

# Enhancing the RISC-V Trace Encoder to verify the control-flow and code execution integrity

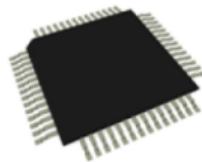
**Anthony ZGHEIB**, Olivier POTIN, Jean-Baptiste RIGAUD, Jean-Max DUTERTRE  
and Pierre-Alain MOELLIC

RISC-V Summit Europe, Barcelona, Spain

June 6, 2023

# Context - Fault Injection Attacks (FIA)

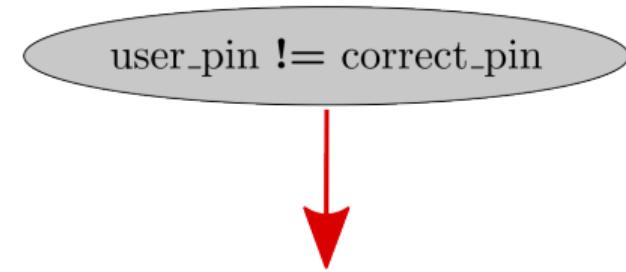
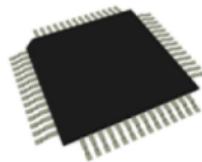
- FIA are effective threats affecting the behavior of a program.



user\_pin != correct\_pin

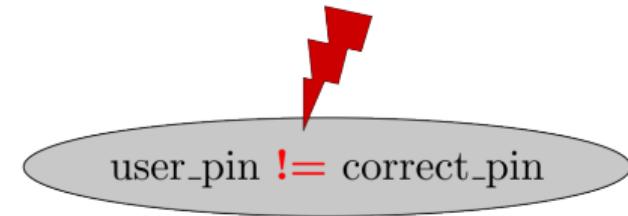
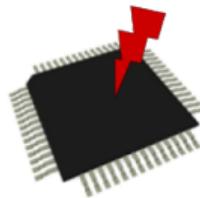
# Context - Fault Injection Attacks (FIA)

- FIA are effective threats affecting the behavior of a program.



# Context - Fault Injection Attacks (FIA)

- FIA are effective threats affecting the behavior of a program.



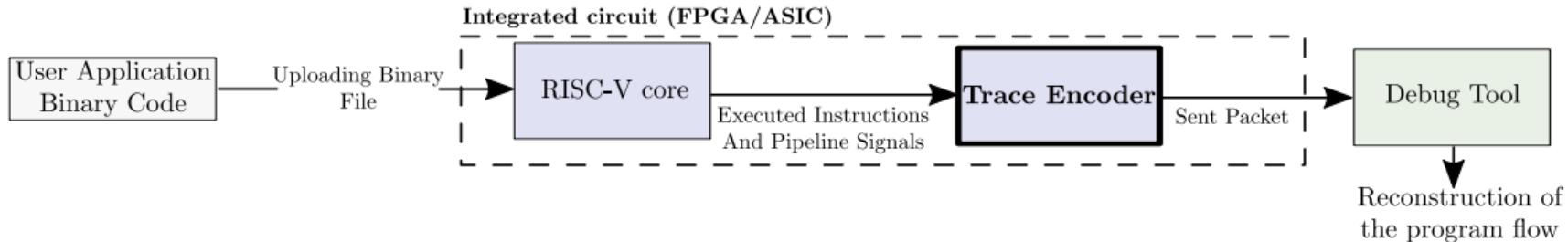
# Context - Fault Injection Attacks (FIA)

- FIA are effective threats affecting the behavior of a program.



# Context - Trace Encoder (TE)

- It compresses, at runtime, the sequence of discontinuities executed by the RISC-V core, **representing the program behavior**, into trace packets.
- Used by developers for debug purposes.
- Embedded debug module designed by RISC-V foundation [6].



## Context - TE - Example

- A packet is sent when an unpredictable discontinuity (target address is not known from the binary code) is executed, e.g: a return instruction.

PC	Instruction	Assembly Code
0x22c	00008067	ret
0x374	00412783	lw a5,4(sp)

## Context - TE - Example

- A packet is sent when an unpredictable discontinuity (target address is not known from the binary code) is executed, e.g: a return instruction.

PC	Instruction	Assembly Code
0x22c	00008067	ret
0x374	00412783	lw a5,4(sp)



Sent Packet

Reported\_Address=0x374

# Context - TE - Example

- A packet is sent when an unpredictable discontinuity (target address is not known from the binary code) is executed, e.g: a return instruction.

PC	Instruction	Assembly Code
0x22c	00008067	ret
0x374	00412783	lw a5,4(sp)
0x378	fff78713	addi a4,a5,-1
0x37c	00e12223	sw a4,4(sp)
<u>0x380</u>	<u>fa0796e3</u>	bnez a5,32c
0x384	00000793	li a5,0
0x388	00078513	mv a0,a5
0x38c	02010113	addi sp,sp,32
<b>0x390</b>	<b>00008067</b>	<b>ret</b>
0x3ac	00050793	mv a5,a0

Sent Packet

Reported\_Address=0x374

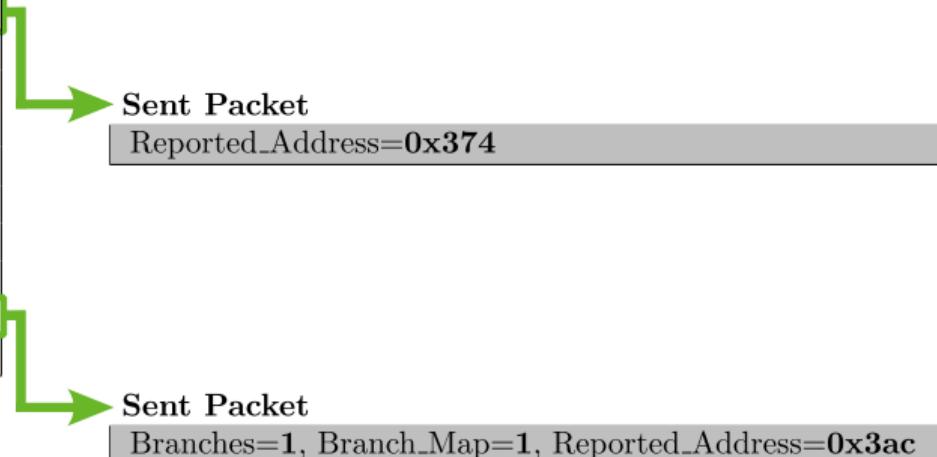
Sent Packet

Branches=1, Branch\_Map=1, Reported\_Address=0x3ac

# Context - TE - Example

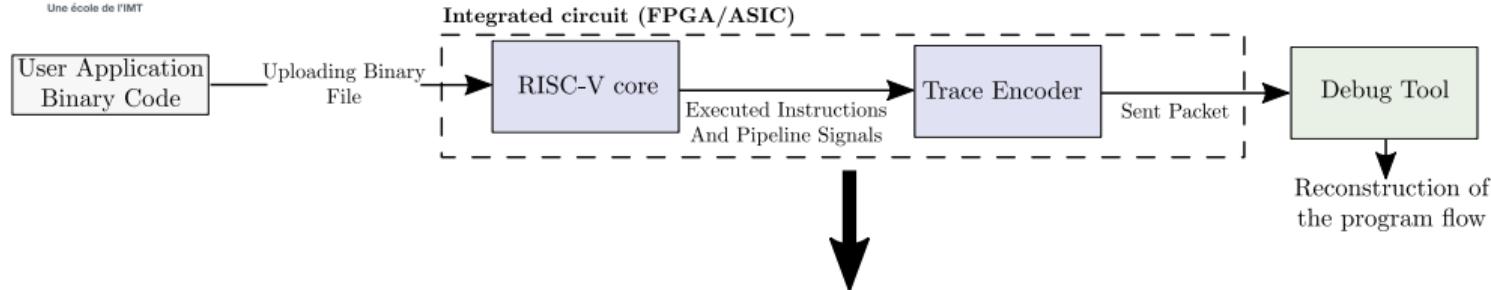
- A packet is sent when an unpredictable discontinuity (target address is not known from the binary code) is executed, e.g: a return instruction.

