

# CS & IT ENGINEERING

## Algorithms

Divide & Conquer

Lecture No. - 03

By- Dr. Khaleel Khan  
Sir



# Recap of Previous Lecture



Topic

Binary Search

Topic

Merge Sort

Topic

Topic

Topic

# Topics to be Covered



Topic

Merge Sort

Topic

Quick Sort

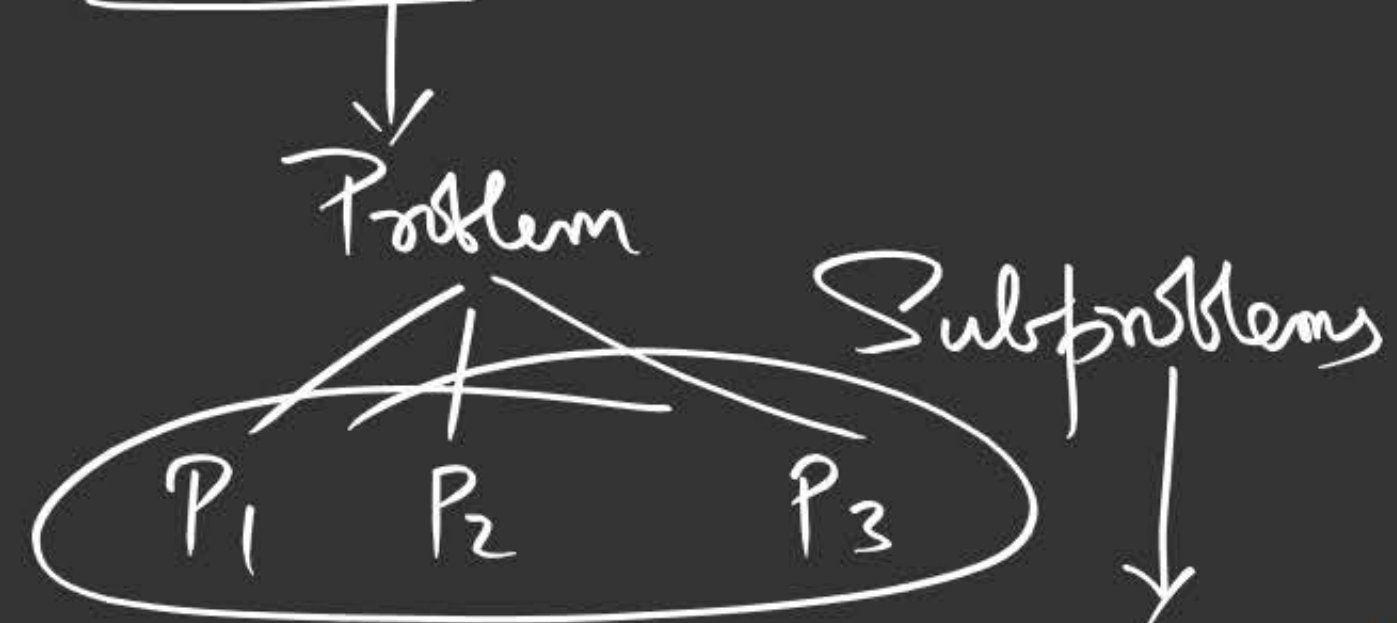
Topic

Topic

Topic



→ Divide C:



S<sub>small</sub> (one/two basic operation)

Time Complexity: Divide and Conquer Recurrence Relation

$$1) T(n) = a \cdot T(n/b) + f(n) \rightarrow \text{Combine} + \text{divide} + \text{S}_{\text{small}}$$

No. of Subproblems ( $a \geq 1$ )

Size of each the Subproblem



$$2) \quad T(n) = T(\alpha n) + T((1-\alpha)n) + f(n)$$

$$3) \quad T(n) = T(\alpha n) + T(\beta n) + T(\gamma n) + f(n)$$

---

a) Max-Min:  $T(n) = 2T(n/2) + 2 \Rightarrow \left(\frac{3n}{2} - 2\right) : O(n)$   
Space:  $O(\log n)$

