

# COMPUTER SCIENCE



## Deadlocks 01



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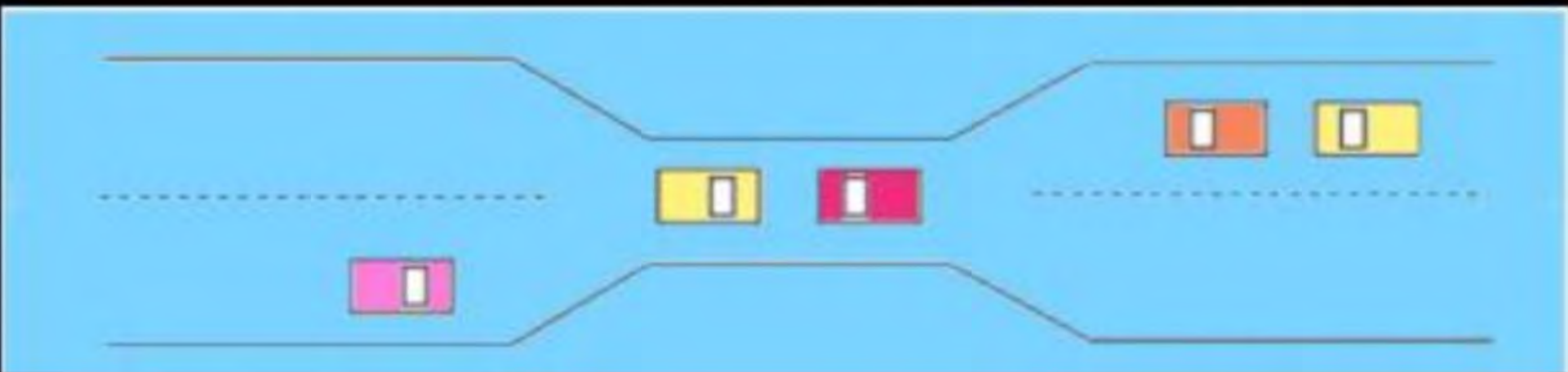
# TOPICS TO BE COVERED



## 1. Concepts of deadlocks









You release the lock first  
Once I have finished  
my task, you can continue.

Why should I?  
You release the lock first  
and wait until  
I complete my task.





