

**Open Elective Course [OE]**

Course Code: CSO507

Winter 2023-24

Lecture#

# Deep Learning

## Unit-4: Convolutional Neural Networks (Part-I)

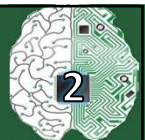
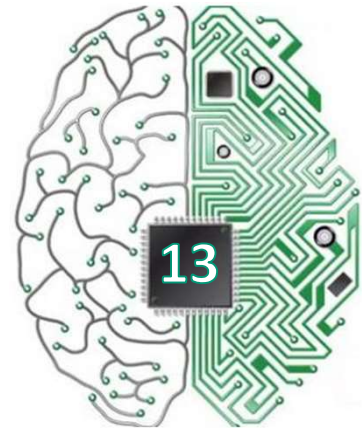
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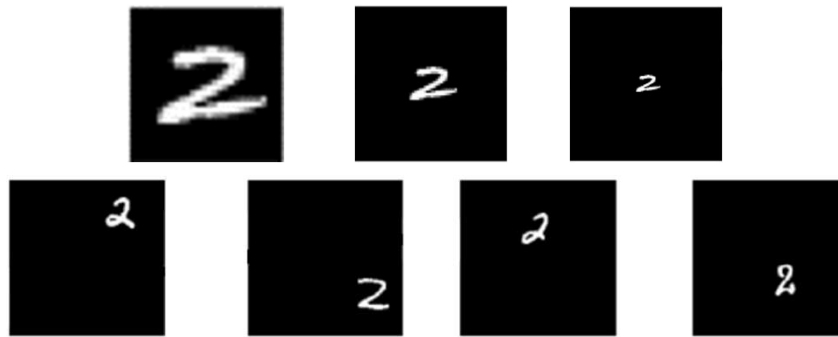


## Convolutional Neural Network: Motivation [contd. from previous lecture]

# Statistics of local images

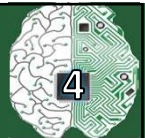


- Many properties of the image patches are the same independent of their position
- Intuitively, objects can appear at different locations in the image
- They can also appear at different distance away from the camera

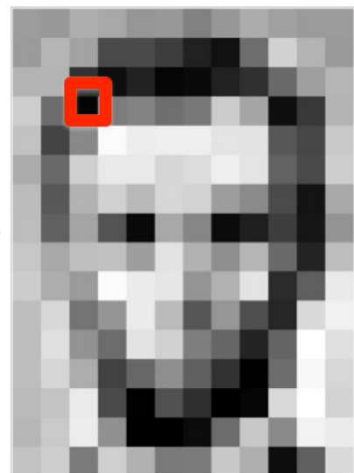


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## Image Representation (8-bit Grayscale)

