

# COMMUTATIVE ALGEBRA

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## 1. RINGS AND IDEALS

## 1.1. Prime avoidance lemma.

**Theorem 1.1.** *Let  $P_1, \dots, P_n \in \text{spec } R$  then*

$$I \subseteq \bigcup_{i=1}^n P_i \Rightarrow I \subseteq P_i \text{ for some } i.$$

*Proof.* We have to prove that if  $I \not\subseteq P_i, \forall 1 \leq i \leq n$  then  $I \not\subseteq \bigcup_{i=1}^n P_i$ . We proceed by induction on  $n$ . If  $n = 1$  then we are done. Suppose, the statement is true for  $n - 1$  ideals. We consider  $P_2, \dots, P_n$  and we have  $I \not\subseteq P_i, 2 \leq i \leq n$  then by induction hypothesis  $I \not\subseteq \bigcup_{i=2}^n P_i$  then  $\exists x_i \in I$  such that  $x \notin \bigcup_{i=2}^n P_i$  i.e.,  $x \notin P_i, 2 \leq i \leq n$ . If  $x_1 \notin P_1$  then  $x_1 \notin \bigcup_{i=1}^n P_i$  and hence  $I \not\subseteq \bigcup_{i=1}^n P_i$  and we are done. So we may assume  $x_1 \in P_1$  and  $x_1 \notin P_i, 2 \leq i \leq n$ . Now we consider  $\{P_1, P_2, \dots, P_n\} \setminus \{P_2\}$  and by similar approach we get  $x_2 \in I$  with  $x_2 \in P_2$  and  $x_2 \notin P_i, \{1, \dots, n\} \setminus \{2\}$  and lastly we get  $x_n \in I$  with  $x_n \in P_n$  and  $x_n \notin P_i, 1 \leq i \leq n - 1$ . We consider

$$x = x_2 \cdots x_n + x_1 x_3 \cdots x_n + x_1 x_2 x_4 \cdots x_n + \cdots + x_1 \cdots x_{n-1}$$

then  $x \in I$ . We claim that  $x \notin \bigcup_{i=1}^n P_i$  i.e.,  $x \notin P_i, 1 \leq i \leq n$ . If  $x \in P_i$  for some  $i$ . Let

$$y_i = x_1 \cdots \widehat{x_i} \cdots x_n$$

then  $x_i | y_j$  for  $i \neq j \Rightarrow y_j \in P_i [x_i \in P_i] \Rightarrow \sum_{\substack{j=1 \\ j \neq i}}^n y_j \in P_i \Rightarrow x - \sum_{\substack{j=1 \\ j \neq i}}^n y_j \in P_i [\because x \in P_i] \Rightarrow y_i \in P_i \Rightarrow$

$x_1 \cdots \widehat{x_i} \cdots x_n \in P_i$  but  $x_j \notin P_i, j \neq i$  [since  $P_i$  is a prime ideal]  $\Rightarrow x \notin \bigcup_{i=1}^n P_i$ . Hence,  $I \not\subseteq$ .  $\square$

**Remark 1.2.** *Prime avoidance lemma is not true for infinite number of prime ideals.*

**Example 1.3.** *Let  $R = K[x_1, \dots, x_n, \dots]$  (infinitely many variables). Let  $I = (x_1, \dots, x_n, \dots), P_i = (x_1, \dots, x_i), i \in \mathbb{N}$  then  $R/P_i \cong K[x_{i+1}, x_{i+2}, \dots]$  (integral domain) then  $P_i \in \text{spec } R$ . But  $I \subseteq \bigcup_{i \in \mathbb{N}} P_i$  and  $I \not\subseteq P_i \forall i \in \mathbb{N}$ .*

**Theorem 1.4** (Prime avoidance lemma). *Let  $R$  be a commutative ring with 1,  $I$  be an ideal of  $R$  and  $f \in R$ . Suppose  $P_1, \dots, P_r \in \text{spec } R$  such that  $f + I = \bigcup_{i=1}^r P_k$  then  $\langle f, I \rangle \subseteq P_i$  for some  $i \in \{1, \dots, r\}$ .*

*Proof.* Let  $\sum$  be the collection of all  $s \in \mathbb{N}$  such that there exist  $t \in R$  and an ideal  $J$  of  $R$  such that  $t + J \subseteq \bigcup_{i=1}^s P_i$  but  $\langle t, J \rangle \not\subseteq P_i, 1 \leq i \leq s$ . If  $\sum \neq \emptyset$  then by well ordering principle of Natural numbers,  $\sum$  has a least element say  $l \in \sum$ . So there exist  $g \in R$  and  $\mathfrak{A} \subseteq R$  such that

$g + \mathfrak{A} \subseteq \bigcup_{i=1}^l P_i$  but  $\langle g, \mathfrak{A} \rangle \not\subseteq P_i, 1 \leq i \leq l$ . We note that  $l \geq 2$  and  $P_i \not\subseteq P_j$ . We claim that  $g \in \bigcap_{i=1}^l P_i$ .

If not,  $g \notin P_{i_0}$  for some  $i_0 \in \{1, \dots, l\}$ , then  $(g + P_{i_0} \mathfrak{A}) \cap P_{i_0} = \emptyset$  hence  $g + P_{i_0} \mathfrak{A} \subseteq \bigcup_{\substack{j=1 \\ j \neq i_0}}^l P_j$ . Since

$l$  is the minimal element of  $\sum$ , we have  $\langle g, P_{i_0} \mathfrak{A} \rangle \subseteq P_{j_0}$  for some  $j_0 \in \{1, \dots, l\}$  but  $j_0 \neq i_0$ . Then  $P_{i_0} \mathfrak{A} \subseteq P_{j_0} \Rightarrow P_{i_0} \subseteq P_{j_0}$  which is a contradiction (since if  $\mathfrak{A} \subseteq P_{j_0}$  then  $\langle g, P_{j_0} \mathfrak{A} \rangle \subseteq P_{j_0}$  implies  $g \in P_{j_0} \Rightarrow \langle g, \mathfrak{A} \rangle \subseteq P_{j_0}$  but  $\langle g, \mathfrak{A} \rangle \not\subseteq P_i$  for all  $1 \leq i \leq l$  so,  $\mathfrak{A} \not\subseteq P_{j_0}$ ). Therefore,

$g \in \bigcap_{i=1}^l P_i \Rightarrow \mathfrak{A} \subseteq \bigcup_{i=1}^l P_i \Rightarrow \mathfrak{A} \subseteq P_s$  for some  $1 \leq s \leq l$ . Then by our assumption  $\langle g, \mathfrak{A} \rangle \subseteq P_s$  but  $\sum \neq \emptyset$  which is a contradiction. Hence our assumption is not true that is  $\sum = \emptyset$ .  $\square$

**Proposition 1.5.** *Let  $I_1, \dots, I_r$  be ideals of  $R$  and  $P \in \text{spec } R$ . If  $\bigcap_{k=1}^r I_k \subseteq P$  then  $I_k \subseteq P$  for some  $k \in \{1, \dots, r\}$ .*

*Proof.* Since  $\prod_{k=1}^r I_k \subseteq \bigcap_{k=1}^r I_k \subseteq P$ , by definition of prime ideal  $I_k \subseteq P$  for some  $1 \leq k \leq r$ .  $\square$

**Theorem 1.6** (Module theoretic version). *Let  $R$  be a commutative ring with 1 and  $P_1, \dots, P_m \in \text{spec } R$ ,  $M$  be an  $R$ -module and  $x_1, \dots, x_n \in M$ . Consider the submodule  $N = \langle x_1, \dots, x_n \rangle$  of  $M$ .*

*If  $N_{P_j} \not\subseteq P_j M_{P_j}, j = 1, \dots, m$  then there exist  $a_2, \dots, a_n \in R$  such that  $x_1 + \sum_{i=2}^n a_i x_i \notin P_j M_{P_j}$ .*

*Proof.*

## 2. MODULE

### 2.1. Tensor Product.

**Definition 2.1.** Let  $M_1, \dots, M_k, N$  be  $R$ -modules. A map  $f : M_1 \times \dots \times M_k \rightarrow N$  is said to be linear in  $i$ th variable if, given fixed  $m_j, j \neq i$ , the map

$$T : M_i \rightarrow N$$

defined by  $T(m) = f(m_1, \dots, m_{i-1}, m, m_{i+1}, m_k)$  is linear. The map  $f$  is said to be multilinear if it is linear in each variable.

Let  $M, N$  be two  $R$ -modules. Consider the free module  $F$  generated by the  $M \times N$  over  $R$ , then the elements of  $F$  are of the form  $\sum_{\text{finite sum}} r_i x_i$  where  $r_i \in R$  and  $x_i \in M \times N$ . Let  $D$  be the submodule of  $F$  generated by the elements of the form

$$\begin{aligned} (m_1 + m_2, n) - (m_1, n) - (m_2, n) \\ (m, n_1 + n_2) - (m, n_1) - (m, n_2) \\ (rm, n) - r(m, n) \\ (m, rn) - r(m, n) \end{aligned}$$

where  $m, m_1, m_2 \in M$ ,  $n, n_1, n_2 \in N$  and  $r \in R$ . Let  $T = F/D$ . We denote  $T = M \otimes_R N$  and  $T$  is said to be Tensor product of  $M$  and  $N$ . We denote  $(m, n) + D \in F/D$  by  $m \otimes n$  and we have a map

$$\begin{aligned} M \times N &\xrightarrow{\pi} T \\ (m, n) &\mapsto m \otimes n \end{aligned}$$

We will show that  $\pi$  is bilinear map.  $\pi((m_1 + m_2, n)) = (m_1 + m_2, n) + D$ . Since

$$(m_1 + m_2, n) - (m_1, n) - (m_2, n) \in D$$

$\pi((m_1 + m_2, n)) = (m_1 + m_2, n) + D = (m_1, n) + D + (m_2, n) + D = \pi(m_1, n) + \pi(m_2, n)$  for all  $m_1, m_2 \in M$  and for all  $n \in N$ . Similarly we can show that  $\theta$  satisfies the property of bilinear map.

**Theorem 2.2** (Universal Property). For every bilinear map  $\beta : M \times N \rightarrow P$  where  $P$  is an  $R$ -module, there exists a unique  $R$ -linear map  $\tilde{\beta} : M \otimes_R N \rightarrow P$  such that the diagram commutes.

$$\begin{array}{ccc} M \times N & \xrightarrow{\beta} & P \\ \pi \downarrow & \nearrow \tilde{\beta} & \\ M \otimes_R N & & \end{array}$$

More over, if  $(T', \theta')$  be another pair with such property then there exists a module isomorphism  $M \otimes_R N \rightarrow T'$ .

*Proof.* Define  $\tilde{\beta} : M \otimes_R N \rightarrow P$  by  $\tilde{\beta}(m \otimes n) = \beta(m, n)$  and extend it linearly. Let  $m_1 \otimes n_1 = m_2 \otimes n_2 \Rightarrow (m_1, n_1) - (m_2, n_2) \in D$ . Since

By our construction  $\tilde{\beta}$  is bilinear. Suppose  $\gamma : M \otimes_R N \rightarrow P$  be another  $R$ -linear map such that the diagram commutes. Then  $\gamma(m \otimes n) = \beta(m, n) = \tilde{\beta}\pi(m, n) = \tilde{\beta}(m \otimes n)$ . Hence  $\gamma = \tilde{\beta}$ .

Now we assume that there exists another pair  $(T', \theta')$  with same property, then

$$\begin{array}{ccc} M \times N & \xrightarrow{\pi} & M \otimes_R N \\ \theta' \downarrow & \nearrow \tilde{\pi} & \\ T' & & \end{array} \qquad \begin{array}{ccc} M \times N & \xrightarrow{\theta'} & T' \\ \pi \downarrow & \nearrow \tilde{\theta}' & \\ M \otimes_R N & & \end{array}$$

where  $\tilde{\pi}$  and  $\tilde{\theta}'$  are  $R$ -linear map. Since the diagrams commutes, we have  $\tilde{\pi} \circ \theta' = \pi$  (from first diagram) and  $\tilde{\theta}' \circ \pi = \theta'$  (from second diagram). Hence  $(\tilde{\theta}' \circ \tilde{\pi}) \circ \theta' = \theta'$  and  $(\tilde{\pi} \circ \tilde{\theta}') \circ \pi = \pi$ . Again we consider the following diagrams

$$\begin{array}{ccc} M \times N & \xrightarrow{\pi} & M \otimes_R N \\ \pi \downarrow & \nearrow \text{id}_{M \otimes_R N} & \\ M \otimes_R N & & \end{array} \qquad \begin{array}{ccc} M \times N & \xrightarrow{\theta'} & T' \\ \theta' \downarrow & \nearrow \text{id}_{T'} & \\ T' & & \end{array}$$

By Universal property, we have  $\tilde{\theta}' \circ \tilde{\pi} = \text{id}_{T'}$  and  $\tilde{\pi} \circ \tilde{\theta}' = \text{id}_{M \otimes_R N}$ . □

**Tensor product of algebras.** Let  $A$  and  $B$  be  $R$ -algebra, We consider the module  $C = A \otimes_R B$ . Let us define a mapping  $\beta : A \times B \times A \times B \rightarrow C$  by  $\beta(a, b, a', b') = aa' \otimes bb'$ . Since  $\beta$  is multilinear,  $\beta$  induce a mapping  $\tilde{\beta} : C \otimes_R C \rightarrow C$ . This  $\tilde{\beta}$  corresponds a bilinear mapping  $\gamma : C \times C \rightarrow C$  given by  $\gamma(a \otimes b, a' \otimes b') = aa' \otimes bb'$ . Since  $\gamma$  is well define, it defines a multiplication on  $C$  and therefore  $C$  becomes a commutative ring with unity,  $1 \otimes 1$  being the multiplicative identity. Since  $A$  and  $B$  are  $R$ -algebra, there exists  $f : R \rightarrow A$  and  $g : R \rightarrow B$  two ring morphisms. Now we define  $\psi : R \rightarrow A \otimes_R B$  by  $\psi(r) = f(r) \otimes g(r)$ . Let  $r_1, r_2 \in R$  then  $\psi(r_1 + r_2) = f(r_1 + r_2) \otimes g(r_1 + r_2) = f(r_1) \otimes g(r_1 + r_2) + f(r_2) \otimes g(r_1 + r_2)$ .

We note that  $C$  is both  $A$  and  $B$  algebra as  $\mu_A : A \rightarrow A \otimes_R B$  is defined by  $\mu_A(a) = a \otimes 1_B$  and  $\mu_B : B \rightarrow A \otimes_R B$  is defined by  $\mu_B(b) = 1_A \otimes b$ . It is easy to check that both  $\mu_A$  and  $\mu_B$  is a ring homomorphism.

