# Assignment 3: RNN, Transformer and LLM-based Agent Design

With your environment activated int the terminal, run:

```
mamba env create -n cs5293-3 python=3.10
pip install -r requirements.txt
##Your VSCode may complain sometime you need to install ipykernel
using the following commands. If not, then just ignore this.
#mamba install -n cs5293-3 ipykernel --force-reinstall
```

In this assignment, you have to submit two things:

- (1) The whole folder with your code
- (2) A report to summarize what your experienments in part 2 and part 3

# Part 1: Reading Assignment on Transformer Jupernotebook (20')

There two excellent transformer juternote books at large, which covers a great amount details of transformer that we could cannot cover more in the class: "The Illustrated Transformer" by Jay Alammar and "The Annotated Transformer" by harvardnlp lab.

Some one combined this two and create a single jupternotebook here. You need to do the following:

- Adjust your own requirements.txt (if necessary to your environment) to make that notebook all runnable
- 2. Understand the details of the key components of transformer, run through the code, and take any notes you want to take on this notebook.
- 3. This note book could be printed out for the final exam, but if you don't understand them before the exam. It will be hard.

What to turn in: your own annotations on the above notebook that you will bring with you to the final exam.

# Part 2: Programming Assignment (Total: 60)

In Assignment 2, you have worked on a 5-way deep average network on sentiment dataset (SST-5), which has 5 labels: very positive, positive, neutral, negative, very negative. However, the performance is realtive low.

In this part, based on the same SST-5 dataset, you have two tasks:

- Section 2.1. Using builtin pytorch 1-layer LSTM with the same given word embedding to improve the performance. (30')
- Section 2.2 Using the library of Huggingface Transformers to improve your model with BERT Finetuning.(30' + 10')

#### Important Hints:

- 1. You will find a ton of existing code for this two tasks, it is ok to refer or reuse some of thsoe code.
- 2. But some of those code are too old. You SHOULD use the lastest stable pytorch(2.6.0) and huggingface tranformers(>4.50.0) for this.
- 3. To run a batch job on OSCER, you need to reassemble the code from the notebook into regular source code to submit a slurm job.

What to turn in: You need to turn in the code and a pdf report to show the performance of your two new models on SST-5 dataset. Performance Metrics:

- Classification Report in Sklearn
   (https://scikit-learn.org/stable/modules/generated/sklearn.metrics.classification\_report.html)
- Confusion Matrix
   (https://scikit-learn.org/stable/modules/generated/sklearn.metrics.confusion\_matrix.html)

## Section 2.1 Pytorch LSTM (30)

Your goal: Add a new pytorch model(nn.module) using the 1-layer LSTM module for your SST-5 sentiment classifier in assignment 2.

Hint: There are tons of tutorial of using pytorch LSTM, even for this SST-5 dataset...

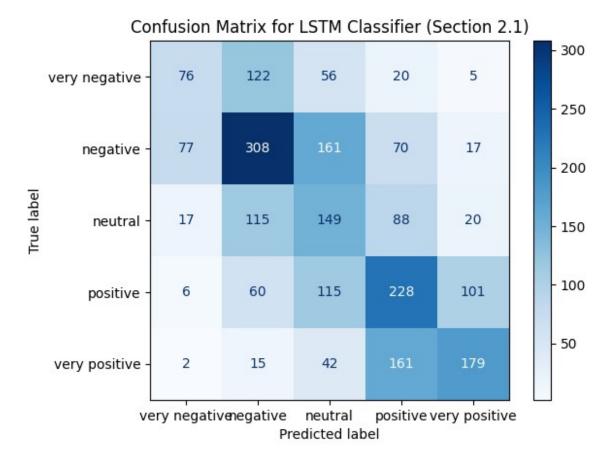
- https://github.com/doslim/Sentiment-Analysis-SST5/blob/ 8f041635a3b959a405e2105bc9037b6af77aa7ba/semtiment%20analysis/codes/ model.py#L45 This one use both lstm and attention. You just need to play with lstm with hyper parameters (such as hidden layer size, learning rate, weight decay, etc.)
- https://colab.research.google.com/gist/SauravMaheshkar/ 168f0817f0cd29dd4048868fb0dd4401/lstms-in-pytorch.ipynb#scrollTo=BKAA2rR0-B-3 This also introduce the code how to use wandb to track your experiments, which will be very useful for your project.

