# 2D Motion Analysis using Optical Flow

Margrit Betke, CS 585, Spring 2020

Some slides adapted from E. Learned-Miller, S. Lazebnik, S. Seitz, R. Szeliski, and M. Pollefeys

## Motion

### Goal: Understand motion in 3D world of

- rigid objects: translations and rotations
- non-rigid objects: deformations

### **Motion Field**

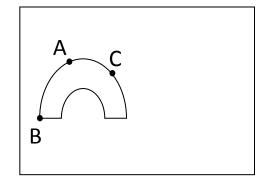
assigns velocity vector to each object pixel in image

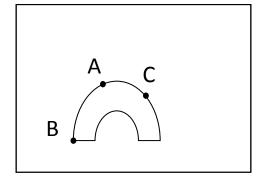
#### 1. Translation

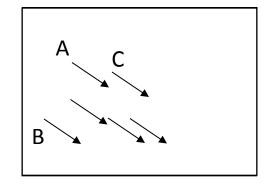
 $E(x,y,t_0)$ 

 $E(x,y,t_1)$ 

**Motion Field** 



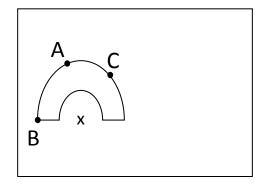




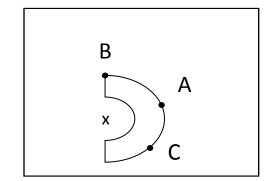
## Motion & Optical Flow Fields

#### 2. Rotation

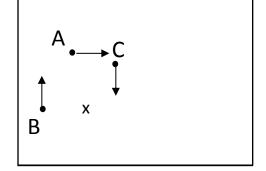
 $E(x,y,t_0)$ 



 $E(x,y,t_1)$ 



**Motion Field** 



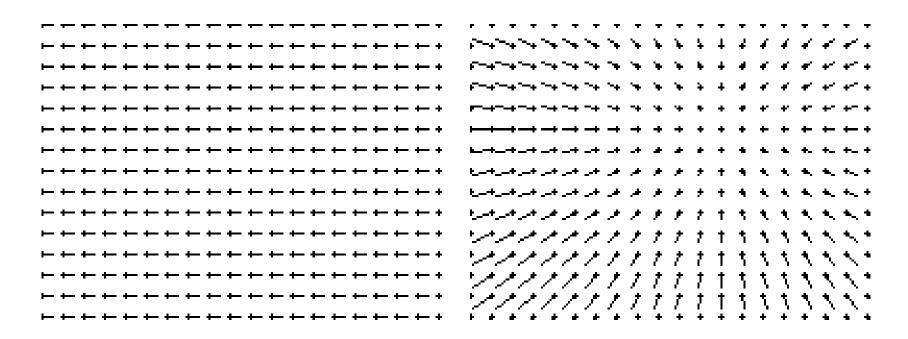
### **Optical Flow**

= apparent motion of brightness pattern

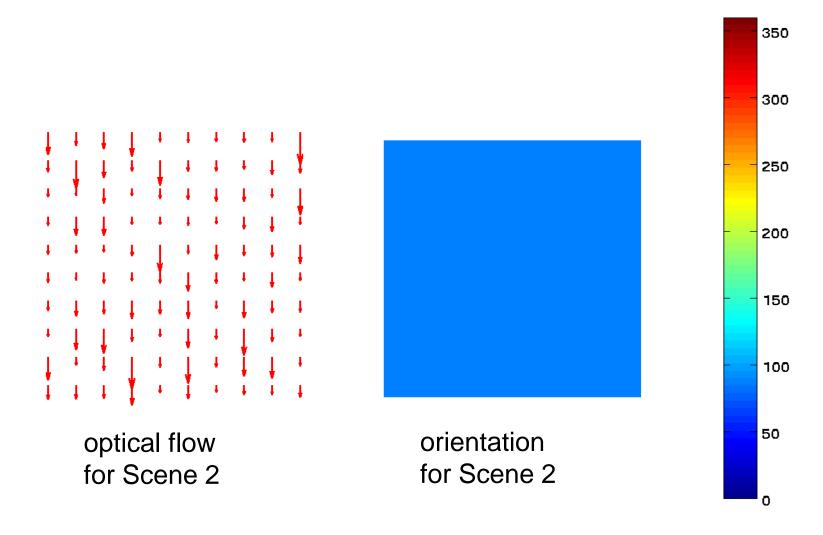
How does E(x,y,t) change?