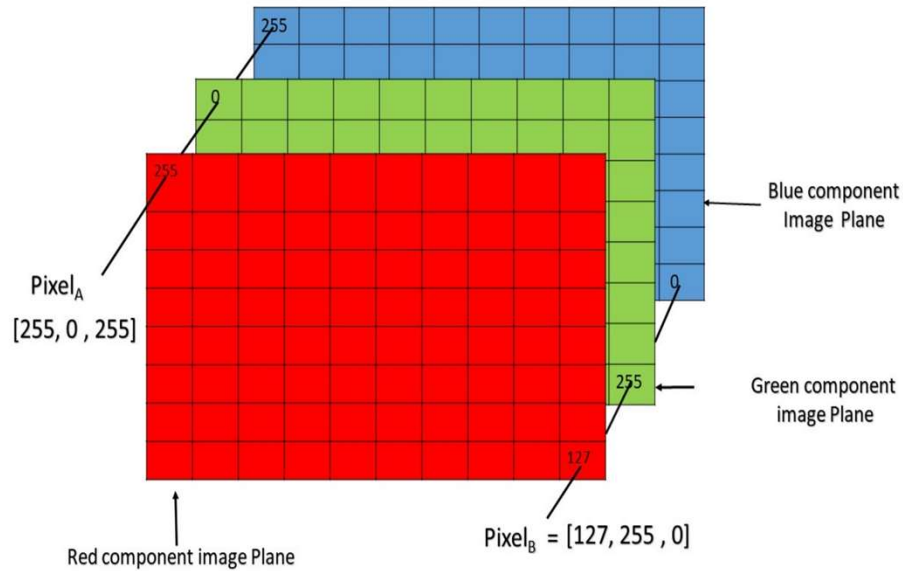


157	153	174	168	150	152	129	151	172	161	155	156
155	182	163	74	75	62	33	17	110	210	180	154
180	180	50	14	34	6	10	33	48	106	159	181
206	10	10	4	131	111	120	204	166	15	56	180
154	58	137	251	237	239	239	228	227	87	71	201
172	105	207	233	233	214	220	239	228	98	74	206
188	88	179	209	185	215	211	158	139	75	20	169
189	97	165	84	10	168	134	11	31	62	22	148
199	168	191	193	158	227	178	143	182	106	36	190
205	174	155	252	236	231	149	178	228	43	95	234
190	216	116	149	236	187	86	150	79	38	218	241
190	224	147	108	227	210	127	102	36	101	255	224
190	214	173	66	103	143	96	50	2	109	249	215
187	196	235	75	1	81	47	0	6	217	255	211
183	202	237	145	0	0	12	108	200	138	243	236
195	206	123	207	177	121	123	200	175	13	96	218



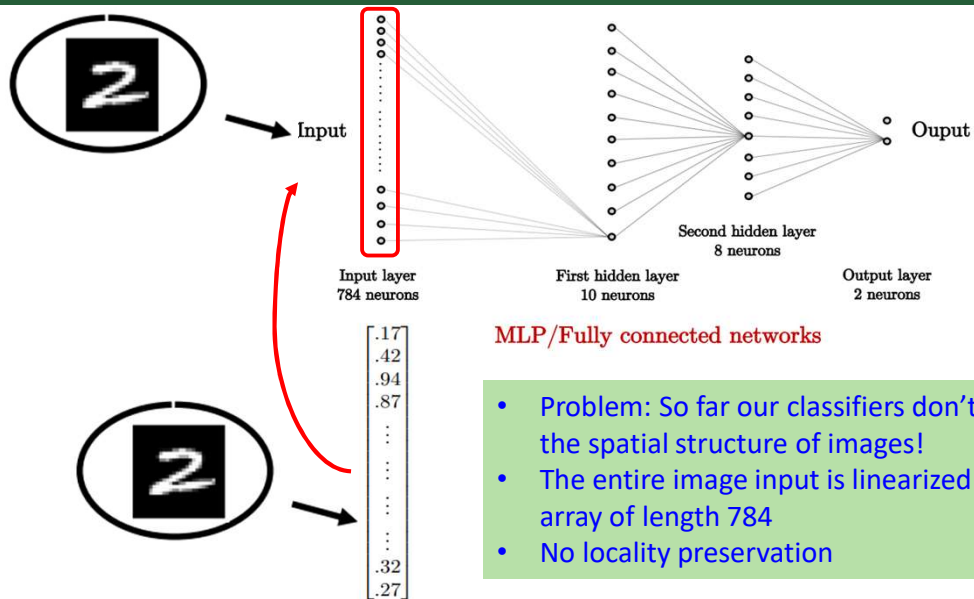
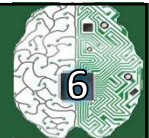
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## Color Images (e.g., 24-bit RGB image)



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## Ignorance of Spatial Structure



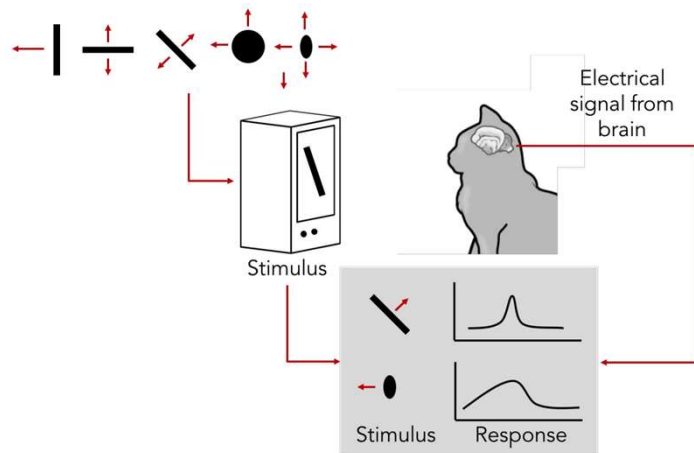
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# Biological Inspirations



