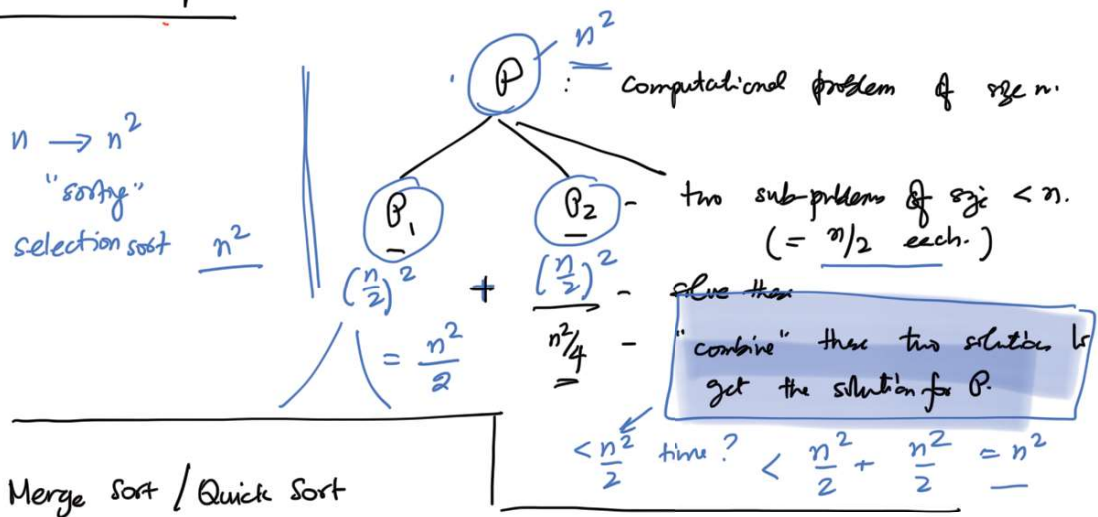
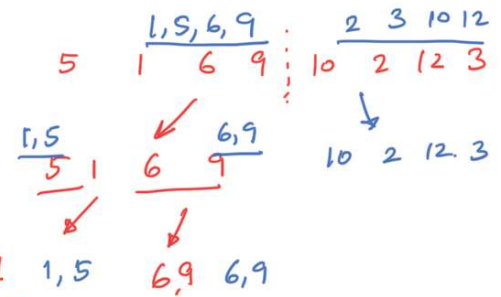
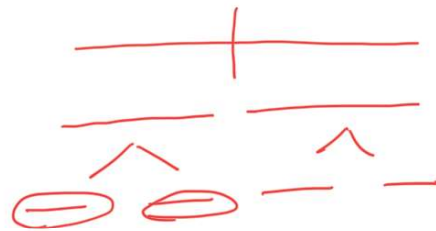


Divide and Conquer :



- Sort n elements {
- | | | | |
|------------------|-----------------|-------|--|
| MergeSort | QuickSort | (i) | Divide the input into 2 smaller inputs. |
| (i) Easy | (i) Non-trivial | (ii) | Recursively solve the two smaller inputs |
| (ii) Non-trivial | (ii) Easy | (iii) | "Combine" the two solutions. |
- }



MergeSort(A, n) { if $n=1$ ✓

$n/4$ ✓ $A_1 \leftarrow$ First Half of A

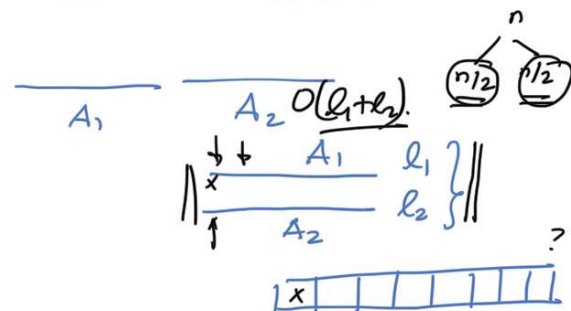
$3n/4$ ✓ $A_2 \leftarrow$ Second Half of A

MergeSort($A_1, n/2$)

MergeSort($A_2, n/2$)

$A \leftarrow$ Merge(A_1, A_2) ✓??

