



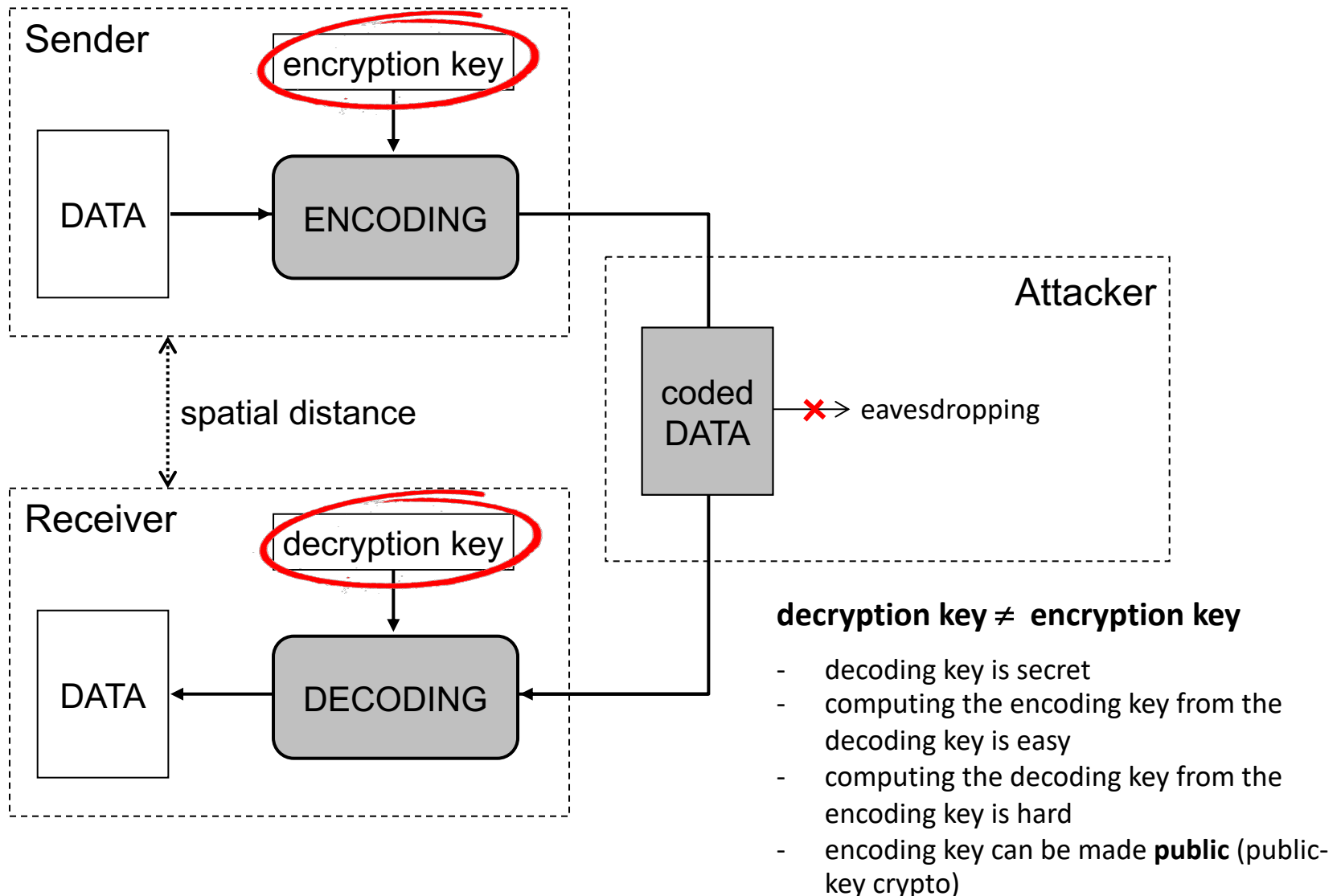
# Public Key Cryptography

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# Model of asymmetric key encryption



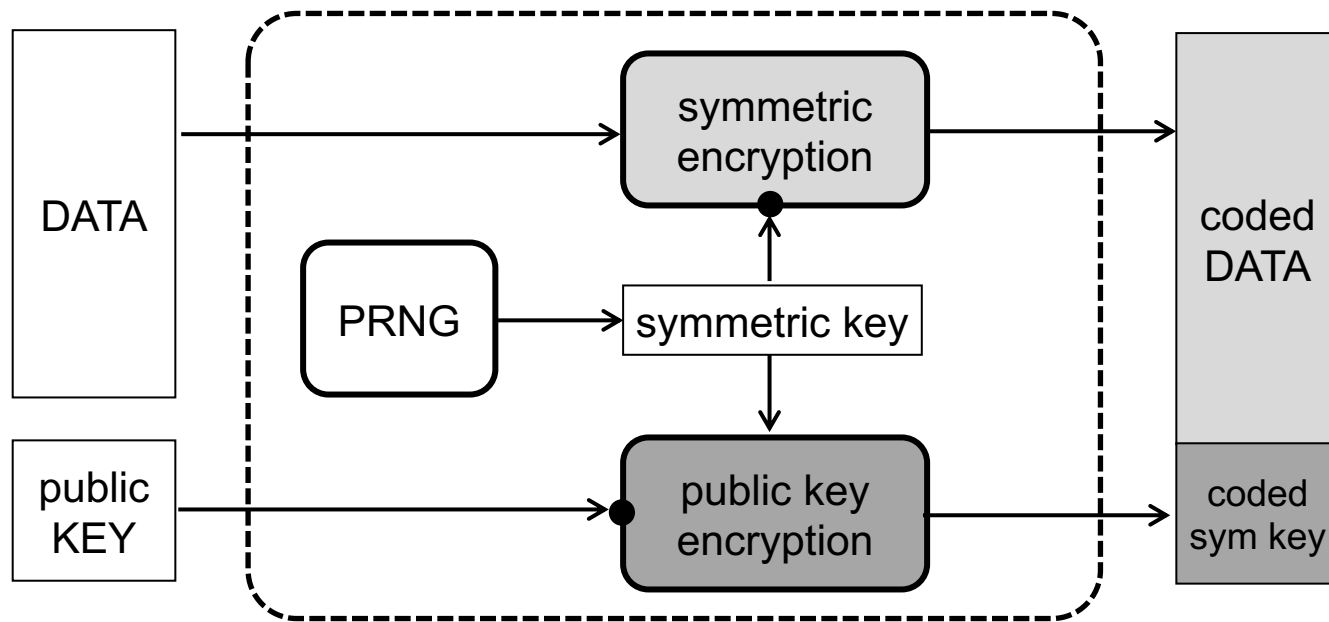
# Public-key encryption schemes

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- functions (algorithms) and terminology:
  - key-pair generation function  $G( ) = (K^+, K^-)$ 
    - $K^+$  – public key
    - $K^-$  – private key
  - encryption function  $E(K^+, X) = Y$ 
    - $X$  – plaintext
    - $Y$  – ciphertext
  - decryption function  $D(K^-, Y) = X$
- typically, the plaintext (and the ciphertext) consists of a few hundred bits → operation is similar to symmetric-key block ciphers
- examples: RSA, ElGamal, NTRU

