environment (i-e. a set of local definitions to the macro-definitions, and which would contain here LT1 and LT2 definitions). We decide hence that *any type instance is equivalent to such a closure*. In order to take in account this principle, we have to extend the core abstract-syntax tree with a node "type closure", i-e. an additional constructor TClos for the Caml Light type Desc:

#### 9.12.2 Value instances

The previous section devoted to type instances lead us naturally to consider value instances as *value closures*, that is to say a pair made up of a value and an environment containing local definitions to the macro-definition. More precisely, these values are implicit choice values, paired with an environment. In order to realise that we must add a node to the core abstract-syntax tree, i-e. a constructor VClos to the Caml Light type Value:

# 9.13 Changes to the core parser

We present here the entire list of the modifications to be done on the core parser in order to "interface" it with the parser devoted to macros (presented in 9.14).

We saw that the abstract-syntax tree doesn't keep trace of macro-definitions (only of instances), but we always must to return a Caml Light value of type Def for each ASN.1:1990 definition parsed, therefore the solution is to use the (polymorphic) optional type Option where we were used to return a value of type Def. We also define an auxiliary function useful (Cf. 9.15) which extract from a list of optional values the useful ones (None correspond to a macro-definition, and Some to a core type or a core value). This way the parser moduleBody must take in account these optional values:

```
let rec specification strm = \dots and \dots and \dots and moduleBody = function [\langle exports ex; (option imports) imOpt; (plus assignment Abort) decls \rangle] \rightarrow (Scope (Import (list_of imOpt), Export ex), useful decls) | [\langle imports im; (plus assignment Abort) decls \rangle] \rightarrow (Scope (Import im, Export []), useful decls) | [\langle (plus assignment Fail) decls \rangle] \rightarrow (Scope (Import [], Export []), useful decls) and \dots
```

We also must change the function assignment in order to handle the new extended grammar:

```
and ... and assignment mode = function  \begin{array}{l}  (\ ' \mbox{Upper} \ (\_, \mbox{id}); \mbox{ assSuf ass })] \\ \rightarrow \mbox{ ass id } \\ |\ (\ ' \mbox{Lower} \ (\_, \mbox{id}); \ (\mbox{xType Abort}) \ t; \ (\mbox{term\_sym} \ "::=") \ \_; \ (\mbox{xValue Abort}) \ v \ )] \\ \rightarrow \mbox{ Some} \ (\mbox{ValDef} \ (\mbox{VRef id}, \ t, \ v)) \\ |\ (\mbox{strm} \ )] \end{array}
```

```
→ match mode with
       Fail → raise Parse_failure
     Abort → syntax_error "Type definition or value definition expected" strm
and assSuf = function
  [( 'Keyword (_, "MACRO"); (term_sym "::=") _; macroSubstance ms )]
| [\langle \text{ 'Symbol } (\underline{\ }, \text{ ": :="}); (xType Abort) t \rangle ]
 \rightarrow (fun id \rightarrow Some (TypeDef (TRef id, t)))
\mid [\langle strm \rangle]
 → syntax_error "Keyword MACRO or symbol '::=' expected in assignment" strm
and ...
Idem for xType:
and ...
and xType mode = function
 [( 'Lower (__, id); (term_sym "<") __; (xType Abort) t )]
 \rightarrow let (Type (tags, desc, cons)) = t
     in Type ([], SelectT (Ident id, Type (tags, desc, [])), cons)
| [\langle 'Upper (\underline{\phantom{A}}, id); (option (afterUpper id)) xOpt \rangle]
  → (match xOpt with
       Some x \rightarrow x
      | None → Type ([], DefType (!curMod, TRef id), []))
| [( 'Keyword (_, "NULL"); (star (subtypeSpec Fail)) cons )]
  → Type ([Tag (Universal, NumCat 5)], NullT, cons)
| [\langle auxType t \rangle]
  \rightarrow t
| [( strm )]
  → match mode with
       Fail → raise Parse failure
     | Abort → syntax_error "Type expected" strm
and afterUpper id = function
 [( (macroTypeInstance type_notations id) t )]
 \rightarrow t
| [( accessType ext; (star (subtypeSpec Fail)) cons )]
 \rightarrow Type ([], DefType (MRef id, ext), cons)
| [( (plus subtypeSpec Fail) cons )]
 → Type ([], DefType (!curMod, TRef id), cons)
and ...
```

The reader will note the first pattern of the function afterUpper, which calls the type instance parser (macroTypeInstance — Cf. 9.15). The call must be in the first pattern because we always try to read first a macro-instance before a core value or a core type (Cf. 9.4). Its first argument type\_notations is a hash-table mapping yet known macro identifiers to the parsers of their value instances. Its second argument id is interesting: it corresponds to an identifier which may be a macro name and it is necessarily passed as argument to afterUpper because it appears inside the stream pattern (Cf. 5.4). This shows explicitly the context-sensitive dependence of the language.

For the xValue function it's enough to add a first pattern in which we try to read a value instance:

```
and ... and xValue mode = function  \begin{array}{l}  \text{[( (macroValueInstance !value\_notations) v )]} \\ \rightarrow v \\ | \ \dots \\ \\ \text{and} \ \dots \end{array}
```

The function macroValueInstance tries to recognise a value instance, and its argument value\_notations is the list of the value instance parsers, of which (macro-)definitions were previously find.