}

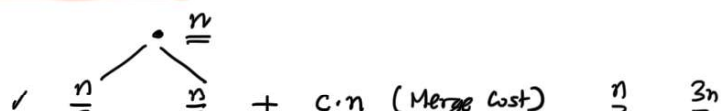


Recurrence: $T(n)$: Worst-case time to sort n elements \leftarrow

$$T(n) \leq T(n/2) + T(n/2) + C \cdot n$$

$$T(n) \leq 2T(n/2) + O(n)$$

$$O(n \log n)$$



Time

$$T(n) \leq cn \cdot \text{Height}$$

$$\leq cn \cdot \log_2 n$$

$\begin{matrix} & 2 & & 2 & & \\ & \swarrow & \searrow & \swarrow & \searrow & \\ \frac{n}{4} & & \frac{n}{4} & & \frac{n}{4} & & \frac{n}{4} \end{matrix}$
 $\begin{matrix} & & & & & & 4 & & 4 \\ & & & & & & \swarrow & \searrow & \swarrow & \searrow \\ \frac{n}{8} & & \frac{n}{8} & & \frac{n}{8} & & \frac{n}{8} & & \frac{n}{8} & & \frac{n}{8} \end{matrix}$
 \vdots
 $\rightarrow 1, 1, \dots, 1$

$$+ c \left(\frac{n}{2} \right) + c \left(\frac{n}{2} \right) = cn$$

$$c \left(\frac{n}{4} \right) \cdot 4 = cn$$

Example:

$$T(n/10) + T(9n/10)$$

$$(1) \quad T(n) \leq T(n/4) + T(3n/4) + cn$$

$$= O(n \log_{4/3} n)$$

$$\left(\frac{3}{4} \right)^i n = 1$$

$$\log_{4/3} n > \log_2 n$$

$$\log_2 n \log_{4/3} 2 > 1$$

$$\text{Height} \leq \log_{4/3} n$$

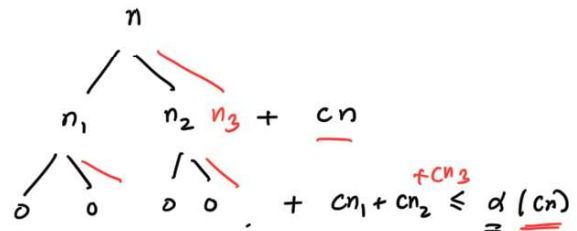
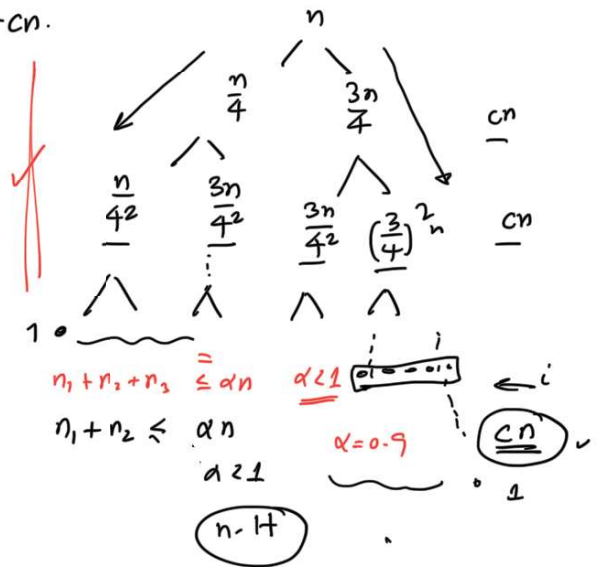
$$(2) \quad T(n) \leq T(n_1) + T(n_2) + cn$$

$$T(n) = ? \quad O(n)$$

$$cn + \alpha(cn) + \alpha^2(cn) + \dots$$

$$\frac{cn}{1-\alpha} = O(n)$$

$$T(n) \leq T(n/2) + cn$$



$$T(n) = T(n/2) + O(1) \leftarrow T(n) = O(\log n)$$

Quick Sort. (A, n) { ✓

$$x \leftarrow A[1]$$

n_1 $\{ A_1 \leftarrow$ all elements less than x

n_2 $\{ A_2 \leftarrow$ all elements larger than x

Partition $c \cdot n$

Output

$$A, x, A_2$$

$$T(n) \leq T(n_1) + T(n_2) + cn$$

$$\left[1, 2, 3, \dots, n \right]$$

$$n + (n-1) + (n-2) + \dots$$

Fix this? pick x "randomly" $\sim n^2$

Expected running time?

