Divide and Conquer: Recursion Trees

Madhavan Mukund

https://www.cmi.ac.in/~madhavan

Programming, Data Structures and Algorithms using Python Week 8

 Divide and conquer involves breaking up a problem into disjoint subproblems and combining the solutions efficiently

- Divide and conquer involves breaking up a problem into disjoint subproblems and combining the solutions efficiently
- Complexity T(n) is expressed as a recurrence

- Divide and conquer involves breaking up a problem into disjoint subproblems and combining the solutions efficiently
- Complexity T(n) is expressed as a recurrence
- For searching and sorting, we solved simple recurrences by repeated substitution
 - Binary search: T(n) = T(n/2) + 1, T(n) is $O(\log n)$
 - Merge sort: T(n) = 2T(n/2) + n, T(n) is $O(n \log n)$

- Divide and conquer involves breaking up a problem into disjoint subproblems and combining the solutions efficiently
- Complexity T(n) is expressed as a recurrence
- For searching and sorting, we solved simple recurrences by repeated substitution
 - Binary search: T(n) = T(n/2) + 1, T(n) is $O(\log n)$
 - Merge sort: T(n) = 2T(n/2) + n, T(n) is $O(n \log n)$
- For integer multiplication, the analysis became more complicated
 - Naive divide and conquer: T(n) = 4T(n/2) + n, T(n) is $O(n^2)$
 - Karatsuba's algorithm: T(n) = 3T(n/2) + n, T(n) is $O(n^{\log 3})$

- Divide and conquer involves breaking up a problem into disjoint subproblems and combining the solutions efficiently
- Complexity T(n) is expressed as a recurrence
- For searching and sorting, we solved simple recurrences by repeated substitution
 - Binary search: T(n) = T(n/2) + 1, T(n) is $O(\log n)$
 - Merge sort: T(n) = 2T(n/2) + n, T(n) is $O(n \log n)$
- For integer multiplication, the analysis became more complicated
 - Naive divide and conquer: T(n) = 4T(n/2) + n, T(n) is $O(n^2)$
 - Karatsuba's algorithm: T(n) = 3T(n/2) + n, T(n) is $O(n^{\log 3})$
- Is there a uniform way to compute the asymptotic expression for T(n)?

■ Recursion tree Rooted tree with one node for each recursive subproblem

- Recursion tree Rooted tree with one node for each recursive subproblem
- Value of each node is time spent on that subproblem excluding recursive calls

- Recursion tree Rooted tree with one node for each recursive subproblem
- Value of each node is time spent on that subproblem excluding recursive calls
- Concretely, on an input of size n

- Recursion tree Rooted tree with one node for each recursive subproblem
- Value of each node is time spent on that subproblem excluding recursive calls
- Concretely, on an input of size n
 - f(n) is the time spent on non-recursive work

- Recursion tree Rooted tree with one node for each recursive subproblem
- Value of each node is time spent on that subproblem excluding recursive calls
- Concretely, on an input of size n
 - f(n) is the time spent on non-recursive work
 - r is the number of recursive calls

- Recursion tree Rooted tree with one node for each recursive subproblem
- Value of each node is time spent on that subproblem excluding recursive calls
- Concretely, on an input of size n
 - = f(n) is the time spent on non-recursive work
 - r is the number of recursive calls
 - **Each** recursive call works on a subproblem of size n/c

- Recursion tree Rooted tree with one node for each recursive subproblem
- Value of each node is time spent on that subproblem excluding recursive calls
- Concretely, on an input of size n

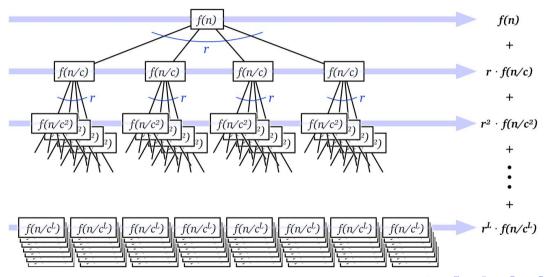
 - r is the number of recursive calls
 - **Each** recursive call works on a subproblem of size n/c
- Resulting recurrence: T(n) = rT(n/c) + f(n)

