

# CS & IT ENGINEERING

Theory of Computation

**Finite Automata:**

NFA with epsilon moves



**Lecture No. 11**



By- DEVA Sir



# TOPICS TO BE COVERED

01 NFA without  $\epsilon$ -moves

02 NFA with  $\epsilon$ -moves

03

04

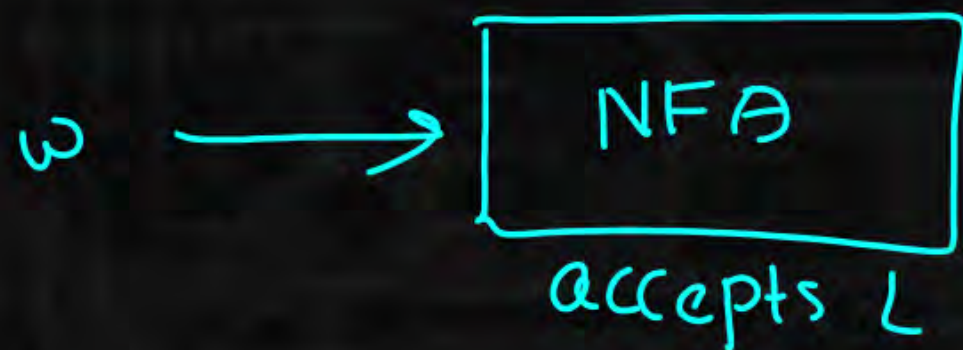
05

- Every DFA is NFA
- NFA need not be DFA
- Min DFA need not be min NFA

$$\boxed{\text{DFA} \cong \text{NFA}}$$

- I)  $\text{DFA} \Rightarrow \text{NFA}$  (by definition)
- II)  $\text{NFA} \Rightarrow \text{DFA}$  (subset construction)





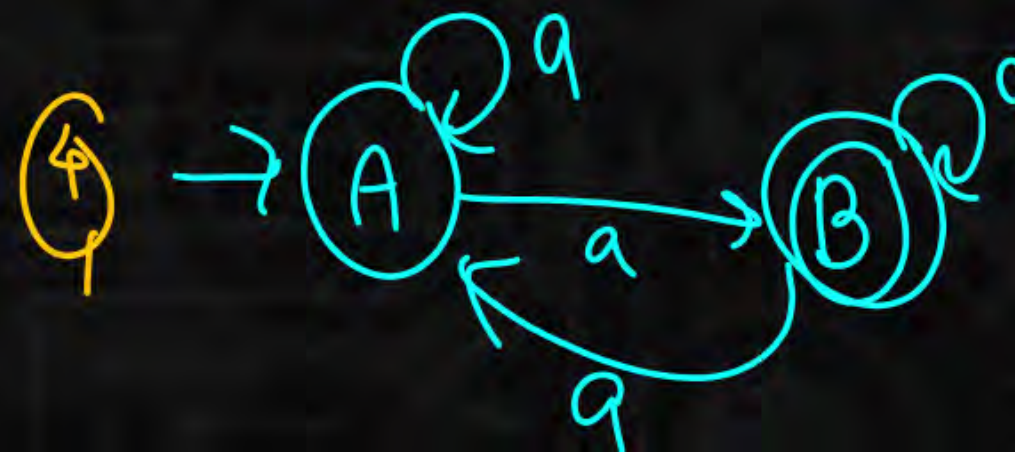
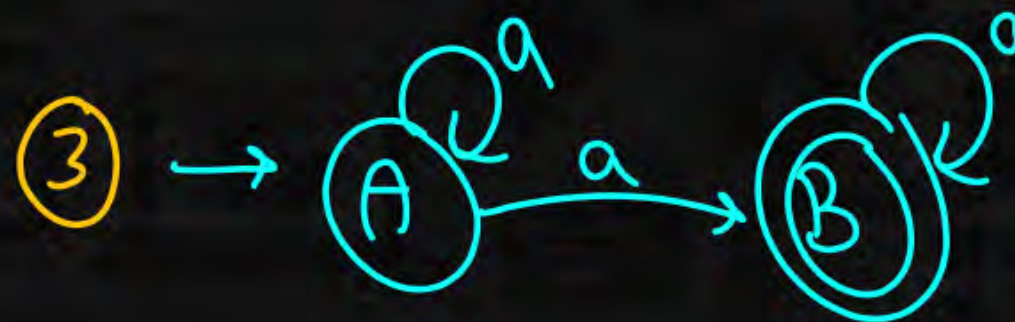
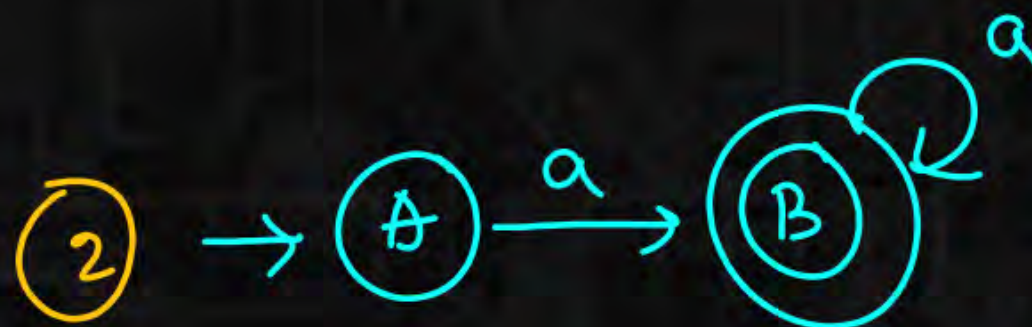
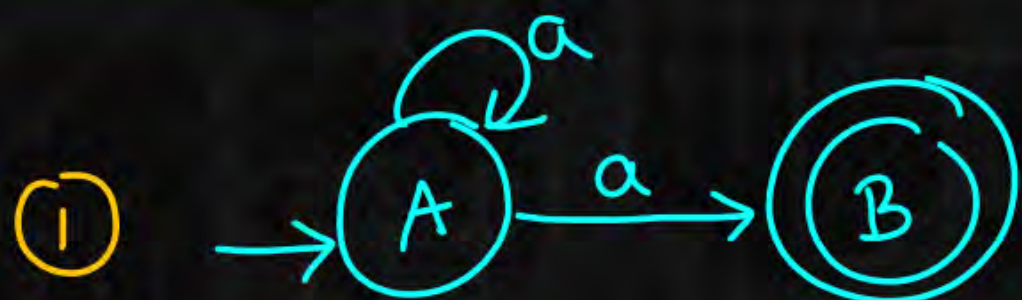
If  $w \in L$ , it has at least one path that halts at final state.

