



# RISC-V Blockchain SIG meeting

2022- Aug 18

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  - used to allow SIGs but their purpose has changed.

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<https://riscv.org/risc-v-international-community-code-of-conduct/>

# Conventions



- Unless it is a scheduled agenda topic, we don't solve problems or detailed topics in most meetings unless specified in the agenda because we don't often have enough time to do so and it is more efficient to do so offline and/or in email. We identify items and send folks off to do the work and come back with solutions or proposals.
- If some policy, org, extension, etc. can be doing things in a better way, help us make it better. Do not change or not abide by the item unilaterally. Instead let's work together to make it better.
- Please conduct meetings that accommodates the virtual and broad geographical nature of our teams. This includes meeting times, repeating questions before you answer, at appropriate times polling attendees, guide people to interact in a way that has attendees taking turns speaking, ...
- Where appropriate and possible, meeting minutes will be added as speaker notes within the slides for the Agenda

# Trusted RISC-V Architecture in Blockchain Infrastructure White Paper Meeting Attendees

- Patty Tu                      WXBC, Chair
- Gary Xu                      aitos, Vice Chair
- Huan Feng                      aitos
- Yabo Rachel Zhang              WXBC

# Trusted RISC-V Architecture in Blockchain Infrastructure White Paper



## Introduction to Blockchain

- Overview
- Infrastructure
- Challenges
- Value



## The Cryptographic Algorithms

- Cryptographic Algorithms of Blockchain
- Value of hardware
- Supporting algorithms



## Security Analysis

- Devices
- Key Assets to Protect
- Threat Models
- Security Requirement



## Architecture

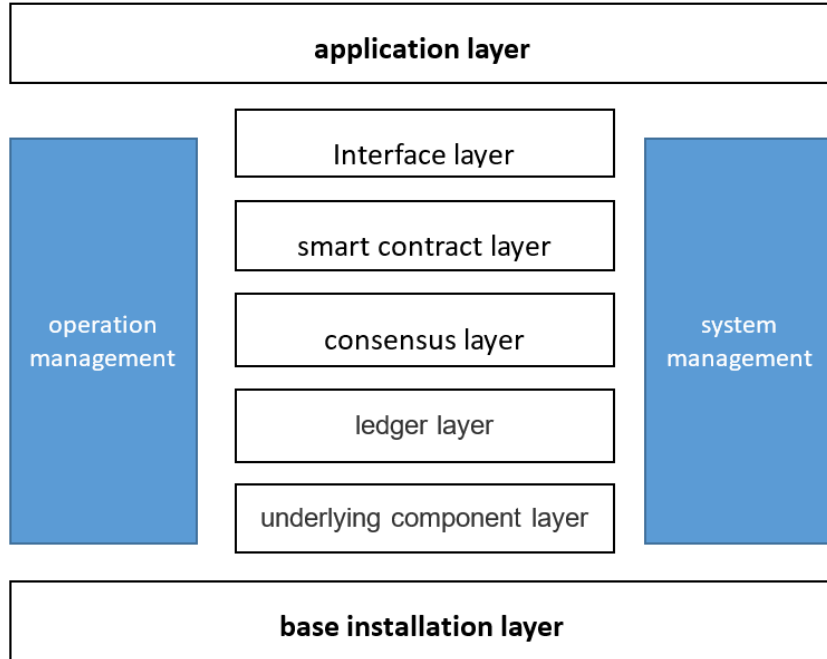
- Overview
- Root of Trust
- TEE
- Secure Elements



