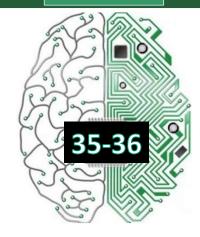
#### **Open Elective Course** [OE]

Course Code: CSO507 Winter 2023-24

Lecture#

# **Deep Learning**

**Unit-8: Generative Models (Part-III)** 



#### **Course Instructor:**

Dr. Monidipa Das

**Assistant Professor** 

**Department of Computer Science and Engineering** 

Indian Institute of Technology (Indian School of Mines) Dhanbad, Jharkhand 826004, India

# Variational Autoencoders



- We modelled the autoencoding process using a probabilistic (Bayesian) framework, with an observed and a hidden variable
- 2 We wanted to calculate the posterior distribution  $p_{\theta}(z|x)$ , but this is complicated
- **③** We used an approximation  $q_{\phi}(z|x)$  to  $p_{\theta}(z|x)$
- lacktriangle We used the ELBO as a loss function to minimise  $KL(q_{\phi}(z|x)||p_{ heta}(z))$ 
  - Ensures a good reconstruction
  - Encourages the latent space to follow our chosen distribution (the prior  $p_{\theta}(z)$ )

### Variational Autoencoders



- Probabilistic spin to traditional autoencoders => allows generating data
- Defines an intractable density => derive and optimize a (variational) lower bound
- Advantages
  - Theoretically-motivated, loss function meaningful
  - Learn to and from mapping (encoder and decoder)
- Drawbacks
  - In practice, samples blurrier and lower quality
  - Have to re-write loss function for each different model, not always easy

Prof. Monidipa Das, Department of CSE, IIT (ISM) Dhanbad

### Variational Autoencoders



VAEs define intractable density function with latent variables z (that we need to marginalize):

$$p_{ heta}(x) = \int p_{ heta}(z) p_{ heta}(x|z) dz$$

cannot optimize directly, derive and optimize lower bound of likelihood instead

What if we give up on explicitly modeling density, and just want to sample?

GANs: don't work with any explicit density function

## Generative Adversarial Networks (GANs)



Sample from a simple distributions, e.g., random noise. Learn transformation to the training distribution

**Question:** What can we use to represent complex transformation function?

**Output**: Sample from training distribution



Input: Random noise

Z

Prof Monidina Das Department of CSF IIT (ISM) Dhanhad

### Generative Adversarial Networks (GANs)



- Generative
  - Learn a generative model
- Adversarial
  - Trained in an adversarial setting
- Networks
  - Use Deep Neural Networks

## Generative Adversarial Networks (GANs)



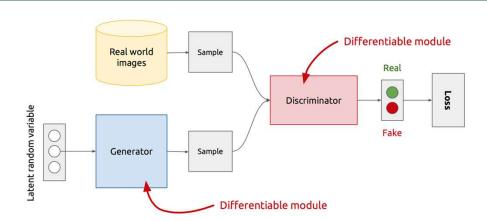
#### Adversarial Training

- Can generate adversarial samples to fool a discriminative model
- Can use those adversarial samples to make models robust
- Require more effort to generate adversarial samples
- Repeat this and we get better discriminative model

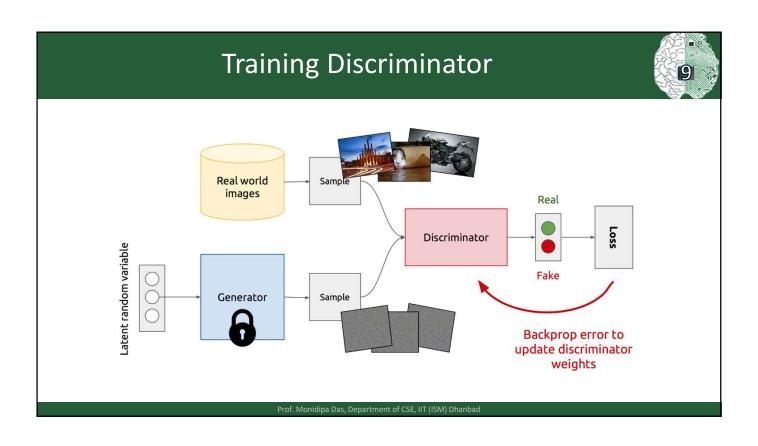
Prof. Monidipa Das, Department of CSE, IIT (ISM) Dhanbac

