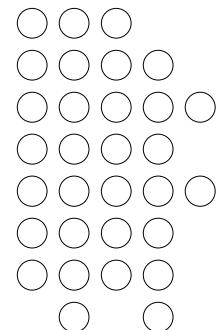


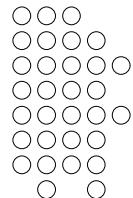
# Beam Cooling

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Chios, Greece  
September 18 □ 30, 2011



# Beam Cooling

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## Introduction

### 1. Electron Cooling

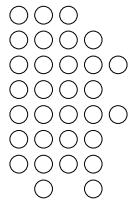
### 2. Ionization Cooling

### 3. Laser Cooling

### 4. Stochastic Cooling

# Beam Cooling

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Beam cooling is synonymous for a reduction of beam temperature  
Temperature is equivalent to terms as  
phase space volume, emittance and momentum spread

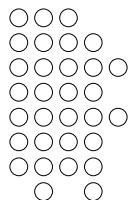
Beam Cooling processes are not following Liouville's Theorem:

'in a system where the particle motion is controlled by external conservative forces the phase space density is conserved'  
(This neglect interactions between beam particles.)

Beam cooling techniques are non-Liouvillean processes which violate the assumption of a conservative force.  
e.g. interaction of the beam particles with other particles (electrons, photons)

## Cooling Force

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**Generic (simplest case of a) Cooling Force:**

$$F_{x,y,s} = -\alpha_{x,y,s} v_{x,y,s}$$

non conservative, cannot be described by a Hamiltonian

**For a 2D subspace distribution function  $f(z, z', t)$**

$$F_z = -\alpha_z v_z \quad z = x, y, s$$

$$\frac{df(z, z', t)}{dt} = -\lambda_z f(z, z', t) \quad \lambda_z \text{ cooling (damping) rate}$$

**in a circular accelerator:**

**Transverse (emittance) cooling rate**

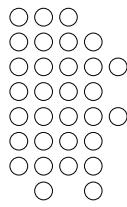
$$\epsilon_{x,y}(t_0 + t) = \epsilon_{x,y}(t_0) e^{-\lambda_{x,y} t}$$

**Longitudinal (momentum spread) cooling rate**

$$\frac{\delta p_{||}}{p_0}(t_0 + t) = \frac{\delta p_{||}}{p_0}(t_0) e^{-\lambda_{||} t}$$

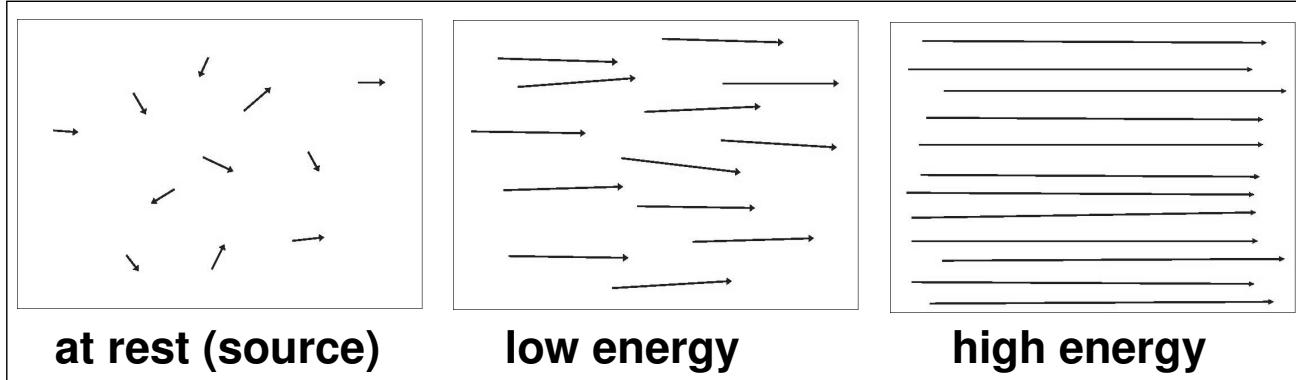
# Beam Temperature

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Where does the beam temperature originate from?

The beam particles are generated in a ~~hot~~ source

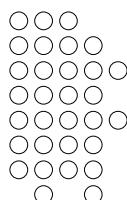


In a standard accelerator the beam temperature is not reduced  
(thermal motion is superimposed the average motion after acceleration)  
but: many processes can heat up the beam

e.g. heating by mismatch, space charge, intrabeam scattering,  
internal targets, residual gas

## Beam Temperature Definition

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Longitudinal beam temperature

$$\frac{1}{2}k_B T_{\parallel} = \frac{1}{2}mv_{\parallel}^2 = \frac{1}{2}mc^2\beta^2\left(\frac{\delta p_{\parallel}}{p}\right)^2$$

Transverse beam temperature

$$\frac{1}{2}k_B T_{\perp} = \frac{1}{2}mv_{\perp}^2 = \frac{1}{2}mc^2\beta^2\gamma^2\theta_{\perp}^2 \quad \theta_{\perp} = \frac{v_{\perp}}{\beta c}, \quad \theta_{\perp}(s) = \sqrt{\frac{\epsilon}{\beta_{\perp}(s)}}$$

Distribution function

$$f(v_{\perp}, v_{\parallel}) \propto \exp\left(-\frac{mv_{\perp}^2}{2k_B T_{\perp}} - \frac{mv_{\parallel}^2}{2k_B T_{\parallel}}\right)$$

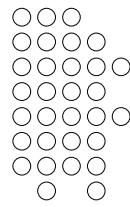
Particle beams can be anisotropic:  $k_B T_{\parallel} \neq k_B T_{\perp}$

e.g. due to laser cooling or distribution of electron beam

Don't confuse: beam energy  $\leftrightarrow$  beam temperature  
(e.g. a beam of energy 100 GeV can have a temperature of 1 eV)

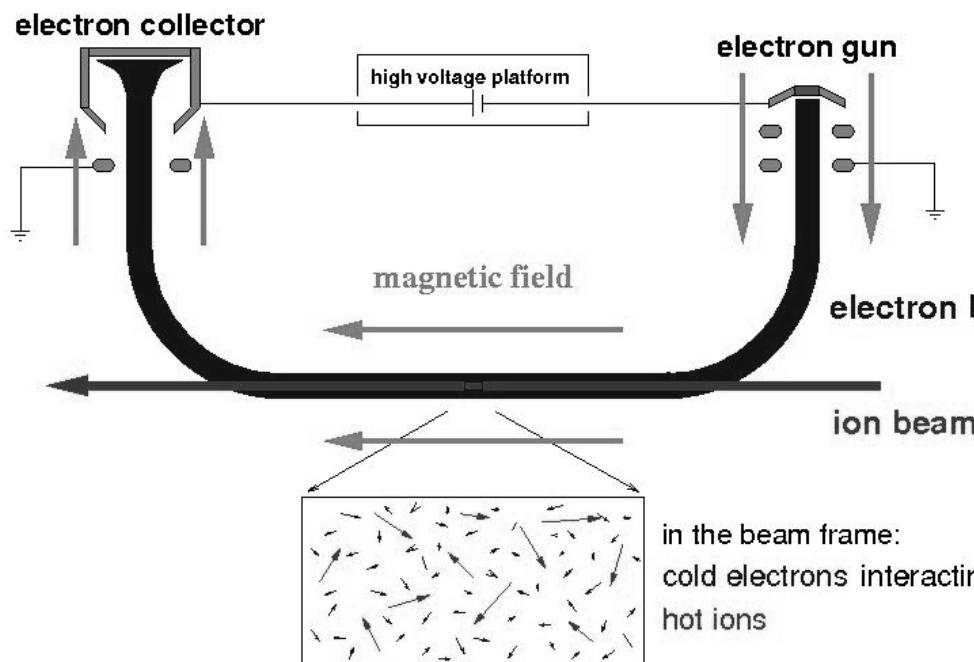
# Benefits of Beam Cooling

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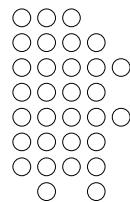


- Improved beam quality
  - Precision experiments
  - Luminosity increase
- Compensation of heating
  - Experiments with internal target
  - Colliding beams
- Intensity increase by accumulation
  - Weak beams from source can be increased
  - Secondary beams (antiprotons, rare isotopes)

## 1. Electron Cooling



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$$v_{e//} = v_{i//}$$
$$E_e = m_e / M_i E_i$$

e.g. :220 keV electrons cool 400 MeV/u ions

electron temperature

$$k_B T_{\perp} \approx 0.1 \text{ eV}$$
$$k_B T_{//} \approx 0.1 - 1 \text{ meV}$$

