

# IMPLEMENTASI FILTER IIR

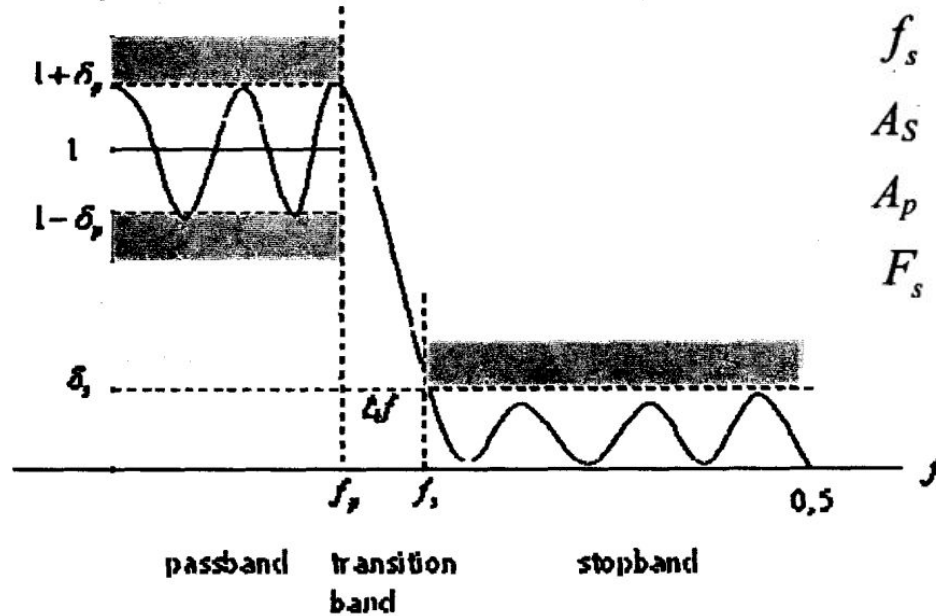
**Pengolahan Sinyal**

# COURSE OUTLINE

1. Filter Analog.
2. Desain filter IIR dari filter analog.
3. Implementasi Filter IIR.
4. Implementasi Filter FIR

# FILTER NON-IDEAL

$|H(e^{j2\pi f})|$



$\delta_p$  = deviasi *passband*

$\delta_s$  = deviasi *stopband*

$f_p$  = frekuensi batas *passband*

$f_s$  = frekuensi batas *stopband*

$A_s$  = atenuasi *stopband* =  $-20 \log_{10} \delta_s$

$A_p$  = *ripple passband* =  $20 \log_{10} (1 + \delta_p)$  (dB)

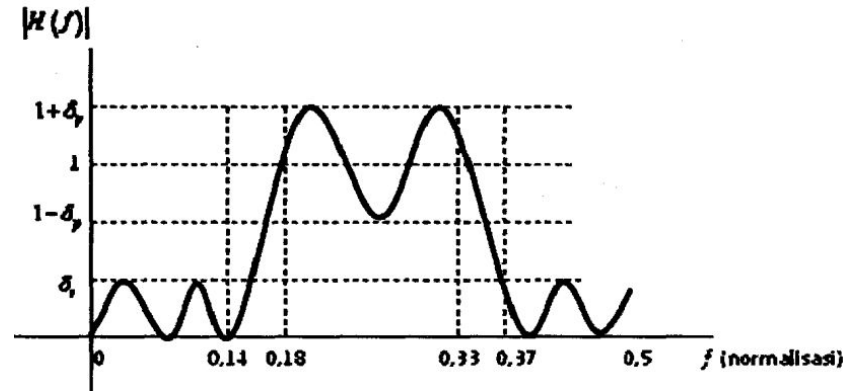
$F_s$  = frekuensi sampling

Seperti yang telah disebutkan sebelumnya, dalam merancang filter selalu digunakan frekuensi normalisasi, yaitu  $f/F_s$ .