# 9.14 The macro parser

The function macroSubstance reads a macro-definition. It returns a function which takes as argument the name of the macro, read by the parser assSuf (Cf. 9.13). We get back a pair mt made up of a list of parsers tp for type instances, and a list of parsers for value instances. The first element of the Caml Light sequence checks macro-rule completion, and

clears the macro-rule table. The second checks whether at least one denotation exists for each macro-instance. The third creates and stocks the parser for type instances. The fourth element do the same for value instances. The fifth clears the top-level reference containing (temporarily) the list of all possible types for a value instance. The sixth (the last, that is: the returned value) is the optional value None, reflecting the fact that it was a macro-definition. This None will be removed at the level of function moduleBody thanks to the auxiliary function useful (see 9.13). This is due to the fact that macro-definitions don't let any trace in the abstract-syntax tree (because they are used to build this tree).

```
| [( 'Upper (_, up);
   (option (function [\langle 'Symbol (\_, "."); term\_macro extMacld \rangle] \rightarrow extMacld)) macOpt <math>\rangle]
 \rightarrow (fun \_ \rightarrow None)
Here we ignore macro importations (Cf. 9.8).
| [( strm )]
 → syntax error "Macro reference or macro definition expected" strm
and macroBody = function
 [\(\)\ typeProduction tp; (term kwd "VALUE") ; (term kwd "NOTATION") ;
    (term_sym "::=") _; macroSuf vp ⟩]
 \rightarrow (tp, vp)
| [( strm )]
 → syntax_error "Type production in macro notation expected" strm
The parser macroBody returns the pair made up of the lists of parsers respectively for type
instances and value instances.
and typeProduction = function
 [( 'Keyword (__, "TYPE"); (term_kwd "NOTATION") __;
    (term_sym "::=") _; (list_plus symbolList "|" Abort) rhs )]
 \rightarrow rhs
   The parser typeProduction recognises and returns a list a macro-productions (symbolList).
and macroSuf = function
 [( (symbolElement Fail) sym; (option cont) cOpt )]
 → (match cOpt with
       Some (raw, mat) → (useful (sym::raw))::mat
      | None \rightarrow [useful [sym]])
| [( strm )]
 → syntax error "Symbol element expected in macro-production" strm
```

The parser prod\_call records, in a macro-production, the call of a macro-rule associated to the identifier id. If this latter corresponds to a macro-rule yet declared, then a reference to it is returned; otherwise we create an "empty" parser for a macro-rule (waiting for the forward definition) and we return a reference to it. Cf. (9.15). Notice that the use of these references allows recursive macro-rule definitions.

```
and contSuf = function
 [( cont c )]
  \rightarrow let (raw, mat) = c in (fun pld \rightarrow ((prod call pld)::raw, mat))
| [\langle 'Symbol (\underline{\ }, ": :="); macroSuf ms \rangle]
  \rightarrow fun pld \rightarrow (prod_decl pld ms; ([], []))
The parser prod_decl records a yet known macro-rule.
{\bf and} \ {\sf partElem} = {\bf function}
 [( symbolDefn parser )]
  → parser
| [( 'Symbol (_, "<"); (plus embeddedDefinition Abort) defs; (term_sym ">") _ )]
 \rightarrow Some (ref (NTerm (fun cnt strm \rightarrow (defs, cnt, strm))))
and symbolList mode = function
  [( (plus symbolElement Fail) parsers )]
  → useful parsers
| [( strm )]
  → match mode with
       Fail → raise Parse failure
     | Abort → syntax_error "Right-hand of macro-production expected" strm
```

```
and symbolElement mode = function
  [( 'Upper (__, pld) )]
  → prod_call pld
| [\langle partElem pe \rangle]
  \rightarrow pe
and symbolDefn = function
  [\langle 'XString (\_, str) \rangle]
  \rightarrow let conc = sub_string str 1 (string_length str -2) in
      let get_syntax = function
         Keyword (\underline{\hspace{0.1cm}},syn)\to syn
        Lower (\underline{\hspace{0.1cm}}, syn) \rightarrow syn
        Upper (\underline{\hspace{0.1cm}}, syn) \rightarrow syn
        Number (\underline{\hspace{0.1cm}}, syn) \rightarrow syn
        BasedNum (\underline{}, syn) \rightarrow syn
        \mathsf{XString}\ (\underline{\ },\,\mathsf{syn})\to\mathsf{syn}
        Symbol (\underline{\hspace{0.1cm}}, syn) \rightarrow syn
        \_ \rightarrow failwith "Fatal error in 'symbolDefn'. Please report." in
      let peep token = \mathbf{if} conc = \mathbf{get} syntax token
                              then 🔝
                              else raise Parse failure
      in Some (ref (Term peep))
| [( 'Lower (__, "identifier") )]
  \rightarrow Some (ref (Term (function Lower (__, __) \rightarrow []
                                        | \_ \rightarrow raise Parse_failure)))
| [( 'Lower (__, "number") )]
  \rightarrow Some (ref (Term (function Number (<u>__, __</u>) \rightarrow []
                                        | \_ \rightarrow raise Parse_failure)))
| [( 'Lower (_, "string") )]
  \rightarrow Some (ref (Term (function XString (\underline{\ }, \underline{\ }) \rightarrow [ ]
                                        \perp \rightarrow raise Parse_failure)))
| [( 'Lower (__, "empty") )]
  \rightarrow None
| [( 'Lower (_, "type"); (option localTypeSuf) ltrOpt )]
  → (match ltrOpt with
          Some ltr \rightarrow Some (ref (STerm (function [\langle (xType Fail) t \rangle)] \rightarrow [TypeDef (TRef ltr, t)])))
        | None \rightarrow Some (ref (STerm (function [\langle (xType Fail) \_ \rangle] \rightarrow []))))
| [( 'Lower (_, "value"); (term_sym "(") _; bind lk; (term_sym ")") _ )]
  → (match lk with
         NamedType (Some (Ident "@"), t)
          \rightarrow let field = new field t
             in Some (ref (STerm (function [( (xValue Fail) v )]
```

```
→ [ValDef (VRef "@", t, ChoiceV (field, v))])))
      | NamedType (Some (Ident id), t)
         \rightarrow Some (ref (STerm (function [\langle (xValue Fail) v \rangle ] \rightarrow [ValDef (VRef id, t, v)])))
       | NamedType (None, t)
        \rightarrow Some (ref (STerm (function [\langle (xValue Fail) v \rangle ] \rightarrow [ValDef (VRef "", t, v)]))))
{\bf and}\ {\sf localTypeSuf} = {\bf function}
 [\langle \text{ 'Symbol } (\underline{\ }, \text{ "(")}; \text{ term_type ltr}; (\text{term_sym ")"}) \underline{\ }] \rightarrow \text{ltr}
\mathbf{and}\ \mathsf{bind} = \mathbf{function}
 [( 'Keyword (_, "VALUE"); (xType Abort) t )]
  → NamedType (Some (Ident "@"), t)
| [( (namedType Fail) nt )]
 \to \, \, nt
| [( strm )]
  → syntax_error "Macro-value binding expected" strm
and embeddedDefinition mode = function
 [( 'Upper (__, id); (term_sym "::=") _; (xType Abort) t )]
 \rightarrow TypeDef (TRef id, t)
| [( 'Lower (__, id); (xType Abort) t; (term_sym "::=") _; (xValue Abort) v )]
 \rightarrow ValDef (VRef id, t, v)
| [( 'Keyword ( , "VALUE"); (xType Abort) t; (term sym "::=") ; (xValue Abort) v )]
  \rightarrow let field = new_field t
     in ValDef (VRef "@", t, ChoiceV (field, v))
| [( strm )]
  → match mode with
       Fail → raise Parse_failure
     | Abort → syntax_error "Embedded definition expected" strm
;;
```