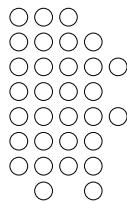
in the beam frame:  
cold electrons interacting with  
hot ions

**superposition of a cold intense electron beam with the same velocity**

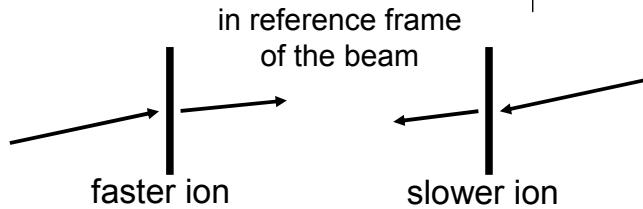
**momentum transfer by Coulomb collisions**  
**cooling force results from energy loss in the co-moving gas of free electrons**

# Simple Derivation of Electron Cooling Force

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Analogy: energy loss in matter  
(electrons in the shell)



Rutherford scattering:  $2 \tan\left(\frac{\theta}{2}\right) = \frac{2Z_1 Z_2 e^2}{4\pi\epsilon_0 \Delta p v b}$     $Z_1 = Q$  (ion),  $Z_2 = -1$  (electron)

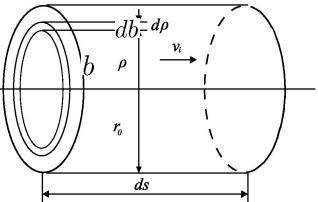
Energy transfer:  $\Delta E(b) = \frac{(\Delta p)^2}{2m_e} \simeq \frac{2Q^2 e^4}{(4\pi\epsilon_0)^2 m_e v^2} \frac{1}{b^2}$  (for  $b \gg b_{min}$ )

Minimum impact parameter:  $b_{min} = \frac{Qe^2}{(4\pi\epsilon_0)^2 m_e v^2}$  from:  $\Delta E(b_{min}) = \Delta E_{max} \simeq m_e v^2$

Energy loss:

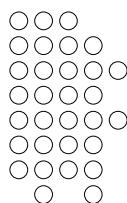
$$-\frac{dE}{dx} = 2\pi \int_{b_{min}}^{b_{max}} b n_e \Delta E db = \frac{4\pi Q^2 e^4}{(4\pi\epsilon_0)^2 m_e v^2} n_e \ln \frac{b_{max}}{b_{min}}$$

Coulomb logarithm  $L_C = \ln(b_{max}/b_{min}) \approx 10$  (typical value)



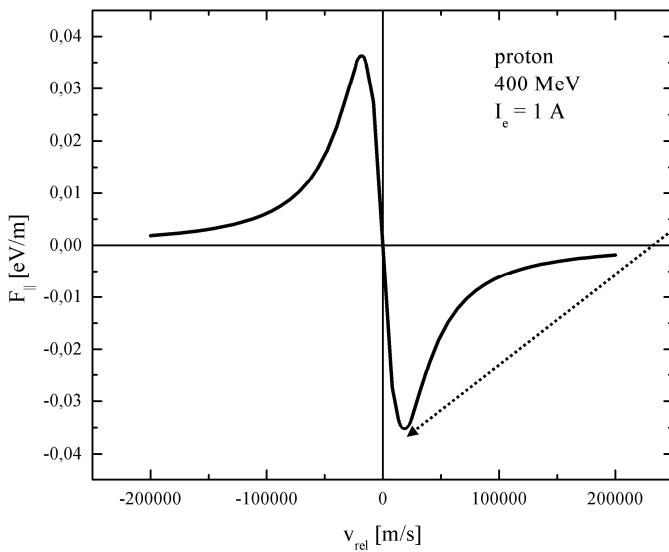
## Characteristics of Electron Cooling Force

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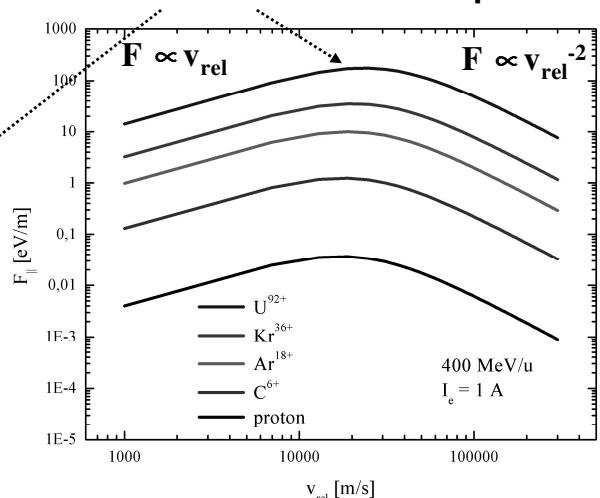


$$\vec{F}(\vec{v}_i) = -\frac{4\pi Q^2 e^4 n_e}{(4\pi\epsilon_0)^2 m_e} \int L_C(\vec{v}_{rel}) f(\vec{v}_e) \frac{\vec{v}_{rel}}{v_{rel}^3} d^3 \vec{v}_e$$

$$\vec{v}_{rel} = \vec{v}_i - \vec{v}_e$$

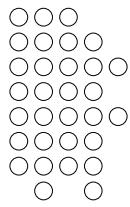


**cooling force  $F$**   
**for small relative velocity:**  $\propto v_{rel}$   
**for large relative velocity:**  $\propto v_{rel}^{-2}$   
**increases with charge:**  $\propto Q^2$   
**maximum of cooling force**  
**at effective electron temperature**



# Electron Cooling Time

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**first estimate:**  $\tau = \frac{3}{8\sqrt{2\pi}n_e Q^2 r_e r_i c L_C} \left( \frac{k_B T_e}{m_e c^2} + \frac{k_B T_i}{m_i c^2} \right)^{3/2}$

## for large relative velocities

**cooling time**  $\tau_z \propto \frac{A}{Q^2} \frac{1}{n_e \eta} \beta^3 \gamma^5 \theta_z^3$   $\left\{ \begin{array}{l} \theta_{x,y} = \frac{v_{x,y}}{\gamma \beta c} \\ \theta_{||} = \frac{v_{||}}{\gamma \beta c} \end{array} \right.$

### cooling rate:

- slow for hot beams  $\propto \theta^3$
- decreases with energy  $\propto \gamma^{-2}$  ( $\beta \gamma \theta$  is conserved)
- linear dependence on electron beam intensity  $n_e$  and cooler length  $\eta F L_{ec}/C$
- favorable for highly charged ions  $Q^2/A$
- independent of hadron beam intensity

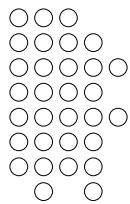
## for small relative velocities

cooling rate is constant and maximum at small relative velocity

$$F \propto v_{rel} \Rightarrow \tau = \Delta t = p_{rel}/F = \text{constant}$$

# Models of the Electron Cooling Force

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### binary collision model

description of the cooling process by successive collisions of two particles and integration over all interactions

analytic expressions become very involved, various regimes  
(multitude of Coulomb logarithms)

### dielectric model

interaction of the ion with a continuous electron plasma  
(scattering off of plasma waves)

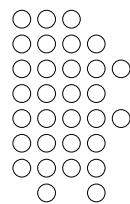
fails for small relative velocities and high ion charge

a simple empiric formula (Parkhomchuk):

$$\vec{F} = -4 \frac{n_e}{m_e} \frac{(Qe^2)^2}{(4\pi\epsilon_0)^2} \ln \left( \frac{b_{max} + b_{min} + r_c}{b_{min} + r_c} \right) \frac{\vec{v}_{ion}}{(v_{ion}^2 + v_{eff}^2)^{3/2}}$$

$$b_{min} = \frac{Qe^2/4\pi\epsilon_0}{m_e v_{ion}^2}; \quad b_{max} = \frac{v_{ion}}{\max(\omega_{pe}, 1/T_{cool})}, \quad v_{eff}^2 = v_{e,||}^2 + v_{e,\perp}^2$$