#### What to Report:

- Your LSTM hyperparameters (https://medium.com/geekculture/10-hyperparameters-to-keep-an-eye-on-for-your-lstm-model-and-other-tips-f0ff5b63fcd4)
- Performance Metrics

```
# This cell runs the sentiment classifier.py script, which loads the
SST-5 sentiment dataset and
# GloVe embeddings, builds a 1-layer LSTM classifier for 5-class
sentiment classification, trains
# the model on CPU, and prints the training loss and evaluation
report.
%run ../src/sentiment classifier.py
Repo card metadata block was not found. Setting CardData to empty.
Training on CPU...
Epoch 1/50 - Loss: 1.5727
Epoch 2/50 - Loss: 1.5686
Epoch 3/50 - Loss: 1.5682
Epoch 4/50 - Loss: 1.5676
Epoch 5/50 - Loss: 1.5657
Epoch 6/50 - Loss: 1.5643
Epoch 7/50 - Loss: 1.5537
Epoch 8/50 - Loss: 1.5644
Epoch 9/50 - Loss: 1.5601
Epoch 10/50 - Loss: 1.5574
Epoch 11/50 - Loss: 1.5566
Epoch 12/50 - Loss: 1.5563
Epoch 13/50 - Loss: 1.5529
Epoch 14/50 - Loss: 1.5508
Epoch 15/50 - Loss: 1.5483
Epoch 16/50 - Loss: 1.5425
Epoch 17/50 - Loss: 1.5390
Epoch 18/50 - Loss: 1.5248
Epoch 19/50 - Loss: 1.4658
Epoch 20/50 - Loss: 1.4646
Epoch 21/50 - Loss: 1.3565
Epoch 22/50 - Loss: 1.2793
Epoch 23/50 - Loss: 1.2253
Epoch 24/50 - Loss: 1.1703
Epoch 25/50 - Loss: 1.1236
Epoch 26/50 - Loss: 1.0580
Epoch 27/50 - Loss: 0.9962
Epoch 28/50 - Loss: 0.9187
Epoch 29/50 - Loss: 0.8570
Epoch 30/50 - Loss: 0.7818
Epoch 31/50 - Loss: 0.7008
Epoch 32/50 - Loss: 0.6192
Epoch 33/50 - Loss: 0.5619
Epoch 34/50 - Loss: 0.5058
Epoch 35/50 - Loss: 0.4592
Epoch 36/50 - Loss: 0.4381
Epoch 37/50 - Loss: 0.3757
Epoch 38/50 - Loss: 0.3400
```

```
Epoch 39/50 - Loss: 0.3123
Epoch 40/50 - Loss: 0.2957
Epoch 41/50 - Loss: 0.2776
Epoch 42/50 - Loss: 0.2501
Epoch 43/50 - Loss: 0.2540
Epoch 44/50 - Loss: 0.2339
Epoch 45/50 - Loss: 0.2171
Epoch 46/50 - Loss: 0.2131
Epoch 47/50 - Loss: 0.2201
Epoch 48/50 - Loss: 0.1780
Epoch 49/50 - Loss: 0.1831
Epoch 50/50 - Loss: 0.1747
Classification Report:
                             recall f1-score
                                                 support
               precision
very negative
                     0.43
                               0.27
                                          0.33
                                                     279
                     0.50
                               0.49
                                          0.49
                                                     633
     negative
      neutral
                     0.28
                               0.38
                                          0.33
                                                     389
     positive
                     0.40
                               0.45
                                          0.42
                                                     510
                     0.56
                               0.45
                                          0.50
                                                     399
very positive
                                          0.43
                                                    2210
     accuracy
    macro avg
                     0.43
                               0.41
                                          0.41
                                                    2210
                    0.44
                               0.43
                                          0.43
                                                    2210
weighted avg
Classification Report:
               precision
                             recall f1-score
                                                 support
                     0.43
                               0.27
                                          0.33
                                                     279
very negative
     negative
                     0.50
                               0.49
                                          0.49
                                                     633
                     0.28
                               0.38
                                          0.33
                                                     389
      neutral
     positive
                     0.40
                               0.45
                                          0.42
                                                     510
very positive
                     0.56
                               0.45
                                          0.50
                                                     399
                                          0.43
                                                    2210
     accuracy
                     0.43
                               0.41
                                          0.41
                                                    2210
    macro avg
                     0.44
                                          0.43
                                                    2210
weighted avg
                               0.43
<Figure size 800x600 with 0 Axes>
```



## Section 2.2 Huggingface Transformer, Various LMs (30)

Your goal: use the huggingface transformers library to rebuilt your sentiment classifier There are a lot of old version codes of using BERT for SST-5, DON"T use them but read them will help you understand. They are obsolete but they have more details. Such as this one. https://github.com/munikarmanish/bert-sentiment/blob/master/bert\_sentiment/train.py

The most recent version of huggingface is easy to use but hide too many details. I hope to make your life easier, please use the eaiser pytorch version of this following tutoral for SST-5. https://huggingface.co/docs/transformers/en/tasks/sequence\_classification

When you run this jupternobook, you will get prompted to two accounts:

- 1. Create a huggingface account and create a access token to login in this note book.
- 2. Create a wandb account to keep the log into the wandb, which has been automaitically integrated into hugginface trainer to track your experiments. See the details here. https://docs.wandb.ai/guides/integrations/huggingface/

The above tutorial will take you about 40 minutes to run on an old Nvidia Tesla T4 GPU with the free version of Colab, and sometimes it is slow. So try the Oscer first, then paid Colab GPU.

Your Task:

• See if you could replace the dataset with SST-5, and the model with "bert-base-cased" and get familiar with this new framework for your sentiment classifier, report the final performance.

(HINT: you almost only need to change the parameters in AutoTokenizer, and AutoModelXX, the learning rate for finetuning is often small (around 10^-3 to 10^-5), the epoch is also around 3 to 10)

