

TY CSE
2022-23
Design and Analysis of Algorithm

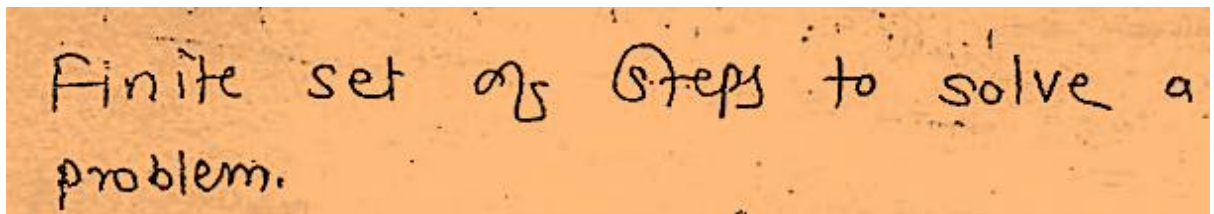
Lect. 1 13/08/2022

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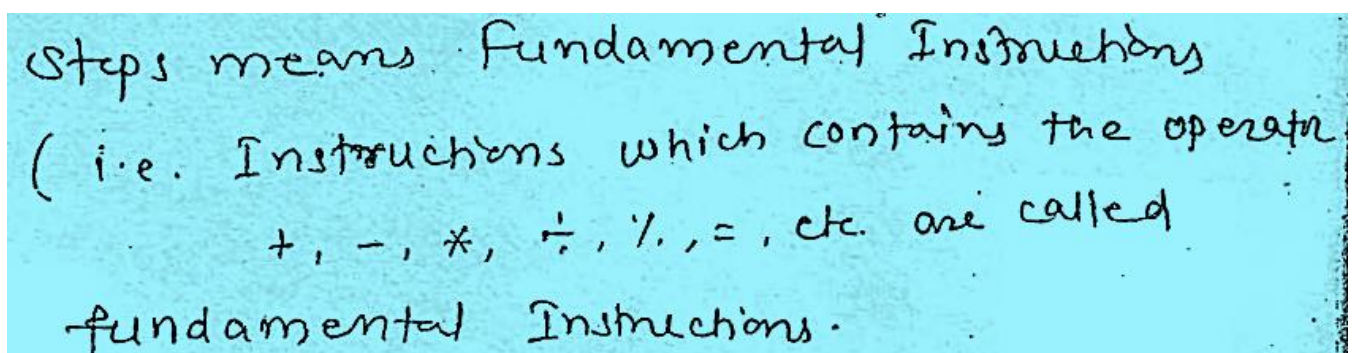
10. Module 1: Introduction

- a. Introduction to Algorithm Analysis Time and Space Complexity, Elementary operations and Computation of Time Complexity-Best, worst and Average Case Complexities- Complexity Calculation of simple algorithms. Recurrence Equations: Solution of Recurrence Equations –Iteration Method and Recursion Tree Methods. Master's theorem for complexity computation.

11. Definition:



Finite set of steps to solve a problem.



Steps means Fundamental Instructions
(i.e. Instructions which contains the operators
+, -, *, ÷, %, =, etc. are called
fundamental Instructions.

12. Characteristics of fundamental operators:

1. Definiteness: Every fundamental operator should be defined without any ambiguity.

e.g. $i = i + 1$

Invalid

$i = i + 1$

Valid

2. Finiteness: Every fundamental instruction should be terminated within finite amount of time.

$i = 1$

while (1)

{ $i = i + 1$;

}

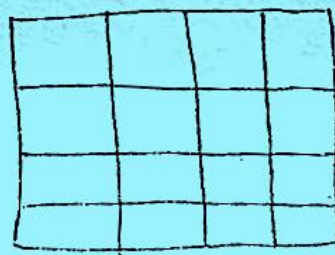
// This type of instⁿ are not allowed.

3. Every fundamental expressⁿ accepts at least 0 i/p. & provides at most one o/p.

13. Steps to solve problem:

1. Identifying problem Statement:

ex: Arrange 4 queens Q_1, Q_2, Q_3, Q_4 into 4x4 Chess board



② Constraints : No two pins on same row and
No two pins on same column & diagonal.
Best

③ Design Logic : Depending upon the characteristics of problem we can choose any one of the following design strategy for designing logic.

