

MATH 751 TAKE HOME EXAM

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SECTION 13

Ex # 5) Show that if \mathcal{A} is a basis for a topology on X , then the topology generated by \mathcal{A} equals the intersection of all topologies on X that contain \mathcal{A} . Prove the same if \mathcal{A} is a subbasis.

Proof. Let (X, \mathcal{T}) be a topological space, and let \mathcal{A} be the basis that generates the topology \mathcal{T} . In addition, let $\{\mathcal{T}_\alpha\}$ be the set of topologies on X that contain \mathcal{A} . We claim that $\mathcal{T} = \bigcap \mathcal{T}_\alpha$:

(\subseteq) Let $U \in \mathcal{T}$. Then we know that U is a union $U = \bigcup_\alpha A_\alpha$ for some collection $\{A_\alpha\}_\alpha \subseteq \mathcal{A}$ ¹. But then $U = \bigcup_\alpha A_\alpha \in \bigcap \mathcal{T}_\alpha$, since each $A_\alpha \in \bigcap \mathcal{T}_\alpha$.

(\supseteq) This inclusion is obvious. It follows from the fact that $\mathcal{T} \supseteq \mathcal{A}$, and so is one of the topologies that is intersected over in the construction of $\bigcap \mathcal{T}_\alpha$.

Now let \mathcal{A} be a subbasis. The proof that $\bigcap \mathcal{T}_\alpha \subseteq \mathcal{T}$ is identical; thus it remains to show that $\mathcal{T} \subseteq \bigcap \mathcal{T}_\alpha$. Let $U \in \mathcal{T}$. By definition of the topology generated by \mathcal{A} , U is the union of a finite intersection of elements $\{A_\alpha\}_\alpha \subseteq \mathcal{A}$. But then $U \in \bigcap \mathcal{T}_\alpha$, since each $A_\alpha \in \bigcap \mathcal{T}_\alpha$. \square

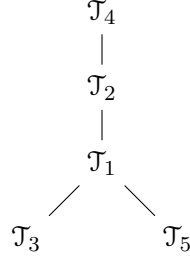
Ex # 7) Consider the following topologies on \mathbb{R} :

- \mathcal{T}_1 = the standard topology.
- \mathcal{T}_2 = the topology of \mathbb{R}_K .
- \mathcal{T}_3 = the finite complement topology.
- \mathcal{T}_4 = the upper limit topology, having all sets $(a, b]$ as basis.
- \mathcal{T}_5 = the topology having all sets $(-\infty, a) = \{x \mid x < a\}$ as basis.

Determine, for each of these topologies, which of the others it contains.

¹This is a consequence of the lemma that states that if \mathcal{A} is basis for a topology \mathcal{T} on a space X , then \mathcal{T} equals the collection of all unions of elements of \mathcal{A} .

Solution. We present the comparison between these five topologies in the following *Hasse* diagram:



- \mathcal{T}_3 and \mathcal{T}_5 are not comparable. $\mathcal{T}_3 \not\subseteq \mathcal{T}_5$ since $\mathbb{R} \setminus \{0\} \in \mathcal{T}_3$, but if we take $x > 0$, which is in this set, there is no basis element $(-\infty, a) \in \mathcal{T}_5$ that contains x but is contained in $\mathbb{R} \setminus \{0\}$. $\mathcal{T}_5 \not\subseteq \mathcal{T}_3$ since $(-\infty, 0)^c$ is not finite.
- $\mathcal{T}_3 \subsetneq \mathcal{T}_1$. Inclusion is true since if $U \in \mathcal{T}_3$, then we have that U^c is finite, and so if we let $U^c = \{x_i\}_{i=1}^n$ with x_i in increasing order, then $U = \bigcup_{i=0}^n (x_i, x_{i+1})$ with $x_0 = -\infty$ and $x_{n+1} = \infty$. Inequality follows since for (a, b) such that $-\infty < a, b < \infty$, we have that $\mathbb{R} \setminus (a, b)$ is not finite.
- $\mathcal{T}_5 \subsetneq \mathcal{T}_1$. Inclusion is clear since $(-\infty, a)$ is of the form (b, c) . That is, $(-\infty, x) = \bigcup_{i=1}^{\infty} (x - i, x) \in \mathcal{T}_1$ for all $x \in \mathbb{R}$. Inequality follows since for $(b, c) \in \mathcal{T}_1$ and $x \in (b, c)$, there is no basis element $(-\infty, a) \in \mathcal{T}_5$ such that $x \in (-\infty, a) \subseteq (b, c)$.
- $\mathcal{T}_1 \subsetneq \mathcal{T}_2$. This is given in *Lemma* 13.4 in our text. For inclusion, notice that if we take a basis element (a, b) for \mathcal{T}_1 and a point $x \in (a, b)$, this interval is also a basis element for \mathcal{T}_2 that contains x as well. Inequality follows because, given a basis element $B = (-1, 1) \setminus K$ for \mathcal{T}_2 and the point 0 of B , there is no open interval that contains 0 and lies in B .
- $\mathcal{T}_2 \subsetneq \mathcal{T}_4$. Consider the interval (a, b) and the point $x \in (a, b)$. Then we have $(a, x] \in \mathcal{T}_4$ and $(a, x] \subseteq (a, b)$. For $(a, b) \setminus K \in \mathcal{T}_2$ and $x \in (a, b) \setminus K$, we note that $x \in (1/(n+1), c]$ where either $x < c < 1/n$, $x \in (a, 0]$, or $x \in (1, d]$ with $x < d < b$. In all three cases, these sets are subsets of $(a, b) \setminus K$ and are members of \mathcal{T}_4 . Inequality follows since for $(a, b] \in \mathcal{T}_4$, there is no basis element $U \in \mathcal{T}_2$ such that $b \in U$ and $U \subset (a, b]$. \square