If  $w \notin L \Rightarrow$  No path exist  
OR  
Every path halts at non final

$\delta: Q \times \Sigma \rightarrow 2^Q$   
(without  $\epsilon$  transitions)

$\delta: Q \times \Sigma \cup \{\epsilon\} \rightarrow 2^Q$   
(with  $\epsilon$  moves)



$\Sigma = \{a\}$ 

DFA

Ex

$a: A \xrightarrow{a} B$  (fin)

$aa: A \xrightarrow{a} B \xrightarrow{a} B$  (fin)

$\vdots$

$A \xrightarrow{a} A \xrightarrow{a} B$  (fin)

$\epsilon x$

$a: A \xrightarrow{a} A \mid A \xrightarrow{a} B$  (this path halts at fin)

$aa:$

$aaa:$

All are NFAs

 $L = a^+$ 

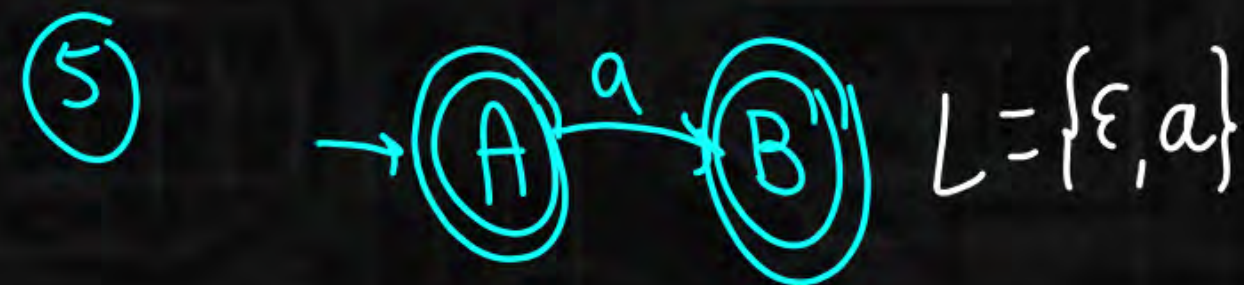
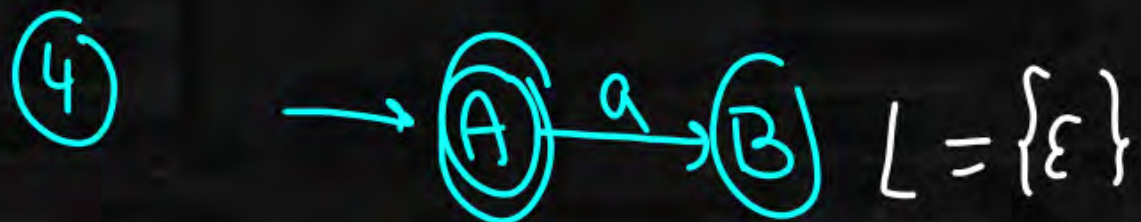
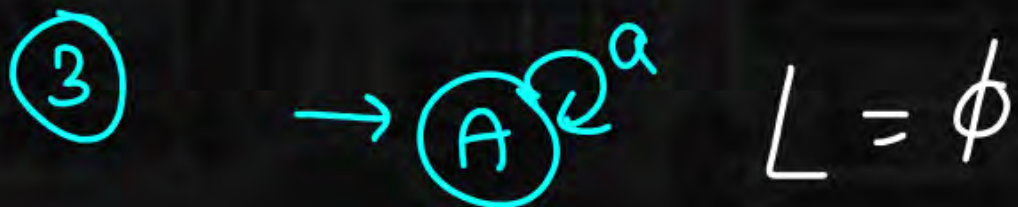
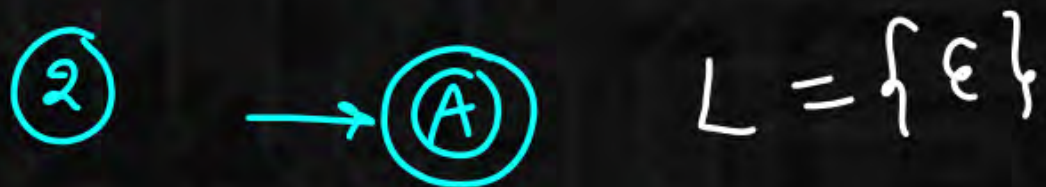
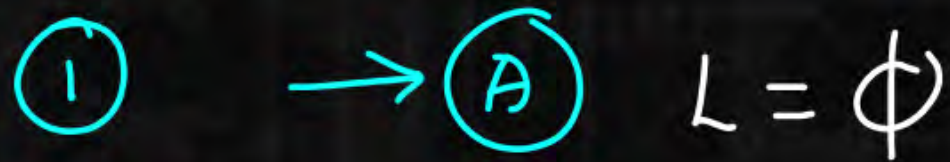
accepted by all NFAs



For every regular language,

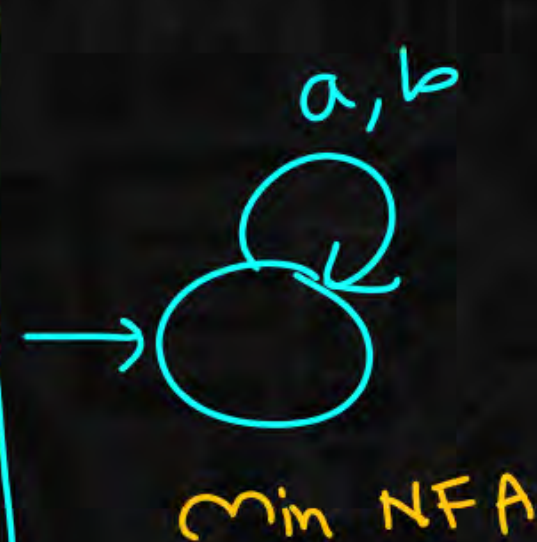
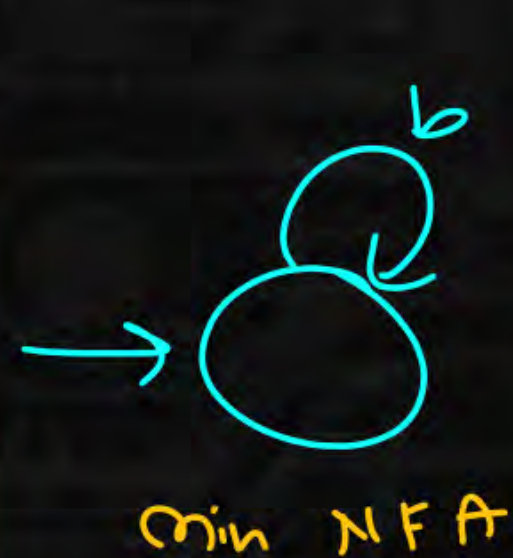
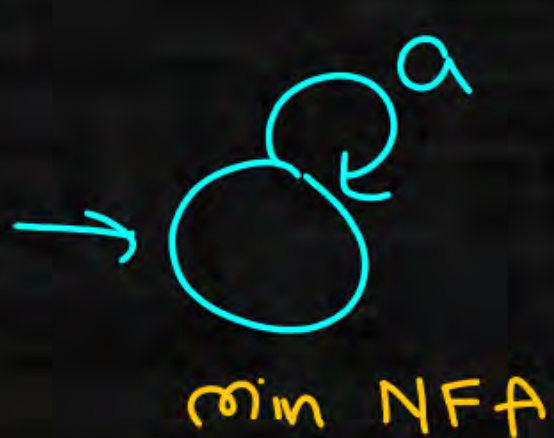
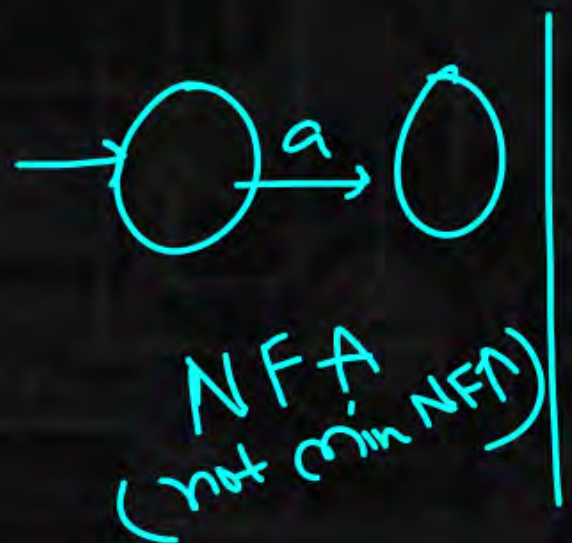
- i) only one min DFA exist
- ii) one or more min NFAs exist  
not infinite