PC	Instruction	Assembly Code
0x22c	00008067	ret
0x374	00412783	lw a5,4(sp)
0x378	fff78713	addi a4,a5,-1
0x37c	00e12223	sw a4,4(sp)
<u>0x380</u>	<u>fa0796e3</u>	bnez a5,32c
0x384	00000793	li a5,0
0x388	00078513	mv a0,a5
0x38c	02010113	addi sp,sp,32
<b>0x390</b>	<b>00008067</b>	<b>ret</b>
0x3ac	00050793	mv a5,a0

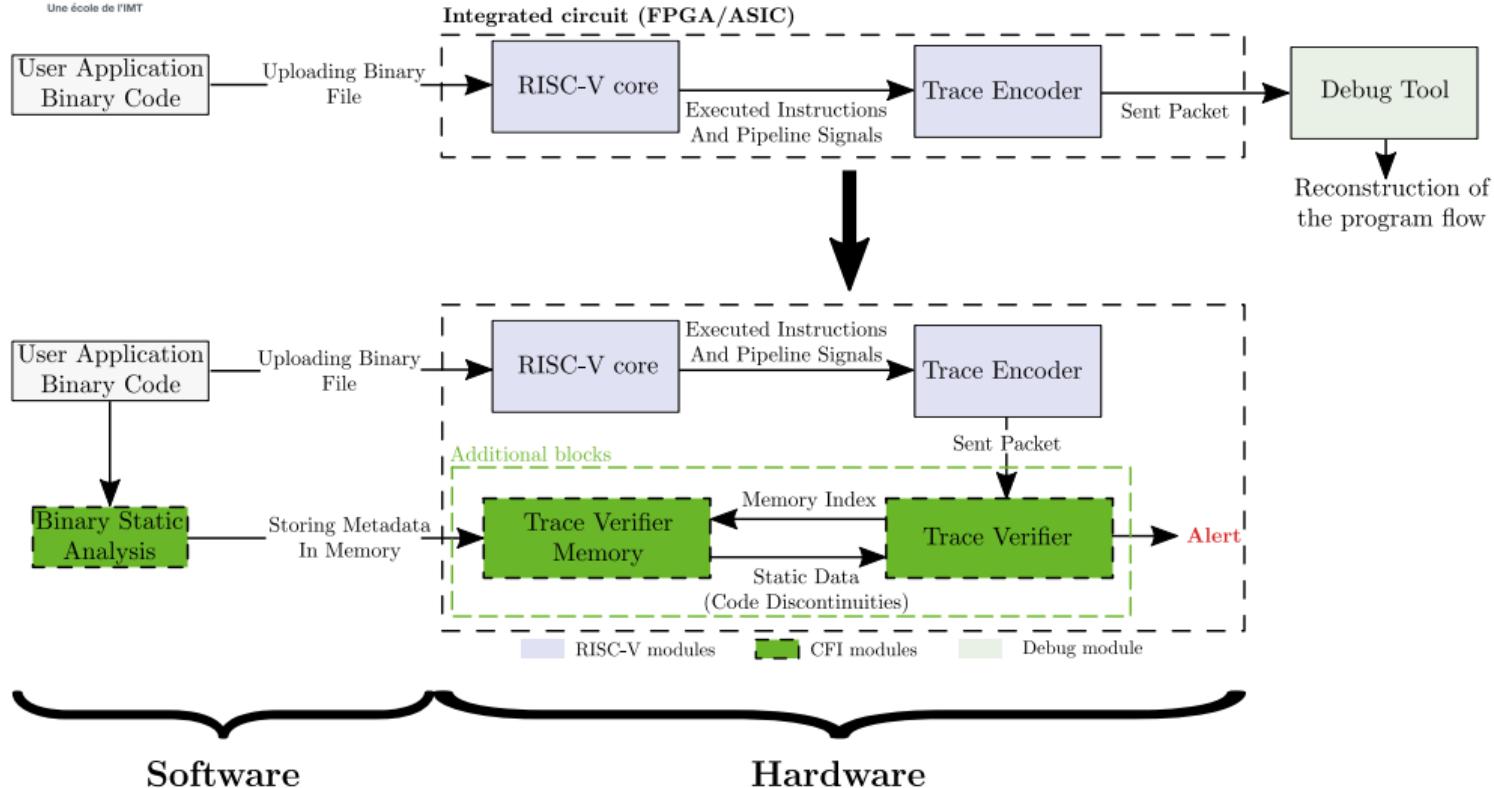


- Is it possible to use the TE to **check the program behavior** and detect **FIA**?