**Theorem 2.3** (Properties of Tensor product). *Let  $M, N, P$  and  $\{M_i\}_{i \in \Lambda}$  be  $R$ -modules,  $I \subseteq R$  be a ideal of  $R$ ,  $S$  be a multiplicatively closed set in  $R$  then we have*

- (1)  $M \otimes_R N \cong N \otimes_R M$ .
- (2)  $(M \otimes_R N) \otimes_R P \cong M \otimes_R (N \otimes_R P)$ .
- (3)  $M \otimes_R R \cong M$ .
- (4)  $M \otimes_R R/I \cong M/IM$ .
- (5)  $M \otimes_R S^{-1}R \cong S^{-1}M$ .

$$(6) \left( \bigoplus_{i \in \Lambda} M_i \right) \otimes_R N \cong \bigoplus_{i \in \Lambda} (M_i \otimes_R N).$$

*Proof.* (1) Consider the diagram

$$\begin{array}{ccc} M \times N & \xrightleftharpoons[\beta]{\alpha} & N \times M \\ \pi \downarrow & & \downarrow \tilde{\pi} \\ M \otimes_R N & \xrightleftharpoons[\beta']{\alpha'} & N \otimes_R M \end{array}$$

where  $\alpha((m, n)) = (n, m)$  and  $\beta((n, m)) = (m, n)$ . We claim that  $\tilde{\pi} \circ \alpha$  is bilinear. Let  $(m_1 + m_2, n) \in M \times N$ ,  $\tilde{\pi}\alpha((m_1 + m_2, n)) = \tilde{\pi}(n, m_1 + m_2) = n \otimes (m_1 + m_2) = n \otimes m_1 + n \otimes m_2 = \tilde{\pi}\alpha((m_1, n)) + \tilde{\pi}\alpha((m_2, n))$  for all  $m_1, m_2 \in M$  and for all  $n \in N$ . Similarly other properties can be shown. By Universal property, we have a module morphism  $\alpha' : M \otimes_R N \rightarrow N \otimes_R M$ . Similarly the map  $\beta \circ \pi$  is also bilinear so we have a  $R$ -linear map  $\beta' : N \otimes_R M \rightarrow M \otimes_R M$ . We just need to show that  $\alpha' \circ \beta = \text{id}_{N \otimes_R M}$  and  $\beta' \circ \alpha' = \text{id}_{M \otimes_R N}$  which is easy,  $\alpha' \circ \beta'(n \otimes m) = \alpha'(m \otimes n) = n \otimes m$  and  $\beta' \circ \alpha'(m \otimes n) = \beta'(n \otimes m) = m \otimes n$ .

(2)

(3) Let  $f : M \times R \rightarrow M$  be the map where  $f(m, r) = rm$ . Since  $M$  is an  $R$ -module,  $f$  is bilinear, hence  $f$  induce a map  $\tilde{f} : M \otimes_R R \rightarrow M$  such that the diagram commutes

$$\begin{array}{ccc} M \times R & \xrightarrow{f} & M \\ \pi \downarrow & \nearrow \tilde{f} & \\ M \otimes_R R & & \end{array}$$

where  $\tilde{f} \circ \pi = f \Rightarrow f(m, r) = \tilde{f}\pi(m, r) \Rightarrow rm = \tilde{f}(m \otimes r)$  and  $\tilde{f}$  is  $R$ -linear. Let  $g : M \rightarrow M \otimes_R R$  defined as  $g(m) = m \otimes 1$ . It is easy to show that  $g$  is  $R$ -linear and  $\tilde{f} \circ g = \text{id}_M$  and  $g \circ \tilde{f} = \text{id}_{M \otimes_R R}$ .

(4) Let  $f : M \times R/I \rightarrow M/IM$  be the bilinear map defined by  $f(m, r + I) = rm + IM$ . By Universal property there exists a well define module morphism  $\tilde{f} : M \otimes_R R/I \rightarrow M/IM$  such that the diagram commutes,

$$\begin{array}{ccc} M \times R/I & \xrightarrow{f} & M/IM \\ \pi \downarrow & \nearrow \tilde{f} & \\ M \otimes_R R/I & & \end{array}$$

where  $\tilde{f}(m \otimes (r + I)) = rm + IM$ . Let  $g : M/IM \rightarrow M \otimes_R R/I$  be the map  $g(m + IM) = m \otimes (1 + I)$ . Then  $g$  is an  $R$ -linear map and  $g \circ \tilde{f} = \text{id}_{M \otimes_R R/I}$  and  $\tilde{f} \circ g = \text{id}_{M/IM}$ .

(5) Consider

$$\begin{array}{ccc}
M \times S^{-1}R & \xrightarrow{f} & S^{-1}M \\
\downarrow \pi & \nearrow \tilde{f} & \\
M \otimes_R S^{-1}R & & 
\end{array}$$

where  $f\left(m, \frac{r}{s}\right) = rm/s$ . First we need to check  $f$  is well defined. Let  $\frac{r_1}{s_1} = \frac{r_2}{s_2}$  then there exists some  $s \in S$  such that  $s(r_1s_2 - s_1r_2) = 0 \Rightarrow s(r_1s_2 - s_1r_2)m = 0 \Rightarrow s(r_1s_2m - s_1r_2m) = 0 \Rightarrow \frac{r_1m}{s_1} = \frac{r_2m}{s_2}$ . It is obvious that  $f$  is bilinear. Then there exists a unique module morphism  $\tilde{f} : M \otimes_R S^{-1}R \rightarrow S^{-1}M$  where  $\tilde{f}\left(m \otimes \frac{r}{s}\right) = \frac{rm}{s}$ . Define  $g : S^{-1}M \rightarrow M \otimes_R S^{-1}R$  by  $g\left(\frac{m}{s}\right) = m \otimes \frac{1}{s}$ .  $g$  is well defined module morphism and  $g = \tilde{f}^{-1}$ .

(6) Let  $\theta_i : M_i \rightarrow \bigoplus_{i \in \Lambda} M_i$  be the inclusion map. Define

$$\begin{aligned}
f : \left(\bigoplus_{i \in \Lambda} M_i\right) \times N &\rightarrow \bigoplus_{i \in \Lambda} (M_i \otimes_R N) \\
((m_i)_{i \in \Lambda}, n) &\mapsto (m_i \otimes n)_{i \in \Lambda}.
\end{aligned}$$

We will show that  $f$  is bilinear.  $f((m_i)_{i \in \Lambda} + (m'_i)_{i \in \Lambda}, n) = f((m_i + m'_i)_{i \in \Lambda}, n) = ((m_i + m'_i) \otimes n)_{i \in \Lambda} = (m_i \otimes n)_{i \in \Lambda} + (m'_i \otimes n)_{i \in \Lambda} = f((m_i)_{i \in \Lambda}, n) + f((m'_i)_{i \in \Lambda}, n)$ . Similarly other properties can be shown. Hence we have a map  $\tilde{f} : \left(\bigoplus_{i \in \Lambda} M_i\right) \otimes_R N \rightarrow \bigoplus_{i \in \Lambda} (M_i \otimes_R N)$  defined

by  $\tilde{f}((m_i)_{i \in \Lambda} \otimes n) = (m_i \otimes n)_{i \in \Lambda}$ . Define  $g : \bigoplus_{i \in \Lambda} (M_i \otimes_R N) \rightarrow \left(\bigoplus_{i \in \Lambda} M_i\right) \otimes_R N$  by  $g((m_i \otimes n_i)_{i \in \Lambda}) = \sum_{i \in \Lambda} (\theta_i(m_i) \otimes n_i)$ . Note that  $g$  is  $R$ -linear. Now,  $g \circ \tilde{f}((m_i)_{i \in \Lambda} \otimes n) = g((m_i \otimes n_i)_{i \in \Lambda}) = \sum_{i \in \Lambda} (\theta_i(m_i) \otimes n) = \left(\sum_{i \in \Lambda} \theta_i(m_i)\right) \otimes n = (m_i)_{i \in \Lambda} \otimes n \Rightarrow g \circ \tilde{f} = \text{id}_{\left(\bigoplus_{i \in \Lambda} M_i\right) \otimes_R N}$ .

Let  $(m_i \otimes n_i)_{i \in \Lambda} \in \bigoplus_{i \in \Lambda} (M_i \otimes_R N)$ , then  $\tilde{f} \circ g((m_i \otimes n_i)_{i \in \Lambda}) = \tilde{f}\left(\sum_{i \in \Lambda} (\theta_i(m_i) \otimes n_i)\right) = \sum_{i \in \Lambda} \tilde{f}(\theta_i(m_i) \otimes n_i) = \sum_{i \in \Lambda} \theta_i(m_i) \otimes n_i = (m_i \otimes n_i)_{i \in \Lambda} \Rightarrow \tilde{f} \circ g = \text{id}_{\bigoplus_{i \in \Lambda} (M_i \otimes_R N)}$ .

□

**Remark 2.4.** Let  $f : A \rightarrow B$  be a ring homomorphism. Suppose  $M$  is an  $A$ -module and  $N$  is an  $B$ -module. Then  $M \otimes_A N$  has both  $A$  and  $B$  module structure,

$$\begin{aligned}
B \times M \otimes_A N &\rightarrow M \otimes_A N \\
(n, m \otimes n) &\mapsto m \otimes bn
\end{aligned}$$

**Theorem 2.5.** Let  $B$  be an  $A$  algebra,  $M$  be an  $A$ -module and  $N, P$  be  $B$ -modules. Then

$$(M \otimes_A N) \otimes_B P \cong M \otimes_A (N \otimes_B P).$$



*Proof.* It suffices to establish the isomorphism as  $B$ -module. □

**Theorem 2.6** (Hom-Tensor adjunction). *Let  $M, N, P$  be  $R$ -modules. Then*

$$\text{Hom}_R(M \otimes_R N, P) \cong \text{Hom}_R(M, \text{Hom}_R(N, P)).$$

*Proof.* Define

$$\begin{aligned} \psi : \text{Hom}_R(M \otimes_R N, P) &\rightarrow \text{Hom}_R(M, \text{Hom}_R(N, P)) \\ f &\mapsto \psi(f) \end{aligned}$$

where  $\psi(f)(m)(n) = f(m \otimes n)$  and

$$\begin{aligned} \phi : \text{Hom}_R(M, \text{Hom}_R(N, P)) &\rightarrow \text{Hom}_R(M \otimes_R N, P) \\ g &\mapsto \phi(g) \end{aligned}$$

where  $\phi(g)(m \otimes n) = g(m)(n)$ . We shall now show that  $\phi(g)$  is well defined. Consider the diagram

$$\begin{array}{ccc} M \times N & \xrightarrow{f} & P \\ \pi \downarrow & \nearrow \tilde{f} & \\ M \otimes_R N & & \end{array}$$

where  $f(m, n) = g(m)(n)$ . We claim that  $f$  is bilinear.  $f(m_1 + m_2, n) = g(m_1 + m_2)(n) = g(m_1)(n) + g(m_2)(n) = f(m_1, n) + f(m_2, n)$  for all  $m_1, m_2 \in M$  and for all  $n \in N$ . Now  $f(m, n_1 + n_2) = g(m)(n_1 + n_2) = g(m)(n_1) + g(m)(n_2) = f(m, n_1) + f(m, n_2)$  for all  $m \in M$  and for all  $n_1, n_2 \in N$ . Pick  $r \in R, m \in M$  and  $n \in N$ ,  $f(rm, n) = g(rm)(n) = rg(m)(n) = rf(m, n)$  and  $f(m, rn) = g(m)(rn) = rg(m)(n) = rf(m, n)$ . By Universal property  $\tilde{f}$  is well defined map such that  $\tilde{f} \circ \pi = f$  and  $\tilde{f} = \phi(g)$ . Now it is easy to show that  $\phi \circ \psi = \text{id}_{\text{Hom}_R(M \otimes_R N, P)}$  and  $\psi \circ \phi = \text{id}_{\text{Hom}_R(M, \text{Hom}_R(N, P))}$ . □

**Theorem 2.7.** *Let  $B$  be an  $A$  algebra,  $M$  be an  $A$ -module and  $N, P$  be  $B$  modules. Then*

$$\text{Hom}_B(M \otimes_A N, P) \cong \text{Hom}_A(M, \text{Hom}_B(N, P)).$$

*Proof.* Note that  $\text{Hom}_A(M, \text{Hom}_B(N, P))$  is an  $B$ -module,

$$\begin{aligned} B \times \text{Hom}_A(M, \text{Hom}_B(N, P)) &\rightarrow \text{Hom}_A(M, \text{Hom}_B(N, P)) \\ (b, f) &\mapsto (bf) \end{aligned}$$

where  $(bf) : M \rightarrow \text{Hom}_B(N, P)$  is defined by  $(bf)(m) := b \cdot (f(m))$ .