# Electron Beam Properties



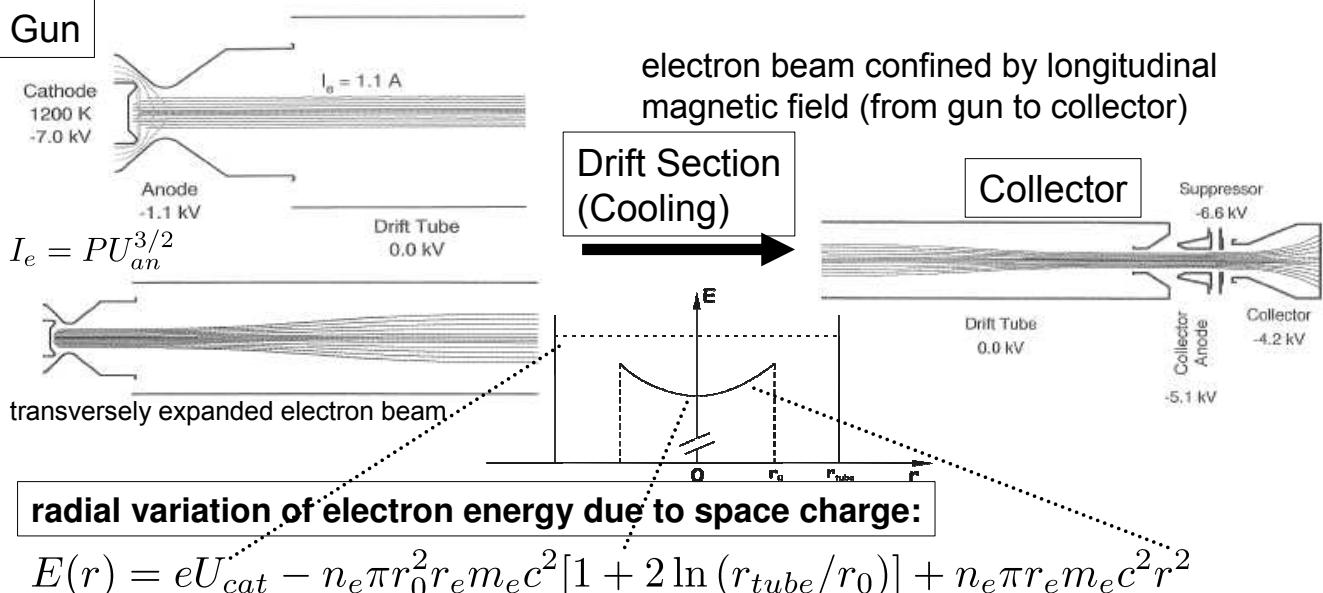
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electron beam temperature

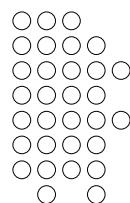
transverse  $k_B T_{\perp} = k_B T_{cat}$ , with transverse expansion ( $\propto B_c/B_{gun}$ )

longitudinal  $k_B T_{\parallel} = (k_B T_{cat})^2/4E_0 \ll k_B T_{\perp}$  lower limit :  $k_B T_{\parallel} \geq 2e \frac{n_e^{1/3}}{4\pi\epsilon_0}$

typical values:  $k_B T_{\perp} \approx 0.1 \text{ eV (1100 K)}$ ,  $k_B T_{\parallel} \approx 0.1 - 1 \text{ meV}$



## Electron Motion in Longitudinal Magnetic Field



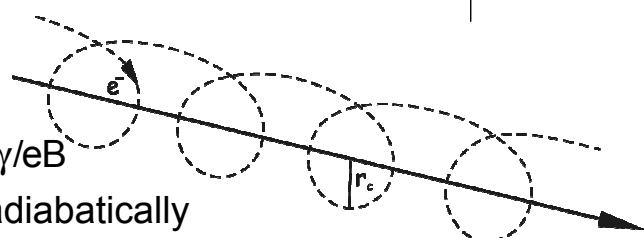
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single particle cyclotron motion

cyclotron frequency  $\omega_c = eB/\gamma m_e$

cyclotron radius  $r_c = v_{\perp}/\omega_c = (k_B T_{\perp} m_e)^{1/2} \gamma/eB$

electrons follow the magnetic field line adiabatically



important consequence: for interaction times long compared to the cyclotron period the ion does not sense the transverse electron temperature

**magnetized cooling (  $T_{eff} \approx T_{\parallel} \ll T_{\perp}$  )**

### electron beam space charge:

transverse electric field + B-field  $\Rightarrow$  azimuthal drift  $v_{azi} = r\omega_{azi} = r \frac{2\pi r_e n_e c^2}{\gamma \omega_c}$   
 $\Rightarrow$  electron and ion beam should be centered

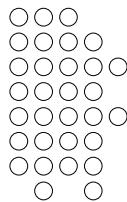
Favorable for optimum cooling (small transverse relative velocity):

high parallelism of magnetic field lines  $\Delta B_{\perp}/B_0$

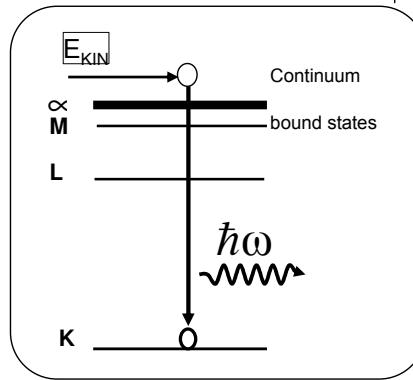
large beta function (small divergence) in cooling section

# Imperfections and Limiting Effects in Electron Cooling

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**technical and physical issues:**  
 ripple of accelerating voltage  
 magnetic field imperfections  
 beam misalignment  
 space charge of electron beam  
 and compensation



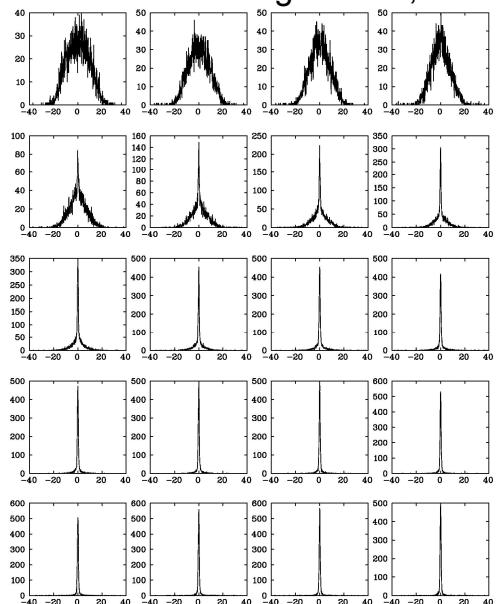
## losses by recombination (REC)

$$\text{loss rate } \tau^{-1} = \gamma^{-2} \alpha_{REC} n_e \eta$$

$$\alpha_{REC} = \frac{1.92 \times 10^{-13} Q^2}{\sqrt{k_B T}} \left( \ln \frac{5.66 Q}{\sqrt{k_B T}} + 0.196 \left( \frac{k_B T}{Q^2} \right)^{1/3} \right) [\text{cm}^3 \text{s}^{-1}]$$

## Examples of Electron Cooling

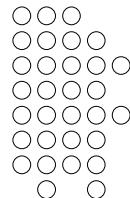
fast transverse cooling at TSR, Heidelberg



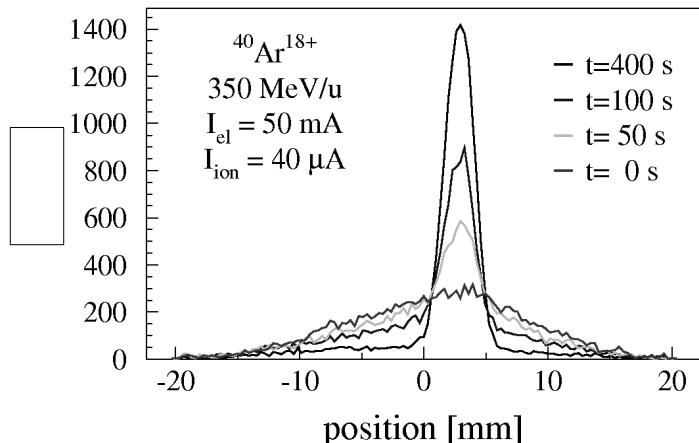
profile every 0.1 s.

measured with residual gas ionization beam profile monitor

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transverse cooling at ESR, Darmstadt



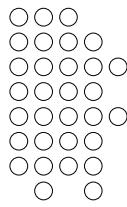
x [mm]

**cooling of 6.1 MeV/u C^{6+} ions**  
**0.24 A, 3.4 keV electron beam**  
 $n_e = 1.56 \times 10^7 \text{ cm}^{-3}$

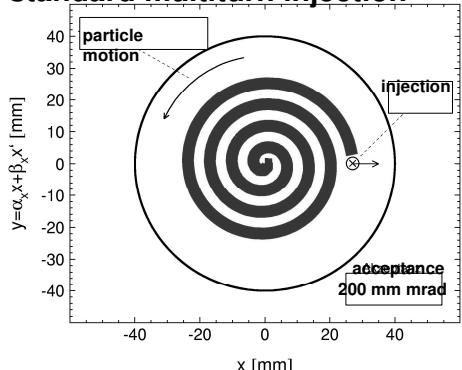
**cooling of 350 MeV/u Ar^{18+} ions**  
**0.05 A, 192 keV electron beam**  
 $n_e = 0.8 \times 10^6 \text{ cm}^{-3}$