SECTION 16

Ex # 5) Let X and X' denote a single set in the topologies \mathcal{T} and \mathcal{T}' , respectively. Also, let Y and Y' denote a single set in the topologies \mathcal{U} and \mathcal{U}' , respectively. Assume these sets are nonempty.

a) Show that if $\mathcal{T}' \supset \mathcal{T}$ and $\mathcal{U}' \supset \mathcal{U}$, then the product topology on $X' \times Y'$ is finer than the product topology on $X \times Y$.

b) Does the converse of a) hold? Justify your answer.

Proof of a). This is straightforward. Since by assumption $\mathcal{T} \subset \mathcal{T}'$ and $\mathcal{U} \subset \mathcal{U}'$, then we must have that \mathcal{T}' and \mathcal{U}' contain every basis element of \mathcal{T} and \mathcal{U} , respectively (and more). Hence we must have that $O_x \times O_y \in \mathcal{T}' \times \mathcal{U}'$ for every basis element $O_x \times O_y$ of $\mathcal{T} \times \mathcal{U}$. Thus, the topology on $X' \times Y'$ is finer than the topology on $X \times Y$, as desired. \square

Proof of b). The converse does hold. Assume that the product topology on $X' \times Y'$ is finer than the product topology on $X \times Y$. Then if U is open in X , with $x \in X$, and V is open in Y , with $y \in Y$, then $U \times V$ is open in $X \times Y$ and, therefore, open in $X' \times Y'$. Hence, there exists a basis element $O'_x \times O'_y$ in $X' \times Y'$ such that

$$x \times y \in O'_x \times O'_y \subset U \times V.$$

Thus, there are open sets $O'_x \in \mathcal{T}'$ and $O'_y \in \mathcal{U}'$ such that $x \in O'_x \subseteq U$ and $y \in O'_y \subseteq V$. So, U is open in X' and V is open in Y' , as desired. \square

Ex # 8) If L is a straight line in the plane, describe the topology L inherits as a subspace of $\mathbb{R}_\ell \times \mathbb{R}$ and as a subspace of $\mathbb{R}_\ell \times \mathbb{R}_\ell$. (In each case it is a familiar topology).

Solution. Note that a basis for $\mathbb{R}_\ell \times \mathbb{R}$ consists of elements of the form $[a, b) \times (c, d)$. Assume that L has zero slope with its first coordinate fixed. Then $L = \{(x, y) \mid x = x_0\}$ for some fixed x_0 , and thus $L \cap ([a, b) \times (c, d))$ is either empty or is equal to $\{x_0\} \times (c, d)$. So we define the map $\varphi: L \cap (\mathbb{R}_\ell \times \mathbb{R}) \rightarrow \mathbb{R}$, given by

$$\{x_0\} \times (c, d) \mapsto (c, d).$$

This map is bijective, open, and continuous, and so the topology that L inherits is homeomorphic to \mathbb{R} with the standard topology.

In the case that L has finite slope, say m , we first note that $L \cap (\mathbb{R}_\ell \times \mathbb{R}) = \{(x, mx + b) \in \mathbb{R}^2 \mid x \in \mathbb{R}\}$, and that the basis for our topology are the sets of the form

$$\emptyset, \quad [(a, ma + b), (c, mc + b)), \quad \text{and} \quad ((a, ma + b), (c, mc + b)),$$

for $a, b, c \in \mathbb{R}$ and $a < c$.

