

Voter Turnouts Govern Key Electoral Statistics

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Elections are central institutions in all the democratic societies. They are regarded as complex system due to varied human interactions and interests that shape their outcomes. Quantitative analysis of election data has provided insights into existence of common patterns in elections. In this work, we show that the voter turnout – the number of people who actually vote on the election day and hence indicates their faith and interest in the process – contains crucial information that can help to accurately predict several key electoral statistics. Using empirical election data from 12 countries spanning multiple decades and random voting model, we demonstrate that the distributions of votes secured by winners and runner-ups are strongly correlated with turnout distributions. The former can be predicted from the knowledge of turnout distribution. This new direction in the quantitative study of elections can provide newer ways to diagnose elections.

elections | turnouts | voteshare | model

Free and fair elections play a pivotal role in functioning democracies, ensuring that governing bodies reflect the people's mandate. Quantitative understanding of election data is essential to safeguard the integrity of electoral process, understand collective decision making by humans, and uncover universal patterns across different decades, countries and contexts.

In the last three decades, aided by the availability of extensive election data, many studies (1–6) had identified patterns in the distributions of vote shares garnered by candidates (7–10), victory margins (13–18) and voter turnouts (11, 12). However, these patterns exhibit limited universality (1, 2, 4), usually limited to some geographical regions or in some types of elections. Against this backdrop, a recent work by the authors analyzed a large corpus of election data from 34 countries spanning multiple decades and showed that a scaled distribution of the ratio M/T , where $M = V_w - V_r$ is the victory margin and T is the turnout, with V_w and V_r denoting the votes secured by the winner and runner-up, exhibits a robust universality independent of the details of the electoral processes (19). Further, turnouts play a fundamental role in driving the victory margins and, in conjunction with Random Voting Model (RVM), can accurately predict the scaled distribution of victory margins (19).

These findings naturally raise the question: Does voter turnouts contain information about other key electoral statistics? Can we recover those statistics using RVM? To explore these questions, we employ the RVM and empirical data from 12 countries to demonstrate that the voter turnout distribution $g(T)$, combined with the effective number of candidates (defined later (24)), is sufficient to accurately predict two fundamental electoral statistics: the scaled vote distributions of the winner $Q_1(V_w)$ and the runner-up $Q_2(V_r)$. This prediction holds across all electoral scales—from large parliamentary constituencies ($\sim 10^5 - 10^6$ voters) down to the smallest polling booth levels ($\sim 10^2 - 10^3$ voters). Thus, irrespective of electoral system or size, voter turnout emerges as a fundamental metric determining key electoral outcomes and offering a new diagnostic for the assessing health of elections.

1. Materials and methods

Framework: An election happens at all the N electoral units following the first-post-the-past principle (23). Let the i -th electoral unit (constituency, Congressional Dis-

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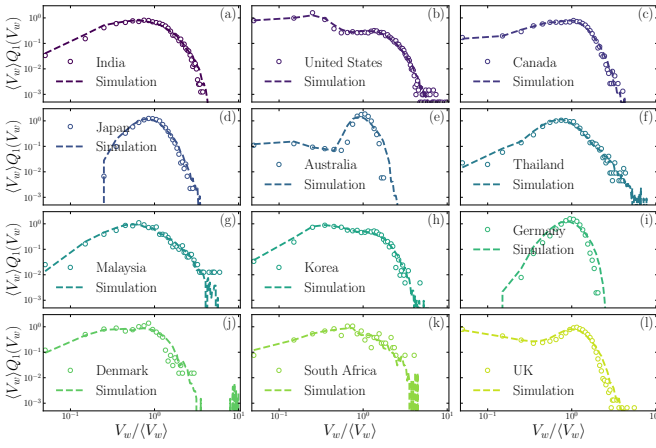


Fig. 1. Scaled distribution of the votes V_w received by the winners for 12 countries. Empirical data (circles) agrees with RVM simulations (dashed line) obtained using turnout distribution $g(T)$ as input.

trict, polling booth etc.) have c_i candidates and n_i voters, where $i = 1, 2, \dots, N$. Usually, only a fraction of the voters cast their votes. This is termed the turnout $T_i \leq n_i$, and indicates people's interest in the electoral process. Let $V_{i,1}, V_{i,2}, \dots, V_{i,c_i}$ be the votes secured by c_i candidates such that $\sum_{j=1}^{c_i} V_{i,j} = T_i$. The candidate securing most votes, $V_w = \max(V_{i,1}, V_{i,2}, \dots, V_{i,c_i})$ is declared the winner, and the candidate with the second-highest number of votes, V_r , is the runner-up.