# CONTOH MERANCANG SPESIFIKASI SUATU FILTER

Suatu filter FIR mempunyai spesifikasi sebagai berikut:

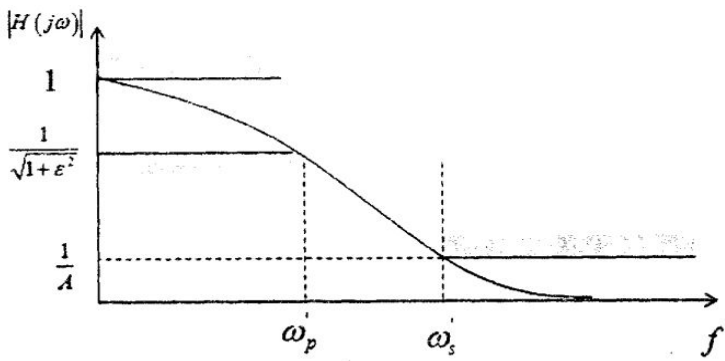
<i>Passband</i>	0,18 – 0,33 (normalisasi)
<i>Lebar transisi</i>	0,04 (normalisasi)
<i>Deviasi stopband</i>	0,001
<i>Deviasi passband</i>	0,05



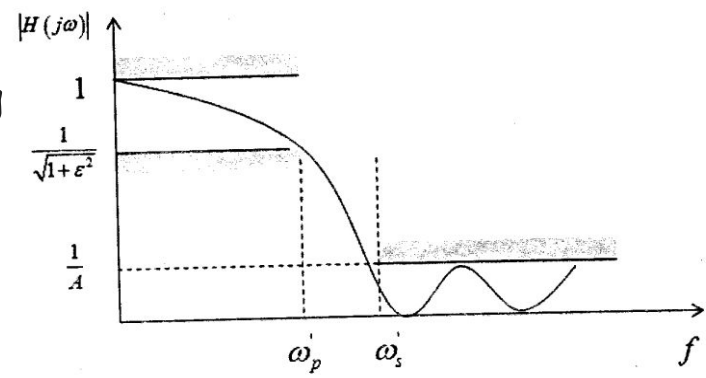
Sketsa filter dengan karakteristik tersebut tampak pada Gambar 6.5. Jika frekuensi sampling diberikan adalah 10 kHz maka frekuensi sebenarnya dapat dihitung dengan mengalikan frekuensi normalisasi dengan frekuensi sampling, sebagai berikut:

<i>Passband</i>	1,8 – 3,3 kHz
<i>Stopband</i>	0 – 1,4 kHz dan 3,7 – 5 kHz
<i>Atenuasi stopband</i>	$-20\log_{10}(0,001) = 60 \text{ dB}$
<i>Ripple passband</i>	$20\log_{10}(1+0,05) = 0,42 \text{ dB}$

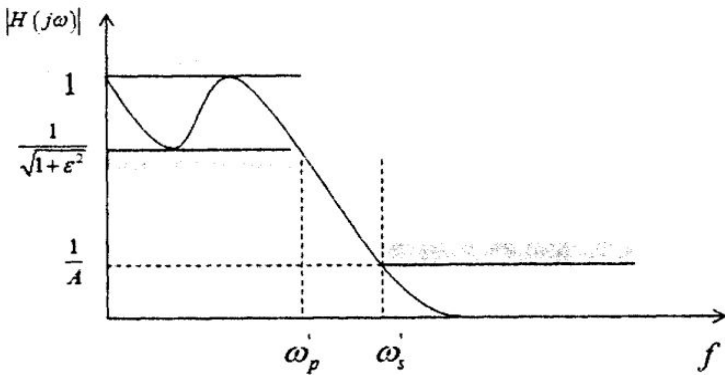
# FILTER ANALOG



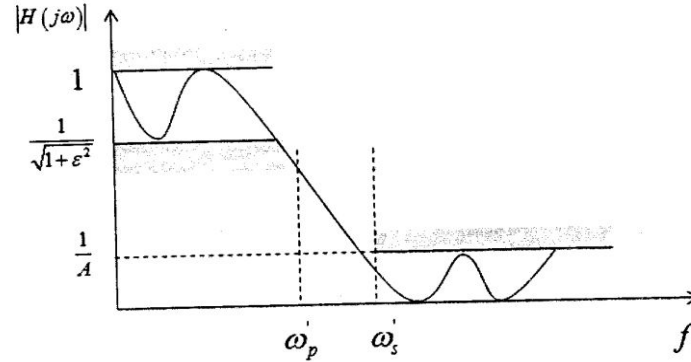
(a)



(c)



(b)



(d)