# Hybrid encryption (digital envelop)

- public-key encryption schemes use large number arithmetics, and hence, they are several orders of magnitude slower than the best known symmetric key ciphers (on the same platform)
- to overcome this problem, the following hybrid approach is used in practice:



# Security of public key crypto schemes

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- security is usually related to the difficulty of some problems that are widely believed to be hard to solve (i.e., for which no polynomial time solution exists today), such as
  - factoring:  
given a positive integer  $N$ , find its prime factors
  - computing discrete logarithm:  
given a prime  $p$ , a generator  $g$  of  $Z_p^*$ , and an element  $y$  in  $Z_p^*$ , find the integer  $x$ ,  $0 \leq x \leq p-2$ , such that  $g^x \bmod p = y$
- sometimes it can even be rigorously proven that breaking the encryption scheme would mean that there exists an efficient solution to the related hard problem (reduction)
  - although widely used practical schemes have no complete proofs

# Semantic security

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- an adversary should not be able to choose two plaintexts  $X$  and  $X'$  and later distinguish between the encryptions  $E_K(X)$  and  $E_K(X')$  of these messages
  - in case of public-key encryption, the adversary can compute  $E_K(X)$  and  $E_K(X')$  using the public key  $K$  and trivially determine that  $E_K(X)$  is the encryption of  $X$  and  $E_K(X')$  is the encryption of  $X'$
  - How about symmetric-key encryption?
- the solution is ***probabilistic encryption***
  - the ciphertext should depend on some random input that is kept secret
  - after decryption, the original plaintext can be recovered unambiguously
  - some public-key encryption schemes are probabilistic by design (e.g., ElGamal)
  - others need pre-formatting of messages which involves the addition of some randomness (e.g., RSA uses PKCS #1 formatting)

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**RSA**

# The (textbook) RSA cryptosystem

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- key-pair generation algorithm:
  - choose two large primes  $p$  and  $q$  (easy)
  - $n = pq$ ,  $\phi(n) = (p-1)(q-1)$  (easy)
  - choose  $e$ , such that  $1 < e < \phi(n)$  and  $\gcd(e, \phi(n)) = 1$  (easy)
  - compute the inverse  $d$  of  $e \bmod \phi(n)$ , i.e.,  $ed \bmod \phi(n) = 1$  (easy if  $p$  and  $q$  are known)
  - output **public key:  $(e, n)$**  (made public after key-pair generation)
  - output **private key:  $d$  (and  $p, q$ )** (kept secret after key-pair generation)
- encryption algorithm:
  - represent the plaintext message as an integer  $m \in [0, n-1]$
  - compute the ciphertext  **$c = m^e \bmod n$**
- decryption algorithm:
  - compute the plaintext from the ciphertext  $c$  as  **$m = c^d \bmod n$**
  - this works, because  $c^d \bmod n = m^{ed} \bmod n = m^{k\phi(n)+1} \bmod n = m \bmod n = m$



# Proof of RSA decryption

- $c^d \bmod n = m^{ed} \bmod n = m^{k\phi(n) + 1} \bmod n = m m^{k(p-1)(q-1)} \bmod n$
- since  $m < n$ , it is enough to prove that  $m m^{k(p-1)(q-1)} \equiv m \pmod{n}$
- Fermat theorem
  - if  $r$  is a prime and  $\gcd(a, r) = 1$ , then  $a^{r-1} \equiv 1 \pmod{r}$
- if  $\gcd(m, p) = 1$ 
  - $m^{p-1} \equiv 1 \pmod{p}$
  - $m m^{k(p-1)(q-1)} \equiv m \pmod{p}$
- if  $\gcd(m, p) = p$ 
  - $p \mid m$
  - $m m^{k(p-1)(q-1)} \equiv m \equiv 0 \pmod{p}$
- for all  $m$ ,  $m m^{k(p-1)(q-1)} \equiv m \pmod{p}$
- similarly, for all  $m$ ,  $m m^{k(p-1)(q-1)} \equiv m \pmod{q}$
- $p, q \mid m m^{k(p-1)(q-1)} - m \quad \rightarrow \quad pq \mid m m^{k(p-1)(q-1)} - m$
- $m m^{k(p-1)(q-1)} \equiv m \pmod{pq}$

# Security of RSA

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- factoring integers is believed to be a hard problem
  - given a composite integer  $n$ , find its prime factors
  - true complexity is unknown
  - it is believed that no polynomial time algorithm exists to solve it
- computing  $d$  from  $(e, n)$  is equivalent to factoring  $n$
- computing  $m$  from  $c$  and  $(e, n)$  (known as the RSA problem) may not be equivalent to factoring  $n$ 
  - if the factors  $p$  and  $q$  of  $n$  are known, then one can easily compute  $d$ , and using  $d$ , one can also compute  $m$  from  $c$
  - we don't know if one could factor  $n$ , given that he can efficiently compute  $m$  from  $c$  and  $(e, n)$
  - nevertheless, the RSA problem is believed to be a hard problem
- **textbook RSA is not semantically secure (encryption is deterministic) and malleable (due to its homomorphic property)**
  - in practice, textbook RSA needs to be extended with message formatting (PKCS #1)

