

# Machine Learning on Graphs: Foundations and Applications

## EXERCISE 1

### Group 29

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1. **Problem 1:** Given two directed trees, can we decide in polynomial time if the two trees are isomorphic? Can we also extend the algorithm to undirected trees? (5 Pt.)

**Ans:** Yes, we can decide if two directed or undirected trees are isomorphic in polynomial time. We can use the **1-WL algorithm** for the isomorphism test. Although we know that the algorithm fails on certain graphs, it is a complete test for trees due to their simple acyclic structures.

#### 1. Polynomial Time Justification

- We know that the 1-WL algorithm can be computed in  $\mathcal{O}(|E(G)| \cdot T)$  time, where  $T$  is the number of iterations.
- For any tree on  $n$  vertices, the number of edges is  $|E| = n - 1$ , so  $|E| \in \mathcal{O}(n)$ .
- The algorithm is guaranteed to converge to a stable partition in at most  $n$  iterations, so  $T \leq n$ .
- Thus, the total runtime is bounded by  $\mathcal{O}(n \cdot |E|) = \mathcal{O}(n \cdot n) = \mathcal{O}(n^2)$ , which is polynomial.

#### 2. Correctness for Trees

The 1-WL algorithm iteratively refines a colour  $C_t^1(v)$  for each vertex  $v$  based on its previous colour and the multiset of its neighbours' previous colours:

$$C_t^1(v) := \text{Recolor} \left( (C_{t-1}^1(v), \{C_{t-1}^1(w) \mid w \in N(v)\}) \right)$$

- **Failure on Cyclic Graphs:** We know that 1-WL fails to distinguish a 6-cycle from two 3-cycles. This is because for both graphs, the local neighbourhood of every node looks identical, leading to the same colour vector.
- **Success on Acyclic Graphs (Trees):** Trees do not have this "local ambiguity". The colouring process propagates "inward" from the leaves

(nodes of degree 1). The colour  $C_k^1(v)$  at iteration  $k$  becomes a unique, injective hash of the isomorphism type of the subtree of depth  $k$  rooted at  $v$ . Because there are no cycles, this "hash" is guaranteed to be unique for non-isomorphic structures.

- **Conclusion:** When the algorithm stabilizes after  $L$  iterations, the final vector of colors,  $\phi_{\text{WL}}^L(G)$ , is a unique mapping for the tree's isomorphism type. Thus, if  $\phi_{\text{WL}}^L(G) = \phi_{\text{WL}}^L(H)$ , we can conclude that  $G \simeq H$ .

### 3. Extension to Directed and Undirected Trees

- **Undirected Trees:** The 1-WL algorithm as defined in the slides is already designed for undirected graphs. The proof of correctness above, which relies on the acyclic property, applies directly.
- **Directed Trees:** The algorithm is easily extended. We simply redefine the "neighborhood"  $N(v)$  in the update rule. For instance,  $N(v)$  can be a pair of multisets: one for in-neighbors and one for out-neighbors. The core logic of propagating structural information in an acyclic graph remains the same, and the polynomial-time guarantee holds.

2. **Problem 2:** Let  $G = (V, E)$  be an undirected graph with  $|V| = n$ . Show that the 1-WL (color refinement) procedure always reaches a stable coloring after finitely many iterations. That is, prove there exists  $t^* \geq 0$  such that for all  $v, w \in V$

$$C_{t^*}^1(v) = C_{t^*}^1(w) \iff C_{t^*+1}^1(v) = C_{t^*+1}^1(w).$$

Give an upper bound on  $t^*$  in terms of  $n$ .

(2 Pt.)

**Ans:** Let  $G = (V, E)$  be an undirected graph with  $|V| = n$ . Denote by  $C_t : V \rightarrow \mathcal{C}_t$  the coloring after  $t$  iterations of the 1-WL (color refinement) algorithm, where  $\mathcal{C}_t$  is the set of colors present at iteration  $t$ . Each  $C_t$  divides the vertex set  $V$  into color classes. The update rule defines  $C_{t+1}$  by assigning each vertex  $v \in V$  a new color based on the pair

$$(C_t(v), \text{multiset}\{C_t(u) : u \in N(v)\}),$$

where  $N(v)$  denotes the neighbors of  $v$ .

**Monotonicity of the refinement.** At every step, the new coloring  $C_{t+1}$  refines the previous one  $C_t$ . This means that each color class in  $C_{t+1}$  is contained within some color class from  $C_t$ . Once two vertices receive different colors, they never merge again in later iterations. If two vertices are distinguished in step  $t + 1$ , they must have belonged to the same class in step  $t$ .