# 9.15 Auxiliary module for macro processing

```
type \alpha Prod_stat =
        Call of \alpha
      \mid Decl of \alpha
;;
type (\alpha, \beta) Component = Term of \alpha \rightarrow \beta
                            NTerm of int \rightarrow \alpha stream \rightarrow \beta * \text{int} * \alpha stream
                            | STerm of \alpha stream \rightarrow \beta
;;
#open "gen";;
#open "ast";;
#open "lexer";;
#open "hashtbl";;
#open "parser";;
let prods = (new 7 : (string, (Token, Env) Component ref Prod_stat) t);;
let type_notations = (new 7 : (string, Token stream \rightarrow Type) t);;
let value_notations = ref ([]: (Token stream → Value) list);;
let macro_types = ref ([ ] : NamedType list);;
let clear_macros _ = clear type_notations; clear prods; value_notations := []; macro_types := [];;
let rec process_prods _ = do_table check prods; clear prods
and check pld = function
 Call _ → failwith ("Undefined macro-production '," ^ pld ^ ",")
| \_ \rightarrow ()
;;
let check instances macId = function
 [\ ] \rightarrow failwith ("No semantics for instances is defined in macro" ^ macld ^ ",")
| - \rightarrow ()
;;
let new field t =
 let ident = Ident ("field-" ^ (string_of_int (list_length !macro_types)))
```

```
in macro_types := !macro_types @ [NamedType (Some ident, t)]; ident
let useful I = \text{flat\_map} (function Some x \to [x] \mid \text{None} \to []) I;;
let type_den I env = Type ([], TClos (ChoiceT I, env), [])
let val_den defs = aux [ ] defs
                    where rec aux env = function
                      d::I \rightarrow (match d with
                                 ValDef (VRef "@", t, v) \rightarrow VClos (v, env@l)
                               \perp \rightarrow aux (env@[d]) I
                    | [] \rightarrow failwith "Fatal error in 'val_den'. Please report."
;;
let stream_copy strm =
 let r = ref strm in
 let c = ref 0
 in (c, stream_from (fun \_ \rightarrow let (v, s) = stream_get !r in incr c; r := s; v))
let item elm cnt strm =
 match elm with
   Term f \rightarrow let(t, s) = stream\_get strm
               in (f t, succ cnt, s)
   NTerm f \rightarrow f cnt strm
   STerm f \rightarrow let(c, s) = stream\_copy strm
                in try
                     let r = f s in let _ = stream_get s in (r, !c + cnt - 1, s)
                   with Parsing_err _ → raise Parse_failure
;;
let rec right hand pl cnt strm =
 match pl with
   p::I \rightarrow let (r, c, s) = item !p cnt strm in
           let (r', c', s') = right\_hand l c s
           in (r@r', c', s')
```

```
|[] \rightarrow ([], cnt, strm)
let rec prod pm cnt strm =
  match pm with
   [] → raise Parse_failure
  | parsers::l → try
                     let (r, c, \underline{\ }) = right\_hand parsers cnt strm
                     in (r, c, strm)
                   \textbf{with} \ \mathsf{Parse\_failure} \ \to \ \mathsf{prod} \ \mathsf{I} \ \mathsf{cnt} \ \mathsf{strm}
;;
let macro prod act | strm =
 let (r, c, \underline{\hspace{0.1cm}}) = prod \mid 0 strm
 in for i = 1 to c do stream_next strm done; act r
;;
let prod_call pld =
  try
    match find prods pld with
      Call r \rightarrow Some r
      \mathsf{Decl}\ r \to \mathsf{Some}\ r
  with Not_found \rightarrow let r = ref (NTerm (fun cnt strm \rightarrow ([], cnt, strm)))
                          in add prods pld (Call r); Some r
;;
let prod_decl pld rhs =
  let p = ref (NTerm (prod rhs))
  in try
       match find prods pld with
         Call r \rightarrow r := !p;
                     remove prods pld;
                     add prods pld (Decl r)
       \mid Decl \_ \rightarrow failwith ("Multiple declaration of macro-production '" \hat{} pld \hat{} "'")
     with Not_found \rightarrow add prods pld (Decl p)
;;
```