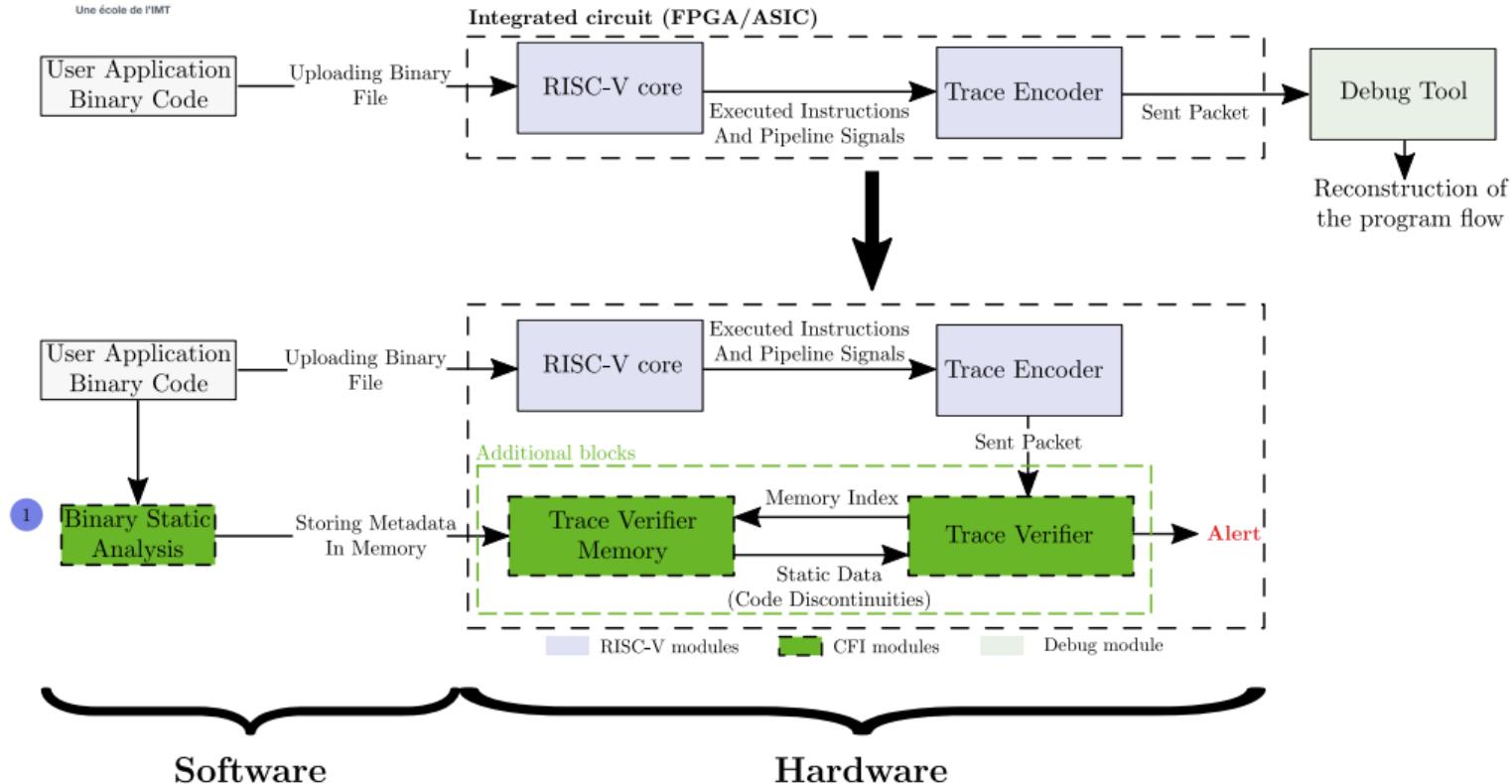
# TE-based verification solution



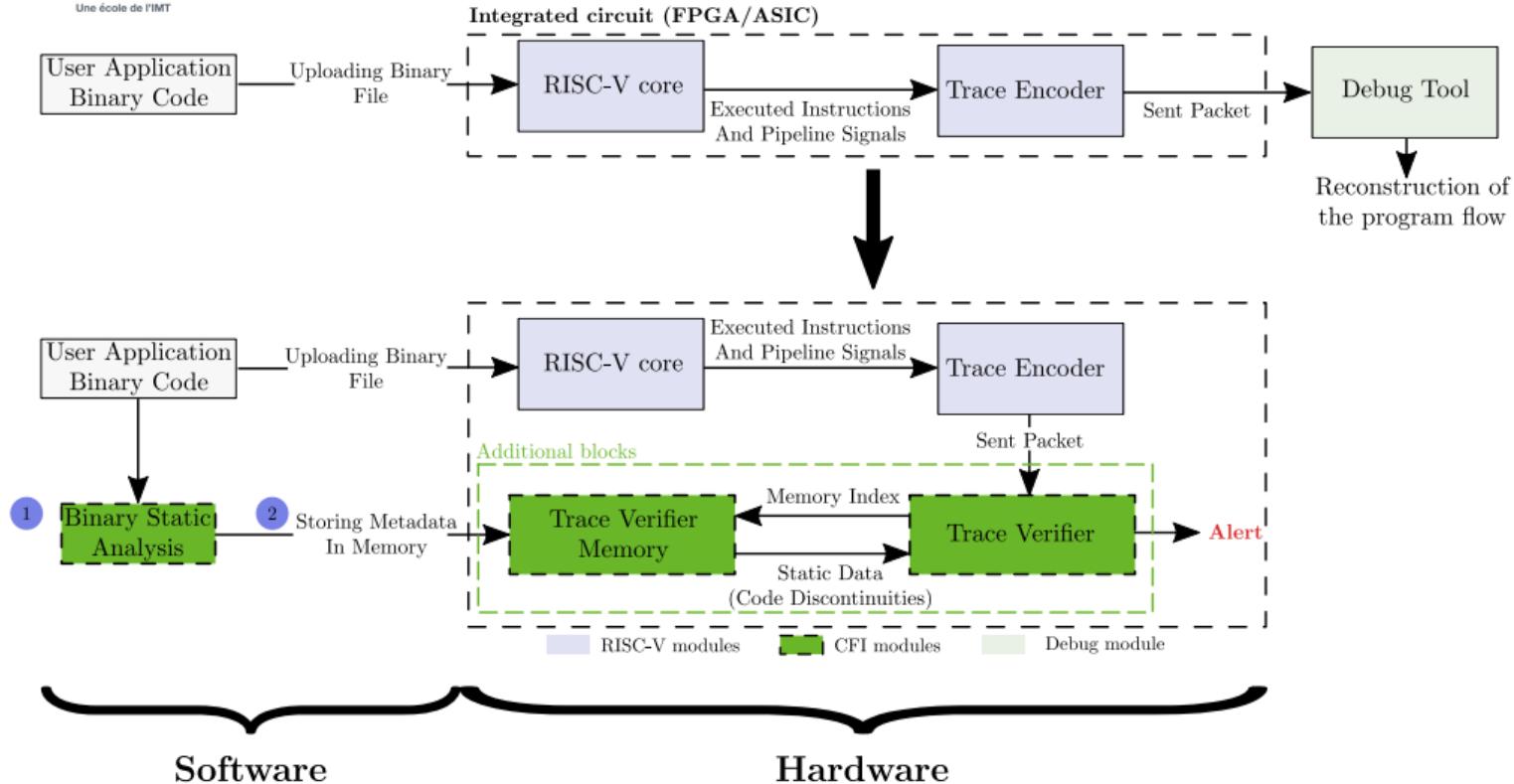
# TE-based verification solution



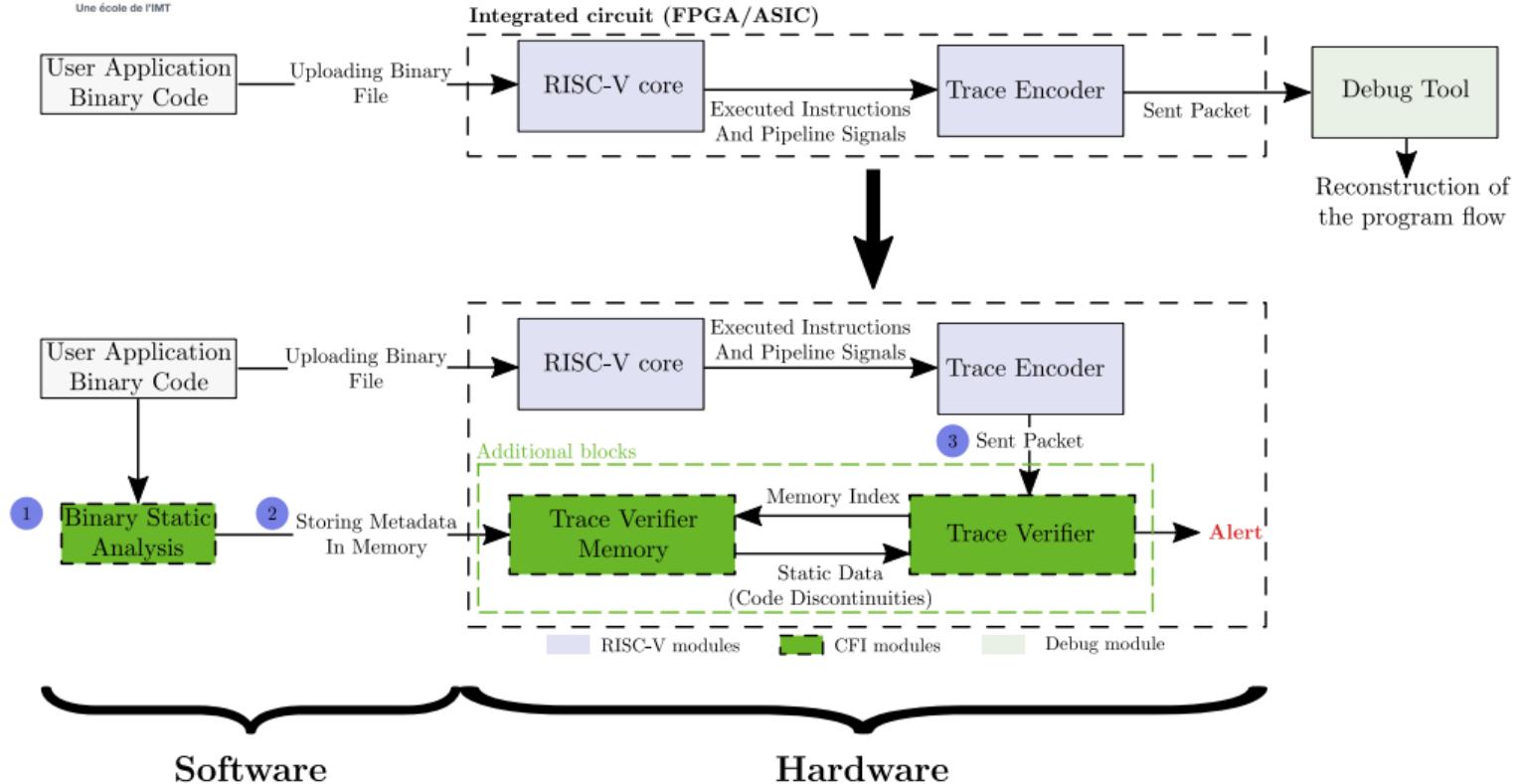
# TE-based verification solution



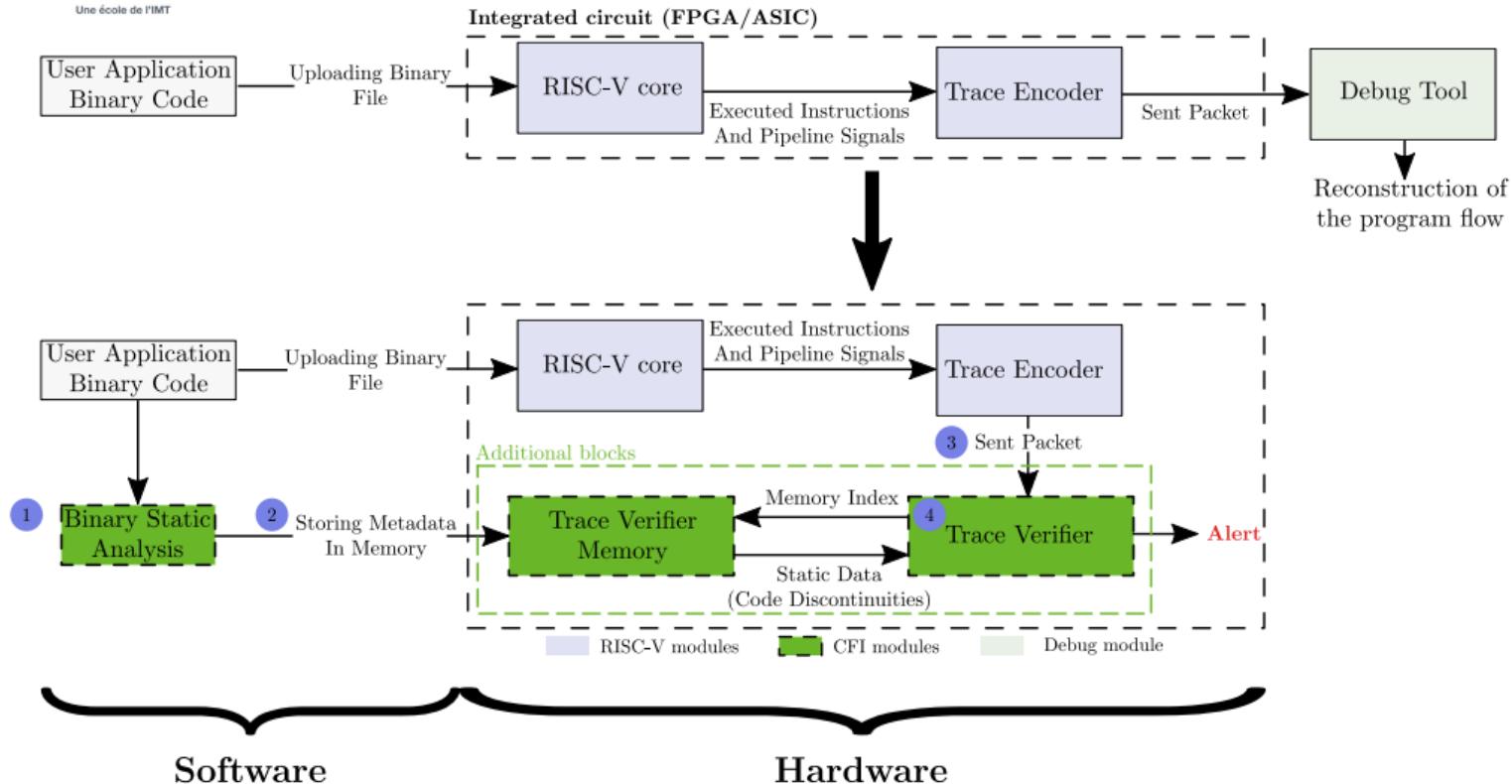
# TE-based verification solution



# TE-based verification solution

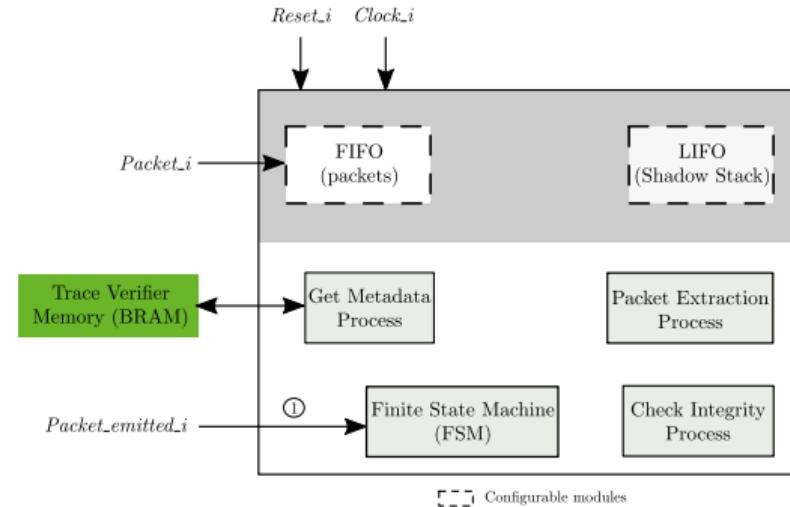


# TE-based verification solution



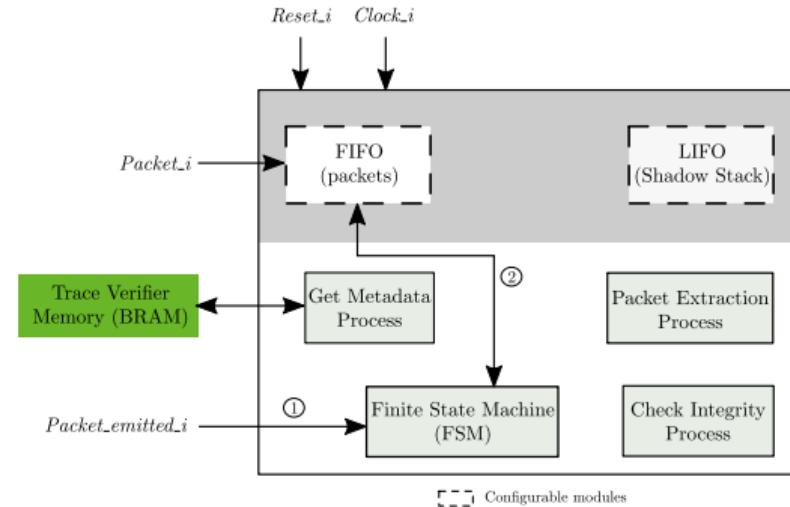
# TE-based Solution features

- Verification process starts when a packet is sent.
- Navigation through static data and constitution of the program's followed path.



# TE-based Solution features

- Verification process starts when a packet is sent.
