

COMPUTER SCIENCE



Database Management System

FD's & Normalization

Lecture_01



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An orange diamond-shaped sign with a black border and the text 'TOPICS TO BE COVERED' in black capital letters.

**TOPICS
TO BE
COVERED**

A red diamond-shaped sign with a white border and the number '01' in white.

01

Introduction of RDBMS

A red diamond-shaped sign with a white border and the number '02' in white.

02

Functional Dependency





DBMS

Lecture schedule

DBMS GATE Syllabus

(8-10 marks)

- Functional dependencies and Normalization.
- Transaction and Concurrency control.
- SQL
- Relational Algebra & TRC
- File Organization and Indexing
- ER model and Integrity constraints.

Functional Dependency (FD's)

- ✓ ☒ FD concepts
- ✓ ☒ FD types
- ✓ ☒ Armstrong's axioms/Inference rules
- ✓ ☒ Attribute closure
- ✓ ☒ Keys Concept
 - ✓ ☒ Super key
 - ✓ ☒ Candidate key
 - ✓ ☒ Primary key
 - ✓ ☒ Alternative / secondary key
- ☐ Finding multiple candidate keys
- ☐ Membership set

- ❑ Closure of FD set
- ❑ Equality between 2 FD sets.
- ❑ Minimal cover (Canonical cover).
- ❑ Lossy and Lossless Join Decomposition.
- ❑ Dependency preserving Decomposition.

Normalization

- ❑ Need of Normalization ? / Problem with Unnormalized Data

Normal Forms

- ❖ 1 NF
- ❖ 2 NF
- ❖ 3 NF
- ❖ BCNF

Multi-Valued Dependencies

NF Decomposition

- ✓❖ 2NF Decomposition
- ✓❖ 3NF Decomposition
- ✓❖ BCNF Decomposition

Transaction & Concurrency Control

- ✓ ☐ Transaction concept
- ✓ ☐ ACID Properties
- ✓ ☐ Schedules (serial & non serial schedule)
- ✓ ☐ Serializable schedule
 - ❖ conflict Serializable
 - ❖ View serializable
- ✓ ☐ Testing method for conflict serializability
- ✓ ☐ Conflict equivalent schedule
- ✓ ☐ Problem due to concurrent execution
- ✓ ☐ Recoverable, cascadeless, strict recoverable schedule.

- ❑ Implementation of concurrency control
 - ❖ Lock based protocol.
- ❑ 2 Phase locking protocol (Basic 2PL, Strict 2PL, Rigorous 2PL, Conservative 2PL)
- ❑ Time stamp based protocol
 - ❖ Thomas Write rule
 - ❖ Deadlock Avoidance (Wait - die & Wound - wait)

③

Query Language

□ Introduction of Relational Algebra (RA)

Operations

- ❖ Section (σ)
- ❖ Projection (π)
- ❖ Union (\cup)
- ❖ Set Difference ($-$)
- ❖ Cross Product (\times)
- ❖ Rename (ρ)
- ❖ Intersection (\cap)
- ❖ Division ($/$)
- ❖ Join & its type.

- ❑ TRC (Tuple Relational Calculus) & DRC(Domain Relational Calculus)
- ❑ SQL & its clauses
 - ❖ Aggregate operators
 - ❖ Set operators
 - ❖ Nested Query
 - ❖ Correlated nested query
- ❑ Null value concept

File Organization & Indexing

- ☐ Spanned and unspanned organization
- ☐ Sparse & Dense Index
- ☐ Indexing type (Primary, Clustered, Secondary index)
- ☐ Multi level indexing
- ☐ B Tree
- ☐ Insertion & Deletion in B tree
- ☐ B⁺ Tree

ER Model & Integrity Constraints.

- ✓ ☐ Introduction of ER Model
- ✓ ☐ Attributes and its type
- ✓ ☐ Relationship set
- ✓ ☐ Participation constraints
- ✓ ☐ Cardinality Ratio
- ✓ ☐ Strong and weak entity set
- ✓ ☐ Specialization & Generalization

Foreign key concept and its constraint

Conversion of ER model to Relations (Tables).

Books:



Henry F. Korth (Transaction Concept), ER, File org & Indexing

Navathe (FD & NF)

Raghu Ramakrishnan (SQL, RA, TRC), ER, File org

Jeffrey D. Ullman (FD & NF), Indexing

Poll

a) Enjoying & Chamka CC Concept

b) CC: Crystal clear.

c) C: clear

d) Doubt ?