# Accumulation of Heavy Ions by Electron Cooling

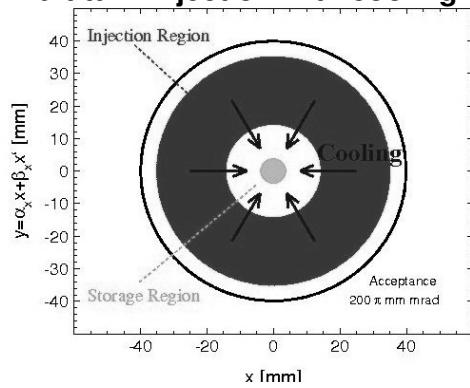
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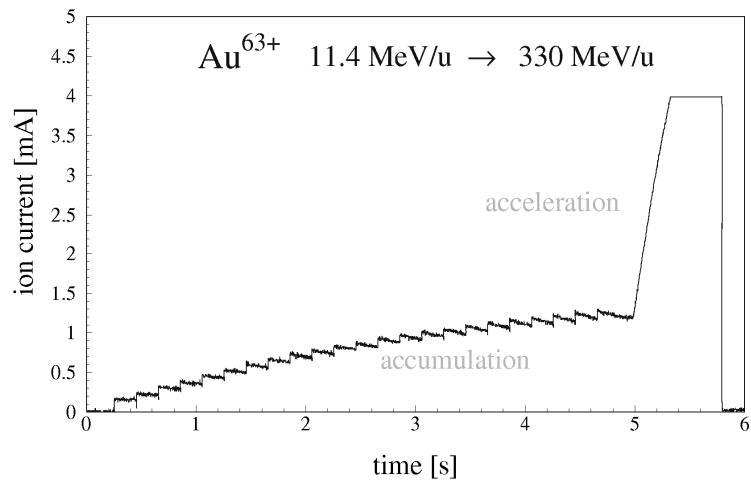
standard multturn injection



multiturn injection with cooling

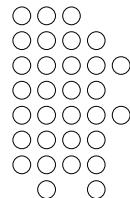


fast electron cooling of highly charged ions ( $\text{Au}^{63+}$ ) at injection energy allows accumulation with a repetition rate of 5 Hz



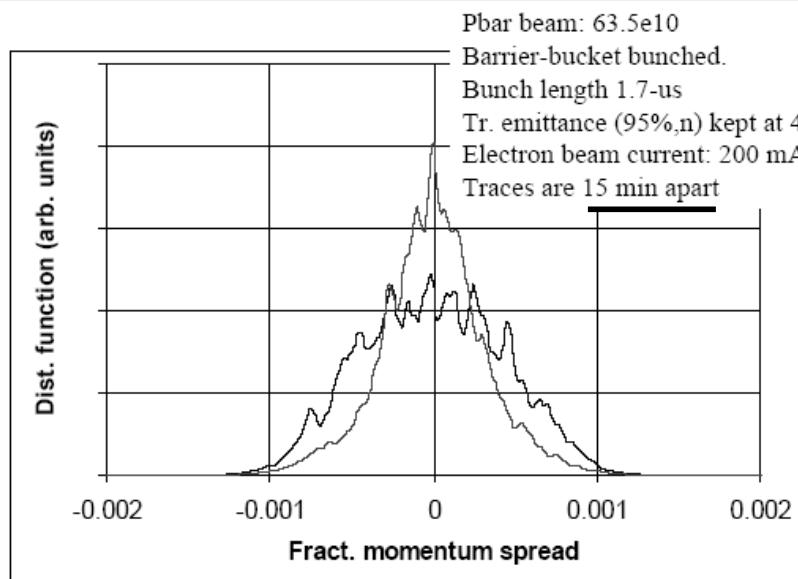
## Examples of Electron Cooling

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first high energy electron cooling of 8 GeV antiprotons  
longitudinal cooling with 0.2 A, 4.4 MeV electron beam

First e-cooling demonstration - 07/15/05



measured by detection of longitudinal Schottky noise

# Electron Cooling Systems

Low Energy: 35 keV SIS/GSI

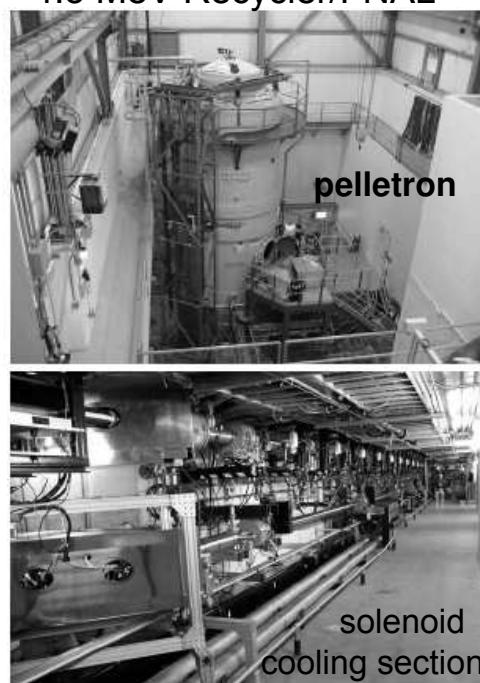


Medium Energy:  
300 keV ESR/GSI



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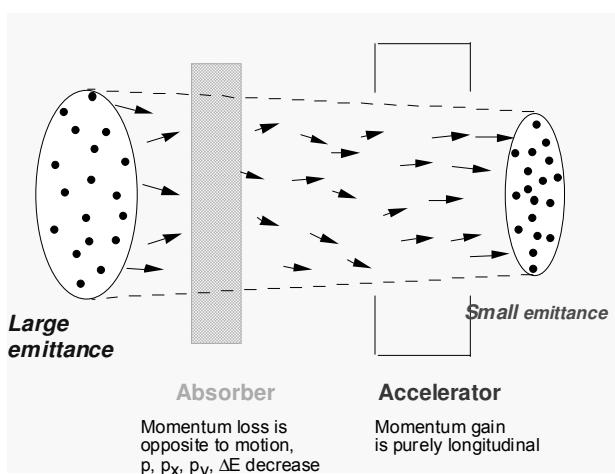
High Energy:  
4.3 MeV Recycler/FNAL



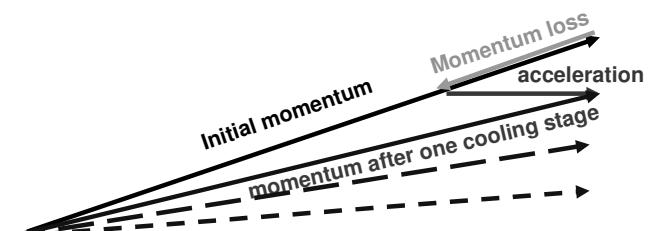
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## 2. Ionization Cooling

makes use of energy loss in matter



proposed for muon cooling



### transverse cooling

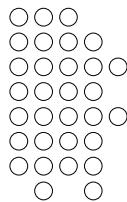
$$\begin{aligned} \frac{d\epsilon_N}{ds} &= -\frac{1}{\beta^2 E} \frac{dE}{ds} \epsilon_N + \frac{\beta \gamma \beta_\perp}{2} \frac{\langle \theta_{rms}^2 \rangle}{ds} \\ &= -\frac{1}{\beta^2 E} \frac{dE}{ds} \epsilon_N + \frac{\beta_\perp E_s^2}{2\beta^3 m_\mu c^2 L_R E} \end{aligned}$$

small  $\beta_\perp$  at absorber in order to minimize multiple scattering

large  $L_R/(dE/ds)$   $\Rightarrow$  light absorbers ( $H_2$ )

# Ionization Cooling

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**increased longitudinal cooling  
by longitudinal-transverse emittance exchange**