---

b) Bin-Search:  $T(n) = T(n/2) + C \Rightarrow O(\log n)$   
Space:  $O(\log n)$

---

c) MergeSort:  $T(n) = 2T(n/2) + n \Rightarrow \Theta(n \cdot \log n)$

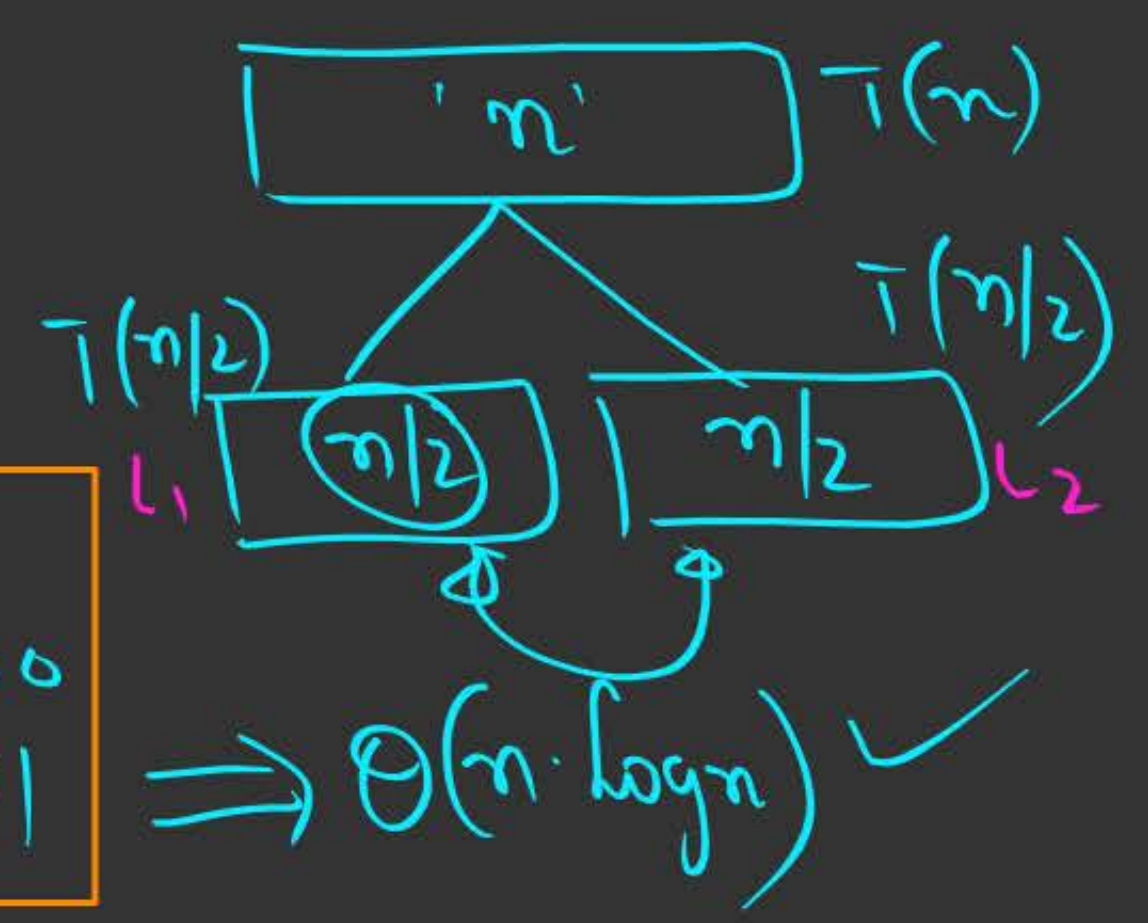
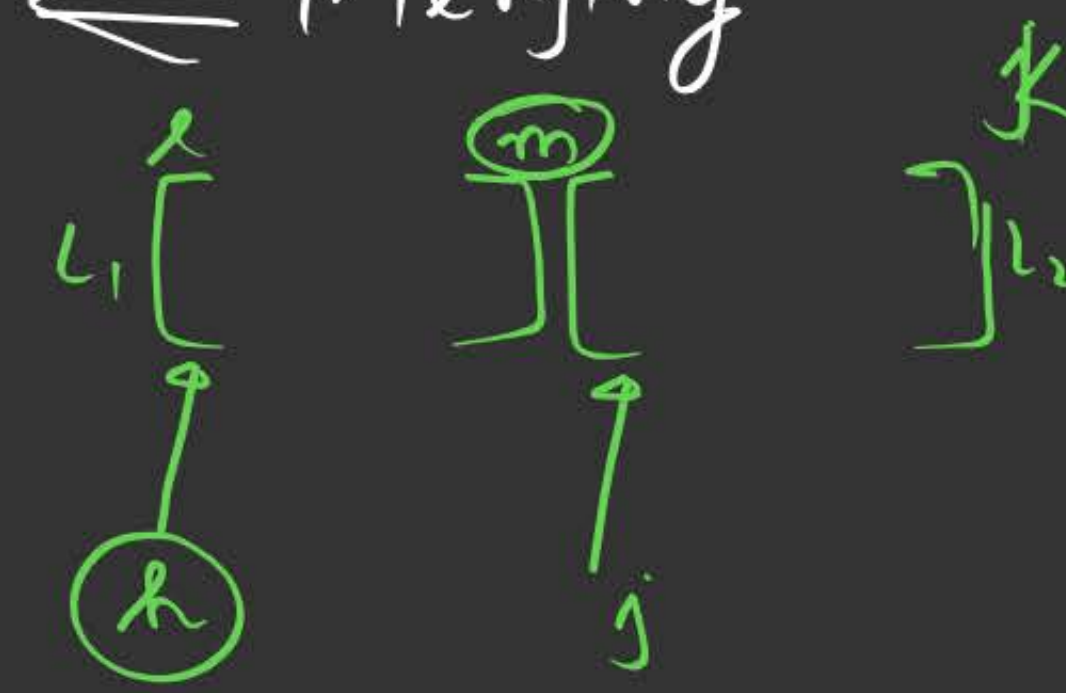
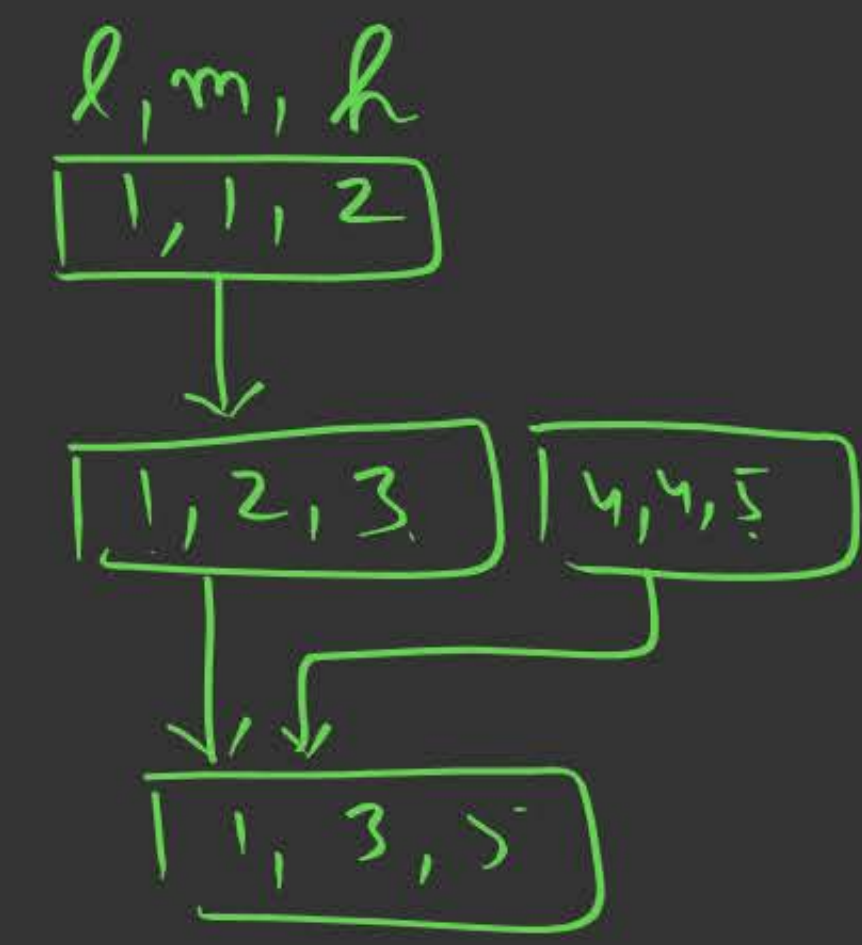
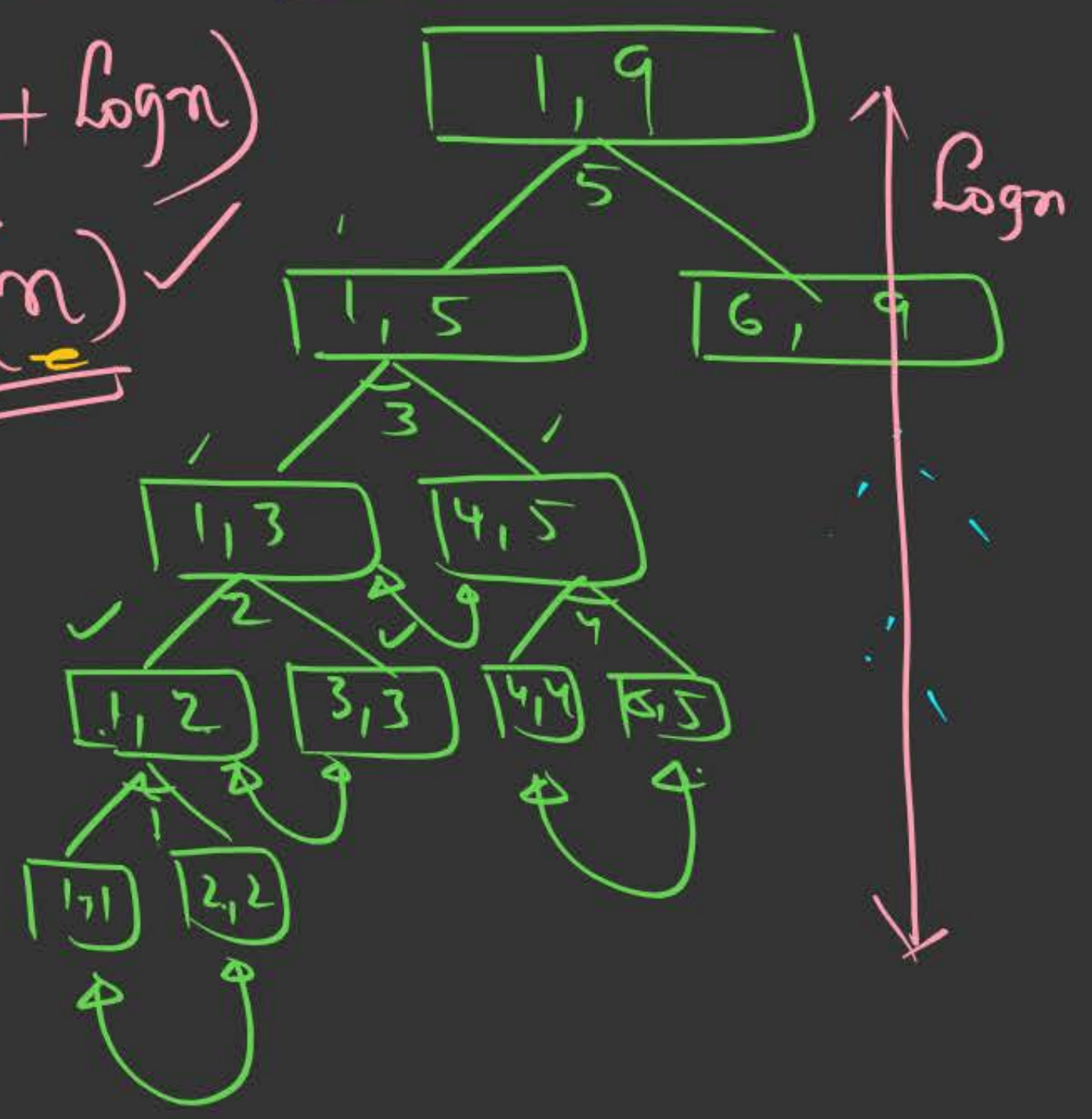