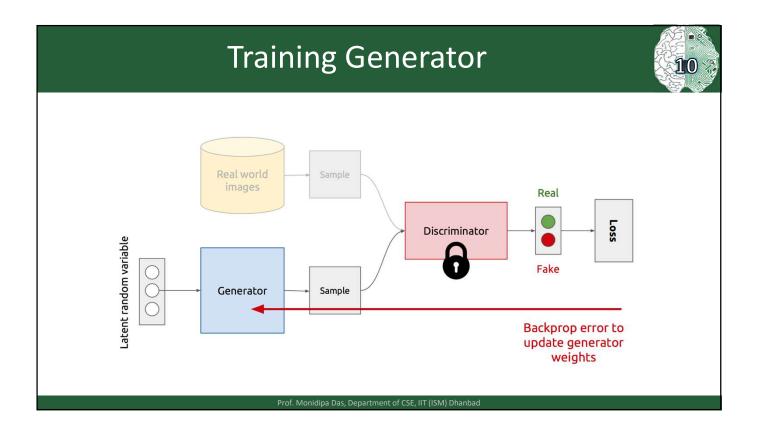
## **GAN's Architecture**





- **Z** is some random noise (Gaussian/Uniform).
- **Z** can be thought as the latent representation of the image.





### Training GAN: Formulation



• Training GNN: Two-player Game

$$\min_{G} \max_{D} V(D,G)$$

- Formulated as a minimax game, where:
  - The Discriminator is trying to maximize its reward V(D, G)
  - The Generator is trying to minimize Discriminator's reward (or maximize its loss)

$$m{V}(m{D}, m{G}) = egin{bmatrix} \mathbb{E}_{x \sim p_{data}} \log D_{ heta_d}(x) + \mathbb{E}_{z \sim p(z)} \log (1 - D_{ heta_d}(G_{ heta_g}(z))) \end{bmatrix}$$

Discriminator output for generated fake data G(z)

Prof. Monidipa Das, Department of CSE, IIT (ISM) Dhanbac

# **Training GAN**



Minimax objective function:

$$\min_{\theta_g} \max_{\theta_d} \left[ \mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log (1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

Alternate between:

1. Gradient ascent on discriminator

$$\max_{\theta_d} \left[ \mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log (1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

2. Gradient descent on generator

$$\min_{\theta_g} \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z)))$$

# **Training GAN**



for number of training iterations do

for k steps do

- Sample minibatch of m noise samples  $\{\boldsymbol{z}^{(1)},\dots,\boldsymbol{z}^{(m)}\}$  from noise prior  $p_g(\boldsymbol{z})$ . Sample minibatch of m examples  $\{\boldsymbol{x}^{(1)},\dots,\boldsymbol{x}^{(m)}\}$  from data generating distribution
- Update the discriminator by ascending its stochastic gradient:

$$\nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^{m} \left[ \log D\left(\boldsymbol{x}^{(i)}\right) + \log\left(1 - D\left(G\left(\boldsymbol{z}^{(i)}\right)\right)\right) \right].$$

Discriminator updates

> Generator updates

- Sample minibatch of m noise samples  $\{z^{(1)}, \dots, z^{(m)}\}$  from noise prior  $p_q(z)$ .
- Update the generator by descending its stochastic gradient:

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^{m} \log \left( 1 - D\left( G\left(\boldsymbol{z}^{(i)}\right) \right) \right).$$

end for

The gradient-based updates can use any standard gradient-based learning rule. We used momentum in our experiments.