Diagram showing a tree structure with nodes labeled $2, 3, \dots, n$ and $n-1$, and a circle labeled $H=n$ with n^2 next to it.

$$T(n) = 2T(n/2) + O(n).$$

① Maximal points. $O(n \log n)$?

Input: set of n points on the plane

A point p is maximal

(x_p, y_p)

if there is no other point p' with $x_{p'} \geq x_p$ and $y_{p'} \geq y_p$

Report all the maximal points

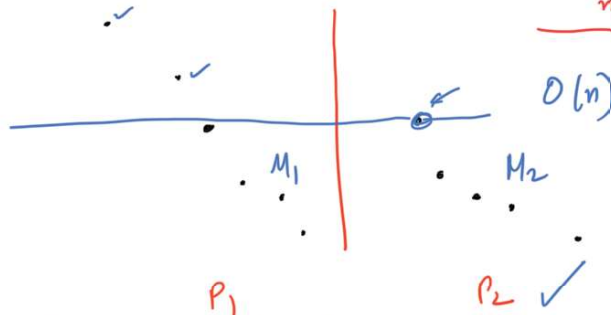
$O(n \log n)$ time?

$$T(n) = 2T(n/2) + O(n) \leftarrow$$

based on the middle x -coordinate $O(n)$

?? - P_1, P_2

Solve the problem P_1, P_2 recursively



$$T(n) \leq 2T(n/2) + O(n).$$

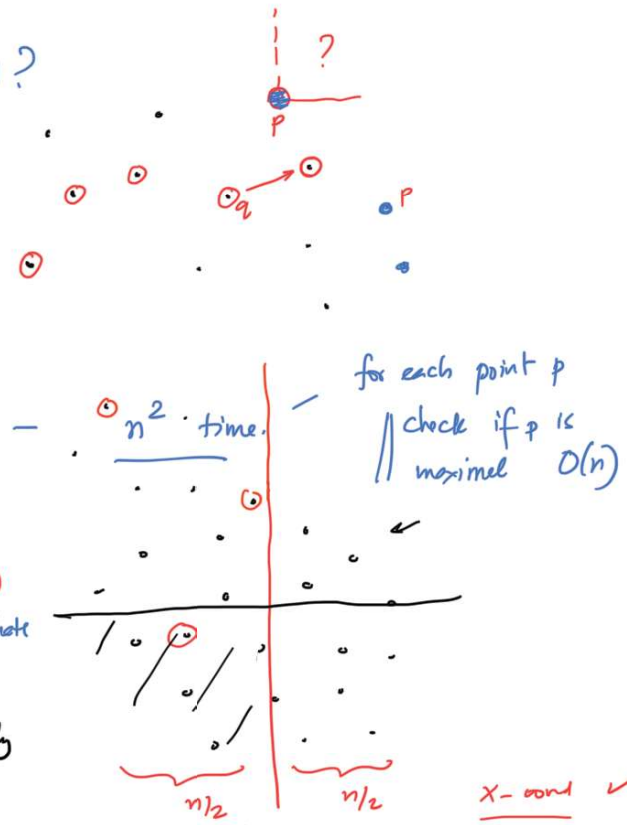
For - sort the points on x -coord. $n \log n$. y -coord. higher than q

- for each recursive call, Add M_1' to M .

we will maintain the sorted points.

Maximal (P, n) $\{$ points are in an array arranged by x -coord.

$$b \leftarrow P[n/2] \leftarrow$$



Combined, answer??

M_2 : max. pts $\leq P_2$

M_1 : max. $\leq P_1$

Add P_2 to M .

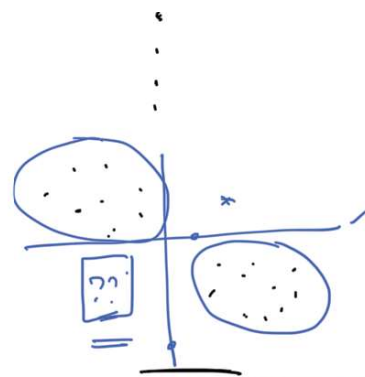
Find out the highest y -coord. in M_2

$M_1' \leftarrow$ set of points in M_1 with y -coord. higher than q

Add M_1' to M .

$$n \log n \checkmark$$

$P_1 \leftarrow$ left half of P
 $P_2 \leftarrow$ right half of P
 $M_1 \leftarrow \text{Maximal}(P_1, n/2)$
 $M_2 \leftarrow \text{Maximal}(P_2, n/2)$
 $M \leftarrow \text{Combine}(M_1, M_2)$
 return M



② Closest pair of points:

$O(n \log n)$ time?