# RSA in practice – special messages

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- unconcealed messages
  - a message is unconcealed if it encrypts to itself
    - » i.e., if  $m^e \bmod n = m$
  - trivial examples for unconcealed messages are  $m = 0$ ,  $m = 1$ , and  $m = n-1$
  - exact number of unconcealed messages is
    - »  $(1 + \gcd(e-1, p-1))(1 + \gcd(e-1, q-1))$
    - » in practice, the number of unconcealed messages is negligibly small
- small messages
  - if  $m < n^{1/e}$ , then  $m^e < n$ , and hence  $c = m^e \bmod n = m^e$
  - in such a case,  $m$  can be computed from  $c$  by taking the  $e^{\text{th}}$  root of  $c$
  - to prevent this,  $m$  needs to be pre-formatted (setting most significant bits) to ensure that what is raised to  $e$  is not too small (see PKCS #1 formatting)

# RSA in practice – small encryption exponent $e$

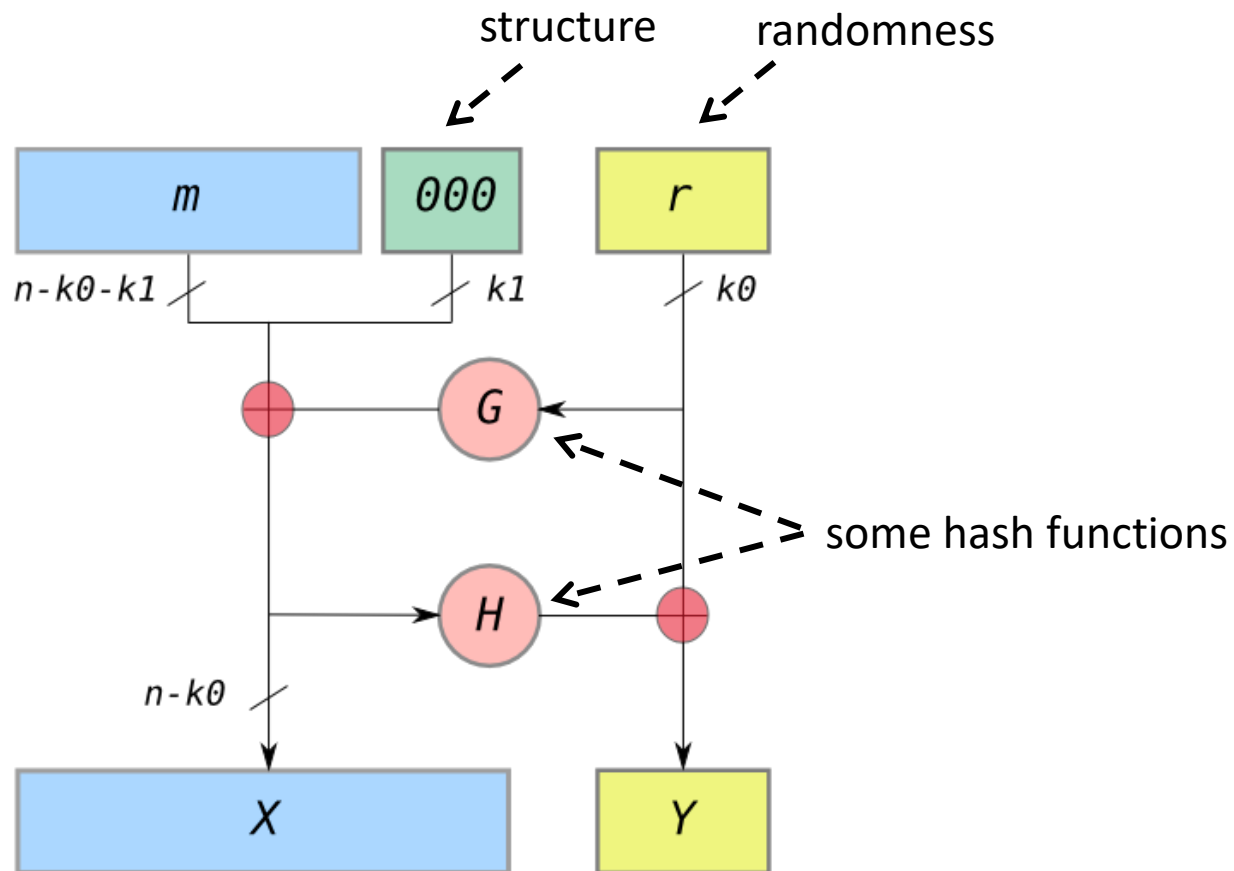
- a group of entities may use the same exponent  $e$ , but different moduli  $n_1, n_2, \dots$
- if a message  $m$  is sent to at least  $e$  recipients and  $e$  is small (e.g., 3), then an attacker may find a plaintext  $m$  efficiently:
  - assume that the attacker observes  $c_i = m^3 \bmod n_i$  ( $i = 1, 2, 3$ )
  - let  $x = m^3$
  - the attacker must solve for  $x$  the following system of congruences:
$$\begin{aligned}x &\equiv c_1 \pmod{n_1} \\x &\equiv c_2 \pmod{n_2} \\x &\equiv c_3 \pmod{n_3}\end{aligned}$$
  - Chinese remainder theorem: if  $n_1, n_2, \dots, n_k$  are pairwise relatively primes, then such a congruence system has a unique solution  $(\bmod n_1 \cdot n_2 \cdot \dots \cdot n_k)$
  - since  $m^3 < n_1 \cdot n_2 \cdot n_3$ , the solution found must be  $m^3$
  - the attacker then computes the cube root of  $m^3$  to obtain  $m$

# RSA in practice – homomorphic property

- if  $m_1$  and  $m_2$  are two plaintext messages and  $c_1$  and  $c_2$  are the corresponding ciphertexts, then the encryption of  $m_1 m_2 \bmod n$  is  $c_1 c_2 \bmod n$ 
  - $(m_1 m_2)^e \equiv m_1^e m_2^e \equiv c_1 c_2 \pmod{n}$
- this leads to an adaptive chosen-ciphertext attack on RSA
  - assume that the attacker wants to decrypt  $c = m^e \bmod n$
  - assume that an oracle decrypts arbitrary ciphertexts for the attacker, except  $c$
  - the attacker can select a random number  $r$  and submit  $c \cdot r^e \bmod n$  to the oracle
  - since  $(c \cdot r^e)^d \equiv c^d \cdot r^{ed} \equiv m \cdot r \pmod{n}$ , the attacker will obtain  $m \cdot r \bmod n$
  - he then computes  $m$  by multiplication with  $r^{-1} \pmod{n}$
- we say that textbook RSA is ***malleable***
  - valid new ciphertexts can be constructed from other known ciphertexts
  - this can be circumvented by imposing some structural constraints on plaintext messages → see PKCS #1 formatting