I/P Arr A: [ ' ' ]  
 B: [ 'n' ]

9] NOT-IN-PLACE  
 ← Merging

Space Complexity  
 $= (n + \log n)$   
 $= O(n)$  ✓

Divide



$$T(n) = c, n=1$$

$$= 2T(n/2) + \text{b.o}, n>1$$

$$\Rightarrow O(n \cdot \log n) \checkmark$$



1) Time : Alg. is efficient : Time is bounded by a Polynomial

2) Space : Alg is efficient :

↳ Space requirement is atmost  $O(\log n)$

↳  $O(1)$  ✓

↑  
recursion



## Topic : Divide and Conquer

```
1  Algorithm MergeSort(low, high)
2  // a[low : high] is a global array to be sorted.
3  // Small(P) is true if there is only one element
4  // to sort. In this case the list is already sorted.
5  {
6      if (low < high) then // If there are more than one element
7      {
8          // Divide P into subproblems.
9          // Find where to split the set.
10         mid :=  $\lfloor (low + high) / 2 \rfloor$ ;
11         // Solve the subproblems.
12         MergeSort(low, mid);
13         MergeSort(mid + 1, high);
14         // Combine the solutions.
15         Merge(low, mid, high);
16     }
17 }
```





# Topic : Divide and Conquer



```
1  Algorithm Merge(low, mid, high)
2  // a[low : high] is a global array containing two sorted
3  // subsets in a[low : mid] and in a[mid + 1 : high]. The goal
4  // is to merge these two sets into a single set residing
5  // in a[low : high]. b[ ] is an auxiliary global array.
6  {
7      h := low; i := low; j := mid + 1;
8      while ((h ≤ mid) and (j ≤ high)) do
9          {
10             if (a[h] ≤ a[j]) then
11                 {
12                     b[i] := a[h]; h := h + 1;
13                 }
14             else
15                 {
16                     b[i] := a[j]; j := j + 1;
17                 }
18             i := i + 1;
19         }
20     if (h > mid) then
21         for k := j to high do
22             {
23                 b[i] := a[k]; i := i + 1;
24             }
25     else
26         for k := h to mid do
27             {
28                 b[i] := a[k]; i := i + 1;
29             }
30     for k := low to high do a[k] := b[k];
31 }
```