Identify language accepted by NFA.  $\Sigma = \{a, b\}$

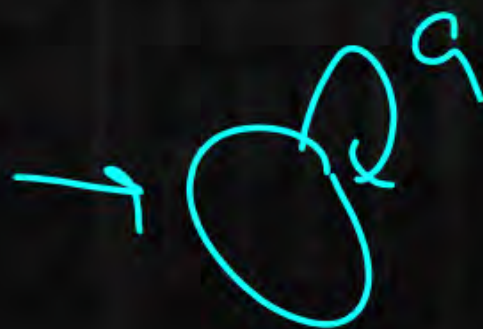
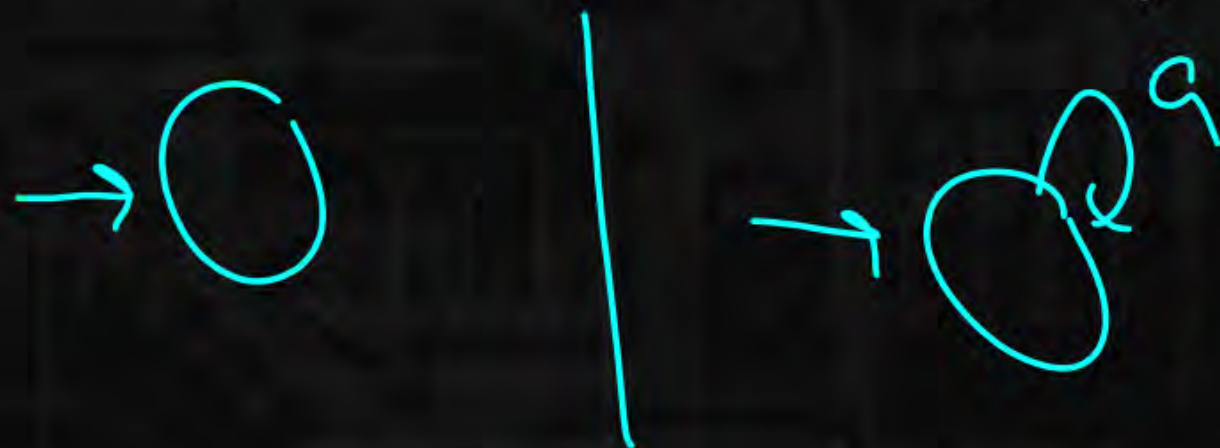




①  $L = \phi$  over  $\Sigma = \{a, b\}$



②  $L = \phi$  over  $\Sigma = \{a\}$



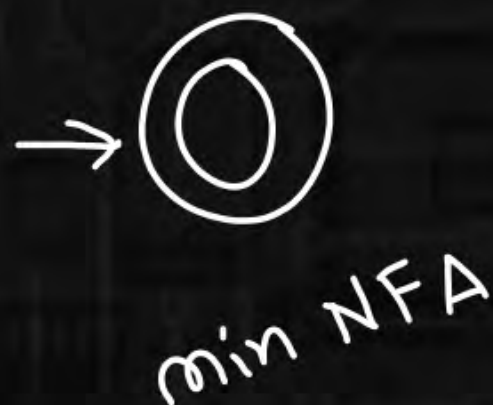


③  $L = \Sigma^*$  over  $\Sigma = \{a, b\}$





④  $L = \{\epsilon\}$  over  $\Sigma = \{a, b\}$

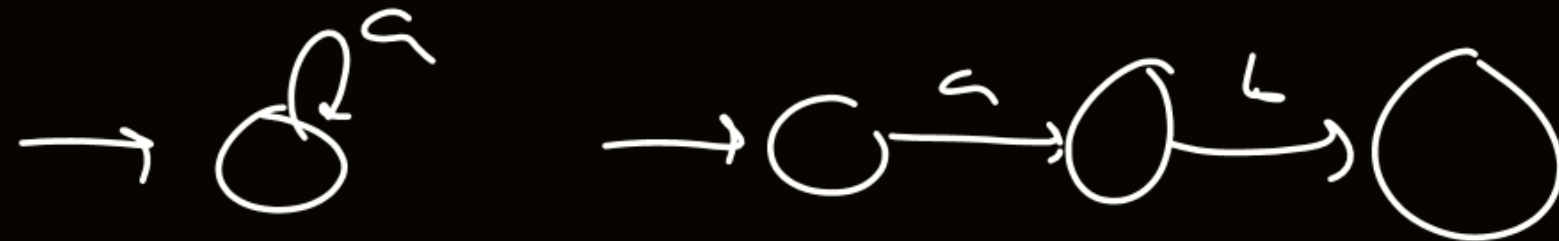


Note: Min DFA may or may not be min NFA.