# PKCS #1

- v1 – vulnerable to adaptive chosen ciphertext attacks (Bleichenbacher)
- v2 – Optimal Asymmetric Encryption Padding (OAEP) (Bellare-Rogaway)  
<http://www.emc.com/collateral/white-papers/h11300-pkcs-1v2-2-rsa-cryptography-standard-wp.pdf>



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# **ElGamal and ECC**

# ElGamal encryption scheme

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- key-pair generation
  - domain parameters:  $p, q, g$ 
    - »  $p$  is a large prime (defines a multiplicative group over  $\{1, 2, \dots, p-1\}$ )
    - »  $q$  is a prime divisor of  $p-1$
    - »  $g$  in  $[1, p-1]$  is an element of order  $q$   
(the smallest positive  $t$  satisfying  $g^t = 1 \bmod p$  is  $t = q$ )
  - **private key: uniformly randomly selected  $x$  from  $[1, q-1]$**
  - **public key:  $y = g^x \bmod p$**
- encryption
  - input: domain params  $p, q, g$ ; public key  $y$ ; message  $m$  in  $[0, p-1]$
  - choose uniformly random  $k$  from  $[1, q-1]$
  - compute  **$c_1 = g^k \bmod p$  and  $c_2 = my^k \bmod p$**
  - output:  **$(c_1, c_2)$**
- decryption
  - input: domain params  $p, q, g$ ; private key  $x$ ; ciphertext  $(c_1, c_2)$
  - output:  **$c_2 c_1^{-x} \bmod p = my^k g^{-xk} \bmod p = mg^{xk} g^{-xk} \bmod p = m$**



# Notes on ElGamal encryption

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- efficiency issues
  - encrypted message is twice as long as the plaintext (message expansion)
  - encryption requires two modular exponentiations, whereas decryption requires only one, but ...
  - all entities in a system may choose to use the same prime  $p$  and generator  $g$ 
    - » we can speed up encryption by pre-computation
    - » size of the public key is reduced (no need to contain domain parameters)
- relation to hard problems
  - computing the private key from the public key is equivalent to the discrete logarithm problem
  - semantic security of the ElGamal scheme is based on the hardness of the so-called Decisional Diffie-Hellman problem, that is *at most* as hard as the discrete logarithm problem
  - recovering  $m$  given  $p, q, g, y, c_1, c_2$  is equivalent to solving the Computational Diffie-Hellman problem

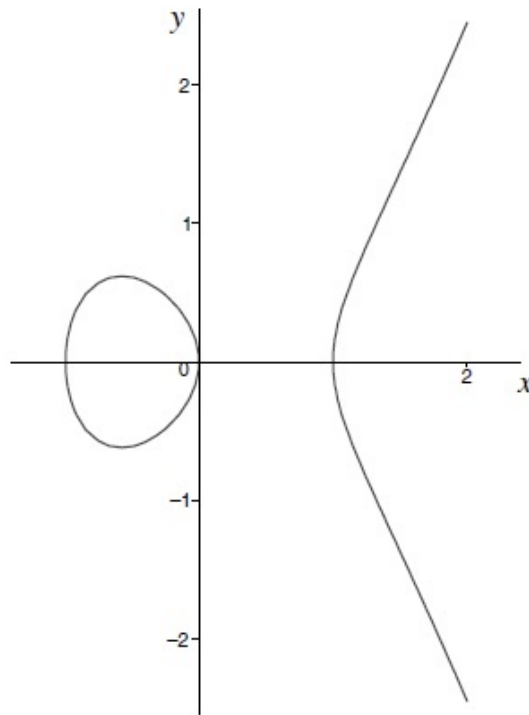
# The idea of elliptic curve crypto

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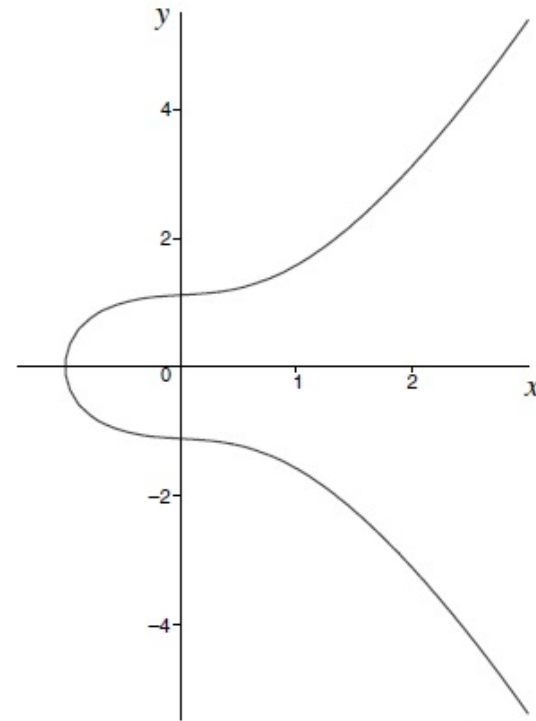
- ElGamal is essentially defined over a multiplicative cyclic group
  - elements:  $\{1, 2, \dots, p-1\}$
  - group operation: mod  $p$  multiplication
- fact: any two cyclic groups of the same order are essentially the same (isomorph)
  - i.e., they have the same structure even though the elements may be represented differently and the group operations may be different
- ElGamal over cyclic subgroups of elliptic curve groups → elliptic curve cryptography
  - elements: points on an elliptic curve
  - group operation: point addition

# Elliptic curves over real numbers

- an elliptic curve (over real numbers) is a plane curve defined by an equation of the form  $y^2 = x^3 + ax + b$  (Weierstrass equation)
- examples:



(a)  $E_1 : y^2 = x^3 - x$



(b)  $E_2 : y^2 = x^3 + \frac{1}{4}x + \frac{5}{4}$

# Elliptic curves over finite fields

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- let  $p$  be a prime and let  $F_p$  denote the field of integers modulo  $p$  (with the usual multiplication  $*$  and addition  $+$  operations)
- consider the elliptic curve  $E$  defined by equation