- Recursion tree Rooted tree with one node for each recursive subproblem
- Value of each node is time spent on that subproblem excluding recursive calls
- Concretely, on an input of size n
 - = f(n) is the time spent on non-recursive work
 - r is the number of recursive calls
 - **Each** recursive call works on a subproblem of size n/c
- Resulting recurrence: T(n) = rT(n/c) + f(n)
- Root of recursion tree for T(n) has value f(n)

- Recursion tree Rooted tree with one node for each recursive subproblem
- Value of each node is time spent on that subproblem excluding recursive calls
- Concretely, on an input of size n
 - = f(n) is the time spent on non-recursive work
 - r is the number of recursive calls
 - **Each** recursive call works on a subproblem of size n/c
- Resulting recurrence: T(n) = rT(n/c) + f(n)
- Root of recursion tree for T(n) has value f(n)
- Root has r children, each (recursively) the root of a tree for T(n/c)

- Recursion tree Rooted tree with one node for each recursive subproblem
- Value of each node is time spent on that subproblem excluding recursive calls
- Concretely, on an input of size *n*
 - = f(n) is the time spent on non-recursive work
 - r is the number of recursive calls
 - **Each** recursive call works on a subproblem of size n/c
- Resulting recurrence: T(n) = rT(n/c) + f(n)
- Root of recursion tree for T(n) has value f(n)
- Root has r children, each (recursively) the root of a tree for T(n/c)
- Each node at level d has value $f(n/c^d)$
 - \blacksquare Assume, for simplicity, that n was a power of c

Recursion tree for T(n) = rT(n/c) + f(n)



- Leaves correspond to the base case T(1)
 - Safe to assume T(1) = 1, asymptotic complexity ignores constants

- Leaves correspond to the base case T(1)
 - Safe to assume T(1) = 1, asymptotic complexity ignores constants
- Level i has r^i nodes, each with value $f(n/c^i)$

- Leaves correspond to the base case T(1)
 - Safe to assume T(1) = 1, asymptotic complexity ignores constants
- Level i has r^i nodes, each with value $f(n/c^i)$
- Tree has L levels, $L = \log_c n$

- Leaves correspond to the base case T(1)
 - Safe to assume T(1) = 1, asymptotic complexity ignores constants
- Level i has r^i nodes, each with value $f(n/c^i)$
- Tree has L levels, $L = \log_c n$
- Total cost is $T(n) = \sum_{i=0}^{L} r^{i} \cdot f(n/c^{i})$

- Leaves correspond to the base case T(1)
 - Safe to assume T(1) = 1, asymptotic complexity ignores constants
- Level i has r^i nodes, each with value $f(n/c^i)$
- Tree has L levels, $L = \log_c n$
- Total cost is $T(n) = \sum_{i=0}^{L} r^{i} \cdot f(n/c^{i})$
- Number of leaves is r^L
 - Last term in the level by level sum is $r^L \cdot f(1) = r^{\log_c n} \cdot 1 = n^{\log_c r}$
 - Recall that $a^{\log_b c} = c^{\log_b a}$

■ Tree has $\log_c n$ levels, last level has cost is $n^{\log_c r}$

■ Total cost is
$$T(n) = \sum_{i=0}^{L} r^{i} \cdot f(n/c^{i})$$

- Tree has $\log_c n$ levels, last level has cost is $n^{\log_c r}$
- Total cost is $T(n) = \sum_{i=0}^{L} r^{i} \cdot f(n/c^{i})$
- Think of the total cost as a series. Three common cases

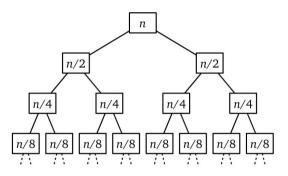
- Tree has $\log_c n$ levels, last level has cost is $n^{\log_c r}$
- Total cost is $T(n) = \sum_{i=0}^{L} r^{i} \cdot f(n/c^{i})$
- Think of the total cost as a series. Three common cases
- Decreasing Each term is a constant factor smaller than previous term
 - Root dominates the sum, T(n) = O(f(n))