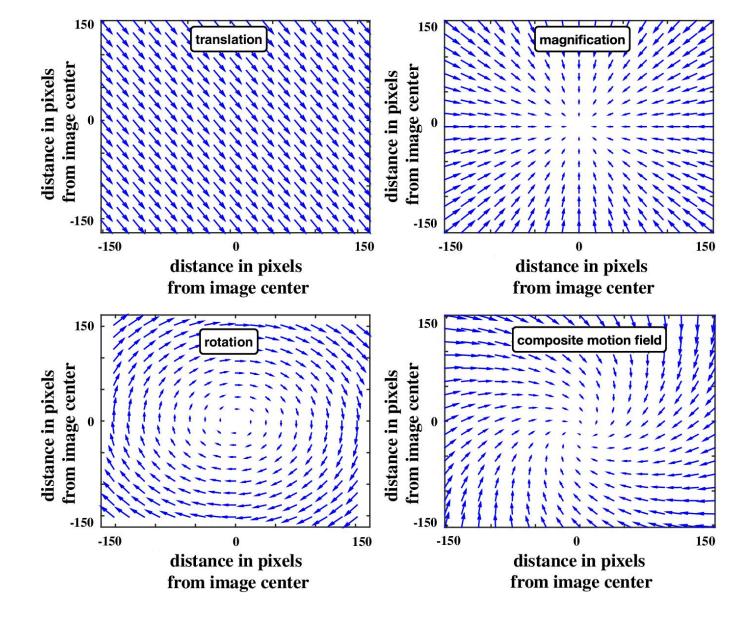
Hope: Brightness changes due to object motion.

## Optical Flow Field Examples



## Motion along y axis



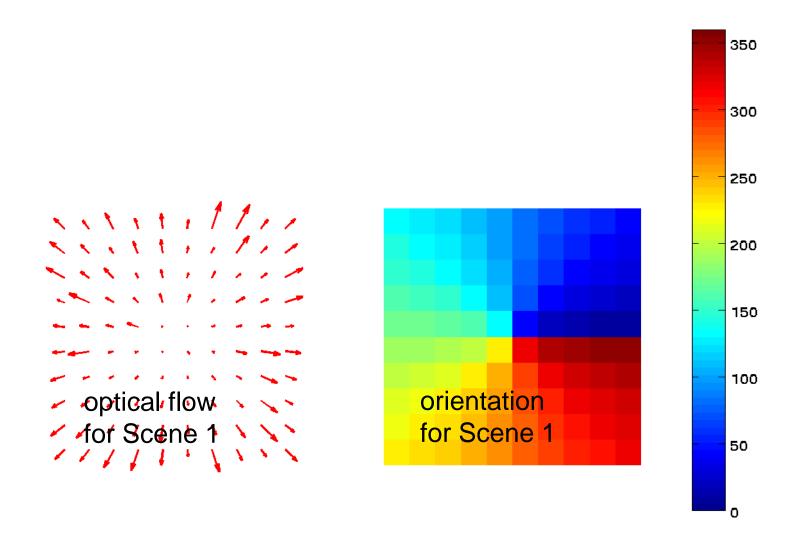


#### Citation

Brian J. Thelen, John R. Valenzuela, Joel W. LeBlanc, "Theoretical performance assessment and empirical analysis of super-resolution under unknown affine sensor motion," J. Opt. Soc. Am. A **33**, 519-526 (2016); https://www.osapublishing.org/josaa/abstract.cfm?uri=josaa-33-4-519



## Motion along z axis



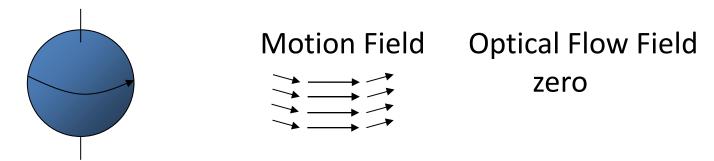
## Optical Flow and Motion Fields

- Definition: Optical flow is the apparent motion of brightness patterns in the image
- Ideal case: optical flow = motion field
- Warning: Apparent motion can be caused by lighting changes without any actual motion
  - rotating sphere under fixed lighting (zero optical flow but non-zero motion field)
  - stationary sphere under moving illumination (nonzero optical flow but zero motion)

## Motion & Optical Flow Fields

### **Examples:**

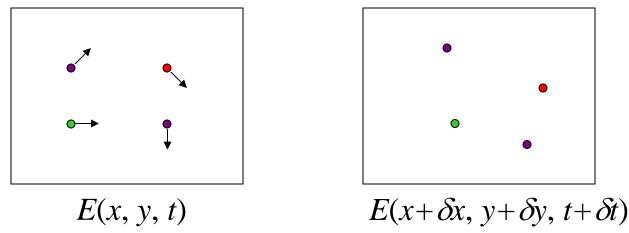
1. Sphere rotating under constant illumination