$T(n) = 2T(n/2) + O(n)$

n points in the plane.

Find the closest pair of points.

n^2 time alg.

$\parallel (x_1, y_1) (x_2, y_2) \quad O(n^2)$
 $\parallel (x_1 - x_2)^2 + (y_1 - y_2)^2$

arrayed according to x -coord.

Divide into two parts based on the x -coord.

Closest $(P, n) \{ \leftarrow P[1] \dots P[n/2]$

$P_1 \leftarrow$ left half

$P_2 \leftarrow$ right half

$p, p' \leftarrow \text{Closest}(P_1, n/2)$

$q, q' \leftarrow \text{Closest}(P_2, n/2)$

$\lambda_1 = \text{dist}(p, p'), \lambda_2 = \text{dist}(q, q')$

Output $\min(\lambda_1, \lambda_2)??$

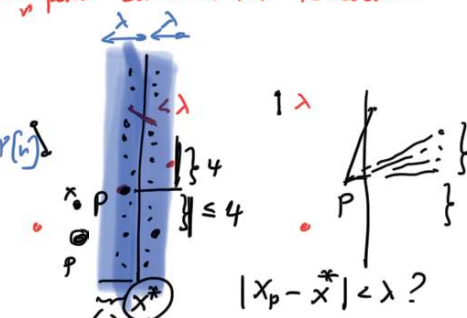
$\lambda \leftarrow \min(\lambda_1, \lambda_2) \checkmark$

P_1', P_2' : points of P_1, P_2 lying in the slab of width λ around x^* .

for each $p \in P_1'$ {

compare p with 4 points above it & 4 points below it in P_2'

Output the smallest dist. found.



$|x_p - x^*| < \lambda?$
 n^2 pairs. : can we reduce this to n pairs only??
 only have to look at points which lie in this slab
 $\Rightarrow O(n)$ pass?

Fix a point $p \in B_L$.

Consider all the points $q \in B_R$ $\text{dist}(p, q) \leq \lambda$. Then there are at most 8 choices for q , in fact,

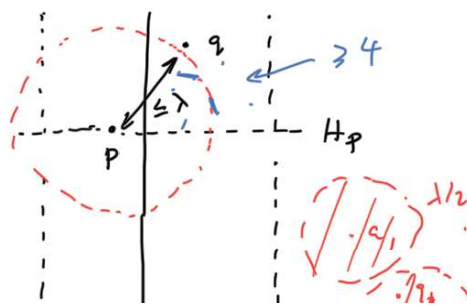
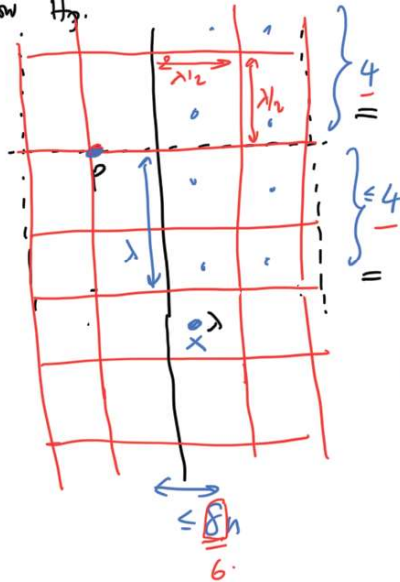
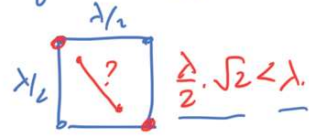


Diagram illustrating the forces acting on a beam segment. The beam is divided into two parts by a vertical dashed line. The left part is labeled B_L and the right part is labeled B_R . The forces are represented by arrows pointing towards the dashed line, indicating internal forces.



