

# Database Management System

## Transaction & Concurrency Control

DPP 03

[NAT]

1. Consider the following schedule

S:  $w_1(X); w_1(Y); r_2(X); w_2(Y); r_3(X); w_3(Y)$

How many schedules are conflict equivalent to given schedule (S) \_\_\_\_\_?

[NAT]

2. Consider the following schedule

S =  $r_1(P); r_3(S); w_1(Q); r_2(Q); r_4(Q); w_2(R);$

$r_5(R); w_4(T); r_5(T); w_5(S)$

How many serial schedules conflict equal to schedules(S)? \_\_\_\_\_.

[NAT]

3. Consider the following schedule

S =  $r_1(P); r_3(S); w_1(Q); r_2(Q); r_4(Q); w_2(R);$

$r_5(R); w_4(T); r_5(T); w_5(S)$

How many serial schedules view equal to schedule(S) \_\_\_\_\_?

[MCQ]

4. Consider the following transactions

$T_1: r_1(P); w_1(P); r_1(Q); w_1(Q)$

$T_2: r_2(P); r_2(Q)$

$T_3: w_3(P); w_3(Q)$

How many concurrent schedules between  $T_1$ ,  $T_2$  and  $T_3$  transactions \_\_\_\_\_?

- (a) 400
- (b) 410
- (c) 420
- (d) None

[NAT]

5. How many views equivalent serial schedules are possible for the given schedules below \_\_\_\_\_

S:  $w_1(P); r_2(P); w_3(P); r_4(P); w_5(P); r_6(P)$

[MCQ]

6. The goal of concurrency control on database system is to

- (a) Only allow concurrent execution of transaction that correspond to serial execution of some of the transactions.
- (b) Allow only transactions that don't access common relationship to run concurrently.
- (c) Execute transactions serially.
- (d) None of the above.

[MCQ]

7. What problem can occur when a DBMS executes multiple transactions concurrently?

- (a) Lost update problem.
- (b) Dirty read problem.
- (c) Incorrect summary problem.
- (d) All of the above.

[MCQ]

8. Consider the following statements

$S_1$ : Every view serializable schedule is conflict serializable.

$S_2$ : Some view serializable schedules are conflict serializable.

- (a) Only  $S_1$  is true.
- (b) Only  $S_2$  is true
- (c) Both  $S_1$  &  $S_2$  are true
- (s) Neither  $S_1$  nor  $S_2$  is true

[MCQ]

9. Consider the following schedule involving two transactions

$S_1: r_1(A); r_2(A); w_2(A); r_3(A); w_1(A); w_2(B); r_3(B),$   
 $c_2, w_3(A); c_1, c_3$

$S_2: r_2(A); r_1(A); w_1(A); w_2(A); w_2(A); r_3(A); w_3(A),$   
 $r_2(B); c_1, c_3; c_2$

Which one of the following statements is TRUE?

- (a)  $S_1$  is recoverable and  $S_2$  is not recoverable.
- (b)  $S_1$  is not recoverable and  $S_2$  is recoverable.
- (c) Both  $S_1$  and  $S_2$  are recoverable.
- (d) Both  $S_1$  and  $S_2$  are not recoverable.

**[MCQ]**

**10.** Consider the following schedule:

**S:**  $r_1(A)$ ;  $r_2(C)$ ;  $w_1(A)$ ;  $r_3(A)$   $r_2(B)$ ;  $w_2(B)$ ,  $w_3(A)$ ;  
 $r_3(B)$ ;  $r_2(A)$

for the schedule S given above two orderings of commits ( $c_i$ ) operations are specified.

**I.**  $c_1$ ;  $c_3$ ;  $c_2$

**II.**  $c_1$ ;  $c_2$ ;  $c_3$

Which of the above ordering ensures recoverability of schedule S?