V2: Bottom-up MergeSort / 2-way MergeSort:

Time Complexity:  $n \cdot \log n$

$A[i] = [ ]$   
list

Pass 3:  $[179 \quad 254 \quad 285 \quad 310 \quad 351 \quad 423 \quad 652 \quad 861]$  ✓

$$n = 2^k$$
$$k = \log n$$

Pass 2:  $[179 \quad 285 \quad 310 \quad 652] \quad [254 \quad 351 \quad 423 \quad 861]$

$$n/4 * 2$$

Pass 1:  $[285 \quad 310] \quad [179 \quad 652] \quad [351 \quad 423] \quad [254 \quad 861]$

$$n/4 * 3$$

$$n/2 * 1$$

A:  $[310] \quad [285] \quad [179] \quad [652] \quad [351] \quad [423] \quad [861] \quad [254]$   
1 2 3 4 5 6 7 8

$$n = 2^3$$



Pass 3: [ ] ✓

Pass 2:  $[x_1 \quad x_2 \quad y_1 \quad y_2] [z_1 \quad x_3]$

Pass 1:  $[y_2 \quad y_1] [x_1 \quad x_2] [z_1 \quad x_3]$

$[y_1] [y_2] [x_1] [x_2] [x_3] [z_1]$



Challenge Q's:  
2-way-Merge  
Sort

Calculate the Minimum & Maximum  
no. of Element Comparisons involved in  
2-way-Merge Sort, Assuming  $n = 2^k$ ;  
( $k > 0$ )

---

Super Q :  
Challenge

"

$n$  is not a power 2 ;



3) For merging two sorted lists of sizes  $m$  and  $n$  into a sorted list of size  $m+n$ , we require comparisons of

- (a)  $O(m)$   
 (c)  $O(m+n)$

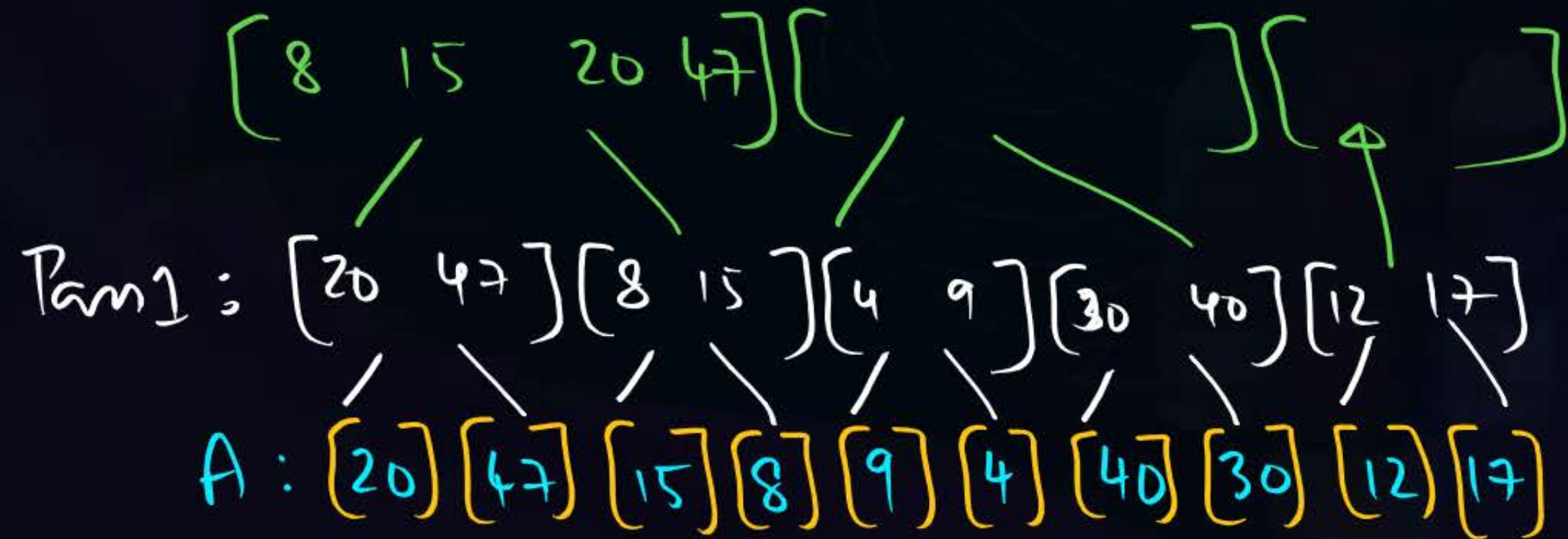
- (b)  $O(n)$   
 (d)  $O(\log m + \log n)$