$L = \emptyset$  over  $\Sigma = \{a, b\}$

$\Rightarrow$  Inf NFAs  $\mid \Rightarrow$  One min DFA  
 $\Rightarrow$  Inf DFAs  $\mid \Rightarrow$  One or more min NFAs

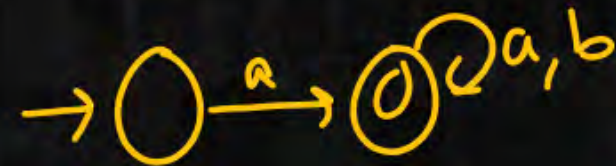




$$(5) \quad L = a(a+b)^*$$

3 states

2 states

Dead state  
not present

$$(6) \quad L = aa(a+b)^*$$

4

3

$$(7) \quad L = aba(a+b)^*$$

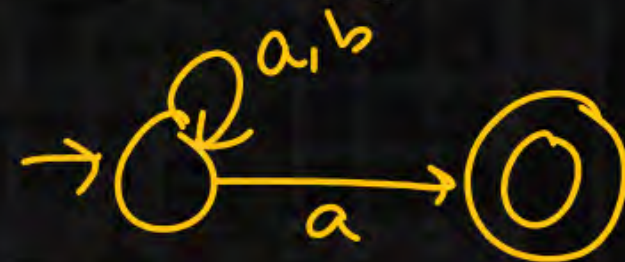
5

4

$$(8) L = (a+b)^*a$$

2

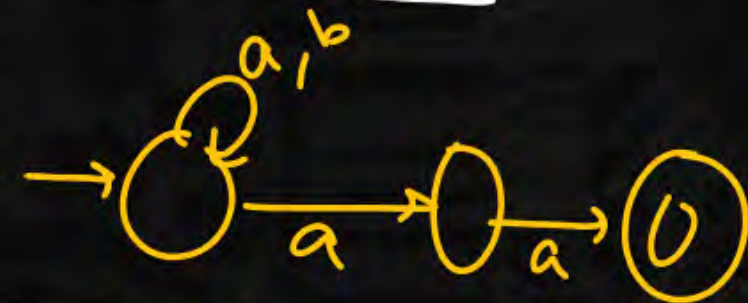
2



$$(9) L = (a+b)^*aa$$

3

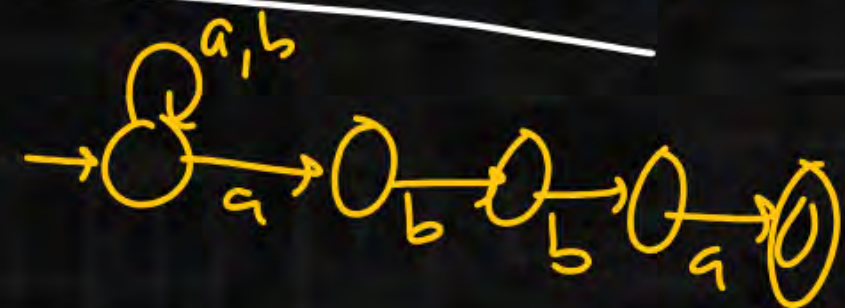
3



$$(10) L = (a+b)^*abba$$

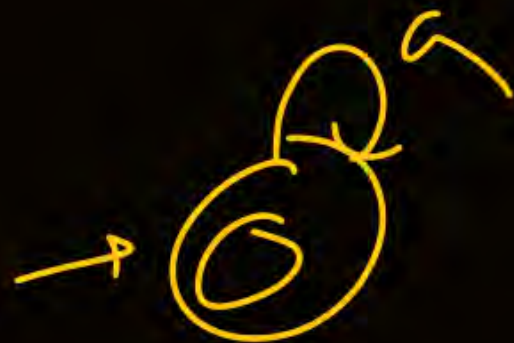
5

5

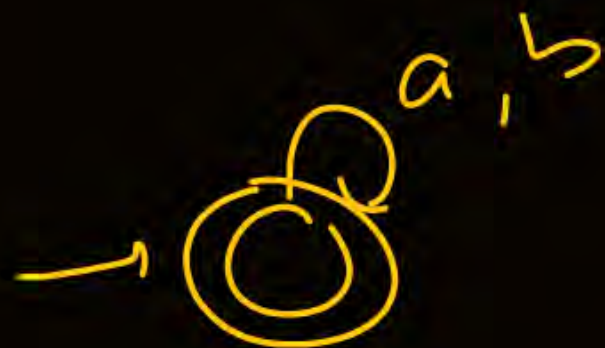




$a^*$



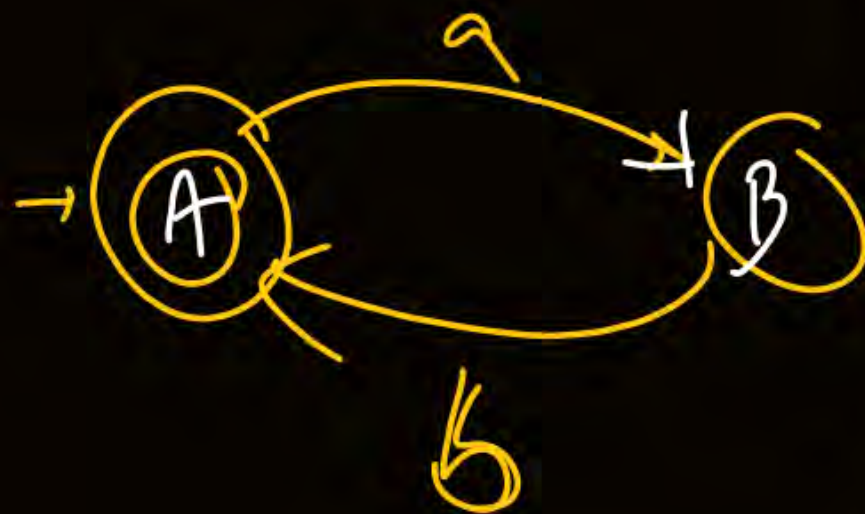
$(a+b)^*$



$b^*$



$(ab)^*$



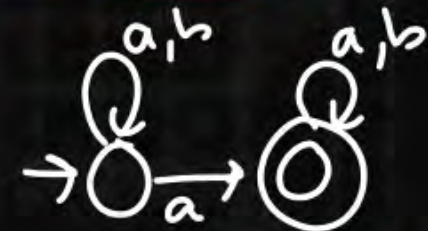
$\begin{array}{l|l} \epsilon \checkmark & \\ ab \checkmark & A \xrightarrow{a} B \xrightarrow{b} A \\ abab \checkmark & \underbrace{A}_{\text{fin}} \end{array}$