Model: The random voting model (19), denoted by $\text{RVM}(T, c)$, is an abstraction of the election framework described above. It takes $(T = \{T_1, T_2, \dots, T_N\}, c = \{c_1, c_2, \dots, c_N\})$ as input. Then, the probability that j -th candidate in i -th electoral unit attracts electors' votes is:

$$p_{ij} = \frac{w_{ij}}{\sum_{k=1}^{c_i} w_{ik}}, \quad w_{ij} \sim \mathcal{U}(0, 1), \quad (j = 1, 2, \dots, c_i). \quad [1]$$

In this, $\mathcal{U}(0, 1)$ is the uniform distribution. This model captures the statistical features of empirical election data and the universality embedded in the election data (19).

Effective Number of Candidates: In most large elections, many candidates enter the fray but only a few corner most of the votes. To quantify this concentration in i -th electoral unit, we use the effective number of candidates (eNoC) defined as (24):

$$c_i^{\text{eff}} = \frac{1}{\sum_{k=1}^{c_i} (V_{i,k}/T_i)^2}, \quad i = 1, 2, \dots, N. \quad [2]$$

This measure reflects how many candidates are meaningfully competitive. If a single candidate receives all the votes, then $c_i^{\text{eff}} = 1$. However, if the votes are shared equally among C candidates, $c_i^{\text{eff}} = C$. Thus, Eq. 2 is a natural way to characterize the eNoC.

Data: Constituency-level data for national elections of 12 countries is obtained from CLEA (20). Polling booth level data for India and Canada are obtained from the Election Commission of India (22) and Elections Canada (21), respectively. Basic statistical features of these data sets and other processing details are given in SI (25).

2. Information embedded in the voter turnouts

Scaled vote distributions for winners $\langle V_w \rangle Q_1(V_w)$ and runners-up $\langle V_r \rangle Q_2(V_r)$ were examined using national

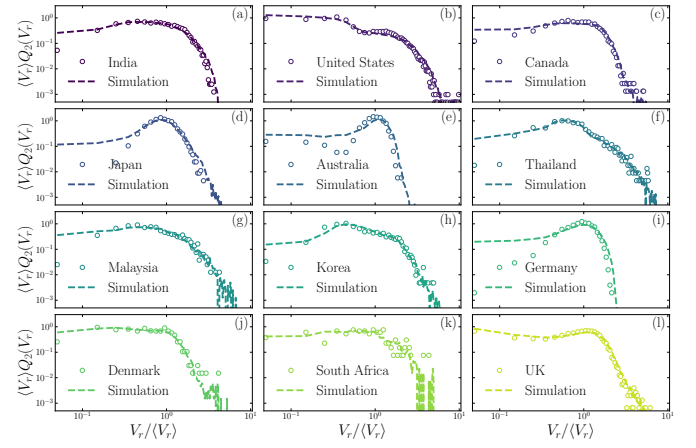


Fig. 2. Scaled distribution of the votes V_r received by the runner-up for 12 countries. Empirical election data (circles) agrees with RVM simulations (dashed line) obtained using turnout distribution $g(T)$ as input.

election data from 12 countries, each spanning multiple decades. Figure 1 displays the vote distribution for winner as a function of scaled winner votes $V_w / \langle V_w \rangle$. Although the profiles of empirical distributions (circles) vary with countries, the overall structure shows a broad support for $V_w / \langle V_w \rangle < 1$, and a rapidly decaying tails for $V_w / \langle V_w \rangle > 1$, except for Japan and Germany. Qualitatively similar feature is observed for the runner-up vote distributions plotted against $V_r / \langle V_r \rangle$ in Fig. 2. Together, Figs. 1-2 capture the representative features of two essential electoral statistics – the scaled vote distributions of the winners and the runner-ups.

A key insight emerges when these empirical profiles are compared with predictions from RVM. The RVM requires two empirical inputs: (a) the observed turnout in each electoral unit and, (b) the corresponding effective number of candidates c_i^{eff} , rounded to the closest integer. No other country-specific assumptions are imposed. With these inputs, RVM generates vote totals for all the candidates, from which the winner and runner-up distributions can be constructed. As seen in Figs. 1-2, the RVM simulations closely mimic the empirical distributions for all countries. This agreement indicates a key result: turnout data encodes quantitative information about both $Q_1(V_w)$ and $Q_2(V_r)$, and this is rich enough for the RVM to reproduce the observed profiles without additional assumptions about the underlying details of the political and electoral processes in each country.