We then define the function $\varphi: L \cap (\mathbb{R}_\ell \times \mathbb{R}) \rightarrow \mathbb{R}_\ell$ given by

$$(a, ma + b) \mapsto a.$$

This implies

$$\begin{aligned} ((a, ma + b), (c, mc + b)) &\mapsto (a, c), \\ [(a, ma + b), (c, mc + b)) &\mapsto [a, c). \end{aligned}$$

We claim that this defines a homeomorphism with \mathbb{R}_ℓ . Clearly, it is continuous, for the basis elements of \mathbb{R}_ℓ have preimages that are basis elements in the topology on L , i.e. preimages of open sets are open, hence φ is continuous. Likewise, it is an open map since the basis elements of L map to sets that are open in \mathbb{R}_ℓ . Finally this is a bijection since φ clearly has an inverse.

Finally, we need to check the case for $\mathbb{R}_\ell \times \mathbb{R}_\ell$. Following the same steps as above, if $L = \{(x, y) \mid x = x_0\}$, then we have that $L \cap (\mathbb{R}_\ell \times \mathbb{R}_\ell)$ is homeomorphic to \mathbb{R}_ℓ . For L with $|m| < \infty$, we must split it up into two cases. When $m \geq 0$, we have a similar situation as above, except we only have to consider basis elements of the form $[a, b)$; thus, $L \cap (\mathbb{R}_\ell \times \mathbb{R}_\ell)$ is homeomorphic to \mathbb{R}_ℓ . When $m < 0$, notice that for every point $(x, y) \in L$, we can find a basis element $[x, a) \times [y, b) \in (\mathbb{R}_\ell \times \mathbb{R}_\ell)$ such that $L \cap ([x, a) \times [y, b)) = \{(x, y)\}$, and these form the open sets of our new topology. We see then that the topology on L is homeomorphic to the discrete topology on \mathbb{R} . \square

Ex # 10) Let $I = [0, 1]$. Compare the product topology on $I \times I$, the dictionary order topology on $I \times I$, and the topology $I \times I$ inherits as a subspace of $\mathbb{R} \times \mathbb{R}$ in the dictionary order topology.

Solution. Let us denote the product topology by \mathcal{T}_1 , the dictionary order topology by \mathcal{T}_2 , and the subspace topology by \mathcal{T}_3 . Now notice that \mathcal{T}_1 and \mathcal{T}_2 are not comparable. For instance, take $(0, 1) \in [0, 1] \times (1/2, 1]$, which has no open neighborhood in \mathcal{T}_2 , and take $(0, 1/2) \in \{0\} \times (0, 1)$, which has no open neighborhood in \mathcal{T}_1 .

Now we note that \mathcal{T}_3 is strictly finer than both \mathcal{T}_1 and \mathcal{T}_2 . Indeed, \mathcal{T}_3 is generated by sets $\{x\} \times ((a, b) \cap [0, 1])$; every basis element $((a, b) \cap [0, 1]) \times ((c, d) \cap [0, 1])$ of \mathcal{T}_1 can be generated as the union of open sets in \mathcal{T}_3 , and also every basis set $(a, b) < (x, y) < (c, d)$ in \mathcal{T}_2 can be generated as the union as well. The fact that \mathcal{T}_3 is strictly finer follows from the fact that \mathcal{T}_1 and \mathcal{T}_2 are not comparable. \square

SECTION 17

Ex # 21) (Kuratowski) Consider the collection of all subsets A of the topological space X . The operations of closure $A \rightarrow \bar{A}$ and complementation $A \rightarrow X \setminus A$ are functions from this collection to itself.

- Show that starting with a given set A , one can form no more than 14 distinct sets by applying these two operations successively.
- Find a subset A of \mathbb{R} (in its usual topology) for which the maximum of 14 is obtained.

Proof. a) Let $A_1 = A$ and set $B_1 = A_1^c$. Define $A_{2n} = \overline{A_{2n-1}}$ and $A_{2n+1} = A_{2n}^c$, for $n \in \mathbb{N}$. Also define $B_{2n} = \overline{B_{2n-1}}$ and $B_{2n+1} = B_{2n}^c$, for $n \in \mathbb{N}$.

Note that every set obtainable from A by repeatedly applying the closure and complement

operations is clearly one of the sets A_n or B_n . Now $A_7 = X \setminus \overline{X \setminus A_4} = A_4^o = (\overline{A_3})^o$. Since $A_3 = \overline{A_1}^c$, it follows that A_3 is open, hence $A_3 \subset A_7 \subset \overline{A_3}$, so $\overline{A_7} = \overline{A_3}$, i.e. $A_8 = A_4$, hence $A_{n+4} = A_n$ for $n \geq 4$. Similarly $B_{n+4} = B_n$ for $n \geq 4$. Thus every A_n or B_n is equal to one of the 14 sets $A_1, \dots, A_7, B_1, \dots, B_7$, and this proves the result. \square