**Gambar 7.8** Respons frekuensi filter analog (a) Butterworth, (b) Chebyshev tipe I, (c) Chebyshev tipe II, (d) Elliptic

Ada dua parameter tambahan dalam filter analog, yaitu yang pertama adalah rasio transisi atau parameter selektivitas yang didefinisikan sebagai rasio dari frekuensi batas *passband* dan frekuensi batas *stopband*, atau

$$k = \frac{\omega_p}{\omega_s} \quad (7.40)$$

Parameter tersebut bernilai  $k < 1$  untuk filter *lowpass*. Parameter yang ke dua adalah parameter diskriminasi, yaitu

$$k_1 = \frac{\varepsilon}{\sqrt{A^2 - 1}} \quad (7.41)$$

yang biasanya bernilai  $k_1 \ll 1$ .

## Filter Butterworth

Respons frekuensi filter Butterworth *lowpass* diberikan sebagai

$$|H(\omega')|^2 = \frac{1}{1 + \left(\frac{\omega'}{\omega_p^p}\right)^{2N}} \quad (7.42)$$

dengan  $N$  adalah orde dari filter tersebut dan  $\omega_p^p$  is frekuensi batas 3 dB (*cutoff*) lowpass. Untuk frekuensi normalisasi maka  $\omega_p^p = 1$ . Orde filter dapat ditentukan dengan

$$N \geq \frac{\log\left(\frac{A^2 - 1}{\epsilon^2}\right)}{2 \log\left(\frac{\omega_s^p}{\omega_p^p}\right)} = \frac{\log\left(\frac{1}{k_1}\right)}{\log\left(\frac{1}{k}\right)} \quad (7.43)$$

dengan  $\omega_s^p$  adalah frekuensi batas *stopband* (lihat Gambar (7.8) di atas). Fungsi alih analog filter Butterworth,  $H(s)$  mempunyai *zero* di tak terhingga dan  $N$  *pole* pada posisi

$$p_l = e^{j\pi(2l+N-1)/2N} = \cos\left[\frac{(2l+N-1)\pi}{2N}\right] + j \sin\left[\frac{(2l+N-1)\pi}{2N}\right] \quad (7.44)$$

dengan  $l = 1, 2, \dots, N$ .

Tabel 7.1 menunjukkan penyebut untuk filter analog prototipe Butterworth *lowpass* normalisasi. Bagian pembilangnya adalah selalu 1. Sebagai contoh filter Butterworth orde 2 mempunyai respons frekuensi  $H(s) = \frac{1}{s^2 + \sqrt{2}s + 1}$ .

**Tabel 7.1** Penyebut untuk filter analog Butterworth normalisasi

Orde, $N$	Penyebut
1	$s + 1$
2	$s^2 + \sqrt{2}s + 1$
3	$(s^2 + s + 1)(s + 1)$
4	$(s^2 + 0.76536s + 1)(s^2 + 1.84776s + 1)$
5	$(s + 1)(s^2 + 0.6180s + 1)(s^2 + 1.6180s + 1)$
6	$(s^2 + 0.5176s + 1)(s^2 + \sqrt{2}s + 1)(s^2 + 1.9318s + 1)$
7	$(s + 1)(s^2 + 0.4450s + 1)(s^2 + 1.2456s + 1)(s^2 + 1.8022s + 1)$
8	$(s^2 + 0.3986s + 1)(s^2 + 1.1110s + 1)(s^2 + 1.6630s + 1)(s^2 + 1.9622s + 1)$



# Filter Chebyshev

Respons frekuensi filter Chebyshev tipe 1 dapat ditulis sebagai

$$|H(\omega')|^2 = \frac{1}{1 + \varepsilon^2 T_N^2(\omega'/\omega'_p)}$$

$$T_r(\omega') = 2\omega' T_{r-1}(\omega') - T_{r-2}(\omega'), \quad r \geq 2$$

dengan  $T_0(\omega') = 1$  dan  $T_1(\omega') = \omega'$ . Tabel 7.2 menunjukkan beberapa polinomial Chebyshev.

$$T_N(\omega') = \cos(N \cos^{-1} \omega'), \quad |\omega'| \leq 1$$
$$= \cosh(N \cosh^{-1} \omega'), \quad |\omega'| > 1$$

$$N \geq \frac{\cosh^{-1}(\sqrt{A^2 - 1}/\varepsilon)}{\cosh^{-1}(\omega'_s/\omega'_p)} = \frac{\cosh^{-1}(1/k_1)}{\cosh^{-1}(1/k)}$$