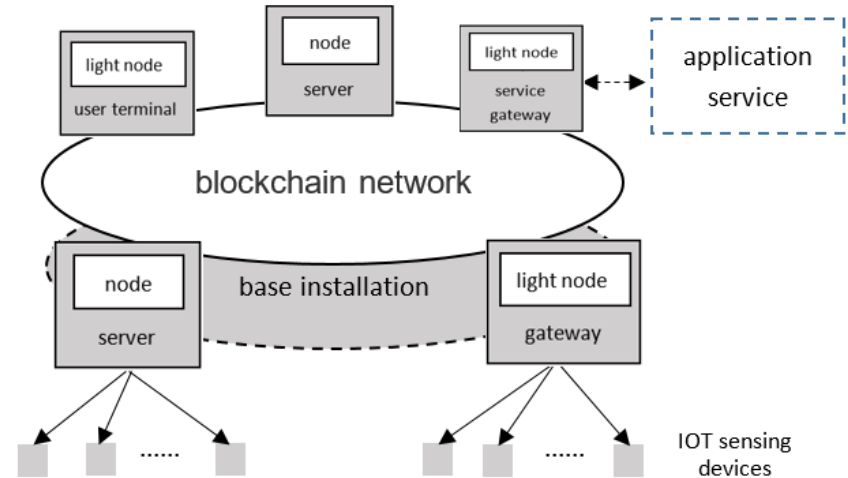
## Use Cases Implementation

- Overview
- Bio Tech
- Gateway
- NFT
- Carbon Neutralizat

# Blockchain layers and network architecture



Blockchain *Technology* Architecture



Base installation Layer



# Blockchain Challenges and Objectives



## Challenges

Most of IoT device which collect, store and transmit data to the chain are lack of data protection methods

The benefits of that cannot be balanced since the countermeasure of security increases the cost of implementation.

The lack of specialists in security field is a big problem in companies especially for small businesses and startups.



## Objectives

To define the requirements of security in different blockchain using cases.

To propose trusted architectures for different RISC-V using cases.

To reduce the costs of countermeasure of security and the complexity of chip designing through reference designs.

To get better combinations of security and costs of RISC-V chips in blockchain with IoT field.



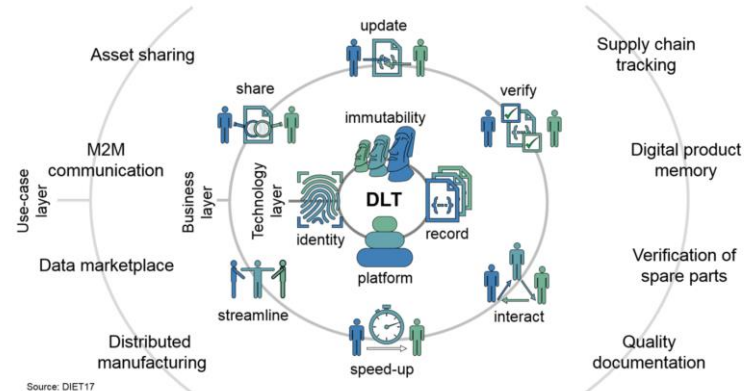
## Session II The Cryptographic Algorithms

# What Brought Us to Blockchain?

## – *the Ultimate Distributed System Logic*

### The Case for Blockchain:

- Distributed Ledger: permanent tamper-free data records
- Smart contract: establish automated execution of prior agreement with set conditions; data accessible but not replicable
- Cryptography: data protection across domains
- Consensus: easily establish network of the willing without heavy IT and legal costs.



How to derive DLT use-case

Image: © WZL | Anton Shirobokov

### Particularly relevant to the industrial space

- Curated data sharing: addresses one of industrial internet's biggest challenges – why surrender your data.
- Seamless and real-time brokering of value exchange: from supply chain to value chain, cross-domain data utilization business model.
- Beyond data: could be used to allocate compute resources, in addition to running and training A.I. on mobile devices.

## Session II The Cryptographic Algorithms

# The Cryptographic Algorithms of Blockchain

### Cryptographic Algorithms

Hash Algorithm

Digital Signature Algorithm

Encryption Algorithm

### The value of hardware chips supporting cryptographic algorithm

#### Security

- Hardware chips supporting cryptographic algorithm can elevate the level of security. All the data will be encrypted and decrypted inside of hardware chips so that no data will be disclosed. Hardware chips produce true random numbers from inside, greatly reduced the risks of producing pseudo random numbers from the software side.

#### Performance optimization

- Hardware chips supporting cryptographic algorithms can largely improve computing processing performance and code running efficiency, leverage the developing efficiency, reduce the volume of code and CPU, memory and other occupied resources at code running time.