2. Fixed Sphere, light source moving



## Estimating optical flow



Task: Given two subsequent frames, estimate the apparent motion field u(x,y) and v(x,y) between them

### Key assumptions:

- Brightness constancy: projection of the same point looks the same in every frame (same gray value)
- Small motion: points do not move very far
- Spatial coherence: points move like their neighbors

$$E(x,t) + \delta x E_x + \delta t E_t = E(x,t)$$

 $E_x$  = partial derivative of E with respect to x

$$E(x + \delta x, t + \delta t) = E(x,t)$$
Taylor Series Expansion

$$E(x,t) + \delta x E_x + \delta t E_t = E(x,t)$$

 $E_x$  = partial derivative of E with respect to x

$$\delta x / \delta t E_x + E_t = 0$$

Horizontal velocity u at pixel x

$$u E_x + E_t = 0$$
 or  $u = -E_t / E_x$ 

$$E(x + \delta x, t + \delta t) = E(x,t)$$

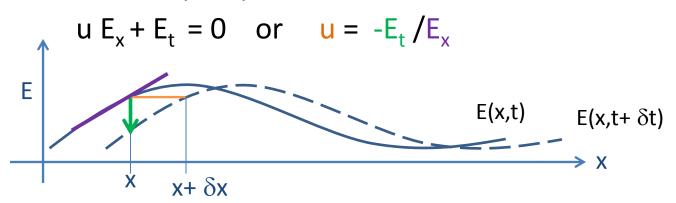
Taylor Series Expansion

$$E(x,t) + \delta x E_x + \delta t E_t = E(x,t)$$

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$$\delta x / \delta t E_x + E_t = 0$$

Horizontal velocity u at pixel x



Approximation for 
$$E_x$$
:  $E(x+1,t) - E(x,t)$  pixel width

$$E_t : \underline{E(x,t+\delta t) - E(x,t)}$$
  $\delta t$ 

 $\delta t = 1/$  frame rate

 $u = -E_t / E_x$  (for  $E_x$  not zero)

Approximation for 
$$E_x$$
:  $E(x+1,t) - E(x,t)$  pixel width

$$E_t : \underline{E(x,t+\delta t) - E(x,t)}$$

 $\delta t = 1/$  frame rate

 $u = -E_t / E_x$  (for  $E_x$  not zero)

Velocity u at pixel x = ?

Approximation for 
$$E_x$$
:  $E(x+1,t) - E(x,t)$  pixel width

$$E_t : \underline{E(x,t+\delta t) - E(x,t)}$$

 $\delta t = 1/$  frame rate

$$u = -E_t / E_x$$
 (for  $E_x$  not zero)

$$u = -\frac{175 - 155}{165 - 155} = -20/10 = -2$$

Approximation for 
$$E_x$$
:  $E(x+1,t) - E(x,t)$ 

pixel width

$$E_t$$
:  $E(x,t+\delta t) - E(x,t)$   
 $\delta t$ 

 $\delta t = 1/ frame rate$ 

$$u = -E_t / E_x$$
 (for  $E_x$  not zero)

$$u = -\frac{175 - 155}{165 - 155} = -20/10 = -2, which means that the object at pixel x moves 2 pixels to the left per frame$$

# Constant Brightness Assumption (CBA) in 1D with Noisy Measurements

Rigid object motion, but brightness not everywhere constant

Determine patch P = image region with same constant velocity u

Use Least Squares Method to estimate u:

$$\min_{u} \sum_{i \in P} (uE_{x_i} + E_{t_i})^2$$

$$\frac{d}{du}\sum_{i\in P}(uE_{x_i}+E_{t_i})^2=0$$

$$u\sum_{i\in P} E_{x_i}^2 + \sum_{i\in P} E_{x_i} E_{t_i} = 0$$

$$u = -\frac{\sum_{i \in P} E_{x_i} E_{t_i}}{\sum_{i \in P} E_{x_i}^2}$$

Revisiting our example: 
$$u = -E_t / E_x = -2$$

$$u = -\frac{\sum_{i \in P} E_{x_i} E_{t_i}}{\sum_{i \in P} E_{x_i}^2}$$

Revisiting our example: 
$$u = -E_t / E_x = -2$$

With noise:

$$u = -\frac{\sum_{i \in P} E_{x_i} E_{t_i}}{\sum_{i \in P} E_{x_i}^2}$$

$$E_{x1} = 11$$
,  $E_{x2} = 8$ ,  $E_{x3} = 12$  and  $E_{t1} = 20$ ,  $E_{t2} = 17$ ,  $E_{t3} = 20$ 