**Tabel 7.2** Polinomial Chebyshev  $C_N(x)$

$N$	$C_N(x)$
0	1
1	$x$
2	$2x^2 - 1$
3	$4x^3 - 3x$
4	$8x^4 - 8x^2 + 1$
5	$16x^5 - 20x^3 + 5x$

Respons magnitude filter Chebyshev tipe 2 disebut juga respons invers Chebyshev, diberikan oleh

$$|H(\omega')|^2 = \frac{1}{1 + \varepsilon^2 \left[ \frac{T_N(\omega'_s/\omega'_p)}{T_N(\omega'_s/\omega')} \right]^2}$$

**Table 8.4 Chebyshev Lowpass Prototype Transfer Functions with 0.5 dB Ripple ( $\epsilon = 0.3493$ )**

$n$	$H_P(s)$
1	$\frac{2.8628}{s + 2.8628}$
2	$\frac{1.4314}{s^2 + 1.4256s + 1.5162}$
3	$\frac{0.7157}{s^3 + 1.2529s^2 + 1.5349s + 0.7157}$
4	$\frac{0.3579}{s^4 + 1.1974s^3 + 1.7169s^2 + 1.0255s + 0.3791}$
5	$\frac{0.1789}{s^5 + 1.1725s^4 + 1.9374s^3 + 1.3096s^2 + 0.7525s + 0.1789}$
6	$\frac{0.0895}{s^6 + 1.1592s^5 + 2.1718s^4 + 1.5898s^3 + 1.1719s^2 + 0.4324s + 0.0948}$

**Table 8.5 Chebyshev Lowpass Prototype Transfer Functions with 1 dB Ripple ( $\epsilon = 0.5088$ )**

$n$	$H_P(s)$
1	$\frac{1.9652}{s + 1.9652}$
2	$\frac{0.9826}{s^2 + 1.0977s + 1.1025}$
3	$\frac{0.4913}{s^3 + 0.9883s^2 + 1.2384s + 0.4913}$
4	$\frac{0.2456}{s^4 + 0.9528s^3 + 1.4539s^2 + 0.7426s + 0.2756}$
5	$\frac{0.1228}{s^5 + 0.9368s^4 + 1.6888s^3 + 0.9744s^2 + 0.5805s + 0.1228}$
6	$\frac{0.0614}{s^6 + 0.9283s^5 + 1.9308s^4 + 1.20121s^3 + 0.9393s^2 + 0.3071s + 0.0689}$

## Filter Elliptic

Filter Elliptic disebut juga filter Cauer, mempunyai respons magnitudo

$$|H(\omega')|^2 = \frac{1}{1 + \varepsilon^2 R_N^2(\omega'/\omega'_p)} \quad (7.62)$$

dengan  $R_N(\omega')$  adalah fungsi rasional orde  $N$  yang memenuhi  $R_N(1/\omega') = 1/R_N(\omega')$ . Orde filter dapat dicari menggunakan

$$N \geq \frac{2 \log(4/k_1)}{\log(1/\rho)} \quad (7.63)$$

### Contoh 7.7

Dengan pendekatan Butterworth, kita akan menentukan orde filter dengan fungsi alih filter mempunyai karakteristik *lowpass* dengan frekuensi cut-off 1-dB sebesar 1 kHz dan atenuasi minimum 40 dB pada 5 kHz.

Pertama kita akan menentukan nilai  $\varepsilon$  dan  $A$  sebagai berikut. Berdasarkan persamaan (7.42) maka didapatkan

$$\left| H(\omega_p') \right|^2 = \frac{1}{1 + (\omega_p' / \omega_p^p)^{2N}} = \frac{1}{1 + \varepsilon^2}$$

$$\left| H(\omega_s') \right|^2 = \frac{1}{1 + (\omega_s' / \omega_p^p)^{2N}} = \frac{1}{A^2}$$

Frekuensi *cut-off* pada 1 dB berarti 1 dB di bawah respons magnitudo maksimum, yaitu 1, atau 0 dB. Dengan kata lain, pada frekuensi *cut-off*, respons magnitudonya adalah -1 dB.

$$10 \log \left( \frac{1}{1 + \varepsilon^2} \right) = -1$$

sehingga didapatkan  $\varepsilon^2 = 0,25895$

Ingat bahwa atenuasi minimum adalah  $-20 \log(1/A)$

$$10 \log \left( \frac{1}{A^2} \right) = -40$$

sehingga didapatkan  $A = 10000$ .

