

**Open Elective Course [OE]**

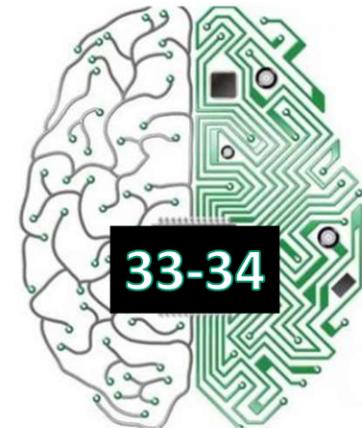
Course Code: CSO507

Winter 2023-24

**Lecture#**

# Deep Learning

## Unit-8: Generative Models (Part-II & Part-III)

**33-34****Course Instructor:**

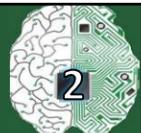
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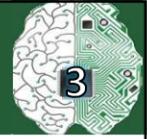
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## Generative Models



- In many applications, it is desirable to **synthesise** data
  - Video post-production
  - Data augmentation
  
- Several types of generative models exist :
  - Restricted Boltzmann machines, Deep Belief models
  - **Variational autoencoders**
  - **Generative Adversarial Networks;**
  - Texture synthesis and style transfer models;
  
- The common idea in these models is the internal representation/latent space of the network

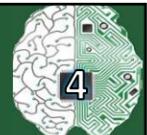
# Autoencoder



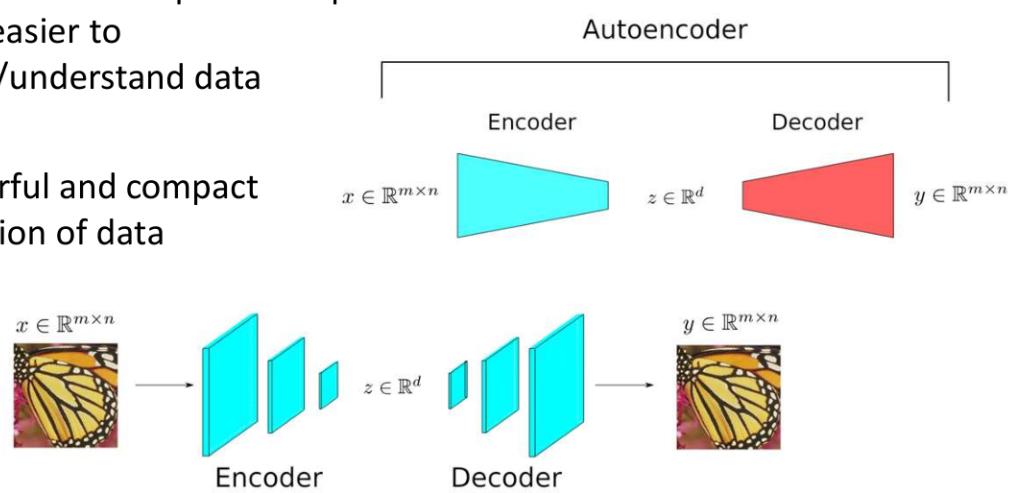
- Neural networks are often used for :
  - Classification/detection (MLPs, CNNs)
  - Modelling time-series, sequences (RNNs)
- All of these networks rely on the extraction of features to analyse data
- Idea : the network's internal representation of the data can be useful !
- Autoencoders and more generally generative models use this idea

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# Autoencoder

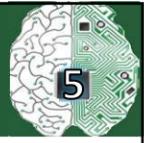


- **Main idea :** the latent space is a space where it is easier to manipulate/understand data
- More powerful and compact representation of data



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# Autoencoder (AE)



- An AE is a neural network consisting of two sub-networks
  - The encoder  $\Phi_e$ ,