Now, we define

$$\theta : \text{Hom}_A(M, \text{Hom}_B(N, P)) \rightarrow \text{Hom}_B(M \otimes_A N, P)$$

where  $\theta(f)(m \otimes n) = f(m)(n)$ . We will show that  $\theta(f)$  is well defined.

$$\begin{array}{ccc}
M \times N & \xrightarrow{\alpha} & P \\
\downarrow \pi & \nearrow \tilde{\alpha} & \\
M \otimes_R N & & 
\end{array}$$

Where  $\alpha(m, n) = f(m)(n)$ . We claim that  $\alpha$  is  $A$ -linear in first component and  $B$ -linear in second component. Let  $m_1, m_2 \in M$  and  $n \in N$ ,  $\alpha(m_1 + m_2, n) = f(m_1 + m_2)(n) = f(m_1)(n) + f(m_2)(n) = \alpha(m_1, n) + \alpha(m_2, n)$ . Let  $m \in M, n_1, n_2 \in N$  then  $\alpha(m, n_1 + n_2) = f(m)(n_1 + n_2) = f(m)(n_1) + f(m)(n_2) = \alpha(m, n_1) + \alpha(m, n_2)$ . Now, for all  $a \in A, m \in M, n \in N$ ,  $\alpha(am, n) = f(am)(n) = af(m)(n) = a\alpha(m, n)$  and for all  $b \in B, m \in M, n \in N$ ,  $\alpha(m, bn) = f(m)(bn) = bf(m)(n) = b\alpha(m, n)$ . Hence  $\alpha$  is  $A$ -linear in first component and  $B$ -linear in second component. Hence  $\theta(f)$  is a well defined  $B$ -linear map. Let

$$\begin{aligned}
\psi : \text{Hom}_B(M \otimes_A N, P) &\rightarrow \text{Hom}_A(M, \text{Hom}_B(N, P)) \\
g &\mapsto \psi(g)
\end{aligned}$$

where  $\psi(g) : M \rightarrow \text{Hom}_B(N, P)$  is the map  $\psi(g)(m)(n) = g(m \otimes n)$ . It is easy to show that  $\psi$  is a  $B$ -linear map and  $\psi \circ \theta = \text{id}_{\text{Hom}_A(M, \text{Hom}_B(N, P))}$  and  $\theta \circ \psi = \text{id}_{\text{Hom}_B(M \otimes_A N, P)}$ .  $\square$

**Corollary 2.8.** *Let*

$$(1) \quad 0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$$

*be an exact sequence of  $R$ -modules. Let  $N$  be another  $R$ -module then the sequence*

$$(2) \quad M' \otimes_R N \rightarrow M \otimes_R N \rightarrow M'' \otimes_R N \rightarrow 0$$

*is exact.*

*Proof.* Let  $P$  be any  $R$ -module. Since (11) is exact, the sequence

$$(3) \quad \text{Hom}_R(M'', \text{Hom}_R(N, P)) \rightarrow \text{Hom}_R(M, \text{Hom}_R(N, P)) \rightarrow \text{Hom}_R(M', \text{Hom}_R(N, P)) \rightarrow 0$$

is exact and by Theorem 14.53 we have

$$\text{Hom}_R(M'' \otimes_R N, P) \rightarrow \text{Hom}_R(M \otimes_R N, P) \rightarrow \text{Hom}_R(M' \otimes_R N, P) \rightarrow 0$$

is exact. Hence we have (12).  $\square$

### 2.1.1. Flat module.

**Definition 2.9.** *A module  $N$  is said to be flat  $R$ -module if for every short exact sequence of  $R$ -modules*

$$0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$$

*we have the following short exact sequence*

$$0 \rightarrow M' \otimes_R N \rightarrow M \otimes_R N \rightarrow M'' \otimes_R N \rightarrow 0.$$

**Remark 2.10.** (1) An  $R$ -module  $N$  is said to be flat if and only if for every short exact sequence

$$0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$$

of  $R$ -modules, we have the following exact sequence

$$0 \rightarrow M' \otimes_R N \rightarrow M \otimes_R N.$$

(2) An  $R$ -module  $N$  is said to be flat if for every exact sequence

$$\sum \equiv \cdots \rightarrow M_i \rightarrow M_{i+1} \rightarrow M_{i+2} \rightarrow \cdots$$

of  $R$ -modules, we have the following exact sequence

$$\sum \otimes_R N \equiv \cdots \rightarrow M_i \otimes_R N \rightarrow M_{i+1} \otimes_R N \rightarrow M_{i+2} \otimes_R N \rightarrow \cdots.$$

**Definition 2.11.** An  $R$ -module  $N$  is said to be faithfully flat module if it is a flat module and any sequence of

$$\sum \equiv \cdots \rightarrow M_i \rightarrow M_{i+1} \rightarrow M_{i+2} \rightarrow \cdots$$

of  $R$ -modules,  $\sum \otimes_R N$  is exact implies  $\sum$  is an exact sequence.

**Definition 2.12.** Let  $S$  be an  $R$ -algebra.  $S$  is said to be flat over  $R$  if  $S$  is a flat  $R$ -module.

**Example 2.13.** Let  $S$  be a multiplicatively closed set of a ring  $R$  then  $S^{-1}R$  is a flat  $R$ -module.

**Question 2.14.** Let  $I$  be an ideal of  $R$ . Is  $R/I$  flat?

**Lemma 2.15.** Let  $M, N$  be flat  $R$ -modules then  $M \otimes_R N$  and  $M \oplus N$  is also flat.

*Proof.* (1) Let

$$0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0$$

be an exact sequence of  $R$ -modules, since  $M$  is flat, the following sequence

$$0 \rightarrow M_1 \otimes_R M \rightarrow M_2 \otimes_R M \rightarrow M_3 \otimes_R M \rightarrow 0$$

is exact and so the sequence

$$0 \rightarrow (M_1 \otimes_R M) \otimes_R N \rightarrow (M_2 \otimes_R M) \otimes_R N \rightarrow (M_3 \otimes_R M) \otimes_R N \rightarrow 0.$$

Hence

$$0 \rightarrow M_1 \otimes_R (M \otimes_R N) \rightarrow M_2 \otimes_R (M \otimes_R N) \rightarrow M_3 \otimes_R (M \otimes_R N) \rightarrow 0$$

is exact. Therefore,  $M \otimes_R N$  is flat.

(2) Since  $M$  and  $N$  are flat the sequences

$$0 \rightarrow M_1 \otimes_R M \xrightarrow{\alpha_M} M_2 \otimes_R M \xrightarrow{\beta_M} M_3 \otimes_R M \rightarrow 0$$

and

$$0 \rightarrow M_1 \otimes_R N \xrightarrow{\alpha_N} M_2 \otimes_R N \xrightarrow{\beta_N} M_3 \otimes_R N \rightarrow 0$$

are exact. Therefore the sequence

$$0 \rightarrow M_1 \otimes_R M \oplus M_1 \otimes_R N \xrightarrow{(\alpha_M, \alpha_N)} M_2 \otimes_R M \oplus M_2 \otimes_R N \xrightarrow{(\beta_M, \beta_N)} M_3 \otimes_R M \oplus M_3 \otimes_R N \rightarrow 0$$

is exact. So we have the following exact sequence,

$$0 \rightarrow M_1 \otimes_R (M \oplus N) \rightarrow M_2 \otimes_R (M \oplus N) \rightarrow M_3 \otimes_R (M \oplus N) \rightarrow 0.$$

Hence  $M \oplus N$  is a flat  $R$ -module. □

**Remark 2.16.** Let  $S$  be a flat  $R$ -algebra and  $N$  be a flat  $S$ -module. Then  $N$  is a flat  $R$ -module.

*Proof.* Let

$$0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0$$

be an exact sequence of  $R$ -modules. Since  $S$  is flat  $R$ -module,

$$0 \rightarrow M_1 \otimes_R S \rightarrow M_2 \otimes_R S \rightarrow M_3 \otimes_R S \rightarrow 0$$

is an exact sequence of  $R$ -module. Since  $S$  is an  $R$ -algebra, each  $M_i \otimes_R S, 1 \leq i \leq 3$  also has  $S$ -module structure. So the above sequence is an exact sequence of  $S$ -module. Since  $N$  is flat  $S$ -module,

$$0 \rightarrow (M_1 \otimes_R S) \otimes_S N \rightarrow (M_2 \otimes_R S) \otimes_S N \rightarrow (M_3 \otimes_R S) \otimes_S N \rightarrow 0$$

is exact and so the sequences are

$$\begin{array}{ccccccc} 0 & \longrightarrow & M_1 \otimes_R (S \otimes_S N) & \longrightarrow & M_2 \otimes_R (S \otimes_S N) & \longrightarrow & M_3 \otimes_R (S \otimes_S N) \longrightarrow 0 \\ & & \parallel & & \parallel & & \parallel \\ 0 & \longrightarrow & M_1 \otimes_R N & \longrightarrow & M_2 \otimes_R N & \longrightarrow & M_3 \otimes_R N \longrightarrow 0 \end{array}$$

therefore,  $N$  is a flat  $R$ -module. □

**Theorem 2.17.** Let  $M$  and  $N$  be two  $S^{-1}R$  modules, then  $M, N$  are also  $R$ -modules via  $\psi : R \rightarrow S^{-1}R$ . Then  $M \otimes_{S^{-1}R} N \cong M \otimes_R N$ .

*Proof.* We note that  $M \otimes_R N$  is an  $S^{-1}R$ -module. We will show that  $M \otimes_R N$  and  $M \otimes_{S^{-1}R} N$  is same as  $S^{-1}R$ -module, hence they are same as  $R$ -module also. In  $M \otimes_R N$ ,

$$\frac{a}{s}(m \otimes n) = \frac{am}{s} \otimes n = \frac{am}{s} \otimes \frac{ns}{s} = \frac{sm}{s} \otimes \frac{an}{s} = m \otimes \frac{an}{s}.$$

Thus  $\frac{a}{s}m \otimes n = m \otimes \frac{an}{s}$  in  $M \otimes_R N$ . So they are same as  $S^{-1}R$ -module. □

**Theorem 2.18.** Let  $S$  be an  $R$ -algebra and  $M$  be an  $S$ -module. A necessary and sufficient condition for  $M$  to be flat over  $R$  is that for every  $p \in \text{spec } S$ ,  $M_p$  is flat  $R_q$ -module where  $q = p \cap R$ .

*Proof.* First we note that  $M_p$  is an  $R_q$  module. As  $S$  is an  $R$ -algebra, there exists  $f : R \rightarrow S$  and  $f(p) \subseteq q$  then by Universal property of localization there exists a unique morphism  $f_p : R_q \rightarrow S_p$  to make  $S_p$  an  $R_q$ -algebra. Now  $S_p \otimes_S M \cong M_p$ . Thus  $M_p$  is an  $S_p$ -module hence  $M_p$  is an  $A_q$ -module. Suppose  $M$  is flat. Consider the exact sequence of  $R_q$ -modules (also as  $R$ -modules)

$$(4) \quad 0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0$$

By previous theorem,

$$(5) \quad M_p \otimes_{R_q} M_i \cong M_p \otimes_R M_i, 1 \leq i \leq 3.$$

Now From (14)

$$0 \rightarrow M_1 \otimes_R M \rightarrow M_2 \otimes_R M \rightarrow M_3 \otimes_R M \rightarrow 0$$

is an exact sequence of  $S$ -mdoule (since  $M$  is an  $S$ -module). As  $S_p$  is flat over  $S$  we have the following exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & (M_1 \otimes_R M) \otimes_S S_p & \longrightarrow & (M_2 \otimes_R M) \otimes_S S_p & \longrightarrow & (M_3 \otimes_R M) \otimes_S S_p \longrightarrow 0 \\ & & \parallel & & \parallel & & \parallel \\ 0 & \longrightarrow & M_1 \otimes_R (M \otimes_S S_p) & \longrightarrow & M_2 \otimes_R (M \otimes_S S_p) & \longrightarrow & M_3 \otimes_R (M \otimes_S S_p) \longrightarrow 0 \\ & & \parallel & & \parallel & & \parallel \\ 0 & \longrightarrow & M_1 \otimes_R M_p & \longrightarrow & M_2 \otimes_R M_p & \longrightarrow & M_3 \otimes_R M_p \longrightarrow 0 \end{array}$$

From (15) we have the following exact sequence

$$0 \rightarrow M_1 \otimes_{R_q} M_p \rightarrow M_2 \otimes_{R_q} M_p \rightarrow M_3 \otimes_{R_q} M_p \rightarrow 0.$$

Thus  $M_p$  is a flat  $R_q$  module.

Conversely, let  $M_p$  be flat over  $R_q$  for all  $p \in \text{spec } S$  and  $q = p \cap R$ . Consider the exact sequence of  $R$ -modules  $0 \rightarrow N' \xrightarrow{\phi} N$  then

$$0 \rightarrow \text{Ker}(\phi \otimes 1) \xrightarrow{i} N' \otimes_R M \xrightarrow{\phi \otimes 1} N \otimes_R M$$

where  $\text{Ker}(\phi \otimes 1)$ ,  $N' \otimes_R M$  and  $N \otimes_R M$  are  $S$ -modules and  $S_p$  is flat over  $S$ . Thus we have the exact sequence

$$\begin{array}{ccccccc} 0 & \longrightarrow & (\text{Ker}(\phi \otimes 1)) \otimes_S S_p & \longrightarrow & (N' \otimes_R M) \otimes_S S_p & \longrightarrow & (N \otimes_R M) \otimes_S S_p \text{ is exact} \\ & & \parallel & & \parallel & & \parallel \\ 0 & \longrightarrow & (\text{Ker}(\phi \otimes 1))_p & \longrightarrow & N' \otimes_R (M \otimes_S S_p) & \longrightarrow & N \otimes_R (M \otimes_S S_p) \text{ is exact} \\ & & \parallel & & \parallel & & \parallel \\ 0 & \longrightarrow & (\text{Ker}(\phi \otimes 1))_p & \longrightarrow & N' \otimes_R M_p & \longrightarrow & N \otimes_R M_p \text{ is exact} \\ & & \parallel & & \parallel & & \parallel \\ 0 & \longrightarrow & (\text{Ker}(\phi \otimes 1))_p & \longrightarrow & N' \otimes_R (R_q \otimes_{R_q} M_p) & \longrightarrow & N \otimes_R (R_q \otimes_{R_q} M_p) \text{ is exact} \\ & & \parallel & & \parallel & & \parallel \\ 0 & \longrightarrow & (\text{Ker}(\phi \otimes 1))_p & \longrightarrow & (N' \otimes_R R_q) \otimes_{R_q} M_p & \longrightarrow & (N \otimes_R R_q) \otimes_{R_q} M_p \text{ is exact} \\ & & \parallel & & \parallel & & \parallel \\ 0 & \longrightarrow & (\text{Ker}(\phi \otimes 1))_p & \longrightarrow & N'_q \otimes_{R_q} M_p & \longrightarrow & N_q \otimes_{R_q} M_p \text{ is exact} \end{array}$$

Again we have the exact sequence  $0 \rightarrow N_q \rightarrow N_q$ , since  $R_q$  is flat over  $R$ . As  $M_p$  is flat over  $R_q$ , the following sequence

$$0 \rightarrow N'_q \otimes_{R_q} M_p \rightarrow N_q \otimes_{R_q} M_p$$

is exact. Therefore,  $(\text{Ker}(\phi \otimes 1))_p = 0$  for all  $p \in \text{spec } S$ . By Local-global property,  $\text{Ker}(\phi \otimes 1) = 0$ . So the sequence  $0 \rightarrow N' \otimes_R M \rightarrow N \otimes_R M$  is exact.  $\square$

**Lemma 2.19.** *Let  $M$  be an  $R$ -module. For  $p \in \maxspec R$ , we have the map  $\theta_p : M \rightarrow M_p$  given by  $m \mapsto \frac{m}{1}$ . Let  $x \in M$  such that  $\theta_p(x) = 0$  for all  $p \in \maxspec R$  then  $x = 0$ .*

*Proof.* Let  $x \neq 0$  then  $\text{Ann}_R(x) \neq R$  so there exists  $m \in \maxspec R$  such that  $\text{Ann}_R(x) \subseteq m$ . Consider the map  $\theta_m : M \rightarrow M_m$ . Since  $\theta_m(x) = 0 \Rightarrow \frac{x}{1} = \frac{0}{1} \Rightarrow u(x \cdot 1 - 0 \cdot 1) = 0 \Rightarrow ux = 0 \Rightarrow u \in \text{Ann}_R(x)$  which is a contradiction. Hence  $x = 0$ .  $\square$

**Theorem 2.20** (Local-global property). *Let  $M$  be an  $R$ -module. Then the followings are equivalent:*

- (1)  $M = 0$ .
- (2)  $M_p = 0$  for all  $p \in \text{spec } R$ .
- (3)  $M_m = 0$  for all  $m \in \maxspec R$ .

