Analysis of Quicksort

Madhavan Mukund

https://www.cmi.ac.in/~madhavan

Programming, Data Structures and Algorithms using Python
Week 3

Quicksort

- Choose a pivot element
- Partition L into lower and upper segments with respect to the pivot
- Move the pivot between the lower and upper segments
- Recursively sort the two partitions

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot,lower,upper) = (L[1],l+1,l+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
  # Recursive calls
  quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

■ Partitioning with respect to the pivot takes time O(n)

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot, lower, upper) = (L[1], l+1, l+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1],L[lower-1]) = (L[lower-1],L[1])
 lower = lower-1
 # Recursive calls
  quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

- Partitioning with respect to the pivot takes time O(n)
- If the pivot is the median

$$T(n) = 2T(n/2) + n$$

T(n) is $O(n \log n)$

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot,lower,upper) = (L[1],l+1,l+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
 # Recursive calls
  quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

- Partitioning with respect to the pivot takes time O(n)
- If the pivot is the median

$$T(n) = 2T(n/2) + n$$

- T(n) is $O(n \log n)$
- Worst case? Pivot is maximum or minimum
 - Partitions are of size 0, n-1

$$T(n) = T(n-1) + n$$

$$T(n) = n + (n-1) + \cdots + 1$$

$$T(n)$$
 is $O(n^2)$

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot, lower, upper) = (L[1], l+1, l+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
 # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
 # Recursive calls
 quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

- Partitioning with respect to the pivot takes time O(n)
- If the pivot is the median

$$T(n) = 2T(n/2) + n$$

- T(n) is $O(n \log n)$
- Worst case? Pivot is maximum or minimum
 - Partitions are of size 0, n-1

$$T(n) = T(n-1) + n$$

$$T(n) = n + (n-1) + \cdots + 1$$

- T(n) is $O(n^2)$
- Already sorted array: worst case!

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot, lower, upper) = (L[1], l+1, l+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
 # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
 # Recursive calls
 quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

Analysis . . .

■ However, average case is $O(n \log n)$

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot, lower, upper) = (L[1], l+1, l+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
 # Recursive calls
  quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

Analysis . . .

- However, average case is $O(n \log n)$
- Sorting is a rare situation where we can compute this
 - Values don't matter, only relative order is important
 - Analyze behaviour over permutations of $\{1, 2, ..., n\}$
 - Each input permutation equally likely

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot, lower, upper) = (L[1], l+1, l+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
  # Recursive calls
  quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

Analysis . . .

- However, average case is $O(n \log n)$
- Sorting is a rare situation where we can compute this
 - Values don't matter, only relative order is important
 - Analyze behaviour over permutations of $\{1, 2, ..., n\}$
 - Each input permutation equally likely
- Expected running time is $O(n \log n)$

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot, lower, upper) = (L[1], l+1, l+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
  # Recursive calls
  quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

Randomization

 Any fixed choice of pivot allows us to construct worst case input

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot, lower, upper) = (L[1], 1+1, 1+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
 # Recursive calls
  quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

Randomization

- Any fixed choice of pivot allows us to construct worst case input
- Instead, choose pivot position randomly at each step

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot,lower,upper) = (L[1],l+1,l+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
 # Recursive calls
  quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

Randomization

- Any fixed choice of pivot allows us to construct worst case input
- Instead, choose pivot position randomly at each step
- Expected running time is again $O(n \log n)$

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot, lower, upper) = (L[1], l+1, l+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
 # Recursive calls
  quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

Iterative quicksort

- Recursive calls work on disjoint segments
 - No recombination of results is required

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot, lower, upper) = (L[1], 1+1, 1+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
 # Recursive calls
  quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

Iterative quicksort

- Recursive calls work on disjoint segments
 - No recombination of results is required
- Can explicitly keep track of left and right endpoints of each segment to be sorted

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot, lower, upper) = (L[1], 1+1, 1+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
  # Recursive calls
  quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

Quicksort in practice

■ In practice, quicksort is very fast

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot, lower, upper) = (L[1], 1+1, 1+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
 # Recursive calls
  quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

Quicksort in practice

- In practice, quicksort is very fast
- Very often the default algorithm used for in-built sort functions
 - Sorting a column in a spreadsheet
 - Library sort function in a programming language

```
def quicksort(L,1,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot, lower, upper) = (L[1], 1+1, 1+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
  # Recursive calls
  quicksort(L,1,1ower)
  quicksort(L,lower+1,upper)
 return(L)
```

■ The worst case complexity of quicksort is $O(n^2)$

- The worst case complexity of quicksort is $O(n^2)$
- However, the average case is $O(n \log n)$

- The worst case complexity of quicksort is $O(n^2)$
- However, the average case is $O(n \log n)$
- Randomly choosing the pivot is a good strategy to beat worst case inputs

- The worst case complexity of quicksort is $O(n^2)$
- However, the average case is $O(n \log n)$
- Randomly choosing the pivot is a good strategy to beat worst case inputs
- Quicksort works in-place and can be implemented iteratively

- The worst case complexity of quicksort is $O(n^2)$
- However, the average case is $O(n \log n)$
- Randomly choosing the pivot is a good strategy to beat worst case inputs
- Quicksort works in-place and can be implemented iteratively
- Very fast in practice, and often used for built-in sorting functions
 - Good example of a situation when the worst case upper bound is pessimistic