

# COMPUTER SCIENCE



## Database Management System

### FD's & Normalization

Lecture\_11

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An orange diamond-shaped sign with a black border and the text 'TOPICS TO BE COVERED' in black capital letters.

TOPICS  
TO BE  
COVERED

A small red diamond-shaped marker with a white border and the number '01' in white.

01

**Normal Forms**

A small red diamond-shaped marker with a white border and the number '02' in white.

02

**Normal Forms Decomposition**







RDBMS Concept  
FD Concept & its type  
Attribute closure  
keys Concept  
Super keys  
Candidate key  
Finding Multiple C.K  
Membership set

Equality b/w 2 FD set  
Minimal cover  
closure of FD set  
Finding # Super keys & Max. C.K  
Properties of Decomposition.  
    ↳ Lossless Join Decomposition.  
    ↳ Dependency Preserving Decomposition.

RDBMS



# Normal Forms



Normal Form is a set of Rules to Reduce/eliminate the Redundancy.

Redundancy is unnecessary Repeation of Data.

# Normal Forms



There are Various Normal Forms

- ① 1NF (First Normal Form)
- ② 2NF (Second Normal Form)
- ③ 3NF (Third Normal Form)
- ④ BCNF (Boyce Codd Normal Form)

4NF  
5NF } X



# Normal Forms



- Note** Every Higher Normal Form Contain the Lower Normal Form.
- Note** If a Relation  $R$  is in 2NF, then its already is in 1NF.
- Note** If a Relation  $R$  is in 3NF then  $R$  already is in 2NF & 1NF
- Note** If a Relation  $R$  is in BCNF then  $R$  already is in 3NF, 2NF & 1NF.



# Normal Forms



## First Normal Form [1NF] :

A Relation Schema R is in 1NF if All Attribute of R are atomic.

OR  
A Relation Schema R is in 1NF if R does not contain Any Multivalued Attribute.

STUDENT

RollNo	Name	Subject
1	Ajay	C/JAVA

Not in 1NF

→ Multivalued Attribute

A	B	C
Roll	Name	Subject
1	Ajay	C
1	Ajay	JAVA

Now In 1NF



$R(ABC) \quad [A \rightarrow \underline{B}]$

$$[A]^+ = [AB]$$

C is Not Present in FD, Whenever Any Attribute Not Present in FD then Add in (Make a part of) Candidate key.

$$\text{Candidate key} = [AC]$$



# Normal Forms



- 1NF Ensured by using Candidate key.

Note

Default RDBMS is in 1NF.

Note

In 1NF Redundancy Level is too High.

0% Redundancy  
( $X \rightarrow Y$ )

Redundancy  
Level ↓

1NF > 2NF > 3NF > BCNF



# Normal Forms



Single Valued FD

$$X \rightarrow y$$

Multivalued  
FD

$$X \twoheadrightarrow y$$

4NF  
5NF

2007

$$X \twoheadrightarrow y$$

2008

IT



Key / Prime Attribute : is a Set of Attribute that belongs / Present in Some (Any) Candidate key

Non key / Non Prime Attribute : Set of Attributes that Not belongs / Not Present in Any Candidate key.