Solution. **b)** Let $A = ((-\infty, -1) \setminus \{-2\}) \cup ([-1, 1] \cap \mathbb{Q}) \cup \{2\}$. Then the 14 different sets are:

$$\begin{aligned} A_1 &= ((-\infty, -1) \setminus \{-2\}) \cup ([-1, 1] \cap \mathbb{Q}) \cup \{2\} \\ A_2 &= (-\infty, 1] \cup \{2\} \\ A_3 &= (1, \infty) \setminus \{2\} \\ A_4 &= [1, \infty) \\ A_5 &= (-\infty, 1) \\ A_6 &= (-\infty, 1] \\ A_7 &= (1, \infty) \\ B_1 &= \{-2\} \cup ([-1, 1] \setminus \mathbb{Q}) \cup ((1, \infty) \setminus \{2\}) \\ B_2 &= \{-2\} \cup [-1, \infty) \\ B_3 &= (-\infty, -1) \setminus \{-2\} \\ B_4 &= (-\infty, -1] \\ B_5 &= (-1, \infty) \\ B_6 &= [-1, \infty) \\ B_7 &= (-\infty, -1). \end{aligned}$$

\square

SECTION 18

Ex # 12) Let $F: \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$ be defined by the equation

$$F(x \times y) = \begin{cases} xy/(x^2 + y^2) & \text{if } x \times y \neq 0 \times 0, \\ 0 & \text{if } x \times y = 0 \times 0. \end{cases}$$

- a)** Show that F is continuous in each variable separately.
- b)** Compute the function $g: \mathbb{R} \rightarrow \mathbb{R}$ defined by $g(x) = F(x \times x)$.
- c)** Show that F is not continuous.

Proof of a). Interchanging $x \leftrightarrow y$ leaves us in an identical situation, so it would certainly suffice to fix the first coordinate at x_0 and prove the result for all y in the second coordinate. Let $h(y) = F(x_0 \times y)$; we claim that h is continuous as a function $\mathbb{R} \rightarrow \mathbb{R}$. For $y = 0$, this

is trivially true for the image of h is $(0,0)$ with preimage \mathbb{R} . Now suppose $y \neq 0$; then we have $h(y) = x_0 y / (x_0^2 + y^2)$. This is continuous since $x_0 y$ and $x_0^2 + y^2$ are both continuous, and so their quotient is also continuous (since also $x_0^2 + y^2 \neq 0$). \square

Proof of b). Since $F(x \times x)$ for $x \neq 0$ equals $x^2 / (x^2 + x^2) = x^2 / 2x^2 = 1/2$, we have

$$g(x) = \begin{cases} 1/2 & \text{if } x \neq 0. \\ 0 & \text{if } x = 0. \end{cases} \quad \square$$

Proof of c). We claim $F(x \times y)$ is not continuous along the line $L = \{(x, y) \mid x = y\}$ at $(0,0)$, i.e. $F|_L$ is not continuous at $(0,0)$. Note that the line L in the subspace topology is homeomorphic to \mathbb{R} , where the homeomorphism is given by either of the coordinate projection maps π_1 or π_2 . Now the preimage of the closed set $\{1/2\} \subseteq \mathbb{R}$ is $L \setminus \{(0,0)\}$, which is not closed since $\mathbb{R} \setminus \{0\}$ is not closed, hence $F|_L$ is not continuous, and neither is F . \square

SECTION 20

Ex # 10) Let X denote the subset of \mathbb{R}^ω consisting of all sequences (x_1, x_2, \dots) such that $\sum x_i^2$ converges.

a) Show that if $x, y \in X$, then $\sum |x_i y_i|$ converges.

b) Let $c \in \mathbb{R}$. Show that if $x, y \in X$, then so are $x + y$ and cx .

c) Show that

$$d(x, y) = \left[\sum_{i=1}^{\infty} (x_i - y_i)^2 \right]^{1/2}$$

is a well defined metric on X .

Proof of a). Notice that if $x, y \in X$, then we must have $\sum x_i^2 < \infty$ and $\sum y_i^2 < \infty$. But then by Cauchy-Schwarz, we have

$$\begin{aligned} \sum |x_i y_i| &\leq \sum |x_i| |y_i| \leq \sum |x_i|^2 |y_i|^2 \\ &= \sum x_i^2 y_i^2 \\ &= \sum (x_i y_i)^2 < \infty. \end{aligned}$$

Hence $\sum |x_i y_i|$ converges, as desired. \square

Proof of b). To see why $x + y \in X$, notice that

$$\begin{aligned}\sum (x_i + y_i)^2 &= \sum (x_i^2 + 2x_i y_i + y_i^2) \\ &= \sum x_i^2 + 2 \sum x_i y_i + \sum y_i^2 \\ &\leq \sum x_i^2 + 2 \sum |x_i y_i| + \sum y_i^2. \quad (\dagger)\end{aligned}$$

In part a), we showed that $\sum |x_i y_i|$ converges, and thus every term in (\dagger) converges, which implies that $\sum (x_i + y_i)^2$ also converges and thus we have that $x + y \in X$.