**When the coloring changes, new colors appear.** If  $C_{t+1}$  differs from  $C_t$ , at least one color class of  $C_t$  must have been split into smaller parts in  $C_{t+1}$ . Consequently,

$$|C_{t+1}| > |C_t|.$$

Hence, each time the refinement changes the coloring, the total number of color classes strictly increases.

**Bounding the number of iterations.** Since there are only  $n$  vertices, the number of distinct color classes can never exceed  $n$ . Because the sequence  $|C_0|, |C_1|, \dots$  increases strictly whenever the coloring changes, the process can make at most  $n - 1$  such changes. Therefore, the refinement must stabilize after at most  $n - 1$  iterations. Let  $t^*$  be the smallest integer such that

$$C_{t^*} = C_{t^*+1}.$$

At this point, the coloring no longer changes, and thus

$$t^* \leq n - 1.$$

**Stability condition.** By definition of  $t^*$ , for every pair of vertices  $v, w \in V$ , we have

$$C_{t^*}(v) = C_{t^*}(w) \iff C_{t^*+1}(v) = C_{t^*+1}(w).$$

This means the coloring has reached a stable state—further refinement steps will not produce any new distinctions.

3. **Problem 3:** Given an undirected graph  $G$  with adjacency matrix  $A(G)$ , show that the number of triangles in the graph  $G$  is equal to  $\frac{1}{6} \text{trace}(A(G)^3)$ . Here, the trace of a matrix is the sum of elements on the main diagonal. (2 Pt.)

**Ans:** Recall that for any integer  $t \geq 1$ , the  $(i, j)$ -entry of  $A(G)^t$  equals the number of walks of length  $t$  from vertex  $i$  to vertex  $j$ .

In particular, the diagonal entry  $(A^3)_{ii}$  counts the number of closed walks of length 3 that start and end at vertex  $i$ . Consider a triangle with vertices  $\{i, j, k\}$ . From vertex  $i$  there are exactly two closed walks of length 3 that traverse the triangle:  $i \rightarrow j \rightarrow k \rightarrow i$  and  $i \rightarrow k \rightarrow j \rightarrow i$ . Thus each triangle contributes exactly 2 to each of the three diagonal entries  $(A^3)_{ii}, (A^3)_{jj}, (A^3)_{kk}$ , i.e. a total contribution of 6 to  $\text{trace}(A^3) = \sum_i (A^3)_{ii}$ .

Since different triangles contribute to disjoint sets of closed walks, summing over

all vertices counts every triangle exactly 6 times. Therefore the number of (undirected) triangles in  $G$  is

$$\frac{1}{6} \text{trace} (A(G)^3).$$

4. **Problem 4:** Is the matrix

$$K = \begin{pmatrix} 3 & 5 \\ 5 & 4 \end{pmatrix}$$

positive semi-definite?

(1 Pt.)

**Ans:** A symmetric  $2 \times 2$  matrix  $\begin{pmatrix} a & b \\ b & d \end{pmatrix}$  is positive semi-definite iff  $a \geq 0$  and  $ad - b^2 \geq 0$ .

For  $K$ , we have  $a = 3 > 0$  and

$$ad - b^2 = 3 \times 4 - 5^2 = 12 - 25 = -13 < 0.$$

Hence,  $K$  is **not positive semi-definite**. It is an **indefinite** matrix since it has both positive and negative eigenvalues.

5. **Problem 5:**

Let  $G$  be a graph, consider another variant of the  $k$ -WL, which aggregates colors of adjacent  $k$ -tuples as follows,

$$M_{t,\square}(\mathbf{v}) := (\{(C_{t,\square}^k(\phi_1(\mathbf{v}, w))) \mid w \in N(v_1)\}, \dots, \{(C_{t,\square}^k(\phi_k(\mathbf{v}, w))) \mid w \in N(v_k)\}),$$

resulting in the coloring function  $C_{t,\square}^k(\mathbf{v}) := \text{Recolor} \left( (C_{(t-1),\square}^k(\mathbf{v}), M_{(t-1),\square}(\mathbf{v})) \right)$ . Everything else is defined in the same way as for the  $k$ -WL.

*Implement*, for general  $k$ ,

1. the  $k$ -WL and
2. the above variant

in *Python* using `NetworkX`. Benchmark the algorithm on graphs generated via the `Erdős-Rényi model` with different number of edges. The Erdős-Rényi model, using a parameter  $p \in [0, 1]$ , is a random graph model where we connect two vertices by an edge with probability  $p$ .

What can you observe for the computation time of the two algorithms when varying  $p$ ? (10 Pt.)

**Ans:** Python file attached.