- a) Divide & conquer.
- b) Greedy method
- c) Dynamic programming
- d) Backtracking
- e) Branch & Bound
- f) Brute force

etc.

④ Validation : Most of the algorithms validated by using mathematical induction.

⑤ Analysis : The process of comparing two algorithms rate of growth with respect to time, space, network bandwidth, number of registers, etc. is called Analysis.

Priority Analysis	Posterior Analysis
<p>① Analysis done before executing. ex: $x = x + 1$ Principle: freq. count of fundamental instructions. since $x = x + 1$ being carried out only one time so its time complexity is $O(1)$.</p> <p>② It is independent of O.S., syst. Architecture, Processor speed.</p> <p>③ It provides estimated values.</p> <p>④ All these are uniform values</p>	<p>① Analysis done executing. ex: $x = x + 1$</p> <pre> graph TD A["x = x + 1"] --> B["Syst. 1 0.1 ns"] A --> C["Syst. 2 0.2 ns"] A --> D["Syst. 3 0.4 ns"] </pre> <p>② Dependent</p> <p>③ It provides exact values.</p> <p>④ These are non-uniform values.</p>

- ⑥ Implementation.
- ⑦ Testing and Debugging.

14. Asymptotic notation:

Asymptotic Notation

To compare two algorithm's growth rates we need notations called Asymptotic Notations.

1) Big-Oh (O) :-

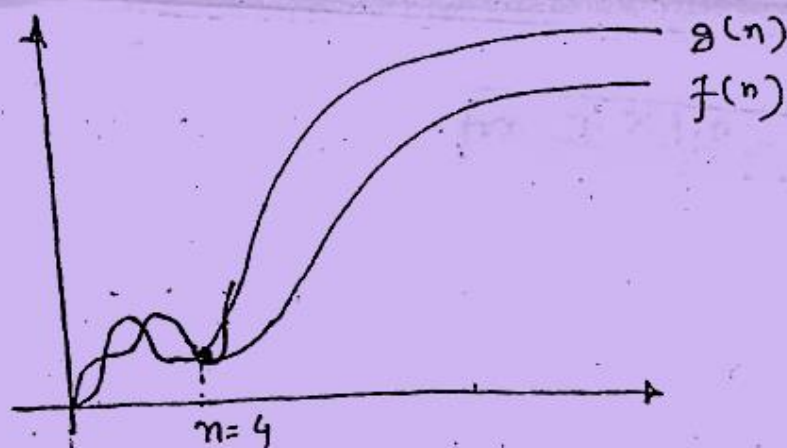
Def: $f(n)$ and $g(n)$ are two functions.

$f(n)$ is $O(g(n))$ iff \exists some 'c' and 'k'.

$$0 \Rightarrow f(n) \leq c \cdot g(n); \forall n \geq k.$$

ex:

n	$f(n) = n^2$	$g(n) = 2^n$	
1	1	2	$\rightarrow f(n) < g(n)$
2	4	4	$\rightarrow f(n) = g(n)$
3	9	8	$\rightarrow f(n) > g(n)$
4	16	16	} $f(n) \leq g(n)$
5	25	32	
6	36	64	
7	49	128	
8	64	256	
9	81		



$$f(n) = O(g(n)) \Leftrightarrow f(n) \leq g(n) ; \forall n \geq 4$$

$$n^2 = O(2^n) \quad \forall n \geq 4.$$

$$f(n) = O(g(n)).$$

Note: — $f(n) = O(g(n))$ means $g(n)$ is an upper bound to $f(n)$ for large values of n .

* Lower growth rate function = O (higher growth rate function).

(pbl) If $f(n) = n^2 + n + 1$ then $f(n) = O(?)$.

Solⁿ:

To prove $n^2 \leq$
 $f(n) = O(g(n)) \Leftrightarrow f(n) \leq \underset{\uparrow}{c} \cdot \underset{\uparrow}{g(n)}; \forall n \geq \underset{\uparrow}{K}$

$$n^2 \leq n^2$$

$$n^2 + n \leq n^2 + n^2$$

$$n^2 + n + 1 \leq n^2 + n^2 + n^2$$

$$n^2 + n + 1 \leq \underset{\uparrow}{3} \underset{\uparrow}{n^2} \cdot \underset{\uparrow}{K} \quad \forall n \geq \underset{\uparrow}{1}$$

