

Unit 2: Assembler Design [20 hrs]

2.1: BASIC ASSEMBLER FUNCTIONS

- Some assembler directives are:
- **START**: Specify name and starting address for the program.
- **END**: Indicate the end of the source program and (optionally) specify the first executable instruction in the program.
- **BYTE**: Generate character or hexadecimal constant, occupying as many bytes as needed to represent the constant.
- **WORD**: Generate one-word integer constant.
- **RESB**: Reserve the indicated number of bytes for a data area.
- **RESW**: Reserve the indicated number of words for a data area.

- The line numbers are for reference only and are not part of the program. These numbers also help to relate corresponding parts of different versions of the program.
- Indexed addressing is indicated by adding the modifier "*X*" *following the operand*.
- *Lines beginning* with "." contain comments only.
- The program contains a main routine that reads records from an input device (identified with device code F1) and copies them to an output device (code 05).
- This main routine calls subroutine RDREC to read a record into a buffer and subroutine WRREC to write the record from the buffer to the out-put device.
- Each subroutine must transfer the record one character at a time because the only I/O instructions available are RD and WO.
- The buffer is necessary because the I/O rates for the two devices, such as a disk and a slow printing terminal, may be very different.
- The end of each record is marked with a null character (hexadecimal 00).
- If a record is longer than the length of the buffer (4096 bytes), only the first 4096 bytes are copied.
- The end of the file to be copied is indicated by a zero-length record.
- When the end of file is detected, the program writes EOF on the output device and terminates by executing an RSUB instruction.
- We assume that this program was called by the operating system using a JSUB instruction; thus, the RSUB will return control to the operating system.

An assembler language program for the basic version of SIC (2.1)

| Line | Source statement | | | |
|------|------------------|-------|--------|--------------------------------|
| 5 | COPY | START | 1000 | COPY FILE FROM INPUT TO OUTPUT |
| 10 | FIRST | STL | RETADR | SAVE RETURN ADDRESS |
| 15 | CLOOP | JSUB | RDREC | READ INPUT RECORD |
| 20 | | LDA | LENGTH | TEST FOR EOF (LENGTH = 0) |
| 25 | | COMP | ZERO | |
| 30 | | JEQ | ENDFIL | EXIT IF EOF FOUND |
| 35 | | JSUB | WRREC | WRITE OUTPUT RECORD |
| 40 | | J | CLOOP | LOOP |
| 45 | ENDFIL | LDA | EOF | INSERT END OF FILE MARKER |
| 50 | | STA | BUFFER | |
| 55 | | LDA | THREE | SET LENGTH = 3 |
| 60 | | STA | LENGTH | |
| 65 | | JSUB | WRREC | WRITE EOF |
| 70 | | LDL | RETADR | GET RETURN ADDRESS |
| 75 | | RSUB | | RETURN TO CALLER |
| 80 | EOF | BYTE | C'EOF' | |
| 85 | THREE | WORD | 3 | |
| 90 | ZERO | WORD | 0 | |
| 95 | RETADR | RESW | 1 | |
| 100 | LENGTH | RESW | 1 | LENGTH OF RECORD |
| 105 | BUFFER | RESB | 4096 | 4096-BYTE BUFFER AREA |
| 110 | . | | | |

(2.1 Continued)

```
115      .      SUBROUTINE TO READ RECORD INTO BUFFER
120      .
125      RDREC   LDX      ZERO      CLEAR LOOP COUNTER
130              LDA      ZERO      CLEAR A TO ZERO
135      RLOOP   TD       INPUT     TEST INPUT DEVICE
140              JEQ      RLOOP     LOOP UNTIL READY
145              RD       INPUT     READ CHARACTER INTO REGISTER A
150              COMP     ZERO      TEST FOR END OF RECORD (X'00')
155              JEQ      EXIT      EXIT LOOP IF EOR
160              STCH     BUFFER,X   STORE CHARACTER IN BUFFER
165              TLX      MAXLEN     LOOP UNLESS MAX LENGTH
170              JLT      RLOOP      HAS BEEN REACHED
175      EXIT    STX      LENGTH     SAVE RECORD LENGTH
180              RSUB     RETURN TO CALLER
185      INPUT   BYTE     X'F1'     CODE FOR INPUT DEVICE
190      MAXLEN  WORD     4096
195      .
200      .      SUBROUTINE TO WRITE RECORD FROM BUFFER
205      .
210      WRREC   LDX      ZERO      CLEAR LOOP COUNTER
215      WLOOP   TD       OUTPUT     TEST OUTPUT DEVICE
220              JEQ      WLOOP     LOOP UNTIL READY
225              LDCH     BUFFER,X   GET CHARACTER FROM BUFFER
230              WD       OUTPUT     WRITE CHARACTER
235              TLX      LENGTH     LOOP UNTIL ALL CHARACTERS
240              JLT      WLOOP      HAVE BEEN WRITTEN
245              RSUB     RETURN TO CALLER
250      OUTPUT  BYTE     X'05'     CODE FOR OUTPUT DEVICE
255      END      FIRST
```

A Simple SIC Assembler

- The translation of source program to object code requires us to accomplish the following functions (not necessarily in the order given):
 1. Convert mnemonic operation codes to their machine language equivalents-e.g., translate STL to 14 (line 10).
 2. Convert symbolic operands to their equivalent machine addresses e.g., translate RETADR to 1033 (line 10).
 3. Build the machine instructions in the proper format.
 4. Convert the data constants specified in the source program into their internal machine representations-e.g., translate EOF to 454F46 (line 80).
 5. Write the object program and the assembly listing.

Program for 2.1 with Object Code (2.2)

| Line | Loc | Source statement | | | Object code |
|------|------|------------------|-------|----------|-------------|
| 5 | 1000 | COPY | START | 1000 | |
| 10 | 1000 | FIRST | STL | RETADR | 141033 |
| 15 | 1003 | CLOOP | JSUB | RDREC | 482039 |
| 20 | 1006 | | LDA | LENGTH | 001036 |
| 25 | 1009 | | COMP | ZERO | 281030 |
| 30 | 100C | | JEQ | ENDFIL | 301015 |
| 35 | 100F | | JSUB | WRREC | 482061 |
| 40 | 1012 | | J | CLOOP | 3C1003 |
| 45 | 1015 | ENDFIL | LDA | EOF | 00102A |
| 50 | 1018 | | STA | BUFFER | 0C1039 |
| 55 | 101B | | LDA | THREE | 00102D |
| 60 | 101E | | STA | LENGTH | 0C1036 |
| 65 | 1021 | | JSUB | WRREC | 482061 |
| 70 | 1024 | | LDL | RETADR | 081033 |
| 75 | 1027 | | RSUB | | 4C0000 |
| 80 | 102A | EOF | BYTE | C' EOF ' | 454F46 |
| 85 | 102D | THREE | WORD | 3 | 000003 |
| 90 | 1030 | ZERO | WORD | 0 | 000000 |
| 95 | 1033 | RETADR | RESW | 1 | |
| 100 | 1036 | LENGTH | RESW | 1 | |
| 105 | 1039 | BUFFER | RESB | 4096 | |
| 110 | | . | | | |

(2.2 Continued)

```
115      .      SUBROUTINE TO READ RECORD INTO BUFFER
120      .
125      2039      RDREC      LDX      ZERO      041030
130      203C      LDA      ZERO      001030
135      203F      RLOOP      TD      INPUT      E0205D
140      2042      JEQ      RLOOP      30203F
145      2045      RD      INPUT      D8205D
150      2048      COMP      ZERO      281030
155      204B      JEQ      EXIT      302057
160      204E      STCH      BUFFER,X      549039
165      2051      TIX      MAXLEN      2C205E
170      2054      JLT      RLOOP      38203F
175      2057      EXIT      STX      LENGTH      101036
180      205A      RSUB      4C0000
185      205D      INPUT      BYTE      X'F1'      F1
190      205E      MAXLEN      WORD      4096      001000
195      .
200      .      SUBROUTINE TO WRITE RECORD FROM BUFFER
205      .
210      2061      WRREC      LDX      ZERO      041030
215      2064      WLOOP      TD      OUTPUT      E02079
220      2067      JEQ      WLOOP      302064
225      206A      LDCH      BUFFER,X      509039
230      206D      WD      OUTPUT      DC2079
235      2070      TIX      LENGTH      2C1036
240      2073      JLT      WLOOP      382064
245      2076      RSUB      4C0000
250      2079      OUTPUT      BYTE      X'05'      05
255      END      FIRST
```


| | | | | | |
|----|------|-------|-----|--------|--------|
| 10 | 1000 | FIRST | STL | RETADR | 141033 |
|----|------|-------|-----|--------|--------|

- This instruction contains a *forward reference-that is, a reference to a label* (RETADR) that is defined later in the program.
- If we attempt to translate the program line by line, we will be unable to process this statement because we do not know the address that will be assigned to RETADR.
- Because of this, most assemblers make two passes over the source program.
- The first pass does little more than scan the source program for label definitions and assign addresses.

- In addition to translating the instructions of the source program, the assembler must process statements called ***assembler directives (or pseudo-instructions)***.
- These statements are not translated into machine instructions (although they may have an effect on the object program).
- Instead, they provide instructions to the assembler itself.
- Examples of assembler directives are statements like BYTE and WORD, which direct the assembler to generate constants as part of the object program, and RESB and RESW, which instruct the assembler to reserve memory locations without generating data values.
- The other assembler directives in our sample program are START, which specifies the starting memory address for the object program, and END, which marks the end of the program.

- Finally, the assembler must write the generated object code onto some out- put device.
- This *object program will later be loaded into memory for execution.*
- The simple object program format we use contains three types of records: **Header**, **Text**, and **End**.
- The **Header** record contains the program name, starting address, and length.
- **Text** records contain the translated (i.e., machine code) instructions and data of the program, together with an indication of the addresses where these are to be loaded.
- The **End** record marks the end of the object program and specifies the address in the program where execution is to begin. (This is taken from the operand of the program's END statement. If no operand is specified, the address of the first executable instruction is used.)

- The formats we use for these records are as follows.
- The details of the formats (column numbers, etc.) are arbitrary; however, the information contained in these records must be present (in some form) in the object program.

Header record:

| | |
|------------|--|
| Col. 1 | H |
| Col. 2-7 | Program name |
| Col. 8-13 | Starting address of object program (hexadecimal) |
| Col. 14-19 | Length of object program in bytes (hexadecimal) |

Text record:

| | |
|------------|---|
| Col. 1 | T |
| Col. 2-7 | Starting address for object code in this record (hexadecimal) |
| Col. 8-9 | Length of object code in this record in bytes (hexadecimal) |
| Col. 10—69 | Object code, represented in hexadecimal (2 columns per byte of object code) |

End record:

| | |
|----------|---|
| Col. 1 | E |
| Col. 2-7 | Address of first executable instruction in object program (hexadecimal) |

The object program for 2.2 (2.3)

HCOPY 00100000107A
^ ^ ^

T0010001E1410334820390010362810303010154820613C100300102A0C103900102D
^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^

T00101E150C10364820610810334C0000454F46000003000000
^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^

T0020391E041030001030E0205D30203FD8205D2810303020575490392C205E38203F
^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^

T0020571C1010364C0000F1001000041030E02079302064509039DC20792C1036
^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^ ^

T002073073820644C000005
^ ^ ^ ^ ^

E001000
^

Pass 1 (define symbols):

1. Assign addresses to all statements in the program.
2. Save the values (addresses) assigned to all labels for use in Pass 2.
3. Perform some processing of assembler directives. (This includes processing that affects address assignment, such as determining the length of data areas defined by BYTE, RESW, etc.)

Pass 2 (assemble instructions and generate object program):

1. Assemble instructions (translating operation codes and looking up addresses).
2. Generate data values defined by BYTE, WORD, etc.
3. Perform processing of assembler directives not done during Pass 1.
4. Write the object program and the assembly listing.

Assembler Algorithm and Data Structures

- Our simple assembler uses two major internal data structures: the **Operation Code Table (OPTAB)** and the **Symbol Table (SYMTAB)**.
- **OPTAB** is used to look up mnemonic operation codes and translate them to their machine language equivalents.
- **SYMTAB** is used to store values (addresses) assigned to labels.
- We also need a **Location Counter LOCCTR**. This is a variable that is used to help in the assignment of addresses. **LOCCTR** is initialized to the beginning address specified in the **START** statement.
- After each source statement is processed, the length of the assembled instruction or data area to be generated is added to **LOCCTR**.
- Thus whenever we reach a label in the source program, the current value of **LOCCTR** gives the address to be associated with that label.

- The Operation Code Table (**OPTAB**) must contain (at least) the mnemonic operation code and its machine language equivalent.
- In more complex assemblers, this table also contains information about instruction format and length.
- During Pass 1, **OPTAB** is used to look up and validate operation codes in the source program.
- In Pass 2, it is used to translate the operation codes to machine language.
- Actually, in our simple SIC assembler, both of these processes could be done together in either Pass 1 or Pass 2.
- During Pass 1 of the assembler, labels are entered into **SYMTAB** as they are encountered in the source program, along with their assigned addresses (from **LOCCTR**).
- During Pass 2, symbols used as operands are looked up in **SYMTAB** to obtain the addresses to be inserted in the assembled instructions.