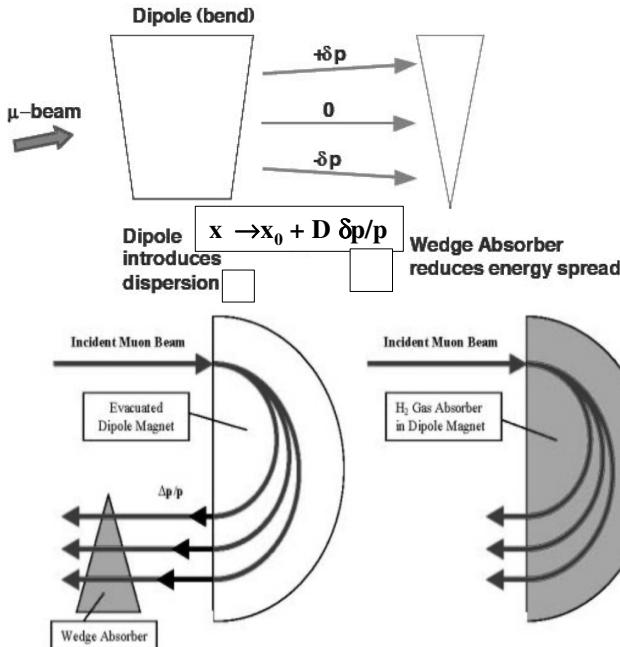


Figure 1. Use of a Wedge Absorber for Emittance Exchange

$$\frac{d\sigma_E^2}{ds} = -2 \frac{\partial(dE/ds)}{\partial E} \sigma_E^2 + \frac{d\langle \Delta E_{rms}^2 \rangle}{ds}$$

cooling term      heating term

cooling, if  $\frac{\partial(dE/ds)}{\partial E} > 0$

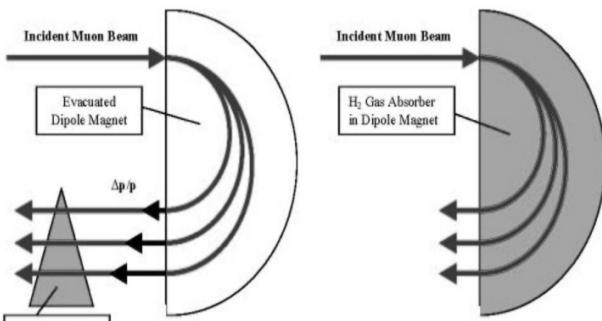


Figure 2. Use of Continuous Gaseous Absorber for Emittance Exchange

## emittance exchange

increased longitudinal cooling

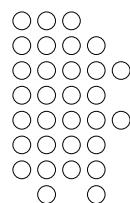
$$\frac{\partial dE}{\partial E} \Rightarrow \frac{\partial dE}{\partial E}|_0 + \frac{dE}{ds} \frac{D\rho'}{\beta c p \rho_0}$$

reduced transverse cooling

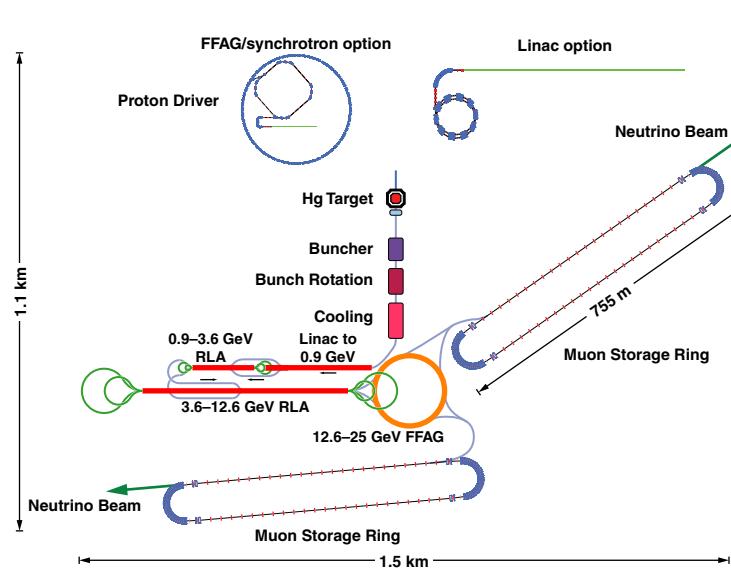
$$\frac{d\epsilon_N}{ds} = -\frac{1}{\beta^2 E} \frac{dE}{ds} \left(1 - \frac{D\rho'}{\rho_0}\right) \epsilon_N$$

## Applications of Ionization Cooling

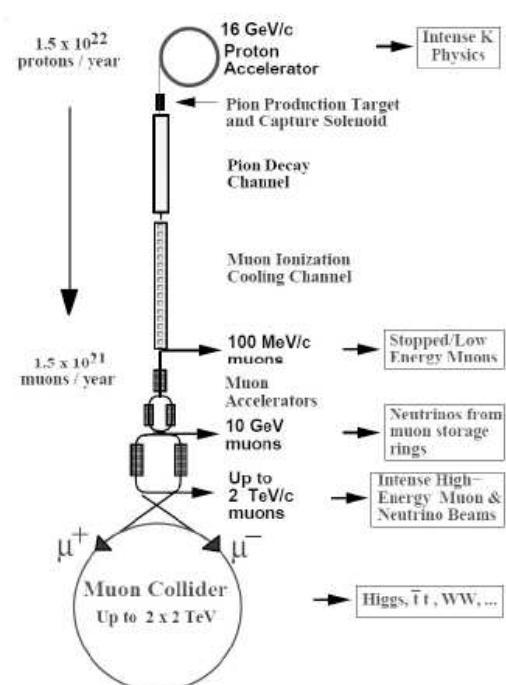
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### Neutrino Factory



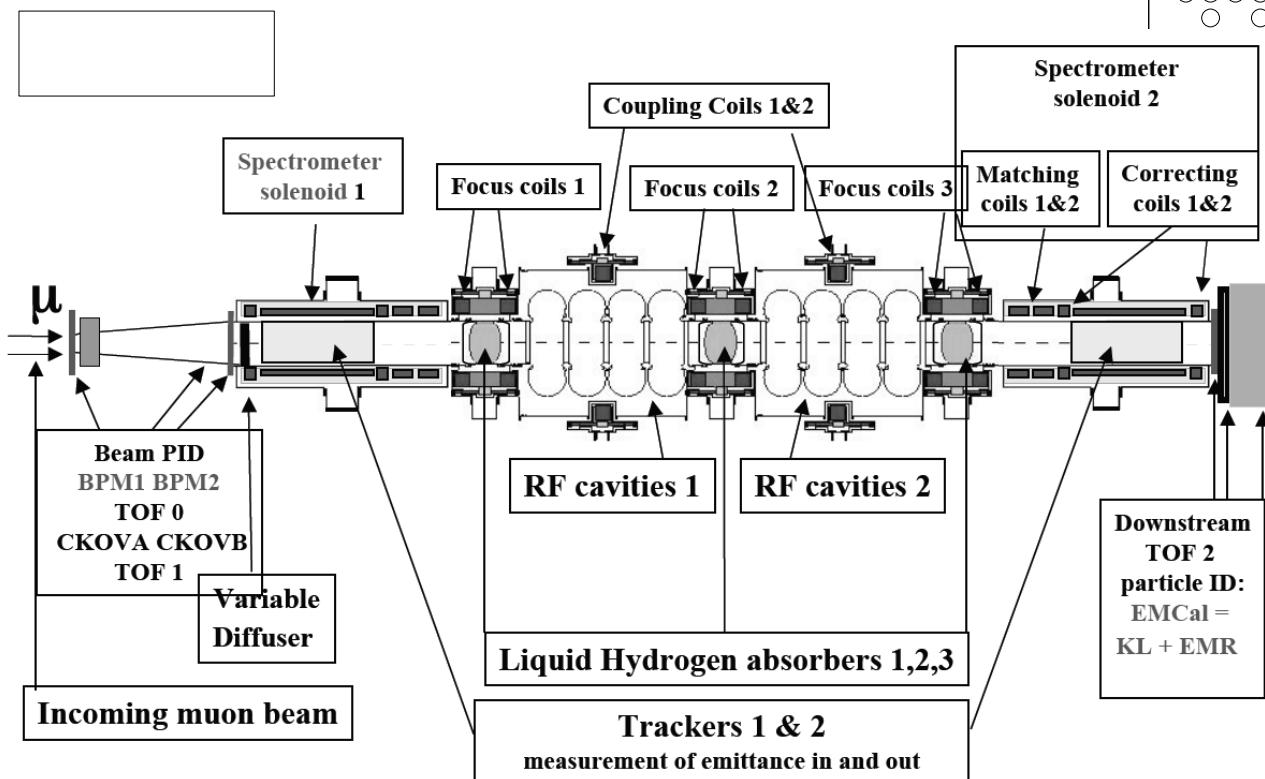
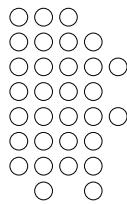
### Muon Collider