*Proof.* (3)  $\Rightarrow$  (1)  $\square$

**Lemma 2.21.** *Let  $N \subseteq M$  be an  $R$ -module and  $P$  be a flat  $R$ -module. Then  $\frac{M \otimes_R P}{N \otimes_R P} \cong M/N \otimes_R P$ .*

*Proof.* Consider the exact sequence  $0 \rightarrow N \rightarrow M \rightarrow M/N \rightarrow 0$ . Since  $P$  is flat, the resulting sequence

$$0 \rightarrow N \otimes_R P \rightarrow M \otimes_R P \rightarrow M/N \otimes_R P \rightarrow 0$$

is exact.  $\square$

**Corollary 2.22.** *Let  $M, N$  be  $R$ -modules and  $f \in \text{Hom}_R(M, N)$ . Then the followings are equivalent.*

- (1)  $f$  is injective (surjective).
- (2)  $f_p$  is injective (surjective) for all  $p \in \text{spec } R$ .
- (3)  $f_m$  is injective (surjective) for all  $m \in \maxspec R$ .

*Proof.*  $\square$

## 2.2. Projective module.

**Theorem 2.23.** *Let  $P$  be an  $R$ -module. Then the followings are equivalent:*

- (1)  $\text{Hom}_R(P, -)$  is an exact functor that is given any exact sequence of  $R$ -modules,

$$0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$$

the sequence

$$(6) \quad 0 \rightarrow \text{Hom}_R(P, M') \rightarrow \text{Hom}_R(P, M) \rightarrow \text{Hom}_R(P, M'') \rightarrow 0$$

is exact.

- (2) Given

$$\begin{array}{ccccc} & & P & & \\ & & \downarrow \psi & & \\ M & \xrightarrow{g} & M'' & \longrightarrow & 0 \end{array}$$

we have  $\phi : P \rightarrow M$  such that the diagram commutes that is  $g \circ \phi = \psi$ .

$$\begin{array}{ccccc} & & P & & \\ & \swarrow \phi & \downarrow \psi & & \\ M & \xrightarrow{g} & M'' & \longrightarrow & 0 \end{array}$$

(3) There exist an  $R$ -module  $Q$  such that  $P \oplus Q$  is free.

(4) For any epimorphism  $f : M \rightarrow P$ , there exists  $s : P \rightarrow M$  such that  $f \circ s = \text{id}_P$ .

*Proof.* (1)  $\Rightarrow$  (2) Since (16) is exact  $g_*(\alpha) = \beta \Rightarrow g \circ \alpha = \beta$ . Take  $\alpha = \phi$  and  $\beta = \psi$ .

(2)  $\Rightarrow$  (1) We just need to show that  $g_*$  is surjective. Let  $\gamma \in \text{Hom}_R(P, M'')$ . By (2) there exists  $\phi \in \text{Hom}_R(P, M)$  such that  $g \circ \phi = \gamma \Rightarrow g_*(\phi) = \gamma$ .

(2)  $\Rightarrow$  (3) Given  $P$ , there exists a free module  $F$  and a surjective map  $f : F \rightarrow P$ .

$$\begin{array}{ccccccc} & & & & P & & \\ & & & \swarrow g & \downarrow \text{id} & & \\ 0 & \longrightarrow & \text{Ker } f & \longrightarrow & F & \xrightarrow{f} & P \longrightarrow 0 \end{array}$$

Since  $f \circ g = \text{id}_P$  the above sequence is split exact. Hence  $F = P \oplus \text{Ker } f$ . So  $Q = \text{Ker } f$  is the desired module.

(3)  $\Rightarrow$  (2) Consider the diagram

$$\begin{array}{ccccc} & & F & & \\ & \swarrow \tilde{\alpha} & \downarrow \pi & & \\ & & P & & \\ & \swarrow \tilde{\alpha} & \downarrow \psi & & \\ M & \xrightarrow{g} & M'' & \longrightarrow & 0 \end{array}$$

Let  $S \subseteq F$  be a basis, define  $\alpha : S \rightarrow M$  given by  $\alpha(x) = \tau_x$  where  $\tau_x \in g^{-1}(\psi \circ (x))$  is a fixed element. Then there exists  $\tilde{\alpha} : F \rightarrow M$  such that  $\tilde{\alpha} \circ g = \psi \circ \pi$ . Then  $\tilde{\alpha}|_P : P \rightarrow M$  is the required map.

(2)  $\Rightarrow$  (4) Obvious.

(4)  $\Rightarrow$  (3) Given  $P$ , there exists a free module  $F$  and  $f : F \rightarrow P$  is a surjection. Then there is also a map  $s : P \rightarrow F$  such that  $f \circ s = \text{id}_P$ . Since the following sequence

$$0 \rightarrow \text{Ker } f \rightarrow F \rightarrow P \rightarrow 0$$

is split exact,  $F \cong P \oplus \text{Ker } f$ . □

**Definition 2.24.** Any  $R$ -module  $P$  which satisfies any one of the above condition is called *projective module*.

**Remark 2.25.** Any free module  $F$  is projective since  $F = F \oplus 0$ . But converse is not true. Let  $R = \mathbb{Z}/6\mathbb{Z}$  and  $P = \mathbb{Z}/3\mathbb{Z}$ . Note that  $P$  is an  $R$ -module, take  $Q = \mathbb{Z}/2\mathbb{Z}$ . Then  $P \oplus Q = R$  hence  $P$  is a projective module over  $R$  but  $P$  is not free. If  $P$  is free  $R$  module then  $\mathbb{Z}/3\mathbb{Z} \cong (\mathbb{Z}/6\mathbb{Z})^{|S|}$  where  $S$  is a basis of  $P$ . Therefore  $3 = |\mathbb{Z}/3\mathbb{Z}| = |S||\mathbb{Z}/6\mathbb{Z}| = 6|S|$  which is impossible.

**Note 2.26.** Therefore we have the following implication

$$\text{Free} \implies \text{Projective} \implies \text{Flat}$$

but the reverse implications are not true. Let  $F$  be a free module, then  $F \cong \bigoplus_{i \in \Lambda} R_i$  where  $R_i = R$  for all  $i \in \Lambda$  and

$$(7) \quad 0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$$

be an exact sequence of  $R$ -modules. Then we have

$$0 \rightarrow M' \otimes_R R_i \rightarrow M \otimes_R R_i \rightarrow M'' \otimes_R R_i \rightarrow 0$$

is an exact sequence of  $R$ -modules for all  $i \in \Lambda$ . Hence

$$0 \rightarrow \bigoplus_{i \in \Lambda} (M' \otimes_R R_i) \rightarrow \bigoplus_{i \in \Lambda} (M \otimes_R R_i) \rightarrow \bigoplus_{i \in \Lambda} (M'' \otimes_R R_i) \rightarrow 0$$

is exact. Therefore

$$0 \rightarrow M' \otimes_R F \rightarrow M \otimes_R F \rightarrow M'' \otimes_R F \rightarrow 0$$

is exact that is  $F$  is a flat module. Now let  $P$  be a projective module then there exist an  $R$ -module  $Q$  such that  $P \oplus Q$  is free. By previous result we have

$$0 \rightarrow (M' \otimes_R P) \oplus (M' \otimes_R Q) \rightarrow (M \otimes_R P) \oplus (M \otimes_R Q) \rightarrow (M'' \otimes_R P) \oplus (M'' \otimes_R Q) \rightarrow 0$$

is exact. Therefore

$$0 \rightarrow M' \otimes_R P \rightarrow M \otimes_R P \rightarrow M'' \otimes_R P \rightarrow 0$$

is exact and  $P$  is flat. Note that  $\mathbb{Q}$  is flat  $\mathbb{Z}$  module since  $\mathbb{Q} = S^{-1}\mathbb{Z}$  where  $S = \mathbb{Z} \setminus \{0\}$  but  $\mathbb{Q}$  is not projective. Suppose  $\mathbb{Q}$  is projective  $\mathbb{Z}$ -module then  $\mathbb{Q}$  is a free  $\mathbb{Z}$ -module which is a contradiction.

**Definition 2.27.** Let  $R$  be a ring. A projective module is said to be stably free if there exists a free module  $Q$  such that  $P \oplus Q$  is free.

**Example 2.28.** (1) Any free module.

**Question 2.29.** Give an example of a module  $M$  and a free module  $F$  such that  $F \oplus M \cong M$ .

*Ans.* Let  $F = R^n$ ,  $M = \bigoplus_{i \in \mathbb{N}} R_i$  where  $R_i = F^n$  for all  $i \in \mathbb{N}$ .

**Theorem 2.30.** Let  $(R, m)$  be a local ring. Then any finitely generated projective  $R$ -module  $P$  is free over  $R$ .

*Proof.* Let  $S \subseteq P$  be a minimal generating set. Let  $S = \{x_1, \dots, x_n\}$  then  $\bar{S} = \{x_1 + mP, \dots, x_n + mP\}$  is the basis of  $P/mP$  over  $R/m$ . Since  $P = \langle S \rangle$  there exists a surjective map  $\phi : R^n \rightarrow P$ . Consider the exact sequence

$$(8) \quad 0 \rightarrow \text{Ker } \phi \xrightarrow{i} R^n \xrightarrow{\phi} P \rightarrow 0.$$

Then we have



$$\begin{array}{ccccccc}
\text{Ker } \phi \otimes_R R/m & \xrightarrow{\tilde{i}} & R^n \otimes_R R/m & \xrightarrow{\tilde{\phi}} & P \otimes_R R/m & \longrightarrow & 0 \\
\parallel & & \parallel & & \parallel & & \\
\frac{\text{Ker } \phi}{m \text{Ker } \phi} & \xrightarrow{\tilde{i}} & (R/m)^n & \xrightarrow{\tilde{\phi}} & P/mP & \longrightarrow & 0
\end{array}$$

Since  $\dim(R/m)^n = n = \dim P/mP$ ,  $\tilde{\phi}$  is an isomorphism  $\frac{\text{Ker } \phi}{m \text{Ker } \phi} = 0$ . Since  $P$  is projective (17) is split exact. Therefore  $R^n \cong \text{Ker } \phi \oplus P$  and hence  $\text{Ker } \phi$  is finitely generated. By NAK,  $\text{Ker } \phi = 0$ . Hence  $P$  is free.  $\square$

**Proposition 2.31.** *Let  $R$  be a commutative ring with 1 and  $\phi : R^k \rightarrow R^n$  be an endomorphism. Then  $n \leq k$ .*

*Proof.* Let  $m \in \text{maxspec } R$ . Consider the exact sequence

$$(9) \quad 0 \rightarrow \text{Ker } \phi \xrightarrow{i} R^k \xrightarrow{\phi} R^n \rightarrow 0.$$

of  $R$ -modules. We have

$$\begin{array}{ccccccc}
\text{Ker } \phi \otimes_R R/m & \xrightarrow{\tilde{i}} & R^k \otimes_R R/m & \xrightarrow{\tilde{\phi}} & R^n \otimes_R R/m & \longrightarrow & 0 \\
\parallel & & \parallel & & \parallel & & \\
\text{Ker } \phi \otimes_R R/m & \xrightarrow{\tilde{i}} & (R/m)^k & \xrightarrow{\tilde{\phi}} & (R/m)^n & \longrightarrow & 0
\end{array}$$

Since  $(R/m)^k$  is vector space over  $R/m$  and the map  $\tilde{\phi}$  is onto, by Rank-Nullity theorem  $n \leq k$ .  $\square$

**Theorem 2.32.** *Let  $R$  be a commutative ring with 1 such that  $R^m \cong R^n$  then  $m = n$ .*

*Proof.* Let  $\psi : R^m \rightarrow R^n$  be the isomorphism then there exists  $\phi : R^n \rightarrow R^m$  such that  $\phi \circ \psi = \text{id}_{R^m}$  and  $\psi \circ \phi = \text{id}_{R^n}$ . Since  $\psi$  is onto,  $n \leq m$  and  $\phi$  is onto implies  $m \leq n$ . Hence  $m = n$ .  $\square$

For a commutative ring  $R$  with 1, we define  $\text{rank } R^n = n$ . For a finitely generated free module  $F$ , there exists  $n \in \mathbb{R}$  such that  $F \cong R^n$ . So we define  $\text{rank } F = n$ . Let  $P$  be a finitely generated projective module over  $R$ . Define  $\text{rank} : \text{spec } R \rightarrow P$  given by  $p \mapsto \text{rank } (P_p)$ .

**Note 2.33.** *Let  $P$  be a projective module, then there exists  $Q$  such that  $P \oplus Q \cong F$  where  $F$  is a free module. Let  $p \in \text{spec } R$ . then  $(P \oplus Q) \otimes_R R_p \cong F \otimes_R R_p \Rightarrow P_p \otimes_R Q_p \cong F_p$ . Since  $P_p$  is a finitely generated over a local ring in  $R_p$ , and  $F_p$  is free  $R_p$  module, therefore  $P_p$  is projective  $R_p$  module and hence  $P_p$  is free over  $R_p$ . So  $\text{rank } (P_p)$  is well defined. Note that if  $R$  is local then the rank function is constant.*

**Theorem 2.34.** *Let  $R$  be a semi local ring and  $P$  be a finitely generated projective module over  $R$  of constant rank then  $P$  is free.*

*Proof.* Let  $\text{maxspec } R = \{m_1, \dots, m_r\}$  and  $J = \bigcap_{i=1}^r m_i$  be the Jacobson radical. By Chinese Remainder theorem  $P/J P \cong P/m_1 P \times \dots \times P/m_r P$  and  $R/J \cong R/m_1 \times \dots \times R/m_r$  and  $P/J P$

is  $R/J$  module. Let  $S = \{s_1, \dots, s_k\}$  be a minimal generating set of  $P$  over  $R$ . We claim that  $\bar{S} = \{s_1 + JP, \dots, s_k + JP\}$  be the minimal generating set of  $P/JP$  over  $R/J$ . If not, we assume that  $P/JP$  is generated by  $\{s_1 + JP, \dots, s_{k-1} + JP\}$ . Let  $N = \langle s_1, \dots, s_{k-1} \rangle$ . Pick  $x \in P$  then  $x + JP = \sum_{i=1}^{k-1} (r_i + J)(s_i + JP) \Rightarrow x - \sum_{i=1}^{k-1} r_i s_i \in JP \Rightarrow x \in N + JP \Rightarrow P = N + JP$ . By NAK,  $P = N$  which is a contradiction. So our claim is proved. Thus  $P/JP$  is free  $R/J$  module. Now we consider the exact sequence

$$(10) \quad 0 \rightarrow \text{Ker } f \rightarrow R^k \rightarrow P \rightarrow 0.$$

Since  $P$  is projective, this above sequence is split exact and therefore  $\text{Ker } f$  is finitely generated. From (19)

$$\begin{array}{ccccc} \text{Ker } f \otimes_R R/J & \xrightarrow{i \otimes 1} & R^k \otimes_R R/J & \xrightarrow{f \otimes 1} & P \otimes_R R/J \longrightarrow 0 \\ \parallel & & \parallel & & \parallel \\ \frac{\text{Ker } f}{J \text{Ker } f} & \xrightarrow{i \otimes 1} & (R/J)^k & \xrightarrow{f \otimes 1} & P/JP \longrightarrow 0 \end{array}$$

We claim that  $\{s_1 + JP, \dots, s_k + JP\}$  is a  $R/J$  basis of  $P/JP$ . If we prove the claim then  $f \otimes 1$  is an isomorphism and  $\text{Ker } f/J \text{Ker } f = 0 \Rightarrow \text{Ker } f = 0$  by NAK and  $P \cong R^k$  hence  $P$  is free.