Subset

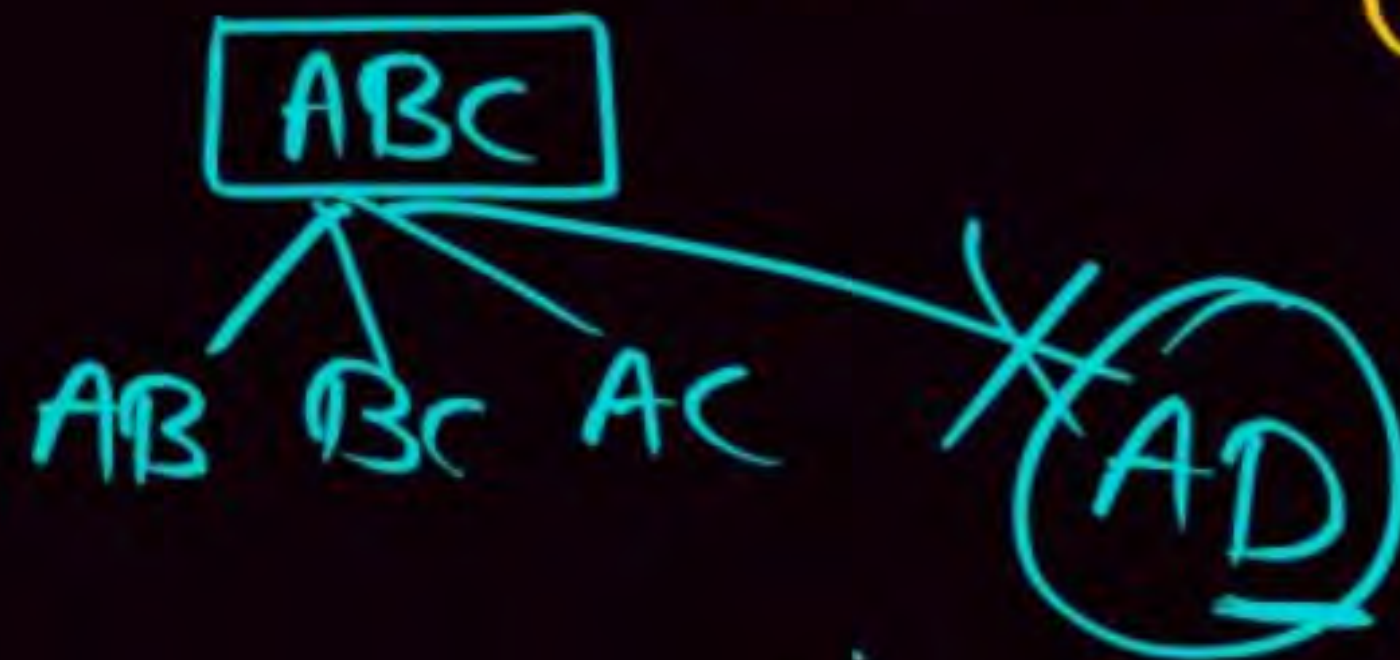
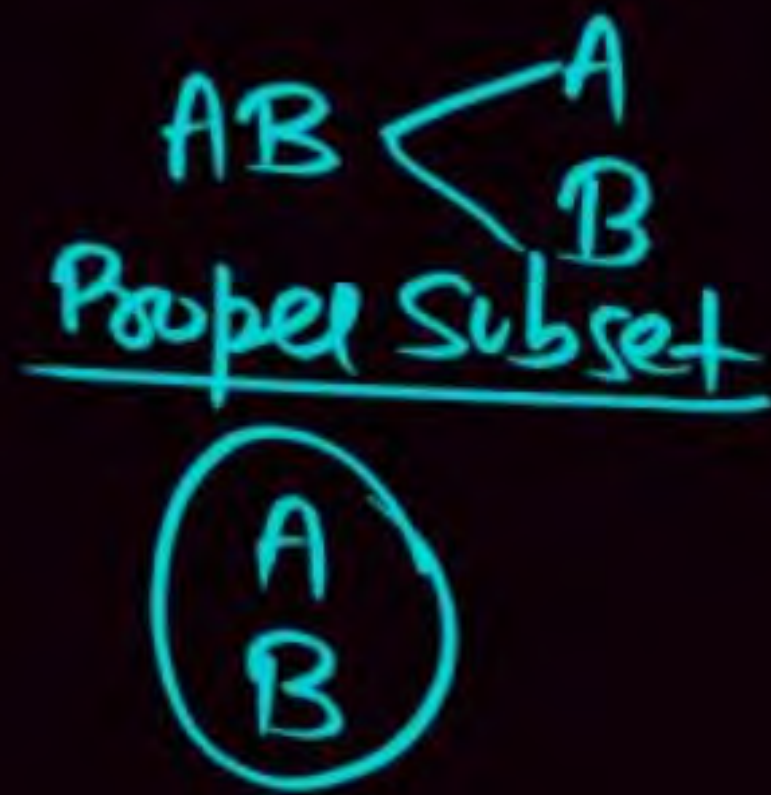
AB