- Navigation through static data and constitution of the program's followed path.



# TE-based Solution features

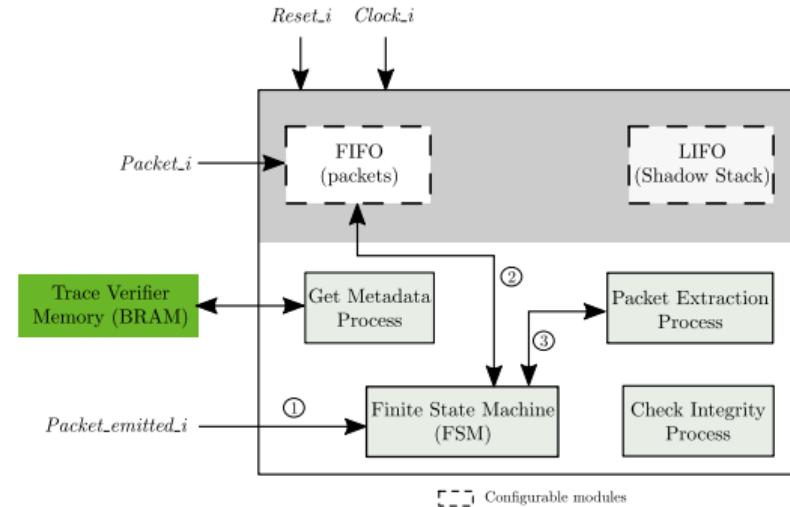
- Verification process starts when a packet is sent.
- Navigation through static data and constitution of the program's followed path.

## PACKET CONTENT

Branches =1

Branch\_map=1

Address=0x3ac



# TE-based Solution features

- Verification process starts when a packet is sent.
- Navigation through static data and constitution of the program's followed path.

## PACKET CONTENT

Branches =1

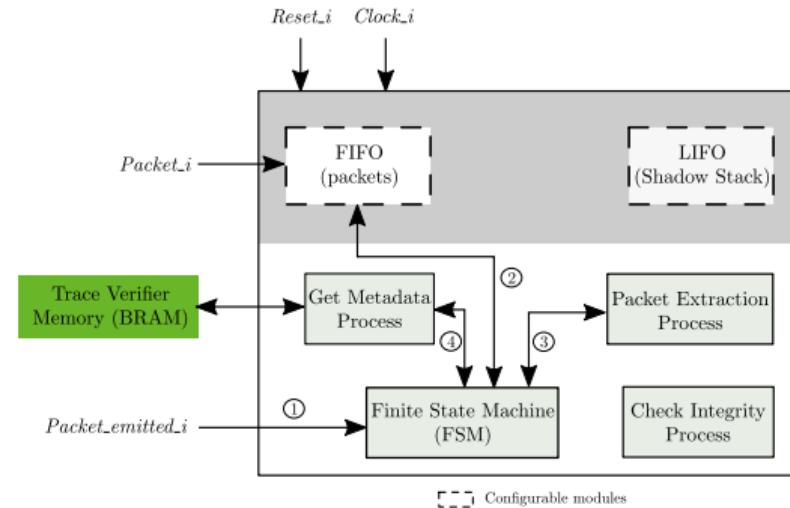
Branch\_map=1

Address=0x3ac

## BRAM

### Index : Content

3E	: 000000380	fa0796e3	0036