⑪  $L = (a+b)^* \underline{a} (a+b)^*$

min DFA

2

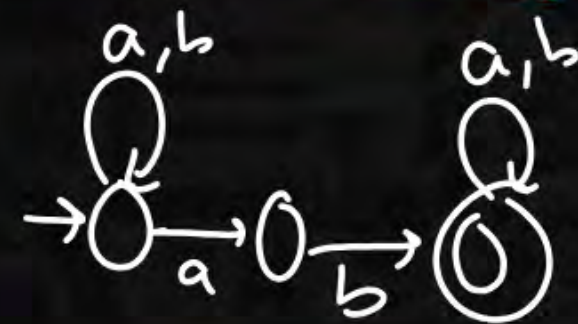
min NFA



⑫  $L = (a+b)^* ab (a+b)^*$

3

3



⑬  $L = (a+b)^* aaa (a+b)^*$

4

4



(14)  $\{w \mid w \in \{a,b\}^*, |w|=2\}$

4

3

(15)  $\{w \mid w \in \{a,b\}^*, |w| \leq 2\}$

4

3

(16)  $\{w \mid w \in \{a,b\}^*, |w| \geq 2\}$

3

3

(17)  $\{w \mid w \in \{a,b\}^*, |w| < 2\}$

3

2

(18)  $\{w \mid w \in \{a,b\}^*, |w| > 2\}$

4

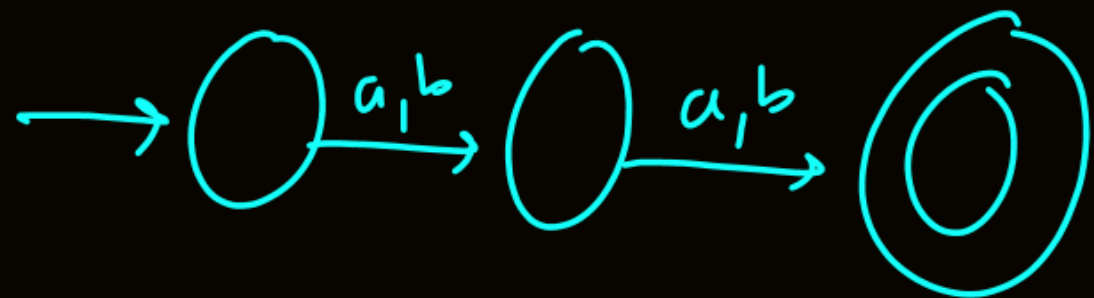
4

\*\*\* (19)  $\{w \mid w \in \{a,b\}^*, |w| \neq 2\}$

4

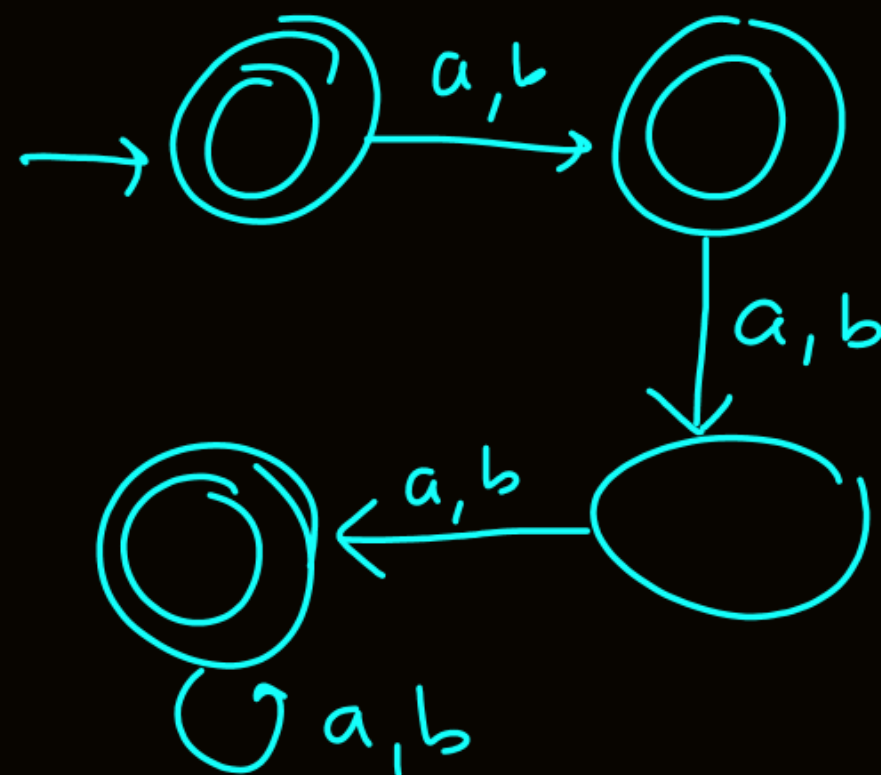
4

$$|W| = 2$$



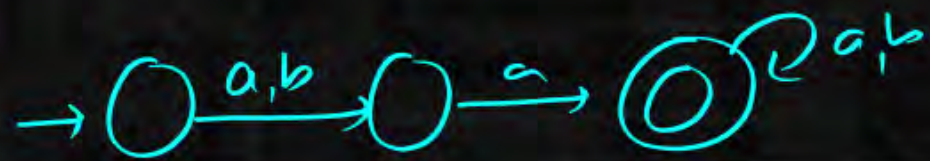
3 states

$$|W| \neq 2$$



4 states  
in NFA





(20)  $\{xaw \mid x \in \{a,b\}, w \in \{a,b\}^*\}$   
 $= (a+b)a(a+b)^*$

4

3

no dead state

(21)  $\{xyaw \mid x, y \in \{a,b\}, w \in \{a,b\}^*\}$

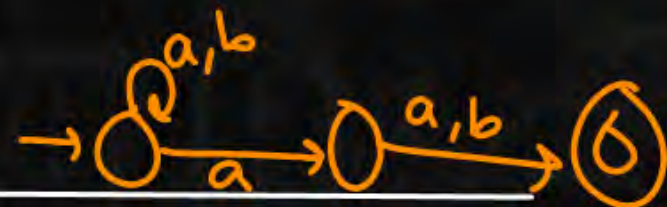
5

4

(22)  $\{wax \mid x \in \{a,b\}, w \in \{a,b\}^*\}$   
 $= (a+b)^*a(a+b)$

 $2^2 = 4$ 

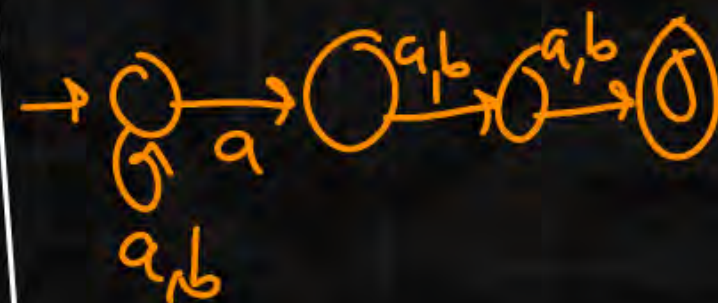
3



(23)  $\{waxy \mid x, y \in \{a,b\}, w \in \{a,b\}^*\}$   
 $(a+b)^*a(a+b)(a+b)$

 $2^3 = 8 \text{ states}$ 

4


 $k+2$   
 $k$  symbols

 $2^k$   
 $k$  symbols from end



min DFA 3rd symbol from end is 'a'



aaa  
aaab

aaba  
aabb

abaa

abab

abba

abbb



(24)

 $K^k$  symbol from begin is 'a'For DFA :  $K+2$  statesFor NFA :  $K+1$  states

\*(25)