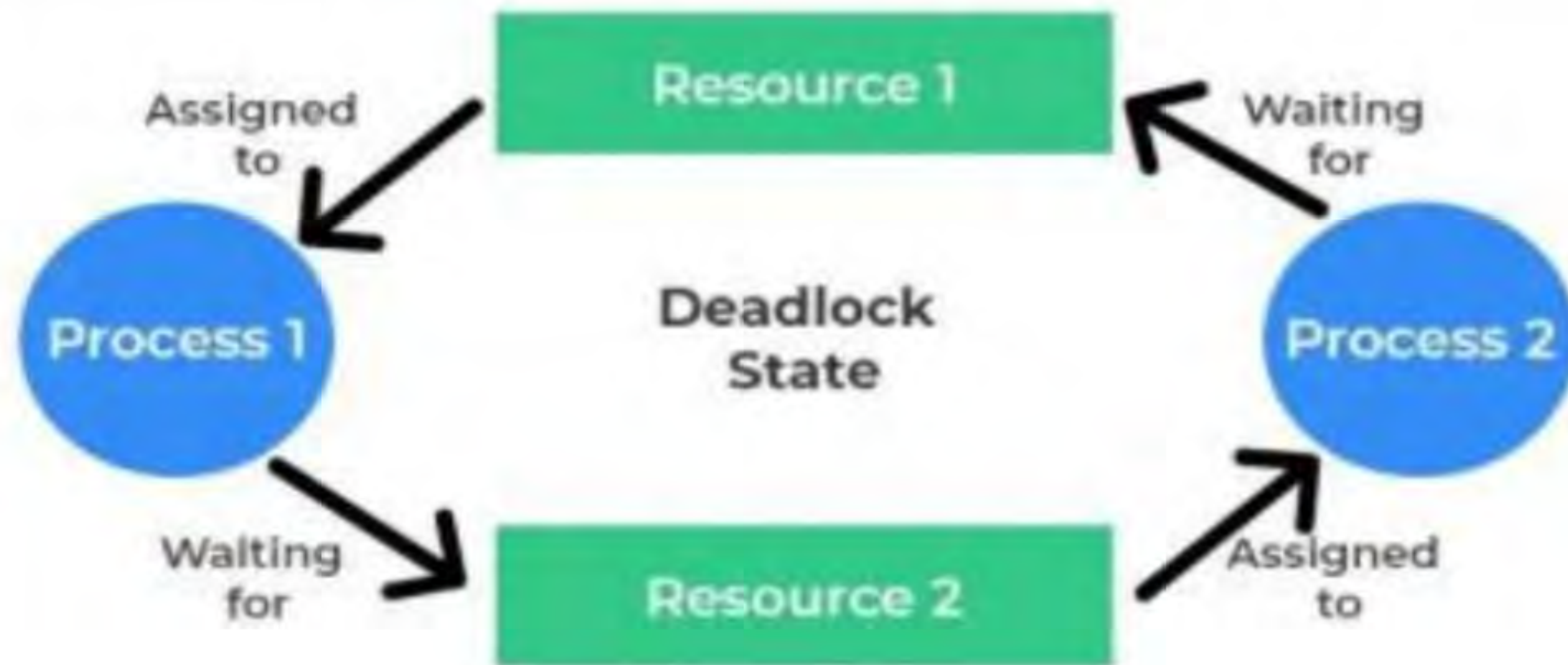
**INTERVIEWER: EXPLAIN DEADLOCK AND I'LL HIRE YOU**




**PROGRAMMER: HIRE ME AND I'LL EXPLAIN IT TO YOU**



## Deadlock in OS



Two/more Processes are in  a deadlock, if they wait for the happening of an event which would never happen; *< Infinite Blocking >*

Deadlock is undesirable,  
→ Low CPU utilization  
→ Ineffective utilization of resources;