	PC	Instruction	J Index
3F	: 000000390	00008067	XXXX
	PC	Instruction	Unused Index



# TE-based Solution features

- Verification process starts when a packet is sent.
- Navigation through static data and constitution of the program's followed path.

## PACKET CONTENT

Branches =1

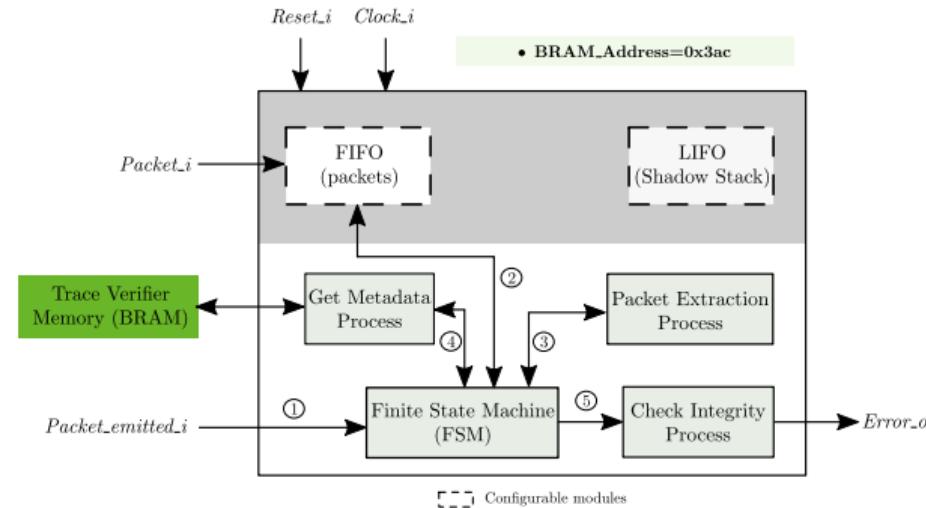
Branch\_map=1

Address=0x3ac

## BRAM

### Index : Content

3E	: 000000380	fa0796e3	0036
	PC	Instruction	J Index
3F	: 000000390	00008067	XXXX
	PC	Instruction	Unused Index



# TE-based Solution features

## Covered threats

- Changing the return address of a function – Backward Edge Attacks (BEAs).
- Instruction skip on a function call.