#### What to Report:

- Your BERT related training hyperparameters.
- Performance Metrics

```
# Path to your log file
log file path = "../src/sst5 bert output 24546558.log"
# Open and read the log file
with open(log_file_path, 'r') as file:
    lines = file.readlines()
# Filter lines containing keywords like 'Epoch', 'accuracy', 'f1',
'precision', 'recall'
filtered lines = []
for line in lines:
    if ("epoch" in line) or ("accuracy" in line) or ("f1" in line) or
("precision" in line) or ("recall" in line):
        filtered lines.append(line.strip())
# Print the filtered lines
print("\n".join(filtered lines))
{'loss': 1.5706, 'grad norm': 5.626635551452637, 'learning rate':
1.963295880149813e-05, 'epoch': 0.09}
{'loss': 1.378, 'grad norm': 9.561117172241211, 'learning rate':
1.9258426966292136e-05, 'epoch': 0.19}
{'loss': 1.264, 'grad norm': 8.776640892028809, 'learning rate':
1.888389513108614e-05, 'epoch': 0.28}
{'loss': 1.2212, 'grad norm': 12.716158866882324, 'learning rate':
1.8509363295880153e-05, 'epoch': 0.37}
{'loss': 1.1867, 'grad_norm': 13.83592414855957, 'learning_rate':
1.813483146067416e-05, 'epoch': 0.47}
{'loss': 1.1719, 'grad norm': 7.0720534324646, 'learning rate':
1.7760299625468167e-05, 'epoch': 0.56}
{'loss': 1.1541, 'grad norm': 11.458871841430664, 'learning rate':
1.7385767790262175e-05, 'epoch': 0.66}
{'loss': 1.1952, 'grad norm': 11.151716232299805, 'learning rate':
1.701123595505618e-05, 'epoch': 0.75}
{'loss': 1.1173, 'grad norm': 8.932943344116211, 'learning rate':
1.663670411985019e-05, 'epoch': 0.84}
{'loss': 1.1607, 'grad norm': 11.366340637207031, 'learning rate':
1.6262172284644194e-05, 'epoch': 0.94}
```

```
{'loss': 1.1214, 'grad norm': 13.686240196228027, 'learning_rate':
1.5887640449438206e-05, 'epoch': 1.03}
{'loss': 0.9299, 'grad norm': 16.394588470458984, 'learning rate':
1.551310861423221e-05, 'epoch': 1.12}
{'loss': 0.9009, 'grad norm': 18.83949089050293, 'learning rate':
1.5138576779026219e-05, 'epoch': 1.22}
{'loss': 0.8915, 'grad norm': 7.689004421234131, 'learning rate':
1.4764044943820226e-05, 'epoch': 1.31}
{'loss': 0.9349, 'grad norm': 17.080909729003906, 'learning rate':
1.4389513108614232e-05, 'epoch': 1.4}
{'loss': 0.9202, 'grad_norm': 25.845863342285156, 'learning_rate':
1.4014981273408241e-05, 'epoch': 1.5}
{'loss': 0.9142, 'grad norm': 15.816347122192383, 'learning rate':
1.3640449438202248e-05, 'epoch': 1.59}
{'loss': 0.9558, 'grad norm': 12.840490341186523, 'learning rate':
1.3265917602996256e-05, 'epoch': 1.69}
{'loss': 0.9323, 'grad norm': 18.783967971801758, 'learning rate':
1.2891385767790263e-05, 'epoch': 1.78}
{'loss': 0.9156, 'grad norm': 10.621670722961426, 'learning rate':
1.251685393258427e-05, 'epoch': 1.87}
{'loss': 0.8788, 'grad norm': 12.913186073303223, 'learning rate':
1.2142322097378278e-05, 'epoch': 1.97}
{'loss': 0.8142, 'grad norm': 15.748014450073242, 'learning rate':
1.1767790262172285e-05, 'epoch': 2.06}
{'loss': 0.7456, 'grad norm': 16.365236282348633, 'learning rate':
1.1393258426966293e-05, 'epoch': 2.15}
{'loss': 0.6692, 'grad norm': 15.960987091064453, 'learning rate':
1.10187265917603e-05, 'epoch': 2.25}
{'loss': 0.6716, 'grad norm': 9.67022705078125, 'learning rate':
1.0644194756554307e-05, 'epoch': 2.34}
{'loss': 0.7073, 'grad_norm': 14.097466468811035, 'learning rate':
1.0269662921348315e-05, 'epoch': 2.43}
{'loss': 0.744, 'grad norm': 21.10797882080078, 'learning rate':
9.895131086142323e-06, 'epoch': 2.53}
{'loss': 0.6643, 'grad norm': 11.315186500549316, 'learning rate':
9.52059925093633e-06, 'epoch': 2.62}
{'loss': 0.6632, 'grad norm': 24.325084686279297, 'learning rate':
9.146067415730337e-06, 'epoch': 2.72}
{'loss': 0.6798, 'grad norm': 15.628936767578125, 'learning rate':
8.771535580524345e-06, 'epoch': 2.81}
{'loss': 0.6676, 'grad norm': 16.24275016784668, 'learning rate':
8.397003745318352e-06, 'epoch': 2.9}
{'loss': 0.6472, 'grad_norm': 21.186817169189453, 'learning_rate':
8.02247191011236e-06, 'epoch': 3.0}
{'loss': 0.4493, 'grad norm': 15.13821029663086, 'learning rate':
7.647940074906369e-06, 'epoch': 3.09}
{'loss': 0.4884, 'grad norm': 19.540966033935547, 'learning rate':
7.273408239700375e-06, 'epoch': 3.18}
{'loss': 0.4854, 'grad norm': 16.71976661682129, 'learning rate':
```

```
6.898876404494382e-06, 'epoch': 3.28}
{'loss': 0.4911, 'grad norm': 17.714141845703125, 'learning rate':
6.52434456928839e-06, 'epoch': 3.37}
{'loss': 0.5225, 'grad norm': 10.025727272033691, 'learning rate':
6.1498127340823975e-06, 'epoch': 3.46}
{'loss': 0.484, 'grad_norm': 18.158191680908203, 'learning_rate':
5.775280898876405e-06, 'epoch': 3.56}
{'loss': 0.4808, 'grad norm': 16.839183807373047, 'learning rate':
5.400749063670413e-06, 'epoch': 3.65}
{'loss': 0.4887, 'grad norm': 23.925931930541992, 'learning rate':
5.026217228464419e-06, 'epoch': 3.75}
{'loss': 0.501, 'grad norm': 22.637502670288086, 'learning rate':
4.651685393258427e-06, 'epoch': 3.84}
{'loss': 0.4756, 'grad norm': 29.462804794311523, 'learning rate':
4.2771535580524345e-06, 'epoch': 3.93}
{'loss': 0.4364, 'grad norm': 13.025620460510254, 'learning rate':
3.902621722846442e-06, 'epoch': 4.03}
{'loss': 0.3535, 'grad_norm': 20.39722442626953, 'learning_rate':
3.5280898876404497e-06, 'epoch': 4.12}
{'loss': 0.3878, 'grad norm': 20.80815887451172, 'learning rate':
3.1535580524344572e-06, 'epoch': 4.21}
{'loss': 0.3449, 'grad norm': 12.114013671875, 'learning rate':
2.779026217228465e-06, 'epoch': 4.31}
{'loss': 0.3717, 'grad norm': 22.281856536865234, 'learning rate':
2.404494382022472e-06, 'epoch': 4.4}
{'loss': 0.3533, 'grad norm': 18.32479476928711, 'learning rate':
2.0299625468164795e-06, 'epoch': 4.49}
{'loss': 0.3091, 'grad norm': 19.425079345703125, 'learning rate':
1.6554307116104871e-06, 'epoch': 4.59}
{'loss': 0.3182, 'grad_norm': 15.570513725280762, 'learning_rate':
1.2808988764044945e-06, 'epoch': 4.68}
{'loss': 0.3747, 'grad norm': 21.145214080810547, 'learning rate':
9.06367041198502e-07, 'epoch': 4.78}
{'loss': 0.3671, 'grad norm': 7.242622375488281, 'learning rate':
5.318352059925094e-07, 'epoch': 4.87}
{'loss': 0.3402, 'grad norm': 23.21162223815918, 'learning rate':
1.5730337078651687e-07, 'epoch': 4.96}
{'train runtime': 836.5072, 'train samples per second': 51.069,
'train_steps_per_second': 3.192, 'train_loss': 0.7368625278330028,
'epoch': 5.0}
Evaluation Metrics: {'accuracy': 0.5180995475113123, 'precision':
0.5263131346323328, 'recall': 0.5180995475113123, 'f1':
0.520377337495899}
```

# Part 3: LLM-based Exam Agent For NLP (20')

Agentic AI is a popular word nowadays. People in CS or Non-CS uses LLM models to build all kinds of Agents with no-code or low code fashion. Please read a Nvidia's introduction about Agentic AI. https://blogs.nvidia.com/blog/what-is-agentic-ai/

In this assignment, we focus on the ngram question we used in version A of our mid-term(), let us build a simple agent to solve this via LangChain.