Revisiting our example: 
$$u = -E_t / E_x = -2$$

With noise:

$$u = -\frac{\sum_{i \in P} E_{x_i} E_{t_i}}{\sum_{i \in P} E_{x_i}^2}$$

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$$u = -\frac{220 + 136 + 240}{121 + 64 + 144} = -594/329 \approx -1.8$$

Revisiting our example: 
$$u = -E_t / E_x = -2$$

$$x-1 \quad x \quad x+1 \quad x+2$$

$$145 \quad 155 \quad 165 \quad 175 \quad frame \ 1$$

$$165 \quad 175 \quad 185 \quad 195 \quad frame \ 2$$
With noise: 
$$x-1 \quad x \quad x+1 \quad x+2$$

$$145 \quad 156 \quad 164 \quad 176 \quad frame \ 1$$

$$165 \quad 173 \quad 184 \quad 193 \quad frame \ 2$$

$$E_{x1} = 11, E_{x2} = 8, E_{x3} = 12 \quad and \quad E_{t1} = 20, E_{t2} = 17, E_{t3} = 20$$

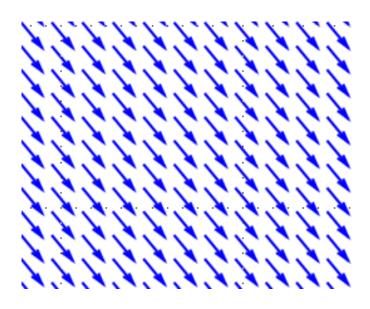
$$u = -\frac{220 + 136 + 240}{121 + 64 + 144} = -594/329 \approx -1.8 \quad which means that the object at pixel x moves$$

almost 2 pixels to the left per frame

## Optical Flow Field for u = 1.8

Velocity vector =  $(u,v)^T = (-1.8, 0)^T$ Length of vectors = -1.8 Horizontal translation in negative direction

# **Optical Flow Field**



Velocity vectors =  $(u,v)^T = (0.5, -1)^T$ 

**General Translation** 

$$E(x + \delta x, y + \delta y, t + \delta t) = E(x,y,t)$$

Taylor Series Expansion

$$E(x,y,t) + \delta x E_x + \delta y E_y + \delta t E_t = E(x,y,t)$$

 $E_x$  = partial derivative of E with respect to x

 $E_v$  = partial derivative of E with respect to y

$$E(x + \delta x, y + \delta y, t + \delta t) = E(x,y,t)$$

Taylor Series Expansion

$$E(x,y,t) + \delta x E_x + \delta y E_y + \delta t E_t = E(x,y,t)$$
$$dx/dt E_x + dy/dt E_y + E_t = 0$$

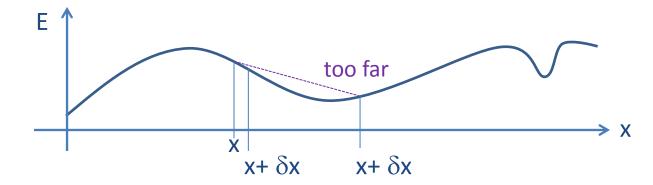
$$u E_x + v E_y + E_t = 0$$

$$E(x + \delta x, y + \delta y, t + \delta t) = E(x,y,t)$$

Taylor Series Expansion

$$E(x,y,t) + \delta x E_x + \delta y E_y + \delta t E_t = E(x,y,t)$$
$$dx/dt E_x + dy/dt E_y + E_t = 0$$

$$u E_x + v E_y + E_t = 0$$

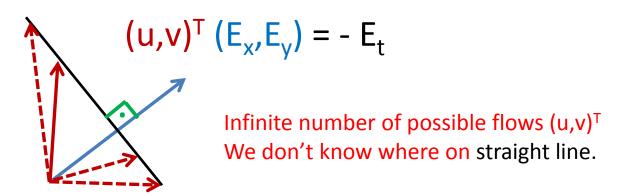


Validity depends on spatial frequency of image

## Constant brightness constraint

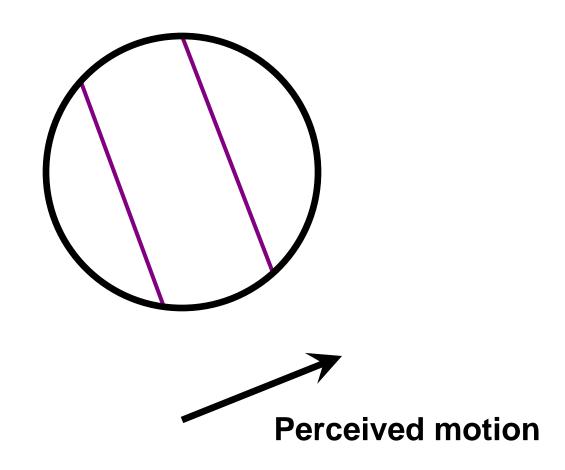
$$u E_x + v E_y + E_t = 0$$

- How many equations and unknowns per pixel?
   One equation, two unknowns u, v
- Intuitively, what does this constraint mean?