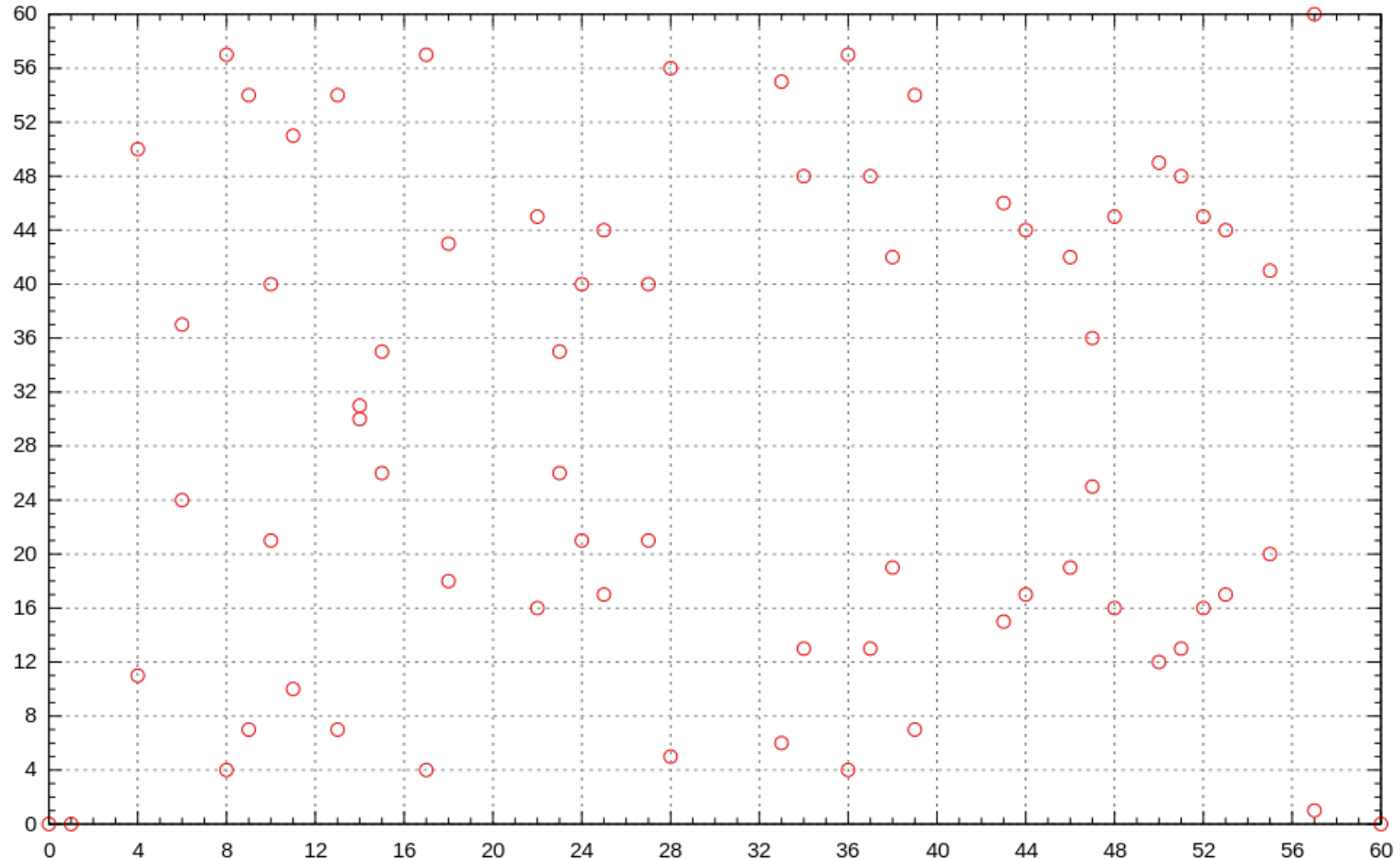
$$y^2 = x^3 + ax + b,$$

where  $a, b \in F_p$  and the operations are the field operations

- $(x, y) \in F_p$  is a point on the curve if it satisfies the equation
- in addition, there is a distinguished point called *infinity*  $\infty$
- the set of all the points on the curve  $E$  is denoted by  $E(F_p)$
- example:
  - let  $p = 7$  and  $y^2 = x^3 + 2x + 4$
  - $E(F_7) = \{\infty, (0, 2), (0, 5), (1, 0), (2, 3), (2, 4), (3, 3), (3, 4), (6, 1), (6, 6)\}$