Consider square of width $1/2$

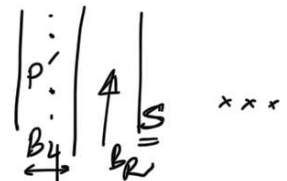
 $O(n)$

$$\exists |y_p - y_q| < \lambda \quad \text{at most } \delta \text{ such p.t.}$$

① P was sorted by the x-coord. We also need to maintain the points sorted by their y-coord.

p' closest $(\underline{p}, \underline{p'}, n)$ $\}$

$O(n)$ time



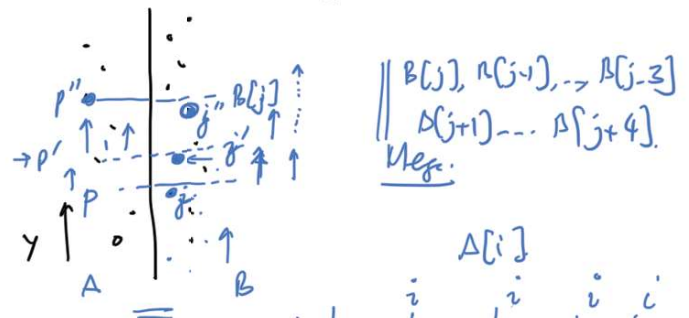
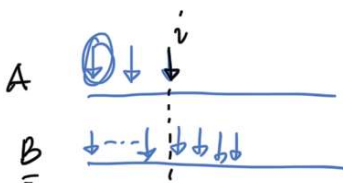
$p_1 \leftarrow p[1] - p[n/2]$
 $p_2 \leftarrow p[n/2+1] - p[n]$

p' : the points sorted by y -coord.

x^* : median of x -coord

P_1' : scan P' : (x, y) and $x < x^*$, add it to P_1'

Similarly we can obtain the prints B_1, B_2, \dots, B_n ^{total} according to their y -coord.


$$i=0, j=0$$

repeat {

if $A[i] > B[j]$, copy $B[j]$ to C
 $j++$,

B

	\downarrow	\downarrow	\downarrow	\downarrow	\downarrow	
A:	1	5	<u>10</u>	15	17	
B:	2	3	7	9	12	13
	\uparrow	\uparrow	\uparrow	\uparrow	\uparrow	\uparrow
	j	j	j	j	j	j

else copy $A[i]$ to C .
increase i

}

$O(n \lg n)$

② A: Find the median of A, $O(n)$ time.

A, k: Find the k^{th} smallest number (Selection).

$$T(n) = T(n_1) + T(n_2) + O(n) \quad \text{where} \quad n_1 + n_2 \leq c \cdot n$$

Select (A, k)

$T(n/5)$

where $c < 1$.

Select (A', k) ←

A' will be a smaller array than A.

1. Break A into groups S_i of size $O(n)$

2. Sort each such group. - $O(n)$ time

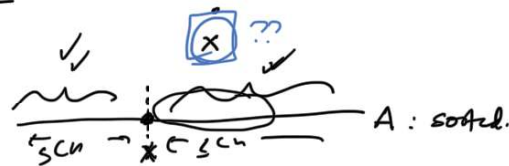
3. $B \leftarrow$ new array formed by taking

the middle element of B .
size of B? $n/5$.

$x \leftarrow \text{Median}(B)$ Select (B, $|B|/2$) ✓

recursive call.

4. Partition the array A based on x

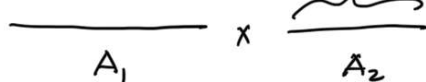


Claim: There are $\geq \frac{3n}{10}$ in A which are less than x.

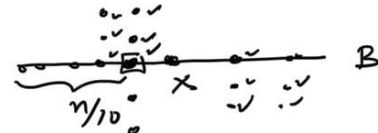
Then are $\geq \frac{3n}{10}$ in A which are more than x.

$$T(n) = T(cn) + O(n) \quad O(n)$$

5.



$O(n)$ time.

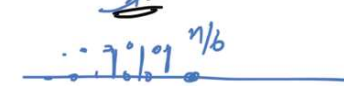


if $k < |A_1| - 1$ then
Select (A₁, k) ✓
if $k = |A_1| - 1$, output x
if $k > |A_1| - 1$
Select (A₂, $k - (|A_1| + 1)$) ✓

$$T(n) = T(n/5) + T(7n/10) + O(n)$$

$$\frac{7n}{10} + \frac{n}{5} = \frac{9n}{10}$$

$$T(n) = O(n)$$



1.