### Requirements:

- API version of Open AI: The agent requires you to obtain your OPENAI\_API\_KEY by binding your bank account to OpenAI. It is Pay-as-you-go serveice, it will be a few dollars for this assignment.
- ChatGPT-free version: You need to test ChatGPT's capability for the questions. Students
  can claim 2 months of ChatGPT Plus for free. Get access to premium features when you
  verify you're a student in the US or Canada. https://chatgpt.com/students

# Section 3.1. The standard way you use ChatGPT Everyday! (chatgpt.com)

By the time of this assignment, the default model of ChatGPT is GPT-40 (GPT-4-omini). The model is capable of performing a wide range of tasks and using tools. Hence, you can ask it answer the above question 3 and 4. You will find out the default ChatGPT(GPT-40) works great!

# For question-3,I have used chatgpt-4o version and i just copy pasted the question, ie

My response, Given the following corpus:

```
<s> some are fast </s>
<s> and some are slow </s>
<s> some are high </s>
<s> and some are low </s>
```

Treat <S> and as tokens.(i.e include them in vocabulary and counts) What is the conditional probability of seeing 'some' given that we just saw 'and' (P(some | and))? Assume bigram and no smoothing."

# And below is the chatgpt-40 response,

Alright, let's walk through this carefully.

The corpus is:

```
<s> some are fast </s>
<s> and some are slow </s>
<s> some are high </s>
<s> and some are low </s>
```

We are asked: What is P(some | and) using a bigram model and no smoothing.

#### Steps:

- 1. **Count how many times** the bigram ("and", "some") appears.
- 2. **Count how many times** "and" appears as the first word of any bigram.

Then:  $[ P(\text{some} \mid \text{and}) = \frac{\text{count(and, some)}}{\text{count(and)}} ]$ 

#### First, tokenize everything (include <s> and </s> ):

The tokenized sentences are:

- <s> some are fast </s>
- <s> and some are slow </s>
- <s> some are high </s>
- <s> and some are low </s>

#### Now list the bigrams:

- (<s>, some), (some, are), (are, fast), (fast, </s>)
- (<s>, and), (and, some), (some, are), (are, slow), (slow, </s>)
- (<s>, some), (some, are), (are, high), (high, </s>)
- (<s>, and), (and, some), (some, are), (are, low), (low, </s>)

#### Now count:

- **Count(and, some):** How many times does (and, some) occur?
  - → From second and fourth sentence, (and, some) appears **2 times**.
- Count(and): How many times does "and" appear as the first word in a bigram?
  - → "and" is followed by "some" both times → **2 times** total.

(Notice: "and" is never followed by anything else.)

**Thus:** [  $P(\text{some} \setminus \text{some}) = \frac{2}{2} = 1$  ]

∏ Final Answer:1

# For question-4,I have used chatgpt-4o version and i just copy pasted the question, ie

My response, Given the earlier corpus, and a bigram language model (with add-1 smoothing) what is the probability that will be assigned to the following sentence? Give your answer in detailed steps with fractions, no worries for the final numerical result.

<s> some are good </s>

# Below is the chatgpt-40 version response,

Alright, let's take this step-by-step carefully and clearly.

We are given:

Corpus:

```
<s> some are fast </s>
<s> and some are slow </s>
<s> some are high </s>
<s> and some are low </s>
```

• Task: Compute the **bigram probability with add-1 smoothing** for:

```
<s> some are good </s>
```

### Step 1: Find the Vocabulary Size (V)

Vocabulary includes **all unique tokens** (including <s>, </s>, and any other words we see).

From the corpus:

<s>, </s>, some, are, fast, and, slow, high, low

Thus: [V = 9]

### Step 2: Get Bigram Counts

From earlier tokenization:

- (<s>, some): 2 times
- (<s>, and): 2 times
- (some, are): 4 times
- (and, some): 2 times
- (are, fast): 1 time

- (are, slow): 1 time
- (are, high): 1 time
- (are, low): 1 time
- (fast, </s>): 1 time
- (slow, </s>): 1 time
- (high, </s>): 1 time
- (low, </s>): 1 time

#### Important:

- "good" **never appeared** in the training data.
- So any bigram involving "good" will have a count of 0.

### Step 3: Break the Sentence into Bigrams

Sentence: <s> some are good </s>

#### Bigrams:

- (<s>, some)
- (some, are)
- (are, good)
- (good, </s>)

We need the smoothed probability of each bigram and then multiply them together.

### Step 4: Formula for Add-1 Smoothed Bigram Probability

Add-1 smoothed bigram probability is:

 $[P(w_i \mid w_{i-1}) = \frac{(w_i \mid w_{i-1}) + 1}{(w_{i-1}) + V}]$ 

#### Where:

- (\text{Count}(w\_{i-1}, w\_i)) is the number of times the bigram appears
- (\text{Count}(w\_{i-1})) is the number of times (w\_{i-1}) appears as the first word in any bigram
- (V = 9)

### Step 5: Compute Each Bigram Probability

Bigram 1: (<s>, some)

- Count(<s>, some) = 2
- Count(<s>) = 4 (because <s> appears at the start of each sentence, 4 times total)

Thus:

```
[P(\text{some} \mid \text{some} \mid \text{some})] = \frac{2+1}{4+9} = \frac{3}{13}]
```

#### Bigram 2: (some, are)

- Count(some, are) = 4
- Count(some) = 4 (since "some" is always followed by "are")

#### Thus:

```
[P(\text{text}\{\text{are}\} \setminus \text{text}\{\text{some}\}) = \frac{4+1}{4+9} = \frac{5}{13}]
```

#### Bigram 3: (are, good)

- Count(are, good) = 0 (never appeared)
- Count(are) = 4 (because "are" is followed by "fast", "slow", "high", and "low" once each
   — 4 total)

#### Thus:

```
P(\text{text}\{\text{good}\} \text{ ind } \text{text}\{\text{are}\}) = \frac{0+1}{4+9} = \frac{1}{13}
```

#### Bigram 4: (good, </s>)

- Count(good, </s>) = 0 (because "good" was never seen)
- Count(good) = 0 (no appearances of "good" in training)

#### Thus:

```
[P(\text{x}{</s>} \mid \text{text}{good}) = \frac{0+1}{0+9} = \frac{1}{9}]
```

(Important: when the word itself wasn't seen before, its count is 0, but vocabulary size is still 9.)