# MICE

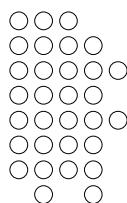
Muon Ionization Cooling Experiment at RAL

M. Steck  
CAS 2011  
Chios  
Greece

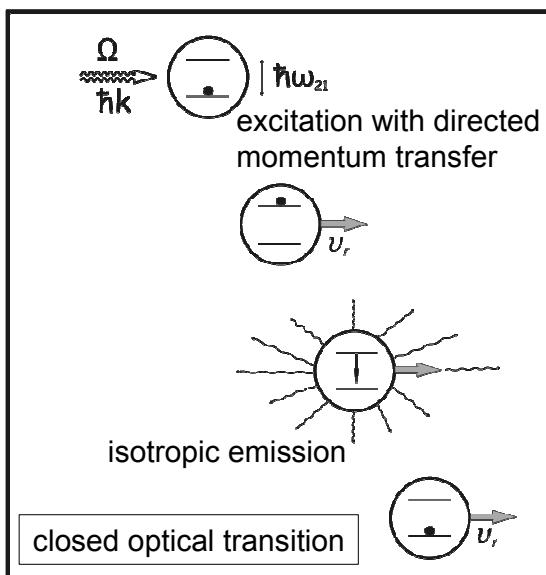


## 3. Laser Cooling

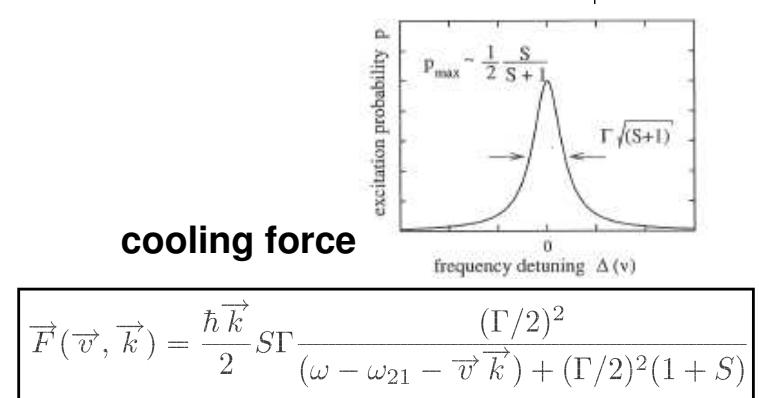
M. Steck  
CAS 2011  
Chios  
Greece



$$\Omega = \gamma\omega_{21}(1 \pm \beta \cos \theta)$$



**the directed excitation and isotropic emission result in a transfer of velocity  $v_r$**



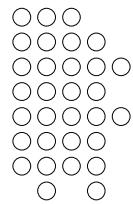
Lorentzian with width  $\Gamma/k \sim 10$  m/s  
 minimum temperature  $T_D = \frac{\hbar \Gamma}{2k_B}$  (Doppler limit)  
 typical  $10^{-5} \square 10^{-4}$  K

typical cooling time  $\sim 10 \mu s$

**only longitudinal cooling**

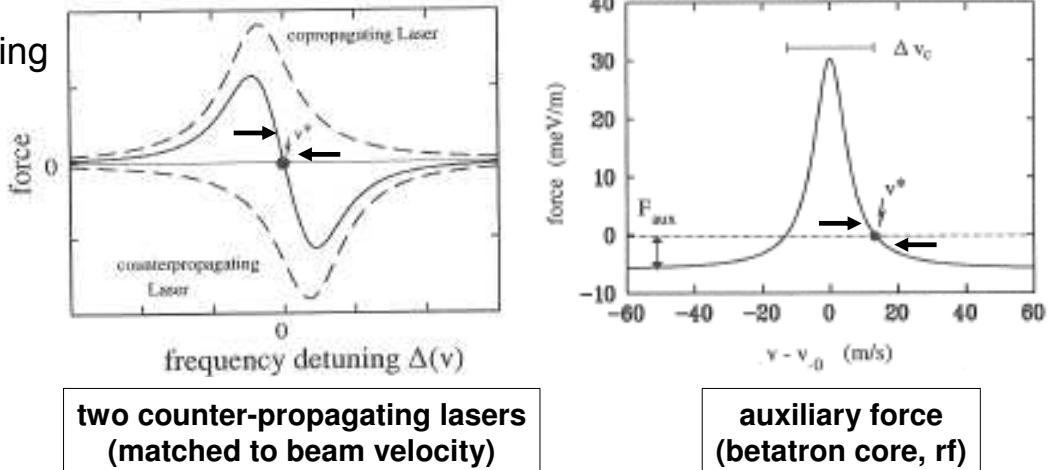
# Laser Cooling

M. Steck  
CAS 2011  
Chios  
Greece



a single laser does not provide cooling (only acceleration or deceleration)

schemes for cooling

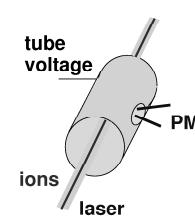
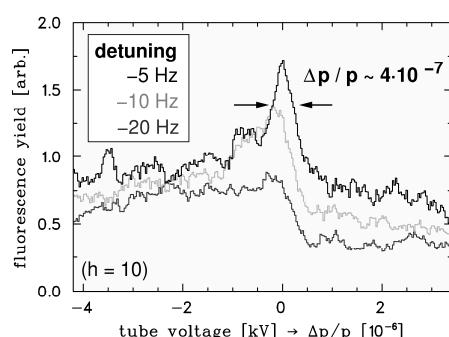
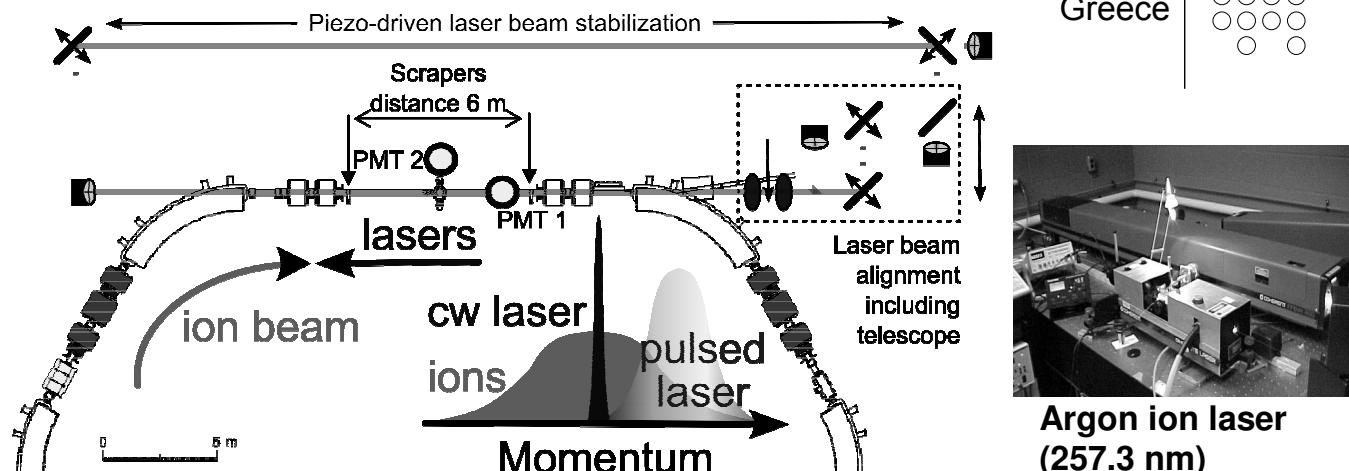
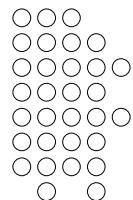


capture range of laser is limited  $\Rightarrow$  frequency sweep (snowplow)

ions studies so far:  $^7\text{Li}^{1+}$ ,  $^9\text{Be}^{1+}$ ,  $^{24}\text{Mg}^{1+}$ ,  $^{12}\text{C}^{3+}$       in future: Li-like heavy ions

## Laser Cooling at ESR

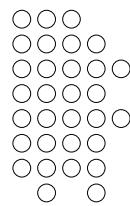
M. Steck  
CAS 2011  
Chios  
Greece



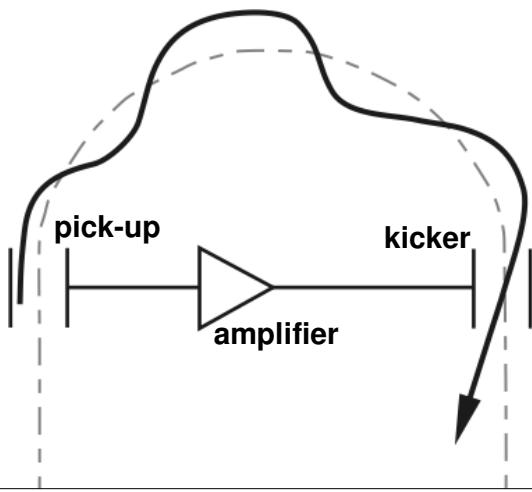
diagnostics by  
**fluorescence  
light detection**