**let** macroTypeInstance htbl pld =

```
| let macro = try | find htbl pld | with Not_found → raise Parse_failure | in function [⟨ macro env ⟩] → env | ;; | let rec macroValueInstance = function | macro::| → (function [⟨ macro ast ⟩] → ast | [⟨ strm ⟩] → macroValueInstance | strm) | [] → raise Parse_failure | ;;
```

### Annex

### ASN.1:1990 lexer source code

First we recall the interface of the Caml Light module lexer, partially presented in (7.2).

```
type Location == int
and Syntax == string
type Token = Keyword of Location * Syntax
               Lower of Location * Syntax
               Upper of Location * Syntax
               Number of Location * Syntax
               BasedNum of Location * Syntax
               XString of Location * Syntax
               Symbol of Location * Syntax
               Sentry of Location
;;
exception Lexing_err of string * Location * int;;
value lexer : in_channel → Token stream;;
value pos : int ref;;
value incr : int ref \rightarrow unit;;
We now give the implementation of the module lexer.
#open "hashtbl";;
 Implements the keyword table as an hashtable.
let rec built_assoc = function
 key::t \rightarrow (key, fun loc \rightarrow Keyword (loc, key))::(built_assoc t)
\mid [\;] \rightarrow [\;]
;;
```

```
let keyword_list =
 ["EXTERNAL"; "UTCTime"; "GeneralizedTime"; "ObjectDescriptor";
  "NumericString"; "PrintableString"; "TeletexString"; "VideotexString";
  "T61String"; "IS0646String";
  "VisibleString"; "IA5String"; "GraphicString"; "GeneralString";
  "BOOLEAN"; "INTEGER"; "BIT"; "STRING"; "OCTET"; "NULL"; "SEQUENCE";
  "OF"; "SET"; "IMPLICIT"; "CHOICE"; "ANY"; "OBJECT"; "IDENTIFIER";
  "OPTIONAL"; "DEFAULT"; "COMPONENTS"; "UNIVERSAL"; "APPLICATION";
  "PRIVATE"; "TRUE"; "FALSE"; "BEGIN"; "END"; "DEFINITIONS";
  "EXPLICIT"; "ENUMERATED"; "EXPORTS"; "IMPORTS"; "REAL"; "INCLUDES";
  "MIN"; "MAX"; "SIZE"; "FROM"; "WITH"; "PRESENT"; "ABSENT"; "DEFINED";
  "BY"; "PLUS-INFINITY"; "MINUS-INFINITY"; "TAGS"; "COMPONENT";
  "MACRO"; "TYPE"; "VALUE"; "NOTATION"]
let keyword_table =
 (new (list length keyword list) : (string, Location \rightarrow Token) t)
do_list (fun (str, tok) → add keyword_table str tok) (built_assoc keyword_list)
```

Top-level reference as a character counter. Gives tokens' locations in the source file.

#### Auxiliaries functions for the lexer.

```
let lower = function [\langle '(`a`..`z`asc) \rangle] \rightarrow c;;
let upper = function [\langle '(`A`..`z`asc) \rangle] \rightarrow c;;
let letter = function
[\langle lowers \rangle] \rightarrow s
| [\langle upperu \rangle] \rightarrow u
;;
let digit = function [\langle '(`0`..`9`asd) \rangle] \rightarrow d;;
let alpha = function
```

```
[⟨ letter I ⟩] → I

| [⟨ digit d ⟩] → d

;;

let ext_alpha = function

[⟨ alpha c ⟩] → c

| [⟨ '('&' | '#' | '`,' | '{' | '(' | '[' | '-' | ' | 'as c) ⟩] → c

| [⟨ '('\',' | '_-' | '\\' | '`,' | '@' | '),' | '],' | ' (as c) ⟩] → c

| [⟨ '('+' | '=' | '},' | '$,' | '%,' | '*,' | '!,' | '`as c) ⟩] → c

| [⟨ '('<' | '>' | '?,' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | ',' | '
```

## Parse comments.

Parse a possibly empty concatenation of hexadecimal characters.

```
let rec star_hexa = function  [\langle '(`0`..`9` | `a`..`f` | `A`..`F` as c); star_hexa sh \rangle] \rightarrow (make_string 1 c) ^sh | [<math>\langle \ \rangle] \rightarrow ""
```

Parse a possibly empty concatenation of decimal characters.

```
let rec star_dec = function  [\langle digit d; star_dec sd \rangle] \rightarrow (make_string 1 d) ^ sd | [\langle \rangle] \rightarrow "" ;;
```