Algorithm for Pass 1 Assembler (2.4 a)

Pass 1:

```
begin
  read first input line
  if OPCODE = 'START' then
    begin
      save #[OPERAND] as starting address
      initialize LOCCTR to starting address
      write line to intermediate file
      read next input line
    end (if START)
  else
    initialize LOCCTR to 0
  while OPCODE ≠ 'END' do
    begin
      if this is not a comment line then
        begin
          if there is a symbol in the LABEL field then
            begin
              search SYMTAB for LABEL
              if found then
                set error flag (duplicate symbol)
              else
                insert (LABEL,LOCCTR) into SYMTAB
            end (if symbol)
          search OPTAB for OPCODE
          if found then
            add 3 (instruction length) to LOCCTR
          else if OPCODE = 'WORD' then
            add 3 to LOCCTR
        end
      end
    end
  end
```

(2.4 a Continued)

```
-----
else if OPCODE = 'WORD' then
    add 3 to LOCCTR
else if OPCODE = 'RESW' then
    add 3 * #[OPERAND] to LOCCTR
else if OPCODE = 'RESB' then
    add #[OPERAND] to LOCCTR
else if OPCODE = 'BYTE' then
    begin
        find length of constant in bytes
        add length to LOCCTR
    end (if BYTE)
else
    set error flag (invalid operation code)
end (if not a comment)
write line to intermediate file
read next input line
end (while not END)
write last line to intermediate file
save (LOCCTR - starting address) as program length
end (Pass 1)
```

Algorithm for Pass 2 Assembler (2.4 b)

Pass 2:

```
begin
  read first input line (from intermediate file)
  if OPCODE = 'START' then
    begin
      write listing line
      read next input line
    end {if START}
  write Header record to object program
  initialize first Text record
  while OPCODE ≠ 'END' do
    begin
      if this is not a comment line then
        begin
          search OPTAB for OPCODE
          if found then
            begin
              if there is a symbol in OPERAND field then
                begin
                  search SYMTAB for OPERAND
                  if found then
                    store symbol value as operand address
                  else
                    begin
                      store 0 as operand address
                      set error flag (undefined symbol)
                    end
                  end {if symbol}
            else
              --
```

(2.4 b Continued)

```
begin
    store 0 as operand address
    set error flag (undefined symbol)
end
end (if symbol)
else
    store 0 as operand address
    assemble the object code instruction
end (if opcode found)
else if OPCODE = 'BYTE' or 'WORD' then
    convert constant to object code
    if object code will not fit into the current Text record then
        begin
            write Text record to object program
            initialize new Text record
        end
        add object code to Text record
    end (if not comment)
    write listing line
    read next input line
end (while not END)
write last Text record to object program
write End record to object program
write last listing line
end (Pass 2)
```

2.2: MACHINE-DEPENDENT ASSEMBLER FEATURES

- In our assembler language, indirect addressing is indicated by adding the prefix @ to the operand(see line 70).
- Immediate operands are denoted with the prefix # (lines 25, 55, 133).
- Instructions that refer to memory are normally assembled using either the program-counter relative or the base relative mode.
- The assembler directive BASE (line 13) is used in conjunction with base relative addressing.
- If the displacements required for both program-counter relative and base relative addressing are too large to fit into a 3-byte instruction, then the 4-byte extended format (Format 4) must be used.
- The extended instruction format is specified with the prefix + added to the operation code in the source statement (see lines 15, 35, 65).
- It is the programmer's responsibility to specify this form of addressing when it is required.

Example of SIC/XE Program for 2.1 (2.5)

| Line | Source statement | | | |
|------|------------------|-------|---------|--------------------------------|
| 5 | COPY | START | 0 | COPY FILE FROM INPUT TO OUTPUT |
| 10 | FIRST | STL | RETADR | SAVE RETURN ADDRESS |
| 12 | | LDB | #LENGTH | ESTABLISH BASE REGISTER |
| 13 | | BASE | LENGTH | |
| 15 | CLOOP | +JSUB | RDREC | READ INPUT RECORD |
| 20 | | LDA | LENGTH | TEST FOR EOF (LENGTH = 0) |
| 25 | | COMP | #0 | |
| 30 | | JEQ | ENDFIL | EXIT IF EOF FOUND |
| 35 | | +JSUB | WRREC | WRITE OUTPUT RECORD |
| 40 | | J | CLOOP | LOOP |
| 45 | ENDFIL | LDA | EOF | INSERT END OF FILE MARKER |
| 50 | | STA | BUFFER | |
| 55 | | LDA | #3 | SET LENGTH = 3 |
| 60 | | STA | LENGTH | |
| 65 | | +JSUB | WRREC | WRITE EOF |
| 70 | | J | @RETADR | RETURN TO CALLER |
| 80 | EOF | BYTE | C'EOF' | |
| 95 | RETADR | RESW | 1 | |
| 100 | LENGTH | RESW | 1 | LENGTH OF RECORD |
| 105 | BUFFER | RESB | 4096 | 4096-BYTE BUFFER AREA |
| 110 | . | | | |

(2.5 Continued)

```

115 .      SUBROUTINE TO READ RECORD INTO BUFFER
120 .
125 RDREC  CLEAR    X          CLEAR LOOP COUNTER
130        CLEAR    A          CLEAR A TO ZERO
132        CLEAR    S          CLEAR S TO ZERO
133        +LDT      #4096
135 RLOOP  TD        INPUT      TEST INPUT DEVICE
140        JEQ        RLOOP      LOOP UNTIL READY
145        RD        INPUT      READ CHARACTER INTO REGISTER A
150        COMPR     A,S        TEST FOR END OF RECORD (X'00')
155        JEQ        EXIT      EXIT LOOP IF EOR
160        STCH       BUFFER,X   STORE CHARACTER IN BUFFER
165        TIXR      T          LOOP UNLESS MAX LENGTH
170        JLT        RLOOP      HAS BEEN REACHED
175 EXIT   STX        LENGTH     SAVE RECORD LENGTH
180        RSUB
185 INPUT  BYTE      X'F1'      CODE FOR INPUT DEVICE
195 .
200 .      SUBROUTINE TO WRITE RECORD FROM BUFFER
205 .
210 WRREC  CLEAR    X          CLEAR LOOP COUNTER
212        LDT        LENGTH
215 WLOOP  TD        OUTPUT      TEST OUTPUT DEVICE
220        JEQ        WLOOP      LOOP UNTIL READY
225        LDCH       BUFFER,X   GET CHARACTER FROM BUFFER
230        WD        OUTPUT      WRITE CHARACTER
235        TIXR      T          LOOP UNTIL ALL CHARACTERS
240        JLT        WLOOP      HAVE BEEN WRITTEN
245        RSUB
250 OUTPUT BYTE      X'05'      CODE FOR OUTPUT DEVICE
255        END        FIRST

```


Instruction Formats and Addressing Modes

[Program form 2.5 with Object Code (2.6)]

| Line | Loc | Source statement | | | Object code |
|------|------|------------------|-------|---------|-------------|
| 5 | 0000 | COPY | START | 0 | |
| 10 | 0000 | FIRST | STL | RETADR | 17202D |
| 12 | 0003 | | LDB | #LENGTH | 69202D |
| 13 | | | BASE | LENGTH | |
| 15 | 0006 | CLOOP | +JSUB | RDREC | 4B101036 |
| 20 | 000A | | LDA | LENGTH | 032026 |
| 25 | 000D | | COMP | #0 | 290000 |
| 30 | 0010 | | JEQ | ENDFIL | 332007 |
| 35 | 0013 | | +JSUB | WRREC | 4B10105D |
| 40 | 0017 | | J | CLOOP | 3F2FEC |
| 45 | 001A | ENDFIL | LDA | EOF | 032010 |
| 50 | 001D | | → STA | BUFFER | 0F2016 |
| 55 | 0020 | | LDA | #3 | 010003 |
| 60 | 0023 | | STA | LENGTH | 0F200D |
| 65 | 0026 | | +JSUB | WRREC | 4B10105D |
| 70 | 002A | | J | @RETADR | 3E2003 |
| 80 | 002D | EOF | BYTE | C'EOF' | 454F46 |
| 95 | 0030 | RETADR | RESW | 1 | |
| 100 | 0033 | LENGTH | RESW | 1 | |
| 105 | 0036 | BUFFER | RESB | 4096 | |
| 110 | | . | | | |

(2.6 Continued)

```
115      .          SUBROUTINE TO READ RECORD INTO BUFFER
120      .
125      1036      RDREC      CLEAR      X          B410
130      1038      CLEAR      A          B400
132      103A      CLEAR      S          B440
133      103C      +LDT      #4096      75101000
135      1040      RLOOP      TD          INPUT      E32019
140      1043      JEQ        RLOOP      332FFA
145      1046      RD          INPUT      DB2013
150      1049      COMPR      A,S        A004
155      104B      JEQ        EXIT      332008
160      104E      STCH       BUFFER,X    57C003
165      1051      TIXR      T          B850
170      1053      JLT        RLOOP      3B2FEA
175      1056      EXIT      STX          LENGTH     134000
180      1059      RSUB      4F0000
185      105C      INPUT     BYTE      X'F1'        F1
195      .
200      .          SUBROUTINE TO WRITE RECORD FROM BUFFER
205      .
210      105D      WRREC      CLEAR      X          B410
212      105F      LDT        LENGTH     774000
215      1062      WLOOP      TD          OUTPUT     E32011
220      1065      JEQ        WLOOP      332FFA
225      1068      LDCH       BUFFER,X    53C003
230      106B      WD          OUTPUT     DF2008
235      106E      TIXR      T          B850
240      1070      JLT        WLOOP      3B2FEF
245      1073      RSUB      4F0000
250      1076      OUTPUT     BYTE      X'05'        05
255      END          FIRST
```

- Translation of register-to-register instructions such as **CLEAR** (line 125) and **COMPR** (line 150) presents no new problems.
- The assembler must simply convert the mnemonic operation code to machine language (using **OPTAB**) and change each register mnemonic to its numeric equivalent.
- This translation is done during Pass 2, at the same point at which the other types of instructions are assembled.
- The conversion of register mnemonics to numbers can be done with a separate table; however, it is often convenient to use the symbol table for this purpose.
- To do this, **SYMTAB** would be preloaded with the register names (A, X, etc.) and their values (0, 1, etc.).
- Most of the register-to-memory instructions are assembled using either program-counter relative or base relative addressing.
- The assembler must, in either case, calculate a displacement to be assembled as part of the object instruction.
- This is computed so that the correct target address results when the displacement is added to the contents of the program counter (PC) or the base register (B).
- Of course, the resulting displacement must be small enough to fit in the 12-bit field in the instruction.
- This means that the displacement must be between 0 and 4095 (for base relative mode) or between -2048 and +2047 (for program-counter relative mode).

- If neither program-counter relative nor base relative addressing can be used (because the displacements are too large), then the 4-byte extended instruction format (Format 4) must be used.
- This 4-byte format contains a 20-bit address field, which is large enough to contain the full memory address.
- In this case, there is no displacement to be calculated.
- For example, in the instruction shown below, the operand address is 1036. This full address is stored in the instruction, with bit *e* set to 1 to indicate extended instruction format.

15 0006 CLOOP +JSUB RDREC 4B101036

- The instruction

10 0000 FIRST STL RETADR 17202D

is a typical example of program-counter relative assembly.

- During execution of instructions on SIC (as in most computers), the program counter is advanced *after each instruction is fetched and before it is executed*.
- *Thus during* the execution of the STL instruction, PC will contain the address of the *next instruction* (that is, 0003).
- From the Loc column of the listing, we see that **RETADR** (line 95) is assigned the address 0030.
- (The assembler would, of course, get this address from **SYMTAB**.)
- The displacement we need in the instruction is $30 - 3 = 2D$.
- At execution time, the target address calculation performed will be $(PC) + \text{disp}$, resulting in the correct address (0030).
- Note that bit *p* is set to 1 to indicate program-counter relative addressing, making the last 2 bytes of the instruction 202D.
- Also note that bits *n* and *i* are both set to 1, indicating neither indirect nor immediate addressing; this makes the first byte 17 instead of 14.

- Another example of program-counter relative assembly is the instruction

40 0017 J CLOOP 3F2FEC

- Here the operand address is 0006.
- During instruction execution, the program counter will contain the address 0001A.
- Thus the displacement required is $6 - 1A = -14$.
- This is represented (using 2's complement for negative numbers) in a 12-bit field as FEC, which is the displacement assembled into the object code.

- The instruction

160 104E STCH BUFFER, X 57C003

is a typical example of base relative assembly.

- According to the **BASE** statement, register B will contain 0033 (the address of **LENGTH**) during execution.
- The address of **BUFFER** is 0036.
- Thus the displacement in the instruction must be $36 - 33 = 3$.
- Notice that bits *x* and *b* are set to 1 in the assembled instruction to indicate indexed and base relative addressing.
- Another example is the instruction **STX LENGTH** on line **175**. Here the displacement calculated is 0.

- The instruction

55 0020 LDA #3 010003

is a typical example of this, with the operand stored in the instruction as 003, and bit *i* set to 1 to indicate immediate addressing.

- *Another example can be found in the instruction*

133 103C +LDT #4096 75101000

- In this case the operand (4096) is too large to fit into the 12-bit displacement field, so the extended instruction format is called for.
- (If the operand were too large even for this 20-bit address field, immediate addressing could not be used.)