10th Pass

more than
100 +
GATE P48

111 +

125 +

- Basic to Complete Depth
- More than Sufficient.
- FULL SCORING SUBJECT.

DATA

(Facts, Raw Material)



Information

(Preprocess Data
or Meaning Data)



Database (DB)

[Collection of Logical Related Data
or Collection of similar Record]



DBMS

[Set of Programs (S/w) which used to
Access & Manipulate the Data in efficient
& fast manner.]

RDBMS → Relational DBMS

Relation → Table

Row [Tuple / Record]
Column [Field / Attribute]

STUDENT

1	2	3	4	5	6
Roll No	Name	Branch	State	Gender	CGPA
1	A	CS	MP	M	9
2	B	IT	UP	F	10
3	C	CS	HP	M	10
4	D	IT	RJ	F	9
5	E	EC	Punjab	M	10
6	F	CS	Bihar	M	9
7	G	IT	Maharashtra	F	10

(Degree)
Arity : Number
of Attributes/Fields/Column
[6]

Cardinality : Number(#) of Tuples/Records/Row
[7]

Relational Schema : Table Abstraction/Heading of Table/Structure of Table

STUDENT (RollNo, Name, Branch, State, Gender, CGPA)

Relational Instance : Set of Records

Relation extension: Table itself

Number: #

FD [Functional Dependency] $[X \xrightarrow{\checkmark} Y]$ $x \rightarrow y \checkmark$ (2,4,6) ✓

(i)

x	y
1	6
2	7
3	7
4	8
5	9
6	5
2	7
5	8

✗

(ii)

x	y
1	8
2	8
3	8
4	9
5	9
6	9
7	9

✓

(iii)

x	y
x ₁	y ₁
x ₂	y ₃
x ₃	y ₅
x ₄	y ₇
x ₁	y ₁
x ₂	y ₂
x ₃	y ₅
x ₄	y ₇

✗

(iv)

x	y
x ₁	y ₁
x ₂	y ₁
x ₃	y ₂
x ₄	y ₂
x ₅	y ₅

✓

(v)

x	y
1	8
2	6
3	2
4	3
7	5
8	1
1	7

✗

x	y
x ₁	y ₁
x ₂	y ₅
x ₃	y ₇
x ₄	y ₉
x ₅	y ₃
x ₂	y ₅
x ₅	y ₃

✓

$X \rightarrow y$:

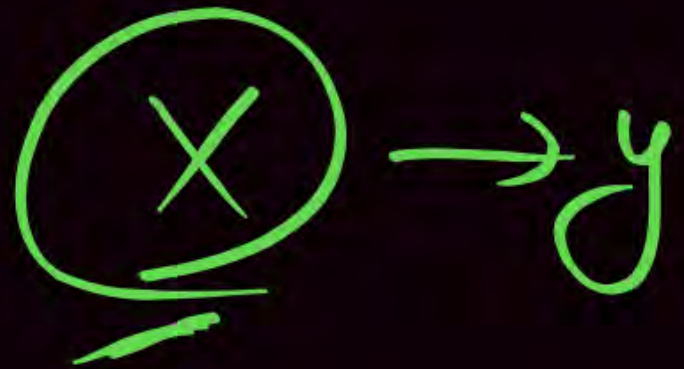
X functionally Determines y .

Abhishek : LL

Vasudev : 75

Sachin : 29

(98.1)



Whenever X Value Repeat, Corresponding
y Value Must be Same

Functional Dependency $[X \rightarrow Y]$

Consider a Relational Schema R , X & Y be the attribute set of Relation R & t_1 & t_2 Any Two Tuples Such that

$$X \rightarrow Y$$

If $t_1.X = t_2.X$ then $t_1.Y = t_2.Y$ Must be Same

Note

In $X \rightarrow Y$; Whenever X value Repeat Corresponding Y value Must be Same.

Type of FD's

① Trivial FD ✓ → Always Valid

Imp ② Non Trivial FD

③ Semi Non Trivial FD.