# 4. Stochastic Cooling

M. Steck  
CAS 2011  
Chios  
Greece



First cooling method which was successfully used for beam preparation



S. van der Meer, D. Möhl, L. Thorndahl et al.

Conditions:

Betatron phase advance  
(pick-up to kicker):  $(n + \frac{1}{2})\pi$

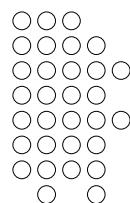
Signal travel time = time of flight of particle  
(between pick-up and kicker)

Sampling of sub-ensemble of total beam

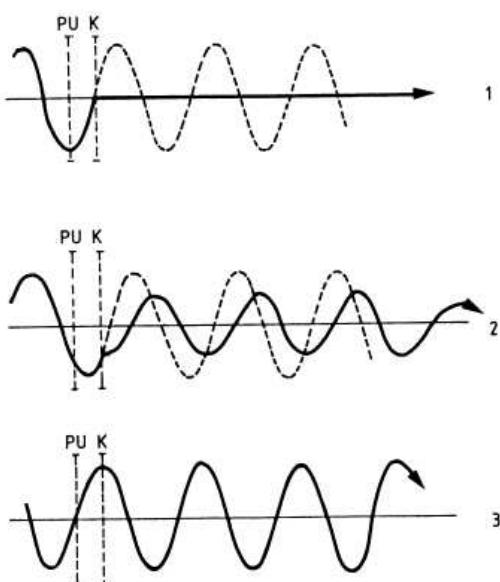
Principle of transverse cooling:  
measurement of deviation from ideal orbit  
is used for correction kick (feedback)

## Stochastic Cooling

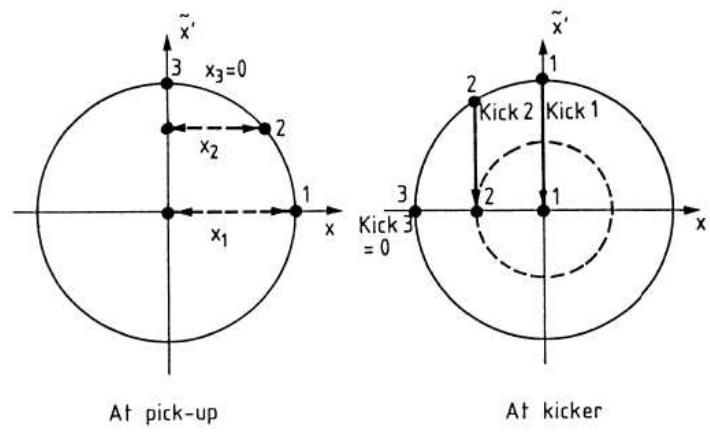
M. Steck  
CAS 2011  
Chios  
Greece



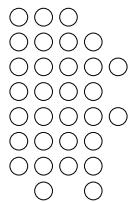
single particle betatron motion  
along storage ring  
without and with correction kick



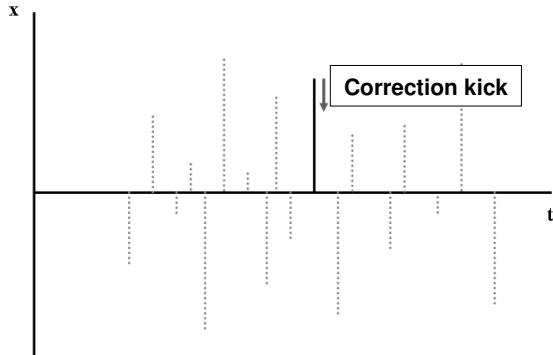
projection to two-dimensional  
horizontal phase area



# Stochastic Cooling



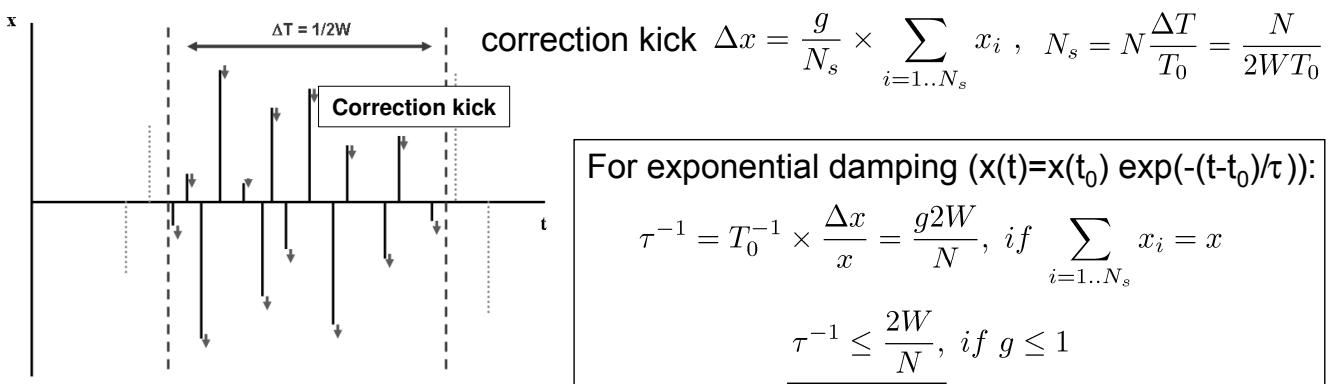
M. Steck  
CAS 2011  
Chios  
Greece



correction kick  
(unlimited resolution)

$$\Delta x = g \times x$$

Nyquist theorem: a system with a band-width  $\Delta f = W$  in frequency domain can resolve a minimum time duration  $\Delta T = 1/(2W)$



# Stochastic Cooling

M. Steck  
CAS 2011  
Chios  
Greece

**some refinements of cooling rate formula**

**noise:** thermal or electronic noise adds to beam signal

**mixing:** change of relative longitudinal position of particles due to momentum spread

$$\text{cooling rate } \lambda = \tau^{-1} = \frac{2W}{N} \left( \underline{\text{cooling}} - \underline{\text{heating}} \right)$$

M mixing factor  
U noise to signal ratio

**maximum of cooling rate**

$$\lambda_{max} = \frac{2W}{N} \frac{1}{M+U}$$

$$\frac{d\lambda}{dg} = 0 \Rightarrow g = \frac{1}{M+U}$$

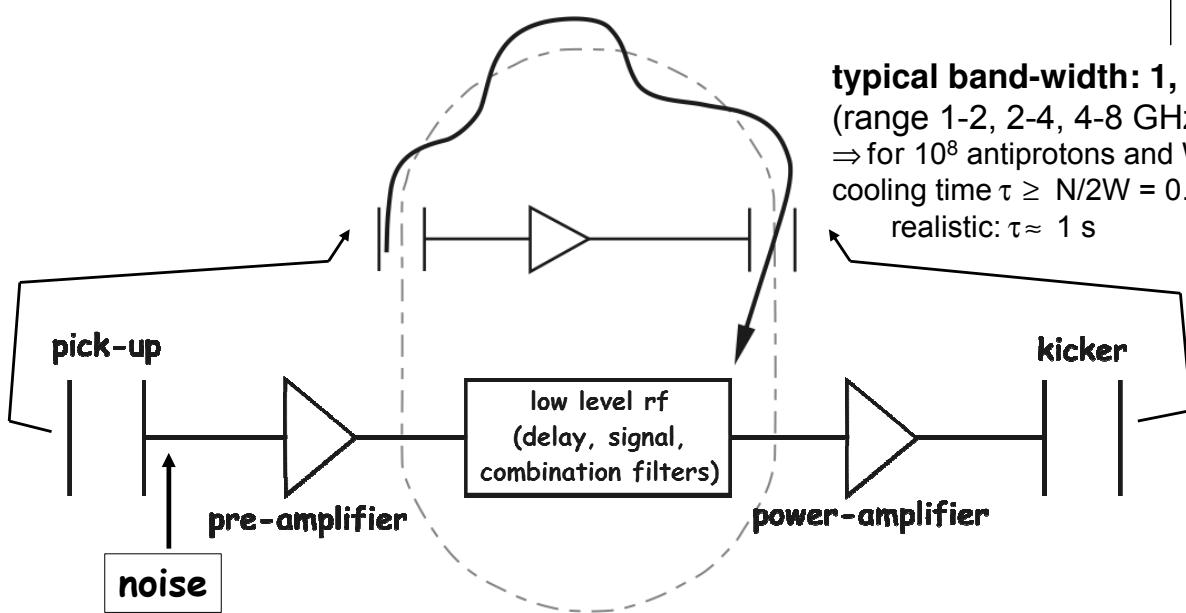
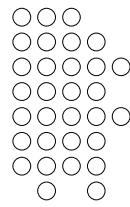
**further refinement (wanted  $\leftrightarrow$  unwanted mixing):**

