

**6.046 Problem 6-1**Collaborators: *None*

- (a) The case where  $r = w_{i,j}$  is trivial, the graph is the same and so the shortest paths don't change. Otherwise...

I.  $r < w_{i,j}$

**Algorithm:**  $\forall m, n \ D_{mn} \leftarrow \min(D_{mi} + r + D_{jn}, D_{mn})$  If  $D_{mn}$  changes then update  $\Pi_{mn} \leftarrow \Pi_{jn}$ .

**Correctness:** Note  $D_{mi}, D_{jn}$  do not get updated since  $D_{mi} < D_{mi} + r + D_{ji}$  and vice versa. Suppose  $D_{mi} + r + D_{jn} < D_{mn}$  but  $D_{mn}$  itself is less than any path that does not contain  $(i, j)$  because it is the previous shortest path. So the shortest path contains  $(i, j)$  and the distance is  $D_{mi} + r + D_{jn}$  by the shortest path property so  $D$  updates correctly. Since now our path now goes from  $j \rightarrow n$ ,  $\Pi$  updates correctly. If  $D_{mn} < D_{mi} + r + D_{jn}$  then  $D_{mn}$  is less than the distance of the shortest path which contains  $(i, j)$  and is also less than the distance of the any path which does not contain  $(i, j)$  since it is the previous shortest path hence  $D$  and  $\Pi$  do not get updated as expected.

**Complexity:** We do constant work for all  $m, n$  pairs so the time complexity is  $\Theta(V^2)$ .

II.  $r > w_{i,j}$

**Algorithm:** Perform all pairs Dijkstra. Not fruitful but there aren't many options since if  $r > w_{i,j}$ , there may now exist multiple path candidates from  $m \rightarrow n$  which have a lower path weight than the one containing  $(i, j)$  i.e. if  $r$  is very large so that  $(i, j)$  has the highest weight, so it seems like we would have to consider the shortest paths from all pairs anyways.

**Correctness:** Follows from correctness of Dijkstra and preconditions of the problem.

**Complexity:**  $\Theta(V^2 \log V + EV) = O(V^3)$  worst case.

- (b) Consider

$$- V = \{1, 2, \dots, n, n+1, n+2\}$$

- $\forall i \neq j \in 1, 2, \dots, n$  we have  $(i, n+1), (n+2, j), (i, j) \in E$  the former two with weights 1 and the last with weight 4
- $(n+1, n+2) \in E$  with weight 3

$$D = \begin{pmatrix} 0 & 4 & \dots & 4 & 1 & 0 \\ 4 & 0 & \dots & 4 & 1 & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 4 & 4 & \dots & 0 & 1 & 0 \\ 0 & 0 & \dots & 0 & 0 & 3 \\ 1 & 1 & \dots & 1 & 0 & 0 \end{pmatrix}$$

which follows since  $(i, j)$  is weight 4 while  $(i, n+1, n+2, j)$  is weight 5. However if we do a dynamic update on parameters  $(n+1, n+2, 1)$  then the latter weight changes to 3 so all the 4's in  $D$  would update to 3 which takes  $(n-1)n = n^2 - n$  time hence any dynamic APSP algorithm takes  $\Omega(V^2)$  time on this graph.

- (c) We now define  $D_{ij}^{kh}$  as the shortest path distance from  $i$  to  $j$  using only vertices from  $1, 2, \dots, k$  and with at most  $h$  hops. We have the following recursive formula:

$$D_{ij}^{kh} = \min(D_{ij}^{k-1, h}, \min_{0 \leq m \leq h} (D_{i, k}^{k-1, m} + D_{k, j}^{k-1, h-m}))$$

The recurrence arises from the fact that going to  $k$  with at most  $m$  hops and leaving from  $k$  with at most  $h-m$  hops guarantees the total number of hops is at most  $h$ . Any path of length  $m$  going through  $k$  must satisfy this. Now there are  $V^3 h$  subproblems which take  $h$  time to complete hence the complexity is  $\Theta(V^3 h^2)$ .

- (d) The algorithm is almost identical to that in section 25.1 except that we exponentiate to  $L^{(h)} = W^h$  instead of  $W^{n-1}$  since  $L^{(m)}$  is defined as the distance matrix for paths that contain at most  $m$  edges. As a result we get  $\Theta(V^3 \lg h)$  runtime instead of  $\Theta(V^3 \lg V)$ .
- (e) The case where  $r = w_{i, j}$  is trivial, the graph is the same and so the shortest paths don't change. For the other cases we assume as input or available as a resource,  $D^{(p)}$  and  $D^{(p)} \forall m, n$  and for  $0 \leq p \leq h-1$  where  $D_{ij}^{(p)}$  is the minimum path distance from  $i$  to  $j$  using  $\leq p$  hops.