### Step 6: Multiply the probabilities

The overall probability of the sentence is:

[  $P(\text{some are good </s>}) = P(\text{some } \mid \text{some } P(\text{some } \mid \text{some } \mid$ 

#### Substituting:

 $[ = \frac{3}{13} \times \frac{5}{13} \times \frac{13}{13} \times \frac{$ 

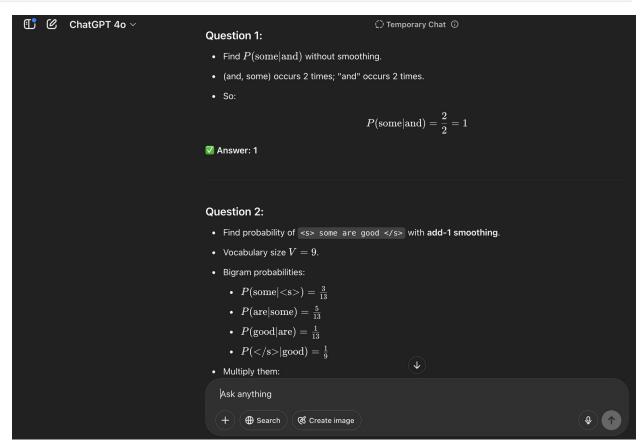
#### ☐ Final Answer (in detailed fraction form):

[\boxed{\frac{3}{13} \times \frac{5}{13} \times \frac{1}{13} \times \frac{1}{9}}]

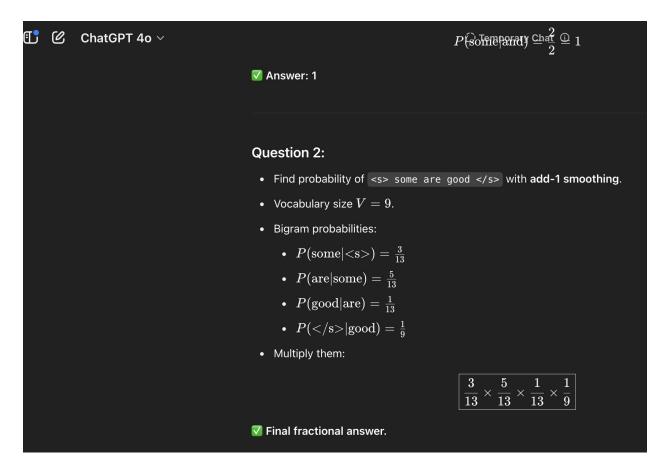
Would you like me to also simplify this a little further just for curiosity? (like multiply the fractions)?  $\square$ 

# Below images are the summary of both questions how chatgpt solved

```
from IPython.display import Image, display
# Example: showing a screenshot named "my_screenshot.png" in your data
folder
display(Image(filename="../data/output01.png"))
```



```
from IPython.display import Image, display
# Example: showing a screenshot named "my_screenshot.png" in your data
folder
display(Image(filename="../data/output02.png"))
```



# Section 3.2. API and PlayGround: The Researcher's Way Using ChatGPT

Now let's test some previous LLMs from OpenAI,

https://platform.openai.com/playground/prompts?models=gpt-4o When we test the GPT-3.5-turbo(That is the earlier version of ChatGPT). We found that the previous model could not finish the whole solution.

# My Key Observations for Section 3.2:

Tested on platform.openai.com/playground/prompts using both:GPT-3.5-turbo and GPT-4o (latest model)

Observations with GPT-3.5-turbo (Playground):

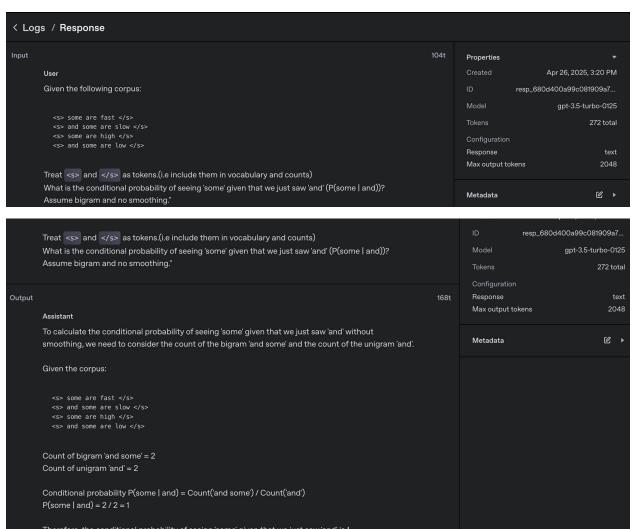
## First Attempt (Q3 + Q4 together):

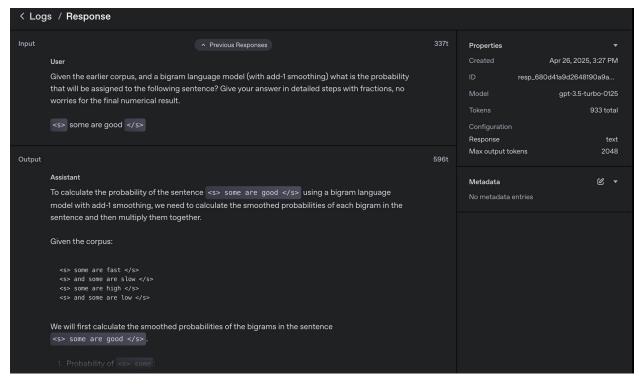
Question 3 (Bigram P(some | and)): Correct solution, complete and accurate.

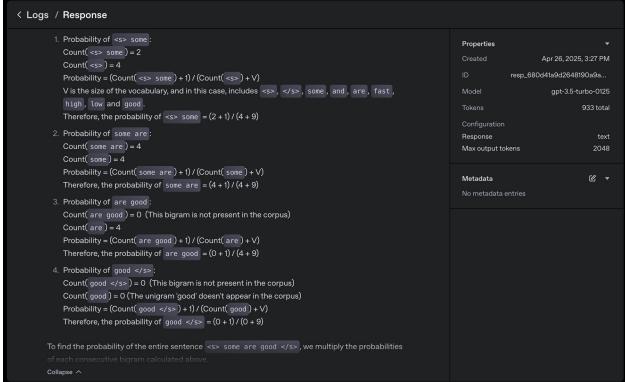
Question 4 (Bigram sentence probability with Add-1 smoothing): Correct solution, complete and accurate.

```
from IPython.display import Image, display
image_list = ["../data/output03.png", "../data/output04.png",
"../data/output05.png", "../data/output06.png",
"../data/output07.png",]

for img_path in image_list:
    display(Image(filename=img_path))
```