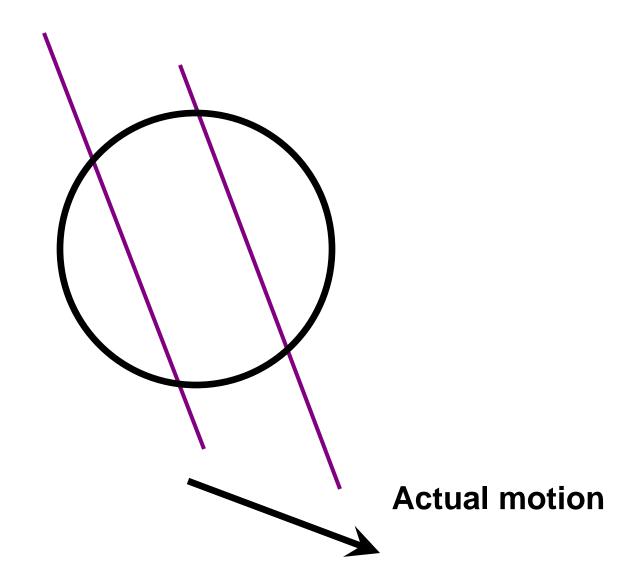


• The component of the flow perpendicular to the intensity gradient  $(E_x, E_y)$  (i.e., parallel to the edge) is unknown

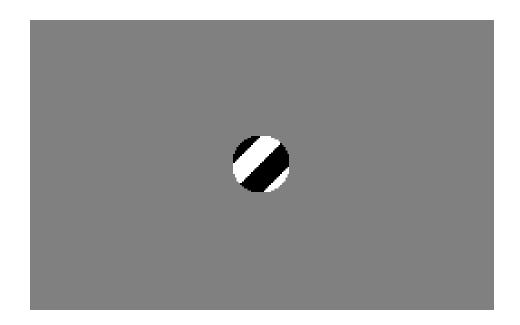
# The aperture problem



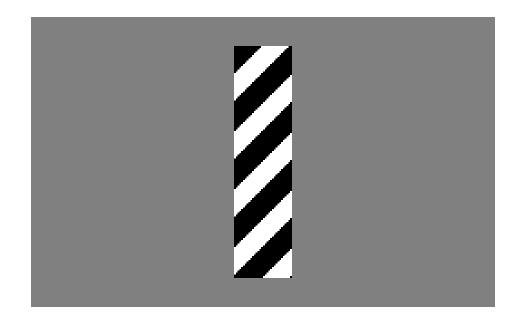
# The aperture problem



# The barber pole illusion



# The barber pole illusion



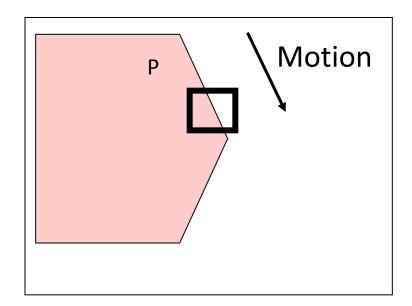
# The barber pole illusion

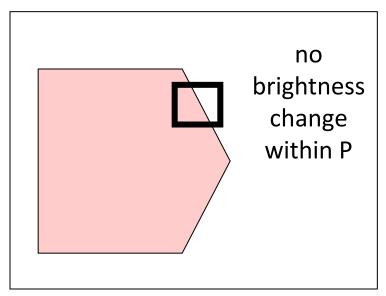


## Problems with Optical Flow

### **Aperture Problem**

- 1) Flow perpendicular to brightness gradient
  - => Cannot compute u, v

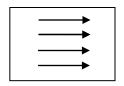




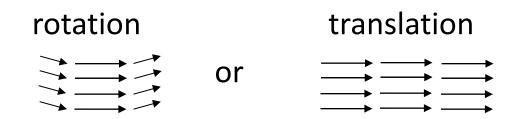
## Problems with Optical Flow

### **Aperture Problem**

2) Only a small portion of flow field is given



can both represent



## Lukas & Kanade Optical Flow Algorithm

- How to get more equations for a pixel?
- Spatial coherence constraint: Pretend the pixel's neighbors have the same (u,v)  $u E_x + v E_v + E_t = 0$
- 5x5 window => 25 equations per pixel

$$\begin{pmatrix} E_{x,1} & E_{y,1} \\ E_{x,2} & E_{y,2} \\ ... \\ E_{x,25} & E_{y,25} \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} E_{t,1} \\ E_{t,2} \\ E_{t,25} \end{pmatrix}$$