Parse ASN.1:1990 type references or value references, except the first char.

```
let rec aux_ref = function
  [\langle ''-'; after\_hyphen r \rangle] \rightarrow r
| [\langle alpha c; aux\_ref ar \rangle] \rightarrow (make\_string 1 c) ^ ar
| [\langle \rangle] \rightarrow  ""
and after_hyphen strm = match strm with
 [\langle \ ' \ `- \ `; comment \_ \rangle] \rightarrow ""
|[\langle alpha c \rangle] \rightarrow "-" \hat{(}make\_string 1 c) \hat{(}aux\_ref strm)|
| [( )]
  \rightarrow raise (Lexing_err ("Reference cannot end with hyphen", !pos-1, 1))
;;
Parse ASN.1:1990 strings, except the first character (i.e. the double-quote).
```

```
let rec aux_string strm = match strm with
 [\langle ext\_alpha c \rangle] \rightarrow (make\_string 1 c) ^ (aux\_string strm)
| [\langle ''"'; after\_2quote s \rangle] \rightarrow s
[(''\n')]
 → raise (Lexing_err ("String not terminated", !pos, 1))
  → raise (Lexing_err ("Illegal character in string", !pos, 1))
and after_2quote = function
 [\langle '' "'; aux\_string r \rangle] \rightarrow "\" r
\mid [\langle \rangle] \rightarrow  ""
```

Parse base of ASN.1:1990 numeric strings.

```
let hexa bin = function
 [\langle '('B'| 'H' as base) \rangle] \rightarrow make\_string 1 base
| [( )]
 → raise (Lexing_err ("Binary or hexadecimal base expected", !pos, 1))
;;
```

Parse meaningless blanks.

```
let rec skip_blanks strm = match strm with  [\langle \ '(\ `\ '\ '\ '\ '\ '\ '\ '\ ')\ ] \to \text{skip\_blanks strm} \\ |\ [\langle \ \rangle] \to () \\ ;;
```

Parse the end of a token beginning with a colon.

Parse the end of a token beginning with a dot.

```
 \begin{array}{l} \textbf{let} \  \, \text{aux\_dot strm} \  \, = \  \, \textbf{match} \  \, \text{strm} \  \, \textbf{with} \\  \quad [\langle \ ' \ ' \ . \ ' \ \rangle] \rightarrow (\textbf{match} \  \, \text{strm} \  \, \textbf{with} \\  \quad [\langle \ ' \ ' \ . \ ' \ \rangle] \rightarrow [\  \, \text{Symbol} \  \, (!pos-2,\ "...")]) \\  \quad |\  \, [\langle \ \rangle] \rightarrow [\  \, \text{Symbol} \  \, (!pos-2,\ "..")]) \\ |\  \, [\langle \ \rangle] \rightarrow [\  \, \text{Symbol} \  \, (!pos-1,\ ".")] \\ \vdots \\ \vdots \\ \end{array}
```

Predicate true if and only if the string is only made of 0 and 1.

```
let rec is_bin s =
  if string_length s = 0
  then true
  else let c = nth_char s 0 in
      if c = '0' or c = '1'
      then is_bin (sub_string s 1 (string_length s - 1))
      else false
;;
```

```
Parse ASN.1:1990 numeric strings, except the first char (i.e. ').
let aux_quote str_num strm = match strm with
  [\langle '', ' \rangle] \rightarrow let base = hexa bin strm in
                        if not (is_bin str_num) & (base = "B")
                        then raise (Lexing_err ("Hexadecimal base expected", !pos, 1)) else let con_syn = "," \ ^str_num \ ^"," \ ^base
                                in [BasedNum (!pos-(string_length str_num)-2, con_syn)]
| [⟨''\n')] → raise (Lexing_err ("Numeric string not terminated", !pos, 1))
|[\langle '\_ \rangle] \xrightarrow{\prime}] raise (Lexing_err ("Illegal character in numeric string", !pos, 1))
\mid \left[ \left\langle \ \right\rangle \right] \rightarrow \mathsf{raise} \; (\mathsf{Lexing\_err} \; (\text{``Numeric string not terminated''}, !\mathsf{pos}, \; 1))
  This parser assumes that a number cannot start with 0 (except zero).
\label{eq:let_aux_zero} \textbf{let} \ \ \mathsf{aux\_zero} = \textbf{function}
  [\langle digit \_ \rangle] \rightarrow raise (Lexing_err ("Left zero in number", !pos-1, 1))
| [\langle \rangle] \rightarrow [Number (!pos-1, "0")]
  Parse a token beginning with an hyphen, except its first char.
let rec aux_minus = function
  [\langle \ ' \ `- \ `; \ \mathsf{comment} \ \_ \ \rangle] \ \rightarrow [\ ]
\mid \left[ \left\langle \ \right\rangle \right] \rightarrow \left[ \text{Symbol} \left( !\text{pos-}1, \text{``-"} \right) \right]
  Parse all possible tokens.
and read tokens strm =
  skip_blanks strm;
  match strm with
    \begin{array}{c} [\langle \ ' \ ' \ ' \ ' \ \rangle] \rightarrow [ \ \mathsf{Symbol} \ (!\mathsf{pos}, \ `` \ ' \ ')] \\ [\langle \ ' \ ' \ ' \ \rangle] \rightarrow [ \ \mathsf{Symbol} \ (!\mathsf{pos}, \ " \ ')] \end{array} 
     [\langle '' - '; aux\_minus t \rangle] \rightarrow t
     [\langle '' . '; aux\_dot t \rangle] \rightarrow t
     [\langle \text{''0'; aux\_zero t} \rangle] \rightarrow t
     [\langle ' ' : '; aux\_colon t \rangle] \rightarrow t
   \left[ \left[ \left\langle {}' \right\rangle \right] \right] \rightarrow \text{let con_syn} = \left\| \left\| \right\| \right\| \right\|  s \left\| \right\| \right\|
```

