PwC Walkthrough and Controls Testing Summary

MEDTECH INDUSTRIES

Summary of Results

CorpLaw	CM 1.2 – Emergency Changes	**Issue Noted:** A sample of one emergency change tested did not follow the standard approval process. The IT Director and CAB did not provide approval within five days of the emergency change being implemented.	**Recommendation**: Weekly, review all implemented changes to validate if emergency changes obtained appropriate approval.
	CM 1.3 – Segregation of Duties	**Issue Noted:** One developer had access to the "CorpLawImp" role, which created a segregation of duties conflict in the change management space.	**Recommendation**: Periodically review access to developer and implementer groups to ensure users do not have access to both groups.
Payroll System SDLC	Implementation	**Gap**: Design reviews are not performed before placing systems into operation.	**Recommendation:** Design reviews should be performed before placing systems into operation to ensure that products meet all required user specifications.