

02.03.2020

## Arithmetic coding

### E3 scaling

$$\text{Applying, } E_3 E_2 = E_2 E_1$$

$$E_3 E_1 = E_1 E_2$$

Arguing similarly, one can show that

$$\underbrace{E_3 E_3 \dots E_3}_{m} E_2 = E_2 \underbrace{E_1 E_1 \dots E_1}_{m} = 100 \dots 0$$

$m$  $m \text{ times}$

$$\underbrace{E_3 E_3 \dots E_3}_{m \text{ times}} E_1 = E_1 \underbrace{E_2 E_2 \dots E_2}_{m} = 011 \dots 1$$

$m \text{ times}$  $m$  $m \text{ times}$

If we went through three  $E_3$  mappings at the encoder, followed by an  $E_2$  mapping, we would transmit a '1', followed by three zeros.

### Arithmetic coding : Floating point Implementation

#### Integer implementation

1) Word length should be determined -  $m$

2)  $\underbrace{xxx \dots x}_{m \text{ bits}}$

$$00 \dots 0 \xrightarrow{\text{equivalent}} 0.0$$

$$11 \dots 1 \longrightarrow 1.0$$

$$\underbrace{10 \dots 0}_{m-1 \text{ bits}} \longrightarrow 0.1$$

Total number of symbols = Total count

let  $n_j$  denote the number of times the  $j$ th symbol has occurred in the total-count.

$$\text{cum-count}(k) = \sum_{j=1}^k n_j$$

$$F_x(k) = \frac{\text{cum-count}(k)}{\text{total count}}$$

$$Q^{(n)} = Q^{(n-1)} + (Q^{(n-1)} + 1^{(n-1)}) F_x(x_{n-1})$$

$$= \frac{Q^{(n-1)} + (Q^{(n-1)} + 1^{(n-1)}) \times \text{cum-count}(x_{n-1})}{\text{total-count}}$$

$$q^{(n)} = l^{(n-1)} + (q^{(n-1)} - l^{(n-1)}) F_x(x_n)$$

$$= l^{(n-1)} + \left[ \frac{q^{(n-1)} - l^{(n-1)} + 1 \times \text{cum-count}(x_n)}{\text{Total-count}} \right] - 1$$

In floating-point implementation,

Condition for  $E_1$  mapping

$$l^{(n)} \geq 0 \quad q^{(n)} < 0.5 \quad \text{MSB's of both } l^{(n)} \text{ and } q^{(n)} \text{ is '0'}$$

Condition for  $E_2$

$$l^{(n)} \geq 0.5 \quad q^{(n)} < 1.0 \quad \text{MSB's of both } l^{(n)} \text{ and } q^{(n)} \text{ are '1'}$$

Condition for  $E_3$

$$l^{(n)} \geq 0.25 \quad q^{(n)} < 0.75 \quad \begin{array}{l} \text{MSB of } l^{(n)} = 0 \quad \text{second MSB of } l^{(n)} = 1 \\ \text{" } q^{(n)} = 1 \quad \text{" } q^{(n)} = 0 \end{array}$$

$E_1$  mapping:

$$E_1(x) = 2x$$

Send '0'

Send MSB = 0

Shift left by '1' bit of both  $l^{(n)}$  and  $q^{(n)}$   
Shift in '0' into LSB of  $l^{(n)}$ ,  
shift in '1' into LSB of  $q^{(n)}$

$E_2$  mapping:

$$E_2(x) = 2(x - 0.5)$$

Send '1'

SEND MSB = 1

Shift left by '1' bit of both  $l^{(n)}$  and  $q^{(n)}$   
shift in '0' into LSB of  $l^{(n)}$   
Shift in '1' into LSB of  $q^{(n)}$

$E_3$  mapping:

$$E_3(x) = 2(x - 0.25)$$

increment

scale's by 1

Increment scale 3 by '1'

Shift out MSB of both  $l^{(n)}$  and  $q^{(n)}$

Shift in '0' into LSB of  $l^{(n)}$

Shift in '1' into LSB of  $q^{(n)}$

Complement the new MSB's of both  $l^{(n)}$  and  $q^{(n)}$

Eg: We will encode the sequence 1321 with parameters as follows:

$$\text{count}(1) = 40 \quad \text{count}(2) = 1 \quad \text{count}(3) = 9 \quad \text{Total-count} = 50$$

$$\text{Cum-count}(1) = 40$$

$$\text{Cum-count}(2) = 41$$

$$\text{Cum-count}(3) = 50$$

$$1/q = 50$$

one-fraction length of interval  
should be able to represent  
50 numbers.