- A different way of using immediate addressing is shown in the instruction

12 0003 LDB #LENGTH 69202D

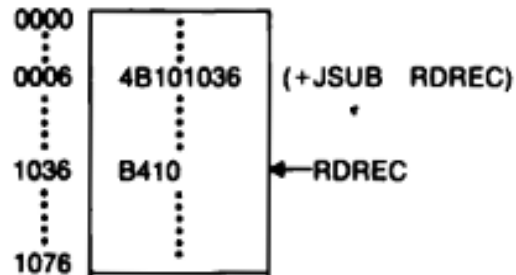
- In this statement the immediate operand is the *symbol* **LENGTH**.
- *Since the value of this symbol is the address assigned to it, this immediate instruction has the effect of loading register B with the address of* **LENGTH**.
- Note here that we have combined program-counter relative addressing with immediate addressing.
- Although this may appear unusual, the interpretation is consistent with our previous uses of immediate operands.
- In general, the target address calculation is performed; then, if immediate mode is specified, the *target address* (not the *contents stored at that address*) *becomes the operand*.
- *(In the LDA statement on line 55, for example, bits x , b , and p are all 0. Thus the target address is simply the displacement 003.)*

Program Relocation

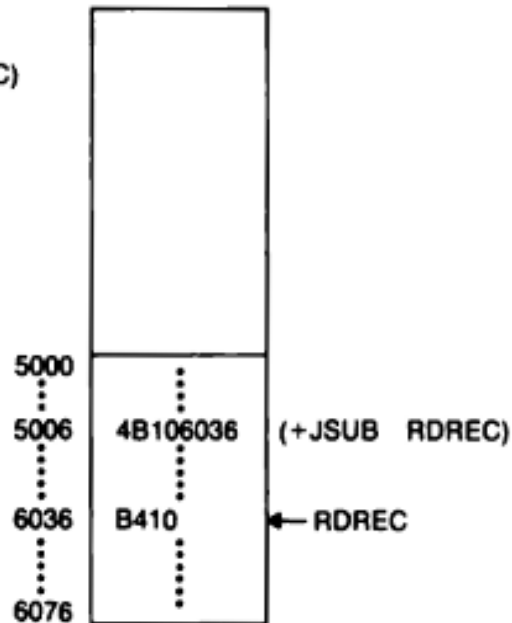
- It is often desirable to have more than one program at a time sharing the memory and other resources of the machine.
- If we knew in advance exactly which programs were to be executed concurrently in this way, we could assign addresses when the programs were assembled so that they would fit together without overlap or wasted space.
- Most of the time, however, it is not practical to plan program execution this closely. (We usually do not know exactly when jobs will be submitted, exactly how long they will run, etc.)
- Because of this, it is desirable to be able to load a program into memory wherever there is room for it.
- In such a situation the actual starting address of the program is not known until load time.

- Since the assembler does not know the actual location where the program will be loaded, it cannot make the necessary changes in the addresses used by the program.
- However, the assembler can identify for the loader those parts of the object program that need modification.
- An object program that contains the information necessary to perform this kind of modification is called a ***relocatable program***.
- To look at this in more detail, consider the program from 2.5 and 2.6. In the preceding section, we assembled this program using a starting address of 0000.

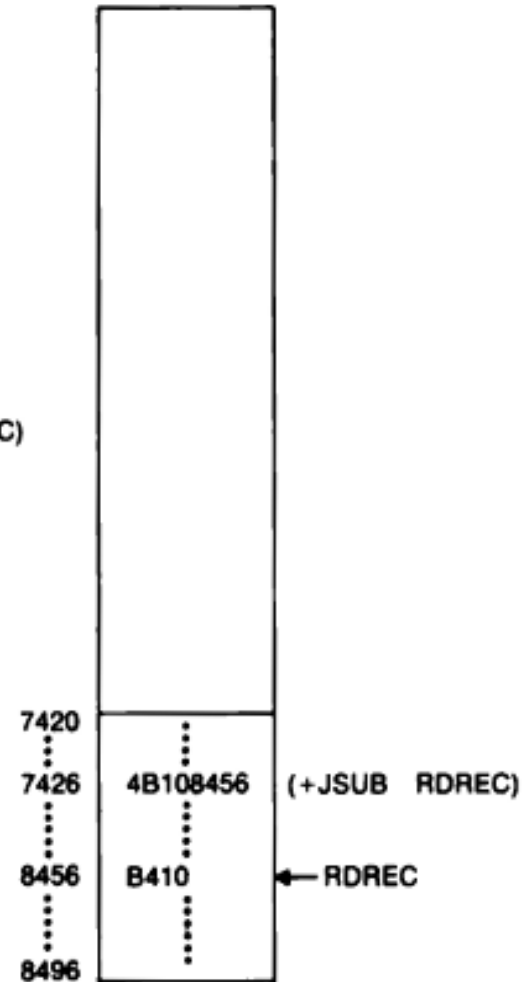
Examples of Program Relocation (2.7)



(a)



(b)



(c)

- Figure 2.7(a) shows this program loaded beginning at address 0000.
- The **JSUB** instruction from line 15 is loaded at address 0006.
- The address field of this instruction contains 01036, which is the address of the instruction labelled **RDREC**. (These addresses are, of course, the same as those assigned by the assembler.)
- Now suppose that we want to load this program beginning at address 5000, as shown in Fig. 2.7(b).
- The address of the instruction labelled **ROREC** is then 6036.
- Thus the **JSUB** instruction must be modified as shown to contain this new address.
- Likewise, if we loaded the program beginning at address 7420 (Fig. 2.7c), the **JSUB** instruction would need to be changed to 48108456 to correspond to the new address of **RDREC**.

- Note that no matter where the program is loaded, **RDREC** is always 1036 bytes past the starting address of the program.
- This means that we can solve the relocation problem in the following way:
 1. When the assembler generates the object code for the JSUB instruction we are considering, it will insert the address of **RDREC** *relative to the start of the program. (This is the reason we initialized the location counter to 0 for the assembly.)*
 2. The assembler will also produce a command for the loader, instructing it to *add the beginning address of the program to the address field in the JSUB instruction* at load time.

- The command for the loader, of course, must also be a part of the object program.
- We can accomplish this with a Modification record having the following format:

Modification record:

Col. 1 M

Col. 2-7 Starting location of the address field to be modified, relative to the beginning of the program (hexadecimal)

Col. 8-9 Length of the address field to be modified, in halfbytes (hexadecimal)

- The length is stored in half-bytes (rather than bytes) because the address field to be modified may not occupy an integral number of bytes.
- (For example, the address field in the **JSUB** instruction we considered above occupies 20 bits, which is 5 half-bytes.)

- By now it should be clear that the only parts of the program that require modification at load time are those that specify direct (as opposed to relative) addresses.
- For this SIC/XE program, the only such direct addresses are found in extended format (4-byte) instructions.
- This is an advantage of relative addressing - if we were to attempt to relocate the program from Fig. 2.1, we would find that almost every instruction required modification.

Object Program for 2.6 (2.7)

HCOPY 000000001077

T0000001D17202D69202D4B1010360320262900003320074B10105D3F2FEC032010

T00001D130F20160100030F200D4B10105D3E2003454F46

T0010361DB410B400B44075101000E32019332FFADB2013A00433200857C003B850

T0010531D3B2FEA1340004F0000F1B410774000E32011332FFA53C003DF2008B850

T001070073B2FEF4F000005

M00000705

M00001405

M00002705

E000000

2.3: MACHINE-INDEPENDENT ASSEMBLER FEATURES

- In this section, we discuss some common assembler features that are not closely related to machine architecture.
- Of course, more advanced machines tend to have more complex software; therefore the features we consider are more likely to be found on larger and more complex machines.
- However, the presence or absence of such capabilities is much more closely related to issues such as programmer convenience and software environment than it is to machine architecture.

Literals

- It is often convenient for the programmer to be able to write the value of a constant operand as a part of the instruction that uses it.
- This avoids having to define the constant elsewhere in the program and make up a label for it.
- Such an operand is called a ***literal*** because the value is stated "***literally***" in the instruction.
- The use of literals is illustrated by the program in Fig. 2.9.
- The object code generated for the statements of this program is shown in Fig. 2.10. (This program is a modification of the one in Fig. 2.5).

Program demonstrating additional assembler features (2.9)

| Line | Source statement | | | |
|------|------------------|-------|---------------|--------------------------------|
| 5 | COPY | START | 0 | COPY FILE FROM INPUT TO OUTPUT |
| 10 | FIRST | STL | RETADR | SAVE RETURN ADDRESS |
| 13 | | LDB | #LENGTH | ESTABLISH BASE REGISTER |
| 14 | | BASE | LENGTH | |
| 15 | CLOOP | +JSUB | RDREC | READ INPUT RECORD |
| 20 | | LDA | LENGTH | TEST FOR EOF (LENGTH = 0) |
| 25 | | COMP | #0 | |
| 30 | | JEQ | ENDFIL | EXIT IF EOF FOUND |
| 35 | | +JSUB | WRREC | WRITE OUTPUT RECORD |
| 40 | | J | CLOOP | LOOP |
| 45 | ENDFIL | LDA | =C' EOF' | INSERT END OF FILE MARKER |
| 50 | | STA | BUFFER | |
| 55 | | LDA | #3 | SET LENGTH = 3 |
| 60 | | STA | LENGTH | |
| 65 | | +JSUB | WRREC | WRITE EOF |
| 70 | | J | @RETADR | RETURN TO CALLER |
| 93 | | LTORG | | |
| 95 | RETADR | RESW | 1 | |
| 100 | LENGTH | RESW | 1 | LENGTH OF RECORD |
| 105 | BUFFER | RESB | 4096 | 4096-BYTE BUFFER AREA |
| 106 | BUFEND | EQU | * | |
| 107 | MAXLEN | EQU | BUFEND-BUFFER | MAXIMUM RECORD LENGTH |
| 110 | . | | | |

(2.9 Continued)

```
115      .      SUBROUTINE TO READ RECORD INTO BUFFER
120      .
125      RDREC      CLEAR      X      CLEAR LOOP COUNTER
130      CLEAR      A      CLEAR A TO ZERO
132      CLEAR      S      CLEAR S TO ZERO
133      +LDT      #MAXLEN
135      RLOOP      TD      INPUT      TEST INPUT DEVICE
140      JEQ      RLOOP      LOOP UNTIL READY
145      RD      INPUT      READ CHARACTER INTO REGISTER A
150      COMPR      A,S      TEST FOR END OF RECORD (X'00')
155      JEQ      EXIT      EXIT LOOP IF EOR
160      STCH      BUFFER,X      STORE CHARACTER IN BUFFER
165      TIXR      T      LOOP UNLESS MAX LENGTH
170      JLT      RLOOP      HAS BEEN REACHED
175      EXIT      STX      LENGTH      SAVE RECORD LENGTH
180      RSUB
185      INPUT      BYTE      X'F1'      CODE FOR INPUT DEVICE
195      .
200      .      SUBROUTINE TO WRITE RECORD FROM BUFFER
205      .
210      WRREC      CLEAR      X      CLEAR LOOP COUNTER
212      LDT      LENGTH
215      WLOOP      TD      =X'05'      TEST OUTPUT DEVICE
220      JEQ      WLOOP      LOOP UNTIL READY
225      LDCH      BUFFER,X      GET CHARACTER FROM BUFFER
230      WD      =X'05'      WRITE CHARACTER
235      TIXR      T      LOOP UNTIL ALL CHARACTERS
240      JLT      WLOOP      HAVE BEEN WRITTEN
245      RSUB
255      END      FIRST
```

Program from Fig. 2.9 with object code (2.10)

| Line | Loc | Source statement | | | Object code |
|------|------|------------------|----------|---------------|-------------|
| 5 | 0000 | COPY | START | 0 | |
| 10 | 0000 | FIRST | STL | RETADR | 17202D |
| 13 | 0003 | | LDB | #LENGTH | 69202D |
| 14 | | | BASE | LENGTH | |
| 15 | 0006 | CLOOP | +JSUB | RDREC | 4B101036 |
| 20 | 000A | | LDA | LENGTH | 032026 |
| 25 | 000D | | COMP | #0 | 290000 |
| 30 | 0010 | | JEQ | ENDFIL | 332007 |
| 35 | 0013 | | +JSUB | WRREC | 4B10105D |
| 40 | 0017 | | J | CLOOP | 3F2FEC |
| 45 | 001A | ENDFIL | LDA | =C' EOF' | 032010 |
| 50 | 001D | | STA | BUFFER | 0F2016 |
| 55 | 0020 | | LDA | #3 | 010003 |
| 60 | 0023 | | STA | LENGTH | 0F200D |
| 65 | 0026 | | +JSUB | WRREC | 4B10105D |
| 70 | 002A | | J | @RETADR | 3E2003 |
| 93 | | | LTORG | | |
| | 002D | * | =C' EOF' | | 454F46 |
| 95 | 0030 | RETADR | RESW | 1 | |
| 100 | 0033 | LENGTH | RESW | 1 | |
| 105 | 0036 | BUFFER | RESB | 4096 | |
| 106 | 1036 | BUFEND | EQU | * | |
| 107 | 1000 | MAXLEN | EQU | BUFEND-BUFFER | |
| 110 | | . | | | |

(2.10 COntinued)

```

115      .          SUBROUTINE TO READ RECORD INTO BUFFER
120      .
125      1036      RDREC      CLEAR      X          B410
130      1038      CLEAR      A          B400
132      103A      CLEAR      S          B440
133      103C      +LDT      #MAXLEN      75101000
135      1040      RLOOP      TD          INPUT      E32019
140      1043      JEQ        RLOOP      332FFA
145      1046      RD          INPUT      DB2013
150      1049      COMPR      A,S        A004
155      104B      JEQ        EXIT      332008
160      104E      STCH       BUFFER,X   57C003
165      1051      TIXR       T          B850
170      1053      JLT        RLOOP      3B2FEA
175      1056      EXIT      STX          LENGTH     134000
180      1059      RSUB
185      105C      INPUT      BYTE      X'F1'        F1
195      .
200      .          SUBROUTINE TO WRITE RECORD FROM BUFFER
205      .
210      105D      WRREC      CLEAR      X          B410
212      105F      LDT        LENGTH     774000
215      1062      WLOOP      TD          =X'05'      E32011
220      1065      JEQ        WLOOP      332FFA
225      1068      LDCH       BUFFER,X   53C003
230      106B      WD          =X'05'      DF2008
235      106E      TIXR       T          B850
240      1070      JLT        WLOOP      3B2FEF
245      1073      RSUB
255      1076      *          =X'05'        05
      END      FIRST

```

- In our assembler language notation, a literal is identified with the prefix `=`, which is followed by a specification of the literal value, using the same notation as in the `BYTE` statement.
- Thus the literal in the statement

```

    45      001A  ENDFIL LDA      =C'EOF'      032010

```

specifies a 3-byte operand whose value is the character string EOF.