The case for cx is trivial, since $\sum (cx_i)^2 = \sum c^2 x_i^2 = c^2 \sum x_i^2$. But c^2 is just a real number $< \infty$ multiplying a convergent sum, hence $\sum (cx_i)^2 < \infty$, which implies that $cx \in X$, as desired. \square

Proof of c). For all $x, y \in X$, notice that the sum in d converges by our argument on part b) and it is also nonnegative, which implies that $0 \leq d(x, y) < \infty$. Note also that this sum is only zero iff $x = y$, which implies that $d(x, y) = 0 \iff x = y$. Now for the triangle inequality, notice that $d(x, y)$ is just the 2-norm $\|x - y\|$. Let us first apply Cauchy-Schwarz so that

$$\begin{aligned}\|x + y\|^2 &= \langle x + y, x + y \rangle = \|x\|^2 + \langle x, y \rangle + \langle y, x \rangle + \|y\|^2 \\ &\leq \|x\|^2 + 2|\langle x, y \rangle| + \|y\|^2 \\ &\leq \|x\|^2 + 2\|x\|\|y\| + \|y\|^2 \quad (\text{By Cauchy Schwarz}) \\ &= (\|x\| + \|y\|)^2.\end{aligned}$$

This gives us $\|x + y\| \leq \|x\| + \|y\|$.

Now it follows that for $x, y, z \in X$, we have

$$d(x, y) = \|x - y\| = \|x - z + z - y\| \leq \|x - z\| + \|z - y\| = d(x, z) + d(z, y).$$

Hence d is a metric on X , as desired. \square

SECTION 22

Ex # 2) a) Let $p: X \rightarrow Y$ be a continuous map. Show that if there is a continuous map $f: Y \rightarrow X$ such that $p \circ f$ equals the identity map of Y , then p is a quotient map.

b) If $A \subset X$, a **retraction** of X onto A is a continuous map $r: X \rightarrow A$ such that $r(a) = a$ for each $a \in A$. Show that a retraction is a quotient map.

Proof of a). Let $V \subset Y$ with $U = p^{-1}(V)$ open in X . Then,

$$f^{-1}(U) = f^{-1}(p^{-1}(V)) = (p \circ f)^{-1}(V) = \text{Id}^{-1}(V) = \text{Id}(V) = V,$$

so that V is open by the continuity of f . Hence we have that p is a quotient map, as desired. \square

Proof of b). Let $\iota: A \rightarrow X$ be the inclusion map; then, $r \circ \iota$ is the identity map on A , hence it follows by a) that r is a quotient map. \square

SECTION 26

Ex # 12) Let $p: X \rightarrow Y$ be a closed continuous surjective map such that $p^{-1}(\{y\})$ is compact, for each $y \in Y$ (such a map is called a **perfect map**). Show that if Y is compact, then X is also compact. [*Hint:* If U is an open set containing $p^{-1}(\{y\})$, then there is a neighborhood W of y such that $p^{-1}(W)$ is contained in U .]

Proof. The goal of this exercise is to show that any perfect map is proper². Let $p: X \rightarrow Y$ be a closed continuous surjective map such that $p^{-1}(\{y\})$ is compact, for each $y \in Y$. Then we are going to show that $p^{-1}(K)$ is compact for any compact subspace $K \subset Y$. In our proof we are going to use the provided hint, i.e. we use the fact that if $p^{-1}(\{y\}) \subset U$ (where U is an open subspace of X), then $p^{-1}(W) \subset U$ for some neighborhood $W \subset Y$ of $\{y\}$.

Notice that this indeed holds because

$$\begin{aligned} p^{-1}(W) \subset U &\iff p(x) \in W \implies x \in U \\ &\iff x \notin U \implies p(x) \notin W \\ &\iff p(X \setminus U) \subset Y \setminus W \\ &\iff p(X \setminus U) \cap W = \emptyset. \end{aligned}$$

The point $\{y\}$ does not belong to the closed set $p(X \setminus U)$. Therefore a whole neighborhood $W \subset Y$ of $\{y\}$ is disjoint from $p(X \setminus U)$, i.e. $p^{-1}(W) \subset U$.