2) If one uses straight two-way merge sort algorithm to sort the following elements in ascending order:

20, 47, 15, 8, 9, 4, 40, 30, 12, 17

then the order of these elements after second pass of the algorithm is:

- (a) 8, 9, 15, 20, 47, 4, 12, 17, 30, 40
- ✓ (b) 8, 15, 20, 47, 4, 9, 30, 40, 12, 17
- (c) 15, 20, 47, 4, 8, 9, 12, 30, 40, 17
- (d) 4, 8, 9, 15, 20, 47, 12, 17, 30, 40





1. Assume that Merge Sort takes 30sec to Sort 64 elements in worst case.  
 \* What is the approximate number of elements that can be Sorted in the Worst Case using Merge Sort using 6 minutes? (n)

1)  $n=64 \rightarrow T() = 30s$  (Platform dep.)  
 $\downarrow$   
 Apriori:  $(64 \times \log_2 64)^{M.S}$   
 $= (6 \times 64 \text{ units}) \rightarrow 30s$   
 1 unit  $\rightarrow ?$

$$\frac{30s}{6 \times 64} \rightarrow 1 \text{ unit}$$

$$360s \rightarrow ?$$

512 elements

$(n \log n) \text{ units}$

$\frac{360 \times 6 \times 64}{30} \text{ units} \Rightarrow 'n' \text{ elements}$   
 4608

4608 units  $\rightarrow 'n' \text{ elements}$

$n \cdot \log n = 4608$   
 $K \cdot 2^K = 4608$   
 $9 \times 512 = 4608$   
 $K=9$   
 Get  $n=2^K$   
 $n=2^9$   
 $n=512$



4) Quick Sort [ Partition-Exchange Sort ] : Tony Hoare

→ Quick Sort is based on Partitioning (Pivoting) Process;

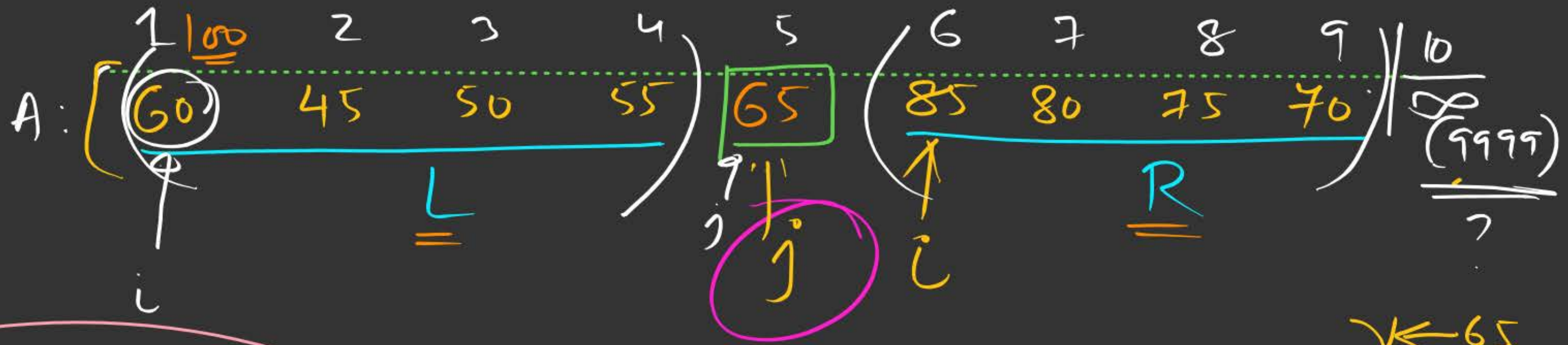
→ Partitioning Process [Divide]

Pivot = 65  
 $O(n)$

	1	2	3	4	5	6	7	8	9
A:	65	70	75	80	85	60	55	50	45
	(60	55	50	45)	65	(70	75	80	85)

- (i) Find the correct place of Pivot in the Final Sorted list;
  - (ii) Ensures that all elements that less than Pivot are placed to its left
  - (iii) " " " " " greter " " " " " "
- Right





⇒ Impl. of QS requires  
an additional element ( $n+1$ )  
initialized to  $\infty$  (9999)

→ (Impl. loop)

Diagram illustrating the partitioning process with indices  $i$  and  $j$ .

$i$	$j$
1	10
2	9
3	8
4	7
5	6
6	5
10	19

An arrow points from the value 65 in the first diagram to the value 5 in the second diagram, indicating the pivot element.