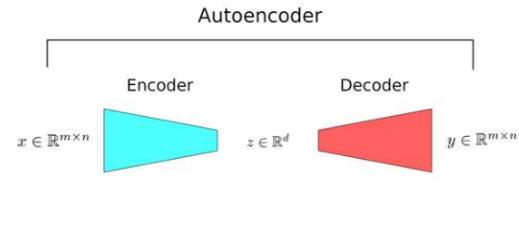
$$\Phi_e: \mathbb{R}^{mn} \rightarrow \mathbb{R}^d$$

$$x \mapsto \Phi_e(x) = z$$

- The decoder  $\Phi_d$ ,

$$\Phi_d: \mathbb{R}^d \rightarrow \mathbb{R}^{mn}$$

$$z \mapsto \Phi_d(z) = y$$



- As in other neural networks, the main components of AEs are **mlp's/convolutions, biases** and **non-linearities**

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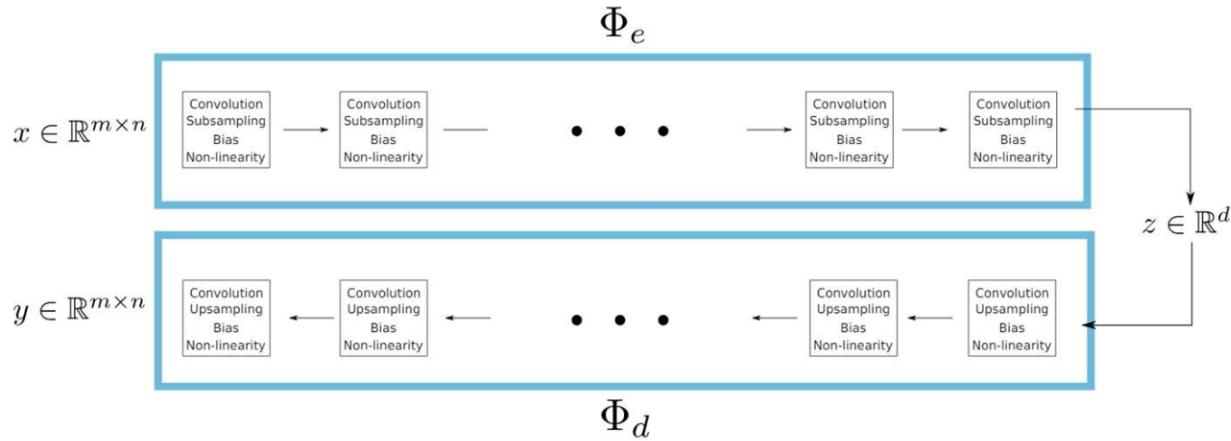
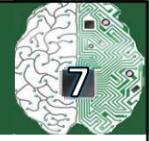
## Autoencoders differ from General Data Compression



- Autoencoders are data-specific. This is different from, say, MP3 or JPEG compression algorithm
- Autoencoders are lossy
- Autoencoders are learnt

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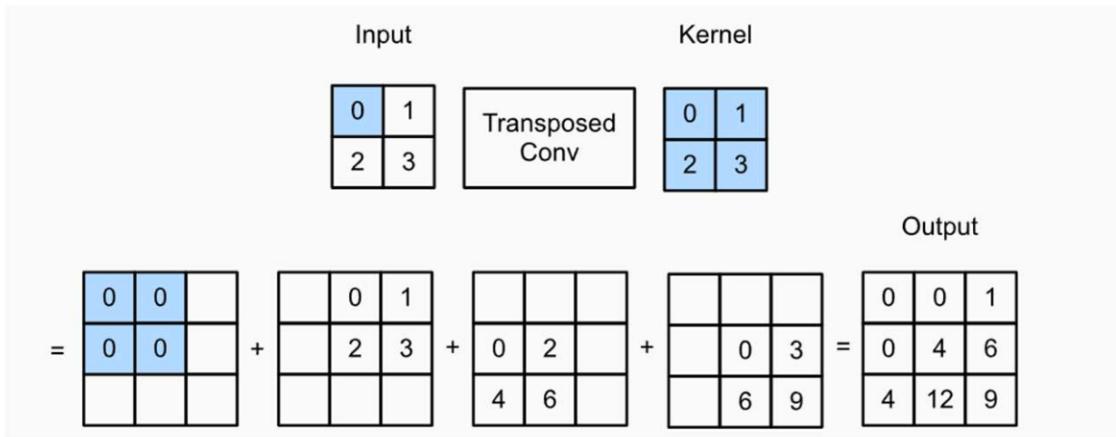
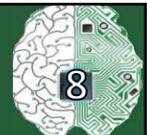
# A generic autoencoder architecture