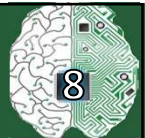
## • Work of Hubel and Wiesel in cat visual cortex (Nobel Prize)

- Discovery of neurons that respond maximally to specific stimulus patterns within their receptive field (simple cells)
- Discovery of neurons that are more location invariant (complex cells)



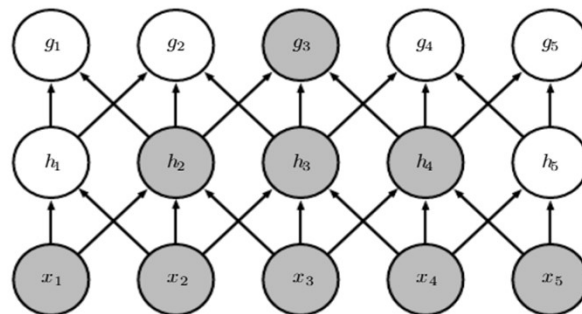
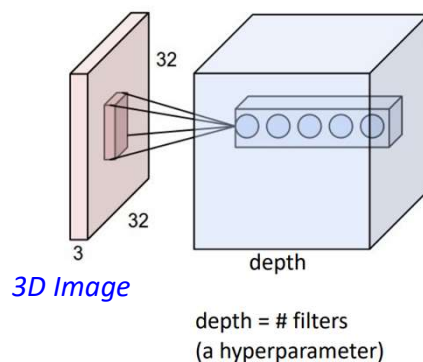
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# Convolutional Neural Networks: Key Idea 1



## • Features have local receptive fields

- Each hidden unit is connected to a patch of the input image.
- Units are connected to all 3 colour channels.

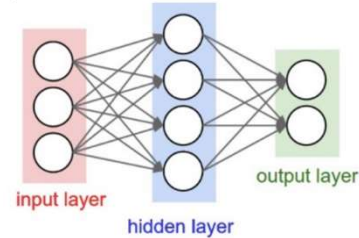


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# Fully-Connected Layers Are Limited



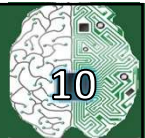
- **Issue: many model parameters in fully connected networks**
- Each node provides input to each node in the next layer
  - Many model parameters...
  - increases chance of overfitting
  - requires more training data
  - increases training time



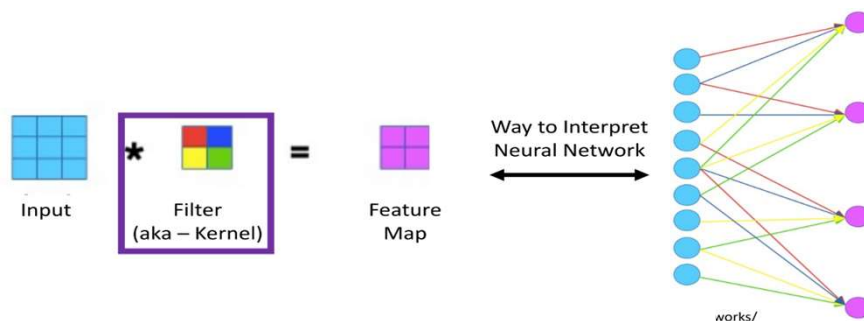
- Assume 2 layer model with 100 nodes per layer
  - e.g., how many weights are in a 640x480 grayscale image?
    - $640 \times 480 \times 100 + 100 \times 100 + 100 \times 1 = 30,730,100$
  - e.g., how many weights are in a 3.1 Megapixel grayscale image (2048X1536)?
    - $2048 \times 1536 \times 100 + 100 \times 100 + 100 \times 1 = 314,582,900$

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# Convolutional Neural Networks: Key Idea 2

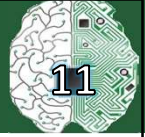


- **Share matrix of parameters across units**
  - Constrain units within a depth slice (at all positions) to have same weights.
  - Feature map can be computed via discrete convolution with a kernel matrix.
- **Sparse connectivity**
  - Convolutional layers dramatically reduce number of model parameters!