## Topic : Divide and Conquer

1. Algorithm QuickSort (p, q)
2. // Sorts the elements  $a[p], \dots, a[q]$  which reside in the global
3. // array  $a[1 : n]$  into ascending order:  $a[n + 1]$  is considered to
4. // be defined and must be  $\geq$  all the elements in  $a[1 : n]$ .
5. {
6.     if ( $p < q$ ) then // If there are more than one element
7. {
8.     // divide P into two subproblems.
9.     <sup>5</sup>  $j := \text{Partition}(a, p, q + 1);$
10.     // j is the position of the partitioning element.





## Topic : Divide and Conquer

```
11.      // Solve the subproblems.
12.      L: QuickSort(1p, 4j - 1);
13.      R: QuickSort(6j + 1, 10q);
14.      //There is no need for combining solutions.
15.      }
16.  }
```



## Topic : Divide and Conquer

1. Algorithm Partition ( $a, m, p$ )
2. // Within  $a[m], a[m+1], \dots, a[p-1]$  the elements are
3. // rearranged in such a manner that if initially  $t = a[m]$ ,
4. // then after completion  $a[q] = t$  for some  $q$  between  $m$
5. // and  $p - 1$ ,  $a[k] \leq t$  for  $m \leq k < q$ , and  $a[k] > t$
6. // for  $q < k < p$ .  $q$  is returned. Set  $a[p] = \infty$ .
7. {
8.      $v := a[m]; i := m; j := p;$
9.     repeat
10.     {





## Topic : Divide and Conquer

```
11.  repeat
12.      L → R      i := i + 1;
13.  until (a[i] ≥ v);
14.  repeat
15.      R → L      j := j + 1;
16.  until (a[j] ≤ v);
17.      if (i < j) then Interchanged (a, i, j);
18.  } until (i ≥ j);
19.  a[m] := a[j]; a[j] := v; return j;
20. }
```

*Handwritten annotations:*

- Repeat* (with a bracket pointing to lines 11-12)
- Loop* (with an arrow pointing to the first repeat loop)
- i* (with a vertical line and a 1 below it)
- Elem. Comparison* (with a red circle around the comparison conditions)

A [ 'n' ]  $(n-1)$

Time-Complexity of  
Partition :  $O(n)$



## Topic : Divide and Conquer

```
1  Algorithm Interchange (a,i,j)
2  // Exchange a[i] with a[j].
3  {
4      p := a[i];
5      a[i] := a[j]; a[j] := p;
6  }
```





## Topic : Divide and Conquer

```
1  Algorithm QuickSort( $p, q$ )
2  // Sorts the elements  $a[p], \dots, a[q]$  which reside in the global
3  // array  $a[1 : n]$  into ascending order;  $a[n + 1]$  is considered to
4  // be defined and must be  $\geq$  all the elements in  $a[1 : n]$ .
5  {
6      if ( $p < q$ ) then // If there are more than one element
7      {
8          // divide  $P$  into two subproblems.
9           $j := \text{Partition}(a, p, q + 1);$ 
10         //  $j$  is the position of the partitioning element.
11         // Solve the subproblems.
12         QuickSort( $p, j - 1$ );
13         QuickSort( $j + 1, q$ );
14         // There is no need for combining solutions.
15     }
16 }
```



# Topic : Divide and Conquer

```
1  Algorithm Partition( $a, m, p$ )
2  // Within  $a[m], a[m+1], \dots, a[p-1]$  the elements are
3  // rearranged in such a manner that if initially  $t = a[m]$ ,
4  // then after completion  $a[q] = t$  for some  $q$  between  $m$ 
5  // and  $p-1$ ,  $a[k] \leq t$  for  $m \leq k < q$ , and  $a[k] \geq t$ 
6  // for  $q < k < p$ .  $q$  is returned. Set  $a[p] = \infty$ .
7  {
8       $v := a[m]; i := m; j := p;$ 
9      repeat
10     {
11         repeat
12              $i := i + 1;$ 
13         until ( $a[i] \geq v$ );
14
15         repeat
16              $j := j - 1;$ 
17         until ( $a[j] \leq v$ );
18
19         if ( $i < j$ ) then Interchange( $a, i, j$ );
20     } until ( $i \geq j$ );
21
22      $a[m] := a[j]; a[j] := v$ ; return  $j$ ;
23 }

1  Algorithm Interchange( $a, i, j$ )
2  // Exchange  $a[i]$  with  $a[j]$ .
3  {
4       $p := a[i];$ 
5       $a[i] := a[j]; a[j] := p;$ 
6  }
```



Performance :

(i) Time Complexity :