- $d \ll m * n$

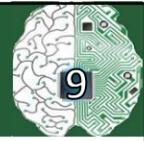
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# Transposed Convolution



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# Autoencoder



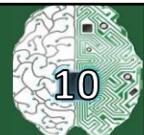
The autoencoder is trained to reproduce the input  $x$  as an output  $y$ , in the sense of some norm, having gone through the bottleneck of the network

## Autoencoding training minimisation problem

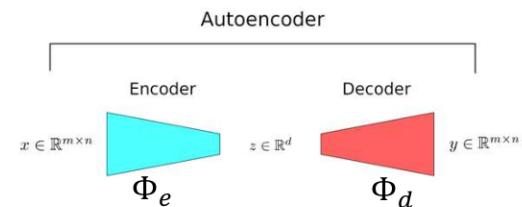
$$\begin{aligned}\mathcal{L}(x) &= \|y - x\|_2^2 \\ &= \sum_i^m \sum_j^n \left( (\Phi_d \circ \Phi_e(x))_{i,j} - x_{i,j} \right)^2\end{aligned}$$

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## Autoencoder Design: Using Regularization



- Autoencoders come in many flavours, differ mainly by their loss functions
- Naive autoencoder loss  $L$  can lead to certain problems

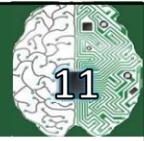


- **Undercomplete:** Imagine  $d = 1$  and very powerful  $\Phi_e$  and  $\Phi_d$ . Can achieve very small reconstruction error but the learned code will not capture any interesting properties in the data
- **Overcomplete:** Imagine  $d \gg mn$  and trivial (identity) functions  $\Phi_e$  and  $\Phi_d$ . Can achieve even zero reconstruction error but the learned code will not capture any interesting properties in the data

As is often the case in deep learning, this can be addressed **using regularization**

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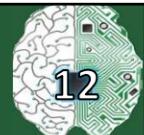
# Regularized Autoencoder Properties



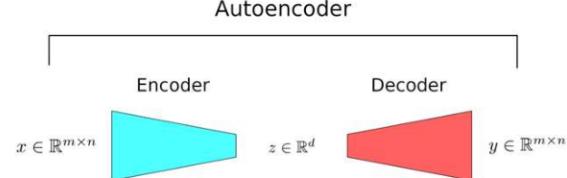
- Regularized AEs have properties beyond copying input to output:
  - Sparsity of representation
  - Smallness of the derivative of the representation
  - Robustness to noise
  - Robustness to missing inputs
- Regularized autoencoder can be nonlinear and overcomplete:
  - But still learn something useful about the data distribution even if model capacity is great enough to learn trivial identity function

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## Autoencoder variants



- Sparse Autoencoders



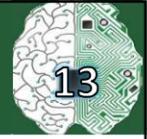
### Sparse autoencoder

$$\mathcal{L}(x) = \|\Phi_d \circ \Phi_e(x) - x\|_2^2 + \lambda \|z\|_1$$

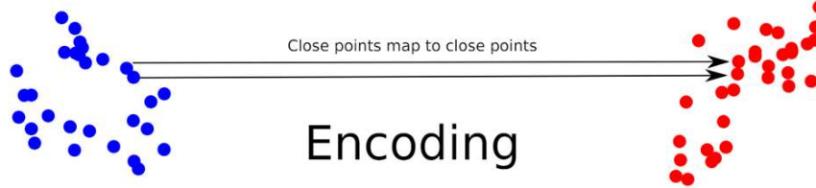
- The  $\|z\|_1$  norm encourages sparsity in  $z$