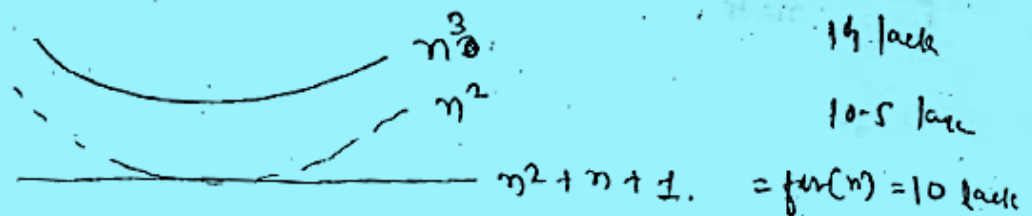
$$\therefore n^2 + n + 1 = O(n^2) \quad n \geq 1$$

Shortcut: If $f(n) = a_0 + a_1 n + a_2 n^2 + a_3 n^3 + \dots + a_m n^m$
($a_m \neq 0$)
then $f(n) = O(n^m)$.

$$n^2 \leq n^3.$$

$$n^2 + n + 1 \leq n^3.$$

$$n^2 + n + 1 = O(n^3).$$



Note: Even though n^2, n^3, n^4 are upper bounds to $f(n) = n^2 + n + 1$ take up least upper bounds only.

$$\therefore f(n) = O(n^2).$$

Problem 2: If $f(n) = n!$ then $O(f(n)) = O(\quad)$

Sol.: $f(n) = n!$

$$= n \times (n-1) \times (n-2) \times \dots \times 1$$

$$= n^n \left\{ 1 \cdot \left(1 - \frac{1}{n}\right) \left(1 - \frac{2}{n}\right) \dots \frac{1}{n} \right\}$$

$$= O(n^n) \quad (\because \text{polynomial of degree } n)$$

③ If $f(n) = \log(n!)$ then $f(n) = O(\quad)$.

solⁿ:
$$\begin{aligned} f(n) &= \log(n!) \\ &= \log(O(n^n)) \quad (\because n! = O(n^n)) \\ &= O(\log n^n) \quad (\because \log \text{ is constant fun. it satisfies Associative prop).} \\ &= O(n \log n). \end{aligned}$$

④ If $f(n) = n^2$ for $n \leq 100$
 $= n$ for $n > 100$

and $g(n) = n$ for $n < 1000$
 $g(n) = n^3$ for $n \geq 1000$

which of the following is true?

④

If $f(n) = n^2 \log n$. $g(n) = n \log^{10} n$.
 then which of the following is true?

15. Dominance ranking:

⑥ $f_1(n) = 2^n$, $f_2(n) = n^{3/2}$, $f_3(n) = n \log_2 n$
 $f_4(n) = n^{\log_2 n}$
 Arrange f_1, f_2, f_3, f_4 in the increasing order.

(a) $f_3 f_2 f_4 f_1$ (b) $f_2 f_3 f_4 f_1$
 (c) $f_3 f_2 f_1 f_4$ (d) $f_2 f_3 f_1 f_4$

16.

Big-Omega (Ω).
Def: $f(n) \in \Omega(g(n))$ iff \exists some c and k
 $\exists f(n) \geq \underset{\uparrow}{c} \cdot \underset{\uparrow}{g(n)} : \forall n \geq \underset{\uparrow}{k}$

Problems

Ex 1. If $f(n) = n^2 + n + 1$ then $f(n) = \Omega(\underline{\quad})$.

Solⁿ

I. $n^2 \geq n^2$
 $n^2 + n \geq n^2$

$\rightarrow n^2 + n + 1 \geq n^2 \quad \forall n \geq 0$

$\therefore n^2 + n + 1 = \Omega(n^2)$.

A. $n^2 \geq n$.

$n^2 + n \geq n$.

$n^2 + n + 1 \geq \underset{\uparrow}{1} \cdot \underset{\uparrow}{n} \quad \forall n \geq \underset{\uparrow}{0}$

$\therefore n^2 + n + 1 = \Omega(n)$.



Note: Even though n^2, n are lower boundary to $f(n)$ we have to greatest lower boundary
 $\therefore n^2 + n + 1 = \Omega(n^2)$.