$\phi$

A

B

AB





# Possible Non Trivial FD which Cause Redundancy.

CASE I

Proper Subset  
of Candidate Key



Non Key  
Attribute

Ex. By  
2NF [Violation  
of 2NF]

CASE II

Non key  
Attribute



Non key  
Attribute

Ex. By  
3NF

CASE III

Proper Subset of  
One C.K



Proper Subset  
of Another C.K

Ex. By  
BCNF



CASE I



$R(AB CDEF) \{AB \rightarrow C, C \rightarrow D, D \rightarrow F, \underline{B \rightarrow E}\}$

Candidate key =  $(AB)$

Non key Attribute =  $(C, D, E, F)$

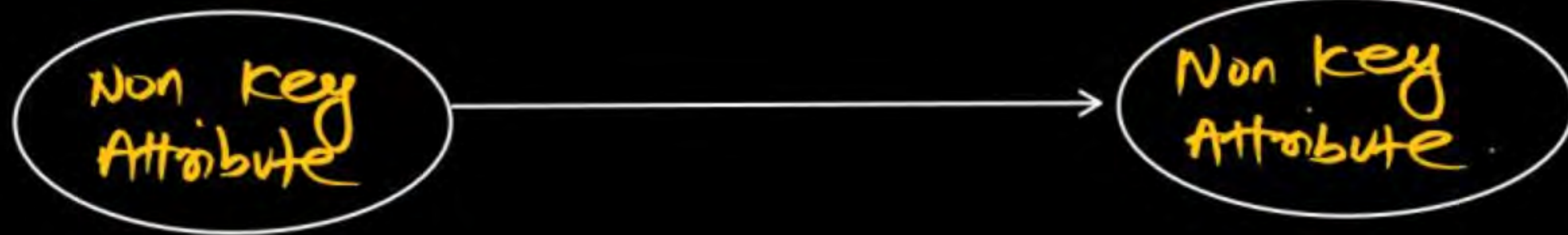




# Normal Forms



CASE II



⑧  $R(ABC) \quad \{ A \rightarrow B, B \rightarrow C \}$

Candidate key =  $[A]$

Non key Attribute =  $[B, C]$





### CASE III

Proper Subset of  
One Candidate  
key



Proper Subset of  
another Candidate  
key

Ck is minimal.

eg)  $R(ABCD) \quad [AB \rightarrow CD, \underline{D} \rightarrow A]$

Candidate key = [AB, DB]

D → A

Proper Subset of  
One Candidate key

A ← D

Proper Subset of  
another Ck

$B \rightarrow A$   
 $A \rightarrow B$

Ck: A ⊗ B } not AB

$D \rightarrow B$   
 $B \rightarrow D$

Ck: B ⊗ D } Not DB



$R(ABC)$        $\overset{\text{FD①}}{[AB \rightarrow C, A \rightarrow C]}$   $\overset{\text{FD②}}{[A \rightarrow B, A \rightarrow C]}$

Which is the Partial FD.

Ans(A)

~~(a) Only I (35.71.)~~

(b) Only II (54.76)

(c) Both (9.521.)

(d) None



$R(ABC)$       ①      ②  
                   $[AB \rightarrow C, B \rightarrow C]$

Identify Partial FD

Ⓐ None (8.16.1.)

Ⓑ Both (4.08.1.)

☒ Ⓒ  $AB \rightarrow C$  (14.29.1.)

Ⓓ  $B \rightarrow C$  (77.5.1.)