## Session II The Cryptographic Algorithms

# The Cryptographic Algorithms of Blockchain(1/2)

Functions	Classifications	Names	Standards	Description	Using Cases
Signatures	Elliptic Curves	Secp256k1	SEC 1: Elliptic Curve Cryptography SEC 2: Recommended Elliptic Curve Domain Parameters <a href="https://www.rfc-editor.org/rfc/inline-errata/rfc6979.html">https://www.rfc-editor.org/rfc/inline-errata/rfc6979.html</a>	used in Bitcoin/Ethereum/PlatONE	Signature of transactions.
		Secp256r1(NIST P-256)		Used in Fabric	
		SM2	GM/T 0003-2012 Public Key Cryptographic Algorithm SM2 Based on Elliptic Curves <a href="http://www.gmbz.org.cn/main/postDetail.html?id=20180724110812">http://www.gmbz.org.cn/main/postDetail.html?id=20180724110812</a>	National Standard of PRC Used in ChainMaker	
		Ed25519/Curve25519	<a href="https://www.rfc-editor.org/info/rfc8032">https://www.rfc-editor.org/info/rfc8032</a>	(a new kind of ECC) Used in Zcash	
	Others	BN	<a href="https://cryptojedi.org/papers/dclxvi-20100714.pdf">https://cryptojedi.org/papers/dclxvi-20100714.pdf</a>	Used in Zcash/Ethereum/PlatONE	BulletProof
		BLS	<a href="https://www.iacr.org/archive/asiacrypt2001/22480516.pdf">https://www.iacr.org/archive/asiacrypt2001/22480516.pdf</a>	(short outcome) Used in Zcash/Ethereum	Consensus
Random Number Generation		TRNG	GM/T 0005-2012 Randomness Test Specification SP 800-22 rev1a A Statistical Test Suite for the Validation of Random Number Generators and Pseudo Random Number Generators for Cryptographic Application	Generates random numbers. No specific algorithm requires.	All

## Session II The Cryptographic Algorithms

# The Cryptographic Algorithms of Blockchain(2/2)

Functions	Classifications	Names	Standards	Description	Using Cases
Hash Algorithms	Hash	The SHAs	<a href="https://doi.org/10.6028/NIST.FIPS.180-4">https://doi.org/10.6028/NIST.FIPS.180-4</a>	Widely Used	All
		Keccak256/SHA3	<a href="https://doi.org/10.6028/NIST.FIPS.202">https://doi.org/10.6028/NIST.FIPS.202</a>	Widely Used	
		SM3	GM/T 0004-2012 <SM3 Cryptographic Hash Algorithm> <a href="http://www.gmbz.org.cn/upload/2018-07-24/1532401392982079739.pdf">http://www.gmbz.org.cn/upload/2018-07-24/1532401392982079739.pdf</a>	National Standard of PRC	
		The Blakes	<a href="https://www.ietf.org/rfc/rfc7693.txt.pdf">https://www.ietf.org/rfc/rfc7693.txt.pdf</a>	Used in Zcash/Ethereum	
		RipeMD	<a href="https://en.bitcoin.it/wiki/RIPEMD-160">https://en.bitcoin.it/wiki/RIPEMD-160</a>	Used in BitCoin	
Symmetric Encryption Algorithm		AES	<a href="https://doi.org/10.6028/NIST.FIPS.197">https://doi.org/10.6028/NIST.FIPS.197</a>	Frequently used in BitCoin/Ethereum/PlatONE	To protect private key.
		SM4	GM/T 0002-2012 <SM4 Block Cipher Algorithm> <a href="http://www.gmbz.org.cn/upload/2018-04-04/1522788048733065051.pdf">http://www.gmbz.org.cn/upload/2018-04-04/1522788048733065051.pdf</a>	National Standard of PRC	