Nilai  $k$  diperoleh dengan persamaan (7.40), yaitu

$$k = \frac{\omega_p'}{\omega_s'} = \frac{1000}{5000} = \frac{1}{5}$$

Nilai  $k_1$  diperoleh dengan persamaan (7.41), yaitu

$$k_1 = \frac{\varepsilon}{\sqrt{A^2 - 1}} = \frac{\sqrt{0,25895}}{\sqrt{10000 - 1}} = 0.0051$$

sehingga orde filter

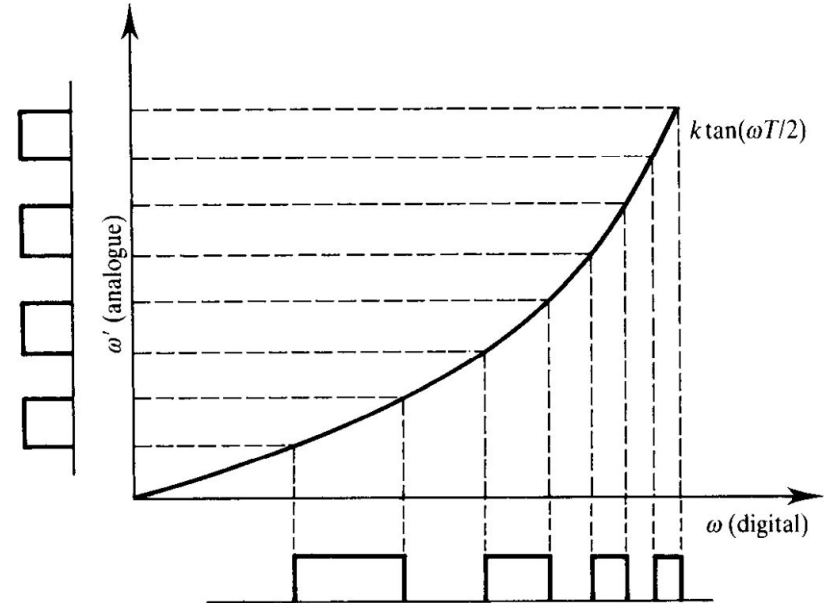
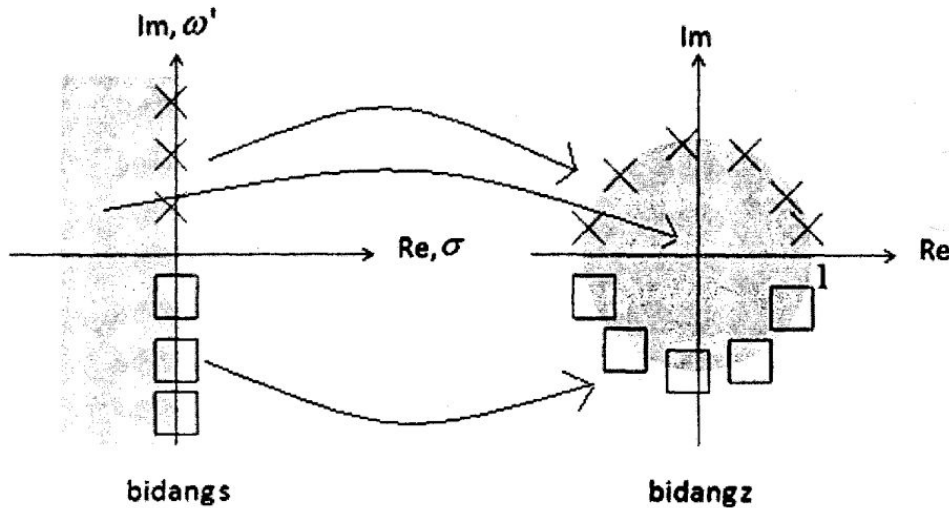
$$N \geq \frac{\log(1/k_1)}{\log(1/k)} = \frac{\log(196,0784)}{\log(5)} = 3,2797$$

