# Functional Analysis - Project

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# Topic

The equivalence of following:

- 1. Axiom of Choice
- 2. Zorn's Lemma
- 3. Existence of Hamel basis
- 4. Hahn-Banach Theorem
- 5. Well-Ordering Principle

## Resources

- 1. P. R. Halmos Naive Set Theory (Section 15, 16, 17)
- 2. Kreyszig FA (Section 4.1, 4.2)

# 1 Jayadev - Notes

## 2 Rishabh - Notes

**Axiom of extension.** Two sets are equal if and only if they have the same elements.

#### Q1. What is an extension?

This is a not trivial property of sets, which can not be applied to humans  $x \in y$  (if y is ancestor of x) per-se.

Some properties of set inclusion: Anti-symmetric, Reflexive and Transitive.

## Auss on derungs axiom

**Axiom of specification.** Given something specified (some property) and a set there exists one of the subset of the set following that property. (in layman terms)

Note: Think about the weak points of the box analogy of sets. It's true that something in a box is not equal to that thing without box, similarly  $x \neq \{x\}$ .

**A sentence.** Atomic parts:  $x \in A$  or A = B. (other are logical operators).

# 2.1 Nothing contains everything

Consider an arbitrary set A which is assumed to contain everything (or is the universe). Then consider another set B, such that

$$y \in B \iff (y \in A \cap y \notin y).$$
 (1)

Now consider if  $B \in A$  or not. Hints: Russell's Paradox.

The **empty set**  $\emptyset$  comes into picture, once we know there exists a set and by using axiom of specification.

To **prove** that something is true about the empty set, prove that it cannot be false.

**Axiom of pairing.** For any two sets there exists a set that they both belong to.

**EXERCISE:** Are all the sets obtained in this way distinct from one another? (We can use axiom of extension I guess).

// Read page 11. ending paragraph once more.

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**Axiom of unions.** For every collection of sets there exists a set that contains all the elements that belong to at least one set of the given collection.

Using axiom of specification => exact Union can be found out.

 $A \cup A = A$  (idempotence).

**Relations.** If xRy then  $(x,y) \in R$ . The projection of R onto the first and second coordinates is known as domain (dom R) and range (ran R) respectively.

## Principle of duality. Replace

- 1.  $A \mapsto A'$
- $2. \cap \mapsto \cup$
- $3. \subset \mapsto \supset$

**Symmetric difference or Boolean Sum.**  $A + B = (A - B) \cup (B - A)$  – Boolean sum because the elements appearing twice are removed in A + B.

**Axiom of Powers.** A set (or collection) exists for every set, which have all the subsets of the given set.

**Orderings as a set.**  $\{a, b, c\} = \{\{a\}, \{a, b\}, \{a, b, c\}\}\$ . A nice way to see an ordering, as unique ordering can be reconstructed from RHS.

It can be seen that ordered pair  $(a,b) = \{\{a\}, \{a,b\}\} \in \mathbb{P}(\mathbb{P}(A \cup B))$ , hence axiom of specification can be used to produce the set of all such (a,b), where  $a \in A$  and  $b \in B$ . And such a set is defined as  $A \times B$ 

#### Functions.

- 1. Uniqueness condition:  $(x, y) \in f$  and  $(x, z) \in f \implies y = z$ . xfy or f(x) = y or  $(x, y) \in f$ .
- 2. y is value, and x is the argument (typical C++)...
- 3. Set of all functions is a subset of Power Set  $P(X \times Y) == Y^X$ .
- 4. The set of ordered pairs is called the graph of the functions.
- 5. image f = Y then f maps X onto Y.
- 6. inclusion map: f(x) = x
- 7. g = f|X, something like function projected on X.
- 8. f((x,y)) = f(x,y)
- 9. index, indexed set, family, index set, term of the family  $x_i$ .

## Numbers.

1. **Axiom of Infinity.** there exists a set containing 0 and containing the successor of each of its elements.

## Piano's Axiom.

 $5^{th}$  property: If n and w are in  $\omega$ , and if  $n^+ = m^+$  then n = m.

**Proposition 1.** No natural number is a subset of any of it's elements.

**Proof 1:** Consider the set S with the given properties, now as  $0 = \emptyset$ , therefore no elements in 0 exists hence 0 is not a subset of any of it's elements or  $0 \in S$ .

**Induction Step:** Consider  $n \in S$ , now as n = n therefore  $n \subset n$  hence n can not be an element of n i.e.  $n \notin n$  as otherwise  $n \notin S$ . Considering,  $n^+ = n \cup \{n\}$ , as  $n \notin n$  therefore  $n^+ \not\subset n$  — (1). Now let  $n^+ \subset x$  therefore  $n \subset x$ , which gives  $x \notin n$ , therefore  $n^+$  is not a subset of any  $x \in n$ . Hence,  $n^+$  is not a subset of any element of  $n^+$  showing that  $n^+ \in S$ .

Now by mathematical induction,  $S = \omega$  (the set of natural numbers).

**Proposition 2.** Every element of a natural number is a subset of it i.e. a natural number is a transitive set.

**Proof 2.** Similar proof using mathematical induction.

Proof of  $5^{th}$  property becomes natural after using 1, 2 propositions proved above.

**Recursion theorem.** If a is an element,.... define  $u(0) = a, u(n^+) = f(u(n))$  .. exists. This is applied as a definition for induction.

### Arithmetic.

Two natural numbers are comparable iff  $m \in n$  or  $n \in m$  or m = n.

#### Order.

If X is a partially ordered set, and if  $a \in X$ , the set  $s(a) = \{x \in X : x < a\}$  is the initial segment while  $\bar{s}(a) = \{x \in X : x \leq a\}$  is weak initial segment.