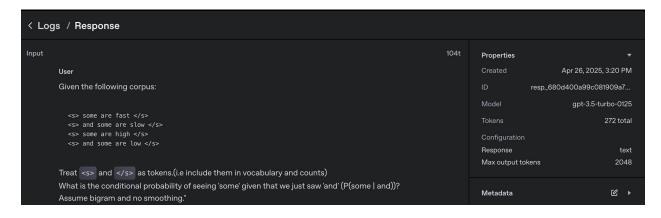


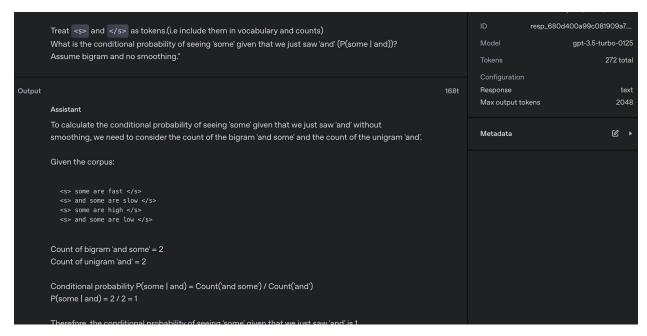
```
V is the size of the vocabulary, and in this case, includes \, <s> , \, </s> , \, some , \, and , \, are , \, fast ,
    high, low and good.
    Therefore, the probability of \langle s \rangle some = (2 + 1) / (4 + 9)
                                                                                                                                               Apr 26, 2025, 3:27 PM
 2. Probability of some are
                                                                                                                                      resp 680d41a9d2648190a9a..
    Count( some are ) = 4
    Count( some ) = 4
    Probability = (Count( some are ) + 1) / (Count( some ) + V)
     Therefore, the probability of some are = (4 + 1) / (4 + 9)
 3. Probability of are good
    Count( are good ) = 0 (This bigram is not present in the corpus)
                                                                                                                                                             [O, ▼
                                                                                                                        Metadata
    Probability = (Count( are good ) + 1) / (Count( are ) + V)
                                                                                                                        No metadata entries
    Therefore, the probability of are good = (0 + 1) / (4 + 9)
    Count(good </s>) = 0 (This bigram is not present in the corpus)
    Count(good) = 0 (The unigram good doesn't appear in the corpus)
    Probability = (Count(good </s>) + 1) / (Count(good) + V)
    Therefore, the probability of good </s> = (0 + 1)/(0 + 9)
To find the probability of the entire sentence <s> some are good </s>, we multiply the probabilities
of each consecutive bigram calculated above.
So, the final probability is the product of individual probabilities:
P(<s> some are good </s>) = P(<s> some) * P(some are) * P(are good) * P(good </s>)
Collapse ^
```

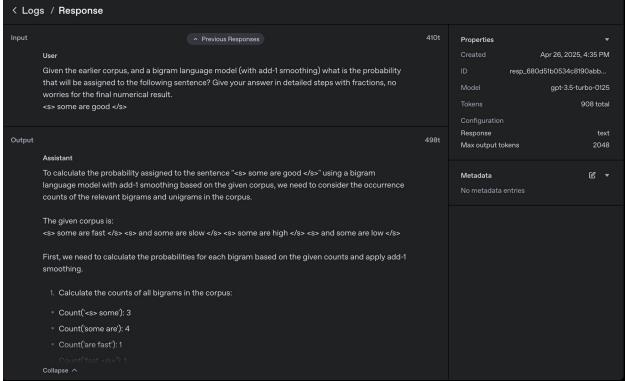
## Second Attempt (Q3 + Q4 again):

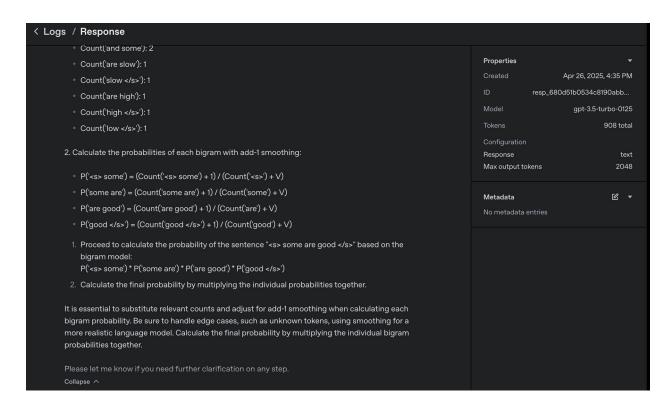
- Question 3: Correct, complete answer without issues.
- [] Question 4: Failed to finish the solution fully at one go stopped midway, incomplete response.

```
from IPython.display import Image, display
image_list = ["../data/output03.png", "../data/output04.png",
"../data/output08.png", "../data/output09.png"]
for img_path in image_list:
    display(Image(filename=img_path))
```







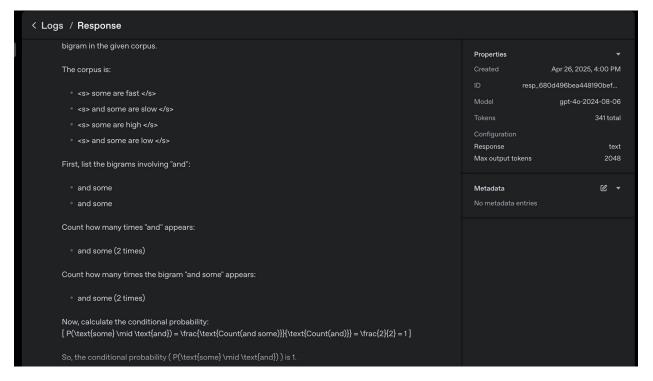


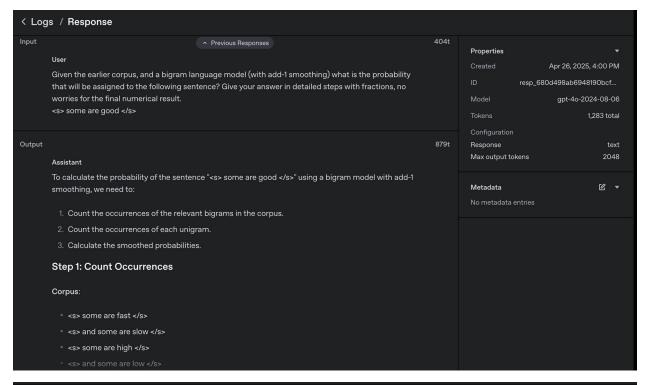
# Observations with GPT-40 (Playground):

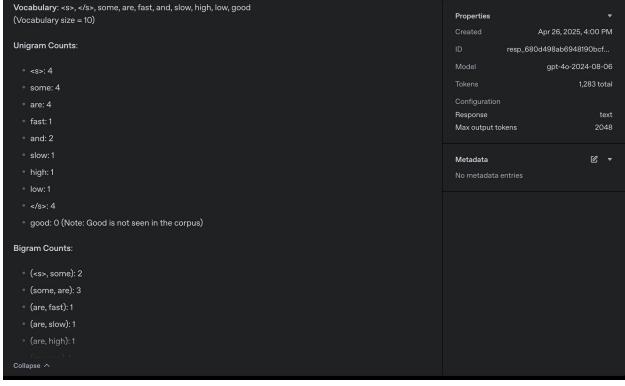
## First Attempt (Q3 + Q4 together):

- Question 3 (Bigram P(some | and)): Correct solution, complete and accurate.
- Question 4: Could produce complete steps (i.e., it didn't cut off), BUT the answer was wrong vocabulary count errors, probability errors (like incorrect fractions or wrong vocabulary size).