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# Autoencoder variants



- **Contractive Autoencoders**

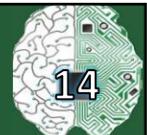


$$\mathcal{L}(x) = \|\Phi_d \circ \Phi_e(x) - x\|_2^2 + \lambda \|J_x z\|_F^2$$

$$\text{Jacobian : } J_x(z) = \begin{pmatrix} \frac{\partial z_1}{\partial x_1} & \cdots & \frac{\partial z_1}{\partial x_{mn}} \\ \vdots & \ddots & \vdots \\ \frac{\partial z_d}{\partial x_1} & \cdots & \frac{\partial z_d}{\partial x_{mn}} \end{pmatrix}$$

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# Autoencoder variants

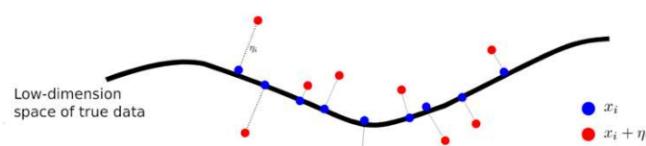


- **Denoising Autoencoders**

## Denoising autoencoder

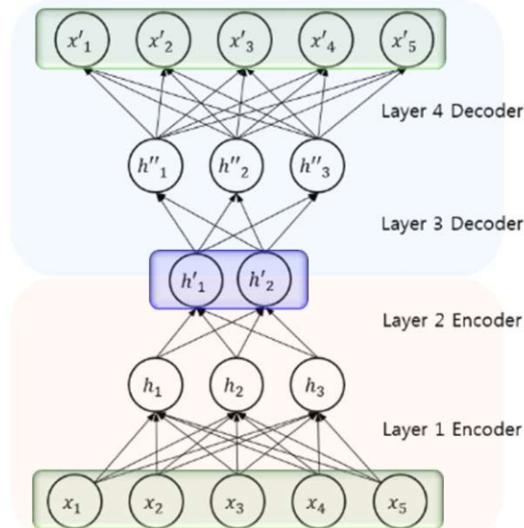
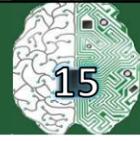
- Idea : add noise  $\eta$  to the input

$$\mathcal{L}(x) = \|\Phi_d \circ \Phi_e(x + \eta) - x\|_2^2$$



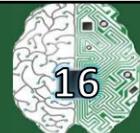
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# Deep/Stacked Autoencoders

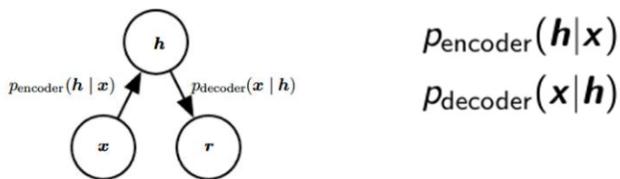


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# Stochastic Autoencoders



- The encoder and decoder functions defined using probability distributions



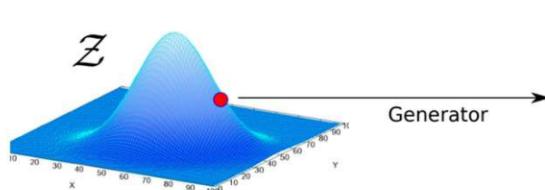
- Reconstruction error: **Negative log-likelihood** –  $\log p_{\text{decoder}}(\mathbf{x} | \mathbf{h})$
- Can also use a **prior distribution**  $p(\mathbf{h})$  on the encodings (equivalent to regularizer)
- Such ideas have been used to design **generative models** for autoencoders  
**Variational Autoencoder (VAE)**