with wanted mixing  $M$  (kicker to pick-up)  
and unwanted mixing  $\tilde{M}$  (pick-up to kicker)

$$\lambda = \tau^{-1} = \frac{2W}{N} (2g(1 - \tilde{M}^2) - g^2(M + U))$$

# Stochastic Cooling Circuit

M. Steck  
CAS 2011  
Chios  
Greece

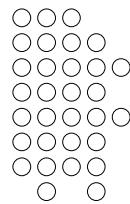


Transfer Function:

$$Z_{\text{pick-up}} \cdot G_{\text{pick-up}}(E) \cdot H(t_{\text{delay}}) \cdot F(E) \cdot G \cdot G_{\text{kicker}}(E) \cdot Z_{\text{kicker}}$$

## Longitudinal Stochastic Cooling

M. Steck  
CAS 2011  
Chios  
Greece

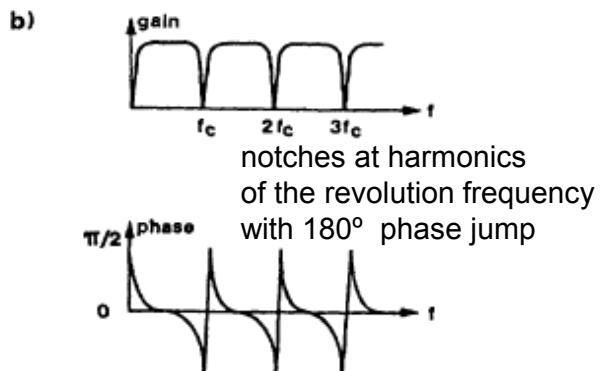
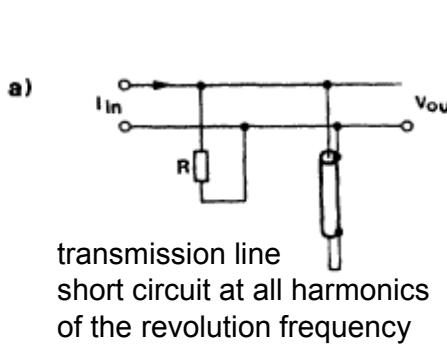


### 1) Palmer cooling

pick-up in dispersive section detects horizontal position  
⇒ correcting acceleration/deceleration kick

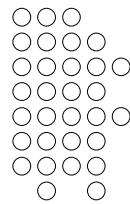
### 2) Notch filter cooling

filter creates notches at the harmonics of nominal revolution frequency  
⇒ particles are forced to circulate at the nominal frequency



# Antiproton Accumulation by Stochastic Cooling

M. Steck  
CAS 2011  
Chios  
Greece

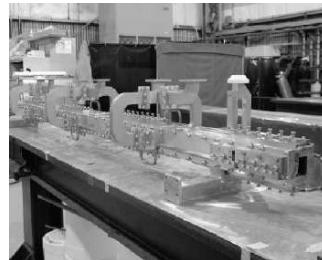


## accumulation of 8 GeV antiprotons at FNAL

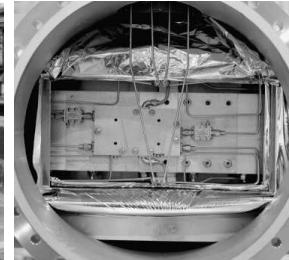
Date: 05-22-00 Time: 10:47 AM



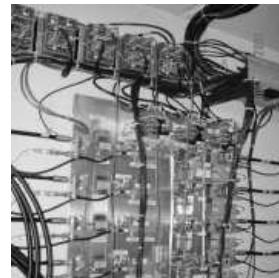
momentum distribution of accumulated antiproton beam



kicker array



cryogenic microwave amplifier



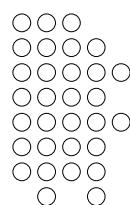
microwave electronics



power amplifiers (TWTs)

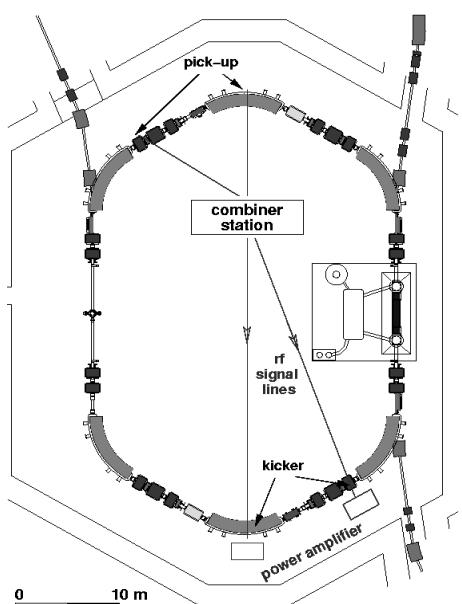
## Stochastic Cooling at GSI

M. Steck  
CAS 2011  
Chios  
Greece



### fast pre-cooling of hot fragment beams

energy 400 (-550) MeV/u  
bandwidth 0.8 GHz (range 0.9-1.7 GHz)  
 $\delta p/p = \pm 0.35\% \rightarrow \delta p/p = \pm 0.01\%$   
 $\epsilon = 10 \times 10^{-6} \text{ m} \rightarrow \epsilon = 2 \times 10^{-6} \text{ m}$



electrodes installed inside magnets



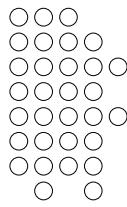
combination of signals from electrodes



power amplifiers for generation of correction kicks

# References 1 (general)

M. Steck  
CAS 2011  
Chios  
Greece



A. Chao, M. Tigner, Handbook of Accelerator Physics and Engineering, Chapter 2.8, World Scientific, Singapore, 1999

M. Minty, F. Zimmermann, Measurement and Control of Charged Particle Beams, Chapter 11, Springer Verlag, Berlin, 2003

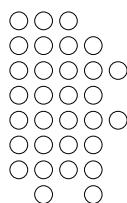
D. Möhl, Principle and Technology of Beam Cooling, CERN/PS 86-31, 1986

D. Möhl, Beam Cooling, CAS 2005, CERN 2005-04, pp.324-339

H. Danared, Beam Cooling CAS 2005, CERN 2005-06pp. 343-362

# References 2 (specialized)

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Chios  
Greece



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H. Poth, Electron Cooling, CAS 85, CERN 87-03, pp. 534-569, 1987

H. Poth, Electron Cooling: Theory, Experiment, Application, Phys. Rep. Vol. 196 Issues 3-4, p. 135-297, 1990

I. Meshkov, Electron Cooling: Status and Perspectives, Physics of Particles and Nuclei, Vol. 25, Issue 6, pp. 631-661, 1994

## Stochastic Cooling:

D. Möhl, Stochastic Cooling for Beginners, CAS 1983, CERN 84-15, pp. 97-162

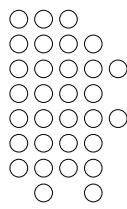
D. Möhl, Stochastic Cooling, CAS 85, CERN 87-03, pp. 453-533, 1987

S. van der Meer, Rev. Mod. Phys. Vol. 57 , No. 3 Part 1, 1985

## Laser Cooling:

E. Bonderup, Laser Cooling, CAS 1993, CERN 95-06, pp. 731-748

**Biannual Workshops on Beam Cooling:** e. g. COOL'11, Alushta, Ukraine



# Trends in Beam Cooling

Stochastic cooling was mainly developed for the production of high intensity antiproton beams for colliders (CERN, FNAL, 1970 □ 2010).

Electron cooling was and still is used in low energy storage rings (protons, ions, secondary beams (antiprotons, rare isotopes)).

First demonstration of bunched beam stochastic cooling (2008) with ions (BNL) made it also attractive for ion colliders.

Electron Cooling still is interesting for low energy storage rings, but also application at higher energies (MeV electron energies) is envisaged after the successful demonstration of the 4 MeV electron cooler at FNAL.

Other cooling methods, like muon (ionization) cooling or coherent electron cooling are under investigation, but still far from implementation in a full scale machine.