- Likewise the statement

```

    215     1062  WLOOP TD      =X'05'      E32011

```

specifies a 1-byte literal with the hexadecimal value 05.

- The notation used for literals varies from assembler to assembler; however, most assemblers use some symbol (as we have used `=`) to make literal identification easier.

- It is important to understand the difference between a literal and an immediate operand.
- With immediate addressing, the operand value is assembled as part of the machine instruction.
- With a literal, the assembler generates the specified value as a constant at some other memory location.
- The *address of* this generated constant is used as the target address for the machine instruction.
- The effect of using a literal is exactly the same as if the programmer had defined the constant explicitly and used the label assigned to the constant as the instruction operand.
- (In fact, the generated object code for lines 45 and 215 in Fig. 2.10 is identical to the object code for the corresponding lines in Fig. 2.6.)
- Compare the object instructions generated for lines 45 and 55 in Fig. 2.10 to make sure you understand how literals and immediate operands are handled.

- All of the literal operands used in a program are gathered together into one or more ***literal pools***.
- *Normally literals are placed into a pool at the end of the program.*
- The assembly listing of a program containing literals usually includes a listing of this literal pool, which shows the assigned addresses and the generated data values.
- Such a literal pool listing is shown in Fig. 2.10 immediately following the **END** statement.
- In this case, the pool consists of the single literal **=X'05'**.
- In some cases, however, it is desirable to place literals into a pool at some other location in the object program.
- To allow this, we introduce the assembler directive **LTORG** (line 93 in Fig. 2.9).
- When the assembler encounters a **LTORG** statement, it creates a literal pool that contains all of the literal operands used since the previous **LTORG** (or the beginning of the program).
- This literal pool is placed in the object program at the location where the **LTORG** directive was encountered (see Fig. 2.10).
- Of course, literals placed in a pool by **LTORG** will not be repeated in the pool at the end of the program.

- The basic data structure needed is a *literal table* **LITTAB**.
- *For each literal used*, this table contains the literal name, the operand value and length, and the address assigned to the operand when it is placed in a literal pool.
- **LITTAB** is often organized as a hash table, using the literal name or value as the key.
- As each literal operand is recognized during Pass 1, the assembler searches **LITTAB** for the specified literal name (or value).
- If the literal is already present in the table, no action is needed; if it is not present, the literal is added to **LITTAB** (leaving the address unassigned).
- When Pass 1 encounters a LTORG statement or the end of the program, the assembler makes a scan of the literal table.
- At this time each literal currently in the table is assigned an address (unless such an address has already been filled in).
- As these addresses are assigned, the location counter is updated to reflect the number of bytes occupied by each literal.
- During Pass 2, the-operand address for use in generating object code is obtained by searching **LITTAB** for each literal operand encountered.
- The data values specified by the literals in each literal pool are inserted at the appropriate places in the object program exactly as if these values had been generated by BYTE or WORD statements.
- If a literal value represents an address in the program (for example, a location counter value), the assembler must also generate the appropriate Modification record.

Symbol-Defining Statements

- Most assemblers provide an assembler directive that allows the programmer to define symbols and specify their values.
- The assembler directive generally used is **EQU** (for "equate").
- The general form of such a statement is

symbol EQU value

- This statement defines the given symbol (i.e., enters it into **SYMTAB**) and assigns to it the value specified.
- The value may be given as a constant or as any expression involving constants and previously defined symbols.
- One common use of **EQU** is to establish symbolic names that can be used for improved readability in place of numeric values.
- For example, on line 133 of the program in Fig. 2.5 we used the statement

+LDT #4096

to load the value 4096 into register T.

- This value represents the maximum length record we could read with subroutine **RDREC**. The meaning is not, however, as clear as it might be.
- If we include the statement

MAXLEN EQU 4096

in the program, we can write line 133 as

+LDT #MAXLEN

- Another common use of **EQU** is in defining mnemonic names for registers.
- We have assumed that our assembler recognizes standard mnemonics for registers -A, X, L, etc.
- Suppose, however, that the assembler expected register *numbers* instead of names in an instruction like *RMO*. This would require the programmer to write (for example) *RMO 0,1* instead of *RMO A,X*.
- In such a case the programmer could include a sequence of **EQU** statements like

| | | |
|----------|------------|----------|
| A | EQU | 0 |
| X | EQU | 1 |
| L | EQU | 2 |

- These statements cause the symbols A, X, L,... to be entered into **SYMTAB** with their corresponding values 0, 1,2,
- An instruction like **RMO A,X** would then be allowed.
- The assembler would search **SYMTAB**, finding the values 0 and 1 for the symbols A and X, and assemble the instruction.

- Consider, however, a machine that has general-purpose registers.
- These registers are typically designated by 0, 1, 2,... (or R0, R1, R2,...).
- In a particular program, however, some of these may be used as base registers, some as index registers, some as accumulators, etc.
- Furthermore, this usage of registers changes from one program to the next.
- By writing statements like

BASE EQU R1

COUNT EQU R2

INDEX EQU R3

the programmer can establish and use names that reflect the logical function of the registers in the program.

- There is another common assembler directive that can be used to indirectly assign values to symbols.
- This directive is usually called **ORG** (for "origin").
- Its form is: **ORG value**
where *value* is a constant or an expression involving constants and previously defined symbols.
- When this statement is encountered during assembly of a program, the assembler resets its location counter (**LOCCTR**) to the specified value.
- Since the values of symbols used as labels are taken from **LOCCTR**, the **ORG** statement will affect the values of all labels defined until the next **ORG**.

| | SYMBOL | VALUE | FLAGS |
|------------------------------|---------------|--------------|--------------|
| STAB (100 entries) | | | |
| | | | |
| | | | |
| | | | |
| | ⋮ | ⋮ | ⋮ |

- In this table, the **SYMBOL** field contains a 6-byte user-defined symbol; **VALUE** is a one-word representation of the value assigned to the symbol; **FLAGS** is a 2-byte field that specifies symbol type and other information.
- We could reserve space for this table with the statement

```
STAB          RESB          1100
```

- We want to be able to refer to the fields **SYMBOL**, **VALUE**, and **FLAGS** individually, so we must also define these labels.
- One way of doing this would be with **EQU** statements:

```
SYMBOL      EQU      STAB
VALUE      EQU      STAB+6
FLAGS      EQU      STAB+9
```


- We can accomplish the same symbol definition using ORG in the following way:

```

STAB      RESB      1100
           ORG        STAB
SYMBOL RESB      6
VALUE  RESW      1
FLAGS  RESB      2
           ORG        STAB+11 00

```

- The first **ORG** resets the location counter to the value of **STAB** (i.e., the beginning address of the table).
- The label on the following **RESB** statement defines **SYMBOL** to have the current value in **LOCCTR**; this is the same address assigned to **SYMTAB**.
- LOCCTR** is then advanced so the label on the **RESW** statement assigns to **VALUE** the address (STAB+6), and so on.
- The result is a set of labels with the same values as those defined with the **EQU** statements above.
- This method of definition makes it clear, however, that each entry in **STAB** consists of a 6-byte **SYMBOL**, followed by a one-word **VALUE**, followed by a 2-byte **FLAGS**.
- The last **ORG** statement is very important.
- It sets **LOCCTR** back to its previous value-the address of the next unassigned byte of memory after the table **STAB**.
- This is necessary so that any labels on subsequent statements, which do not represent part of **STAB**, are assigned the proper addresses.

Expressions

- Assemblers generally allow arithmetic expressions formed according to the normal rules using the operators +, -, *, and /.
- Division is usually defined to produce an integer result.
- Individual terms in the expression may be constants, user-defined symbols, or special terms.
- The most common such special term is the current value of the location counter (often designated by *).
- This term represents the value of the next unassigned memory location.
- Thus in Fig. 2.9 the statement

106 BUFEND EQU *

gives **BUFEND** a value that is the address of the next byte after the buffer area.

- Expressions are classified as either ***absolute expressions or relative expressions*** depending upon the type of value they produce.
- An expression that contains only absolute terms is, of course, an **absolute expression**.
- However, absolute expressions may also contain relative terms provided the relative terms occur in pairs and the terms in each such pair have opposite signs.
- It is not necessary that the paired terms be adjacent to each other in the expression; however, all relative terms must be capable of being paired in this way.
- None of the relative terms may enter into a multiplication or division operation.
- A **relative expression** is one in which all of the relative terms except one can be paired as described above; the remaining unpaired relative term must have a positive sign.
- As before, no relative term may enter into a multiplication or division operation.
- Expressions that do not meet the conditions given for either absolute or relative expressions should be flagged by the assembler as errors.

- Consider, for example, the program of Fig. 2.9. In the statement

107 MAXLEN EQU BUFEND-BUFFER

both **BUFEND** and **BUFFER** are relative terms, each representing an address within the program.

- However, the expression represents an absolute value: the *difference between the two addresses, which is the length of the buffer area in bytes*.
- Notice that the assembler listing in Fig. 2.10 shows the value calculated for this expression (hexadecimal 1000) in the Loc column.
- This value does not represent an address, as do most of the other entries in that column.
- However, it does show the value that is associated with the symbol that appears in the source statement (**MAXLEN**).

Program Blocks

- In all of the examples so far the program being assembled was treated as a unit.
- The source programs logically contained subroutines, data areas, etc.
- However, they were handled by the assembler as one entity, resulting in a single block of object code.
- Within this object program the generated machine instructions and data appeared in the same order as they were written in the source program.
- Many assemblers provide features that allow more flexible handling of the source and object programs.
- Some features allow the generated machine instructions and data to appear in the object program in a different order from the corresponding source statements.
- Other features result in the creation of several independent parts of the object program.
- These parts maintain their identity and are handled separately by the loader.
- We use the term ***program blocks*** to refer to segments of code that are rearranged within a single object program unit, and ***control sections*** to refer to segments that are translated into independent object program units.
- In this section we consider the use of program blocks and how they are handled by the assembler.

- Figure 2.11 shows our example program as it might be written using program blocks. In this case three blocks are used.
- The first (**unnamed**) program block contains the executable instructions of the program.
- The second (named **CDATA**) contains all data areas that are a few words or less in length.
- The third (named **CBLKS**) contains all data areas that consist of larger blocks of memory.
- The assembler directive **USE** indicates which portions of the source program belong to the various blocks.
- At the beginning of the program, statements are assumed to be part of the unnamed (default) block; if no **USE** statements are included, the entire program belongs to this single block.
- The **USE** statement on line 92 signals the beginning of the block named **CDATA**.
- Source statements are associated with this block until the **USE** statement on line 103, which begins the block named **CBLKS**.
- The **USE** statement may also indicate a continuation of a previously begun block.
- Thus the statement on line 123 resumes the default block, and the statement on line 183 resumes the block named **CDATA**.

Example of a program with multiple program blocks (2.11)

| Line | Source statement | | | |
|------|------------------|-------|---------------|--------------------------------|
| 5 | COPY | START | 0 | COPY FILE FROM INPUT TO OUTPUT |
| 10 | FIRST | STL | RETADR | SAVE RETURN ADDRESS |
| 15 | CLOOP | JSUB | RDREC | READ INPUT RECORD |
| 20 | | LDA | LENGTH | TEST FOR EOF (LENGTH = 0) |
| 25 | | COMP | #0 | |
| 30 | | JEQ | ENDFIL | EXIT IF EOF FOUND |
| 35 | | JSUB | WRREC | WRITE OUTPUT RECORD |
| 40 | | J | CLOOP | LOOP |
| 45 | ENDFIL | LDA | =C'EOF' | INSERT END OF FILE MARKER |
| 50 | | STA | BUFFER | |
| 55 | | LDA | #3 | SET LENGTH = 3 |
| 60 | | STA | LENGTH | |
| 65 | | JSUB | WRREC | WRITE EOF |
| 70 | | J | @RETADR | RETURN TO CALLER |
| 92 | | USE | CDATA | |
| 95 | RETADR | RESW | 1 | |
| 100 | LENGTH | RESW | 1 | LENGTH OF RECORD |
| 103 | | USE | CBLKS | |
| 105 | BUFFER | RESB | 4096 | 4096-BYTE BUFFER AREA |
| 106 | BUFEND | EQU | * | FIRST LOCATION AFTER BUFFER |
| 107 | MAXLEN | EQU | BUFEND-BUFFER | MAXIMUM RECORD LENGTH |
| 110 | . | | | |