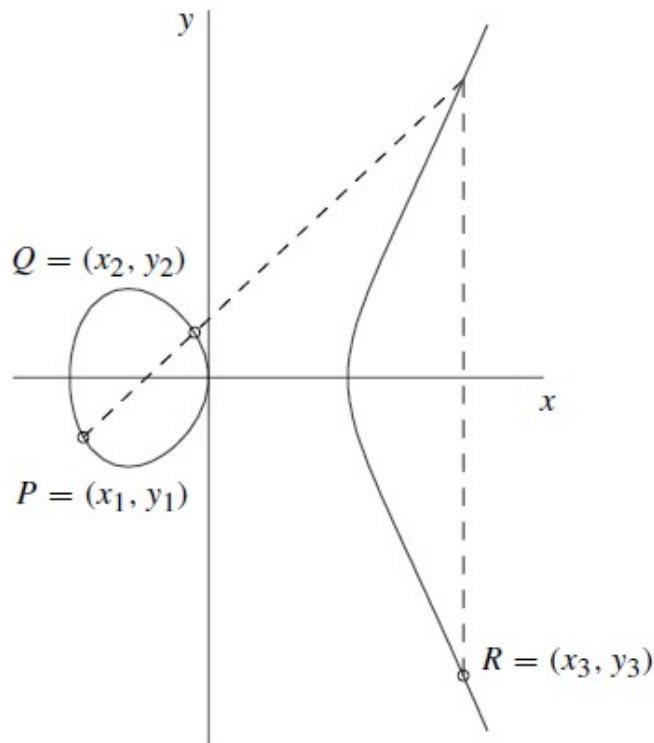
# Elliptic curves over finite fields

- example: elliptic curve  $y^2 = x^3 - x$  over finite field  $F_{61}$

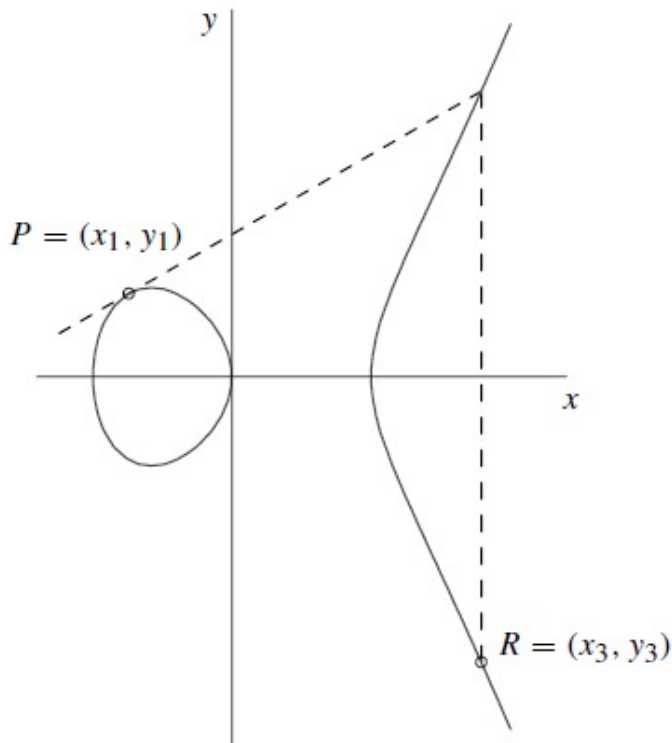


# Elliptic curve groups

- we define an addition operation over the points of the curve:
  - illustrative examples (in case of ECs over real numbers):



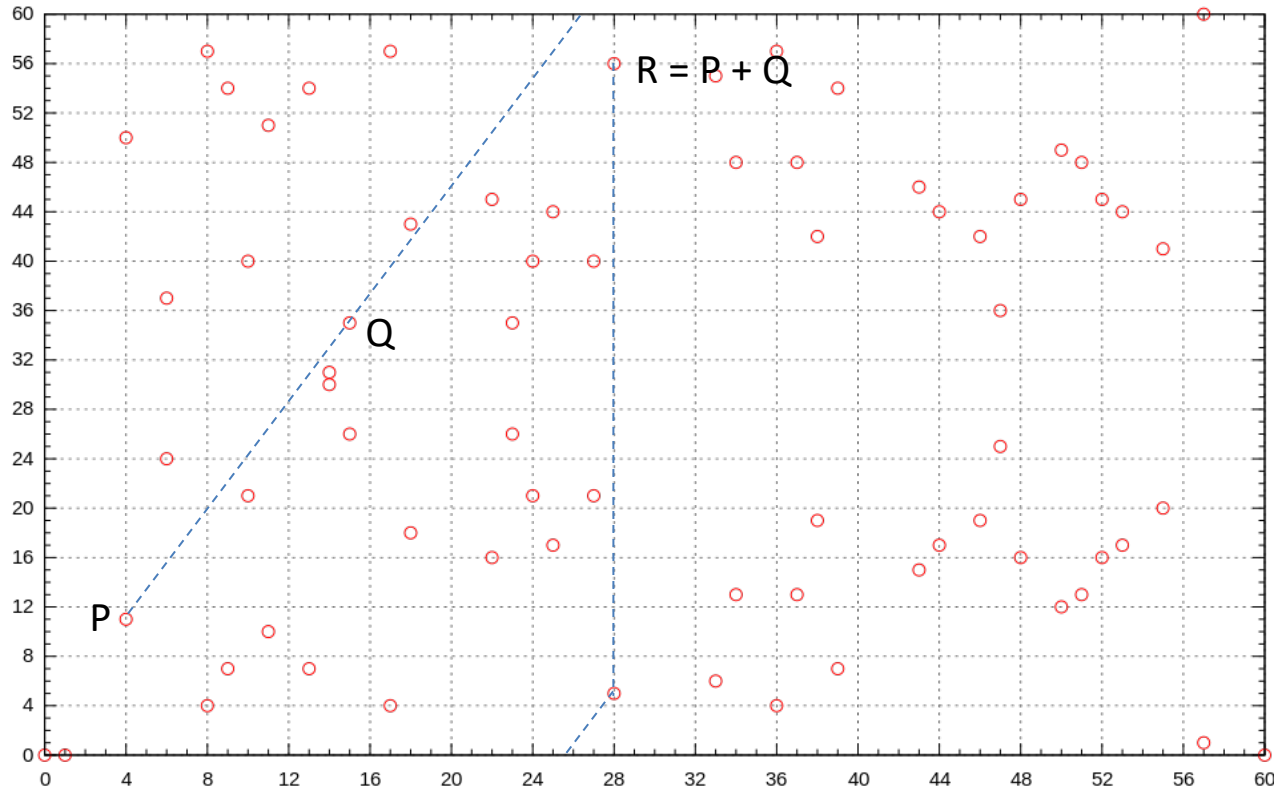
(a) Addition:  $P + Q = R$ .



(b) Doubling:  $P + P = R$ .

# Elliptic curve groups

- illustrative example in case of an EC over a finite field



- with this addition operation, the set of points  $E(F_p)$  form an (additive) abelian group with  $\infty$  serving as the identity element

# Cyclic subgroups of elliptic curve groups

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- let  $E$  be an elliptic curve defined over a finite field  $F_p$
- let  $P$  be a point in  $E(F_p)$  with prime order  $n$
- then the cyclic subgroup of  $E(F_p)$  generated by  $P$  is
$$\{ \infty, P, 2P, 3P, \dots, (n-1)P \}$$
- such cyclic subgroups of elliptic curve groups can be used to implement discrete logarithm systems!
- Elliptic Curve Discrete Log Problem (ECDLP):  
given an elliptic curve group  $E(F_p)$ , a generator  $P$  of a cyclic subgroup of prime order  $n$  of  $E(F_p)$ , and a point  $Q$  in that subgroup, find the integer  $d$ ,  $1 \leq d \leq n-1$ , such that  $dP = Q$



# ElGamal over elliptic curves

- EC ElGamal key generation:
  - domain parameters:
    - » prime  $p$
    - » equation defining an elliptic curve  $E$  (e.g.,  $y^2 = x^3 - x$ )
    - » point  $P$  that defines a cyclic subgroup of  $E(F_p)$
    - » the prime order  $n$  of the subgroup
  - **private key: uniformly randomly selected integer  $d$  from  $[1, n-1]$**
  - **public key:  $Q = dP$**
- EC ElGamal encryption:
  - input: domain params  $(p, E, P, n)$ ; public key  $Q$ ; message  $m$
  - represent  $m$  as a point  $M$  in  $E(F_p)$
  - uniformly randomly choose  $k$  from  $[1, n-1]$
  - compute  **$C_1 = kP$  and  $C_2 = M + kQ$**
  - output:  **$(C_1, C_2)$**
- EC ElGamal decryption:
  - input: domain params  $(p, E, P, n)$ ; private key  $d$ ; ciphertext  $(C_1, C_2)$
  - compute  **$C_2 - dC_1 = M + kQ - dkP = M + kdP - dkP = M$**
  - output: extract  $m$  from  $M$