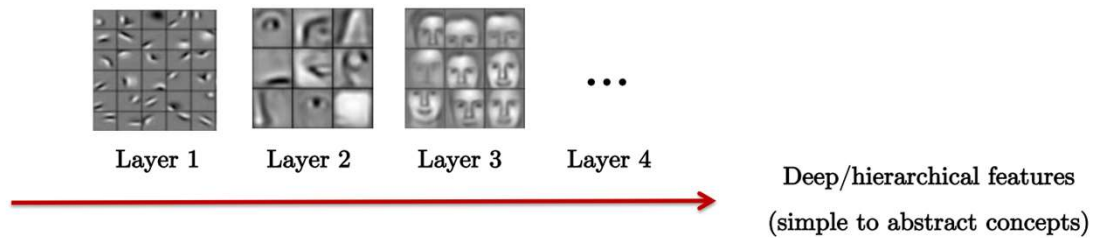


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# Hypothesis of hierarchical representations



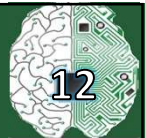
- Image data are compositional :
  - formed from hierarchical local stationary patterns.
  - Local feature patterns can be composed to form abstract complex patterns :



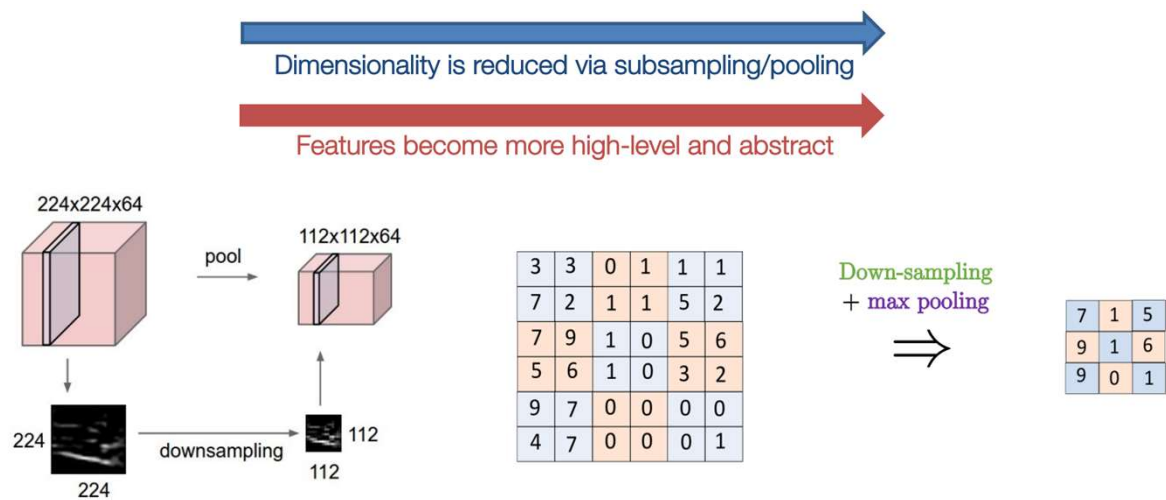
- Hard to be represented by non-hierarchical models (exponential number of parameters)
- Easily represented by hierarchical models (polynomial number of parameters)

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## Convolutional Neural Networks: Key Idea 3

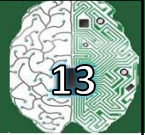


- Pooling/subsampling of hidden units in same neighborhood.**

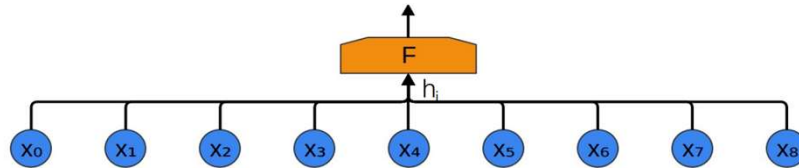


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# Feed-forward NNs to CNNs



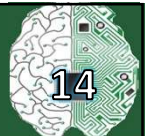
- Consider **1-D inputs** for simplicity, then generalize to 2-D.
- In a standard FF-NN, we feed all the input features to the hidden layer:



$$h_i = \phi(\mathbf{w}^\top \mathbf{x} + b), \mathbf{x} = [x_0, \dots, x_8]$$

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# Feed-forward NNs to CNNs

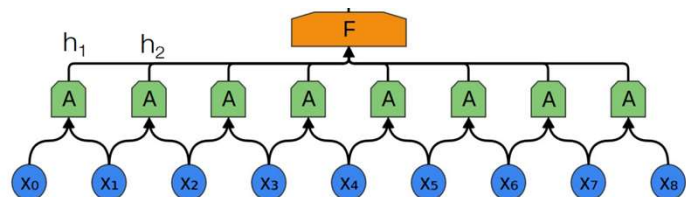


- In a convolutional layer, we group the input units together, and apply the same function to these different groups.
- This is the idea of parameter sharing and local receptive fields.
- Mathematically equivalent to a discrete convolution operation.

$$h_1 = \phi(\mathbf{w}^\top [x_0, x_1] + b)$$

$$h_2 = \phi(\mathbf{w}^\top [x_1, x_2] + b)$$

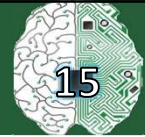
The parameters for the hidden units are shared... but the inputs are different and local!



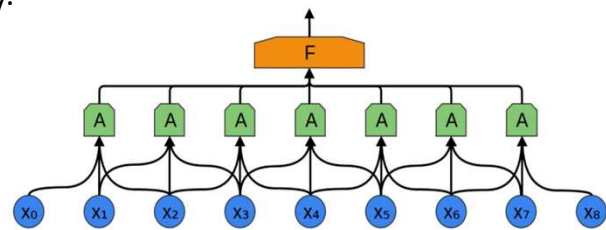
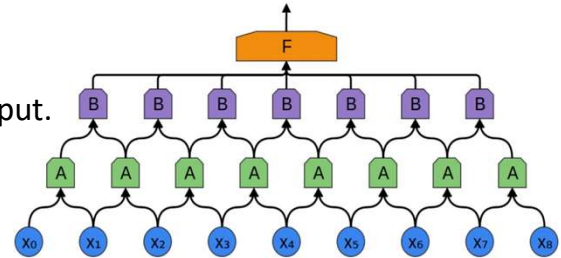
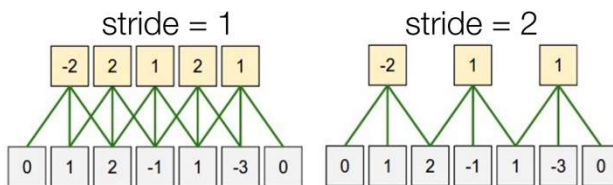
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# Feed-forward NNs to CNNs

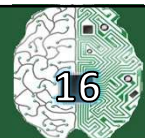


- Can stack multiple convolutional layers.
- Can vary the width/size of the receptive field.
- Can apply multiple convolutions to the same input.
- Can vary the “stride” (i.e., spacing between receptive fields).
- It is common to add zero-padding to allow the application of the kernel near the boundary.



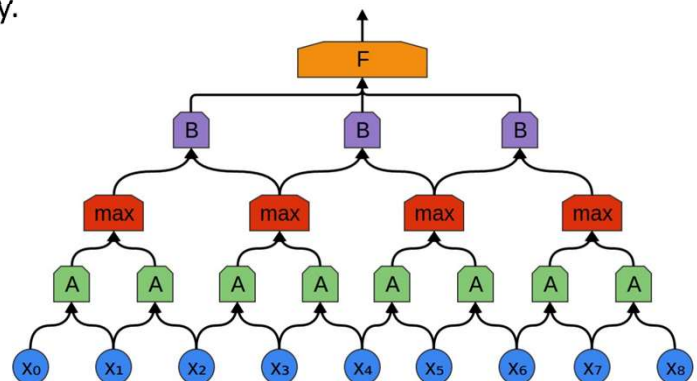
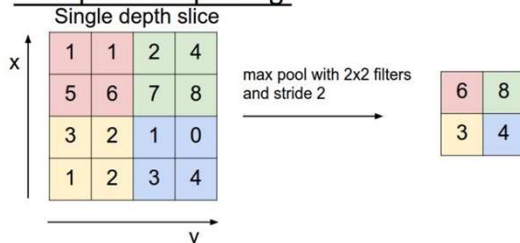
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# Feed-forward NNs to CNNs