## Session II The Cryptographic Algorithms

# The Cryptographic Algorithms of Blockchain priority

Functions	Categories	Names	Standards	Priority Recommendations
Signature (At least one of them has to be supported.)	Elliptic Curves (Signature verifications for transactions.)	ECDSA(Secp256k1/ Secp256r1)	<a href="#">SEC 1: Elliptic Curve Cryptography</a> <a href="#">SEC 2: Recommended Elliptic Curve Domain Parameters</a> <a href="https://www.rfc-editor.org/rfc/inline-errata/rfc6979.html">https://www.rfc-editor.org/rfc/inline-errata/rfc6979.html</a>	10
		Ed25519/Curve25519	<a href="https://www.rfc-editor.org/info/rfc8032">https://www.rfc-editor.org/info/rfc8032</a>	15
		SM2	<a href="#">GM/T 0003-2012</a> <a href="http://www.gmbz.org.cn/main/postDetail.html?id=20180724110812">http://www.gmbz.org.cn/main/postDetail.html?id=20180724110812</a> <Public Key Cryptographic Algorithm SM2 Based on Elliptic Curves>	20
	Others (mainly for consensus)	BLS/BN	<a href="https://www.iacr.org/archive/asiacrypt2001/22480516.pdf">https://www.iacr.org/archive/asiacrypt2001/22480516.pdf</a> <a href="https://cryptojedi.org/papers/dclxvi-20100714.pdf">https://cryptojedi.org/papers/dclxvi-20100714.pdf</a>	50
Hash Functions (At least one of them has to be supported.)	Hash	Keccak256/SHA3	<a href="https://doi.org/10.6028/NIST.FIPS.202">https://doi.org/10.6028/NIST.FIPS.202</a>	12
		SHAs	<a href="https://doi.org/10.6028/NIST.FIPS.180-4">https://doi.org/10.6028/NIST.FIPS.180-4</a>	13
		RipeMD	<a href="https://en.bitcoin.it/wiki/RIPEMD-160">https://en.bitcoin.it/wiki/RIPEMD-160</a>	17
		SM3	<a href="#">GM/T 0004-2012</a> <a href="http://www.gmbz.org.cn/upload/2018-07-24/1532401392982079739.pdf">http://www.gmbz.org.cn/upload/2018-07-24/1532401392982079739.pdf</a> <SM3 Cryptographic Hash Algorithm>	20
		Blakes	<a href="https://www.ietf.org/rfc/rfc7693.txt.pdf">https://www.ietf.org/rfc/rfc7693.txt.pdf</a>	25
Random Number Generation (Has to be supported.)	Random Number	TRNG	<a href="#">《GM/T 0005-2012》</a> <a href="#">SP 800-22 rev1a : 《A Statistical Test Suite for the Validation of Random Number Generators and Pseudo Random Number Generators for Cryptographic Application》</a> <a href="#">SP 800-22 Rev. 1a</a>	10
Symmetric Encryption Algorithm (Has to be supported.)	Block Cipher Algorithm	AES	<a href="https://doi.org/10.6028/NIST.FIPS.197">https://doi.org/10.6028/NIST.FIPS.197</a>	10
		SM4	<a href="#">GM/T 0002-2012</a> <a href="http://www.gmbz.org.cn/upload/2018-04-04/1522788048733065051.pdf">http://www.gmbz.org.cn/upload/2018-04-04/1522788048733065051.pdf</a> <SM4 Block Cipher Algorithm>	21

# Blockchain Devices and key assets



## Blockchain Devices

- Blockchain sensing device
- Blockchain wallet devices
- Blockchain gateway
- Blockchain node server

## Key Assets

- System Software
- Device configuration
- Client secrets
- Wallet secrets
- Sensor data
- Communication
- Blockchain Ledger

## Session III Security Analysis

# Threat 1/5



Threat model	Description
<b>Physical Attack</b>	For unattended IoT devices placed outdoors, attackers can obtain key information and fake device identities through physical attack methods such as probes, side channels, or disassembly
<b>Man-in-the-middle Attack</b>	For mobile blockchain devices, attackers can intercept and tamper with data sent and received by blockchain devices by setting up pseudo base stations or wireless gateways to conduct man-in-the-middle attacks.
<b>Software Attack</b>	Attackers can download and install malicious applications on open operating system blockchain devices to obtain key assets (such as client secrets and keys) and sensor data or execute instructions that exceed their own authority.



### Attack method

### Description

#### Smart Contract Injection

The Smart Contract engine is an interpreter for a (sometimes novel) programming language and a parser of data related to the decisions the engine needs to make. The hazard in this situation is when executable code appears inside smart contracts to subvert the contract language or data. Implementers need to consider sanitizing inputs to smart contracts, proper parsing, and error handling.

#### Replay Attacks

Not only is there a threat in transaction processing and validation, but also in node behavior, authentication, and the securing of confidential messaging. Adding nonces to check against prior transactions is critical.

#### History Revision Attacks

Blockchains that rely on fault-tolerant consensus models do well when there are many participating nodes processing, competing, and collaborating on the next block. When the number of nodes drops, or if there is predictably cyclic behavior, lulls can be leveraged in a history revision attack where a new branch is created, effectively deleting a previously accepted transaction. Designers should consider how to best guarantee minimum support and the diversity of nodes..