Now we are ready for the proof. Let $K \subset Y$ be compact. Consider a collection $\{U_\alpha\}_{\alpha \in J}$ of open sets covering of $p^{-1}(K)$. For each $y \in K$, the compact space $p^{-1}(\{y\})$ is contained in a the union of a finite subcollection $\{U_\alpha\}_{\alpha \in J(\{y\})}$. There is neighborhood W_y of $\{y\}$ such that $p^{-1}(W_y)$ is contained in this finite union. By compactness of K , finitely many W_{y_1}, \dots, W_{y_k} cover Y . Then the finite collection $\bigcup_{i=1}^k \{U_\alpha\}_{\alpha \in J(\{y_i\})}$ covers $p^{-1}(K)$. This shows that $p^{-1}(K)$ is compact, as desired. \square

²A mapping $f: X \rightarrow Y$ between two topological spaces is said to be **proper** if the preimage of every compact set in Y is compact in X .

SECTION 27

Ex # 1) Prove that if X is an ordered set in which every closed interval is compact, then X has the least upper bound property.

Proof. Let $A \subset X$ be bounded from above by $b \in X$, so that for any $a \in A$, the closed interval $[a, b]$ is compact. The set $C = \bar{A} \cap [a, b]$ is closed in $[a, b]$, hence compact. The inclusion map $j: C \rightarrow X$ is continuous³. By the extreme value theorem, C has a largest element $c \in C$. Clearly c is an upper bound for A . If $c \in A$, then clearly c is the least upper bound by definition. Then suppose $c \notin A$. If $d < c$, then (d, ∞) is an open set containing c , i.e. $A \cap (d, \infty) \neq \emptyset$, since c is a limit point for A (this is true because $c \in C \subset \bar{A}$ by definition). Thus d is not an upper bound for A , and we have that c is the least upper bound. Hence, X has the least upper bound property, as desired. \square

Ex # 5) Let X be a compact Hausdorff space, and let $\{A_n\}$ be a countable collection of closed sets of X . Show that if each set A_n has empty interior in X , then the union $\bigcup A_n$ also has empty interior in X (this is a special case of *Baire's Category Theorem*).

Proof. Let U_0 be any nonempty open set of X . We must find a point $x \in U_0$ that lies outside all the A_n . Consider the first set A_1 . By hypothesis, we have $U_0 \not\subset A_1$, for A_1 has no interior. So the open set $U_0 \setminus A_1$ is nonempty. By regularity of X , along with the fact that A_1 is closed, we can find a nonempty open set U_1 such that

$$\overline{U_1} \cap A_1 = \emptyset$$

and

$$U_1 \subset \overline{U_1} \subset U_0 \setminus A_1 \subset U_0.$$

By the same reasoning, we have $U_1 \not\subset A_2$, for A_2 has no interior. So the open set $U_1 \setminus A_2$ is nonempty. By regularity of X , along with the fact that A_2 is closed, we can find a nonempty open set U_2 such that

$$\overline{U_2} \cap A_2 = \emptyset$$

and

$$U_2 \subset \overline{U_2} \subset U_1 \setminus A_2 \subset U_1.$$

Continuing in this fashion, we find a descending sequence of nonempty open sets U_n such that

$$U_n \subset \overline{U_n} \subset U_{n-1} \setminus A_n \subset U_{n-1} \quad \text{for all } n.$$

³We know this from a previous proposition that says that if A is a subspace of a topological space X , then the inclusion map $j: A \rightarrow X$ is continuous.

Now we assert that the intersection $\bigcap \overline{U_n}$ is nonempty. From this fact, our proof will be concluded. For if x is a point of $\bigcap \overline{U_n}$, then x is in U_0 because $\overline{U_1} \subset U_0$. And for each n , the point x is not in A_n because $\overline{U_n}$ is disjoint from A_n .

Hence let us conclude the proof by showing that $\bigcap \overline{U_n}$ is nonempty. Since X is compact Hausdorff, consider the nested sequence $\overline{U_1} \supset \overline{U_2} \supset \dots$ of nonempty subsets of X . Then the collection $\{\overline{U_n}\}$ has the finite intersection property; since X is compact, the intersection $\bigcap \overline{U_n}$ must be nonempty, as desired. \square

SECTION 31

Ex # 5) Let $f, g: X \rightarrow Y$ be continuous, and assume that Y is Hausdorff. Show that $\{x \mid f(x) = g(x)\}$ is closed in X .

Proof. We are going to use the result that a space X is Hausdorff iff the **diagonal** $\Delta = \{x \times x \mid x \in X\}$ is closed in $X \times X$.

To see why this result holds, suppose Δ is closed in $X \times X$, i.e. the complement Δ^c is open. This is equivalent to saying that for all $(x, y) \in X \times X$ such that $x \neq y$, there exists a basis element $U \times V$ of $X \times X$ for U, V open in X such that $(x, y) \in U \times V$ but $(U \times V) \cap \Delta = \emptyset$. But then, by definition of Δ , this is equivalent to saying for all $x, y \in X$ such that $x \neq y$, there exist open neighborhoods $U \ni x$ and $V \ni y$ such that $U \cap V = \emptyset$, and so X is Hausdorff.