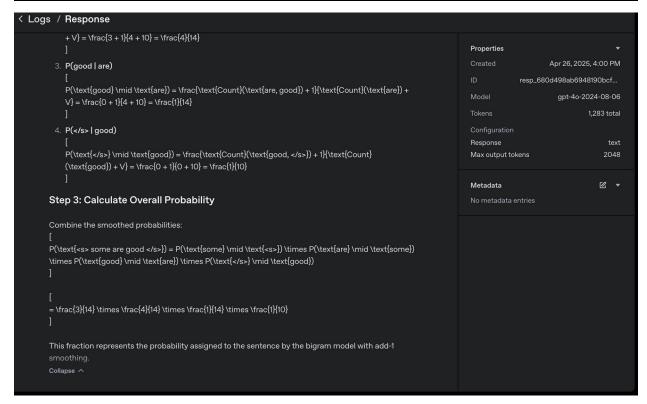
⟨ Logs / Response				
Input	User  Given the following corpus: <s> some are fast </s> <s> and some are slow </s> <s> some are high </s> <s> and some are low </s> Treat <s> and </s> as tokens.(i.e include them in vocabulary and counts) What is the conditional probability of seeing 'some' given that we just saw 'and' (P(some I and))? Assume bigram and no smoothing."	102t	Properties  Created  ID resp_6  Model  Tokens  Configuration  Response  Max output tokens	▼ Apr 26, 2025, 4:00 PM 80d496bea448190bef gpt-4o-2024-08-06 341 total
Output	Assistant To calculate the conditional probability ( P(\text{some} \mid \text{and}) ) using bigram probabilities, we need to determine how often the sequence "and some" occurs and how often "and" occurs as a	239t		text 2048
			<b>Metadata</b> No metadata entries	€ ▼
	bigram in the given corpus.  The corpus is:			
	<s> some are fast </s> <s> and some are slow </s>			
	* <s> some are high </s> * <s> and some are low </s>			







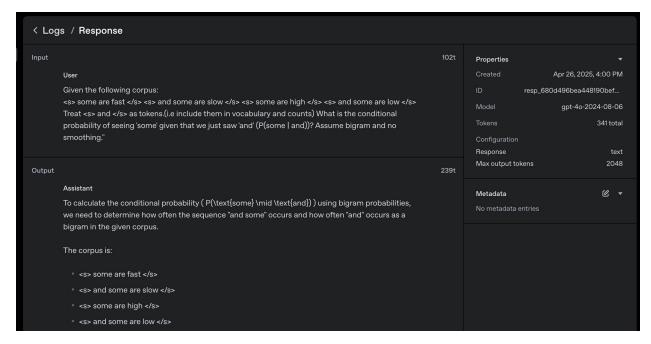
```
Properties
Use add-1 smoothing (Laplace smoothing), where you add 1 to each count and increase the vocabulary
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 Apr 26, 2025, 4:00 PM
size by 1 for calculations.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  resp_680d498ab6948190bcf...
      1. P(some | <s>)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          Model
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       gpt-4o-2024-08-06
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            1.283 total
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          Tokens
                P(\text{\count}(\text{\count}) + 1){\text{\count}(\text{\count}) + 1)} = \frac{(\text{\count}(\text{\count})) + 1}{(\text{\count}(\text{\count})) + 1)} = \frac{(\text{\count}(\text{\count})) + 1}{(\text{\count}(\text{\count}))} = \frac{(\text{\count}(\text{\count}
                 V} = \frac{2 + 1}{4 + 10} = \frac{3}{14}
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          Max output tokens
     2. P(are | some)
                 P(\text{\count}(\text{\count}) + 1){\text{\count}(\text{\count})} = \text{\count}(\text{\count}) + 1){\text{\count}(\text{\count})} = \text{\count}(\text{\count})
                 + V = \frac{3 + 1}{4 + 10} = \frac{4}{14}
      3. P(good | are)
                  P(\text{good} \rightarrow \text{frac}(\text{good}) + 1)(\text{count}(\text{are})) + 1) 
                 V = \frac{0 + 1}{4 + 10} = \frac{1}{14}
      4. P(</s>| good)
                 P(\text{\count}(\text{\count}) = \frac{(\text{\count}(\text{\count}) + 1}{\text{\count}}) + 1}{\text{\count}}
                \text{(\text{text{good})}} + V = \frac{0 + 1}{0 + 10} = \frac{1}{10}
Step 3: Calculate Overall Probability
```

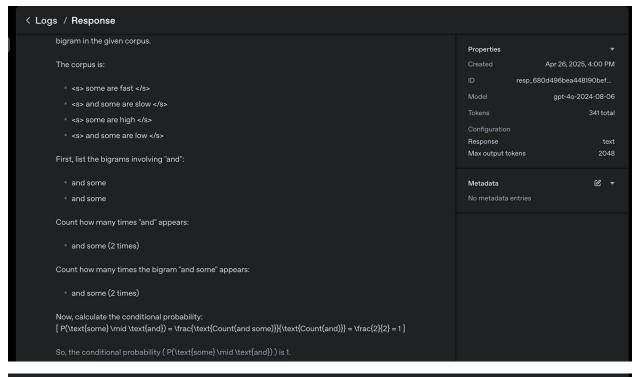


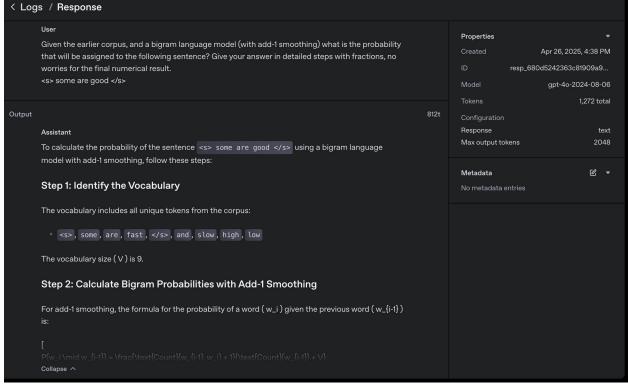
## Second Attempt (Q3 + Q4 together):

Question 3 (Bigram P(some | and)): Correct solution, complete and accurate.