**Proof of the claim.**

**Note 2.35.** Let  $F_i$  be free  $R_i$  module of same rank for all  $1 \leq i \leq k$ , then  $F = F_1 \times \dots \times F_k$  is free  $R_1 \times \dots \times R_k$  module. That is  $F_i \cong (R_i)^l$  for some  $l \in \mathbb{N}$ ,  $1 \leq i \leq n$ . Then  $F = F_1 \times \dots \times F_k \cong (R_1)^l \times \dots \times (R_k)^l \cong (R_1 \times \dots \times R_k)^l$ . We will prove this by induction on  $k$ . Let  $\theta : R_1^l \times R_2^l \rightarrow (R_1 \times R_2)^l$  defined by  $((x_1, \dots, x_l), (x'_1, \dots, x'_l)) \mapsto ((x_1, x'_1), \dots, (x_l, x'_l))$  be the required isomorphism.

**Note 2.36.** Since  $P$  is projective of constant rank, let  $P_m \cong (R_m)^l$  for all  $m \in \text{mspec } R$  and for some  $l \in \mathbb{N}$ . Let  $P/mP \cong (R/m)^s$  for some  $s \in \mathbb{N}$ . Then  $P/mP \otimes_R R_m \cong (R/m)^s \otimes_R R_m \Rightarrow \frac{P_m}{mP_m} \cong \left( \frac{R_m}{mR_m} \right)^s \cong \left( \frac{R_m}{mR_m} \right)^l \Rightarrow l = s$ . Hence for any  $m \in \text{maxspec } R$ ,  $P/mP \cong (R/m)^l$ .

Therefore  $P/JP \cong \prod_{i=1}^r P/m_i P \cong \prod_{i=1}^r (R/m_i)^l \cong \left( \prod_{i=1}^r R/m_i \right)^l \cong (R/J)^l$ .

**Question 2.37.** Let  $R$  be a semi local ring and  $F$  be a finitely generated free module over  $R$ . Is any minimal generating set of  $F$  an  $R$ -basis of  $F$ ?

**Definition 2.38.** Let  $M$  be an  $R$ -module.  $M$  is said to be finitely presented if there exists finitely generated free modules  $F_1$  and  $F_2$  such that the following sequence is exact

$$F_1 \rightarrow F_2 \rightarrow M \rightarrow 0.$$

**Note 2.39.** Suppose  $M$  is a finitely generated module over  $R$ . If  $\text{Ker } f$  is finitely generated then we have the following sequence

$$\begin{array}{ccccccc}
R^k & \xrightarrow{i \circ \phi} & R^n & \xrightarrow{f} & M & \longrightarrow & 0 \\
& \searrow \phi & \nearrow i & & & & \\
& & \text{Ker } f & & & & \\
& & \searrow & & & & \\
& & & & 0 & & 
\end{array}$$

is exact because  $\text{Ker } \phi = \text{Im } \phi = \text{Im}(i \circ \phi)$ . Thus a finitely generated module may not be finitely presented. If  $R$  is Noetherian then it is true. Conversely any finitely presented module is finitely generated.

**Theorem 2.40.** Let  $R$  be a ring and  $M, N$  be  $R$ -modules and  $S$  be a flat  $R$ -algebra. Suppose  $M$  is of finite presentation then we have

$$\text{Hom}_R(M, N) \otimes_R S \cong \text{Hom}_S(M \otimes_R S, N \otimes_R S).$$

*Proof.* Since  $M$  is of finite presentation, there exists two finitely generated free module  $R^p$  and  $R^q$  such that

$$(11) \quad R^p \rightarrow R^q \rightarrow M \rightarrow 0$$

is exact. Then for any  $R$ -module  $N$  the following sequence

$$(12) \quad 0 \rightarrow \text{Hom}_R(M, N) \rightarrow \text{Hom}_R(R^q, N) \rightarrow \text{Hom}_R(R^p, N)$$

is exact. As  $S$  is flat,

$$0 \rightarrow \text{Hom}_R(M, N) \otimes_R S \rightarrow \text{Hom}_R(R^q, N) \otimes_R S \rightarrow \text{Hom}_R(R^p, N) \otimes_R S$$

is exact. Now consider the diagram

$$\begin{array}{ccccccc}
0 & \longrightarrow & \text{Hom}_R(M, N) \otimes_R S & \longrightarrow & \text{Hom}_R(R^q, N) \otimes_R S & \longrightarrow & \text{Hom}_R(R^p, N) \otimes_R S \\
& & \downarrow \lambda_M & & \downarrow \lambda_{R^q} & & \downarrow \lambda_{R^p} \\
0 & \longrightarrow & \text{Hom}_S(M \otimes_R S, N \otimes_R S) & \longrightarrow & \text{Hom}_S(R^q \otimes_R S, N \otimes_R S) & \longrightarrow & \text{Hom}_S(R^p \otimes_R S, N \otimes_R S)
\end{array}$$

where  $\lambda_M : \text{Hom}_R(M, N) \otimes_R S \rightarrow \text{Hom}_S(M \otimes_R S, N \otimes_R S)$  is defined by  $\lambda_M(f \otimes s) = \tilde{f}$  and  $\tilde{f} : M \otimes_R S \rightarrow N \otimes_R S$  is defined by  $\tilde{f}(m \otimes s) = f(m) \otimes s$ . By Universal property  $\tilde{f}$  is well defined. Since  $\text{Hom}_R(R^q, N) \otimes_R S \cong (\text{Hom}_R(R, N))^q \otimes S \cong N^q \otimes S = (N \otimes_R S)^q$  and  $\text{Hom}_S(R^q \otimes_R S, N \otimes_R S) \cong \text{Hom}_S(S^q, N \otimes_R S) \cong (N \otimes_R S)^q$ . Thus the mappings  $\lambda_{R^q}$  and  $\lambda_{R^p}$  are isomorphism. Since the bottom sequence of the above diagram is exact and the diagram is commutative,  $\lambda_M$  is also an isomorphism.  $\square$

**Corollary 2.41.** Let  $M$  and  $N$  be  $R$ -modules with  $M$  be of finite presentation. Then for each  $p \in \text{spec } R$ ,

$$(\text{Hom}_R(M, N))_p \cong \text{Hom}_{R_p}(M_p, N_p).$$

*Proof.* Take  $S = R_p$ .  $\square$

**Theorem 2.42.** Let  $R$  be any ring and  $M$  be a finitely presented. Then the followings are equivalent:

- (1) The map  $\theta : M \otimes_R M^* \rightarrow R$  defined by  $\theta(m, f) = f(m)$  is an isomorphism.
- (2) There exists an  $R$ -module  $N$  such that  $M \otimes_R N \cong R$ .
- (3)  $M_m \cong R_m$  for all  $m \in \maxspec R$ .
- (4)  $M_p \cong R_p$  for all  $p \in \spec R$ .
- (5)  $M$  is projective of rank 1.

*Proof.* (1)  $\Rightarrow$  (2) Take  $N = M^*$ .

(2)  $\Rightarrow$  (3)  $M \otimes_R N \cong R \Rightarrow M_m \otimes_R N_m \cong R_m \Rightarrow M_m \otimes_{R_m} N_m \cong R_m \Rightarrow (M_m \otimes_{R_m} N_m) \otimes_{R_m} \frac{R_m}{mR_m} \cong \frac{R_m}{mR_m} \Rightarrow M_m \otimes_{R_m} \frac{N_m}{mN_m} \cong \frac{R_m}{mR_m} \Rightarrow \frac{M_m}{mR_m} \otimes_{R_m} \frac{N_m}{mN_m} \cong \frac{R_m}{mR_m}^1$ . Therefore,  $\frac{M_m}{mM_m} \cong \frac{R_m}{mR_m}$ .  
 By NAK  $M_m = \langle x \rangle, x \in M_m \Rightarrow M_m \cong \frac{R_m}{Ann_{R_m}(x)} \Rightarrow Ann_{R_m}(x)(M_m \otimes_{R_m} N_m) = 0 \Rightarrow Ann_{R_m}(x)R_m = 0 \Rightarrow Ann_{R_m}(x) = 0 \Rightarrow M_m = R_m$ .

(3)  $\Rightarrow$  (4) Further localization.

(4)  $\Rightarrow$  (5) By definition.

(5)  $\Rightarrow$  (1) Since  $M$  is of finite presentation,  $(\text{Hom}_R(M, R))_m \cong \text{Hom}_{R_m}(M_m, R_m)$  for all  $m \in \maxspec R$ , that is  $(M^*)_m \cong (M_m)^*$ . Now  $M$  is projective of rank 1 so  $M_m \cong R_m$ . So we have  $M_m \otimes_{R_m} (M_m)^* \cong R_m \otimes_{R_m} (R_m)^* \cong R_m$ . Again from the above equation,

$$\begin{aligned}
 M_m \otimes_{R_m} (M_m)^* &\cong M_m \otimes_{R_m} (M^*)_m \\
 &\cong M_m \otimes_R (M^*)_m \\
 &\cong M_m \otimes_R (M^* \otimes_R R_m) \\
 &\cong (M \otimes_R R_m) \otimes_R (M^* \otimes_R R_m) \\
 &\cong (M \otimes_R M^*) \otimes_R (R_m \otimes_R R_m) \\
 &\cong (M \otimes_R M^*) \otimes_R R_m \\
 &\cong (M \otimes_R M^*)_m
 \end{aligned}$$

Hence  $(M \otimes_R M^*)_m \cong R_m$  for all  $m \in \maxspec R$ . By Local-global property  $M \otimes_R M^* \cong R$ .

**Note 2.43.** Let  $I$  and  $J$  be two ideals of  $R$  then  $R/I \otimes_R R/J \cong \frac{R/I}{J(R/I)} \cong \frac{R/I}{(J+I)/I} \cong \frac{R}{I+J}$ .  
 (Check this isomorphism as ring.)

**Picard group.** Let  $\sum$  be the isomorphism classes of projective  $R$ -modules of rank 1. Define

$$\begin{aligned}
 \cdot : \sum \times \sum &\rightarrow \sum \\
 ([P], [Q]) &\mapsto [P \otimes_R Q]
 \end{aligned}$$

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<sup>1</sup>As  $K(m) := \frac{R_m}{mR_m}$  and  $K(m)^l \otimes_{K(m)} K(m)^s \cong K(m)^{ls}$ .

We need to show that  $(\sum, \cdot)$  is a group with inverse of  $[P]$  is  $[P^*]$ . This group is called Picard group of  $R$  and it is denoted by  $\text{Pic } R$ . Let  $P, Q$  be two projective module of rank 1 then

$$(P \otimes_R Q) \otimes_R R_m \cong P_m \otimes_R Q_m \cong P_m \otimes_{R_m} Q_m \cong R_m \otimes_{R_m} R_m \cong R_m.$$

Thus  $P \otimes_R Q$  is also a projective module of rank 1. By Corollary 14.88  $(M^*)_p \cong (M_p)^* \cong (R_p)^* \cong R_p$  for all  $p \in \text{spec } R$ . Therefore  $M$  is projective of rank 1 implies  $M^*$  is also projective of rank 1.

### Free, Projective and Flat resolution.

**Definition 2.44.** Let  $M$  be an  $R$ -module. A free (or projective or flat) resolution of  $M$  over  $R$  is an exact sequence of  $R$ -modules

$$\cdots \rightarrow P_2 \xrightarrow{f_2} P_1 \xrightarrow{f_1} P_0 \xrightarrow{f_0} M \rightarrow 0$$

where each  $P_i$  is a free (or projective or flat respt.)  $R$ -module.

**Lemma 2.45.** Let  $M$  be an  $R$ -module. Then projective resolution of  $M$  over  $R$  exists.

*Proof.* For any module  $M$ , there exists a free module  $F$  and a surjective map  $F_0 \xrightarrow{f_0} M \rightarrow 0$ . Consider the  $\text{Ker } f_0$ , then there exists a free module  $F_1$  with the diagram

$$\begin{array}{ccccccc} F_1 & \xrightarrow{f_1=i \circ \pi} & F_0 & \xrightarrow{f_0} & M & \longrightarrow & 0 \\ & \searrow \pi_1 & \swarrow i & & & & \\ & & \text{Ker } f_0 & & & & \\ & & & \searrow & & & \\ & & & & 0 & & \end{array}$$

The above diagram is exact since  $\text{Ker } f_0 = \text{Im } \pi_1 = \text{Im } i \circ \pi_1 = \text{Im } f_1$  since  $i$  is the inclusion map and  $\pi_1$  is onto. Next we consider  $\text{Ker } f_1$ , then there exists  $F_2$  such that

$$\begin{array}{ccccccccc} \cdots & \longrightarrow & F_2 & \xrightarrow{f_2} & F_1 & \xrightarrow{f_1=i \circ \pi} & F_0 & \xrightarrow{f_0} & M & \longrightarrow & 0 \\ & & \searrow \pi_2 & & \swarrow i & & \searrow \pi_1 & & \swarrow i & & \\ & & & & \text{Ker } f_1 & & & & \text{Ker } f_0 & & \\ & & & & & \searrow & & & & \searrow & \\ & & & & & & 0 & & & & 0 \end{array}$$

Inductively we can construct a free resolution of  $M$ . Since every free module is projective and therefore flat, we have a projective (or flat) resolution.  $\square$

### Tor and Ext.

**Definition 2.46.** Let  $M$  be an  $R$ -module. We consider a projective resolution of  $M$  that is

$$\mathcal{C} \equiv \cdots \rightarrow P_2 \xrightarrow{f'_2} P_1 \xrightarrow{f'_1} P_0 \xrightarrow{f'_0} M \rightarrow 0.$$

Let  $N$  be another  $R$ -module. We consider,

(1)

$$\mathcal{C} \otimes_R N \equiv \cdots \rightarrow P_2 \otimes_R N \xrightarrow{f_2} P_1 \otimes_R N \xrightarrow{f_1} P_0 \otimes_R N \xrightarrow{f_0} M \otimes_R N \rightarrow 0$$

where  $f_i = f'_i \otimes 1$  for all  $i \in \mathbb{N}$ . Then we define  $\text{Tor}_i^R(M, N) := H_i(\mathcal{C} \otimes_R N) = \frac{\text{Ker } f_i}{\text{Im } f_{i+1}}$ .