Karena  $N$  harus bilangan bulat dipilih  $N = 4$

# BILINEAR Z-TRANSFORM

$$s \rightarrow \frac{2}{T} \left( \frac{1 - z^{-1}}{1 + z^{-1}} \right) = \frac{2}{T} \left( \frac{z - 1}{z + 1} \right)$$

$$\omega' = k \tan \left( \frac{\omega T}{2} \right), \quad k = 1 \text{ or } \frac{2}{T}$$



Gambar 7.6 Pemetaan bidang s ke bidang z

Secara umum langkah-langkah metode BZT adalah:

1. Gunakan spesifikasi filter digital untuk menentukan filter prototipe analog *lowpass* yang dinormalisasi. Filter yang dijadikan referensi selalu filter *lowpass*. Jenis-jenis filter lainnya dapat dicari dengan menggunakan transformasi pada filter *lowpass*.
2. Tentukan frekuensi *prewarp* dari frekuensi-frekuensi batas. Untuk filter *bandpass* dan *bandstop* terdapat dua frekuensi batas, yaitu  $\omega_{p1}$  dan  $\omega_{p2}$ .
3. Denormalisasi filter prototipe analog dengan menggunakan transformasi

$$s = \frac{s}{\omega_p} \quad \text{lowpass ke lowpass} \quad (7.35)$$

$$s = \frac{\omega_p}{s} \quad \text{lowpass ke highpass} \quad (7.36)$$

$$s = \frac{s^2 + \omega_0^2}{Ws} \quad \text{lowpass ke bandpass} \quad (7.37)$$

$$s = \frac{Ws}{s^2 + \omega_0^2} \quad \text{lowpass ke bandstop} \quad (7.38)$$

dengan  $\omega_0^2 = \omega_{p1}' \omega_{p2}'$  dan  $W = \omega_{p2}' - \omega_{p1}'$

4. Gunakan transformasi  $s = k \left( \frac{z-1}{z+1} \right)$  untuk mendapatkan fungsi alih filter digital.



5. Nilai  $k$  sebenarnya tidak berpengaruh, karena pada dasarnya akan habis akibat pembagian.

Sebagai contoh jika diberikan  $H(s) = \frac{1}{s+1}$  dengan frekuensi *cutoff*  $\omega_p$  maka frekuensi *prewarp*-nya adalah  $\omega_p' = k \tan\left(\frac{\omega_p}{2}\right)$  sehingga fungsi alihnya berubah menjadi

$H'(s) = H(s) \Big|_{s=s/\omega_p'} = \frac{1}{s/k \tan(\omega_p/2) + 1}$ . Langkah selanjutnya mengubah  $s$  menjadi

$s = k \left( \frac{z-1}{z+1} \right)$  sehingga menghasilkan  $H(z) = \frac{1}{\left[ K(z-1)/(z+1) \right] / K \tan(\omega_p/2) + 1}$ .

Dengan demikian nilai  $k$  tidak berpengaruh dan untuk menyederhanakan kita ambil  $k = 1$ .

6. Dilihat dari langkah-langkah sebelumnya maka kita harus melakukan dua kali substitusi, untuk menyederhanakan dapat dilakukan satu kali substitusi yaitu dengan  $s = \cot\left(\frac{\omega_p}{2}\right) \frac{z-1}{z+1}$ . Perlu diperhatikan bahwa substitusi ini berlaku hanya untuk *lowpass* ke *lowpass*.

7. Untuk filter *lowpass* dan *highpass*, orde  $H(z)$  akan sama dengan orde  $H(s)$ , namun untuk filter *bandpass* dan *bandstop*, orde  $H(z)$  akan dua kali orde  $H(s)$ .

Alternatives to the BZT for the bandpass and bandstop filters are the following biquadratic transformations (Gold and Rader, 1969, Gray and Markel, 1976):

$$s = \cot \left[ \frac{(\omega_2 - \omega_1)T}{2} \right] \left[ \frac{z^2 - 2z \cos \gamma + 1}{z^2 - 1} \right] \quad \text{lowpass to bandpass} \quad (7.23a)$$

$$s = \tan \left[ \frac{(\omega_2 - \omega_1)T}{2} \right] \left[ \frac{z^2 - 1}{z^2 - 2z \cos \gamma + 1} \right] \quad \text{lowpass to bandstop} \quad (7.23b)$$

$$\cos \gamma = \cos \left[ \frac{(\omega_2 + \omega_1)T}{2} \right] / \cos \left[ \frac{\omega_2 - \omega_1)T}{2} \right]$$

**An illustration of the BZT method** Determine, using the BZT method, the transfer function and difference equation for the digital equivalent of the resistance–capacitance (RC) filter. Assume a sampling frequency of 150 Hz and a cutoff frequency of 30 Hz.