(2.11 Continued)

```

115      .      SUBROUTINE TO READ RECORD INTO BUFFER
120      .
123      USE
125  RDREC    CLEAR      X      CLEAR LOOP COUNTER
130          CLEAR      A      CLEAR A TO ZERO
132          CLEAR      S      CLEAR S TO ZERO
133          +LDT        #MAXLEN
135  RLOOP    TD          INPUT   TEST INPUT DEVICE
140          JEQ         RLOOP    LOOP UNTIL READY
145          RD          INPUT   READ CHARACTER INTO REGISTER A
150          COMPR       A,S      TEST FOR END OF RECORD (X'00')
155          JEQ         EXIT     EXIT LOOP IF EOR
160          STCH        BUFFER,X  STORE CHARACTER IN BUFFER
165          TIXR        T        LOOP UNLESS MAX LENGTH
170          JLT         RLOOP     HAS BEEN REACHED
175  EXIT     STX         LENGTH   SAVE RECORD LENGTH
180          RSUB
183          USE         CDATA
185  INPUT    BYTE       X'F1'    CODE FOR INPUT DEVICE
195      .
200      .      SUBROUTINE TO WRITE RECORD FROM BUFFER
205      .
208      USE
210  WRREC    CLEAR      X      CLEAR LOOP COUNTER
212          LDT         LENGTH
215  WLOOP    TD          =X'05'   TEST OUTPUT DEVICE
220          JEQ         WLOOP    LOOP UNTIL READY
225          LDCH        BUFFER,X  GET CHARACTER FROM BUFFER
230          WD          =X'05'   WRITE CHARACTER
235          TIXR        T        LOOP UNTIL ALL CHARACTERS
240          JLT         WLOOP     HAVE BEEN WRITTEN
245          RSUB
252          USE         CDATA
253          LTORG
255          END          FIRST

```


- The assembler accomplishes this logical rearrangement of code by maintaining, during Pass 1, a separate location counter for each program block.
- The location counter for a block is initialized to 0 when the block is first begun.
- The current value of this location counter is saved when switching to another block, and the saved value is restored when resuming a previous block.
- Thus during Pass 1 each label in the program is assigned an address that is relative to the start of the block that contains it.
- When labels are entered into the symbol table, the block name or number is stored along with the assigned relative address.
- At the end of Pass 1 the latest value of the location counter for each block indicates the length of that block.
- The assembler can then assign to each block a starting address in the object program (beginning with relative location 0) (Fig 2.12 b*).
- For code generation during Pass 2, the assembler needs the address for each symbol relative to the start of the object program (not the start of an individual program block).
- This is easily found from the information in **SYMTAB**.
- The assembler simply adds the location of the symbol, relative to the start of its block, to the assigned block starting address (2.12 c*).
- **[* - See additional files]**

Program from Fig. 2.11 with object code (2.12 a)

| Line | Loc/Block | | Source statement | | | Object code |
|------|-----------|---|------------------|-------|---------------|-------------|
| 5 | 0000 | 0 | COPY | START | 0 | |
| 10 | 0000 | 0 | FIRST | STL | RETADR | 172063 |
| 15 | 0003 | 0 | CLOOP | JSUB | RDREC | 4B2021 |
| 20 | 0006 | 0 | | LDA | LENGTH | 032060 |
| 25 | 0009 | 0 | | COMP | #0 | 290000 |
| 30 | 000C | 0 | | JEQ | ENDFIL | 332006 |
| 35 | 000F | 0 | | JSUB | WRREC | 4B203B |
| 40 | 0012 | 0 | | J | CLOOP | 3F2FEE |
| 45 | 0015 | 0 | ENDFIL | LDA | =C' EOF' | 032055 |
| 50 | 0018 | 0 | | STA | BUFFER | 0F2056 |
| 55 | 001B | 0 | | LDA | #3 | 010003 |
| 60 | 001E | 0 | | STA | LENGTH | 0F2048 |
| 65 | 0021 | 0 | | JSUB | WRREC | 4B2029 |
| 70 | 0024 | 0 | | J | @RETADR | 3E203F |
| 92 | 0000 | 1 | | USE | CDATA | |
| 95 | 0000 | 1 | RETADR | RESW | 1 | |
| 100 | 0003 | 1 | LENGTH | RESW | 1 | |
| 103 | 0000 | 2 | | USE | CBLKS | |
| 105 | 0000 | 2 | BUFFER | RESB | 4096 | |
| 106 | 1000 | 2 | BUFEND | EQU | * | |
| 107 | 1000 | | MAXLEN | EQU | BUFEND-BUFFER | |
| 110 | | | | | | |

(2.12 a Continued)

```

115      .          SUBROUTINE TO READ RECORD INTO BUFFER
120      .
123      0027  0          USE
125      0027  0      RDREC  CLEAR      X          B410
130      0029  0          CLEAR      A          B400
132      002B  0          CLEAR      S          B440
133      002D  0      +LDT      #MAXLEN  75101000
135      0031  0      RLOOP  TD          INPUT    E32038
140      0034  0          JEQ          RLOOP    332FFA
145      0037  0          RD          INPUT    DB2032
150      003A  0          COMPR      A,S      A004
155      003C  0          JEQ          EXIT    332008
160      003F  0          STCH      BUFFER,X  57A02F
165      0042  0          TIXR      T          B850
170      0044  0          JLT          RLOOP    3B2FEA
175      0047  0      EXIT  STX          LENGTH  13201F
180      004A  0          RSUB          4F0000
183      0006  1          USE          CDATA
185      0006  1      INPUT  BYTE      X'F1'    F1
195      .
200      .          SUBROUTINE TO WRITE RECORD FROM BUFFER
205      .
208      004D  0          USE
210      004D  0      WRREC  CLEAR      X          B410
212      004F  0          LDT          LENGTH  772017
215      0052  0      WLOOP  TD          =X'05'  E3201B
220      0055  0          JEQ          WLOOP    332FFA
225      0058  0          LDCH      BUFFER,X  53A016
230      005B  0          WD          =X'05'  DF2012
235      005E  0          TIXR      T          B850
240      0060  0          JLT          WLOOP    3B2FEF
245      0063  0          RSUB          4F0000
252      0007  1          USE          CDATA
253      LTORG
      0007  1      *      =C'EOF      454F46
      000A  1      *      =X'05'    05
255      END          FIRST

```

See additional doc for 2.12 b and 2.12 c

- Figure 2.12 a demonstrates this process applied to our sample program.
- The column headed **loc/Block** shows the relative address (within a program block) assigned to each source line and a block number indicating which program block is involved (0 = **default block**, 1 = **CDATA**, 2 = **CBLKS**).
- This is essentially the same information that is stored in **SYMTAB** for each symbol.
- Notice that the value of the symbol **MAXLEN** (line 107) is shown without a block number. This indicates that **MAXLEN** is an absolute symbol, whose value is not relative to the start of any program block.
- At the end of Pass 1 the assembler constructs a table that contains the starting addresses and lengths for all blocks.
- For our sample program, this table looks like

| Block name | Block number | Address | Length |
|-------------------|---------------------|----------------|---------------|
| (default) | 0 | 0000 | 0066 |
| CDATA | 1 | 0066 | 000B |
| CBLKS | 2 | 0071 | 1000 |

- It is not necessary to physically rearrange the generated code in the object program to place the pieces of each program block together.
- The assembler can simply write the object code as it is generated during Pass 2 and insert the proper load address in each Text record.
- These load addresses will, of course, reflect the starting address of the block as well as the relative location of the code within the block.
- This process is illustrated in Fig. 2.13.
- The first two Text records are generated from the source program lines 5 through 70.
- When the **USE** statement on line 92 is recognized, the assembler writes out the current Text record (even though there is still room left in it).
- The assembler then prepares to begin a new Text record for the new program block.
- As it happens, the statements on lines 95 through 105 result in no generated code, so no new Text records are created.

- The next two Text records come from lines 125 through 180.
- This time the statements that belong to the next program block do result in the generation of object code.
- The fifth Text record contains the single byte of data from line 185.
- The sixth Text record resumes the default program block and the rest of the object program continues in similar fashion.

```

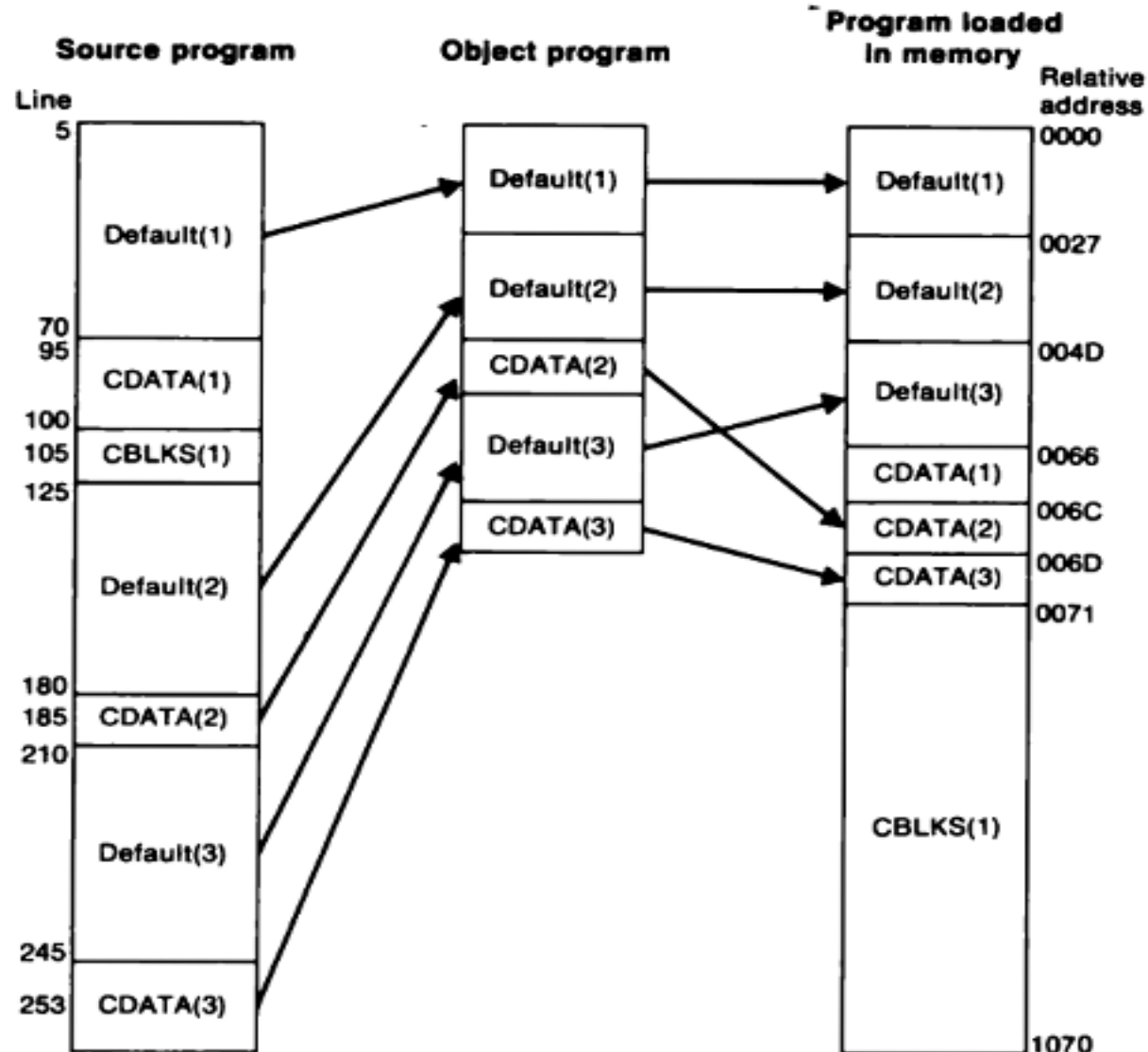
H^C^O^P^Y   ^0^0^0^0^0^0^1^0^7^1
T^0^0^0^0^0^1^E^1^7^2^0^6^3^4^B^2^0^2^1^0^3^2^0^6^0^2^9^0^0^0^0^3^3^2^0^0^6^4^B^2^0^3^B^3^F^2^F^E^E^0^3^2^0^5^5^0^F^2^0^5^6^0^1^0^0^0^3
T^0^0^0^0^1^E^0^9^0^F^2^0^4^8^4^B^2^Q^2^9^3^E^2^0^3^F
T^0^0^0^0^2^7^1^D^B^4^1^0^B^4^0^0^B^4^4^0^7^5^1^0^1^0^0^0^E^3^2^0^3^8^3^3^2^F^F^A^D^B^2^0^3^2^A^0^0^4^3^3^2^0^0^8^5^7^A^0^2^F^B^8^5^0
T^0^0^0^0^4^0^9^3^B^2^F^E^A^1^3^2^0^1^F^4^F^0^0^0^0
T^0^0^0^0^6^C^0^1^F^1
T^0^0^0^0^4^D^1^9^B^4^1^0^7^7^2^0^1^7^E^3^2^0^1^B^3^3^2^F^F^A^5^3^A^0^1^6^D^F^2^0^1^2^B^8^5^0^3^B^2^F^E^F^4^F^0^0^0^0
T^0^0^0^0^6^D^0^4^4^5^4^F^4^6^0^5
E^0^0^0^0^0^0

```

Figure 2.13 Object program corresponding to Fig. 2.11.

- The loader will simply load the object code from each record at the indicated address.
- When this loading is completed, the generated code from the default block will occupy relative locations 0000 through 0065; the generated code and reserved storage for **CDATA** will occupy locations 0066 through 0070; and the storage reserved for **CBLKS** will occupy locations 0071 through 1070.
- Figure 2.14 traces the blocks of the example program through this process of assembly and loading.
- Notice that the program segments marked **CDATA(1)** and **CBLKS(1)** are not actually present in the object program.
- Because of the way the addresses are assigned, storage will automatically be reserved for these areas when the program is loaded.

Program blocks from Fig. 2.11 traced through the assembly and loading processes (2.14)



Control Sections and Program Linking

- A ***control section*** is a part of the program that maintains its identity after assembly; each such control section can be loaded and relocated independently of the others.
- Different control sections are most often used for subroutines or other logical subdivisions of a program.
- The programmer can assemble, load, and manipulate each of these control sections separately.
- The resulting flexibility is a major benefit of using control sections.