# TE-based Solution features

## Covered threats

- Changing the return address of a function – Backward Edge Attacks (BEAs).
- Instruction skip on a function call.

## Limitation

- Corruption of a **discontinuity instruction** (e.g bne => beq).
- Corruption of **any** non discontinuity instruction.
- Protection of the instructions execution till the first pipeline stage (Fetch stage).

# TE-based Solution features

## Covered threats

- Changing the return address of a function – Backward Edge Attacks (BEAs).
- Instruction skip on a function call.

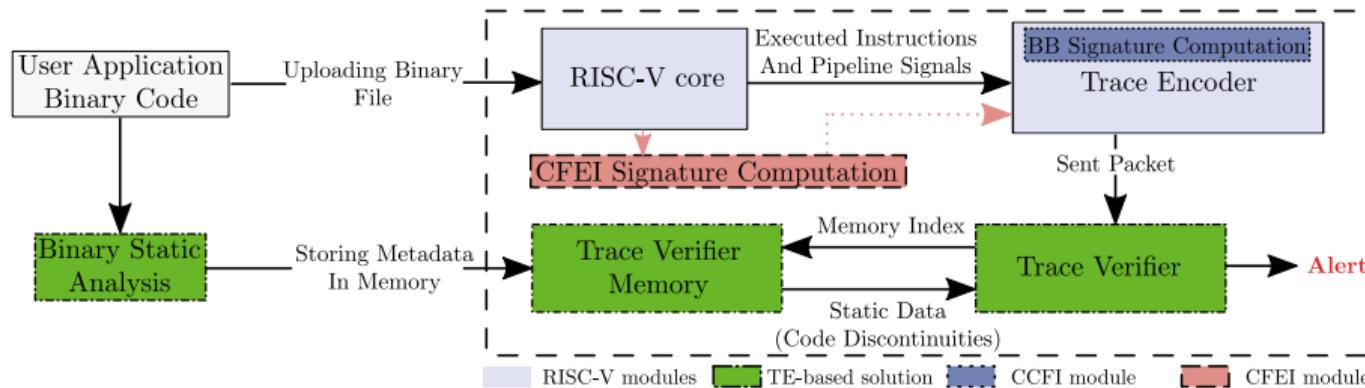
## Limitation

- Corruption of a **discontinuity instruction** (e.g bne => beq).
- Corruption of **any** non discontinuity instruction.
- Protection of the instructions execution till the first pipeline stage (Fetch stage).

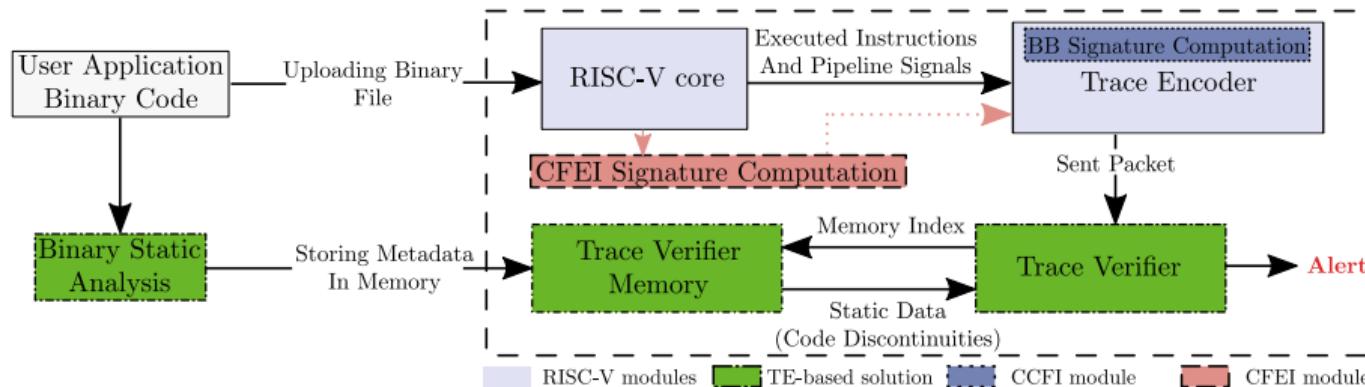
## What do we propose?

- Enhancing the **TE standard** to cover more fault models.

# Enhancing the TE standard to improve fault coverage



# Enhancing the TE standard to improve fault coverage



- A packet is now sent after **each** discontinuity instruction.
- Signature modules are added to the circuit.
- TE module adapted while respecting the retro-compatibility.

# Enhancing the TE standard to improve fault coverage

## Example - Code and Control-Flow Integrity (CCFI) mode

- A packet is sent after **each** discontinuity with a Basic Block (BB)<sup>1</sup> signature.

PC	Instruction	Assembly Code
0x22c	00008067	ret
0x374	00412783	lw a5,4(sp)



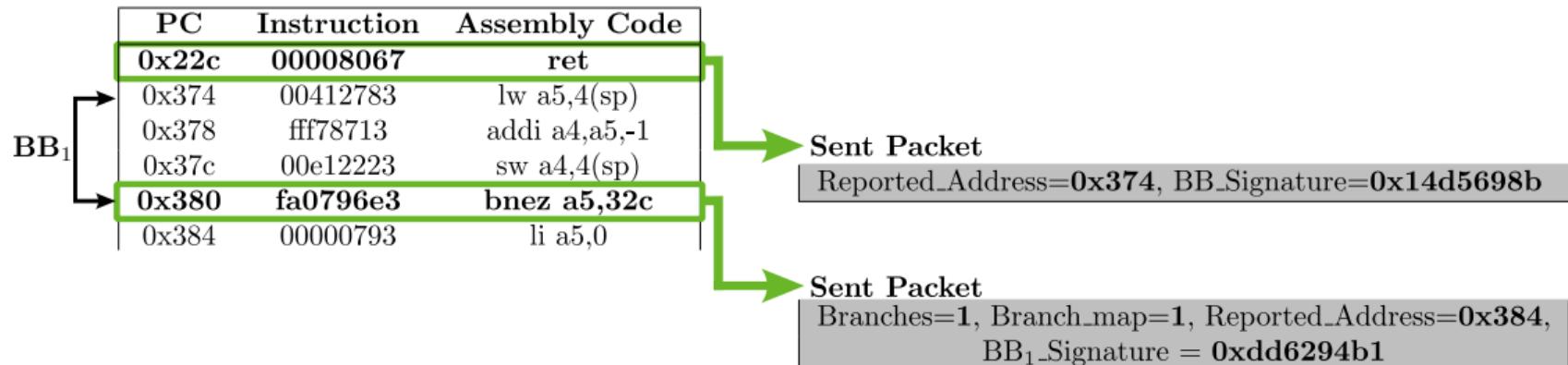
Sent Packet  
Reported\_Address=0x374, BB\_Signature=0x14d5698b

<sup>1</sup> BB is a set of successive instructions where their execution is done consecutively and in order.

# Enhancing the TE standard to improve fault coverage

## Example - Code and Control-Flow Integrity (CCFI) mode

- A packet is sent after **each** discontinuity with a Basic Block (BB)<sup>1</sup> signature.