(2)

$$\text{Hom}_R(\mathcal{C}, N) \equiv \cdots \xleftarrow{f_2^*} \text{Hom}_R(P_1, N) \xleftarrow{f_1^*} \text{Hom}_R(P_0, N) \xleftarrow{f_0^*} \text{Hom}_R(M, N) \leftarrow 0.$$

$$\text{we define } \text{Ext}_R^i(M, N) := H^i(\text{Hom}_R(\mathcal{C}, N)) = \frac{\text{Ker } f_{i+1}^*}{\text{Im } f_i^*}.$$

**Remark 2.47.** These definition doesn't depend on the choice of resolution of  $M$ .

### 3. INTEGRAL DEPENDENCE AND VALUATION

**Definition 3.1.** Let  $B$  be a ring and  $A \subseteq B$  be a subring. An element  $x \in B$  is said to be integral over  $A$  if  $x$  is a root of a monic polynomial in  $A[T]$ .

**Proposition 3.2.** Let  $A \subseteq B$  where  $A$  and  $B$  are commutative ring with 1. Then the followings are equivalent:

- (1)  $x \in B$  is integral over  $A$ .
- (2)  $A[x]$  is a finitely generated  $A$ -module.

*Proof.* (1)  $\Rightarrow$  (2) We note that  $A[x] = \text{span } \{1, x, x^2, \dots\}$  over  $A$ . As  $x \in B$  is integral over  $A$ , there exist  $f(T) = T^n + a_{n-1}T^{n-1} + \cdots + a_0 \in A[T]$  such that  $f(x) = 0$ . Let  $g(T) \in A[T]$  then by division algorithm,

$$g(T) = q(T)f(T) + r(T), r(T) = 0 \text{ or } \deg r(T) < \deg f(T) = n.$$

Therefore,  $g(x) = r(x) \in \text{span } \{1, x, \dots, x^{n-1}\}$ . Hence  $A[x]$  is a finitely generated  $A$ -module and  $A[x] = \langle 1, x, \dots, x^{n-1} \rangle$ .

(2)  $\Rightarrow$  (1) Suppose  $A[x]$  is a finitely generated  $A$ -module. Let  $\{f_1, \dots, f_r\}$  be a finite generating set of  $A[x]$  over  $A$ . Let  $d > \deg f_i(T), 1 \leq i \leq r$ . Since  $x^d \in A[x]$ ,

$$x^d = c_1 f_1 + \cdots + c_r f_r, c_i \in A[x]; 1 \leq i \leq r.$$

Therefore  $x$  satisfies a polynomial equation  $T^d - \sum_{i=1}^r c_i f_i(T) \in A[T]$ . So,  $x$  is integral over  $A$ .  $\square$

**Theorem 3.3** (Going up theorem). Let  $B$  be a ring and  $A$  be a subring of  $B$  such that  $B$  is integral over  $A$ . Then  $A$  is field if and only if  $B$  is field.

*Proof.* Suppose  $A$  is field. Pick  $u \in B \setminus A$ , since  $u$  is integral over  $A$ ,  $A[u] = A(u) \subseteq B$ . Therefore  $u^{-1} \in B$ .

Conversely, Suppose  $B$  is a field. Let  $a \in A \subseteq B \Rightarrow a^{-1} \in B$ . Since  $B$  is integral over  $A$ ,  $a^{-1}$  satisfies a monic polynomial in  $A$  that is  $(a^{-1})^n + \cdots + a_1(a^{-1}) + a_0 = 0$ . Clearing the denominator,

$$a^{-1} = -(a_{n-1} + \cdots + a_0 a^{n-1}) \in A.$$

 $\square$

**Lemma 3.4.** *Let  $D$  be an integral domain and  $f \in D[X_1, \dots, X_n]$  and  $N \geq 1$  be an integer such that  $N > \text{total degree of } f$ . Suppose  $\phi \in \text{Aut}_D D[X_1, \dots, X_n]$  such that  $\phi(X_i) = X_i + X_n^{N^i}$ ,  $1 \leq i \leq n-1$  and  $\phi(X_n) = X_n$ . Then the highest degree term of  $\phi(f)$  involving  $X_n$  is of the form  $cX_n^p$  where  $c \in D$ .*

*Proof.* We consider any non zero term of  $f$  which is of the form  $c_\alpha X_1^{a_1} \cdots X_n^{a_n}$  where  $\alpha = (a_1, \dots, a_n)$  and  $c_\alpha \neq 0$ . Then

$$\phi(c_\alpha X_1^{a_1} \cdots X_n^{a_n}) = c_\alpha (X_1 + X_n^N)^{a_1} (X_2 + X_n^{N^2})^{a_2} \cdots (X_{n-1} + X_n^{N^{n-1}})^{a_{n-1}} X_n^{a_n}.$$

After expanding we get the highest degree term is  $c_\alpha X_n^{a_n + a_1 N + \cdots + a_{n-1} N^{n-1}}$ . If there exist  $\beta = (b_1, \dots, b_n)$  such that  $c_\beta X_1^{b_1} \cdots X_n^{b_n}$  is a term of  $f$  and the highest degree power of  $\phi(c_\beta X_1^{b_1} \cdots X_n^{b_n}) = c_\beta X_n^{b_n + b_1 N + \cdots + b_{n-1} N^{n-1}}$  cancels  $c_\alpha X_n^{a_n + a_1 N + \cdots + a_{n-1} N^{n-1}}$  then  $c_\beta = -c_\alpha$  and  $b_n + b_1 N + \cdots + b_{n-1} N^{n-1} = a_n + a_1 N + \cdots + a_{n-1} N^{n-1} \Rightarrow (b_1, \dots, b_n) = (a_1, \dots, a_n)$  (by division algorithm) hence  $\alpha = \beta$  and which implies  $c_\alpha X^\alpha = -c_\beta X^\beta$  which is a contradiction as both are monomials of  $f$ .  $\square$

**Definition 3.5.** *Let  $K$  be a field. The elements  $y_1, \dots, y_q$  in some  $K$ -algebra are called algebraically independent if there is no polynomial  $p \in K[X_1, \dots, X_q]$  such that  $p(y_1, \dots, y_q) = 0$ .*

**Observation 3.6.** *Suppose  $y_1, \dots, y_q$  are algebraically independent over  $K$ . Then the map  $\theta : K[X_1, \dots, X_q] \rightarrow K[y_1, \dots, y_q]$  defined by  $X_i \mapsto y_i$ ,  $1 \leq i \leq q$  is an isomorphism. Conversely, suppose  $K[X_1, \dots, X_q] \cong K[y_1, \dots, y_q]$  and  $\phi : K[X_1, \dots, X_n] \rightarrow K[y_1, \dots, y_q]$  be an isomorphism. Let  $\alpha : K[X_1, \dots, X_q] \rightarrow K[X_1, \dots, X_q]$  be a map where  $\alpha(X_i) = p_i$  and  $p_i = \phi^{-1}(y_i)$ ,  $1 \leq i \leq q$ . We note that  $\text{Im } \phi^{-1} = \text{Im } \alpha = K[p_1, \dots, p_q]$ . Because  $\phi^{-1}$  is an isomorphism, we have  $K[p_1, \dots, p_q] = K[X_1, \dots, X_q]$ , hence  $\alpha$  is surjective and thus  $\alpha$  is an isomorphism. Now  $\phi \circ \alpha(X_i) = y_i$ ,  $1 \leq i \leq q$  and  $\phi \circ \alpha$  is an isomorphism. Suppose  $y_1, \dots, y_q$  are algebraically dependent so there exist  $0 \neq f(X_1, \dots, X_q) \in K[X_1, \dots, X_q]$  such that  $f(y_1, \dots, y_q) = 0 \Rightarrow \phi \circ \alpha(f) = 0 \Rightarrow f = 0$  which is a contradiction.*

**Lemma 3.7** (Vasconcelous). *Let  $R$  be a ring and  $M$  be a finitely generated  $R$ -module.  $\phi : M \rightarrow M$  is a surjective  $R$ -linear map then  $\phi$  is an isomorphism.*

*Proof.* We consider  $M$  as an  $R[X]$  module via  $\phi$ , i.e., the scalar multiplication map  $\cdot : R[X] \times M \rightarrow M$  is  $(f, m) \mapsto f(\phi)m$ . Since  $\phi$  is surjective,  $\phi(M) = M \Rightarrow X \cdot M = M$ . Take  $I = \langle X \rangle$ , so by NAK there exist  $f(X) \in I$  such that  $(1 + f(X))M = 0$ . Let  $m \in \text{Ker } \phi \Rightarrow \phi(m) = X \cdot m = 0$ . So  $(1 + f(X)) \cdot m = m + 0 = m$  (as  $f(x) \in I$ ). Therefore  $m = 0$  as  $(1 + f(X))M = 0$ .  $\square$

**Lemma 3.8.** *Let  $R$  be a Noetherian ring. If  $\phi : R \rightarrow R$  is an epimorphism then  $\phi$  is an isomorphism.*

*Proof.* Note that we have the following ascending chain of ideals of  $R$ ,

$$\text{Ker } \phi \subseteq \text{Ker } \phi^2 \subseteq \cdots$$

Since  $R$  is Noetherian,  $\text{Ker } \phi^{n_0} = \text{Ker } \phi^{n_0+k}$  for some  $n_0 \in \mathbb{N}$  and for all  $k \in \mathbb{N}$ . Let  $x \in \text{Ker } \phi$ , as  $\phi$  is surjective,  $\phi^n$  is also surjective for all  $n \in \mathbb{N}$ , hence there is  $y \in R$  such that  $\phi^{n_0}(y) = x \Rightarrow \phi^{n_0+1}(y) = \phi(x) = 0 \Rightarrow y \in \text{Ker } \phi^{n_0+1} = \text{Ker } \phi^{n_0} \Rightarrow \phi^{n_0}(y) = 0 \Rightarrow x = 0$ .  $\square$

**Corollary 3.9.** *Let  $M$  be an Noetherian  $R$ -module and  $\phi : M \rightarrow M$  be a surjective  $R$ -linear map. Then  $\phi$  is an isomorphism.*

**Note 3.10.** *Note that the statement is not true if surjectivity is replaced by injectivity. For example let  $R = \mathbb{Z}$  and  $\phi : \mathbb{Z} \rightarrow \mathbb{Z}$  be the map where  $\phi(x) = 2x$ . Here  $\phi$  is injective but not surjective.*

**Theorem 3.11** (Noether Normalization lemma). *Let  $K$  be a field and suppose  $A = K[r_1, \dots, r_m]$  is a finitely generated  $K$ -algebra. Then for some  $q, 0 \leq q \leq m$ , there are algebraically independent elements  $y_1, \dots, y_q \in A$  such that  $A$  is integral over  $K[y_1, \dots, y_q]$ .*

*Proof.*

**Example 3.12.** *Let  $A = K[X, Y, Z]/\langle Y - X^2, Y^2 - XZ \rangle = K[r_1, r_2, r_3]$  where  $r_1 = X + \langle Y - X^2, Y^2 - XZ \rangle$ ,  $r_2 = Y + \langle Y - X^2, Y^2 - XZ \rangle$  and  $r_3 = Z + \langle Y - X^2, Y^2 - XZ \rangle$ . Let  $\phi : K[X, Y, Z] \rightarrow K[T]$  be a map defined by  $X \mapsto T, Y \mapsto T^2$  and  $Z \mapsto T^3$ . Then  $\phi$  is a ring morphism and  $\text{Ker } \phi = \langle Y - X^2, Y^2 - XZ \rangle$ . By first isomorphism theorem  $K[T] \cong A$ .*

$$\begin{array}{c} K[r_1, r_2, r_3] \\ \left| \begin{array}{c} \bigcup \\ \text{integral} \end{array} \right. \\ K[r_1] \\ \left| \begin{array}{c} \bigcup \\ \text{transcendental} \end{array} \right. \\ K \end{array}$$

**Theorem 3.13** (Weak Nullstellensatz). *Let  $K$  be an algebraically closed field. Then  $\mathfrak{m}$  is a maximal ideal in a polynomial ring  $K[X_1, \dots, X_n]$  if and only if  $\mathfrak{m} = \langle X_1 - a_1, \dots, X_n - a_n \rangle$  for some  $a_1, \dots, a_n \in K$ . Equivalently, there is a one to one correspondence between points in  $K^n$  and maximal ideals in  $K[X_1, \dots, X_n]$ .*

*Proof.* It is easy to check that  $\langle X_1 - a_1, \dots, X_n - a_n \rangle \in \text{maxspec } K[X_1, \dots, X_n]$ . Conversely, suppose  $\mathfrak{m} \in \text{maxspec } K[X_1, \dots, X_n]$  and denote  $x_i = X_i + \mathfrak{m} \in A/\mathfrak{m}, 1 \leq i \leq n$ . Then  $A/\mathfrak{m}$  is a finitely generated  $K$ -algebra. By Noether normalization lemma, there exist  $y_1, \dots, y_q \in A/\mathfrak{m}; 0 \leq q \leq n$ , algebraically independent elements over  $K$  such that  $A/\mathfrak{m}$  is integral over  $K[y_1, \dots, y_q]$ . Since  $A/\mathfrak{m}$  is field and  $A/\mathfrak{m}|K[y_1, \dots, y_q]$  is an integral extension,  $K[y_1, \dots, y_q]$  is also field. But  $K[y_1, \dots, y_q] \cong K[T_1, \dots, T_q]$ , therefore  $q = 0$  and  $A/\mathfrak{m}|K$  is an algebraic extension. As  $K$  is algebraically closed,  $A/\mathfrak{m} = K$  and therefore  $x_i \in K$ . Let  $X_i + \mathfrak{m} = a_i + \mathfrak{m} \Rightarrow X_i - a_i \in \mathfrak{m}, 1 \leq i \leq n \Rightarrow \langle X_1 - a_1, \dots, X_n - a_n \rangle \subseteq \mathfrak{m}$ . Since both are maximal ideals of  $K[X_1, \dots, X_n]$ . We have  $\mathfrak{m} = \langle X_1 - a_1, \dots, X_n - a_n \rangle$ .  $\square$

**Remark 3.14.** *The result is not true if  $K$  is not algebraically closed. For example take  $K = \mathbb{R}$  and  $\mathfrak{m} = \langle X^2 + 1 \rangle$ .*

**Theorem 3.15** (Hilbert's Nullstellensatz-Zariski form). *Let  $K$  be a field and  $E$  be a finitely generated  $K$ -algebra. If  $E$  is field then  $E|K$  is a finite algebraic extension.*

*Proof.* Let  $E = K[r_1, \dots, r_n]$ . Since  $E$  is finitely generated  $K$ -algebra, by Noether normalization lemma, there exist  $y_1, \dots, y_q \in E; 0 \leq q \leq n$  algebraically independent over  $K$  such that  $E$  is



integral over  $K[y_1, \dots, y_q]$ . But  $E$  is field and  $E|K[y_1, \dots, y_q]$  is integral, this implies  $K[y_1, \dots, y_q]$  is also a field and therefore  $q = 0$ . Hence  $E|K$  is algebraic. Since  $E$  is finitely generated, extension is also finite.  $\square$

**Definition 3.16.** Let  $K$  be a field. An affine space over  $K$  of dimension  $n$  is just the set  $K^n := \{(a_1, \dots, a_n) : a_i \in K, 1 \leq i \leq n\}$ .