B. Lucas and T. Kanade. An iterative image registration technique with an application to stereo vision. In *Proceedings of the International Joint Conference on Artificial Intelligence*, pp. 674–679, 1981.

## Lukas & Kanade Optical Flow Algorithm

$$\begin{pmatrix} E_{x,1} & E_{y,1} \\ E_{x,2} & E_{y,2} \\ ... \\ E_{x,25} & E_{y,25} \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} E_{t,1} \\ E_{t,2} \\ E_{t,25} \end{pmatrix}$$

1. When is this system solvable?

$$A \begin{pmatrix} u \\ v \end{pmatrix} = b$$

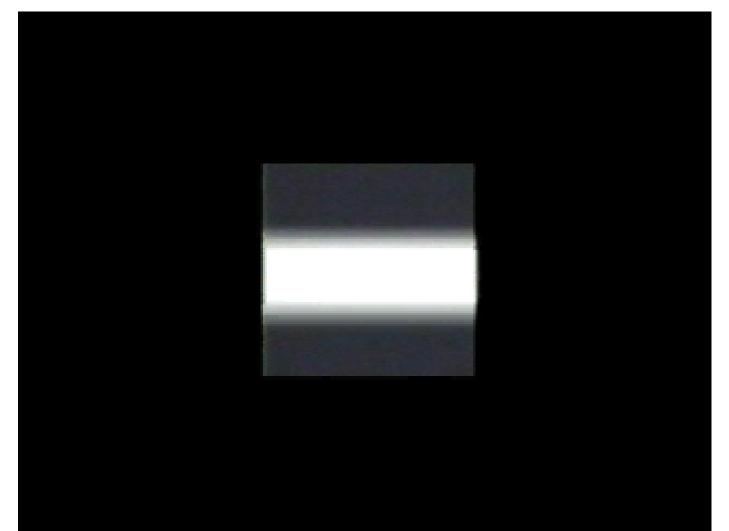
25x2 2x1 25x1

2. What if the window contains just a single straight edge?

B. Lucas and T. Kanade. An iterative image registration technique with an application to stereo vision. In *Proceedings of the International Joint Conference on Artificial Intelligence*, pp. 674–679, 1981.

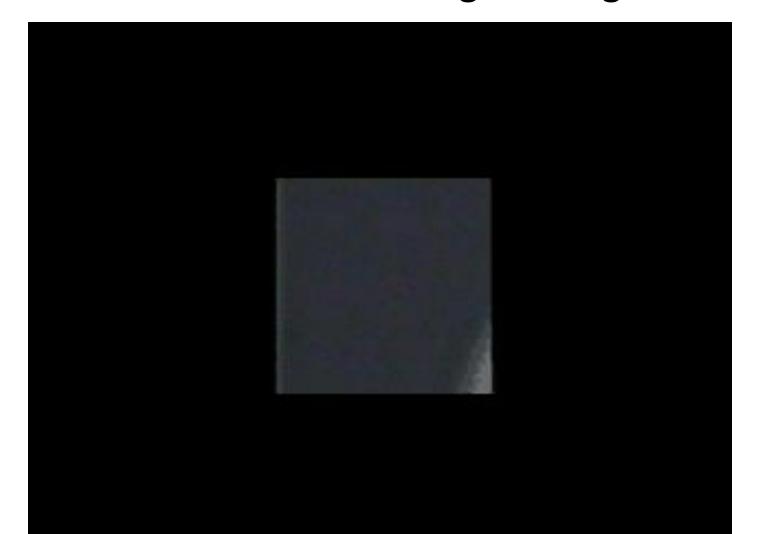
# Conditions for solvability

"Bad" case: Single straight edge



# Conditions for solvability

"Good" case: Smooth change of brightness



## Lucas-Kanade Optical Flow Algorithm

Linear least squares problem

$$A \begin{pmatrix} u \\ v \end{pmatrix} = b$$

25x2 2x1 25x1

Solution given by

$$A^T A \begin{pmatrix} u \\ v \end{pmatrix} = A^T b$$

$$\begin{bmatrix} \sum E_x^2 & \sum E_x E_y \\ \sum E_x E_y & \sum E_y^2 \end{bmatrix} \quad \begin{pmatrix} u \\ v \end{pmatrix} = - \begin{pmatrix} \sum E_x E_t \\ \sum E_y E_t \end{pmatrix}$$

The summations are over all pixels in the window.