- (a) Only I
- (b) Both I and II
- (c) Only II
- (d) None of these

**[MCQ]**

**11.** Consider the following partial schedule 'S' involving two transaction  $T_1$  and  $T_2$

Time	$T_1$	$T_2$
$t_0$	read(P);	
$t_1$	write(P);	
$t_2$		read(R);
$t_3$		write(R);
$t_4$		read(Q);
$t_5$		write(Q);
$t_6$		read(P);
$t_7$		commit;
$t_8$	read(Q);	

Suppose that the transaction  $T_1$  fails immediately after time instance 8. Which one of the following is correct?

**$S_1$ :** Schedule S is non recoverable and cannot ensure transaction atomicity

**$S_2$ :** Only  $T_2$  should be aborted and then restarted to ensure truncation atomicity

- (a) Only  $S_1$  is true
- (b) Only  $S_2$  is true
- (c) Both  $S_1$  and  $S_2$  are true
- (d) Both  $S_1$  and  $S_2$  are false

## Answer Key

- |         |         |
|---------|---------|
| 1. (8)  | 7. (d)  |
| 2. (10) | 8. (b)  |
| 3. (10) | 9. (a)  |
| 4. (c)  | 10. (d) |
| 5. (2)  | 11. (a) |
| 6. (a)  |         |



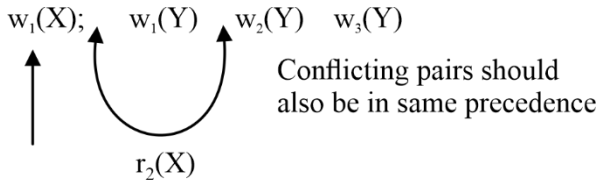
## Hints & Solutions

1. (8)

Given schedule

S:  $w_1(X); w_1(Y); r_2(X); w_2(Y); r_3(X); w_3(Y)$

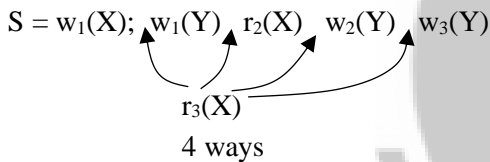
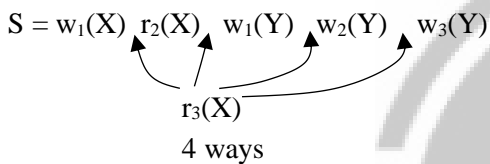
Conflict equivalent schedules to above schedules.



Transaction  $T_1$  operations must be in same order

There are 2 ways  $r_2(X)$  placed such that it must be before  $w_2(Y)$  and conflicting pairs should be in precedence.

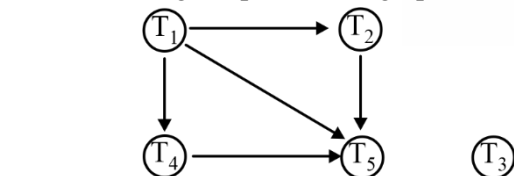
Hence 2 possibilities to place  $r_2(x)$  to avoid conflict equivalence in above schedule.



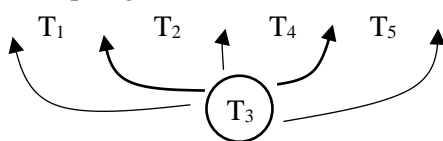
Total 8 conflict equal schedules to the given schedule.

2. (10)

Constructing the precedence graph

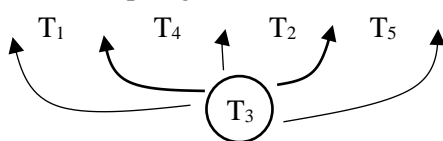


Topological orders



5 ways

Topological orders



5 ways

Total 10 topological orders.

As we know that number of serial schedule conflict 'S' is equal to number of topological orders.