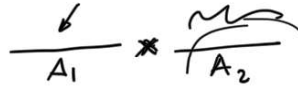
$$\cdot \frac{n}{6} \cdot x$$

Could we have picked $\frac{n}{3}$ instead of $\frac{n}{5}$?

$$T(n) = T(n/3) + T(2n/3) + O(n) \parallel \frac{n}{3} + \frac{2n}{3} = n$$

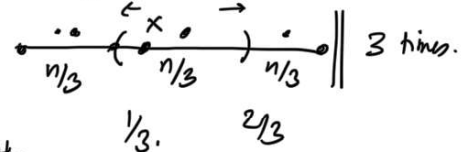
$$O(n \lg n)$$

"Practical version"



1. Pick a number x uniformly at random in A .
2. Follow \otimes (Steps 4 and 5 w/o x).

T_n : running time of this alg. Expectation of T_n .



Q: Suppose we have an experiment where the prob. of success is p . [How many times do we have to repeat it till we get a success?]

X : random variable.

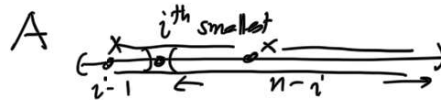
$$\Pr[X=1], \Pr[X=i]$$

$$\Pr[X=i] = (1-p)^{i-1} \cdot p$$

$$\begin{aligned} \mathbb{E}[X] &= \sum_{i=0}^{\infty} i \cdot \Pr[X=i] \\ \text{(Expectation of } X) &= \sum_{i=0}^{\infty} i \cdot (1-p)^{i-1} \cdot p \\ \text{Expected Value of } X &= \frac{1}{p} \end{aligned}$$

"sorted"

$$\mathbb{E}[T_n] ?$$



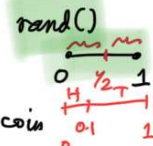
$\frac{1}{n}$ || If we happen to pick the i^{th} smallest no. x then the array recursive call would have size either $\underline{i-1}$ or $\underline{n-i}$

11/10/21.

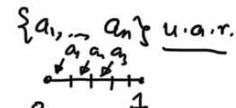
Selection Problem: A, k k^{th} smallest

(i) Deterministic: fairly complex alg.

(ii) Randomized alg: uses randomness eg,



pick an element from



Random Variable $X: \text{set of outcomes} \rightarrow \mathbb{R}$.

$$\omega_1, \omega_2, \dots, \omega_\ell \rightarrow X(\omega_1), X(\omega_2), \dots$$

$$p_1, p_2, \dots, p_\ell$$

with each outcome there is

$$X(\omega_i)$$



"a"

a prob. $\mathbb{E} X = \sum_{\text{outcomes } \omega} p_{\omega} \cdot X(\omega)$
 expectation.

Input I : execution of the algorithm is not fixed.
 $\omega_1, \dots, \omega_k$
 executions of the alg. depending on the outcome ω .

X : running time

$X(\omega_i)$: # steps in ω_i

$\mathbb{E}[X]$ is $\mathbb{E}(\text{running time})$ =

eg. d) Toss n unbiased coins. X : # heads. $\mathbb{E} X$.

$$\rightarrow \mathbb{E} X = \sum_a a \cdot \Pr[X=a] = \sum_{a=0}^n a \cdot \binom{n}{a} \frac{1}{2^n} = n/2$$

$$\left[\begin{aligned} \mathbb{E}[X] &= \sum_{\text{outcomes } \omega} p_{\omega} \cdot \underbrace{X(\omega)}_a \\ &= \sum_a \sum_{\omega: X(\omega)=a} p_{\omega} \cdot a = \sum_a a \cdot \sum_{\omega: X(\omega)=a} p_{\omega} \\ &= \sum_a a \cdot \Pr[X=a] \end{aligned} \right]$$

①

$$X = X_1 + X_2$$

X_1, X_1, X_2 are random variables.

Then, $\mathbb{E} X = \mathbb{E} X_1 + \mathbb{E} X_2$ ✓

Linearity of Expectation.

It does NOT impose any conditions on X_1, X_2 .

$\rightarrow \mathbb{E}(X_1 X_2) = \mathbb{E}(X_1) \mathbb{E}(X_2)$ ✗
NOT true

X, X_1, X_2 : take values in $\{1, 2, \dots, n\}$

$$\begin{aligned} \mathbb{E} X &= \sum_a a \cdot \Pr[X=a] \\ &= \sum_a a \cdot \Pr[X_1 + X_2 = a] \end{aligned}$$