### Lucas-Kanade Optical Flow Algorithm

$$\begin{bmatrix} \sum E_x^2 & \sum E_x E_y \\ \sum E_x E_y & \sum E_y^2 \end{bmatrix} \quad \begin{pmatrix} u \\ v \end{pmatrix} = - \begin{pmatrix} \sum E_x E_t \\ \sum E_y E_t \end{pmatrix}$$

$$A^T A \qquad A^T b$$

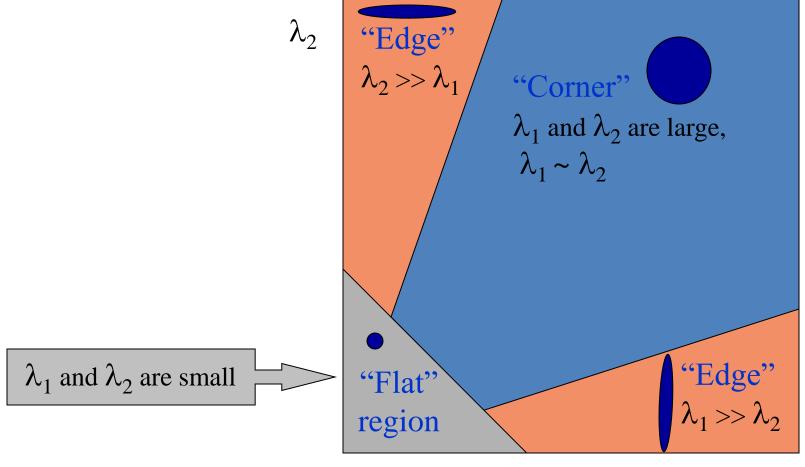
- $M = A^TA$  is the "second moment matrix"
- Unique solution for flow vector (u,v)?
  - = eigenvalues of the second moment matrix?

Eigenvectors and eigenvalues of *M* relate to edge direction and magnitude

Eigenvector associated with the larger eigenvalue points in the direction of fastest intensity change, and the other eigenvector is orthogonal to it

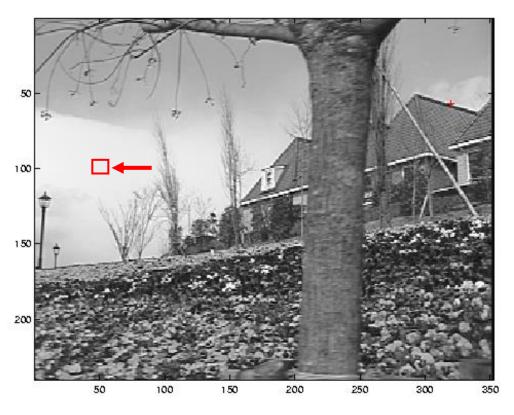
# Interpreting the Eigenvalues

Classification of image points using eigenvalues of the second moment matrix:



 $\lambda_1$ 

# Uniform region



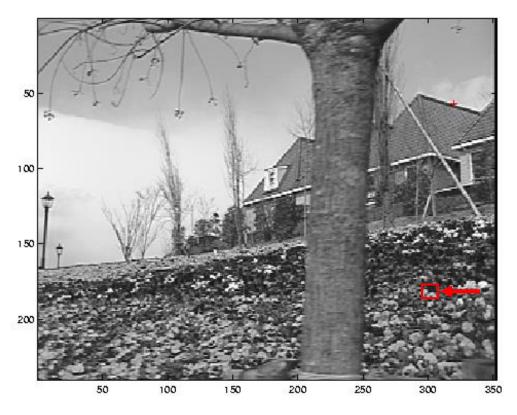
- gradients have small magnitude
- small  $\lambda_1$ , small  $\lambda_2$
- system is ill-conditioned

# Edge



- gradients have one dominant direction
- large  $\lambda_1$ , small  $\lambda_2$
- system is ill-conditioned

# High-texture or corner region



- gradients have different directions, large magnitudes
- large  $\lambda_1$ , large  $\lambda_2$
- system is well-conditioned