# **Training GAN**



Minimax objective function:

$$\min_{\theta_g} \max_{\theta_d} \left[ \mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log (1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

Alternate between:

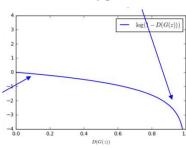
1. Gradient ascent on discriminator

$$\max_{\theta_d} \left[ \mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log (1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

2. Gradient descent on generator

$$\min_{\theta_g} \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z)))$$

When sample is likely fake, want to learn from it to improve generator. Gradient signal dominated by region where sample is already good



# **Training GAN**



Minimax objective function:

$$\min_{\theta_{a}} \max_{\theta_{d}} \left[ \mathbb{E}_{x \sim p_{data}} \log D_{\theta_{d}}(x) + \mathbb{E}_{z \sim p(z)} \log (1 - D_{\theta_{d}}(G_{\theta_{g}}(z))) \right]$$

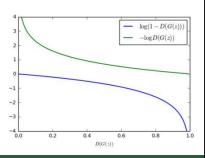
#### Alternate between:

1. Gradient ascent on discriminator

$$\max_{\theta_d} \left[ \mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log (1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

2. Instead, gradient ascent on generator, different objective

$$\max_{\theta_a} \mathbb{E}_{z \sim p(z)} \log(D_{\theta_d}(G_{\theta_g}(z)))$$



Prof. Monidipa Das, Department of CSE, IIT (ISM) Dhanbac

# Advantages of GAN



- Plenty of existing work on Deep Generative Models but GANs
  - Don't take explicit density function
  - Game theoretic Approach
  - Better Sample generation
- Why GANs?
  - Sampling (or generation) is straightforward
  - Training doesn't involve Maximum Likelihood estimation
  - Robust to Overfitting

# Disadvantages of GAN



- Probability Distribution is Implicit
  - Not straightforward to compute P(X).
  - Thus Vanilla GANs are only good for Sampling/Generation
- Training is Hard
  - Non-Convergence
    - · SGD is not designed to find equilibrium
  - Mode-Collapse
    - · Can focus on a few realistic images from the training dataset

Prof. Monidipa Das, Department of CSE, IIT (ISM) Dhanbac

## Non-Convergence



- Deep Learning models (in general) involve a single player
  - The player tries to maximize its reward (minimize its loss).
  - Use SGD (with Backpropagation) to find the optimal parameters.
  - SGD has convergence guarantees (under certain conditions).
  - Problem: With non-convexity, we might converge to local optima.

 $\min_{G} L(G)$ 

- GANs instead involve two (or more) players
  - Discriminator is trying to maximize its reward.
  - Generator is trying to minimize Discriminator's reward.

 $\min_{G} \max_{D} V(D,G)$ 

- SGD was not designed to find the Nash equilibrium of a game.
- Problem: We might not converge to the Nash equilibrium at all.

# Mode-Collapse



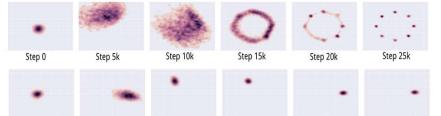
Generator fails to output diverse samples

**Target** 



**Expected** 

**Output** 



Prof. Monidipa Das, Department of CSE, IIT (ISM) Dhanbad

### **Generative Adversarial Network**



#### Optimal GAN discriminator $D^*$

For a fixed G, the optimal D is  $D^*(x) = \frac{p_{data}(x)}{p_{data}(x) + p_G(x)}$ 

$$\mathcal{L}(G, D) = \mathbb{E}_{x \sim p_{data}} \left[ \log D(x) \right] + \mathbb{E}_{z \sim p_z} \left[ \log(1 - D(G(z))) \right]$$

$$= \int_{\mathcal{X}} p_{data}(x) \log(D(x)) dx + \int_{\mathcal{Z}} p_z(z) \log(1 - D(G(z))) dz$$

$$= \int_{\mathcal{X}} p_{data}(x) \log(D(x)) + p_G(x) \log(1 - D(x)) dx.$$

ullet For every x, the maximum of the previous equation w.r.t D(x) is

$$D^*(x) = \frac{p_{data}(x)}{p_{data}(x) + p_G(x)}$$

### Generative Adversarial Network



#### Global optimum of the GAN loss function

The global optimum of the GAN loss function is achieved if and only if  $p_G = p_{data}$ . At this point  $\mathcal{L}(G, D) = -\log 4$ .