## Solution

The normalized transfer function for the RC filter is

$$H(s) = \frac{1}{s + 1}$$

The critical frequency for the digital filter is  $\omega_p = 2\pi \times 30$  rad. The analogue frequency, after prewarping, is  $\omega'_p = \tan(\omega_p T/2)$ . With  $T = 1/150$  Hz,  $\omega'_p = \tan(\pi/5) = 0.7265$ . The denormalized analogue filter transfer function is obtained from  $H(s)$  as

$$H'(s) = H(s)|_{s=0.7265} = \frac{1}{s/0.7265 + 1} = \frac{0.7265}{s + 0.7265}$$

$$\begin{aligned} H(z) &= H'(s)|_{s=(z-1)/(z+1)} = \frac{0.7265(1+z)}{(1+0.7265)z + 0.7265 - 1} \\ &= \frac{0.4208(1+z^{-1})}{1 - 0.1584z^{-1}} \end{aligned}$$

The difference equation is

$$y(n) = 0.1584y(n-1) + 0.4208[x(n) + x(n-1)]$$

**Further illustration of the BZT method** It is required to design a digital filter to approximate the following analogue transfer function:

$$H(s) = \frac{1}{s^2 + \sqrt{2}s + 1}$$

Using the BZT method obtain the transfer function,  $H(z)$ , of the digital filter, assuming a 3 dB cutoff frequency of 150 Hz and a sampling frequency of 1.28 kHz.

### Solution

The critical frequency is  $\omega_p = 2\pi \times 150$ , giving the prewarped analogue frequency of

$$\omega'_p = \tan(\omega_p T/2) = 0.3857$$

The prewarped analogue filter is given by:

$$\begin{aligned} H'(s) &= H(s)|_{s=s/\omega'_p} = \frac{1}{(s/\omega'_p)^2 + \sqrt{2}s/\omega'_p + 1} \\ &= \frac{\omega'^2_p}{s^2 + \sqrt{2}\omega'_p s + \omega'^2_p} = \frac{0.1488}{s^2 + 0.5455s + 0.1488} \end{aligned}$$

Applying the BZT gives

$$\begin{aligned} H(z) &= \frac{0.0878z^2 + 0.1756z + 0.0878}{z^2 - 1.0048z + 0.3561} \\ &= \frac{0.0878(1 - 2z^{-1} + z^{-2})}{1 - 1.0048z^{-1} + 0.3561z^{-2}} \end{aligned}$$

Obtain the transfer function of a lowpass digital filter meeting the following specifications:

passband	0–60 Hz
stopband	> 85 Hz
stopband attenuation	> 15 dB

Assume a sampling frequency of 256 Hz and a Butterworth characteristic.

- (1) The critical frequencies for the digital filter are

$$\omega_1 T = \frac{2\pi f_1}{F_s} = \frac{2\pi 60}{256} = 2\pi \times 0.2344$$

$$\omega_2 T = \frac{2\pi f_2}{F_s} = \frac{2\pi 85}{256} = 2\pi \times 0.3320$$

(2) The prewarped equivalent analogue frequencies are:

$$\omega'_1 = \tan\left(\frac{\omega_1 T}{2}\right) = 0.906\,347; \omega'_2 = \tan\left(\frac{\omega_2 T}{2}\right) = 1.715\,80$$

(3) Next we need to obtain  $H(s)$  with Butterworth characteristics, a 3 dB cutoff frequency of 0.906 347, and a response at 85 Hz that is down by 15 dB. For an attenuation of 15 dB,  $\delta_s = 0.1778$  and so from Equation 7.16b  $N = 2.468$ . We use  $N = 3$ , since it must be an integer. A normalized third-order filter is given by

$$\begin{aligned} H(s) &= \frac{1}{(s + 1)(s^2 + s + 1)} = \frac{1}{s + 1} \frac{1}{s^2 + s + 1} \\ &= H_1(s) H_2(s) \end{aligned}$$

$$\cot\left(\frac{\omega_1 T}{2}\right) = \cot\left(\frac{2\pi \times 0.2344}{2}\right) = 1.103\,155$$