$\left. \begin{aligned} X_1 &= 1, X_2 = a-1 \\ X_1 &= 2, X_2 = a-2 \\ &\vdots \end{aligned} \right\}$

$$= \sum_a a \cdot \sum_{b=0}^n \Pr[X_1=b, X_2=a-b] = \sum_a a \cdot \sum_{\substack{b, c \\ b+c=a}} \Pr[X_1=b, X_2=c]$$

$$= \sum_b \sum_c (b+c) \Pr[X_1=b, X_2=c] \checkmark$$

$$\begin{aligned}
 b=0 \quad c=0 & \quad \text{incorrect } \Pr[X_1=b] \cdot \Pr[X_2=c] \checkmark \\
 &= \sum_{b,c} b \cdot \Pr[X_1=b, X_2=c] + \sum_{b,c} c \cdot \Pr[X_1=b, X_2=c] \\
 &= \sum_b b \cdot \underbrace{\sum_c \Pr[X_1=b, X_2=c]}_{= \Pr[X_1=b]} + \dots \\
 &= \mathbb{E}X_1 + \mathbb{E}X_2
 \end{aligned}$$

$$\begin{aligned}
 X &= (X_1 + X_2) + X_3 \\
 \mathbb{E}X &= \mathbb{E}(X_1 + X_2) + \mathbb{E}X_3 \\
 &= \mathbb{E}X_1 + \mathbb{E}X_2 + \mathbb{E}X_3
 \end{aligned}
 \quad \left| \quad \mathbb{E}(X_1 + \dots + X_n) = \mathbb{E}X_1 + \dots + \mathbb{E}X_n
 \right.$$

Application: (i) n unbiased coins $\mathbb{E}[\# \text{heads}] = n/2$?

Indicator Random Variables: 0 or 1: $\mathbb{E}[Y] = 0 \cdot \Pr[Y=0] + 1 \cdot \Pr[Y=1] = \Pr[Y=1]$.

$$X_i: \begin{cases} 1 & \text{if the } i\text{th coin toss is H} \\ 0 & \text{if the } i\text{th coin toss is T} \end{cases} \quad \mathbb{E}X_i = 1/2$$

$$\begin{aligned}
 \mathbb{E}[\# \text{heads}] &= \mathbb{E}[X_1 + X_2 + \dots + X_n] \\
 &= n/2.
 \end{aligned}$$

Linearity of
expectation

(ii) X random variable.

Suppose there is some other random variable Y . When $Y=i$,
Suppose $X=X_i$.

$$\begin{aligned}
 &\left[\begin{array}{l} \text{throw a dice, if dice shows } i, \text{ toss an unbiased coin } i \text{ times} \\ X = \# \text{ heads} \end{array} \right. \\
 &\mathbb{E}X = \sum_i \Pr[\text{die} = i] \mathbb{E}[\# \text{heads when we throw } i \text{ coins}] \\
 &= \frac{1}{6} \left[\frac{1}{2} + \frac{2}{2} + \dots + \frac{6}{2} \right]
 \end{aligned}$$

$$X = \begin{cases} X_1 & \text{when } Y=1 \\ X_2 & \text{" } Y=2 \\ \vdots & \vdots \\ X_n & \dots Y=n \end{cases}$$

Suppose Y is independent on the random variables

$X_1, \dots, X_n \Rightarrow Z_1, Z_2, \dots, Z_n$
are ind. of X_1, \dots, X_n

$$\text{Then } \mathbb{E}X = \Pr[Y=1] \mathbb{E}X_1 + \Pr[Y=2] \mathbb{E}X_2 + \dots + \Pr[Y=n] \mathbb{E}X_n. \checkmark$$

$$\text{Let } Z_i = \begin{cases} 1 & \text{if } Y=i \\ 0 & \text{otherwise.} \end{cases}$$

$$X = X_1 Z_1 + X_2 Z_2 + \dots + X_n Z_n$$

$$\begin{aligned} E[X] &= E[X_1 Z_1] + E[X_2 Z_2] + \dots + E[X_n Z_n] \\ &= E[Z_1] \cdot E[X_1] + \dots + E[Z_n] \cdot E[X_n] \\ &= \Pr[Y=1] E[X_1] + \dots + \Pr[Y=n] E[X_n] \end{aligned}$$

(11) Selection (A, k) {

- pick an element (x) u.a.r. from A
- split A into

$$\frac{< x}{A_1} \times \frac{\geq x}{A_2} \quad O(n) \text{ time.}$$

- if $|A_1| = k-1$ ✓ $T(n) = T(\alpha n) + O(n)$
- if $|A_1| \geq k$ $\alpha < 1$
- if $|A_1| < k-1$ Selection (A_1, k)
- if $|A_2| \geq k-1$ Selection ($A_2, k-1$)

}.