## Session III Security Analysis

# Threat 3/5



### Attack method

### Description

#### Permanence Poisoning

Due to the permanence of blockchains and the cost to fork, it's possible to sabotage a chain with even claims of illegal content to draw the ire of regulators and law enforcement.

#### Confidential Information Leaks

Permanence increases the risk of data being exfiltrated out of the chain. Even encrypted data is at risk for future threats against those algorithms or brute-force attacks. Designers need to make sure that they understand the data being stored, how it is protected, who owns it and how it could be re-associated with any pseudonymized users.

#### Participant Authentication Failure

Are transaction creators cryptographically signing their transactions? Is that signature verified by the protocol? Is transaction receipt confirmed (non-repudiation)? Are sessions managed? Architects need to consider the proof of possession of private keys in the verification and authentication of participants.

## Session III Security Analysis

# Threat 4/5



### Attack method

### Description

#### Node Spoofing

Nodes are the entities that create and agree on the next new blocks in a chain. Nodes should be authenticated like any other user or system, and authentication must be verified, with multiple votes prohibited. Designers who fail to look for voting irregularities open their implementation to risk.

#### Node Misbehavior

Nodes that behave incorrectly, intentionally circumventing fault-tolerance mechanisms, or trojan nodes (nodes in public chains that follow the standard protocol but have non-standard implementations) are problematic. Transaction propagation non-compliance is another concern—where nodes don't convey transactions quickly to other nodes, nodes consistently act in opposition to other nodes, or verifications align consistently within small fiefdoms. In addition, architects need to consider what happens to the chain operations when the chain, the nodes or a subset of the nodes is subject to a denial-of-service attack.

## Session III Security Analysis

# Threat 5/5



### Attack method

### Description

#### Untrustworthy Node-Chain Seam

The cryptographic difference between what was intended by the participant, what happens in the node, and what happens on the chain must all be consistent. Architects should enforce a design such that the node is unable to modify a transaction (signing and hash verification), skip a transaction (non-repudiation) or add new transactions (source verification).

#### General Security Hazards

The hazards fall into this meta-category of general security concerns that have specific implications in the blockchain/DLT realm. Architects, designers, and implementers all need to take heed of these practices and work to ensure a complete solution:

1. Unproven Cryptography
2. Non-Extensible Cryptography
3. Security Misconfiguration
4. Insufficient Logging and Alerts
5. Weak Boundary Defense

## Session III Security Analysis

# Security Requirements



### Security Requirements

<b>Anti-physical attack mechanism</b>	<p>The blockchain device itself has an anti-physical attack mechanism or a sub-module (such as a secure element) that contains the anti-physical attack capability, and the key functions of the blockchain device are placed in the secure element for execution.</p> <p>Anti-physical attack mechanism can be used to defence Physical Attack, also can be used to provide support to Man-in-the-middle Attack and Software Attack.</p>
<b>Secure Channel</b>	<p>The communication between the blockchain device and the server node must be carried out through a secure channel (such as the TLS protocol), and at least a one-way authentication mechanism is provided to ensure that the server node accessed by the blockchain device is trusted.</p> <p>Secure channel can be used to defence Man-in-the-middle Attack.</p>
<b>Application Management</b>	<p>The open operating system of the blockchain device needs to have a mechanism to verify the authenticity and integrity of external application files to ensure that only applications from legal sources can be installed on the blockchain device.</p> <p>Application management can be used to defence Software Attack.</p>