- Pooling layers are often inserted between convolutional layers.
- Simply take the max of inputs in a receptive field.
- Further reduces the dimensionality.
- (Less popular in last couple years)

Example of 2D pooling:



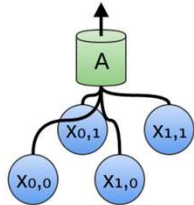
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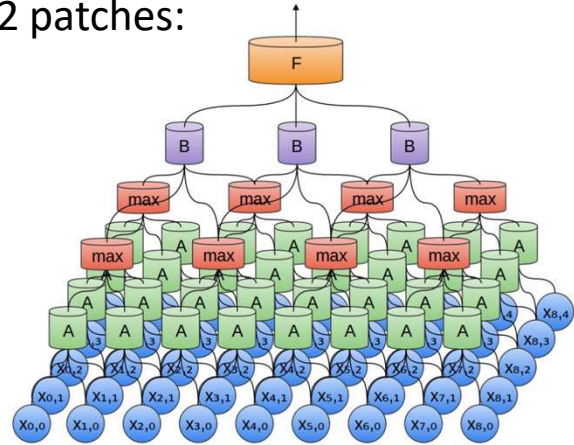
# Feed-forward NNs to CNNs



- In 2D we group patches together in receptive fields.
- i.e., a 2x2 convolution groups 2x2 patches:

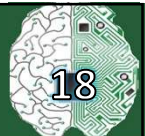


$$h_1 = \phi(\mathbf{W}[x_{0,0}, x_{0,1}, x_{1,1}, x_{1,1}]^T + b)$$



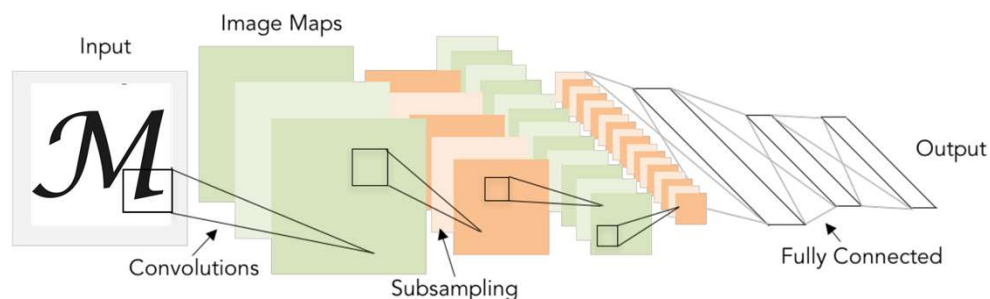
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## Putting it all together

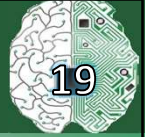


A **CNN** **ConvNet** is a sequence of convolutional layers, interspersed with activation functions (and possibly other layer types)

- Major Components of a Convolutional Network
  - Convolution Layers
  - Pooling Layers



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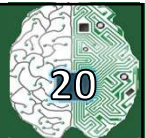
## “CNN” vs “ConvNet”

- There are many papers that use either phrase, but “ConvNet” is the preferred term, since “CNN” clashes with other things called CNN

--- Yann André LeCun



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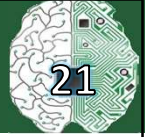


## Introduction

- Convolutional Neural Networks (ConvNets) are a specialized kind of neural networks for processing data that has a **known grid like topology**.
- Example of such data can be 1-D time series data sampled at regular intervals, or 2-D images.
- As the name suggests, these networks employ the mathematical **convolution** operator.
- Convolutions** are a special kind of **linear** operators that can be used instead of general matrix multiplication.

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# The Convolution Operation: 1D Case



- **Mathematical Definition**

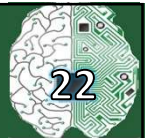
- The convolution operator is mathematically defined as:

$$\begin{aligned} s(t) &= (x * w)(t) = \int x(a)w(t-a)da \\ &= \sum_{a=-\infty}^{\infty} x(a)w(t-a) \end{aligned}$$

- Note that the infinite summation can be implemented as a finite one as it is assumed that these functions are zero everywhere except at  $t$  where a measurement is provided.