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# Variational Autoencoders (VAE)



- Model the latent space in a probabilistic manner



Probabilistic model in latent space

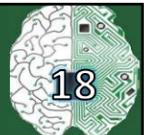


Synthesis of random image

- the main goal here is to choose a loss function which will encourage the latent space to follow a probability distribution

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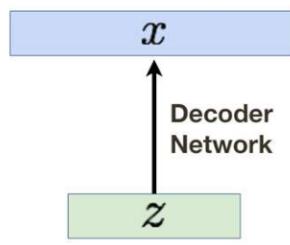
# Variational Autoencoders (VAE)

Sample from  
true **conditional**

$$p_{\theta^*}(x | z^{(i)})$$

Sample from  
true **prior**

$$p_{\theta^*}(z)$$

Learn model parameters to maximize  
likelihood of training data

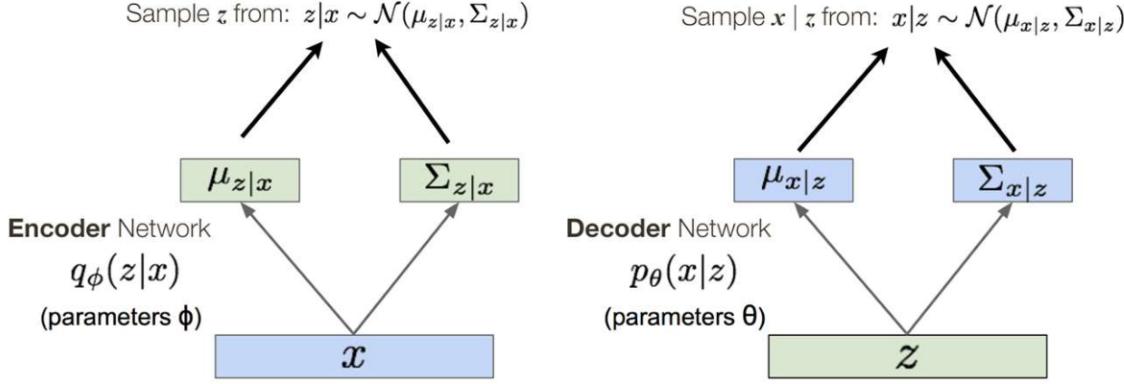
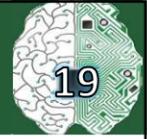
$$p_{\theta}(x) = \int p_{\theta}(z)p_{\theta}(x|z)dz$$

**Intractable !**

**Posterior** density is also intractable:  $p_{\theta}(z|x) = p_{\theta}(x|z)p_{\theta}(z)/p_{\theta}(x)$

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# Variational Autoencoders (VAE)



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# Variational Autoencoders (VAE)



Now equipped with **encoder** and **decoder** networks, let's see (log) data likelihood:

$$\begin{aligned}
\log p_\theta(x^{(i)}) &= \mathbf{E}_{z \sim q_\phi(z|x^{(i)})} [\log p_\theta(x^{(i)})] \\
&= \mathbf{E}_z \left[ \log \frac{p_\theta(x^{(i)} | z)p_\theta(z)}{p_\theta(z | x^{(i)})} \right] \\
&= \mathbf{E}_z \left[ \log \frac{p_\theta(x^{(i)} | z)p_\theta(z)}{p_\theta(z | x^{(i)})} \frac{q_\phi(z | x^{(i)})}{q_\phi(z | x^{(i)})} \right] \\
&= \mathbf{E}_z [\log p_\theta(x^{(i)} | z)] - \mathbf{E}_z \left[ \log \frac{q_\phi(z | x^{(i)})}{p_\theta(z)} \right] + \mathbf{E}_z \left[ \log \frac{q_\phi(z | x^{(i)})}{p_\theta(z | x^{(i)})} \right] \\
&= \underbrace{\mathbf{E}_z [\log p_\theta(x^{(i)} | z)]}_{\mathcal{L}(x^{(i)}, \theta, \phi)} - D_{KL}(q_\phi(z | x^{(i)}) || p_\theta(z)) + D_{KL}(q_\phi(z | x^{(i)}) || p_\theta(z | x^{(i)}))
\end{aligned}$$