Shortcut: If $f(n) = a_0 + a_1 n + a_2 n^2 + \dots + a_m n^m$ ($a_m \neq 0$)
then $f(n) = \Omega(n^m)$.

$$n^2 + n + 1 = O(n^2) \text{ \& } n^2 + n + 1 = \Omega(n^2)$$

$$\therefore \underline{n^2 + n + 1 = \Theta(n^2)}.$$

Theta (Θ): $f(n)$ is $\Theta(g(n))$ iff $f(n)$ is $O(g(n))$
and $f(n)$ is $\Omega(g(n))$.

Ex:- If $f(n) = n^2 + n + 1$ then

$$\text{for } c_1 = 3, \quad n^2 + n + 1 \leq 3n^2 \quad \forall n \geq 1 \} f(n) = O(n^2)$$

$$\text{for } c_2 = 1, \quad n^2 + n + 1 \leq 1n^2 \quad \forall n \geq 0 \} f(n) = \Omega(n^2)$$

$$\therefore \underline{c_2 \cdot n^2 \leq n^2 + n + 1 \leq c_1 \cdot n^2} \quad \forall n \geq 1.$$

$$\Rightarrow n^2 + n + 1 = \Theta(n^2).$$

Shortcut: If $f(n) = a_0 + a_1 n + a_2 n^2 + \dots + a_m n^m$ ($a_m \neq 0$)
then $f(n) = \Theta(n^m)$.

Little-Oh : $f(n)$ is $o(g(n))$ iff

$$f(n) < c \cdot g(n) \quad \forall n \geq K \\ \forall c.$$

O	o
1. \leq	1. $<$
2. \exists some c	2. $\forall c$ for all c

ex Let $f(n) = n^2$ $\Rightarrow f(n) < g(n) \Rightarrow f(n) = o(g(n))$
 $g(n) = n^3$ $n^2 = o(n^3)$.

$$\text{Limit } \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 \Rightarrow f(n) = o(g(n)). \quad (6)$$

$$\text{Ex } \lim_{n \rightarrow \infty} \frac{n^2}{n^3} = \lim_{n \rightarrow \infty} \frac{1}{n} = 0 \\ \therefore n^2 = o(n^3).$$

Little omega (ω):

$f(n)$ is $\omega(g(n))$ iff $f(n) > c \cdot g(n) \forall n \gg k$
 $\forall c$.

Ω	ω
1. \geq	1. $>$
2. \exists some c	2. $\forall c$

Shortcut: If $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$ then $f(n) = \omega(g(n))$.

Recap:

1. lower = O (higher)
2. If $f(n) = a_0 + a_1 n + a_2 n^2 + \dots + a_m n^m$ ($a_m \neq 0$)
then $f(n) = O(n^m), \Theta(n^m), \Omega(n^m)$
3. Dominance Ranking
4. $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \begin{cases} 0 & \Rightarrow f(n) = o(g(n)) \\ \infty & \Rightarrow f(n) = \omega(g(n)) \end{cases}$
5. Properties of Asymptotic

i) Reflexivity:

$$a = a$$

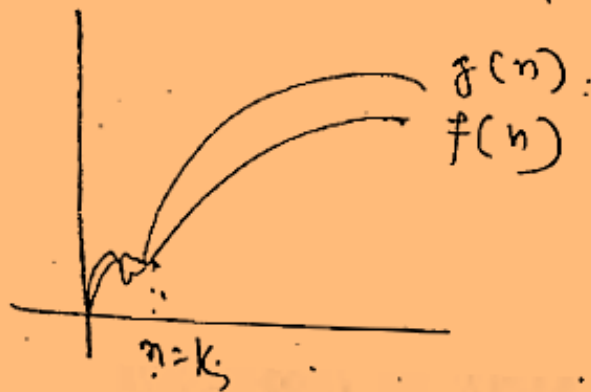
$$f(n) \leq 1 \cdot f(n) \quad \forall n \geq k.$$

$$\Rightarrow f(n) = O(f(n))$$

ii) Symmetric:

$$\text{If } a = b \Rightarrow b = a.$$

$$\Rightarrow f(n) = O(g(n)) \Rightarrow g(n) = O(f(n))$$



iii) Transitive

$$\text{If } a = b, b = c, \text{ then } a = c.$$

$$\text{If } f(n) = O(g(n)), g(n) = O(h(n))$$

$$\Rightarrow f(n) = O(h(n)).$$

iv) Transpose:

$$f(n) = O(g(n)).$$

$$\therefore g(n) = \Omega f(n).$$

Prop Notation	Reflexivity	Symmetric	Transitive	Transpose
$O(\leq)$	✓	✗	✓	$O \Rightarrow \Omega$
$\Omega(\geq)$	✓	✗	✓	$\Omega \Rightarrow O$
$\Theta(=)$	✓	✓	✓	$\Theta \Rightarrow \Theta$
$o(<)$	✗	✗	✓	$o \Rightarrow \omega$
$\omega(>)$	✗	✗	✓	$\omega \Rightarrow o$

prob

Consider

1. $(n+k)^m = O(n^m)$ (where k, m are constant)

2. $2^{n+1} = O(2^n)$

3. $2^{2n+1} = O(2^n)$.