$m$  must be such that,  $2^m \geq \frac{4 \times 50}{\text{smallest count}}$

$$2^m \geq \frac{200}{1}$$

$$m = 8$$

$$l^{(0)} = 00000000 = 0$$

$$u^{(0)} = 11111111 = 255$$

$$l^{(1)} = l^{(0)} + \left\lfloor \frac{(u^{(0)} - l^{(0)} + 1) \times \text{cum-count}(0)}{\text{total-count}} \right\rfloor$$

$$= 0 + \left\lfloor \frac{(255 - 0 + 1) \times 0}{50} \right\rfloor$$

$$= \left\lfloor \frac{256 \times 0}{50} \right\rfloor = 0$$

$$l^{(1)} = l^{(0)} + \left\lfloor \frac{(u^{(0)} - l^{(0)} + 1) \times \text{cum-count}(1)}{\text{total-count}} \right\rfloor - 1$$

$$= 0 + \left\lfloor \frac{(255 - 0 + 1) \times 40}{50} \right\rfloor - 1$$

$$= \left\lfloor \frac{256 \times 4}{50} \right\rfloor - 1 = 203$$

$$l^{(1)} = 0 = 00000000$$

$$u^{(1)} = 203 = 11001011$$

$$l^{(2)} = l^{(1)} + \left\lfloor \frac{(u^{(1)} - l^{(1)} + 1) \times \text{cum-count}(2)}{\text{total-count}} \right\rfloor$$

$$= 0 + \left\lfloor \frac{(203 - 0 + 1) \times 41}{50} \right\rfloor$$



$$= \left\lfloor \frac{204 \times 41}{50} \right\rfloor = 167$$

$$l^{(2)} = l^{(1)} + \left\lfloor \frac{(l^{(1)} - l^{(1)} + 1) \times \text{cum-count}(3)}{\text{total-count}} \right\rfloor - 1$$

$$= 0 + \left\lfloor \frac{(203 - 0 + 1) \times 50}{50} \right\rfloor - 1$$

$$= \lfloor 204 \rfloor - 1 = 203$$

$$l^{(2)} = 167 = 10100111$$

Condition for  $l^{(2)} = 203 = 11001011$

E<sub>2</sub>-mapping.

So, **SEND 1** and apply E<sub>2</sub> mapping to get

$$l^{(2)} = 01001110 = 78$$

Condition for

$$l^{(2)} = 10010111 = 151$$

E<sub>3</sub>-mapping.

So, increment **Scale 3 = 1** and apply E<sub>3</sub> mapping

$$l^{(2)} = 00011100 = 28$$

$$l^{(2)} = 10101111 = 175$$

05.03.2020

Eg:  $A = \{a_1, a_2, a_3\}$  Encode the sequence  $a_1, a_3, a_2, a_1$

$$\text{Count}(1) = 40$$

$$\text{cum-count}(0) = 0$$

$$\text{Count}(2) = 1$$

$$\text{cum-count}(1) = 40$$

$$\text{count}(3) = 9$$

$$\text{cum-count}(2) = 41$$

$$\text{Total-count} = 50$$

$$\text{cum-count}(3) = 50$$

$$\text{scale 3} = 0$$

Word length decided to be  $m=8$

$$l^{(0)} = 00000000 = 0$$

$$l^{(0)} = 11111111 = 255$$

None of the mappings are applicable. So, we go on encoding the next symbol i.e.,

$$Q^{(3)} = Q^{(2)} + \left\lfloor \frac{(Q^{(2)} - L^{(2)} + 1) \times \text{cum\_count}(1)}{\text{Total\_count}} \right\rfloor$$

$$= 28 + \left\lfloor \frac{(175 - 28 + 1) \times 40}{50} \right\rfloor$$

$$= 28 + \left\lfloor \frac{148 \times 4}{5} \right\rfloor = 146 = 10010010$$

$$U^{(3)} = Q^{(2)} + \left\lfloor \frac{(Q^{(2)} - L^{(2)} + 1) \times \text{cum\_count}(2)}{\text{Total\_count}} \right\rfloor - 1$$

$$= 28 + \left\lfloor \frac{148 \times 41}{50} \right\rfloor = 148 = 10010100$$

$$Q^{(3)} = 10010010$$

$$U^{(3)} = 10010100$$

Condition for  $E_2$ -mapping. So,

**SEND 1**

Since  $\text{scale}_3 = 1$ , we **SEND 0**, decrement **scale\_3 = 0** and apply