Ans [C]



# Partial FD

$AB \rightarrow C$  is Partial FD

~~$AB \rightarrow C$~~

$A \rightarrow C$

(OR)

$B \rightarrow C$

~~$AB \rightarrow C$~~



# Normal Forms

Navathe



## 15.3.5 Second Normal Form

Second normal form (2NF) is based on the concept of full functional dependency. A functional dependency  $X \rightarrow Y$  is a full functional dependency if removal of any attribute  $A$  from  $X$  means that the dependency does not hold any more; that is, for any attribute  $A \in X$ ,  $(X - \{A\})$  does not functionally determine  $Y$ . A functional dependency  $X \rightarrow Y$  is a partial dependency if some attribute  $A \in X$  can be removed from  $X$  and the dependency still holds; that is, for some  $A \in X$ ,  $(X - \{A\}) \rightarrow Y$ . In Figure 15.3(b),  $\{Ssn, Pnumber\} \rightarrow Hours$  is a full dependency (neither  $Ssn \rightarrow Hours$  nor  $Pnumber \rightarrow Hours$  holds). However, the dependency  $\{Ssn, Pnumber\} \rightarrow Ename$  is partial because  $Ssn \rightarrow Ename$  holds.

**Definition.** A relation schema  $R$  is in 2NF if every nonprime attribute  $A$  in  $R$  is fully functionally dependent on the primary key of  $R$ .

$X \rightarrow Y$  is FULL FD

$A \in X$

$(X - A) \not\rightarrow Y$

$X \rightarrow Y$  is Partial

$AB \rightarrow C$  is Partial FD

$(AB - A) \rightarrow C \Rightarrow B \rightarrow C$

or

$(AB - B) \rightarrow C \Rightarrow A \rightarrow C$

or

$AB \rightarrow C$  is Partial FD

$Ssn Pnumber \rightarrow Hours$  Full FD

neither  $Ssn \rightarrow Hours$   
 $Pnumber \rightarrow Hours$

$Ssn Pnumber \rightarrow Ename$

$Ssn \rightarrow Ename$



$AB \longrightarrow C$  is Partial FD

$B \longrightarrow C$

$A \longrightarrow C$

$AB \longrightarrow C$  is Full FD

if  $B \not\longrightarrow C$

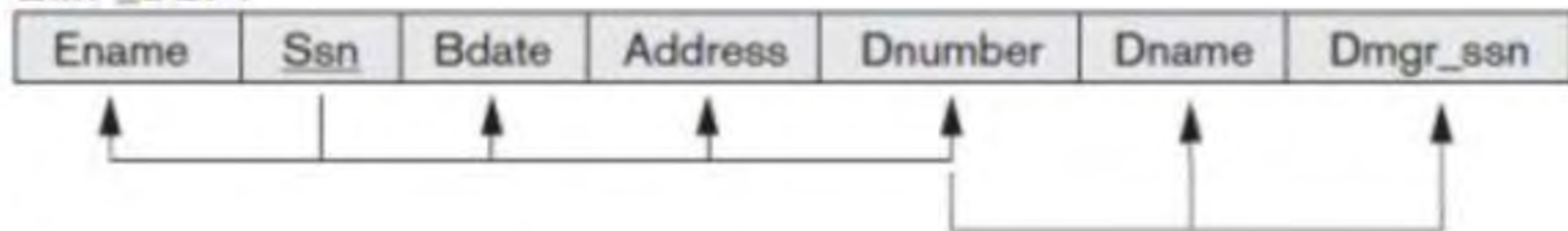
if  $\textcircled{\text{or}}$   $A \not\longrightarrow C$