**Notation.** An affine space over  $K$  of dimension  $n$  will be denoted by  $\mathbb{A}_K^n$ .

**Definition 3.17.** (1) Let  $S \subseteq \mathbb{A}_K^n$ . Define

$$I(S) := \{f \in K[X_1, \dots, X_n] : f(a_1, \dots, a_n) = 0 \text{ for all } (a_1, \dots, a_n) \in S\}.$$

(2) Let  $T \subseteq K[X_1, \dots, X_n]$ . Then we define

$$Z(T) = \{(a_1, \dots, a_n) \in \mathbb{A}_K^n : f(a_1, \dots, a_n) = 0 \text{ for all } f \in T\}.$$

**Note 3.18.** (1) The set  $I(S)$  in the Definition 1.17. (1) is an ideal of  $K[X_1, \dots, X_n]$  and  
(2) The set in the Definition 1.17. (2) is called ‘Algebraic set’.

**Observation 3.19** (Zariski topology on  $\mathbb{A}_K^n$ ). We define a topology on  $\mathbb{A}_K^n$  whose closed sets are algebraic sets. Check that this is a topology on  $\mathbb{A}_K^n$ .

**Theorem 3.20** (Nullstellensatz). Let  $K$  be an algebraically closed field and  $I \subseteq K[X_1, \dots, X_n]$  be an ideal. Then  $Z(I) = \emptyset$  if and only if  $1 \in I$ .

*Proof.* If  $1 \in I$  then it is clear that  $Z(I) = \emptyset$ . Conversely, suppose  $Z(I) = \emptyset$  but  $1 \notin I$ , then there exist a maximal ideal  $\mathfrak{m}$  of  $K[X_1, \dots, X_n]$  such that  $I \subseteq \mathfrak{m}$ . Since  $K$  is algebraically closed,  $\mathfrak{m} = \langle X_1 - a_1, \dots, X_n - a_n \rangle$  for some  $a_1, \dots, a_n \in K$ . But  $(a_1, \dots, a_n) \in Z(\mathfrak{m}) \subseteq Z(I)$  which is a contradiction. Hence  $1 \in I$ .  $\square$

**Remark 3.21.** It is not true if  $K$  is not algebraically closed. For example lets take  $K = \mathbb{R}$  and  $I = \langle X^2 + 1 \rangle$ . Then  $I$  is a proper ideal of  $\mathbb{R}[X]$  but  $Z(I) = \emptyset$ .

**Theorem 3.22** (Strong Nullstellensatz). Let  $K$  be an algebraically closed field and  $J \subseteq K[X_1, \dots, X_n]$  be an ideal. Then  $I(Z(J)) = \sqrt{J}$ .

*Proof.* Let  $(a_1, \dots, a_n) \in Z(J)$  and  $g \in \sqrt{J} \Rightarrow g^N \in J$  for some  $N \in \mathbb{N}$ . Then  $g^N(a_1, \dots, a_n) = 0 \Rightarrow g(a_1, \dots, a_n) = 0 \Rightarrow g \in I(Z(J)) \Rightarrow \sqrt{J} \subseteq I(Z(J))$ . Since  $K[X_1, \dots, X_n]$  is Noetherian,  $J$  is finitely generated. Let  $J = \langle f_1, \dots, f_r \rangle$  and  $g \in I(Z(J))$ . Introduce an extra variable  $Z$  and consider  $f_1, \dots, f_r, 1 - Zg \in K[X_1, \dots, X_n, Z]$ . Let  $\mathfrak{A} = \langle f_1, \dots, f_r, 1 - Zg \rangle$ . We claim that  $Z(\mathfrak{A}) = \emptyset$ . If  $(a_1, \dots, a_n, b) \in Z(\mathfrak{A}) \Rightarrow (a_1, \dots, a_n) \in Z(J)$ . Since  $g \in I(Z(J)) \Rightarrow g(a_1, \dots, a_n) = 0 \Rightarrow 1 - bg(a_1, \dots, a_n) = 0$  leads to a contradiction. Hence our claim is proved and by Hilbert’s Nullstellensatz,  $1 \in \mathfrak{A}$ . Let

$$(13) \quad 1 = h_1 f_1 + \dots + h_r f_r + h(1 - Zg)$$

where  $h_i \in K[X_1, \dots, X_n, Z], 1 \leq i \leq r, h \in K[X_1, \dots, X_n, Z]$ . We consider the ring morphism  $\theta : K[X_1, \dots, X_n, Z] \rightarrow K(X_1, \dots, X_n)$  defined by  $X_i \mapsto X_i, 1 \leq i \leq n$  and  $Z \mapsto 1/g$ . We apply

$\theta$  on (1) and we have  $1 = \sum_{i=1}^r \theta(h_i)\theta(f_i) \Rightarrow \sum_{i=1}^r f_i \frac{\tilde{h}_i}{g^{n_i}}, \tilde{h}_i \in K[X_1, \dots, X_n], 1 \leq i \leq n$ . Clearing the denominator,  $g^P = \sum_{i=1}^r f_i \alpha_i \Rightarrow g \in \sqrt{J}$ . Therefore  $I(Z(J)) = \sqrt{J}$ .  $\square$

**Note 3.23.** *The above method is known as Rabinowitch's trick.*

**Theorem 3.24** (Artin-Tate). *Let  $A \subseteq B \subseteq C$  be rings. Suppose that  $A$  is Noetherian and  $C$  is finitely generated as an  $A$ -algebra and that  $C$  is either*

- (1) *finitely generated as a  $B$ -module or*
- (2) *integral over  $B$*

*then  $B$  is finitely generated as an  $A$ -algebra.*

*Proof.* Since (1) and (2) are equivalent, we assume (1). Let  $C = A[x_1, \dots, x_n]$  ( $x_1, \dots, x_n$  generates  $C$  as an  $A$ -algebra) and  $y_1, \dots, y_m$  generates  $C$  as a  $B$ -module. As  $x_i \in C, 1 \leq i \leq n$  we have

$$(*) \quad x_i = \sum_{j=1}^m b_{ij} y_j, b_{ij} \in B, 1 \leq i \leq n \text{ and } (**) \quad y_i y_j = \sum_{k=1}^m b_{ijk} y_k, 1 \leq i \leq m, 1 \leq j \leq m.$$

Let  $B_0$  be the algebra over  $A$  generated by  $b_{ij}$  and  $b_{ijk}$ . By Hilbert basis theorem,  $B_0$  is Noetherian (since  $A$  is Noetherian). Let  $f \in C = A[x_1, \dots, x_n]$ . Substituting  $(*)$  and  $(**)$  repeatedly we can write  $f = \sum_{i=1}^m h_i y_i, h_i \in B_0$ . Therefore  $C$  is finitely generated as  $B_0$ -module. Hence  $C$  is Noetherian  $B_0$ -module. As  $B$  is a submodule of  $C$ , so  $B$  is finitely generated  $B_0$ -module and  $B_0$  is finitely generated  $A$ -algebra. Hence  $B$  is finitely generated  $A$ -algebra.  $\square$

**Theorem 3.25.** *Let  $F|K$  be an algebraic extension and  $S = \{\alpha_1, \dots, \alpha_n\} \subseteq F$ , then  $K[\alpha_1, \dots, \alpha_n] = K(\alpha_1, \dots, \alpha_n)$ . Consider the map  $\theta : K[X_1, \dots, X_n] \rightarrow K(\alpha_1, \dots, \alpha_n)$  is defined by  $X_i \mapsto \alpha_i, 1 \leq i \leq n$ . Then  $\theta$  is a  $K$ -algebra homomorphism and  $\text{Ker } \theta = \langle f_1(X_1), f_2(X_1, X_2), \dots, f_n(X_1, \dots, X_n) \rangle$ .*

*Proof.* Since  $K(\alpha_1)|K$  is an algebraic extension, we consider the minimal polynomial  $f_1(X_1) \in K[X_1]$  of  $\alpha_1$  over  $K$ . Again  $\alpha_2$  is algebraic over  $K$  so over  $K(\alpha_1)$ . Let  $f_2(X_1, X_2) \in K[X_1, X_2]$  such that  $f_2(\alpha_1, X_2) \in K(\alpha_1)[X_2]$  is the minimal polynomial of  $\alpha_2$  over  $K(\alpha_1)$ . Here we note that  $K[\alpha_1] = K(\alpha_1)$ . Therefore we can consider the coefficient of the minimal polynomial of  $\alpha_2$  over  $K(\alpha_1)$  are in  $K[\alpha_1]$ . Therefore we have  $K[X_1]/f_1(X_1) \cong K(\alpha_1)$  and  $K(\alpha_1)[X_2]/f_2(\alpha_1, X_2) \cong K(\alpha_1, \alpha_2)$ . Inductively we can consider  $f_i(X_1, \dots, X_i)$  such that

$$K(\alpha_1, \dots, \alpha_{i-1})[X_i]/f_i(X_1, \dots, X_i) \cong K(\alpha_1, \dots, \alpha_i).$$

We observe that each  $f_i(X_1, \dots, X_i) \in K[X_1, \dots, X_i]$  is monic in  $X_i$ . We claim that  $\text{Ker } \theta = \langle f_1(X_1), \dots, f_n(X_1, \dots, X_n) \rangle$ . By construction of  $f_i(X_1, \dots, X_i)$ , we have  $f_i(X_1, \dots, X_i) \in \text{Ker } \theta$  for all  $1 \leq i \leq n$ . We assume that degree of  $X_i$  in  $f_i(X_1, \dots, X_i)$  is  $d_i, 1 \leq i \leq n$ . Now pick  $g(X_1, \dots, X_n) \in \text{Ker } \theta$ . By division algorithm

$$(14) \quad g(X_1, \dots, X_n) = q(X_1, \dots, X_n) f_n(X_1, \dots, X_n) + r_0^{(n)}(X_1, \dots, X_{n-1}) \\ + \dots + r_{d_n-1}^{(n)}(X_1, \dots, X_{n-1}) X_n^{d_n-1}.$$

Again dividing  $r_i^{(n)}(X_1, \dots, X_{i-1})$  by  $f_{n-1}(X_1, \dots, X_{n-1})$ ,  $1 \leq i \leq d_n - 1$  we get

$$\begin{aligned} r_i^{(n)}(X_1, \dots, X_{n-1}) &= q_i(x_1, \dots, X_{n-1})f_{n-1}(X_1, \dots, X_{n-1}) + r_0^{(n-1)}(X_1, \dots, X_{n-2}) \\ &\quad + \dots + r_{d_{n-1}-1}^{(n-1)}(X_1, \dots, X_{n-2})X_{n-1}^{d_{n-1}-1} \end{aligned}$$

for all  $1 \leq i \leq d_n - 1$ . Repeated application of division algorithm shows that

$$r_i^{(2)}(X_1) = q_i(X_1)f_1(X_1) + r_0^{(1)} + r_1^{(1)}X_1 + \dots + r_{d_1-1}^{(1)}X_1^{d_1-1}$$

for all  $1 \leq i \leq d_2 - 1$ . Putting all these together in (14) and applying  $\theta$  both sides, we get  $g(\alpha_1, \dots, \alpha_n) = 0$  that is  $g \in \langle f_1(X_1), \dots, f_n(X_1, \dots, X_n) \rangle$ . Therefore our claim is proved.  $\square$

## 4. PRIMARY DECOMPOSITION

**Definition 4.1.** (1) Let  $A$  be a ring and  $M$  be an  $A$ -module. A prime ideal  $p$  is called associated prime ideal of  $M$  if there exists  $x \in M$  such that  $p = \text{Ann}_A(x)$ . We define

$$\text{Ass}_A(M) = \{p \in \text{spec } A : p \text{ is an associated prime of } M\}.$$

(2) For an ideal  $I \subseteq A$ , the associated primes of the  $A$ -modules  $A/I$  are referred to as the prime divisors of  $I$ .

**Observation 4.2.** Let  $A$  be a Noetherian ring and  $M$  be a non zero  $A$ -module. We consider

$$\sum = \{\text{Ann}_A(x) : x \in M \setminus \{0\}\}.$$

Since  $A$  is Noetherian, every chain of ideals has an upper bound. By Zorn's lemma,  $\sum$  has a maximal element. We claim that maximal elements of  $\sum \subseteq \text{Ass}_A(M)$ . In particular  $\text{Ass}_A(M) \neq \emptyset$  if  $M \neq 0$ . Let  $\text{Ann}_A(y)$  is a maximal element of  $\sum$  for some  $y \in M \setminus \{0\}$ . Let  $ab \in \text{Ann}_A(y) \Rightarrow (ab)y = 0 \Rightarrow a(by) = 0$ . If  $by \neq 0$  then  $a \in \text{Ann}_A(by)$ . Since  $\text{Ann}_A(y) \subseteq \text{Ann}_A(by)$  and  $\text{Ann}_A(y)$  is a maximal element in  $\sum$ , we have  $\text{Ann}_A(y) = \text{Ann}_A(by) \Rightarrow a \in \text{Ann}_A(y)$  that is  $\text{Ann}_A(y) \in \text{Ass}_A(M)$ .