$E_2$ -mapping to set,

$$Q^{(3)} = 00100100 = 36$$

$$U^{(3)} = 00101001 = 41$$

Condition for  $E_1$  mapping. So, **SEND 0** and apply  $E_1$  mapping to get,

$$Q^{(3)} = 01001000 = 72$$

$$U^{(3)} = 01010011 = 83$$

Condition for  $E_1$  mapping. So, **SEND 0** and apply  $E_1$  mapping to get,

$$Q^{(3)} = 10010000 = 144$$

$$U^{(3)} = 10100111 = 167$$

Condition for  $E_2$  mapping. So, **SEND 1** and apply  $E_2$  mapping

$$Q^{(3)} = 00100000 = 32$$

$$U^{(3)} = 01001111 = 79$$

Condition for  $E_1$  mapping. So, SEND 0 and apply  $E_1$  mapping

$$Q^{(3)} = 01000000 = 64$$

$$U^{(3)} = 10011111 = 159$$

Conditions for  $E_3$  mapping. So, increment Scale 3 = 1 and apply  $E_3$  mapping

$$Q^{(3)} = 00000000 = 0$$

$$U^{(3)} = (01)1111 = 191$$

None of the mappings are applicable. So, we go on encoding the next symbol ie,  $a_1$ .

$$Q^{(4)} = Q^{(3)} + \left\lfloor \frac{(U^{(3)} - Q^{(3)} + 1) \times \text{num\_count}(0)}{\text{Total\_count}} \right\rfloor$$

$$= 0 + \left\lfloor \frac{(191 - 0 + 1) \times 0}{50} \right\rfloor = 0$$

$$Q^{(4)} = Q^{(3)} + \left\lfloor \frac{(U^{(3)} - Q^{(3)} + 1) \times \text{num\_count}(1)}{\text{Total\_count}} \right\rfloor - 1$$

$$= 0 + \left\lfloor \frac{(191 - 0 + 1) \times 48}{50} \right\rfloor - 1$$

$$= 152$$

$$Q^{(4)} = 0 = 00000000$$

$$U^{(4)} = 152 = 10011000$$

None of the mappings are applicable. So, we go on encoding but there are no symbols. So, we stop and send the current status of tag value.

Here, we send  $Q^{(4)}$ .

SEND 0 SEND 1 Scale 3 = 0

SEND 00000000

11000100 00000000

→ choose the initial 8 bits as the tag value



Decoder implementation

$$n = 8$$

$$l^{(0)} = 00000000 = 0$$

$$u^{(0)} = 11111111 = 255$$

$$tag = 11000100 = 196$$

$$l^{(1)} = l^{(0)} + \left\lfloor \frac{(l^{(0)} - l^{(0)} + 1) \times \text{cum-count}(x_0 - 1)}{\text{Total-count}} \right\rfloor$$

$$u^{(1)} = l^{(0)} + \left\lfloor \frac{(u^{(0)} - l^{(0)} + 1) \times \text{cum-count}(x)}{50} \right\rfloor - 1$$

$$\left\lfloor \frac{256 \times \text{cum-count}(x-1)}{50} \right\rfloor \leq 196 < \left\lfloor \frac{256 \times \text{cum-count}(x)}{50} \right\rfloor - 1$$

$$x=1, \quad 0 \leq 196 < \left\lfloor \frac{256 \times 4}{5} \right\rfloor - 1 = 203 \quad \checkmark$$

Decode  $a_1$

$$l^{(1)} = 00000000$$

$$u^{(1)} = 11001011$$

None of the mappings are applicable. So, we go on decoding the next symbol.

$$l^{(2)} = l^{(1)} + \left\lfloor \frac{(l^{(1)} - l^{(1)} + 1) \times \text{cum-count}(x-1)}{\text{Total-count}} \right\rfloor$$

$$= 0 + \left\lfloor \frac{(203 - 0 + 1) \times \text{cum-count}(x-1)}{50} \right\rfloor$$

$$l^{(2)} = 0 + \left\lfloor \frac{204 \times \text{cum-count}(x)}{50} \right\rfloor - 1$$

$$\left\lfloor \frac{204 \times \text{cum-count}(x-1)}{50} \right\rfloor \leq 196 < \left\lfloor \frac{204 \times \text{cum-count}(x)}{50} \right\rfloor - 1$$

$$x=1, \quad 0 \leq 196 < 162 \quad \times$$

$$x=2, \quad 163 \leq 196 < 166 \quad \times$$

$$x=3, \quad 167 \leq 196 < 203 \quad \checkmark$$

Decode  $a_3$

$$l^{(2)} = 167 = (10100111)_2$$

$$u^{(2)} = 203 = (11001011)_2$$

Condition for  $E_2$  mapping. So, pushout the MSB from the tag and bring in the next received bit.