- When control sections form logically related parts of a program, it is necessary to provide some means for ***linking them together***.
- *For example, instructions* in one control section might need to refer to instructions or data located in another section.
- Because control sections are independently loaded and relocated, the assembler is unable to process these references in the usual way.
- The assembler has no idea where any other control section will be located at execution time.
- Such references between control sections are called ***external references***.
- The assembler generates information for each external reference that will allow the loader to perform the required linking.
- In this section we describe how external references are handled by our assembler.
- Chapter 3 discusses in detail how the actual linking is performed.

- Figure 2.15 shows our example program as it might be written using multiple control sections.
- In this case there are three control sections: one for the main program and one for each subroutine.
- The **START** statement identifies the beginning of the assembly and gives a name (**COPY**) to the first control section.
- The first section continues until the **CSECT** statement on line 109.
- This assembler directive signals the start of a new control section named **RDREC**.
- Similarly, the **CSECT** statement on line 193 begins the control section named **WRREC**.
- The assembler establishes a separate location counter (beginning at 0) for each control section, just as it does for program blocks.

- Control sections differ from program blocks in that they are handled separately by the assembler. (It is not even necessary for all control sections in a program to be assembled at the same time.)
- Symbols that are defined in one control section may not be used directly by another control section; they must be identified as external references for the loader to handle.
- Figure 2.15 shows the use of two assembler directives to identify such references: **EXTDEF** (**external definition**) and **EXTREF** (**external reference**).
- The **EXTDEF** statement in a control section names symbols, called ***external symbols***, that are defined in this control section and may be used by other sections.
- Control section names (in this case **COPY**, **RDREC**, and **WRREC**) do not need to be named in an **EXTDEF** statement because they are automatically considered to be external symbols.
- The **EXTREF** statement names symbols that are used in this control section and are defined elsewhere.
- For example, the symbols **BUFFER**, **BUFEND**, and **LENGTH** are defined in the control section named **COPY** and made available to the other sections by the **EXTDEF** statement on line 6.
- The third control section (**WRREC**) uses two of these symbols, as specified in its **EXTREF** statement (line 207).
- The order in which symbols are listed in the **EXTDEF** and **EXTREF** statements is not significant.

Illustration of control sections and program linking (2.15)

| Line | Source statement | | | |
|------|------------------|--------|------------------------|--------------------------------|
| 5 | COPY | START | 0 | COPY FILE FROM INPUT TO OUTPUT |
| 6 | | EXTDEF | BUFFER, BUFEND, LENGTH | |
| 7 | | EXTREF | RDREC, WRREC | |
| 10 | FIRST | STL | RETADR | SAVE RETURN ADDRESS |
| 15 | CLOOP | +JSUB | RDREC | READ INPUT RECORD |
| 20 | | LDA | LENGTH | TEST FOR EOF (LENGTH = 0) |
| 25 | | COMP | #0 | |
| 30 | | JEQ | ENDFIL | EXIT IF EOF FOUND |
| 35 | | +JSUB | WRREC | WRITE OUTPUT RECORD |
| 40 | | J | CLOOP | LOOP |
| 45 | ENDFIL | LDA | =C'EOF' | INSERT END OF FILE MARKER |
| 50 | | STA | BUFFER | |
| 55 | | LDA | #3 | SET LENGTH = 3 |
| 60 | | STA | LENGTH | |
| 65 | | +JSUB | WRREC | WRITE EOF |
| 70 | | J | @RETADR | RETURN TO CALLER |
| 95 | RETADR | RESW | 1 | |
| 100 | LENGTH | RESW | 1 | LENGTH OF RECORD |
| 103 | | LTORG | | |
| 105 | BUFFER | RESB | 4096 | 4096-BYTE BUFFER AREA |
| 106 | BUFEND | EQU | * | |
| 107 | MAXLEN | EQU | BUFEND-BUFFER | |

(2.15 Continued)

```

109  RDREC      CSECT
110  .
115  .          SUBROUTINE TO READ RECORD INTO BUFFER
120  .
122          EXTREF      BUFFER, LENGTH, BUFEND
125  CLEAR      X          CLEAR LOOP COUNTER
130  CLEAR      A          CLEAR A TO ZERO
132  CLEAR      S          CLEAR S TO ZERO
133  LDT        MAXLEN
135  RLOOP      TD          TEST INPUT DEVICE
140            JEQ         LOOP UNTIL READY
145            RD          READ CHARACTER INTO REGISTER J
150            COMPR      A, S      TEST FOR END OF RECORD (X'00')
155            JEQ         EXIT     EXIT LOOP IF EOR
160            +STCH      BUFFER, X STORE CHARACTER IN BUFFER
165            TIXR      T          LOOP UNLESS MAX LENGTH
170            JLT        RLOOP     HAS BEEN REACHED
175  EXIT      +STX        LENGTH   SAVE RECORD LENGTH
180            RSUB
185  INPUT      BYTE      X'F1'     CODE FOR INPUT DEVICE
190  MAXLEN     WORD      BUFEND-BUFFER

193  WRREC      CSECT
195  .
200  .          SUBROUTINE TO WRITE RECORD FROM BUFFER
205  .
207          EXTREF      LENGTH, BUFFER
210  CLEAR      X          CLEAR LOOP COUNTER
212  +LDT       LENGTH
215  WLOOP      TD          =X'05'   TEST OUTPUT DEVICE
220            JEQ         WLOOP    LOOP UNTIL READY
225  +LDCH      BUFFER, X      GET CHARACTER FROM BUFFER
230            WD          =X'05'   WRITE CHARACTER
235            TIXR      T          LOOP UNTIL ALL CHARACTERS
240            JLT        WLOOP    HAVE BEEN WRITTEN
245            RSUB
255  END          FIRST

```

Program from Fig. 2.15 with object code (2.16)

| Line | Loc | Source statement | | | Object code |
|------|------|------------------|----------|------------------------|-------------|
| 5 | 0000 | COPY | START | 0 | |
| 6 | | | EXTDEF | BUFFER, BUFEND, LENGTH | |
| 7 | | | EXTREF | RDREC, WRREC | |
| 10 | 0000 | FIRST | STL | RETADR | 172027 |
| 15 | 0003 | CLOOP | +JSUB | RDREC | 4B100000 |
| 20 | 0007 | | LDA | LENGTH | 032023 |
| 25 | 000A | | COMP | #0 | 290000 |
| 30 | 000D | | JEQ | ENDFIL | 332007 |
| 35 | 0010 | | +JSUB | WRREC | 4B100000 |
| 40 | 0014 | | J | CLOOP | 3F2FEC |
| 45 | 0017 | ENDFIL | LDA | =C' EOF' | 032016 |
| 50 | 001A | | STA | BUFFER | 0F2016 |
| 55 | 001D | | LDA | #3 | 010003 |
| 60 | 0020 | | STA | LENGTH | 0F200A |
| 65 | 0023 | | +JSUB | WRREC | 4B100000 |
| 70 | 0027 | | J | @RETADR | 3E2000 |
| 95 | 002A | RETADR | RESW | 1 | |
| 100 | 002D | LENGTH | RESW | 1 | |
| 103 | | | LTORG | | |
| | 0030 | * | =C' EOF' | | 454F46 |
| 105 | 0033 | BUFFER | RESB | 4096 | |
| 106 | 1033 | BUFEND | EQU | * | |
| 107 | 1000 | MAXLEN | EQU | BUFEND-BUFFER | |
| 109 | 0000 | RDREC | CSECT | | |
| 110 | | . | | | |

(2.16 Continued)

```

115      .      SUBROUTINE TO READ RECORD INTO BUFFER
120      .
122      EXTREF  BUFFER, LENGTH, BUFEND
125      0000    CLEAR  X      B410
130      0002    CLEAR  A      B400
132      0004    CLEAR  S      B440
133      0006      LDT    MAXLEN  77201F
135      0009      RLOOP  TD      E3201B
140      000C      JEQ    RLOOP  332FFA
145      000F      RD     INPUT  DB2015
150      0012      COMPR  A, S    A004
155      0014      JEQ    EXIT    332009
160      0017      +STCH  BUFFER, X  57900000
165      001B      TIXR   T      B850
170      001D      JLT    RLOOP  3B2FE9
175      0020      EXIT  +STX    LENGTH  13100000
180      0024      RSUB                4F0000
185      0027      INPUT  BYTE    X'F1'  F1
190      0028      MAXLEN  WORD    BUFEND-BUFFER  000000

193      0000      WRRREC  CSECT
195      .
200      .      SUBROUTINE TO WRITE RECORD FROM BUFFER
205      .
207      EXTREF  LENGTH, BUFFER
210      0000    CLEAR  X      B410
212      0002      +LDT   LENGTH  77100000
215      0006      WLOOP  TD      =X'05'  E32012
220      0009      JEQ    WLOOP  332FFA
225      000C      +LDCH  BUFFER, X  53900000
230      0010      WD     =X'05'  DF2008
235      0013      TIXR   T      B850
240      0015      JLT    WLOOP  3B2FEE
245      0018      RSUB                4F0000
255      001B      *      END      FIRST
                                05

```

- Figure 2.16 shows the generated object code for each statement in the program.

- Consider first the instruction

15 0003 CLOOP +JSUB RDREC 4B100000

- The operand (**RDREC**) is named in the **EXTREF** statement for the control section, so this is an external reference.
- The assembler has no idea where the control section containing **RDREC** will be loaded, so it cannot assemble the address for this instruction.
- Instead the assembler inserts an address of zero and passes information to the loader, which will cause the proper address to be inserted at load time.
- The address of **RDREC** will have no predictable relationship to anything in this control section; therefore relative addressing is not possible.
- Thus an extended format instruction must be used to provide room for the actual address to be inserted.
- This is true of any instruction whose operand involves an external reference.

- Similarly, the instruction

160 0017 +STCH BUFFER, X 57900000

makes an external reference to **BUFFER**.

- The instruction is assembled using extended format with an address of zero.
- The *x bit is set to 1 to indicate indexed* addressing, as specified by the instruction.
- The statement

190 0028 MAXLEN WORD BUFEND- BUFFER 000000

is only slightly different.

- Here the value of the data word to be generated is specified by an expression involving two external references: **BUFEND** and **BUFFER**.
- As before, the assembler stores this value as zero. When the program is loaded, the loader will add to this data area the address of **BUFEND** and subtract from it the address of **BUFFER**, which results in the desired value.
- Note the difference between the handling of the expression on line 190 and the similar expression on line 107.
- The symbols **BUFEND** and **BUFFER** are defined in the same control section with the **EQU** statement on line 107.
- Thus the value of the expression can be calculated immediately by the assembler.
- This could not be done for line 190; **BUFEND** and **BUFFER** are defined in another control section, so their values are unknown at assembly time.

- The two new record types are: **Define** and **Refer**.
- A **Define record** gives information about external symbols that are defined in this control section—that is, symbols named by **EXTDEF**.
- A **Refer record** lists symbols that are used as external references by the control section—that is, symbols named by **EXTREF**.
- The formats of these records are as follows.

Define record:

| | |
|------------|--|
| Col. 1 | D |
| Col. 2-7 | Name of external symbol defined in this control section |
| Col. 8-13 | Relative address of symbol within this control section (hexadecimal) |
| Col. 14-73 | Repeat information in Col. 2-13 for other external symbols |

Refer record:

| | |
|-----------|---|
| Col. 1 | R |
| Col. 2-7 | Name of external symbol referred to in this control section |
| Col. 8-73 | Names of other external reference symbols |

- The other information needed for program linking is added to the **Modification record** type.
- The new format is as follows.

Modification record (revised):

| | |
|------------|--|
| Col. 1 | M |
| Col. 2-7 | Starting address of the field to be modified, relative to the beginning of the control section (hexadecimal) |
| Col. 8-9 | Length of the field to be modified, in half-bytes (hexadecimal) |
| Col. 10 | Modification flag (+ or-) |
| Col. 11-16 | External symbol whose value is to be added to or subtracted from the indicated field |

- The first three items in this record are the same as previously discussed.
- The two new items specify the modification to be performed: adding or subtracting the value of some external symbol.
- The symbol used for modification may be defined either in this control section or in another one.

Object program corresponding to Fig. 2.15 (2.17)

```
HCOPY 000000001033
DBUFFER000033BUFEND001033LENGTH00002D
RRDREC WRREC
T0000001D1720274B10000003202329000003320074B10000003F2FEC0320160F2016
T00001D0D0100030F200A4B1000003E2000
T00003003454F46
M00000405+RDREC
M00001105+WRREC
M00002405+WRREC
E000000
```

```
HRDREC 000000000002B
RBUFFERLENGTHBUFEND
T0000001DB410B400B44077201FE3201B332FFADB2015A00433200957900000B850
T00001D0E3B2FE91310000004F0000F1000000
M00001805+BUFFER
M00002105+LENGTH
M00002806+BUFEND
M00002806-BUFFER
E
```

```
HWRREC 000000000001C
RLENGTHBUFFER
T0000001CB410771000000E32012332FFA53900000DF2008B8503B2FEE4F000005
M00000305+LENGTH
M00000D05+BUFFER
E
```

2.4: ASSEMBLER DESIGN OPTIONS

- In this section we discuss two alternatives to the standard two-pass assembler logic.
- One-pass assemblers are used when it is necessary or desirable to avoid a second pass over the source program.
- Multipass assembler, an extension to the two-pass logic that allows an assembler to handle forward references during symbol definition.