Performing the transform in two stages, one for each of the factors of  $H(s)$  above, we obtain

$$\begin{aligned} H_2(z) &= H_2(s)|_{s = \cot(\omega_1 T/2)[(z-1)/(z+1)]} \\ &= 0.3012 \frac{1 + 2z^{-1} + z^{-2}}{1 - 0.1307z^{-1} + 0.3355z^{-2}} \end{aligned}$$

which we have arrived at after considerable manipulation. Similarly, we obtain  $H_1(z)$  as

$$H_1(z) = 0.4754 \frac{1 + z^{-1}}{1 - 0.0490z^{-1}}$$

$H_1(z)$  and  $H_2(z)$  may then be combined to give the desired transfer function,  $H(z)$ :

$$H(z) = H_1(z)H_2(z) = 0.1432 \frac{1 + 3z^{-1} + 3z^{-2} + z^{-3}}{1 - 0.1801z^{-1} + 0.3419z^{-2} - 0.0165z^{-3}}$$

**Highpass filter design** Convert the simple lowpass filter in Example 7.5 into an equivalent highpass discrete filter. The  $s$ -plane transfer function is given by

$$H(s) = \frac{1}{s + 1}$$

## Solution

$$\omega'_p = \tan(\omega_p T/2) = 0.7265$$

Using the LPF-to-HPF transformation of Equation 7.22b, the denormalized analogue transfer function is obtained as

$$H'(s) = H(s)|_{s = \omega'_p/s} = \frac{1}{\omega'_p/s + 1} = \frac{s}{s + 0.7265}$$

The  $z$ -plane transfer function is obtained by applying the BZT:

$$H(z) = H'(s)|_{s = (z-1)/(z+1)} = \frac{(z-1)/(z+1)}{(z-1)/(z+1) + 0.7265}$$



Simplifying, we have

$$H(z) = 0.5792 \frac{1 - z^{-1}}{1 + 0.1584z^{-1}}$$

The coefficients of the digital filter are

$$a_0 = 0.5792 \qquad b_1 = 0.1584$$

$$a_1 = -0.5792$$

**Bandpass filter design** A discrete bandpass filter with Butterworth characteristics meeting the following specifications is required. Obtain the coefficients of its transfer function,  $H(z)$ .

passband	200–300 Hz
sampling frequency	2000 Hz
filter order	2

## Solution

The prewarped passband edge frequencies are given by

$$\omega'_1 = \tan\left(\frac{\omega_1 T}{2}\right) = \tan(200\pi/2000) = 0.3249$$

$$\omega'_2 = \tan\left(\frac{\omega_2 T}{2}\right) = \tan(300\pi/2000) = 0.5095$$

Thus  $\omega_0^2 = 0.1655$  and  $W = \omega_2' - \omega_1' = 0.1846$ . A first-order normalized analogue lowpass filter is required (half the order of the bandpass filter). Thus we have

$$H(s) = \frac{1}{s + 1}$$

Using the lowpass-to-bandpass transformation (Equation 7.22c) we have

$$\begin{aligned} H'(s) &= H(s)|_{s=(s^2 + \omega_0^2)/Ws} = \frac{1}{(s^2 + \omega_0^2)/Ws + 1} \\ &= \frac{Ws}{s^2 + Ws + \omega_0^2} \end{aligned}$$

Applying the BZT to the analogue bandpass filter we have

$$\begin{aligned} H(z) &= H'(s)|_{s=(z-1)/(z+1)} = \frac{W(z-1)/(z+1)}{[(z-1)/(z+1)]^2 + W(z-1)/(z+1) + \omega_0^2} \\ &= \frac{W(z^2-1)/(1+W+\omega_0^2)}{z^2 + [2(\omega_0^2-1)/(1+W+\omega_0^2)]z + (1-W+\omega_0^2)/(1+W+\omega_0^2)} \end{aligned}$$

Substituting the values of  $\omega_0^2$  and  $W$  and simplifying we have

$$H(z) = 0.1367 \frac{1-z^2}{1-1.2362z^{-1}+0.7265z^{-2}}$$

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