$$\text{Tag} = 10001001 = 137$$

Push out the MSB.

$$l^{(2)} = (01001110)_2 = 78$$

$$u^{(2)} = (1001011)_2 = 151$$

Condition for  $E_3$  mapping,

$$l^{(2)} = (00011100)_2 = 28$$

$$u^{(2)} = (1010111)_2 = 175$$

$$\text{tag} = (10010010)_2 = 146$$

None of the mappings are applicable. So, we go on decode the next symbol.

$$l^{(3)} = 28 + \left\lfloor \frac{(175-28+1) \times \text{num-count}(x-1)}{50} \right\rfloor$$

$$= 28 + \left\lfloor \frac{148 \times \text{num-count}(x-1)}{50} \right\rfloor$$

$$u^{(3)} = 28 + \left\lfloor \frac{148 \times \text{num-count}(x)}{50} \right\rfloor - 1$$

$$28 + \left\lfloor \frac{148 \times \text{num-count}(x-1)}{50} \right\rfloor \leq 146 < 28 + \left\lfloor \frac{148 \times \text{num-count}(x)}{50} \right\rfloor - 1$$

$$\left\lfloor \frac{148 \times \text{num-count}(x-1)}{50} \right\rfloor \leq 118 < \left\lfloor \frac{148 \times \text{num-count}(x)}{50} \right\rfloor - 1$$

$$x=1, \quad 0 \leq 118 < 117 \quad \times$$

$$x=2, \quad 118 \leq 118 < 120 \quad \checkmark$$



Decode  $a_2$

$$l^{(3)} = 146 = (01110110)_2$$

$$l^{(3)} = 146 = (10010010)_2$$

$$l^{(3)} = 148 = (10010100)_2$$

Condition for  $E_2$ ,

$$\text{tag} = 00100100 = 36$$

$$l^{(3)} = (00100100)_2 = 36$$

$$l^{(3)} = (00101001)_2 = 41$$

Condition for  $E_1$  mapping,

$$\text{tag} = 01001000$$

$$l^{(3)} = 01001000$$

$$l^{(3)} = 01010011$$

Condition for  $E_1$  mapping,

$$\text{tag} = 10010000$$

$$l^{(3)} = 10010000$$

$$l^{(3)} = 10100111$$

Condition for  $E_2$  mapping,

$$\text{tag} = 00100000$$

$$l^{(3)} = 00100000$$

$$l^{(3)} = 01001111$$

Condition for  $E_1$  mapping,

$$\text{tag} = 01000000$$

$$l^{(3)} = 01000000$$

$$l^{(3)} = 10011111$$

Condition for  $E_3$  mapping,

$$\text{tag} = 10000000 - 00000000$$

complement MSB

$$l^{(3)} = (10000000)_{\text{complement}} = 00000000$$

$$l^{(3)} = 10111111 = 191$$

$$q^{(4)} = 0 + \left\lfloor \frac{(191-0+1) \text{ cum-count}(x-1)}{50} \right\rfloor$$

$$= \left\lfloor \frac{192 \times \text{cum-count}(x-1)}{50} \right\rfloor$$

$$q^{(4)} = \left\lfloor \frac{192 \times \text{cum-count}(x)}{50} \right\rfloor - 1$$

$$\left\lfloor \frac{192 \times \text{cum-count}(x-1)}{50} \right\rfloor \leq 0 \leq \left\lfloor \frac{192 \times \text{cum-count}(x)}{50} \right\rfloor - 1$$

$$x=1, 0 \leq 0 \leq 152 \checkmark$$

## Dictionary techniques

Dictionary : Most frequent letters/words are stored in the dictionary.

1. Venkiah
2. Data compression

Whenever we come across a pattern present in the dictionary, we send only the index of the pattern in the dictionary and not the pattern.

There are two types of dictionary techniques :

- Static dictionary
- Adaptive dictionary

Ex:  $A = \{a, b, \dots, z, ., , \dots\}$   $|A| = 32$

Source which outputs 4 letter words.

4-letter word = 20 bits

Let us assume that 256 most frequent 4-letter words are put in the dictionary.

We'll need 256 keys to represent each of the words.

8 bits are used to represent the 256 keys.

How do we know if the pattern is coming from a dictionary?

Send 4-letter words present in the dictionary and a flag bit (say 'b')

and send the corresponding index of the pattern.

If the pattern is not present, I send a flag bit (say '1') and send 20 bits corresponding to this word.

Average no. of bits required for every pattern -

let 'p' be the prob. with which we encounter a pattern from the dictionary, then

$$\text{Average no. of bits required} = qp + (1-p)21 < 20$$