3. (10)

Final write Q:  $T_1 T_5$

Initial Reads

Data item	Initial reads	Writes
P	$T_1$	-
Q	-	$T_1 T_5$
R	-	$T_2$
S	$T_3$	-
T	-	$T_4$

Updated reads

$w_1(Q) \rightarrow r_2(Q)$   
 $r_4(Q)$

$T_5$  also writes Q

$w_2(R) \rightarrow r_5(Q)$

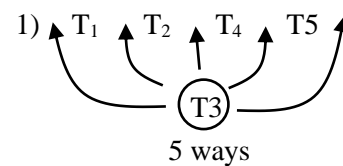
$w_4(T) \rightarrow r_5(T)$

$T_1$	$T_5$
-	-
$T_1 \rightarrow T_2$	
$T_1 \rightarrow T_4$	
$T_2 \rightarrow T_5$	
$T_4 \rightarrow T_5$	

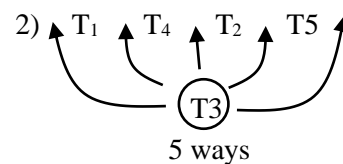
view equal serial orders

$T_1$   $T_2$   $T_5$

$T_4$  can be placed in two ways



5 ways



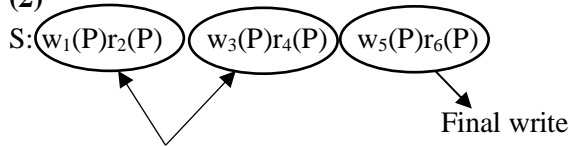
5 ways

$\therefore$  There are 10 serial orders.

4. (c)

$$\frac{8!}{4! * 2! * 2!} = 420$$

5. (2)



- Can exchange
- without violation view equal conditions

View equal serial schedules are

$T_1 \ T_2 \ T_3 \ T_4 \ T_5 \ T_6$   
 $T_3 \ T_4 \ T_1 \ T_2 \ T_5 \ T_6$

6. (a)

only allow concurrent execution of transaction that correspond to serial execution of some of the transactions.

7. (d)

All the problems mentioned in option are potential when a DBMS executes multiple transactions concurrently.

8. (b)

Every conflict serializable schedules are view serializable but vice versa is not true. However, some view serializable schedules are conflict serializable.

9. (a)

$S_1$ :

$T_1$	$T_2$	$T_3$
$r_1(A)$		
	$r_2(A)$	
	$w_2(A)$	
		$r_3(A)$
$w_1(A)$		
	$w_2(B)$	
		$r_3(B)$
	$c_2$	
		$w_3(A)$
$c_1$		
		$c_3$

Here the transaction reads the changes of uncommitted transaction but commits itself. So schedule is recoverable.

$S_2$ :

$T_1$	$T_2$	$T_3$
	$r_2(A)$	
$r_1(A)$		
$w_1(A)$		
	$w_2(A)$	
	$w_2(B)$	
		$r_3(A)$
		$w_3(A)$
		$r_3(B)$
$c_1$		
		$c_3$
	$c_2$	

Here  $T_3$  reads the changes of  $T_2$  but  $T_3$  commits before  $T_2$ . So it is not recoverable schedule. Hence, correct option is (a).

10. (d)

$T_1$	$T_2$	$T_3$
$r_1(A)$		
	$r_2(c)$	
$w_1(A)$		
		$r_3(A)$
	$r_2(B)$	
	$w_2(B)$	
		$w_3(A)$
		$r_3(B)$
	$r_2(A)$	
$c_1$		
		$c_3$
	$c_2$	

$T_3$  reads the changes of  $T_2$  corresponding to B and commits itself before  $T_2$ . So this schedule is not recoverable

$T_1$	$T_2$	$T_3$
$r_1(A)$		
	$r_2(c)$	
$w_1(A)$		
		$r_3(A)$
	$r_2(B)$	
	$w_2(B)$	
		$w_3(A)$
		$r_3(B)$
	$r_2(A)$	
$c_1$		
	$c_2$	
		$c_3$

$T_2$  reads the changes of  $T_3$  Corresponding to A and commits itself before committing of  $T_3$ . So this schedule is also not recoverable. Hence answer is option (d).

**11. (a)**

$T_1$  gets failed after  $t_8$ , as  $T_1$  is uncommitted at  $t_1$  time and write (P) and  $T_2$  reads P at  $t_6$  and gets committed. Hence uncommitted transaction changes are got read

by other transaction and then gets committed itself hence it is non recoverable.

$T_1$  gets failed hence not atomic. Hence correct option is a.



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