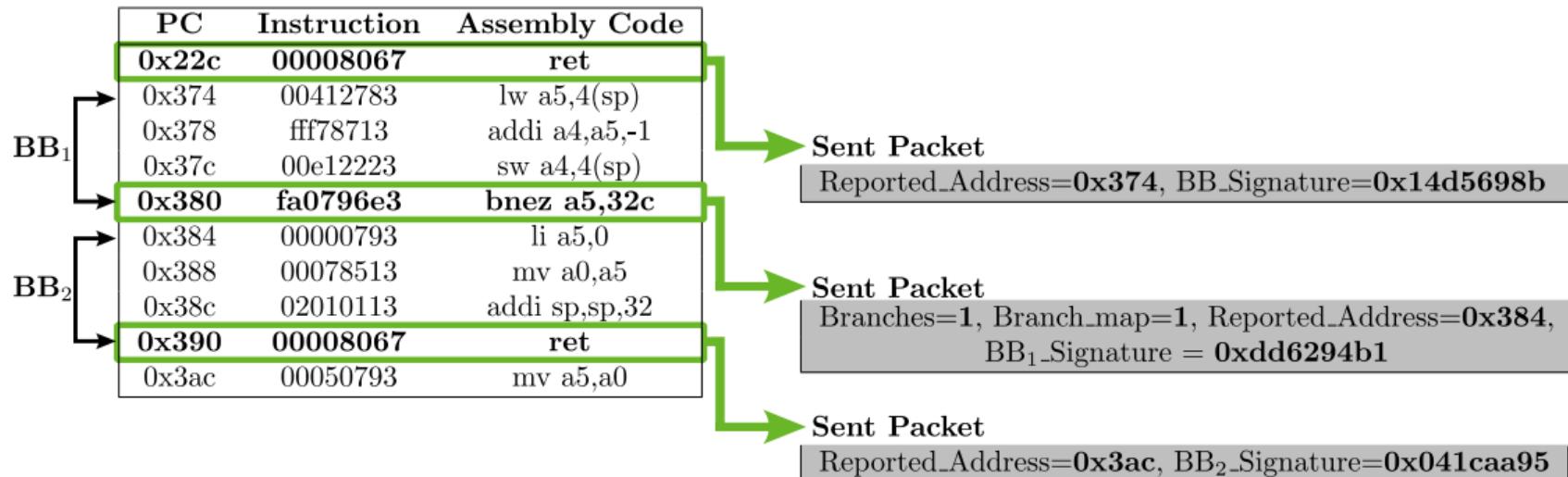


<sup>1</sup> BB is a set of successive instructions where their execution is done consecutively and in order.

# Enhancing the TE standard to improve fault coverage

## Example - Code and Control-Flow Integrity (CCFI) mode

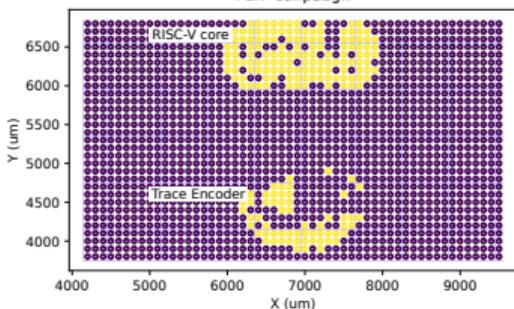
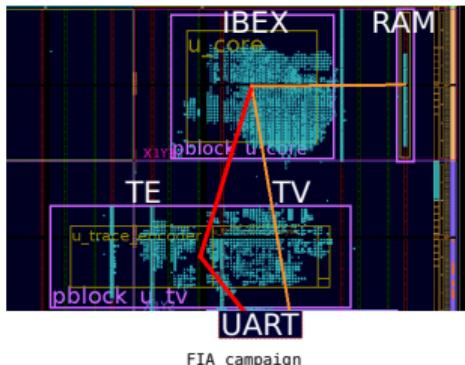
- A packet is sent after **each** discontinuity with a Basic Block (BB)<sup>1</sup> signature.



<sup>1</sup> BB is a set of successive instructions where their execution is done consecutively and in order.

# Results

- We simulated FIA and experimental EMFI on RV32IMC instructions and **IBEX RISC-V core** on FPGA.



	SFC	BEA	SBI	CDI	CI	PIE	VL
TV	✓	✓	(X)	X	X	X	↔ ↔
TV for CFI	✓	✓	✓	✓	X	X	→
TV for CCFI	✓	✓	✓	✓	✓	X	→
TV for CFEI	✓	✓	✓	✓	✓	✓	→

- SFC: Skip on Function Calls
- BEA: Backward Edge Attack
- SBI: Skip on Branch Instructions
- CDI: Corruption of a Discontinuity Instruction
- CI: Corruption of any Instruction
- PIE: Protection of Instruction Execution in the micro-architecture.
- VL: Verification Latency

# Conclusion

- The TE compliant solution [11] detects skip attacks on function calls / returns.
- Solutions **with the TE standard enhancement** detect corruption of any instruction (CCFI) [10] and attacks on microarchitectural signals (CFEI) [12].

# Conclusion

- The TE compliant solution [11] detects skip attacks on function calls / returns.
  - Solutions **with the TE standard enhancement** detect corruption of any instruction (CCFI) [10] and attacks on microarchitectural signals (CFEI) [12].
- 
- **No software overhead** as the TV implementation does not modify the user code nor the RISC-V compiler.

# Perspectives

- The TE compliant solution [11] detects skip attacks on function calls / returns.
  - Solutions **with the TE standard enhancement** detect corruption of any instruction (CCFI) [10] and attacks on microarchitectural signals (CFEI) [12].
- 
- **No software overhead** as the TV implementation does not modify the user code nor the RISC-V compiler.
- 
- Check how indirect calls could be treated in our approach.
  - Enable the branch prediction feature.
  - Upgrade the TE-based solutions to handle interruptions and core exceptions.



# Thank you for your attention!

## References I

- [1] Thomas Chamelot, Damien Couroussé, and Karine Heydemann. “SCI-FI: control signal, code, and control flow integrity against fault injection attacks”. In: *2022 Design, Automation & Test in Europe Conference & Exhibition (DATE)*. IEEE. 2022, pp. 556–559.
- [2] Jean-Luc Danger et al. “CCFI-Cache: A Transparent and Flexible Hardware Protection for Code and Control-Flow Integrity”. In: *2018 21st Euromicro Conference on Digital System Design (DSD)*. 2018 21st Euromicro Conference on Digital System Design (DSD). Aug. 2018, pp. 529–536. DOI: [10.1109/DSD.2018.00093](https://doi.org/10.1109/DSD.2018.00093).

## References II

- [3] Asmit De et al. "Hardware Assisted Buffer Protection Mechanisms for Embedded RISC-V". In: *IEEE Transactions on Computer-Aided Design of Integrated Circuits and Systems* 39.12 (Dec. 2020). Conference Name: IEEE Transactions on Computer-Aided Design of Integrated Circuits and Systems, pp. 4453–4465. ISSN: 1937-4151. DOI: [10.1109/TCAD.2020.2984407](https://doi.org/10.1109/TCAD.2020.2984407).
- [4] Ruan De Clercq et al. "SOFIA: software and control flow integrity architecture". In: *Computers & Security* 68 (2017), pp. 16–35.
- [5] Leila Delshadtehrani et al. "Nile: A programmable monitoring coprocessor". In: *IEEE Computer Architecture Letters* 17.1 (2017), pp. 92–95.
- [6] RISC-V. *Working Draft of the RISC-V Processor Trace Specification*. URL: <https://github.com/riscv/riscv-trace-spec> (visited on 11/29/2020).