Simple for loop

sum = 0

for (i = 1; i ≤ n; i = i + 2)

{
sum = sum + i; → $O\left(\frac{n+1}{2}\right) = O(n+1)$
}
= $O(n)$.

⑧

sum = 0

for (i = 1; i ≤ n; i = i * 2)

{
sum = sum + i; → $O(1 + \log_2 n)$
}
 $O(\log_2 n)$.

j = 1.

while (j ≤ n)

{
j = j * 2;

}

Comparison

How many comparisons performed.

sum = 0

for (i = n; i > 0; i = i / 2)

{ sum = sum + i;

}

Nested For loop Question

Q. sum = 0

for (i = 1; i ≤ n; i = i + 1)

{ for (j = 1; j ≤ n; j = j + 1)

{ sum = sum + j;

}

}

Solⁿ

i	1	2	3	...	n
j	n	n	n	...	n
sum	n	n	n	...	n

$= O(n \times n)$
 $= O(n^2)$.

It is not the value
 number of times executed
means
 for $i=1$ n times will be executed
 for $i=2$ n times
 \vdots
 for $i=n$

Q. for ($i=1$; $i \leq n$; $i = i+1$)
 {
 for ($j=1$; $j \leq n$; $j = j \times 2$)
 {
 sum = sum + j;
 }
 }
 }

10

i	1	2	3	...	n
j	$\log_2 n$	$\log_2 n$	$\log_2 n$...	$\log_2 n$ times executes.
sum	$\log_2 n + \log_2 n + \log_2 n + \dots$				$\log_2 n$.

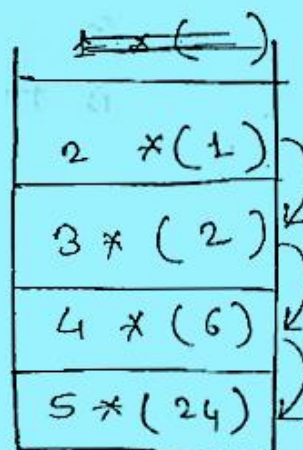
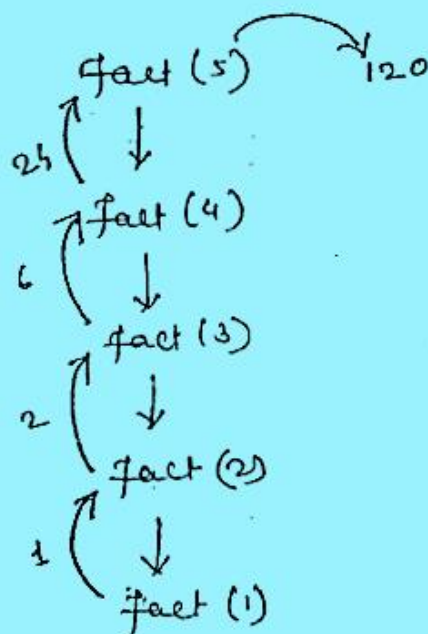
$= O(n \log_2 n)$

Q. $\text{for } (i=1; i \leq n; i=i+1)$
 }
 $\text{for } (j=1; j \leq i; j=j*2)$
 }
 $\text{sum} = \text{sum} + j;$
 }

Time Complexity of Recursive Algorithm.

```
int fact(int n)
{
    if (n==0 || n==1) // Base condition
        return 1;
    else
        return n * fact(n-1); // Induct condn
}
```

⇒
Execution



Stacks

Note: 1) Time complexity of recursive algorithm
= Number of function calls

Q.1 Time complexity of fact = $O(n)$.

2) Space Complexity of Recursive Algorithm
= Depth of Recursion tree

∴ Space Complexity of fact $(n) = O(n-1)$
 $= O(n)$.

17. Back-Substitution Method