in [XString (!pos - (string length s) - 2, con syn)]

```
[\langle '', '; star\_hexa s \rangle] \rightarrow aux\_quote s strm
    [('('1'..'9' \text{ as } d); \text{ star_dec } I)] \rightarrow [\text{Number } (!\text{pos} - (\text{string_length } I) - 1, (\text{make_string } 1 d) ^ I)]
  | [\langle lower h; aux\_ref suf \rangle] \rightarrow let id = (make\_string 1 h) ^ suf in
                                           let loc = !pos - (string_length id)
                                           in (try
                                                   [(find keyword_table id) loc]
                                                 with Not found \rightarrow [Lower (loc, id)])
  |[\langle \text{ upper h}; \text{ aux\_ref suf } \rangle] \rightarrow \text{let } \text{id} = (\text{make\_string 1 h}) \hat{\text{ suf in}}
                                            let loc = !pos - (string length id)
                                            in (try
                                                    [(find keyword_table id) loc]
                                                 with Not_found → [Upper (loc, id)])
 | [\langle 'c \rangle] \rightarrow [Symbol (!pos, make\_string 1 c)]
let rec inject = function
  x::I \rightarrow [\langle 'x; inject I \rangle]
| [] \rightarrow [\langle \rangle]
;;
  The main function.
let lexer chan =
  let in_stream =
    stream_from (fun () \rightarrow let c = input_char chan in incr pos; c) in
  let rec aux lexer strm =
    match strm with
       [\langle read\_tokens\ tok\_lst \rangle] \rightarrow [\langle inject\ tok\_lst;\ aux\_lexer\ strm \rangle]
    \mid [\langle \ \rangle] \rightarrow [\langle \ \rangle]
  in
  let out stream = aux lexer in stream
  in try
        end_of_stream out_stream;
        [\langle 'Sentry (1) \rangle]
      with Parse_failure \rightarrow [\langle out_stream; 'Sentry (1 + !pos) \rangle]
;;
```

# Some examples without macros

We give some examples in order to show the output abstract-syntax tree. For sake of clarity, the presentation is done as if we were at the Caml Light top-level loop, during a normal session. Please read first the README file in the Asno'90 distribution in order to know precisely how to install and run the software. After entering the system, type:

```
#include "top";;
```

We suppose here that we have a ASN.1:1990 source file ex1.asn which contents is an extract of the CMIP protocol:

```
-- Common Management Information Protocol (CMIP)
CMIP {joint-iso-ccitt ms(9) cmip(1) modules(0) aAssociateUserInfo(1)}
DEFINITIONS ::=
BEGIN
FunctionalUnits ::= BIT STRING { multipleObjectSelection (0),
                                 filter (1),
                                 multipleReply (2),
                                 extendedService (3),
                                 cancelGet (4)
                               }
-- Functional unit i is supported if and only if bit i is one.
-- information carried in user-information parameter of A-ASSOCIATE
CMIPUserInfo ::= SEQUENCE { protocolVersion [0] IMPLICIT ProtocolVersion
                                                 DEFAULT { version1 },
                            functionalUnits [1] IMPLICIT FunctionalUnits
                                                 DEFAULT {},
                            accessControl
                                             [2] EXTERNAL
                                                 OPTIONAL,
                                             [3] EXTERNAL
                            userInfo
                                                 OPTIONAL }
ProtocolVersion ::= BIT STRING { version1 (0), version2 (1) }
END
```

Now we run the parsing and get the abstract-syntax tree:

```
#analyse "ex1.asn";;
-: Spec list Option
  = Some [Spec (ModId (MRef "CMIP", [IdVRefObj "joint-iso-ccitt";
                                       IdObj (Ident "ms", NumForm 9);
                                       IdObj (Ident "cmip", NumForm 1);
                                       IdObj (Ident "modules", NumForm 0);
                                       IdObj (Ident "aAssociateUserInfo",
                                              NumForm 1)]),
                 Scope (Import [], Export []),
                 [TypeDef]
                   (TRef "FunctionalUnits",
                    Type ([ Tag (Universal, NumCat 3, Explicit)],
                          BitStrT [ NamedBit
                                     (Ident "multipleObjectSelection",
                                     NumBit 0); NamedBit (Ident "filter",
                                                           NumBit\ 1);
                                     NamedBit (Ident "multipleReply",
                                                NumBit 2);
                                     NamedBit (Ident "extendedService",
                                                NumBit 3);
                                     NamedBit (Ident "cancelGet", NumBit 4)],
                                   []));
                    TypeDef
                     (TRef "CMIPUserInfo",
                      Type
                       ([ Tag (Universal, NumCat 16,
                              Explicit)],
                        SeqT [ Default
                                (NamedType
                                  (Some (Ident "protocolVersion"),
                                   Type ([ Tag (Context, NumCat 0,
                                               Implicit)],
                                         DefType
                                           (MRef "CMIP",
                                            TRef "ProtocolVersion"), [])),
                                 ObjBitOfV "version1");
                               Default
                                (NamedType
                                  (Some (Ident "functionalUnits"),
                                   Type ([ Tag (Context, NumCat 1,
```

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Another example:

BEGIN

IMPORTS

```
Implicit)],
                                         DefType
                                           (MRef "CMIP",
                                            TRef "FunctionalUnits"),[])),
                                 EmptyV);
                               Optional (NamedType
                                          (Some (Ident "accessControl"),
                                           Type ([ Tag (Context, NumCat 2,
                                                       Implicit);
                                                  Tag (Universal, NumCat 8,
                                                       Explicit)],
                                                 UsefulT External, []));
                               Optional (NamedType
                                          (Some (Ident "userInfo"),
                                           Type ([ Tag (Context, NumCat 3,
                                                       Implicit);
                                                  Tag (Universal, NumCat 8,
                                                       Explicit)],
                                                 UsefulT\ External,\ [\ ])))\ ],\ [\ ]));
                    TypeDef (TRef "ProtocolVersion",
                             Type ([ Tag (Universal, NumCat 3, Explicit)],
                                    BitStrT [NamedBit (Ident "version1",
                                                       NumBit 0);
                                            NamedBit (Ident "version2",
                                                       NumBit 1)], []))])
ASN1DefinedTypesModule {ccitt recommendation(0) m(13) gnm(3100)
                          informationModel(0) asn1Modules(2)
                          asn1DefinedTypesModule(0)}
DEFINITIONS IMPLICIT TAGS ::=
-- EXPORTS everything
  ObjectInstance,ObjectClass
    FROM CMIP-1 {joint-iso-ccitt ms(9) cmip(1) modules(0) protocol(3)};
```

```
ConnectivityPointer ::= CHOICE { none
                                                 NULL,
                                   single
                                                 ObjectInstance,
                                   concatenated SEQUENCE OF ObjectInstance}
CTPUpstreamPointer ::= ConnectivityPointer(WITH COMPONENTS { ...,
      -- the other two choices are present
         concatenated ABSENT))
END -- end of ASN1DefinedTypesModule
Now we run the parsing and get the abstract-syntax tree:
  #analyse "ex2.asn";;
  -: Spec \ list \ Option
    = Some
        [Spec (ModId
                (MRef "ASN1DefinedTypesModule",
                 [IdVRefObj "ccitt";
                  IdObj (Ident "recommendation",
                        NumForm 0); IdObj (Ident "m", NumForm 13);
                  IdObj (Ident "gnm", NumForm 3100);
                  IdObj (Ident "informationModel", NumForm 0);
                  IdObj (Ident "asn1Modules", NumForm 2);
                  IdObj (Ident "asn1DefinedTypesModule", NumForm 0)]),
               Scope (Import
                      [SymMod
                         ([SymType (TRef "ObjectInstance");
                          SymType (TRef "ObjectClass")],
                          ModId (MRef "CMIP-1",
                                 [IdVRefObj\ "joint-iso-ccitt";
                                  IdObj (Ident "ms", NumForm 9);
                                  IdObj (Ident "cmip", NumForm 1);
                                  IdObj (Ident "modules", NumForm 0);
                                  IdObj (Ident "protocol", NumForm 3)]))],
                     Export[]),
              [\ TypeDef
                 (TRef "ConnectivityPointer",
                  Type ([], ChoiceT
                            [NamedType]
                              (Some (Ident "none"),
```

```
Type ([ Tag (Universal
                                           , NumCat 5,
                                           Explicit), NullT,
                                      []));
                             NamedType
                               (Some (Ident "single"),
                                Type ([], DefType
                                          (MRef "CMIP-1",
                                           TRef "ObjectInstance"), []));
                             NamedType
                               (Some (Ident "concatenated"),
                                Type
                                 ([ Tag (Universal, NumCat 16,
                                        Explicit)],
                                 SegOfT
                                   (Type ([], DefType
                                              (MRef "CMIP-1",
                                               TRef "ObjectInstance"), [])), []))],
                        []));
                TypeDef
                 (TRef "CTPUpstreamPointer",
                  Type ([], DefType (MRef "CMIP-1",
                                    TRef "ConnectivityPointer"),
                        [Constraint
                          [Inner (MultConst
                                   (Partial
                                     [Some\ (NamedConst
                                             (Some (Ident "concatenated"),
                                              None, Some \ Absent))]))]]))])
A last one:
Attribute-ASN1Module {joint-iso-ccitt ms(9) smi(3) part2(2) asn1Module(2) 1}
DEFINITIONS IMPLICIT TAGS::=
BEGIN
AvailabilityStatus ::= SET OF INTEGER
                                { inTest(0), failed(1), powerOff(2),
                                  offLine(3), offDuty(4), dependency(5),
```

```
degraded(6), notInstalled (7) , logFull(8)}
LogAvailability ::= AvailabilityStatus (WITH COMPONENT (logFull | offDuty))
END
Now we run the parsing and get the abstract-syntax tree:
  #analyse "ex3.asn";;
  - : Spec list Option
    = Some [Spec (ModId (MRef "Attribute-ASN1Module",
                          [IdVRefObj "joint-iso-ccitt";
                           IdObj (Ident "ms", NumForm 9);
                           IdObj (Ident "smi", NumForm 3);
                           IdObj (Ident "part2", NumForm 2);
                           IdObj (Ident "asn1Module", NumForm 2); NumObj 1]),
                  Scope (Import [], Export []),
                  [ TypeDef (TRef "AvailabilityStatus",
                             Type ([ Tag (Universal, NumCat 17, Explicit)],
                                   SetOfT (Type ([ Tag (Universal, NumCat 2,
                                                      Explicit),
                                                 IntegerT
                                                  [NamedNum (Ident "inTest",
                                                               NumNum (Plus, 0);
                                                   NamedNum (Ident "failed",
                                                               NumNum (Plus, 1));
                                                   NamedNum (Ident "powerOff",
                                                               NumNum (Plus, 2);
                                                   NamedNum (Ident "offLine",
                                                               NumNum (Plus, 3));
                                                   NamedNum (Ident "offDuty",
                                                               NumNum (Plus, 4));
                                                   NamedNum
                                                    (Ident "dependency",
                                                     NumNum (Plus, 5));
                                                   NamedNum (Ident "degraded",
                                                               NumNum (Plus, 6);
                                                   NamedNum
                                                    (Ident "notInstalled",
                                                     NumNum (Plus, 7));
```