First, our previous lemma allows us to rewrite the loss function

$$\max_{D} \mathcal{L}(G, D) = \mathbb{E}_{x} \sim_{p_{data}} [\log D^{*}(x)] + \mathbb{E}_{z} \sim_{p_{z}} [\log(1 - D^{*}(G(z)))]$$

$$= \mathbb{E}_{x} \sim_{p_{data}} [\log D^{*}(x)] + \mathbb{E}_{x} \sim_{p_{G}} [\log(1 - D^{*}(x))]$$

$$= \mathbb{E}_{x} \sim_{p_{data}} \left[ \log \frac{p_{data}(x)}{p_{data}(x) + p_{G}(x)} \right] + \mathbb{E}_{x} \sim_{p_{G}} \left[ \log \left( \frac{p_{G}(x)}{p_{data}(x) + p_{G}(x)} \right) \right].$$

$$(4)$$

• Therefore, if  $p_G = p_{data}$ , then  $\mathcal{L}(G,D) = \log \frac{1}{2} + \log \frac{1}{2} = -\log(4)$ 

Prof. Monidipa Das, Department of CSE, IIT (ISM) Dhanbac

### **Generative Adversarial Network**



- ullet Now, we are going to show that  $-\log(4)$  is the optimal value of the loss function
- First, we remark that :

$$-\log(4) = \mathbb{E}_{x \sim p_{data}} \left[ -\log(2) \right] + \mathbb{E}_{x \sim p_G} \left[ -\log(2) \right]. \tag{5}$$

• Therefore, by subtracting Equation 5 from Equation 4, we have

$$\mathcal{L}(G, D^*) = -\log(4) + \int p_{data}(x) \log \frac{p_{data}(x)}{\frac{1}{2} (p_{data}(x) + p_G(x))} dx + \int p_G(x) \log \frac{p_G(x)}{\frac{1}{2} (p_{data}(x) + p_G(x))} dx$$

$$= -\log(4) + KL \left( p_{data} \mid\mid \frac{p_{data} + p_G}{2} \right) + KL \left( p_G \mid\mid \frac{p_{data} + p_G}{2} \right).$$

### **Generative Adversarial Network**



This can also be rewritten as

$$\mathcal{L}(G, D^*) = -\log(4) + 2 \operatorname{JSD}(\mathbf{p_{data}} || \mathbf{p_G}).$$

- The JSD is the Jensen-Shannon divergence
- This is another distance between distributions. For p and q, we have :

$$JSD(p,q) = \frac{1}{2}KL\left(p \mid | \frac{1}{2}(p+q)\right) + \frac{1}{2}KL\left(q \mid | \frac{1}{2}(p+q)\right)$$

- The JSD is non-negative and equal to zero if and only if  $p_{data} = p_G$
- Therefore  $-\log(4)$  is the optimal value, and only reached when  $p_{data} = p_G$

### **GAN Variants**



- . GAN Generative Adversarial Networks
- 3D-GAN Learning a Probabilistic Latent Space of Object Shapes via 3D Generative-Adversarial Modeling
- acGAN Face Aging With Conditional Generative Adversarial Networks
- AC-GAN Conditional Image Synthesis With Auxiliary Classifier GANs
- AdaGAN AdaGAN: Boosting Generative Models
- AEGAN Learning Inverse Mapping by Autoencoder based Generative Adversarial Nets
- AffGAN Amortised MAP Inference for Image Super-resolution
- . AL-CGAN Learning to Generate Images of Outdoor Scenes from Attributes and Semantic Layouts
- . ALI Adversarially Learned Inference
- AM-GAN Generative Adversarial Nets with Labeled Data by Activation Maximization
- AnoGAN Unsupervised Anomaly Detection with Generative Adversarial Networks to Guide Marker Discovery
   FF-GAN Towards Large-Pose Face Frontalization in the Wild
- . ArtGAN ArtGAN: Artwork Synthesis with Conditional Categorial GANs
- . b-GAN b-GAN: Unified Framework of Generative Adversarial Networks
- Bayesian GAN Deep and Hierarchical Implicit Models
- . BEGAN BEGAN: Boundary Equilibrium Generative Adversarial Networks
- . BiGAN Adversarial Feature Learning
- BS-GAN Boundary-Seeking Generative Adversarial Networks
- . CGAN Conditional Generative Adversarial Nets
- CaloGAN CaloGAN: Simulating 3D High Energy Particle Showers in Multi-Layer Electromagnetic Calorimeters with Generative Adversarial Networks
- CCGAN Semi-Supervised Learning with Context-Conditional Generative Adversarial Networks
- CatGAN Unsupervised and Semi-supervised Learning with Categorical Generative Adversarial Networks