→ Always Valid

① Trivial FD $[x \rightarrow y]$

$x \rightarrow y$ is Trivial FD

ibb $x \supseteq y$ $\left[\begin{array}{l} \text{Right Hand Side (R.H.S) attribute} \\ \text{must be equal @ Part of} \\ \text{Left Hand Side (L.H.S) attribute} \end{array} \right.$

②

Sid Sname \rightarrow Sid

Sid Sname \rightarrow Sname

Sid Sname \rightarrow Sid Sname

$AB \rightarrow A$

$AB \rightarrow B$

$AB \rightarrow AB$

v. Imp.
②

Non Trivial FD

$X \rightarrow Y$ is Non Trivial FD iff

$X \cap Y = \phi$ & $X \rightarrow Y$ Must Satisfy FD Definition

eg
 $A \rightarrow C$
 $A \rightarrow B$

Sid \rightarrow Roll No
Sid \rightarrow Mark
Sid \rightarrow Gender
Sid \rightarrow Sname

* ③ semi Non Trivial FD

$X \rightarrow Y$ is Semi
Non Trivial
FD iff

$X \not\supset Y$ & $X \cap Y \neq \phi$

eg
 $AB \rightarrow BC$

$AB \not\supset BC$
 $AB \cap BC = B \neq \phi$

⑧ Find the Non Trivial FD's which are Satisfied by the Given Relation Instances?

Q.1



$X \rightarrow Z$
 $Y \rightarrow Z$
 $XY \rightarrow Z$

Non Trivial FD

X	Y	Z
3	3	7
3	1	7
1	3	7
1	1	7
1	3	7

Trivial FD
 $XY \rightarrow Z$
 $XYZ \rightarrow Y$
 $XYZ \rightarrow Z$

$X \rightarrow Y$
 $X \rightarrow Z$
 $X \rightarrow YZ$

$Y \rightarrow X$
 $Y \rightarrow Z$
 $Y \rightarrow XZ$

$Z \rightarrow X$
 $Z \rightarrow Y$
 $Z \rightarrow XY$

$XY \rightarrow Z$
 $YZ \rightarrow X$
 $XZ \rightarrow Y$

Q.2



Consider the following relation:

A	B	C	TUPLE#
10	b1	c1	1
10	b2	c2	2
11	b4	c1	3
12	b3	c4	4
13	b1	c1	5
14	B3	c4	6

- ① $A \rightarrow B$
- ② $B \rightarrow C$ ✓
- ③ $C \rightarrow B$
- ④ $B \rightarrow A$
- ⑤ $C \rightarrow A$

Which option satisfied by the Instance ?

Only II Any

Given the extension (state), which of the following dependencies

May hold in the above relation? If the dependency cannot hold, explain why by

Specifying the tuples that cause the violation.

I. $A \rightarrow B$, II. $B \rightarrow C$, III. $C \rightarrow B$, IV. $B \rightarrow A$, V. $C \rightarrow A$

Q.3



A	B	C
1	1	1
1	2	1
2	1	2
2	1	3
1	3	3

$BC \rightarrow A$

$A \rightarrow B$

$A \rightarrow C$

$A \rightarrow BC$

$B \rightarrow A$

$B \rightarrow C$

$B \rightarrow AC$

$C \rightarrow A$

$C \rightarrow B$

$C \rightarrow AB$

$AB \rightarrow C$

$\checkmark BC \rightarrow A$

$AC \rightarrow B$

Q. 4
PSU



P	Q	R
6	6	7
6	7	7
7	3	4
8	3	4

$P \rightarrow R$
 $Q \rightarrow R$
 $PQ \rightarrow R$

$P \rightarrow Q$
 $\checkmark P \rightarrow R$

$P \rightarrow QR$

$Q \rightarrow P$
 $\checkmark Q \rightarrow R$

$Q \rightarrow PR$

$R \rightarrow P$ $\checkmark PQ \rightarrow R$

$R \rightarrow Q$

$QR \rightarrow P$

$R \rightarrow PQ$

$PR \rightarrow Q$

Q.5



A	B	C
7	5	6
7	7	6
7	5	7
7	7	7
9	5	6

Q.6.



A	B	C
2	2	4
2	3	4
3	2	4
3	3	4
3	2	4

Q.7



Given the following relation instance.

X	Y	Z
4	4	4
4	7	4
7	4	7
7	4	9
4	9	9

The number of non trivial FD's are satisfied by the instance ____

Any Doubt ?



**THANK
YOU!**