R. Stack Faster

$(\log n)$

$I_1: \langle n, \overset{L}{(\frac{n}{2})} \boxed{a_1} \overset{R}{(\frac{n}{2})} \rangle$

2<sup>nd</sup>

$(\boxed{\phantom{a_1}} \cdot \boxed{\phantom{a_1}}) \boxed{a_1} (\boxed{\phantom{a_1}} \cdot \boxed{\phantom{a_1}})$

m.s

$$T(n) = O(n) + 2T(n/2)$$

$O(n \cdot \log n)$

$T(n)$   
 $I: \langle \textcircled{n}, \underline{a_1}, a_2, \dots, a_n \rangle$

Best Case

$I$  (unsorted)

Partition:  $O(n)$

Worst Case

Similar II

Slower

$I_2: \langle \overset{L}{(\frac{n-1}{n-2})} \cdot \boxed{a_1} \rangle$

acc

$I_3: \langle \boxed{a_1} \overset{R}{(\dots n-1)} \rangle$

inc

(Sorted order)

$$T(n) = O(n) + T(n-1) \Rightarrow O(n^2)$$

Note Qs behaves in w.c, elements are already sorted

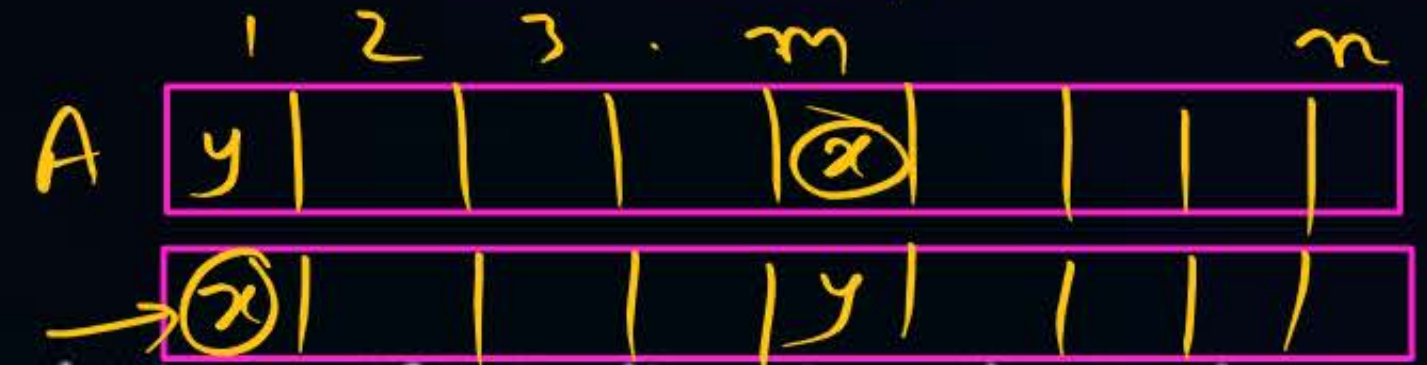
## Space Complexity :

1) Best-Case :  $O(\log n)$

2) Worst Case :  $O(n)$



1. In using Quick Sort suppose the central element of the Array is always chosen as the Pivot then the worst case complexity of the Quick Sort may be  $O(n^2)$ .



2. The Median on Array of size  $n$  can be found in  $O(n)$  time. If Median is selected as Pivot, then the worst case complexity of Quick Sort is \_\_\_\_\_.

$$T(n) = \underbrace{O(n)}_{\text{Median}} + \underbrace{O(n)}_{\text{Partitioning}} + 2T(n/2) \therefore \underline{O(n \cdot \log n)}$$

Smaller



3. In applying Quick Sort to an unsorted list if  $(n/4)$  the element is selected as Pivot then the Time Complexity of Quick Sort will be \_\_\_\_\_.

$$T(n) = O(n) + O(n) + T(n/4) + T(3n/4) \Rightarrow O(n \log n) \checkmark$$

Diagram illustrating the partitioning of an array of size  $n$  into two parts:  $L$  (size  $n/4$ ) and  $R$  (size  $3n/4$ ). The pivot is chosen as the element at index  $n/4$ .



4. Consider the Quicksort algorithm. Suppose there is a procedure for finding a pivot element which splits the list into two sublists each of which contains at least one-fifth of the elements. Let  $T(n)$  be the number of comparisons required to sort  $n$  elements. Then

(a)  $T(n) \leq 2T(n/5) + n$

(c)  $T(n) \leq 2T(4n/5) + n$

✓ (b)  $T(n) \leq T(n/5) + T(4n/5) + n$

(d)  $T(n) \leq 2T(n/2) + n$

$$T(n) = O(n) + T(n/5) + T(4n/5)$$



**THANK - YOU**