One-Pass Assemblers

- It is easy to eliminate forward references to data items; we can simply require that all such areas be defined in the source program before they are referenced.
- This restriction is not too severe.
- The programmer merely places all storage reservation statements at the start of the program rather than at the end.
- Unfortunately, forward references to labels on instructions cannot be eliminated as easily. The logic of the program often requires a forward jump for example, in escaping from a loop after testing some condition.
- Requiring that the programmer eliminate all such forward jumps would be much too restrictive and inconvenient.
- Therefore, the assembler must make some special provision for handling forward references.
- To reduce the size of the problem, many one-pass assemblers do, however, prohibit (or at least discourage) forward references to data items.

- There are two main types of one-pass assembler.
- One type produces object code directly in memory for immediate execution; the other type produces the usual kind of object program for later execution.
- We use the program in Fig. 2.18 to illustrate our discussion of both types.
- This example is the same as in Fig. 2.2, with all data item definitions placed ahead of the code that references them.
- The generated object code shown in Fig. 2.18 is for reference only; we will discuss how each type of one-pass assembler would actually generate the object program required.

Sample program for a one-pass assembler (2.18)

| Line | Loc | Source statement | | | Object code |
|------|------|------------------|-------|---------|-------------|
| 0 | 1000 | COPY | START | 1000 | |
| 1 | 1000 | EOF | BYTE | C' EOF' | 454F46 |
| 2 | 1003 | THREE | WORD | 3 | 000003 |
| 3 | 1006 | ZERO | WORD | 0 | 000000 |
| 4 | 1009 | RETADR | RESW | 1 | |
| 5 | 100C | LENGTH | RESW | 1 | |
| 6 | 100F | BUFFER | RESB | 4096 | |
| 9 | | . | | | |
| 10 | 200F | FIRST | STL | RETADR | 141009 |
| 15 | 2012 | CLOOP | JSUB | RDREC | 48203D |
| 20 | 2015 | | LDA | LENGTH | 00100C |
| 25 | 2018 | | COMP | ZERO | 281006 |
| 30 | 201B | | JEQ | ENDFIL | 302024 |
| 35 | 201E | | JSUB | WRREC | 482062 |
| 40 | 2021 | | J | CLOOP | 302012 |
| 45 | 2024 | ENDFIL | LDA | EOF | 001000 |
| 50 | 2027 | | STA | BUFFER | 0C100F |
| 55 | 202A | | LDA | THREE | 001003 |
| 60 | 202D | | STA | LENGTH | 0C100C |
| 65 | 2030 | | JSUB | WRREC | 482062 |
| 70 | 2033 | | LDL | RETADR | 081009 |
| 75 | 2036 | | RSUB | | 4C0000 |

(2.18 Continued)

| | | | | | |
|-----|------|--|------|----------|--------|
| 115 | . | SUBROUTINE TO READ RECORD INTO BUFFER | | | |
| 120 | . | | | | |
| 121 | 2039 | INPUT | BYTE | X'F1' | F1 |
| 122 | 203A | MAXLEN | WORD | 4096 | 001000 |
| 124 | . | | | | |
| 125 | 203D | RDREC | LDX | ZERO | 041006 |
| 130 | 2040 | | LDA | ZERO | 001006 |
| 135 | 2043 | RLOOP | TD | INPUT | E02039 |
| 140 | 2046 | | JEQ | RLOOP | 302043 |
| 145 | 2049 | | RD | INPUT | D82039 |
| 150 | 204C | | COMP | ZERO | 281006 |
| 155 | 204F | | JEQ | EXIT | 30205B |
| 160 | 2052 | | STCH | BUFFER,X | 54900F |
| 165 | 2055 | | TIX | MAXLEN | 2C203A |
| 170 | 2058 | | JLT | RLOOP | 382043 |
| 175 | 205B | EXIT | STX | LENGTH | 10100C |
| 180 | 205E | | RSUB | | 4C0000 |
| 195 | . | | | | |
| 200 | . | SUBROUTINE TO WRITE RECORD FROM BUFFER | | | |
| 205 | . | | | | |
| 206 | 2061 | OUTPUT | BYTE | X'05' | 05 |
| 207 | . | | | | |
| 210 | 2062 | WRREC | LDX | ZERO | 041006 |
| 215 | 2065 | WLOOP | TD | OUTPUT | E02061 |
| 220 | 2068 | | JEQ | WLOOP | 302065 |
| 225 | 206B | | LDCH | BUFFER,X | 50900F |
| 230 | 206E | | WD | OUTPUT | DC2061 |
| 235 | 2071 | | TIX | LENGTH | 2C100C |
| 240 | 2074 | | JLT | WLOOP | 382065 |
| 245 | 2077 | | RSUB | | 4C0000 |
| 255 | | END | | FIRST | |

- We first discuss one-pass assemblers that generate their object code in memory for immediate execution. No object program is written out, and no loader is needed.
- This kind of ***load-and-go assembler*** is useful in a system that is oriented toward program development and testing.
- A **load-and-go** assembler avoids the overhead of writing the object program out and reading it back in.
- This can be accomplished with either a one- or a two-pass assembler. However, a one-pass assembler also avoids the overhead of an additional pass over the source program.
- Because the object program is produced in memory rather than being written out on secondary storage, the handling of forward references becomes less difficult.
- The assembler simply generates object code instructions as it scans the source program.
- If an instruction operand is a symbol that has not yet been defined, the operand address is omitted when the instruction is assembled.
- The symbol used as an operand is entered into the symbol table. This entry is flagged to indicate that the symbol is undefined.
- The address of the operand field of the instruction that refers to the undefined symbol is added to a list of forward references associated with the symbol table entry.
- When the definition for a symbol is encountered, the forward reference list for that symbol is scanned (if one exists), and the proper address is inserted into any instructions previously generated.

Object code in memory and symbol table entries for the program in Fig. 2.18 after scanning line 40 (2.19 a)

| Memory address | Contents | | | |
|----------------|----------|----------|----------|----------|
| 1000 | 454F4600 | 00030000 | 00xxxxxx | xxxxxxxx |
| 1010 | xxxxxxxx | xxxxxxxx | xxxxxxxx | xxxxxxxx |
| . | | | | |
| . | | | | |
| 2000 | xxxxxxxx | xxxxxxxx | xxxxxxxx | xxxxxx14 |
| 2010 | 100948— | —00100C | 28100630 | ——48— |
| 2020 | —3C2012 | | | |
| . | | | | |
| . | | | | |
| . | | | | |

| Symbol | Value |
|--------|-------|
| LENGTH | 100C |
| RDREC | * |
| THREE | 1003 |
| ZERO | 1006 |
| WRREC | * |
| EOF | 1000 |
| ENDFIL | * |
| RETADR | 1009 |
| BUFFER | 100F |
| CLOOP | 2012 |
| FIRST | 200F |
| | |

- Figure 2.19(a) shows the object code and symbol table entries as they would be after scanning line 40 of the program in fig. 2.18.
- The first forward reference occurred on line 15.
- Since the operand (**RDREC**) was not yet defined, the instruction was assembled with no value assigned as the operand address (denoted in the figure by ----).
- **RDREC** was then entered into **SYMTAB** as an undefined symbol (indicated by *); the address of the operand field of the instruction (2013) was inserted in a list associated with **RDREC**.
- A similar process was followed with the instructions on lines 30 and 35.

Object code in memory and symbol table entries for the program in Fig. 2.18 after scanning line 160 (2.19 b)

| Memory address | Contents | | | |
|----------------|----------|----------|----------|----------|
| 1000 | 454F4600 | 00030000 | 00xxxxxx | xxxxxx |
| 1010 | xxxxxx | xxxxxx | xxxxxx | xxxxxx |
| . | | | | |
| . | | | | |
| . | | | | |
| 2000 | xxxxxx | xxxxxx | xxxxxx | xxxxxx14 |
| 2010 | 10094820 | 3D00100C | 28100630 | 202448— |
| 2020 | —3C2012 | 0010000C | 100F0010 | 030C100C |
| 2030 | 48—08 | 10094C00 | 00F10010 | 00041006 |
| 2040 | 001006E0 | 20393020 | 43D82039 | 28100630 |
| 2050 | —5490 | 0F | | |
| . | | | | |
| . | | | | |
| . | | | | |

| Symbol | Value |
|--------|-------------------|
| LENGTH | 100C |
| RDREC | 203D |
| THREE | 1003 |
| ZERO | 1006 |
| WRREC | * → 201F → 2031 0 |
| EOF | 1000 |
| ENDFIL | 2024 |
| RETADR | 1009 |
| BUFFER | 100F |
| CLOOP | 2012 |
| FIRST | 200F |
| MAXLEN | 203A |
| INPUT | 2039 |
| EXIT | * → 2050 0 |
| RLOOP | 2043 |
| | |

- Now consider Fig. 2.19(b), which corresponds to the situation after scanning line 160.
- Some of the forward references have been resolved by this time, while others have been added.
- When the symbol **ENDFIL** was defined (line 45), the assembler placed its value in the **SYMTAB** entry; it then inserted this value into the instruction operand field (at address 201C) as directed by the forward reference list.
- From this point on, any references to **ENDFIL** would not be forward references, and would not be entered into a list.
- Similarly, the definition of **RDREC** (line 125) resulted in the filling in of the operand address at location 2013.
- Meanwhile, two new forward references have been added: to **WRREC** (line 65) and **EXIT** (line 155).
- At the end of the program, any **SYMTAB** entries that are still marked with * indicate undefined symbols.
- These should be flagged by the assembler as errors.
- When the end of the program is encountered, the assembly is complete.
- If no errors have occurred, the assembler searches **SYMTAB** for the value of the symbol named in the **END** statement (in this case, **FIRST**) and jumps to this location to begin execution of the assembled program.

- One-pass assemblers that produce object programs as output are often used on systems where external working-storage devices (for the intermediate file between the two passes) are not available.
- Such assemblers may also be useful when the external storage is slow or is inconvenient to use for some other reason.
- One-pass assemblers that produce object programs follow a slightly different procedure from that previously described.
- Forward references are entered into lists as before.
- Now, however, when the definition of a symbol is encountered, instructions that made forward references to that symbol may no longer be available in memory for modification.
- In general, they will already have been written out as part of a Text record in the object program.
- In this case the assembler must generate another Text record with the correct operand address.
- When the program is loaded, this address will be inserted into the instruction by the action of the loader.

- Figure 2.20 illustrates this process.
- The second Text record contains the object code generated from lines 10 through 40 in Fig. 2.18.
- The operand addresses for the instructions on lines 15, 30, and 35 have been generated as 0000.
- When the definition of **ENDFIL** on line 45 is encountered, the assembler generates the third Text record.
- This record specifies that the value 2024 (the address of **ENDFIL**) is to be loaded at location 201C (the operand address field of the **JEQ** instruction on line 30).
- When the program is loaded, therefore, the value 2024 will replace the 0000 previously loaded.
- The other forward references in the program are handled in exactly the same way.
- In effect, the services of the loader are being used to complete forward references that could not be handled by the assembler.
- Of course, the object program records must be kept in their original order when they are presented to the loader.

Object program from one-pass assembler for program in Fig. 2.18 (2.20)

```
HCOPY  00100000107A
^      ^      ^
T00100009454F46000003000000
^      ^      ^      ^      ^
T00200F1514100948000000100C2810063000004800003C2012
^      ^      ^      ^      ^      ^      ^      ^      ^
T00201C022024
^      ^
T002024190010000C100F0010030C100C4800000810094C0000F1001000
^      ^      ^      ^      ^      ^      ^      ^      ^
T00201302203D
^      ^
T00203D1E041006001006E02039302043D8203928100630000054900F2C203A382043
^      ^      ^      ^      ^      ^      ^      ^      ^
T00205002205B
^      ^
T00205B0710100C4C000005
^      ^      ^
T00201F022062
^      ^
T002031022062
^      ^
T00206218041006E0206130206550900FDC20612C100C3820654C0000
^      ^      ^      ^      ^      ^      ^      ^
E00200F
^
```

Algorithm for One Pass Assembler (2.19 c)

- **See additional pdf**

Multi-Pass Assemblers

- The reason for this is the symbol definition process in a two-pass assembler.
- Consider, for example, the sequence

```
ALPHA EQU BETA
BETA EQU DELTA
DELTA RESW 1
```

- The symbol **BETA** cannot be assigned a value when it is encountered during the first pass because **DELTA** has not yet been defined. As a result, **ALPHA** cannot be evaluated during the second pass.
- This means that any assembler that makes only two sequential passes over the source program cannot resolve such a sequence of definitions.
- As a matter of fact, such forward references tend to create difficulty for a person reading the program as well as for the assembler.
- The general solution is a multi-pass assembler that can make as many passes as are needed to process the definitions of symbols.
- It is not necessary for such an assembler to make more than two passes over the entire program.
- Instead, the portions of the program that involve forward references in symbol definition are saved during Pass 1.
- Additional passes through these stored definitions are made as the assembly progresses. This process is followed by a normal Pass 2.