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# Mathematical Definition : 2D Case



- The convolution operator is **mathematically defined as:**

$$\begin{aligned} S(i,j) &= (I * K)(i,j) = \sum_m \sum_n I(m,n)K(i-m,j-n) \\ &= \sum_m \sum_n I(i-m,j-n)K(m,n) \end{aligned}$$

- Usually in convolutions, we flip the kernel, which gives rise to the above commutative property.

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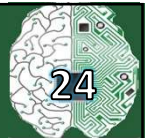
# Terminology



- $I$  is usually a multidimensional array of data termed the **input**.
- $K$  is usually a multidimensional array of parameters termed the **kernel** or the **filter**.
- $S$  is the **output** or **feature map**.

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## Mathematical Definition : 2D Case



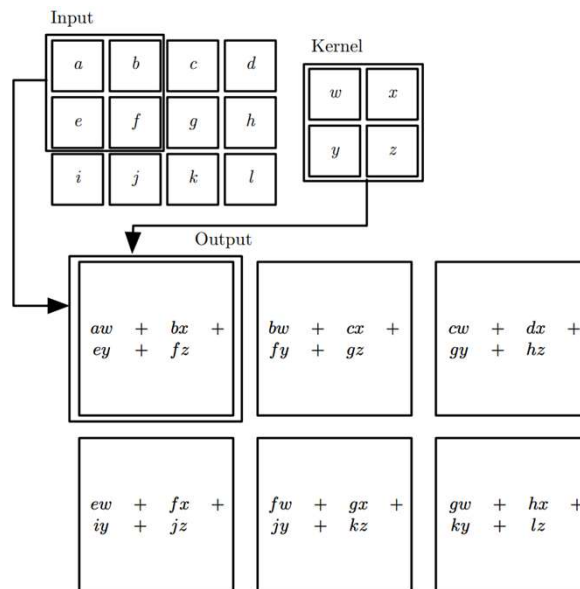
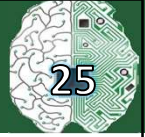
- Most machine learning libraries implement cross-correlation while calling it convolutions.
- Cross correlation is defined as:

$$\begin{aligned} S(i, j) &= (I * K)(i, j) = \sum_m \sum_n I(m, n) K(i + m, j + n) \\ &= \sum_m \sum_n I(i + m, j + n) K(m, n) \end{aligned}$$

- The logic behind the above is that usually, we learn the kernel and thus it does not matter if it is flipped or not.

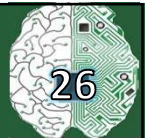
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# Convolution Example



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# Convolution Example



- Exact formula for the convolution layer:
  - Each filter generates an activation map.

$$\begin{bmatrix} 0 & 3 & 0 & 1 \\ 2 & 0 & 1 & 2 \\ 3 & 0 & 0 & 2 \\ 0 & 1 & 2 & 0 \end{bmatrix} \star \begin{bmatrix} -1 & 1 \\ 2 & 1 \end{bmatrix} = \begin{bmatrix} 7 & -2 & 5 \\ 4 & 1 & 3 \\ -2 & 4 & 6 \end{bmatrix}$$

↑  
Input image



↑  
One filter



↑  
One activation map



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# Convolution



- Exact formula for the convolution layer:

- Each filter generates an activation map.

$$(0)(-1) + (3)(1) + (2)(2) + (0)(1) = 7$$

$$\begin{bmatrix} 0 & 3 & 0 & 1 \\ 2 & 0 & 1 & 2 \\ 3 & 0 & 0 & 2 \\ 0 & 1 & 2 & 0 \end{bmatrix}$$

Input image



\*

$$\begin{bmatrix} -1 & 1 \\ 2 & 1 \end{bmatrix}$$

One filter



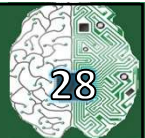
=

$$\begin{bmatrix} 7 & -2 & 5 \\ 4 & 1 & 3 \\ -2 & 4 & 6 \end{bmatrix}$$

One activation map



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## Questions?

Acknowledgement: Prof. W. L. Hamilton, Fei-Fei Li, Ranjay Krishna, Daniel Xu, Justin Johnson, Karim Shrivastava

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