[] Question 4: Could produce complete steps (i.e., it didn't cut off), BUT the answer was wrong — vocabulary count errors, probability errors (like incorrect fractions or wrong vocabulary size).

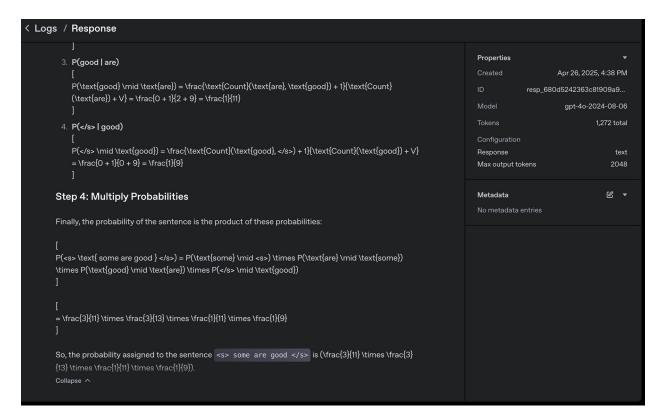






```
< Logs / Response
       Step 2: Calculate Bigram Probabilities with Add-1 Smoothing
                                                                                                                         Properties
                                                                                                                                               Apr 26, 2025, 4:38 PM
       For add-1 smoothing, the formula for the probability of a word (w_i) given the previous word (w_i)
                                                                                                                                      resp_680d5242363c81909a9...
                                                                                                                                                 gpt-4o-2024-08-06
       P(w_i \mid w_{i-1}) = \frac{(u_{i-1}, w_i) + 1}{\text{Count}(w_{i-1}) + V}
       Extract Relevant Counts:
                                                                                                                                                             2048
                                                                                                                         Max output tokens
         Count( <s> some ): 2
                                                                                                                                                            € •
         Count( some are ): 2
                                                                                                                         No metadata entries
         Count( are fast ):1
         Count(are slow):1
         Count( <s> ): 2
         Count( some ): 4
         Count( are ): 2
       Step 3: Compute Probabilities
         1. P(some | <s>)
           P(\text{\count}(\text{\count}(\text{\count}(\text{\count})) + 1}(\text{\count}(\text{\count}(\text{\count})) + 1}(\text{\count}(\text{\count})) + 1)
            +1{2 + 9} = \frac{3}{11}
```

```
< Logs / Response
                                   Step 3: Compute Probabilities
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               Properties
                                          1. P(some | <s>)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            Apr 26, 2025, 4:38 PM
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        resp_680d5242363c81909a9...
                                                     P(\text{\count}(\text{\count}(\text{\count}) + 1){\text{\count}(\text{\count}(\text{\count}) + 2)} + 1){\text{\count}(\text{\count}(\text{\count}) + 2)} = \frac{2}{2}
                                                     +1{2 + 9} = \frac{3}{11}
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   gpt-4o-2024-08-06
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               Model
                                          2. P(are | some)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               Response
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               text
                                                     P(\text{\count}(\text{\count}) = \frac{\text{\count}(\text{\count}) + 1}{\text{\count}}
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          2048
                                                     (\text{text{some}}) + V = \frac{2 + 1}{4 + 9} = \frac{3}{13}
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       ⊘ •
                                           3. P(good | are)
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              Metadata
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               No metadata entries
                                                     P(\text{text}\{good\} \setminus \text{text}\{are\}) = \frac{\text{count}(\text{count}(\text{count}) + 1}{\text{count}}
                                                     (\text{text{are}}) + V = \frac{0 + 1}{2 + 9} = \frac{1}{11}
                                          4. P(</s>| good)
                                                     P(</s> \mid \text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text{Count}(\text
                                                     = \frac{0 + 1}{0 + 9} = \frac{1}{9}
                                   Step 4: Multiply Probabilities
                                   Finally, the probability of the sentence is the product of these probabilities:
```



• Even after many retries, GPT-40 couldn't consistently give the correct answer for Q4.

### My Additional Insight:

Ironically, **ChatGPT.com (web app)** gave correct result (for Q4) for the first attempt, than the Playground/ API testing.

However, GPT-40 via Playground was unstable for this task for question 4.

# Section 3.3. Let's Build Our Own NGram Agent with LangChain

Use the Exam\_Agent\_For\_NLP.ipynb in the notebooks folder, build your own agent. Step 1. Test through the code, see only using more atomic tools such as "extract\_text", "count\_occurrences", "divid", "multiply", see if "GPT-3.5-turbo" will use help. Step 2. Could you reuse the assignment 1 to fill in the unimplemented bigram-related functions, see if your agent could use the new advanced tools.

[Hint: The "GPT-3.5-turbo" is not perfect and may not stable, so please just report you find]

#### What to turn in:

- 1. The notebook itself
- 2. Writing your finding into the single seperate report you need to turn in.

### Step 1: Testing with Atomic Tools Only (Basic Functions)

I tested the agent using only the provided basic tools:

extract\_text() — for OCR extraction from the exam screenshot.

count\_occurrences() — to count bigram/unigram frequencies.

divide() and multiply() — for basic arithmetic operations.

Model Used: GPT-3.5-turbo (via LangChain integration).

#### Observation:

The agent was able to successfully extract the text and attempt reasoning and also did the basic arithmetic operations.

# Step 2: Reusing Bigram Functions from Assignment 1 (Advanced Tools)

I implemented the missing bigram-related functions:

bigram\_condition\_prob\_in\_corpus() — for conditional probability (no smoothing).

bigram\_prob() — bigram probability without smoothing.

bigram\_prob\_smooth() — bigram probability with Add-1 smoothing.

These functions were reused and adapted from my Assignment 1 work.

After integrating these tools with the agent, the system was able to:

Correctly calculate P(some | and) for Question 3.

Attempt the smoothed probability for Question 4, though GPT-3.5-turbo still struggled at times to invoke the correct sequence of tools reliably and could not solve the question 4.