Hence, in our case we have that since Y is Hausdorff, the diagonal $\Delta = \{y \times y \mid y \in Y\}$ must be closed in $Y \times Y$. Now by a previous theorem ⁴, since f and g are continuous, the map $(f, g): X \rightarrow Y \times Y$ must be continuous as well. Thus

$$\{x \in X \mid f(x) = g(x)\} = (f, g)^{-1}(\Delta)$$

must also be closed, as desired. \square

⁴Here's the theorem, for reference:

Let $f: A \rightarrow X \times Y$ be given by the equation $f(a) = (f_1(a), f_2(a))$. Then f is continuous iff the functions $f_1: A \rightarrow X$ and $f_2: A \rightarrow Y$ are continuous.

For the last two exercises we shall use the following lemma, which we partially used before on *Problem 26.12* above:

Lemma 1. *Let $p: X \rightarrow Y$ be a closed map. Then*

(1) *If $p^{-1}(\{y\}) \subset U$ (where U is an open subspace of X), then $p^{-1}(W) \subset U$ for some neighborhood $W \subset Y$ of $\{y\}$.*

(2) *If $p^{-1}(B) \subset U$ for some subspace B of Y and some open subspace U of X , then $p^{-1}(W) \subset U$ for some neighborhood $W \subset Y$ of B .*

Proof. As we pointed out before in *Problem 26.12*, notice that

$$\begin{aligned} p^{-1}(W) \subset U &\iff p(x) \in W \implies x \in U \\ &\iff x \notin U \implies p(x) \notin W \\ &\iff p(X \setminus U) \subset Y \setminus W \\ &\iff p(X \setminus U) \cap W = \emptyset. \end{aligned}$$

Now for part (1), note that the point $\{y\}$ does not belong to the closed set $p(X \setminus U)$. Therefore a whole neighborhood $W \subset Y$ of $\{y\}$ is disjoint from $p(X \setminus U)$, i.e. $p^{-1}(W) \subset U$.

For part (2), notice that each point $y \in B$ has a neighborhood W_y such that $p^{-1}(W_y) \subset U$. The union $W = \bigcup W_y$ is then a neighborhood of B with $p^{-1}(W) \subset U$. \square

Ex # 6) Let $p: X \rightarrow Y$ be a closed continuous surjective map. Show that if X is normal, then so is Y . [*Hint:* If U is an open set containing $p^{-1}(\{y\})$, show that there is a neighborhood W of y such that $p^{-1}(W) \subset U$.]

Proof. Since points are closed in X and the mapping p is closed, all points in $p(X)$ are closed. All fibres $p^{-1}(\{y\}) \subset X$ are therefore also closed. Let y_1 and y_2 be two distinct points in Y . Since X is normal we can separate the disjoint closed sets $p^{-1}(\{y_1\})$ and $p^{-1}(\{y_2\})$ by disjoint neighborhoods U_1 and U_2 . Using *Lemma 1* part (1), choose neighborhoods W_1 of y_1 and W_2 of y_2 such that $p^{-1}(W_1) \subset U_1$ and $p^{-1}(W_2) \subset U_2$. Then W_1 and W_2 are disjoint. Thus Y is Hausdorff. Finally, using essentially the same argument, but this time making use of *Lemma 1* part (2), we have that we can separate disjoint closed sets in Y by disjoint open sets. Thus Y is normal, as desired. \square

Ex # 7) Let $p: X \rightarrow Y$ be a closed continuous surjective map such that $p^{-1}(\{y\})$ is compact for each $y \in Y$, i.e. p is a perfect map.

- a) Show that if X is Hausdorff, then so is Y .
- b) Show that if X is regular, then so is Y .
- c) Show that if X is locally compact, then so is Y .
- d) Show that if X is second-countable, then so is Y . [*Hint:* Let \mathcal{B} be a countable basis for X . For each finite subset J of \mathcal{B} , let U_J be the union of all sets of the form $p^{-1}(W)$, for W an open set in Y , that are contained in the union of the elements of J .]

Proof. a) Before tackling our proof, let us recall a lemma that asserts that if K is a compact subspace of a Hausdorff space H and there is a point $x_0 \notin K$, then there exist disjoint open sets U and V containing x_0 and K , respectively.

A stronger assertion is the following:

Let K_1 and K_2 be disjoint compact subspaces of a Hausdorff space H . Then there exist disjoint open sets U and V containing K_1 and K_2 , respectively.