**Corollary 4.3.** The set of all zero divisors of  $M$ ,  $Z(M) = \bigcup_{p \in \text{Ass}_A(M)} p$ .

*Proof.* Let  $a \in Z(M)$  then there is  $x_0 \in M \setminus \{0\}$  such that  $ax_0 = 0 \Rightarrow a \in \text{Ann}_A(x_0)$ . Consider a maximal element of  $\sum$  containing  $\text{Ann}_A(x_0)$ . Since maximal elements of  $\sum$  are associated primes we have  $Z(M) \subseteq \bigcup_{p \in \text{Ass}_A(M)} p$ . Now pick  $b \in \bigcup_{p \in \text{Ass}_A(M)} p \Rightarrow b \in p$  for some  $p \in \text{Ass}_A(M)$  that is  $bx = 0$  for some non zero  $x \in M \Rightarrow b \in Z(M)$ . This completes the proof.  $\square$

**Observation 4.4.** Let  $A$  be a ring and  $M$  be an  $A$ -module,  $p \in \text{spec } A$ .  $p \in \text{Ass}_A(M)$  if and only if there is an exact sequence  $0 \rightarrow A/p \rightarrow M$ .

*Proof.* Let  $p \in \text{Ass}_A(M)$  then  $p$  is of the form  $\text{Ann}_A(x)$  for some  $x \in M$ . Define  $\theta_x : A \rightarrow M$  by  $\theta_x(a) = ax$ . Then  $\text{Ker } \theta_x = p$  and by first isomorphism theorem  $A/p \hookrightarrow M$ .

Conversely, there is an exact sequence  $0 \rightarrow A/p \xrightarrow{f} M$ . Pick  $a + p \in A/p$  such that  $a \notin p$  and consider the element  $f(a + p) = m$ . We shall show that  $\text{Ann}_A(m) = p$ . Let  $s \in \text{Ann}_A(m) \Rightarrow sm = 0 \Rightarrow sf(a + p) = 0 \Rightarrow f(sa + p) = 0 \Rightarrow sa \in p \Rightarrow s \in p$  (since  $a \notin p$ ). Similarly take  $b \in p$ . Now

$$sx = sf(a + p) = f(sa + p) = f(0 + p) = 0.$$

Therefore,  $s \in \text{Ann}_A(x)$ .  $\square$

**Observation 4.5.** Let  $A$  be a ring,  $M$  be an  $A$ -module and  $S$  be a multiplicative set in  $A$ . Then

$$\text{Ass}_{S^{-1}A}(S^{-1}M) \supseteq \{S^{-1}p : p \in \text{Ass}_A(M) \text{ and } p \cap S = \emptyset\}.$$

Equality occurs if  $A$  is Noetherian.

*Proof.* Let  $p \in \text{Ass}_A(M)$  and  $p \cap S = \emptyset$ . We have an exact sequence  $0 \rightarrow A/p \rightarrow M$  of  $A$ -module. Since  $S^{-1}A$  is flat,

$$\begin{array}{ccc}
0 \longrightarrow A/p \otimes_A S^{-1}A & \longrightarrow & M \otimes_A S^{-1}A & \text{is exact} \\
& \parallel & \parallel & \\
0 \longrightarrow \frac{S^{-1}A}{S^{-1}p} & \longrightarrow & S^{-1}M & \text{is exact.}
\end{array}$$

Therefore by previous observation  $S^{-1}p \in \text{Ass}_{S^{-1}A}(S^{-1}M)$ .

Suppose  $A$  is Noetherian. Let  $S^{-1}p \in \text{Ass}_{S^{-1}A}(S^{-1}M)$ . Then  $S^{-1}p$  is of the form  $\text{Ann}_{S^{-1}A}(x/s)$  and  $p \cap S = \emptyset$ . We observe that  $\text{Ann}_{S^{-1}A}(x/s) = \text{Ann}_{S^{-1}A} = (x/1)$  as  $\frac{x}{s} = \frac{1}{s} \cdot \frac{x}{1}$  and  $\frac{1}{s}$  is unit in  $S^{-1}A$ . Consider the set

$$G = \{\text{Ann}_A(ux) : u \in S \text{ and } ux \neq 0\}.$$

**Corollary 4.6.** *Let  $A$  be a Noetherian ring and  $M$  be an  $A$ -module. Then  $p \in \text{Ass}_A(M)$  if and only if  $pA_p \in \text{Ass}_{A_p}(M_p)$ .*

**Theorem 4.7.** *Let  $A$  be a ring and*

$$0 \rightarrow M' \xrightarrow{f} M \xrightarrow{g} M'' \rightarrow 0$$

*be an exact sequence of  $A$ -module. Then  $\text{Ass}_A(M) \subseteq \text{Ass}_A(M') \cup \text{Ass}_A(M'')$ .*

*Proof.* Let  $p \in \text{Ass}_A(M)$ . Then there is an exact sequence  $0 \rightarrow A/p \rightarrow M$ . Let  $\theta(A/p) = N$  be a submodule of  $M$ .

**Case 1.** If  $N \cap f(M') \neq \{0\}$ . Let  $\theta(a+p) \in N \cap f(M')$ . We know that  $\text{Ann}_A(\theta(a+p)) = p$ . Let  $\theta(a+p) = f(x')$  for some  $x' \in M'$ . Since  $f$  is injective,  $\text{Ann}_A(f(x')) = \text{Ann}_A(x') \Rightarrow p \in \text{Ass}_A(M')$ .

**Case 2.** If  $N \cap f(M') = \{0\} \Rightarrow N \cap \text{Ker } g = \{0\}$ . Let  $\theta(a+p) \in N$  where  $a \notin p$ . We claim that  $\text{Ann}_A(g \circ \theta(a+p)) = \text{Ann}_A(\theta(a+p))$ . It is quite obvious that  $\text{Ann}_A(\theta(a+p)) \subseteq \text{Ann}_A(g \circ \theta(a+p))$ . For the reverse inclusion, Let  $\alpha \in \text{Ann}_A(g \circ \theta(a+p)) \Rightarrow \alpha g(\theta(a+p)) = 0 \Rightarrow g(\alpha \theta(a+p)) = 0 \Rightarrow \alpha \theta(a+p) \in \text{Ker } g \cap N = \{0\} \Rightarrow \text{Ann}_A(\theta(a+p))$ .  $\square$

**Corollary 4.8.** *Let  $A$  be a ring and  $M$  be an  $A$ -module,  $N$  a submodule of  $M$ . Then,*

- (1)  $\text{Ass}_A(M) \subseteq \text{Ass}_A(N) \cup \text{Ass}_A(M/N)$ .
- (2)  $\text{Ass}_A(N) \subseteq \text{Ass}_A(M)$ .

*When does the equality holds in (1)?*

Let  $A$  be a Noetherian ring,  $M$  a finitely generated  $A$ -module. Then we know that  $\text{Ass}_A(M) \neq \emptyset$ . Consider  $p_1 \in \text{Ass}_A(M)$ , then there exists an exact sequence  $0 \rightarrow A/p_1 \xrightarrow{\theta_1} M$ . Let  $\theta_1(A/p_1) = M_1 \subseteq M$ . If  $M/M_1 = 0$  then we stop. If not, then pick  $p_2 \in \text{Ass}_A(M/M_1)$  and we have an exact sequence  $0 \rightarrow A/p_2 \xrightarrow{\theta_2} M/M_1$ . Let  $\theta_2(A/p_2) = M_2/M_1$  where  $M_1 \subseteq M_2 \subseteq M$ . If  $\frac{M/M_1}{M_2/M_1} \cong M/M_2 = 0$  then we stop, otherwise pick  $p_3 \in \text{Ass}_A(M/M_2)$  and continue this process. Then we get a chain of submodules

$$(*) \quad 0 = M_0 \subseteq M_1 \subseteq M_2 \subseteq \dots$$

of  $M$  where  $M_i/M_{i-1} \cong A/p_i$  and  $p_i \in \text{Ass}_A(M/M_{i-1})$ . Since  $M$  is Noetherian, the chain  $(*)$  becomes stationary after some finite steps. So there exists  $k \in \mathbb{N}$  such that  $M_k = M$ . For each

$1 \leq i \leq k$  we have an exact sequence

$$0 \rightarrow M_{i-1} \rightarrow M_i \rightarrow M_i/M_{i-1} \rightarrow 0$$

and by the previous corollary we have  $\text{Ass}_A(M_i) \subseteq \text{Ass}_A(M_{i-1}) \cup \text{Ass}_A(M_i/M_{i-1})$ . If we put  $i = k$  then

$$\begin{aligned} \text{Ass}_A(M) &\subseteq \text{Ass}_A(M_{k-1}) \cup \text{Ass}_A(M/M_{k-1}) \\ &\subseteq \text{Ass}_A(M_{k-2}) \cup \text{Ass}_A(M_{k-1}/M_{k-2}) \cup \text{Ass}_A(M/M_{k-1}) \\ &\vdots \\ &\subseteq \text{Ass}_A(M_0) \cup \left( \bigcup_{i=1}^k \text{Ass}_A(M_i/M_{i-1}) \right). \end{aligned}$$

Note that  $\text{Ass}_A(M_0) = \emptyset$  and since  $M_i/M_{i-1} \cong A/p_i$ , so  $\text{Ass}_A(M_i/M_{i-1}) = \{p_i\}$ . Therefore  $\text{Ass}_A(M) \subseteq \{p_1, \dots, p_n\}$ .

**Corollary 4.9.** *If  $A$  is Noetherian and  $M$  is a finitely generated  $A$ -module then  $\text{Ass}_A(M)$  is finite.*

**Theorem 4.10.** *Let  $A$  be a Noetherian ring and  $M$  be an  $A$ -module. Then  $\text{Ass}_A(M) \subseteq \text{Supp}(M)$ .*

*Proof.* Let  $p \in \text{Ass}_A(M)$  then there is an exact sequence  $0 \rightarrow A/p \rightarrow M$ . Since  $A_p$  is flat,

$$\begin{array}{ccccc} 0 & \longrightarrow & A/p \otimes_A A_p & \longrightarrow & M \otimes_A A_p & \text{is exact} \\ & & \parallel & & \parallel & \\ 0 & \longrightarrow & \frac{A_p}{pA_p} & \longrightarrow & M_p & \text{is exact.} \end{array}$$

Since  $pA_p$  is maximal in  $A_p$ ,  $A_p/pA_p \neq 0$  and therefore  $M_p \neq 0 \Rightarrow p \in \text{Supp}(M)$ .  $\square$

**Theorem 4.11.** *Let  $A$  be a Noetherian ring and  $M$  be an  $A$ -module. Then  $\min \text{Ass}_A(M) = \min \text{Supp}(M)$  where  $\min \text{Ass}_A(M)$  and  $\min \text{Supp}(M)$  are the collection of minimal primes of  $\text{Ass}_A(M)$  and  $\text{Supp}(M)$  respectively.*

*Proof.* Let  $p \in \min \text{Ass}_A(M) \Rightarrow p \in \text{Supp}(M)$ . Suppose  $p \notin \min \text{Supp}(M)$  then there is a  $q \in \text{Supp}(M)$  such that  $q \subsetneq p$ . Since  $q \in \text{Supp}(M) \Rightarrow M_q \neq 0$  so there exists  $p_1 \in \text{spec } A$  such that  $p_1 A_q \in \text{Ass}_{A_q}(M_q) \Rightarrow p_1 \in \text{Ass}_A(M)$  but  $p_1 \subseteq q \subsetneq p$  which is a contradiction as  $p$  is a minimal prime in  $\text{Ass}_A(M)$ . Therefore  $\min \text{Ass}_A(M) \subseteq \min \text{Supp}(M)$ .

Conversely, let  $p \in \min \text{Supp}(M) \Rightarrow M_p \neq 0 \Rightarrow \text{Ass}_{A_p}(M_p) \neq \emptyset$ .

**Claim.**  $\text{Ass}_{A_p}(M_p) = \{pA_p\}$ . If  $qA_p \in \text{spec } A_p$  with  $q \subsetneq p$  such that  $qA_p \in \text{Ass}_{A_p}(M_p)$  then  $q \in \text{Ass}_A(M)$  so there exists an exact sequence  $0 \rightarrow A/q \rightarrow M$ . Flatness of  $A_q$  gives

$$\begin{array}{ccccc} 0 & \longrightarrow & A/q \otimes_A A_q & \longrightarrow & M \otimes_A A_q & \text{is exact} \\ & & \parallel & & \parallel & \\ 0 & \longrightarrow & \frac{A_q}{qA_q} & \longrightarrow & M_q & \text{is exact.} \end{array}$$

but  $M_q = 0$  gives us a contradiction as  $q \subsetneq p$  and  $p \in \min \text{Supp} (M)$ . Hence  $\text{Ass}_{A_p}(M_p) = \{pA_p\} \Rightarrow p \in \text{Ass}_A(M)$ . Since  $p \in \min \text{Supp} (M)$  and  $\text{Ass}_A(M) \subsetneq \text{Supp} (M) \Rightarrow p \in \min \text{Ass}_A(M)$ .  $\square$

**Observation 4.12.** *Let  $A$  be a Noetherian ring and  $M$  a finitely generated  $A$ -module. Let  $p \in \text{Supp} (M)$  and  $p \subseteq q$  then we observe that  $q \in \text{Supp} (M)$ . If not then  $M_q = 0$  so there exists  $u \in A \setminus q$  such that  $uM = \{0\}$  but  $A \setminus q \subseteq A \setminus p \Rightarrow M_p = 0$  which is a contradiction. Suppose  $\min \text{Supp} (M) = \{p_1, \dots, p_r\}$  then  $\text{Supp} (M) = \bigcup_{i=1}^r V(p_i)$  and  $V(p_i)$ 's are the irreducible component of the closed set  $\text{Supp} (M)$  in  $\text{spec} A$ .*

**Definition 4.13.** *The prime ideals  $\{p_1, \dots, p_r\} = \min \text{Supp} (M) = \min \text{Ass}_A(M)$  are called isolated primes of  $M$  and the remaining associated primes are called embedded primes.*

**Definition 4.14.** *Let  $A$  be a ring and  $M$  be an  $A$ -module. A submodule  $N$  of  $M$  is said to be primary submodule of  $M$  if the following condition holds for all  $a \in A$  and  $m \in M, m \notin N$  and  $am \in N \Rightarrow a^k M \subseteq N$  for some  $k > 0$ . Equivalently, if  $a$  is a zero divisor for  $M/N$  then  $a \in \sqrt{\text{Ann}_A(M/N)}$ .*

If we take  $M = A$  and  $N = I$  and ideal of  $A$  then  $I$  is said to be primary ideal if  $ab \in I$  with  $b \notin I \Rightarrow a \in \sqrt{I}$  for all  $a, b \in A$ .

**Example 4.15.** *Let  $A$  be a ring and  $m \in \max \text{spec} A$ . Then  $m^k$  is a primary ideal. Let  $ab \in m^k$  with  $b \notin m^k$ . We need to show that  $a \in \sqrt{m^k} = m$ . As  $ab \in m^k \subseteq m$ , Since  $b + m^k \neq 0 + m^k, b + m^k \in m/m^k$  (notice that it is not a unit in  $A/m^k$ ). Then there is an element  $\alpha + m^k \in m/m^k$  such that*