X_n : Expected Running Time on an array of size n .

$\rightarrow T_n$: # steps on an array of length n .

$$X_n = E[T_n]$$

$\rightarrow T_n = T_{i-1} \text{ or } T_{n-i} + O(n)$ if the element x is the i th smallest element

$$T_n \leq \max(T_{i-1}, T_{n-i}) + O(n) \quad \text{if } x \text{ is the } i\text{th smallest}$$

A' is the array A sorted in inc. order

$$\rightarrow T_n \leq T_{\max(i-1, n-i)} + O(n) \quad \text{if } x \text{ is the } i\text{th smallest \# in } A.$$

$$\therefore E[T_n] \leq \sum_{i=1}^n [E[T_{\max(i-1, n-i)}] + O(n)] \cdot \frac{1}{n}$$

$$X_n \leq \frac{1}{n} \sum_{i=1}^n X_{\max(i-1, n-i)} + O(n)$$

$$= \frac{1}{n} [X_{n-1} + X_{n-2} + X_{n-3} + \dots + X_{n/2} + X_{n/2} + X_{n/2+1} + \dots + X_{n-1}] + O(n)$$

$$\rightarrow X_n \leq \frac{2}{n} [X_{n/2} + X_{n/2+1} + \dots + X_n] + O(n)$$

$$\leq \frac{2}{n} \left[\frac{n}{4} \cdot X_{3n/4} + \frac{n}{4} \cdot X_n \right] + O(n)$$

$$X_1 + X_2 + \dots + X_{n-2}$$

$$\begin{aligned} X_n &= cn + \frac{1}{n} [X_1 + X_2 + \dots + X_{n-1}] \\ X_{n-1} &= c(n-1) + \frac{2}{n-1} [X_1 + X_2 + \dots + X_{n-2}] \end{aligned}$$

$$\begin{aligned} X_n &= cn + \frac{2}{n} \left[X_{n-1} + \frac{(X_{n-1} - c(n-1))(n-1)}{2} \right] \\ &= cn + \frac{2X_{n-1}}{n} + \left(1 - \frac{1}{n}\right) X_{n-1} - \frac{c(n-1)^2}{n} = c(n - 2\frac{n-1}{2} + 1) \end{aligned}$$

$$\textcircled{2} \quad \left(1 + \frac{1}{n}\right) X_{n-1} + 2c$$

$$X_n \leq \left(1 + \frac{1}{n}\right) X_{n-1} + 2c \quad \text{solve this!}$$

$$= \left(1 + \frac{1}{n}\right) \left[\left(1 + \frac{1}{n-1}\right) X_{n-2} + 2c \right] + 2c$$

$$= \left(1 + \frac{1}{n}\right) \left(1 + \frac{1}{n-1}\right) X_{n-2} + 2c \left(1 + \frac{1}{n}\right) + 2c$$

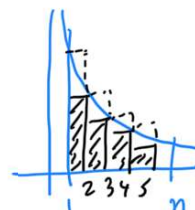
$$= \left(1 + \frac{1}{n}\right) \left(1 + \frac{1}{n-1}\right) \left(1 + \frac{1}{n-2}\right) X_{n-3} + 2c \left(1 + \frac{1}{n}\right) \left(1 + \frac{1}{n-1}\right) + 2c \left(1 + \frac{1}{n}\right) + 2c$$

$$\begin{aligned} &= \frac{n+1}{n-i} X_{n-i-1} + 2c \cdot \left[\frac{n+1}{n-1} + \frac{n+1}{n-2} + \dots + \frac{n+1}{n-i} \right] \\ &= n + 2c(n+1) \underbrace{\left[\frac{1}{n-1} + \frac{1}{n-2} + \frac{1}{n-3} + \dots + 1 \right]}_{\ln n} = n + 2cn \ln n. \end{aligned}$$

$$\ln n - 1 \leq 1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n} \leq \ln n + 1$$

$$\mathbb{E}[T_n] = O(n \log n)$$

$$\frac{T_1 + \dots + T_n}{n} \approx n \log n$$



$$\frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n} \approx \ln n$$

$$\ln n - \ln 1 = \ln n$$

$$\Pr[T_i \geq 100 n \log n] \leq 2^{-n}$$