Figure 15.3

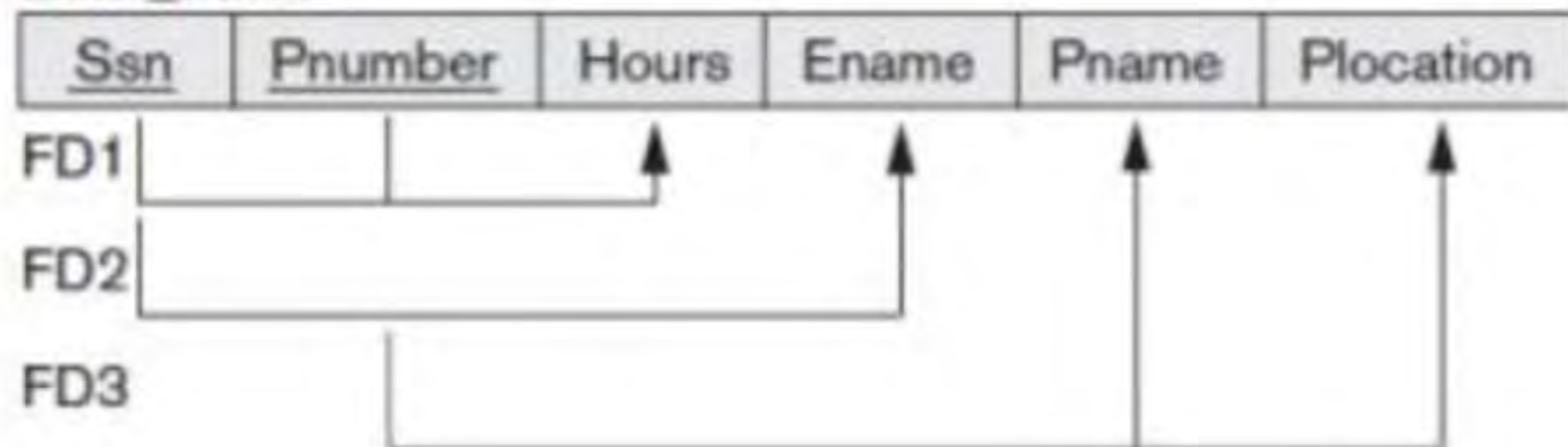
(a)

EMP\_DEPT



(b)

EMP\_PROJ





# Normal Forms



kooth

8.17 A functional dependency  $\alpha \rightarrow \beta$  is called a **partial dependency** if there is a proper subset  $\gamma$  of  $\alpha$  such that  $\gamma \rightarrow \beta$ . We say that  $\beta$  is *partially dependent* on  $\alpha$ . A relation schema  $R$  is in **second normal form** (2NF) if each attribute  $A$  in  $R$  meets one of the following criteria:

- It appears in a candidate key.
- It is not partially dependent on a candidate key.

$\alpha \rightarrow \beta$  is Partial FD

$\gamma$  is Proper Subset of  $\alpha$

$\gamma \rightarrow \beta$

$AB \rightarrow C$  is Partial FD

Proper Subset of  $AB \leftarrow \begin{matrix} A \\ B \end{matrix}$

$A \rightarrow C$  (OR)  $B \rightarrow C$





## Identify Partial FD ?

R(ABCDEF) {ABC  $\rightarrow$  DE, DE  $\rightarrow$  ABC, AB  $\rightarrow$  D, DE  $\rightarrow$  F, E  $\rightarrow$  C}

(i) ABC  $\rightarrow$  D [P] (i) ABC  $\rightarrow$  D

(ii) AE  $\rightarrow$  C [P]

AB  $\rightarrow$  D

(iii) AF  $\rightarrow$  D

$\therefore$  So ABC  $\rightarrow$  D is Partial FD.

(iv) AB  $\rightarrow$  D

(v) AC  $\rightarrow$  D

(ii) AE  $\rightarrow$  C

(vi) BC  $\rightarrow$  D

for checking AE  $\rightarrow$  C is Partial FD ?

(vii) DE  $\rightarrow$  C

A  $\rightarrow$  C ?  $[A]^+ = [A]$

(viii) AB  $\rightarrow$  F

E  $\rightarrow$  C ?  $[E]^+ = [EC]$

So AE  $\rightarrow$  C is Partial FD



## Identify partial FD ?



$R(ABCDEF) \{ABC \rightarrow DE, DE \rightarrow ABC, AB \rightarrow D, DE \rightarrow F, E \rightarrow C\}$

(i)  $ABC \rightarrow D$

(ii)  $AE \rightarrow C$

(iii)  $AF \rightarrow D$

(iv)  $AB \rightarrow D$

(v)  $AC \rightarrow D$

(vi)  $BC \rightarrow D$

(vii)  $DE \rightarrow C$

(viii)  $AB \rightarrow F$





**THANK  
YOU!**