# Original vs. EC ElGamal

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- underlying group
    - cyclic subgroup of prime order  $q$  of  $\mathbb{Z}_p^*$  for some prime  $p$
    - generator  $g$
  - group operation
    - mod  $p$  multiplication, exponentiation (repeated multiplication)
  - computations
    - public key:  $y = g^x$
    - encryption:  $c_1 = g^k$ ;  $c_2 = my^k$
    - decryption:  $m = c_2 c_1^{-x}$
- underlying group
    - cyclic subgroup of prime order  $n$  of  $E(\mathbb{F}_p)$  for some prime  $p$  and elliptic curve  $E$  over  $\mathbb{F}_p$
    - generator  $P$
  - group operation
    - point addition, point/scalar multiplication (repeated addition)
  - computations
    - public key:  $Q = dP$
    - encryption:  $C_1 = kP$  and  $C_2 = M + kQ$
    - decryption:  $M = C_2 - dC_1$

# Why elliptic curve crypto?

- smaller parameters in ECC provide the same level of security as in traditional schemes (RSA, ElGamal (discrete log – DL)):

	Security level (bits)				
	80	112	128	192	256
	(SKIPJACK)	(Triple-DES)	(AES-Small)	(AES-Medium)	(AES-Large)
DL parameter $q$	160	224	256	384	512
EC parameter $n$					
RSA modulus $n$	1024	2048	3072	8192	15360
DL modulus $p$					

- faster operations:
  - private-key operations for ECC are many times more efficient than RSA and DL private-key operations
  - public-key operations for ECC are many times more efficient than those for DL systems
  - public-key operations for RSA are expected to be somewhat faster than for ECC if a small encryption exponent (such as  $e = 3$  or  $e = 2^{16} + 1$ ) is selected for RSA

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# **Digital Signature Schemes**

# Digital signature schemes

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- similar to MACs but they are
  - unforgeable by the receiver
  - verifiable by a third party
- services:
  - **message authentication and integrity protection:** after successful verification of the signature, the receiver is assured that the message has been generated by the sender and it has not been altered
  - **non-repudiation of origin:** the receiver can prove this to a third party (hence the sender cannot repudiate)
- examples: RSA, DSA, ECDSA

# Functions and terminology

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- key-pair generation function  $G() = (K^+, K^-)$ 
  - $K^+$  – public key
  - $K^-$  – private key
- signature generation function  $S(K^-, m) = s$ 
  - $m$  – message
  - $s$  – signature
- signature verification function  $V(K^+, m, s) = \text{accept or reject}$