NamedNum (Ident "logFull",

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```
[])), []));
TypeDef(TRef "LogAvailability", \\ Type([], DefType (MRef "Attribute-ASN1Module", \\ TRef "AvailabilityStatus"), \\ [Constraint \\ [Inner (Single Const \\ [Single (IntEnumVRefV \\ "logFull"); \\ Single (IntEnumVRefV \\ "offDuty")])]))])]
```

# An example with a macro

```
The example given in \S E.3 of [4]:
SAMPLE
DEFINITIONS ::= BEGIN
PAIR
MACRO ::= BEGIN
TYPE NOTATION ::= "TYPEX" "=" type(LT1) "TYPEY" "=" type(LT2)
VALUE NOTATION ::= "(" "X" "=" value(lv1 LT1) ","
                        "Y" "=" value(1v2 LT2)
                     <VALUE SEQUENCE {LT1, LT2} ::= {lv1, lv2}>
END
T1 ::= PAIR
         TYPEX = INTEGER
         TYPEY = BOOLEAN
T2 ::= PAIR
         TYPEX = VisibleString
         TYPEY = T1
v1 T1 ::= (X = 3, Y = TRUE)
v2 T2 ::= (X = "Name", Y = (X = 4, Y = FALSE))
END
-: Spec list Option
  =
  Some
   [Spec]
     (ModId (MRef "SAMPLE", []),
      Scope (Import [], Export []),
      [TypeDef
         (TRef "T1",
         Type
           ([\ ],\ TClos
                (Choice T
                  [NamedType]
```

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```
(Some (Ident "field-0"),
              Type ([ Tag (Universal,
                           NumCat 16,
                           Explicit)],
                     SeqT
                      [ Mandatory
                         (NamedType
                           (None,
                            Type ([], DefType
                                       (MRef "SAMPLE",
                                        TRef "LT1"), [])));
                       Mandatory
                         (NamedType
                           (None,
                            Type \; ([\;], \, DefType
                                       (MRef "SAMPLE",
                                        TRef "LT2"), [])))], []))],
          [ TypeDef (TRef "LT1",
                     Type ([ Tag (Universal, NumCat 2, Explicit)],
                           IntegerT[],[]);
           TypeDef (TRef "LT2",
                    Type ([ Tag (Universal, NumCat 1, Explicit)],
                           BooleanT, []))]), []));
TypeDef
 (TRef "T2",
  Type
   ([], TClos
         (Choice T
           [NamedType]
             (Some (Ident "field-0"),
               Type ([ Tag (Universal,
                           NumCat 16,
                           Explicit)],
                     SeqT [Mandatory
                             (NamedType
                               (None, Type ([], DefType
                                                 (MRef "SAMPLE",
                                                  TRef "LT1"), [])));
                           Mandatory
                             (NamedType
                               (None, Type ([], DefType
                                                 (MRef "SAMPLE",
```

```
TRef "LT2"), [])))],
                     [\ ]))],
          [ TypeDef (TRef "LT1",
                     Type ([ Tag (Universal, NumCat 26, Explicit)]
                           , CharStrT Visible, []));
           TypeDef (TRef "LT2",
                     Type ([], DefType]
                                (MRef "SAMPLE", TRef "T1"), []))], []));
ValDef (VRef "v1", Type ([], DefType (MRef "SAMPLE", TRef "T1"), []),
        VClos\ (Choice V\ (Ident\ "field-0",
                         BitOfV [Ident "lv1"; Ident "lv2"]),
               [ValDef (VRef "lv1", Type ([], DefType (MRef "SAMPLE",
                                                        TRef "LT1"), []),
                        IntegerV (SignNum (Plus, 3)));
                ValDef (VRef "lv2", Type ([], DefType (MRef "SAMPLE",
                                                        TRef "LT2"), []),
                        Boolean V true) ]));
ValDef (VRef "v2", Type ([], DefType (MRef "SAMPLE", TRef "T2"), []),
        VClos (ChoiceV (Ident "field-0",
                        BitOfV [Ident "lv1"; Ident "lv2"]),
               [ValDef (VRef "lv1", Type ([], DefType (MRef "SAMPLE"
                                                         TRef "LT1"),
                                           []), CharStrV "Name");
                ValDef (VRef "1v2", Type ([], DefType (MRef "SAMPLE",
                                                        TRef "LT2"), []),
                        VClos (ChoiceV (Ident "field-0",
                                         BitOfV [Ident "lv1";
                                                  Ident "lv2"]),
                               [ValDef (VRef "lv1",
                                        Type ([], DefType
                                                   (MRef "SAMPLE",
                                                    TRef "LT1"), []),
                                        IntegerV (SignNum (Plus, 4)));
                                ValDef (VRef "lv2",
                                        Type ([], DefType
                                                   (MRef "SAMPLE",
                                                    TRef "LT2"), []),
                                        BooleanV false)]))]))])]
```

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