 $K^k$  symbol from end is 'a'For DFA :  $2^k$  statesFor NFA :  $K+1$  states ✓

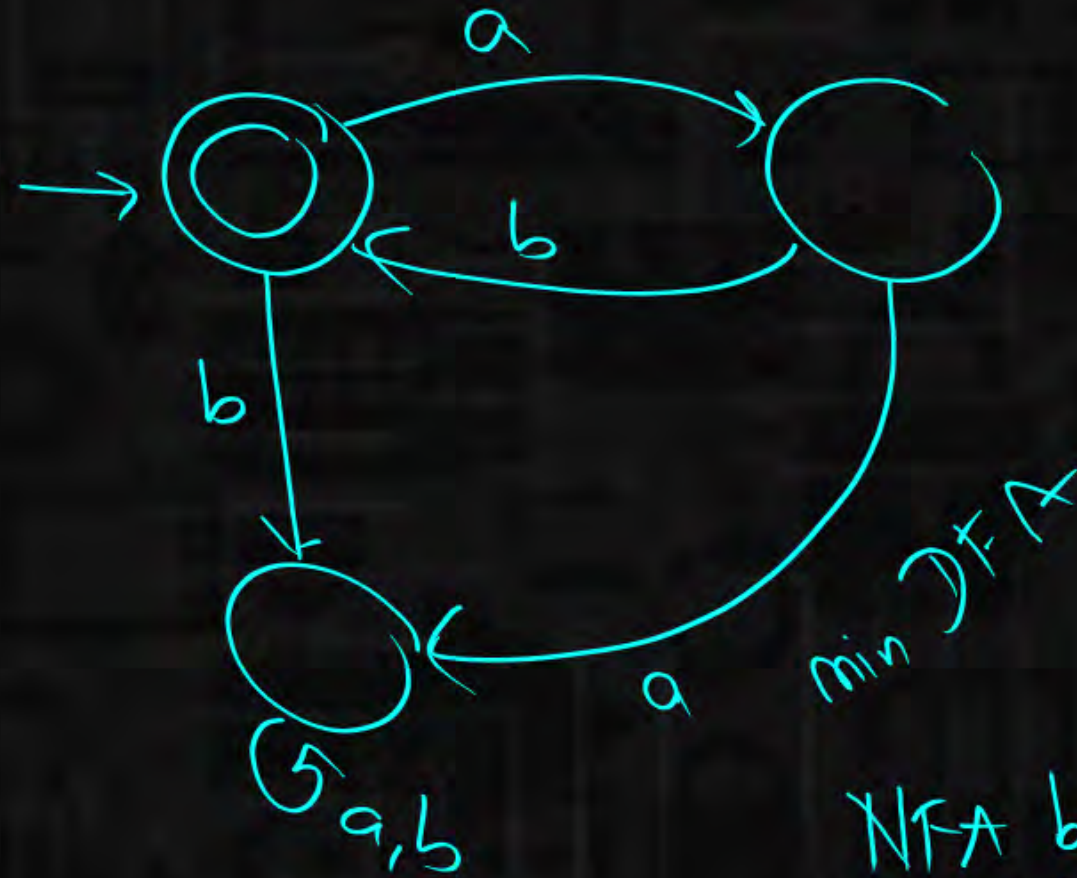


	Min DFA	Min NFA
I) $\underbrace{aaa}_{k=3} X$	$K+2$	$K+1$
II) $X \underbrace{aaa}_{k=3} X$	$K+1$	$K+1$
III) $X \underbrace{aaa}_{k=3}$	$K+1$	$K+1$
IV) $ w  = K$	$K+2$	$K+1$
V) $ w  \leq K$	$K+2$	$K+1$
VI) $ w  \geq K$	$K+1$	$K+1$
VII) $K^{\text{th}}$ symbol from begin is 'a'	$K+2$	$K+1$
VIII) $K^{\text{th}}$ symbol from end is 'a'	$2^K$	$K+1$