To obtain a mathematical basis for the turnout-driven behavior observed in Figs. 1-2, analytical expressions for the vote-share distributions of winners and runners-up are derived by solving the 3-candidate random voter model in the limit of large turnout, $T \gg 1$. Complete derivation and explicit expressions for the analytical forms $Q_1(V_w)$ and $Q_2(V_r)$ are provided in the SI (25). Figure 3(a,b) shows empirical winner vote share distributions (circles) superposed on RVM simulation result (dashed line) and analytical curves (black line) for India and the United States. All three curves are in excellent agreement with one another. Figure 3(c,d) displays similar results for runner-up vote share, and an excellent agreement is observed among data, simulations and theoretical distributions. Thus, consistency across data, simulations,

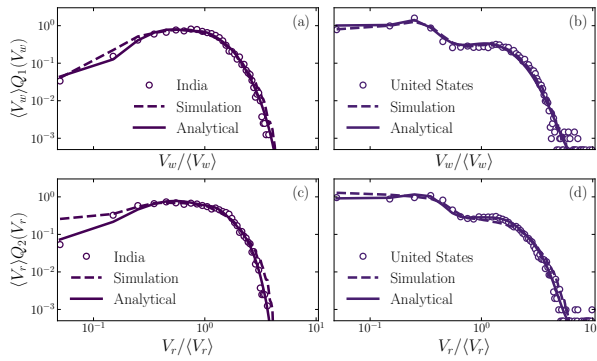


Fig. 3. Scaled distribution of the votes received by the winner and the runner-up. The analytical prediction (solid line) closely agrees with the RVM simulations (dashed line) and the empirical distributions (circles) for India and the USA.

and analytics confirms that the electoral turnout indeed determines the observed electoral statistics.

Do these results in Figs. 1-3, obtained for national elections, hold good at smaller electoral scales? To answer this question, we use parliamentary constituency (PC) and polling booth (PB) level data from India and Canada. In Canada, the average electorate size in PC and PB is $\sim 10^4$ and 10^2 , while the numbers for India are 10^5 and 10^2 – both displaying a clear separation of scales in terms of electorate size. Recall that Fig. 1-2(a,c) shows scaled distribution of winner and runner-up votes in PC level data, respectively, for India and Canada, and they are in agreement with RVM simulations. Remarkably, at PB levels too, in Fig. 4(a,c) for India and in Fig. 4(b,d) for Canada, a similar agreement is observed between the empirical distribution and RVM simulations with empirical turnout data as input. This reinforces the central result that, in fair elections, turnouts govern the statistics of winner and runner-up votes, and this feature is valid at all electoral scales.

In summary, election datasets provide insights into collective decision making by the people. The voter turnout is usually interpreted as indicating the trust and interest in the electoral processes. Going beyond this convention, we have demonstrated that the voter turnout distribution $g(T)$ encodes significant information about several crucial election statistics, namely, about (scaled) distribution of votes received by winner and runner-up. We demonstrate this using empirical data from 12 countries for multiple elections held over many decades. Further evidence comes from the use of Random Voting Model, which takes empirical turnout data and an effective number of candidates as input, and correctly predicts the empirically observed distributions. This is further strengthened by analytical solution to RVM in excellent agreement with observed data. Remarkably, all these results hold good at all electoral scales – from large constituencies/Congressional District down to small polling booth levels. Our results point to a new direction in the quantitative study of elections: electoral turnout data contains much more information than is usually attributed to it, and can provide new ways to diagnose elections. Voter turnout is not just a statistical curiosity of public interest, but an indicator of the health of fair elections. Hence, election oversight bodies across the world must report turnout data at all levels to help analyze the fairness of the process.

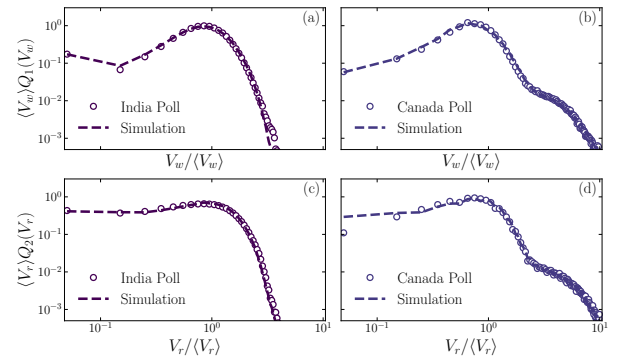


Fig. 4. Scaled distribution of the votes received by the winner and the runner-up in polling level data for India and Canada. The empirical data (circles) closely agree with the RVM simulations (dashed line) that takes turnout data as input.

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25. See Supplemental Material [URL] for (1) the description of RVM, (2) theoretical calculations for RVM and other related discussions, (3) data summary, and (4) figures.