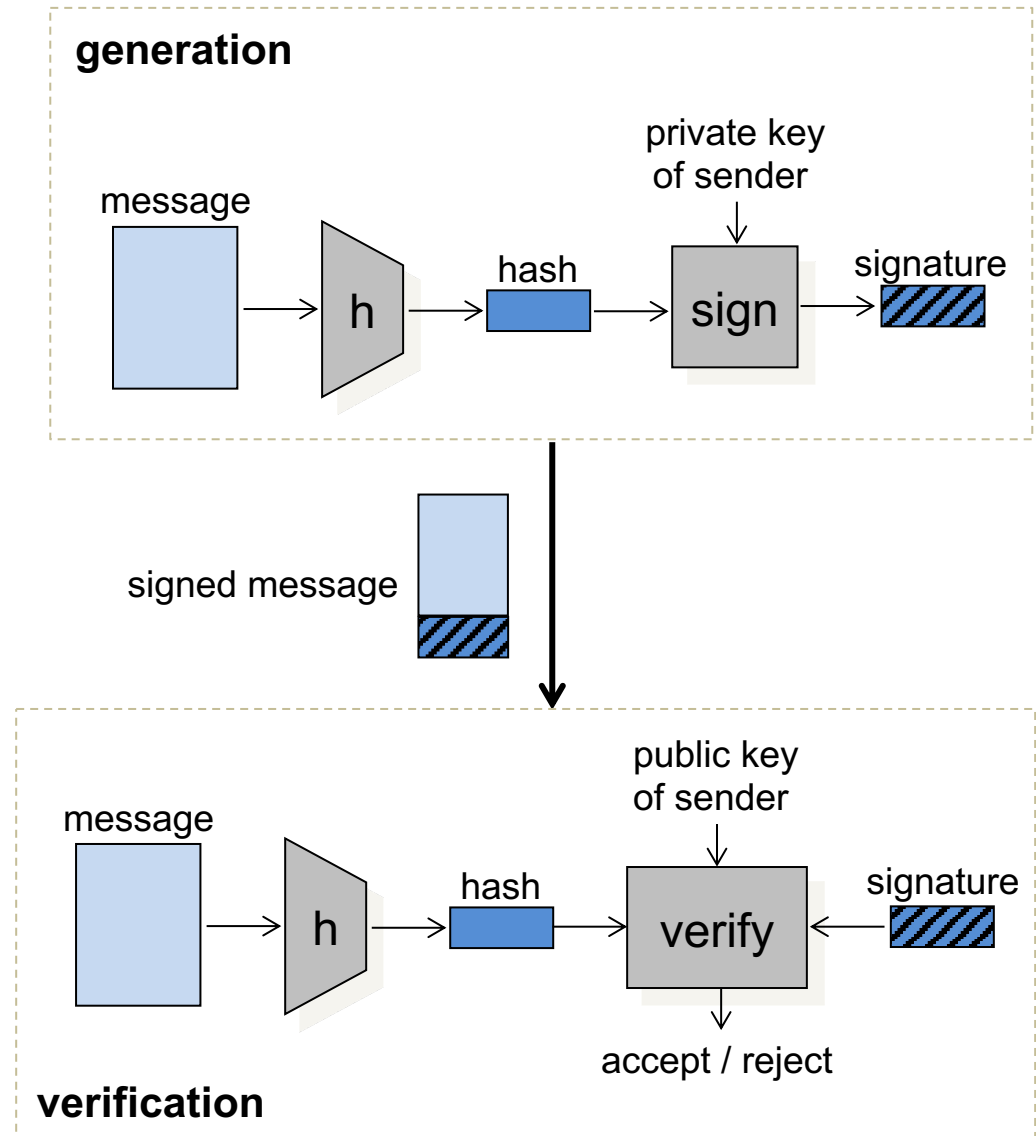
# Security of digital signature schemes

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- as in the case of public-key encryption, security is usually related to the difficulty of solving the underlying hard problems
- attacker models:
  - capabilities of the attacker:
    - » key-only attack (attacker knows only the signature verification key)
    - » known-message attack (attacker has message – signature pairs)
    - » (adaptive) chosen-message attack (attacker can choose a message and obtain its signature from an oracle)
  - objectives of the attacker:
    - » forgery
      - attacker is able to compute a valid signature for a message for which no signature has been obtained by observation or from an oracle
    - » key recovery
      - the attacker is able to deduce the private key

# Hash-and-sign paradigm

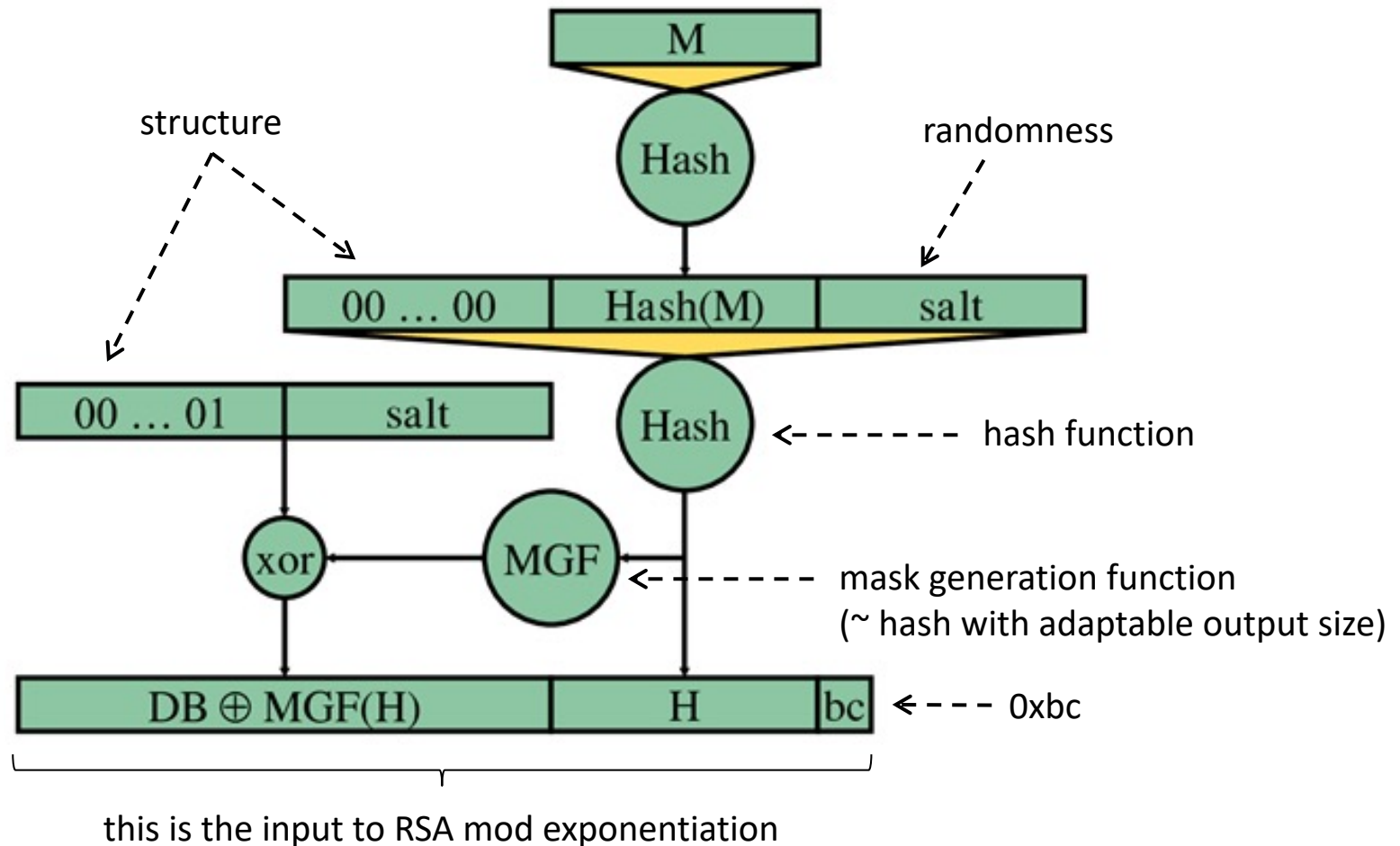
- public/private key operations are slow
- increase efficiency by signing the hash of the message instead of the message
- it is essential that the hash function is collision resistant (why?)





# PKCS #1

- v2 – Probabilistic Signature Scheme (PSS) (Bellare-Rogaway)



[http://www.docstoc.com/docs/83431303/PKCS-\\_1-v21-RSA-Cryptography-Standard](http://www.docstoc.com/docs/83431303/PKCS-_1-v21-RSA-Cryptography-Standard)  
<http://rsapss.hboeck.de/rsapss.pdf>

# Control questions

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- What is the basic idea of public-key cryptography?
- What is a digital envelop? (hybrid approach)
- What hard problems is the security of public-key crypto schemes related?
- What is semantic security? How to achieve it?
- How does the RSA algorithm work?
- Which hard problems RSA is related to?
- What are practical issues to consider in case of RSA?
  - unconcealed messages
  - small messages
  - small encryption exponent  $e$
  - homomorphic property
- What is PKCS #1 ?

# Control questions

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- How does the ElGamal encryption work?
- What is Elliptic Curve Cryptography (ECC) in a nutshell?
- What are the advantages of ECC?
- How does the ElGamal algorithm work over elliptic curves?
- What is a digital signature scheme?
- What is the key difference between MAC functions and digital signatures? What additional security function do signatures provide?
- What attacker models do exist for digital signature schemes?
- What is the hash-and-sign paradigm?
  - Why is it used in practice?
  - What are the requirements on the hash function used?