min string length = K

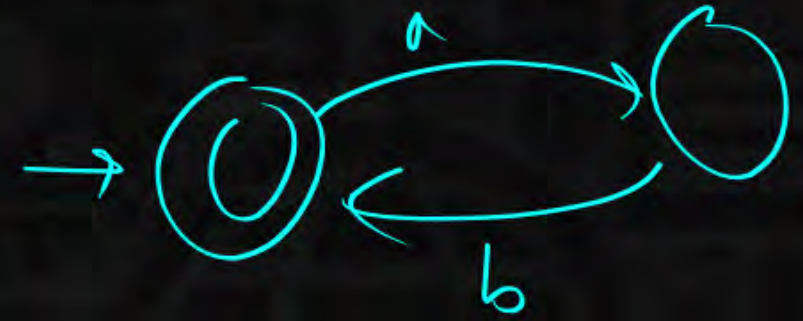


①  $L = (ab)^* = \{\epsilon, ab, abab, \dots\}$



min DFA

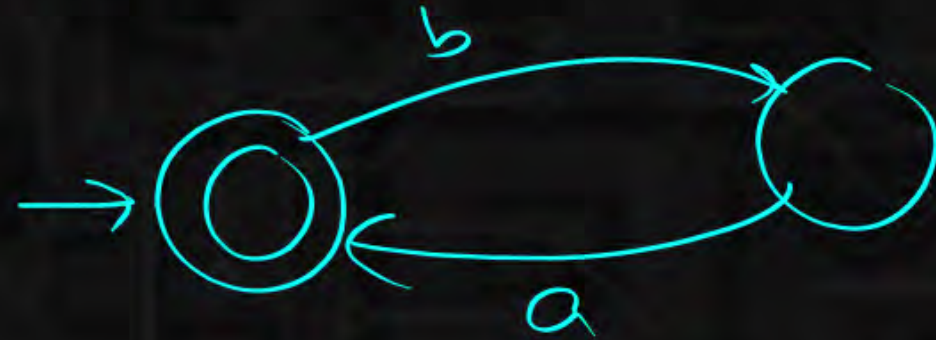
NFA but  
not min NFA



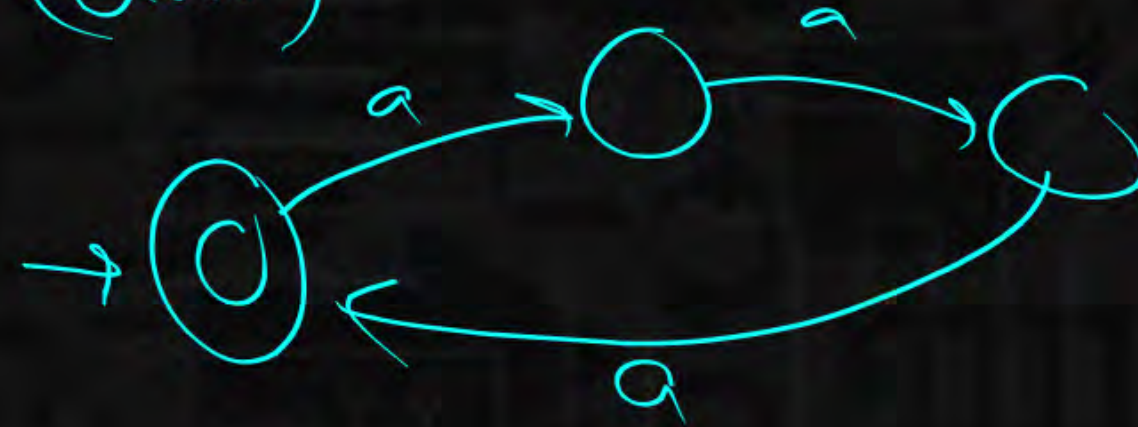
min NFA

$$(2) L = (ba)^*$$

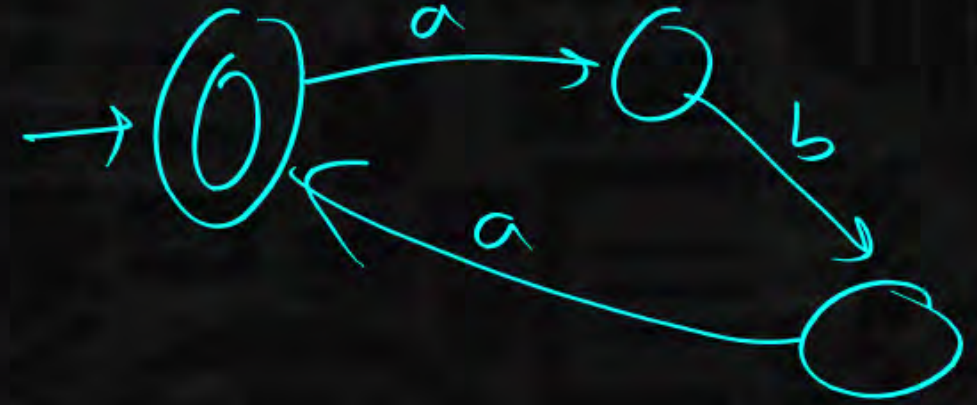
NFA



$$(3) L = (aaa)^*$$

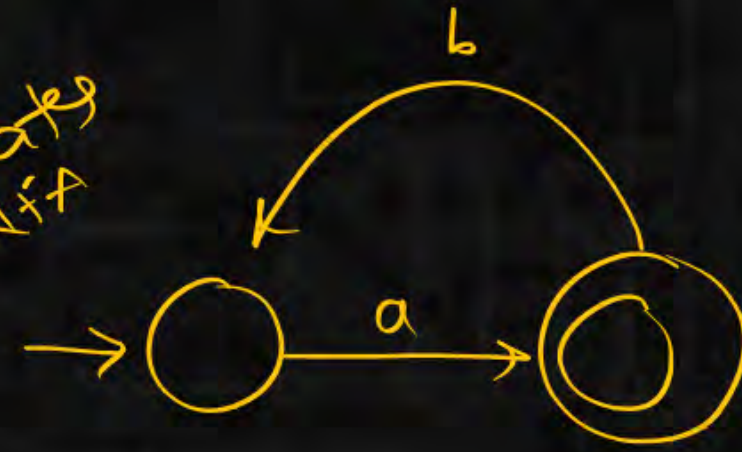
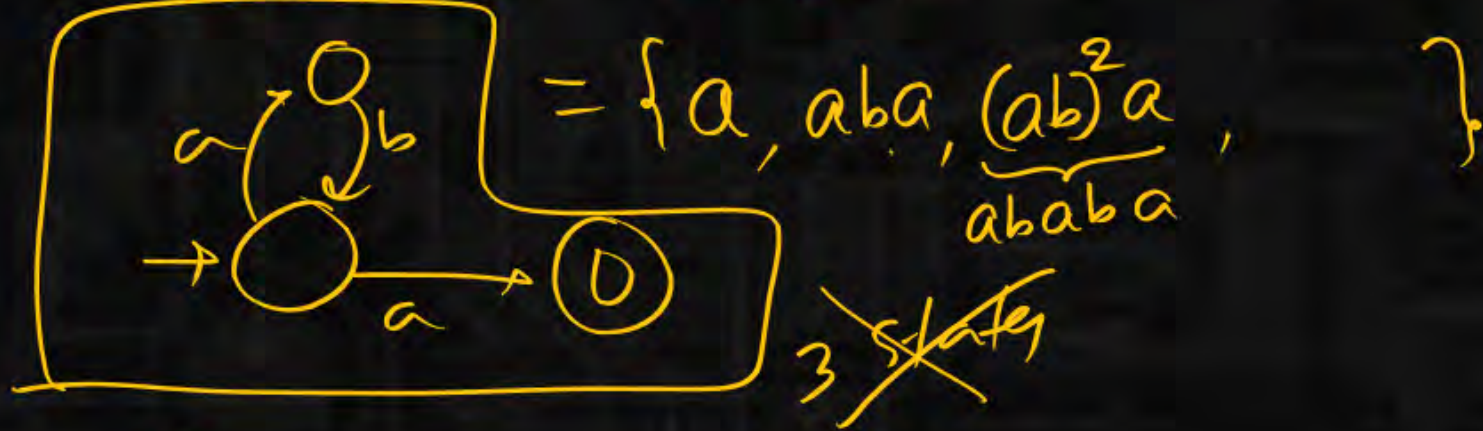


$$(4) L = (aba)^*$$



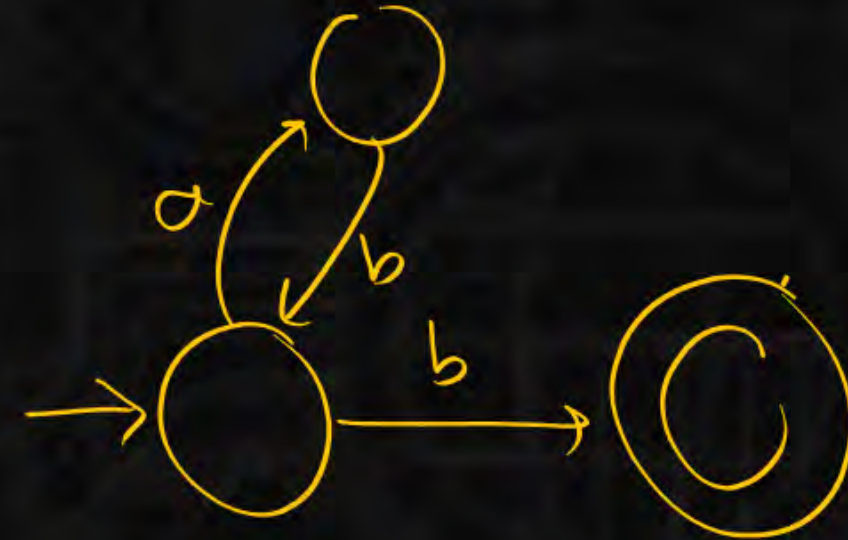


$$(5) \quad L = (ab)^*a = a(ba)^* \Rightarrow 2 \text{ states NFA}$$



$$(6) \quad L = (ab)^*b$$

$$= \{b, ab\underline{b}, abab\underline{b}, \dots\}$$



$Q$  = set of states in NFA

$2^Q$  = set of states in DFA

NFA



DFA

$n$  states

$\leq 2^n$  states  
(at most  $2^n$  states)