## Session III Security Analysis

# Security Requirements

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### Security Requirements

#### Root of Trust

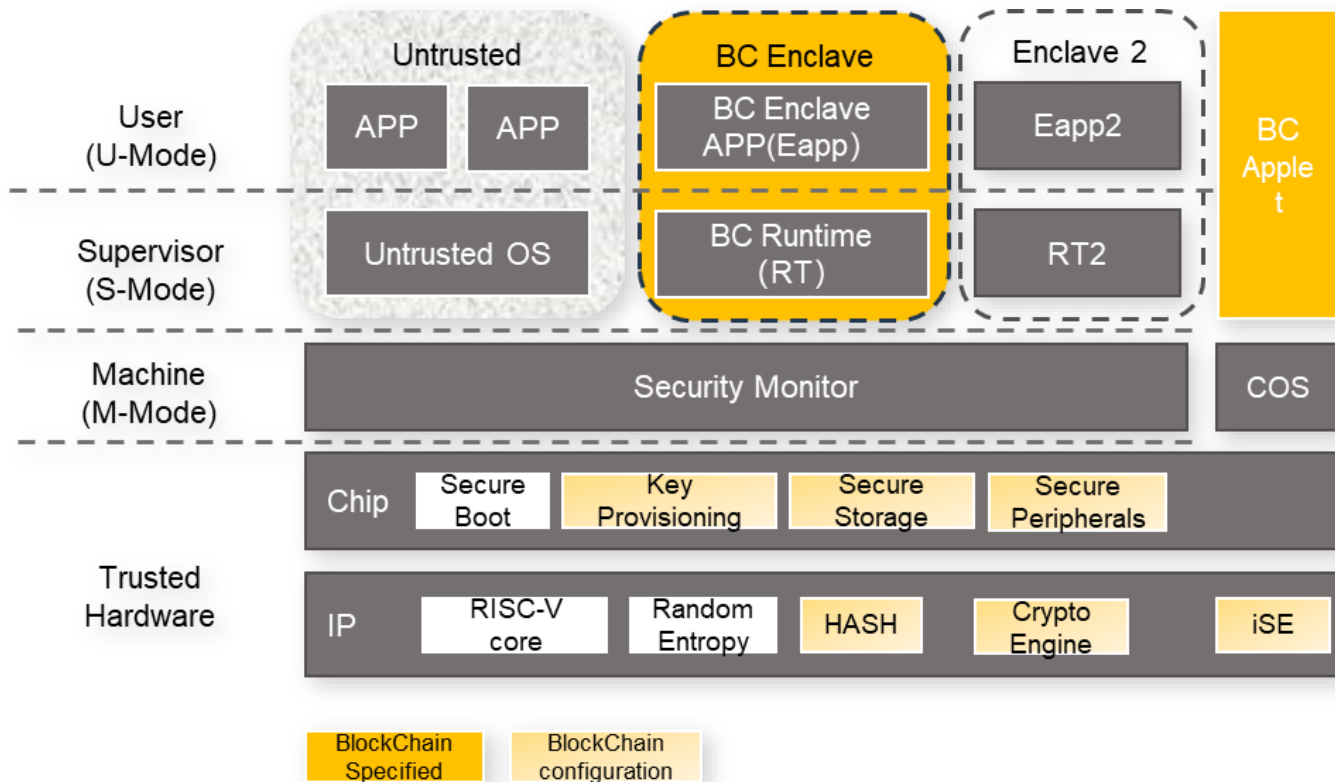
Blockchain devices need to have a root of trust mechanism. The root of trust includes computing engines, secret data, and code logic for providing root of trust services. The root of trust is the cornerstone of blockchain device security and needs to be strictly protected to prevent software attacks and some or all hardware attacks. In addition, the root of trust needs to be provisioned in a safe production environment. Root of Trust can be used to provide support to Man-in-the-middle Attack and Software Attack.

#### Physical Memory Isolation

For blockchain devices that support multiple application execution capabilities, a physical memory isolation mechanism is required. Physical memory isolation needs to ensure that application process resources are isolated from others. For devices with high security levels, isolation from the operating system is also required. The memory isolation mechanism includes all resources related to memory management, such as caches, memory management units, buses, and so on. Physical memory isolation can be used to defence Software Attack.

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# Trusted Architecture(reference)



## Section IV Architecture

# Root of Trust

Platform integrity, both from physical and logical standpoints.

- This requires a verification of the presence of the IPs, and of their proper functioning (e.g., thanks to self-tests);
- A verification of the integrity and authenticity of the firmware is required.
- This is referred to as a two-level “secure-boot” stage.

Root-of-trust shall be initialized. This step is called the provisioning. It consists in the programming within the root-of-trust of a master-key