To see why the latter assertion holds, for each $a \in K_1$ choose disjoint open sets $U_a \ni a$ and $V_a \supset K_2$. Since K_1 is compact, K_1 is contained in a finite union $U = U_1 \cup \dots \cup U_n$ of the U_a 's. Let $V = V_1 \cap \dots \cap V_n$ be the intersection of the corresponding V_a 's. Then U is an open set containing K_1 , V is an open set containing K_2 , and U and V are disjoint since $U \cap V = \cup(U_i \cap V) \subset \cup(U_i \cap V_i) = \emptyset$.

Now proceeding with our proof, let y_1 and y_2 be two distinct points in Y . Then, using the result we just proved, we can separate the two disjoint compact subspaces $p^{-1}(\{y_1\})$ and $p^{-1}(\{y_2\})$ by disjoint open subspaces $U_1 \supset p^{-1}(\{y_1\})$ and $U_2 \supset p^{-1}(\{y_2\})$ of the Hausdorff space X . Now, by *Lemma 1*, we may choose open sets $W_1 \ni y_1$ and $W_2 \ni y_2$ such that $p^{-1}(W_1) \subset U_1$ and $p^{-1}(W_2) \subset U_2$. Then W_1 and W_2 are disjoint, and we have that Y is Hausdorff, as desired. \square

Proof. b) We know that Y is Hausdorff by part a). Now let $C \subset Y$ be a closed subspace and $y \in Y$ be a point outside C . It is enough to separate the compact fiber $p^{-1}(\{y\}) \subset X$ and the closed set $p^{-1}(C) \subset X$ by disjoint open sets (note that *Lemma 1* provides open sets in Y separating $\{y\}$ and C). Each $x \in p^{-1}(\{y\})$ can be separated by disjoint open sets from $p^{-1}(C)$ since X is regular. Then using compactness of $p^{-1}(\{y\})$ we obtain disjoint open sets $U \supset p^{-1}(\{y\})$ and $V \supset p^{-1}(C)$, as required. \square

Proof. c) Using compactness of $p^{-1}(\{y\})$ and local compactness of X we construct an open subspace $U \subset X$ and a compact subspace $K \subset X$ such that $p^{-1}(\{y\}) \subset U \subset K$. In the process we need to use the fact that a finite union of compact subspaces is compact.

To see why this last remark is true, let A_1, \dots, A_n be compact subspaces of X . Let \mathcal{C} be an open covering of $\bigcup_{i=1}^n A_i$. Since $A_j \subset \bigcup_{i=1}^n A_i$ is compact for $1 \leq j \leq n$, there is a finite subcovering \mathcal{C}_j of \mathcal{C} covering A_j . Thus $\bigcup_{j=1}^n \mathcal{C}_j$ is a finite subcovering of \mathcal{C} , hence $\bigcup_{i=1}^n A_i$ is compact.

Now, to finish off our proof, notice that by *Lemma 1*, there is an open set $W \ni y$ such that $p^{-1}(\{y\}) \subset p^{-1}(W) \subset U \subset K$. Then $y \in W \subset p(K)$, where $p(K)$ is compact (because the image of a compact space under a continuous map is compact). Thus Y is locally compact, as desired. \square

Proof. d) Let $\{B_j\}_{j \in \mathbb{N}}$ be a countable basis for X . For each finite subset $J \subset \mathbb{N}$, let $U_J \subset X$ be the union of all open sets of the form $p^{-1}(W)$ with open $W \subset Y$ and $p^{-1}(W) \subset \bigcup_{j \in J} B_j$. There are countably many open sets U_J . The image $p(U_J)$ is a union of open sets in Y , hence it is open. Now let $V \subset Y$ be any open subspace. The inverse image $p^{-1}(V) = \bigcup_{y \in V} p^{-1}(\{y\})$ is a union of fibers. Since each fiber $p^{-1}(\{y\})$ is compact, it can be covered by a finite union $\bigcup_{j \in J(y)} B_j$ of basis sets contained in $p^{-1}(V)$. Now by *Lemma 1*, there is an open set $W \subset Y$ such that

$$p^{-1}(\{y\}) \subset p^{-1}(W) \subset \bigcup_{j \in J(y)} B_j.$$

Taking the union of all these open sets W , we get

$$p^{-1}(\{y\}) \subset U_{J(y)} \subset \bigcup_{j \in J(y)} B_j \subset p^{-1}(V).$$

We now have $p^{-1}(V) = \bigcup_{y \in V} U_{J(y)}$ so that $V = pp^{-1}(V) = \bigcup_{y \in V} p(U_{J(y)})$ is a union of sets from the countable collection $\{p(U_J)\}$ of open sets. Thus Y is second countable, as desired. \square