- . Context-RNN-GAN Contextual RNN-GANs for Abstract Reasoning Diagram Generation
- . C-RNN-GAN C-RNN-GAN: Continuous recurrent neural networks with adversarial training CS-GAN - Improving Neural Machine Translation with Conditional Sequence Generative Adversarial Nets
- CVAE-GAN CVAE-GAN: Fine-Grained Image Generation through Asymmetric Training
- CycleGAN Unpaired Image-to-Image Translation using Cycle-Consistent Adversarial Networks
- DTN Unsupervised Cross-Domain Image Generation
- . DCGAN Unsupervised Representation Learning with Deep Convolutional Generative Adversarial Networks DiscoGAN - Learning to Discover Cross-Domain Relations with Generative Adversarial Networks
- DR-GAN Disentangled Representation Learning GAN for Pose-Invariant Face Recognition
- DualGAN DualGAN: Unsupervised Dual Learning for Image-to-Image Translation
- . EBGAN Energy-based Generative Adversarial Network
- f-GAN f-GAN: Training Generative Neural Samplers using Variational Divergence Minimization

GeneGAN - GeneGAN: Learning Object Transfiguration and Attribute Subspace from Unpaired Data

- . GAWWN Learning What and Where to Draw
- · Geometric GAN Geometric GAN
- GoGAN Gang of GANs: Generative Adversarial Networks with Maximum Margin Ranking GP-GAN - GP-GAN: Towards Realistic High-Resolution Image Blending
- IAN Neural Photo Editing with Introspective Adversarial Networks
- . iGAN Generative Visual Manipulation on the Natural Image Manifold
- . IcGAN Invertible Conditional GANs for image editing
- ID-CGAN Image De-raining Using a Conditional Generative Adversarial Network
- Improved GAN Improved Techniques for Training GANs
- InfoGAN InfoGAN: Interpretable Representation Learning by Information Maximizing Generative Adversarial Nets
- . LAGAN Learning Particle Physics by Example: Location-Aware Generative Adversarial Networks for Physics
- . LAPGAN Deep Generative Image Models using a Laplacian Pyramid of Adversarial Networks

#### **Evolution of Generative Model** Complexity & Mechanisms Your Local GAN (YLG) BigGAN A local sparse attention layer ni-supervised GAN Multi-headed layer in D CGAN's loss - N (G.Q) GANs Taxonomy: Architecture-variant O - network architecture O - latent space - application focused Loss-variant 202 ∆ - IPM based loss ∇ - Non-IPM based loss ⇒ - regularization Year 2016 2017 2018 2014 2015 2020

△ Hinge loss

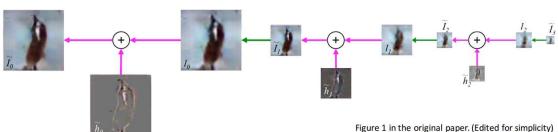
LossSensitive-GAN

## Laplacian Pyramid of Adversarial Networks



redesign D to learn a

Quality & Diversity



- Figure 1 in the original paper. (Edited for simplicity)
- Based on the Laplacian Pyramid representation of images. (1983)
- Generate high resolution (dimension) images by using a hierarchical system of GANs
- Iteratively increase image resolution and quality.