I.  $r < w_{i, j}$

**Algorithm:**  $\forall m, n \ D_{mn} \leftarrow \min(\min_{0 \leq p \leq h-1} (D_{mi}^{(p)} + r + D_{jn}^{(h-1-p)}), D_{mn})$ . But now we have to update  $D^{(p)}$  for all  $p$ . But these are just smaller instances of our problem so we repeat the algorithm for  $p = 0$  to  $h-1$  to compute  $D^{(p)}$

**Correctness:** Similar to (a) we have that  $D_{mi}, D_{jn}$  are unaffected. The correctness argument is essentially the same but we need the additional resources of  $D_{mi}^{(p)}$  and  $D_{jn}^{(p)}$  because the shortest path candidate from  $m$  to  $n$  containing  $(i, j)$  using at most  $h$  hops must contain the shortest path from  $m$  to  $i$  and shortest path from  $j$  to  $n$  where the total number of hops is  $h - 1$ . Correcting  $D^{(p)}$  is the same argument as 0

**Complexity:** We do  $h$  work for all  $m, n$  pairs but there are  $h$  matrices to update so the time complexity is  $\Theta(V^2 h^2)$ .

II.  $r > w_{i,j}$

**Algorithm:** Perform the matrix multiplication to compute  $D^{(p)}$  for all  $0 \leq p \leq h$  using the updated weight.

**Correctness:** Follows from (c).

**Complexity:**  $\Theta(V^3 h)$  since we are computing up to  $W^h$  where  $W$  is the weight matrix.

III.

**NOTE:** We can use one matrix multiplication instead to get  $\Theta(V^3 \log h)$  runtime but this doesn't update resources so we'd have to stick to this for both cases if we decide to do this. The algorithm above basically sacrifices a little bit of runtime for  $r > w_{i,j}$  to get a little bit of faster runtime for  $r < w_{i,j}$ .

**6.046 Problem 6-2**Collaborators: *None*

- (a) Suppose  $T_1$  and  $T_2$  are distinct MSTs. Consider the minimum edge weight that is contained in one of either  $T_1$  or  $T_2$  but not both, WLOG let it be contained in  $T_1$  and denote it by  $e_1$ . Consider  $T_2 \cup \{e_1\}$  which contains a cycle. One edge of the cycle  $e_2$ , must not be contained in  $T_1$ . We have  $w(e_1) < w(e_2)$  and  $T_2 \cup \{e_1\} \setminus \{e_2\}$  is spanning tree with weight  $w(T_2) + w(e_1) - w(e_2) < w(T_2) = w(T_1)$  which contradicts the fact that  $T_1$  and  $T_2$  are distinct MSTs.
- (b) **Algorithm:** Let  $S$  be the initial set of components determined by  $A = \emptyset$ , which is basically the set of vertices. Each vertex also has a pointer to which component it belongs to. Continue the following until  $|S| = 1$ :

 $E = \emptyset$ For  $C \in S$ .

- Iterate through  $E[v]$  for  $v \in V[C]$  to find the lightest weighted edge,  $e_C$  coming out of  $C$  which is possible since we know the component tree of each vertex
- Add  $e_C$  to  $E$

For  $e_C$  in  $E$  add  $e_C$  to  $A$  and update  $S$  along with the component tree pointers of each vertex.

**Correctness:** Note that at the end  $|A| = V - 1$  since every time an edge is added to  $A$ , the number of component trees decreases by 1 as we are combining two, and since we started with  $V$  components this will happen  $V - 1$  times in order for  $|S| = 1$ . We claim that at each step the edges of each component tree,  $C$ , is an MST of the vertices of  $C$  and that when we combine two component trees  $C$  and  $C'$  with edge  $e_C$  then the new component tree is an MST of the vertices of the combined trees. If we show this then  $A$  forming an *MST* of the original graph follows by induction. We have initially every component is an MST since each consists of a single vertex. Let's look at  $C$  and suppose  $e_C$  is the minimum edge weight going out of  $C$  into  $C'$ . Take a cut which isolates  $C$  from the rest of the graph. Then  $e_C$  is contained in an MST of the vertices of  $C \cup C'$  but  $C \cup C' \cup e_C$  is a spanning tree of those vertices but since we are dealing with unique edge weights, this must be an MST of those vertices as well. Induction complete.

**Complexity:** We iterate through all the edges for each vertex and we do this  $V$  times hence we have a runtime of  $\Theta(V^2 + EV)$ .

- (c) Take  $V = \{1, 2, 3, 4\}$ ,  $E = \{(1, 2), (2, 3), (3, 4)\}$  and  $V_1 = \{1, 3\}$ ,  $V_2 = \{2, 4\}$ . The weights could be anything, it will be impossible for the algorithm to find the MST since all three edges pass the cut.
- (d) **Algorithm:** Run DFS to find cycle, remove lowest weighted edge. Continue until no cycles remaining.

**Correctness:** Suppose  $1, 2, \dots, n$  form a cycle and  $(n, 1)$  is the highest weighted edge of the cycle. Suppose an MST contains  $(n, 1)$ . Removing this edge breaks the MST into two trees,  $T_1$  and  $T_2$  whose union must contain all the vertices of the cycle. Note there must exist another edge of the cycle which connects  $T_1$  and  $T_2$  by the definition of a cycle and since this edge has a lower weight than the heaviest weighted cycle edge we get a lower weighted spanning tree, contradiction hence we can remove the heaviest weighted cycle edge safely.

**Complexity:** We run DFS  $E - V + 1$  times so the runtime is  $\Theta(E^2 - V^2 + E + V)$ .