$$\log p_\theta(x^{(i)}) \geq \mathcal{L}(x^{(i)}, \theta, \phi)$$

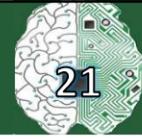
Variational lower bound ("ELBO")

**Training:** Maximize lower bound

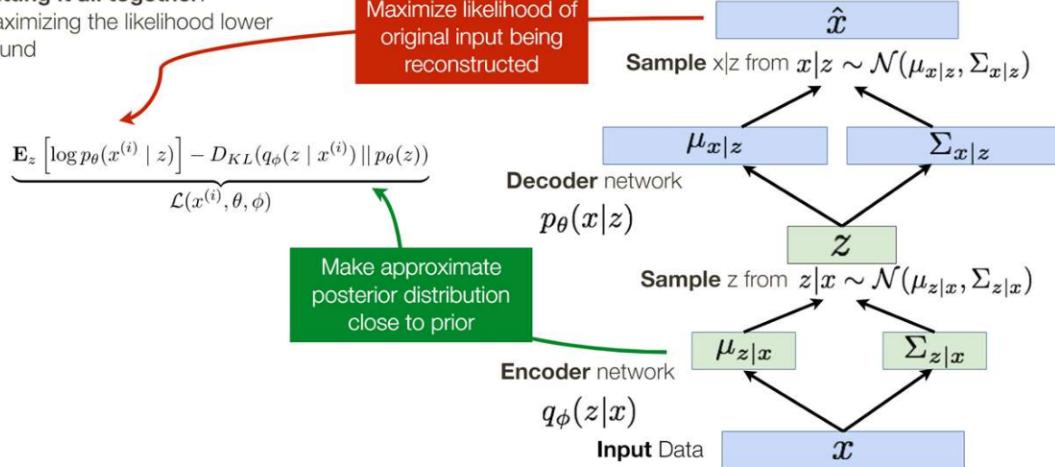
$$\theta^*, \phi^* = \arg \max_{\theta, \phi} \sum_{i=1}^N \mathcal{L}(x^{(i)}, \theta, \phi)$$

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# Variational Autoencoder: Learning

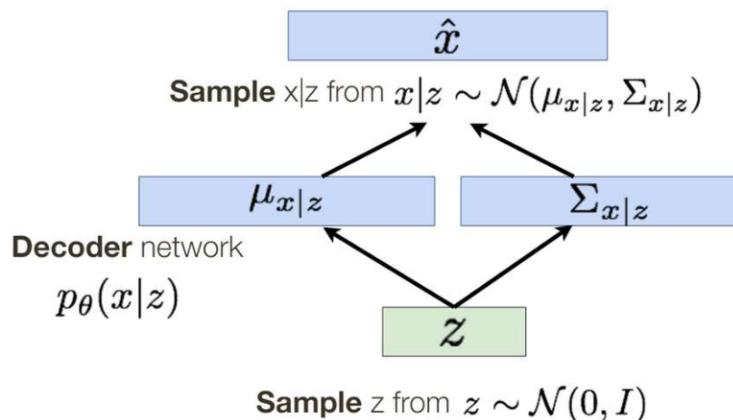
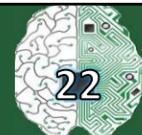


**Putting it all together:**  
maximizing the likelihood lower bound

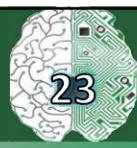


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# Variational Autoencoder: Generating Data



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# Questions?

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