Architecture-variant

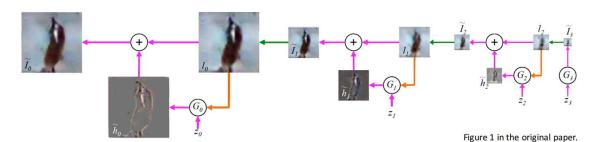
Loss-variant

Complexity & Mechanisms

Denton, E.L., Chintala, S. and Fergus, R., 2015. "Deep Generative Image Models using a Laplacian Pyramid of Adversarial Networks". NIPS (2015)

## Laplacian Pyramid of Adversarial Networks





#### Image Generation using a LAPGAN

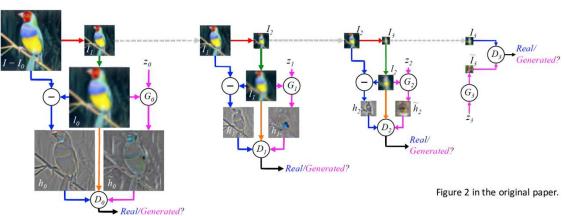
- Generator  $G_3$  generates the base image  $\widetilde{I_3}$  from random noise input  $Z_3$ .
- Generators  $(G_2, G_1, G_0)$  iteratively generate the difference image  $(\hat{h})$  conditioned on previous small image (l).
- This difference image is added to an up-scaled version of previous smaller image.

Denton, E.L., Chintala, S. and Fergus, R., 2015. "Deep Generative Image Models using a Laplacian Pyramid of Adversarial Networks". NIPS (2015)

Prof. Monidipa Das, Department of CSE, IIT (ISM) Dhanbac

## Laplacian Pyramid of Adversarial Networks





#### Training Procedure:

Models at each level are trained independently to learn the required representation.

Denton, E.L., Chintala, S. and Fergus, R., 2015. "Deep Generative Image Models using a Laplacian Pyramid of Adversarial Networks". NIPS (2015)

# **Coupled GAN**



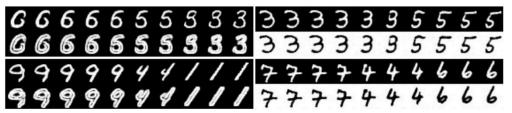


Figure 2 in the original paper.

- Learning a joint distribution of multi-domain images.
- Using GANs to learn the joint distribution with samples drawn from the marginal distributions.
- Direct applications in domain adaptation and image translation.

Liu, Ming-Yu, and Oncel Tuzel. "Coupled generative adversarial networks". NIPS (2016).

Prof. Monidipa Das, Department of CSE, IIT (ISM) Dhanbac

# **Coupled GANs**



Architecture

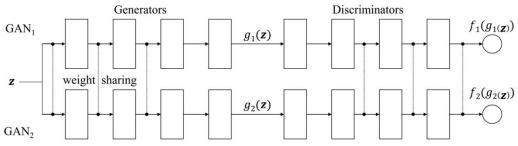
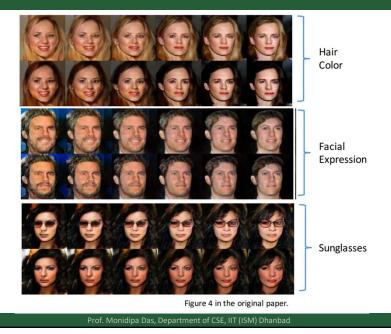


Figure 1 of the original paper.

Liu, Ming-Yu, and Oncel Tuzel. "Coupled generative adversarial networks". NIPS (2016).

# **Coupled GANs**





# StyleGAN Explained



StyleGAN attempts to tackle the limitation of GAN by adding progressive training to adjust each detail level separately. In doing so, user can control visual features expressed in each detail level in an isolated manner without affecting other levels.

Detail level	Resolution	What is affected?
Coarse	Up to 82	Pose, general hair style, face shape etc.
Middle	162 to 322	Finer facial features, hair style, eyes open/closed etc.
Fine	642 to 10242	Color scheme (eye, hair and skin) and micro features.

Our generator thinks of an image as a collection of "styles", where each style controls the effects at a particular scale

- Coarse styles  $\rightarrow$  pose, hair, face shape
- Middle styles  $\rightarrow$  facial features, eyes
- Fine styles → color scheme