#### Smoothness Assumption (SA)

Use spatial derivatives of flow:  $\frac{\partial u}{\partial x}$ ,  $\frac{\partial u}{\partial y}$ ,  $\frac{\partial v}{\partial x}$ , and  $\frac{\partial v}{\partial y}$ 

Magnitude of the flow gradient = 0

$$(\frac{\partial u}{\partial x})^2 + (\frac{\partial u}{\partial y})^2 + (\frac{\partial v}{\partial x})^2 + (\frac{\partial v}{\partial y})^2$$

Patch size:

Small

1 KK

CBA okay, SA weak

Large

CBA may be violated, SA strong

Retrieve flow  $(u, v)^T$  by reducing the error in CBA and Use regularization, weigh errors with a scalar  $\alpha$ :

$$\min_{(u,v)} \sum_{patch} (\alpha \ \text{error}_{CBA} + \text{error}_{SA})$$

$$\min_{(u,v)} \sum_{patch} (\alpha \ (uE_x + vE_y + E_t)^2 + (\frac{\partial u}{\partial x})^2 + (\frac{\partial u}{\partial y})^2 + (\frac{\partial v}{\partial x})^2 + (\frac{\partial v}{\partial y})^2)$$

Retrieve flow  $(u, v)^T$  by reducing the error in CBA and Use regularization, weigh errors with a scalar  $\alpha$ :

$$\min_{(u,v)} \sum_{patch} (\alpha \ \text{error}_{CBA} + \text{error}_{SA})$$

$$\min_{(u,v)} \sum_{patch} (\alpha \ (uE_x + vE_y + E_t)^2 + (\frac{\partial u}{\partial x})^2 + (\frac{\partial u}{\partial y})^2 + (\frac{\partial v}{\partial x})^2 + (\frac{\partial v}{\partial y})^2)$$

$$\min_{(u,v)} \sum_{(i,j)\in P} (\alpha \ (u_{i,j}E_x + v_{i,j}E_y + E_t)^2 + \qquad \begin{array}{c|c} \text{i, j+1} \\ \text{i-1, j} & \text{i, j-1} \\ \text{i, j-1} \end{array}$$

$$\frac{1}{4}[(u_{i+1,j}-u_{i,j})^2+(u_{i,j+1}-u_{i,j})^2+(v_{i+1,j}-v_{i,j})^2+(v_{i,j+1}-v_{i,j})^2]$$

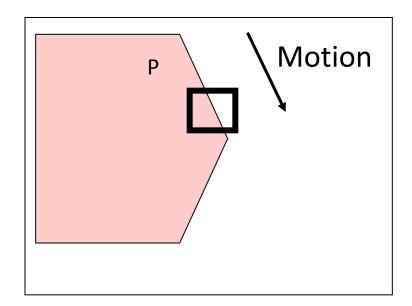
$$u_{i,j}^{(n+1)} = u_{i,j}^{(n)} - \alpha \frac{E_x \bar{u}_{i,j}^{(n)} + E_y \bar{v}_{i,j}^{(n)} + E_t}{1 + \alpha (E_x^2 + E_y^2)} E_x$$

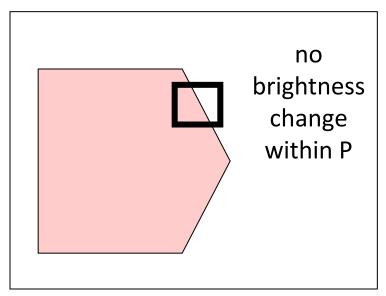
$$v_{i,j}^{(n+1)} = v_{i,j}^{(n)} - \alpha \frac{E_x \bar{u}_{i,j}^{(n)} + E_y \bar{v}_{i,j}^{(n)} + E_t}{1 + \alpha (E_x^2 + E_y^2)} E_y$$

# Problems with Optical Flow

#### "Aperture Problem(s)"

- 1) Flow perpendicular to brightness gradient
  - => Cannot compute u, v

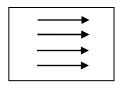




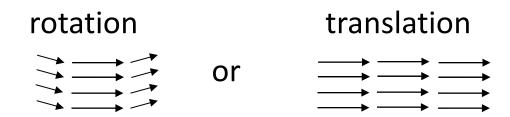
# Problems with Optical Flow

#### "Aperture Problem(s)"

2) Only a small portion of flow field is given



can both represent



# What to do when the Optical Flow Algorithm breaks down

- Apparent motion is large (larger than a pixel)
  - Iterative refinement
  - Coarse-to-fine estimation
  - Exhaustive neighborhood search
- A point does not move like its neighbors
  - Motion segmentation
- Constant Brightness Assumption does not hold
  - Exhaustive neighborhood search with normalized correlation