Min DFA  
( $\leq 2^n$  states)



$$Q = \{A, B, C\}$$

state of NFA

$$2^Q = P(Q) = \{ \emptyset, \{A\}, \{B\}, \{C\}, \{A, B\}, \{A, C\}, \{B, C\}, \{A, B, C\} \}$$

state of DFA

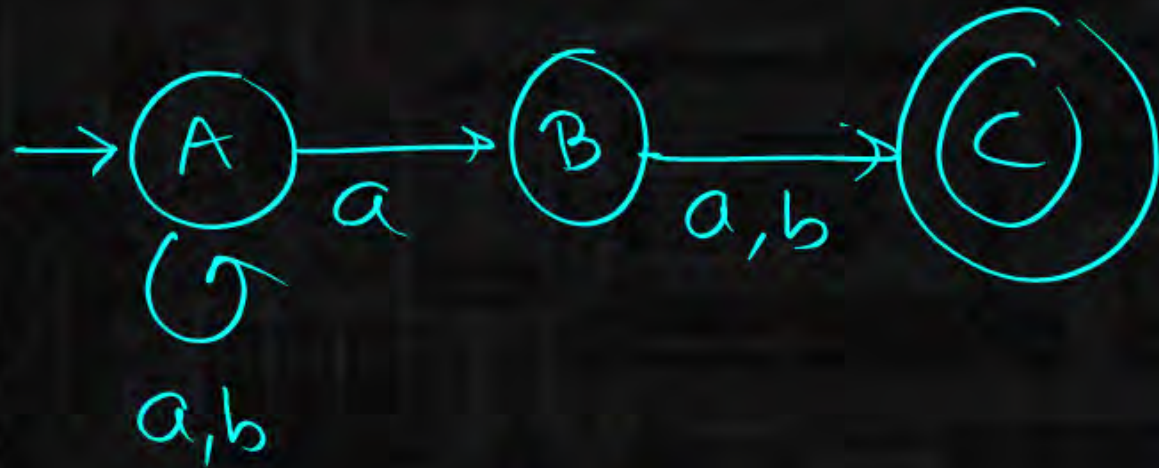
$$|Q| = n \Rightarrow |2^Q| = 2^n$$

NFA state                      DFA state



## Conversion from NFA to DFA

NFA	a	b
$\rightarrow A$	$\{A, B\}$	$\{A\}$
B	$\{C\}$	$\{C\}$
$\ast C$	$\emptyset$	$\emptyset$



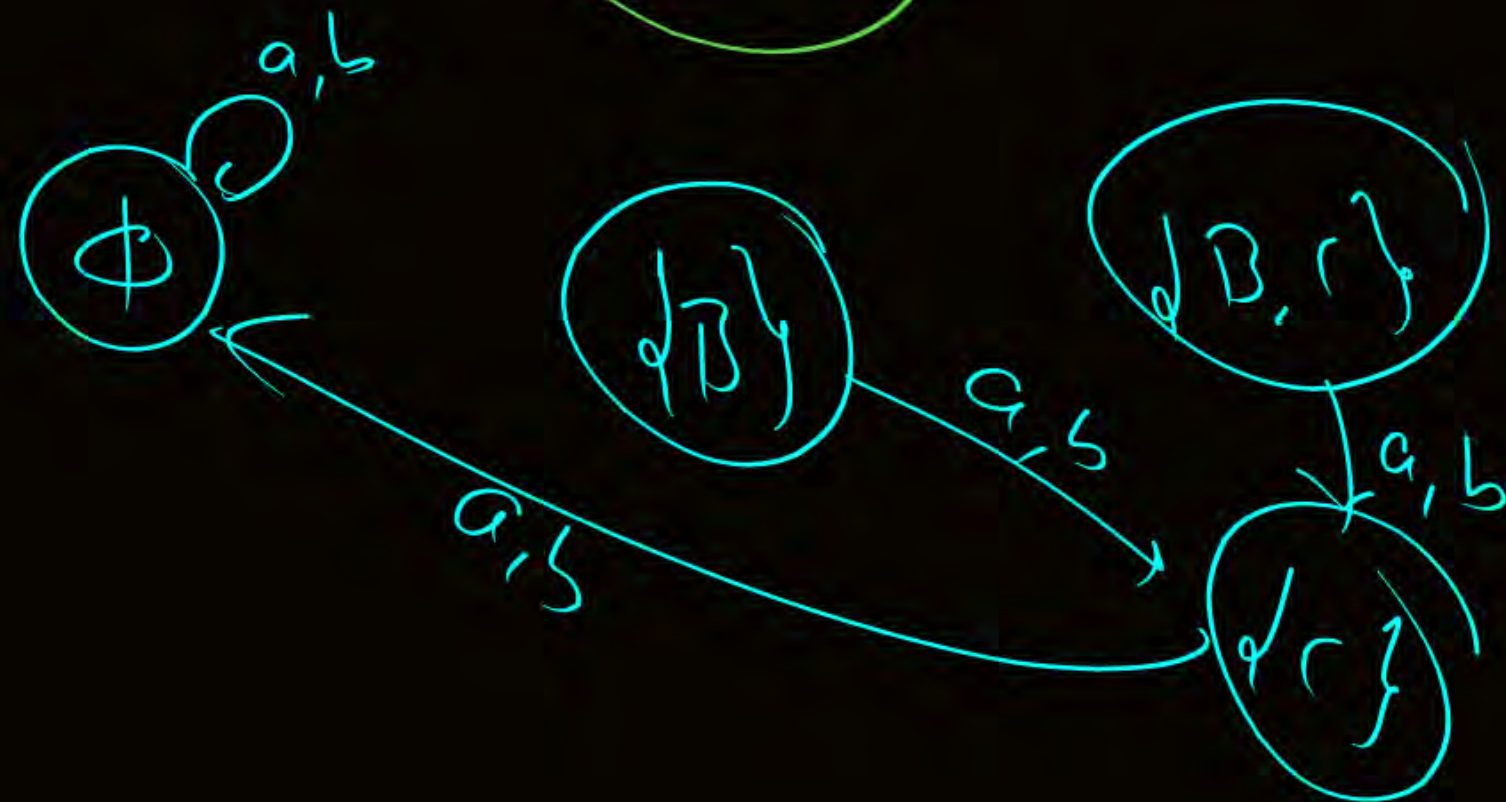
NFA

NFA =  $(Q, \Sigma, \delta, A, \{C\})$

$Q$   $\downarrow$   $\{A, B, C\}$   
 $\Sigma$   $\downarrow$   $\{a, b\}$   
 $\delta$   $\downarrow$  Initial  
 $A$   $\downarrow$  Initial  
 $\{C\}$   $\downarrow$  Final

	a	b
$\rightarrow \{A\}$	$\{A, B\}$	$\{A\}$
$\{A, B\}$	$\{A, B, C\}$	$\{A, C\}$
$\ast \{A, B, C\}$	$\{A, B, C\}$	$\{A, C\}$
$\ast \{A, C\}$	$\{A, B\}$	$\{A\}$





If NFA has  $n$  states then equivalent min DFA has atmost  $2^n$  states

If NFA has  $n$  states then equ. min DFA has max  $2^n$  states  
 $\left( \leq 2^n \right)$



# Summary



→ NFA

→ next: NFA with  $\epsilon$ -moves