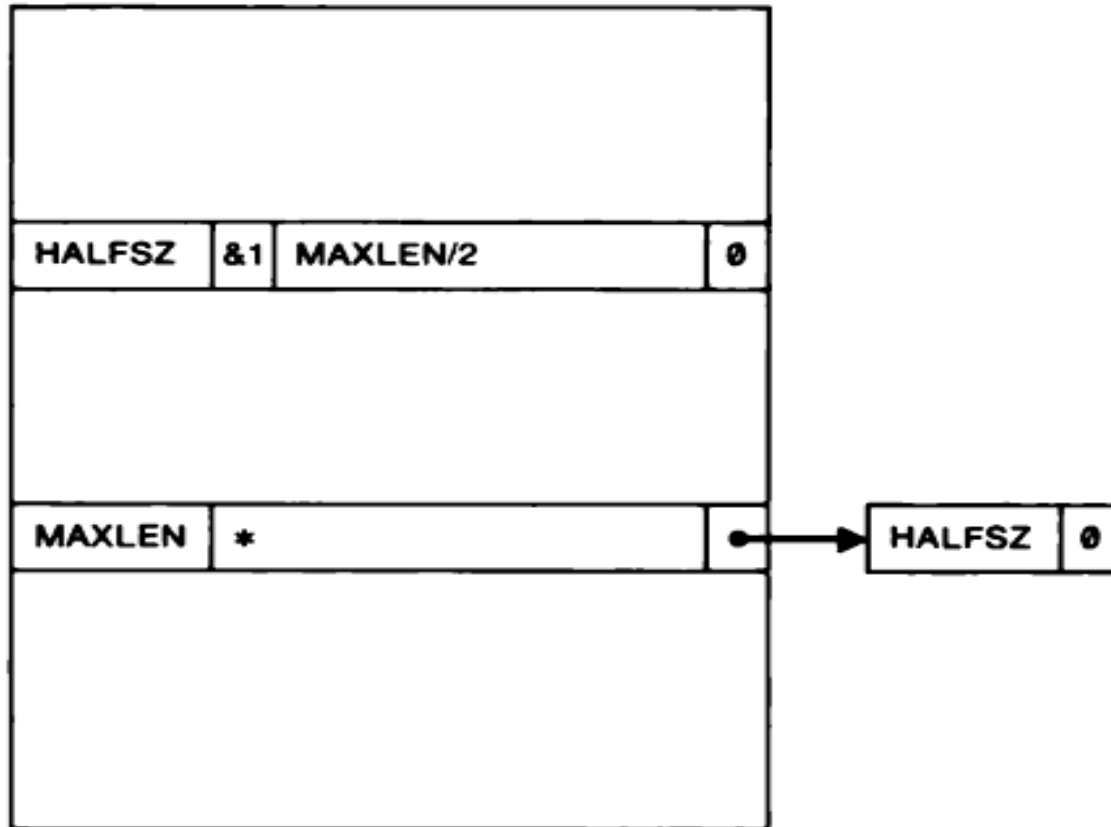
Example of Multi – Pass Assembler Operation (2.21 a)

```
1  HALFSZ      EQU  MAXLEN/2
2  MAXLEN      EQU  BUFEND- BUFFER
3  PREVBT      EQU  BUFFER-1
    .
    .
    .
4  BUFFER      RESB  4096
5  BUFEND      EQU  *
```

- Figure 2.21(a) shows a sequence of symbol-defining statements that involve forward references; the other parts of the source program are not important for our discussion, and have been omitted.
- The following parts of Fig. 2.21 show information in the symbol table as it might appear after processing each of the source statements shown.

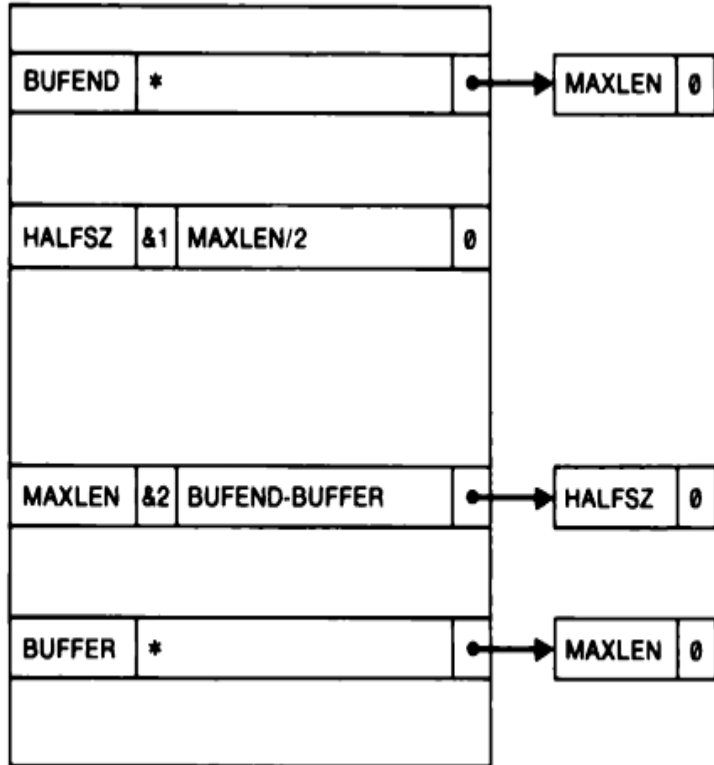
- Figure 2.21(b) displays symbol table entries resulting from Pass 1 processing of the statement:
HALFSZ
EQU MAXLEN/2
- **MAXLEN** has not yet been defined, so no value for **HALFSZ** can be computed.
- The defining expression for **HALFSZ** is stored in the symbol table in place of its value.
- The entry **&1** indicates that one symbol in the defining expression is undefined.
- In an actual implementation, of course, this definition might be stored at some other location. **SYMTAB** would then simply contain a pointer to the defining expression.
- The symbol **MAXLEN** is also entered in the symbol table, with the flag ***** identifying it as undefined.
- Associated with this entry is a list of the symbols whose values depend on **MAXLEN** (in this case, **HALFSZ**).

(2.21 b)

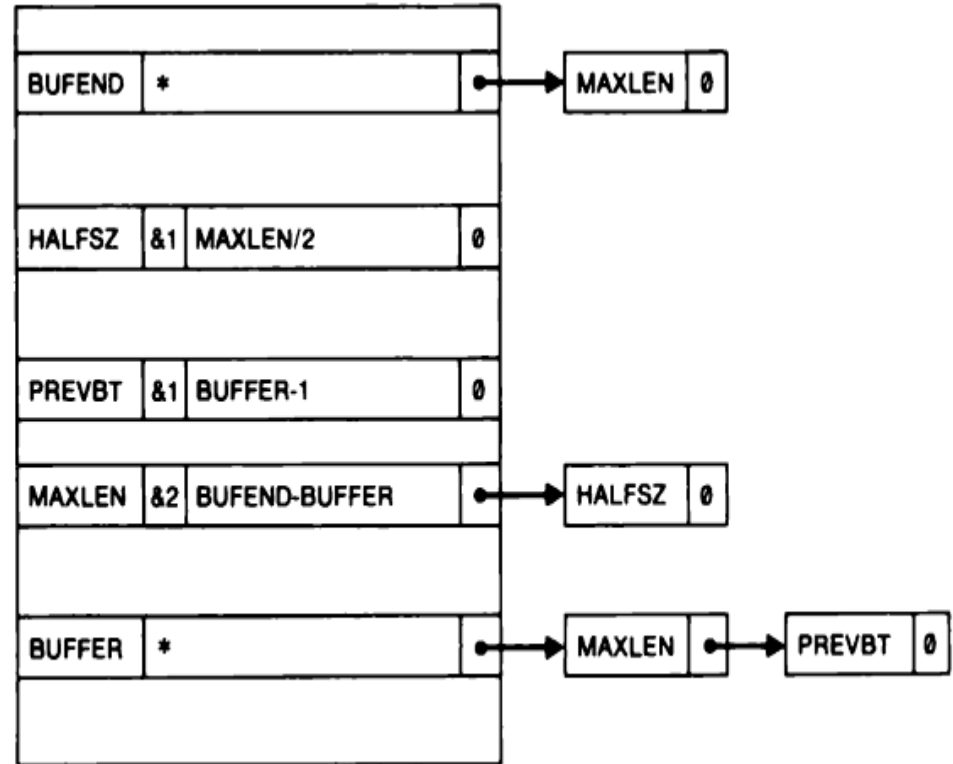


- The same procedure is followed with the definition of **MAXLEN** [see Fig. 2.21(c)].
- In this case there are two undefined symbols involved in the definition: **BUFEND** and **BUFFER**.
- Both of these are entered into **SYMTAB** with lists indicating the dependence of **MAXLEN** upon them.
- Similarly, the definition of **PREVBT** causes this symbol to be added to the list of dependencies on **BUFFER** [as shown in Fig. 2.21(d)].

(2.21 c and d)



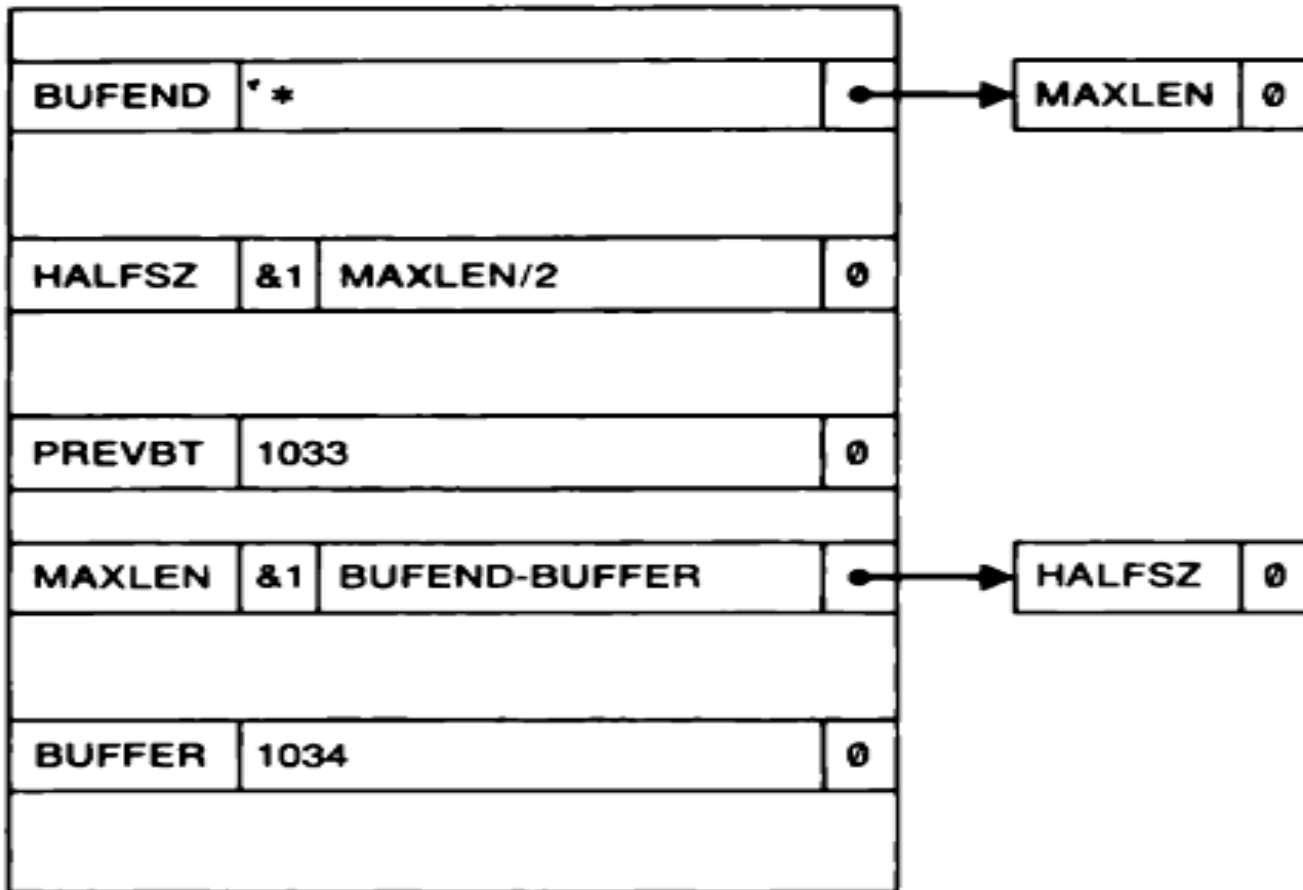
(c)



(d)

- So far we have simply been saving symbol definitions for later processing.
- The definition of **BUFFER** on line 4 lets us begin evaluation of some of these symbols.
- Let us assume that when line 4 is read, the location counter contains the hexadecimal value 1034. This address is stored as the value of **BUFFER**.
- The assembler then examines the list of symbols that are dependent on **BUFFER**.
- The symbol table entry for the first symbol in this list (**MAXLEN**) shows that it depends on two currently undefined symbols; therefore, **MAXLEN** cannot be evaluated immediately.
- Instead, the **&2** is changed to **&1** to show that only one symbol in the definition (**BUFEND**) remains undefined.
- The other symbol in the list (**PREVBT**) can be evaluated because it depends only on **BUFFER**.
- The value of the defining expression for **PREVBT** is calculated and stored in **SYMTAB**. The result is shown in Fig. 2.21(e).

(2.21 e)



(e)

- The remainder of the processing follows the same pattern.
- When **BUFEND** is defined by line 5, its value is entered into the symbol table.
- The list associated with **BUFEND** then directs the assembler to evaluate **MAXLEN**, and entering a value for **MAXLEN** causes the evaluation of the symbol in its list (**HALFSZ**).
- As shown in Fig. 2.21(f), this completes the symbol definition process.
- If any symbols remained undefined at the end of the program, the assembler would flag them as errors.
- The procedure we have just described applies to symbols defined by assembler directives like **EQU**.

(2.21 f)

| | | |
|--------|------|---|
| | | |
| BUFEND | 2034 | 0 |
| | | |
| HALFSZ | 800 | 0 |
| | | |
| PREVBT | 1033 | 0 |
| | | |
| MAXLEN | 1000 | 0 |
| | | |
| BUFFER | 1034 | 0 |
| | | |

(f)