- OBKG / Physically Unclonable Function (PUF)
- Injection is straightforward



## Section IV Architecture

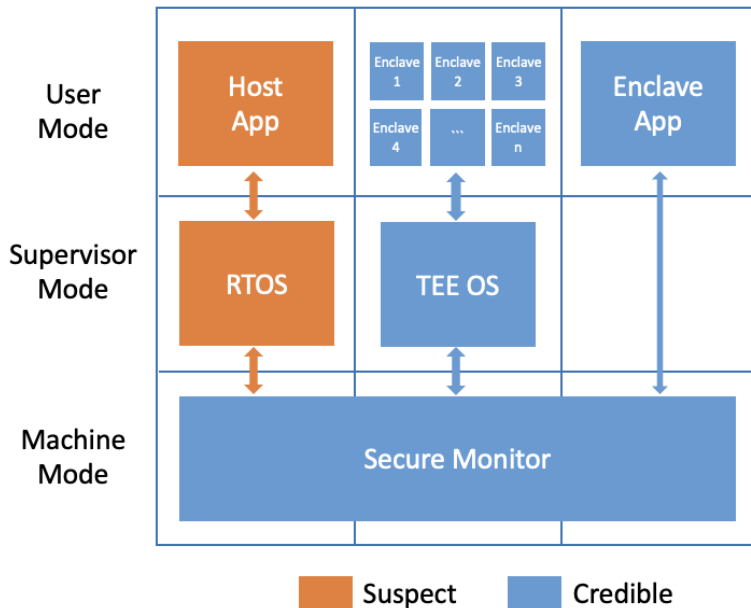
# Trusted Execution Environment



TEE on RISC-V consists of following components,

1. Secure monitor which is extended with TEE related features.
2. TEE services which provide basic security capabilities, such as secure storage service, attestation service and cryptography service.
3. Secure enclaves, which run security-sensitive logic.
4. Untrusted components, which run untrusted operating system and untrusted apps.

- Memory protection
- Isolation of interrupt
- Secure storage
- Security of peripheral devices
- Provision and attestation of the device



## Section IV Architecture

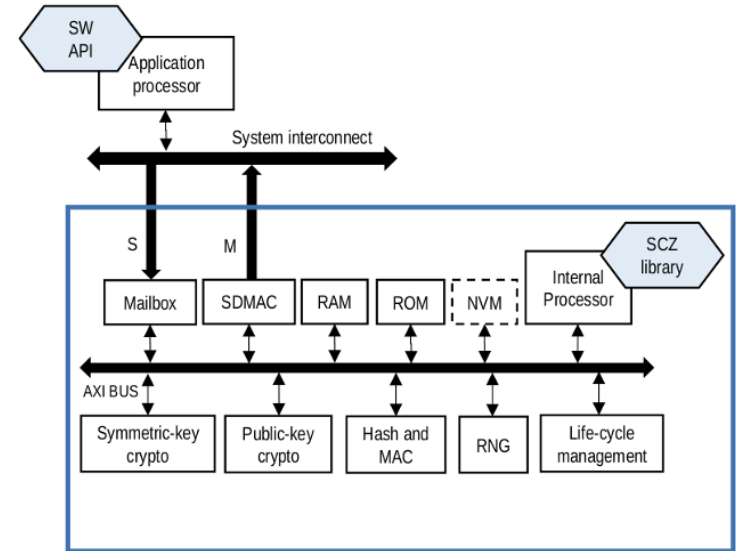
# Integrated Secure Elements



The iSE is an enclave, which is isolated from the host system

Internally, the iSE has some Non-Volatile Memory (NVM) storage for the keystore, the capability to generate keys with a Random Number Generation (RNG), and basic cryptographic primitives. In addition, it communicates with the host (data to encrypt/decrypt/sign/etc.) through a Secure Direct Memory Access Controller (SDMAC), which is only configured from within the iSE. Eventually, the iSE can handle its life cycle, each cycle being associated with some rights or restrictions on the resources managed by the iSE.

- Platform integrity verification
- Key management and cryptographic services.
- (optionally) User management and mapping between roles and permissions.

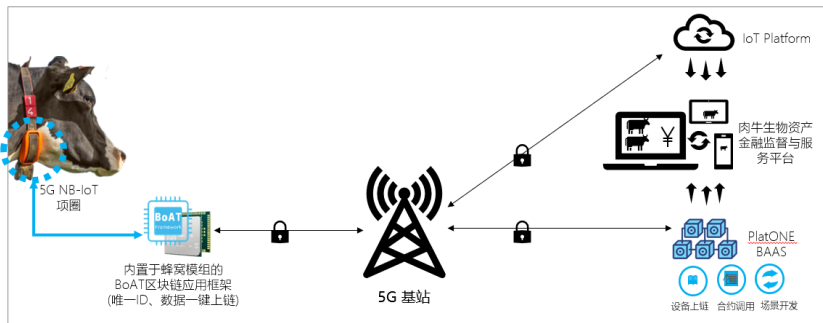


# Section V Use Cases Implementation

## Detailed Use Cases - Bio Tech



### Bio Tech



### Security Evaluation

Beef cattle collars need to have anti-physical attack mechanisms and a root of trust. Because beef cattle are high-value financial assets, their security depends on the continuous tracking of beef cattle biological information. It is necessary to ensure that attackers cannot forge the biological information of beef cattle. Therefore, beef cattle collars need to have an anti-physical attack mechanism to ensure that attackers cannot extract the root of trust and forge biological information of beef cattle.