- Tree has $\log_c n$ levels, last level has cost is $n^{\log_c r}$
- Total cost is $T(n) = \sum_{i=0}^{L} r^{i} \cdot f(n/c^{i})$
- Think of the total cost as a series. Three common cases
- Decreasing Each term is a constant factor smaller than previous term
 - Root dominates the sum, T(n) = O(f(n))
- Equal All terms in the series are equal
 - $T(n) = O(f(n) \cdot L) = O(f(n) \log n) \log_c n$ is asymptotically same as $\log n$

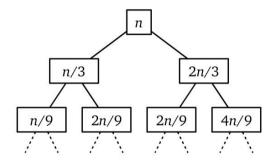
- Tree has $\log_c n$ levels, last level has cost is $n^{\log_c r}$
- Total cost is $T(n) = \sum_{i=0}^{L} r^{i} \cdot f(n/c^{i})$
- Think of the total cost as a series. Three common cases
- Decreasing Each term is a constant factor smaller than previous term
 - Root dominates the sum, T(n) = O(f(n))
- Equal All terms in the series are equal
 - $T(n) = O(f(n) \cdot L) = O(f(n) \log n) \log_c n$ is asymptotically same as $\log n$
- Increasing Series grows exponentially, each term a constant factor larger than previous term
 - Leaves dominate the sum, $T(n) = O(n^{\log_c r})$



- Merge sort
 - T(n) = 2T(n/2) + n
 - Series is equal, T(n) is $O(n \log n)$



- Merge sort
 - T(n) = 2T(n/2) + n
 - Series is equal, T(n) is $O(n \log n)$
- Quick sort with pivot always in the middle third of values
 - T(n) = T(n/3) + T(2n/3) + n
 - Unequal partitions, allow "holes"
 - Depth is $\log_{\frac{3}{2}} n = O(\log n)$
 - Series is equal, T(n) is $O(n \log n)$



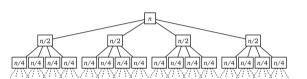
Merge sort

$$T(n) = 2T(n/2) + n$$

- Series is equal, T(n) is $O(n \log n)$
- Quick sort with pivot always in the middle third of values

$$T(n) = T(n/3) + T(2n/3) + n$$

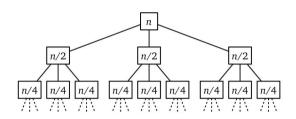
- Unequal partitions, allow "holes"
- Depth is $\log_{\frac{3}{2}} n = O(\log n)$
- Series is equal, T(n) is $O(n \log n)$
- Naive integer multiplication, exponential, $T(n) = n^{\log_2 4} = n^2$



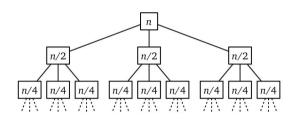
- Merge sort
 - T(n) = 2T(n/2) + n
 - Series is equal, T(n) is $O(n \log n)$
- Quick sort with pivot always in the middle third of values

$$T(n) = T(n/3) + T(2n/3) + n$$

- Unequal partitions, allow "holes"
- Depth is $\log_{\frac{3}{2}} n = O(\log n)$
- Series is equal, T(n) is $O(n \log n)$
- Naive integer multiplication, exponential, $T(n) = n^{\log_2 4} = n^2$
- Karatsuba, exponential, $T(n) = n^{\log_2 3}$



- Merge sort
 - T(n) = 2T(n/2) + n
 - Series is equal, T(n) is $O(n \log n)$
- Quick sort with pivot always in the middle third of values
 - T(n) = T(n/3) + T(2n/3) + n
 - Unequal partitions, allow "holes"
 - Depth is $\log_{\frac{3}{2}} n = O(\log n)$
 - Series is equal, T(n) is $O(n \log n)$
- Naive integer multiplication, exponential, $T(n) = n^{\log_2 4} = n^2$
- Karatsuba, exponential, $T(n) = n^{\log_2 3}$



Acknowledgment
Illustrations from
Algorithms by Jeff Erickson,
https://jeffe.cs.illinois.edu/
teaching/algorithms/