## References III

- [7] Olivier Savry, Mustapha El-Majhi, and Thomas Hiscock. “Confidaent: Control FFlow protection with Instruction and Data Authenticated Encryption”. In: *2020 23rd Euromicro Conference on Digital System Design (DSD)*. 2020 23rd Euromicro Conference on Digital System Design (DSD). Aug. 2020, pp. 246–253. DOI: [10.1109/DSD51259.2020.00048](https://doi.org/10.1109/DSD51259.2020.00048).
- [8] Mario Werner et al. “Sponge-based control-flow protection for IoT devices”. In: *2018 IEEE European Symposium on Security and Privacy (EuroS&P)*. IEEE. 2018, pp. 214–226.
- [9] Shaza Zeitouni et al. “Atrium: Runtime attestation resilient under memory attacks”. In: *2017 IEEE/ACM International Conference on Computer-Aided Design (ICCAD)*. IEEE. 2017, pp. 384–391.

## References IV

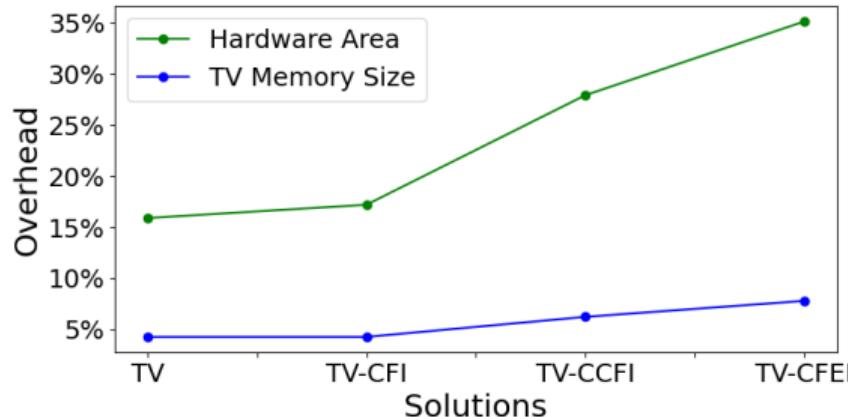
- [10] Anthony Zgheib et al. “A CCFI Verification Scheme Based on the RISC-V Trace Encoder”. In: *Constructive Side-Channel Analysis and Secure Design: 14th International Workshop, COSADE 2023, Munich, Germany, April 3–4, 2023, Proceedings*. Springer. 2023, pp. 42–61.
- [11] Anthony Zgheib et al. “A CFI Verification System based on the RISC-V Instruction Trace Encoder”. In: *2022 25th Euromicro Conference on Digital System Design (DSD)*. 2022, pp. 456–463. DOI: [10.1109/DSD57027.2022.00067](https://doi.org/10.1109/DSD57027.2022.00067).
- [12] Anthony Zgheib et al. “CIFER: Code Integrity and control Flow verification for programs Executed on a RISC-V core”. In: *2023 IEEE International Symposium on Hardware Oriented Security and Trust (HOST)*. IEEE. 2023, pp. 100–110.

# Comparison of our solution with related works

Solution	FIXER [3]	NILE [5]	SOFIA [4]	SCFP [8]	CONFIDENT [7]	CCFI-Cache [2]	atrium [9]	SCI-FI [1]	TE-CFI [11]	TE-CCFI [10]	TE-CFEI [12]
No User Code Modification	✗	✗	✗	✗	✗	✗	✓	✗	✓	✓	✓
No Compiler Modification	✓	✗	✓	✗	✗	✗	✓	✗	✓	✓	✓
No Pipeline Modification	✓	✓	✗	✗	✗	✓	✓	✗	✓	✓	✓
No Performance Overhead	✗	✗	✗	✗	✗	✗	✗	✗	✓	✓	✓
Backward Edge Protection	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Forward Edge Protection	✓	✗	✗	✓	✓	(✗)	✗	✗	✗	✗	✗
Code Integrity	✓	✓	✓	✓	✓	✓	✓	✓	✗	✓	✓
Code Execution Integrity	✗	✗	✗	✗	✗	✗	✗	✓	✗	✗	✓
Code Confidentiality	✗	✗	✓	✓	✓	✗	✗	✗	✗	✗	✗

# Comparison of our solution with related works

Solution	FIXER [3]	NILE [5]	SOFIA [4]	SCFP [8]	SCI-FI [1]	CONFIDAENT [7]	CCFI-Cache [2]	atrium [9]	TE-based CFI [11]	TE-CCFI [10]	TE-CFEI [12]
<b>Code Size (%)</b>	N/A	N/A	141	19.8	25.4	<36	<30	0	0	0	0
<b>Performance (%)</b>	1.5	<3	110	9.1	17.5	<36	32	<22.7	0	0	0
<b>Hardware Area (%)</b>	2.9	15	28.2	N/A	<23.8	N/A	10	<20	<17.1	<27.9	<35.1



# Our CFI Methodology

## 3 Steps

- Static analysis of the binary application code (1).
- Generation of metadata related to these instructions (2).
- CFI Verification with external HW module (3).

# Our CFI Methodology

## 3 Steps

- Static analysis of the binary application code (1).
- Generation of metadata related to these instructions (2).
- CFI Verification with external HW module (3).

(1)

```

int isabsequal (int x, int y)
{
    if(x == y)
        return 1;
    else if (x == -y)
        return -1;
    else
        return 0;
    end if;
}
    
```

(2)

RAM

Index : Content

1F	: 00000080	0010006F	0079	0FFF
...	PC	Instruction	J Index	Unused Index
79	: 00000914	01BD5863	007B	007A
...	PC	Instruction	Index if Br Taken	Index if Br Not Taken

# Our CFI Methodology

## 3 Steps

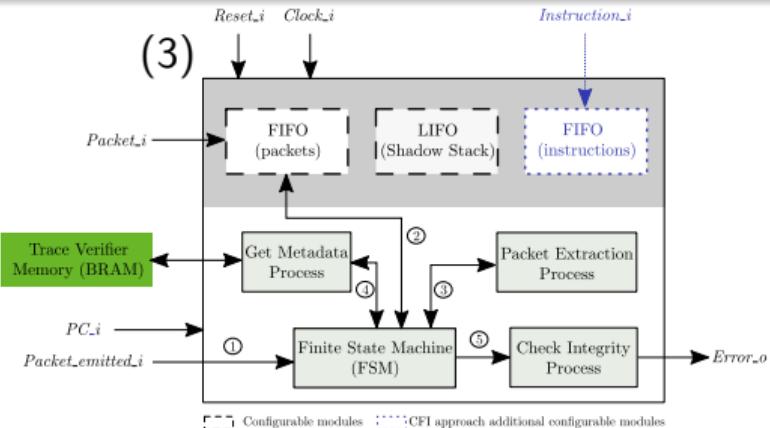
- Static analysis of the binary application code (1).
- Generation of metadata related to these instructions (2).
- CFI Verification with external HW module (3).

(2)

### RAM

#### Index : Content

1F	: 00000080	0010006F	0079	0FFF
...			J Index	Unused Index
79	: 00000914	01BD5863	007B	007A
...			Index if Br Taken	Index if Br Not Taken
	PC	Instruction		



# FIA campaign