# Section V Use Cases Implementation

## Detailed Use Cases - Gateway

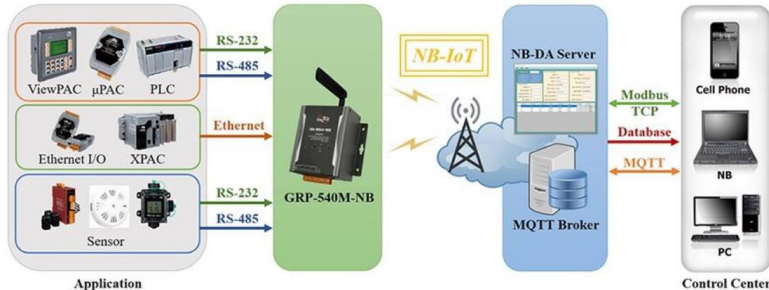


### Gateway

AI-based video analysis

Requirement of regulatory compliance (GDPR, PIPL)

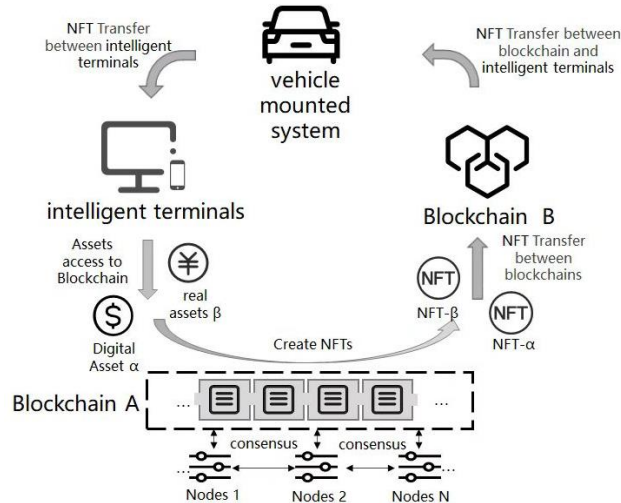
Trusted Execution Environment



### Security Evaluation

Blockchain gateway devices need to have a root of trust, anti-physical attack, secure channel, application management and physical memory isolation mechanisms. The root of trust enables the gateway device to authenticate its identity to the outside world and to verify the legitimacy of external entities. The anti-physical attack mechanism enables the gateway device to effectively resist external attacks and protect sensitive data inside the device. Application management ensures that only legitimate applications can run on gateway devices. The physical memory isolation mechanism isolates multiple applications running on the gateway device from each other, preventing internal data leakage. Secure channel ensures secure communication between gateway device and external associated devices.

## Non-Fungible Token



## Security Evaluation

Vehicles with NFT capabilities need to have a root of trust, anti-physical attack and secure channel mechanisms. The root of trust allows the vehicle to endorse the generated NFT to prove its legitimacy. The anti-physical attack mechanism enables the vehicle to effectively resist external attacks and protect sensitive data used to generate NFTs. Secure channel ensures secure communication between vehicle and external associated devices

## Carbon Neutralization



carbon emission  
monitoring

carbon emission  
reduction monitoring

Carbon emission  
trend analysis

Carbon  
neutralization rate

Carbon  
Tax measurement

### Security Evaluation

Credible data collection site: Integrated on the chip of the IoT device. When the IoT device collects data, it will perform Hash calculation on the data and use the unique private key of the device to sign to generate the Data fingerprint of the piece of data. After the data is uploaded to the cloud, it can be verified by the hash and signature of the data fingerprint to check whether the data has been tampered with or whether it comes from an illegal device that is not recognized.

Trusted data source: This scheme generates a unique key pair and ID on the device. The private key cannot be obtained from the outside, and the private key signs the data hash on the IoT device to ensure that the data comes from the real and unique IoT device.

# Time line for Next Step

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# Thank You