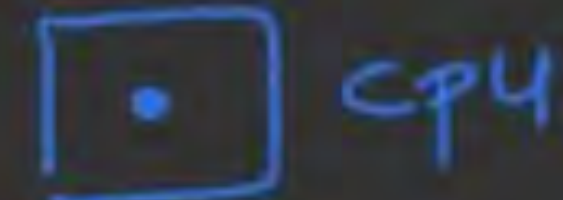


# 1. System Model:

→ 'n' - processes

→ 'm' - Resources

Single Instance



CPU

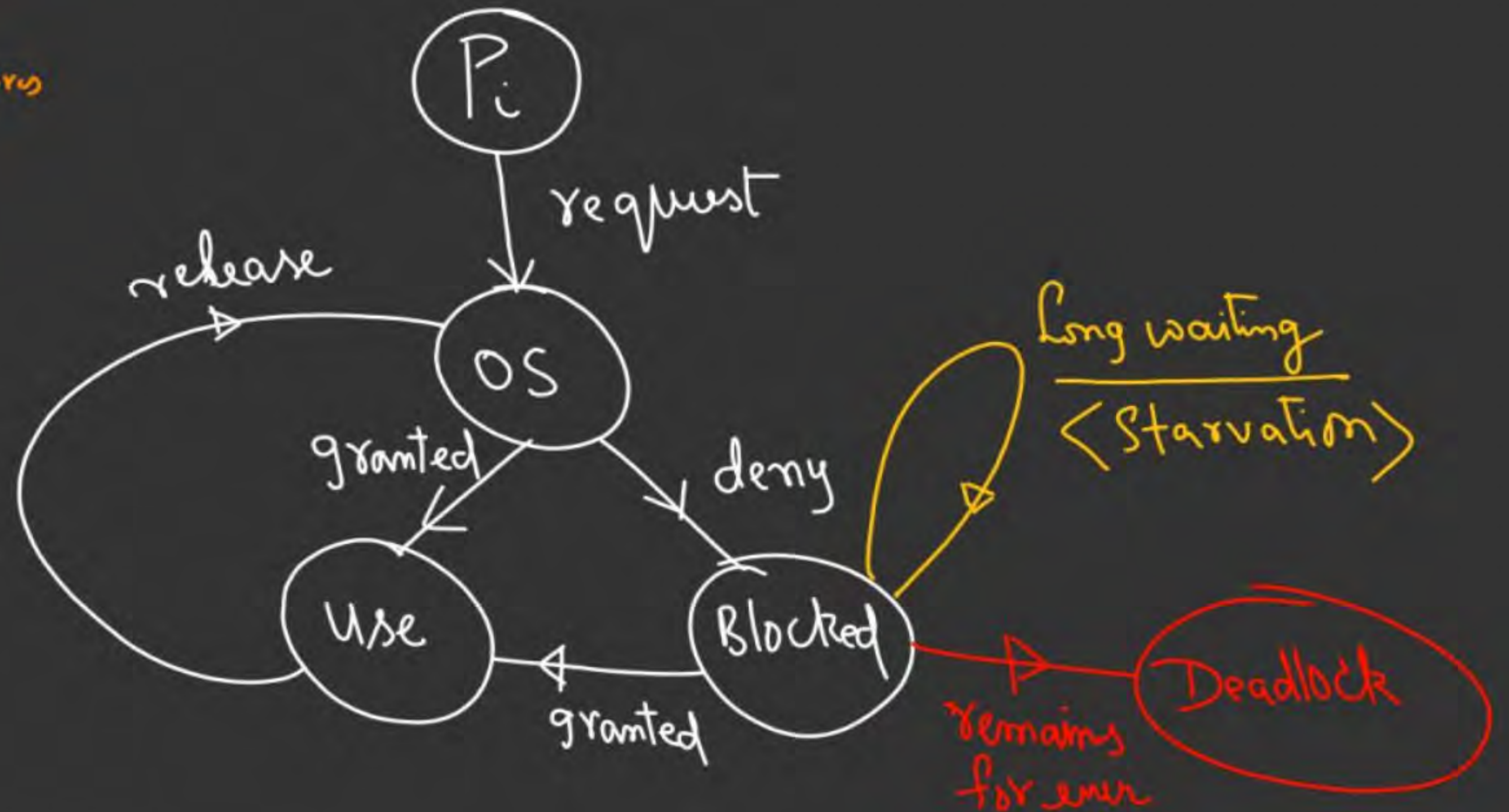
Multi Instance



Register

H/W  
<CPU + Mem  
+ IO...>

S/W  
<Files,  
Semaphores  
...>



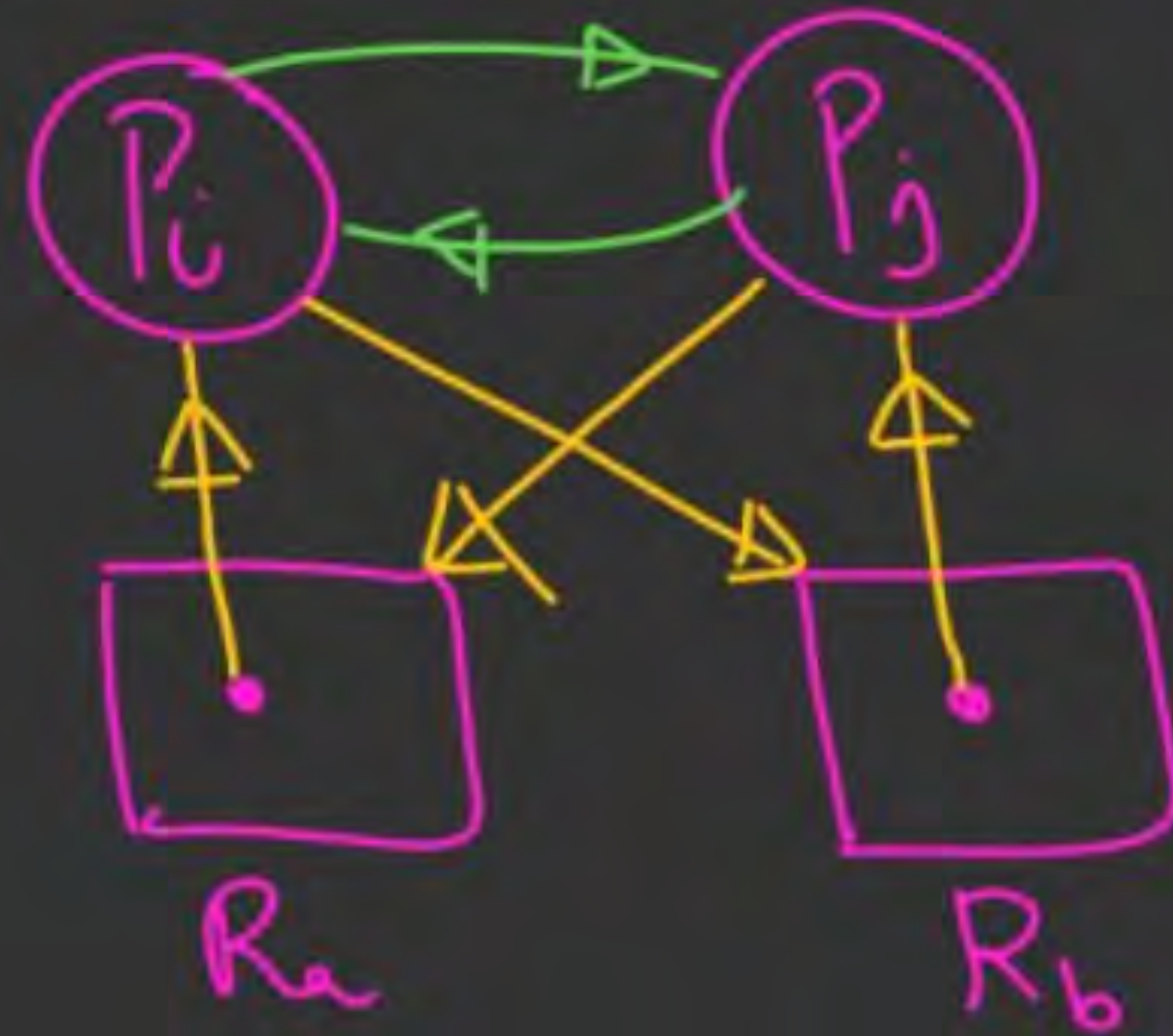


# Characterization

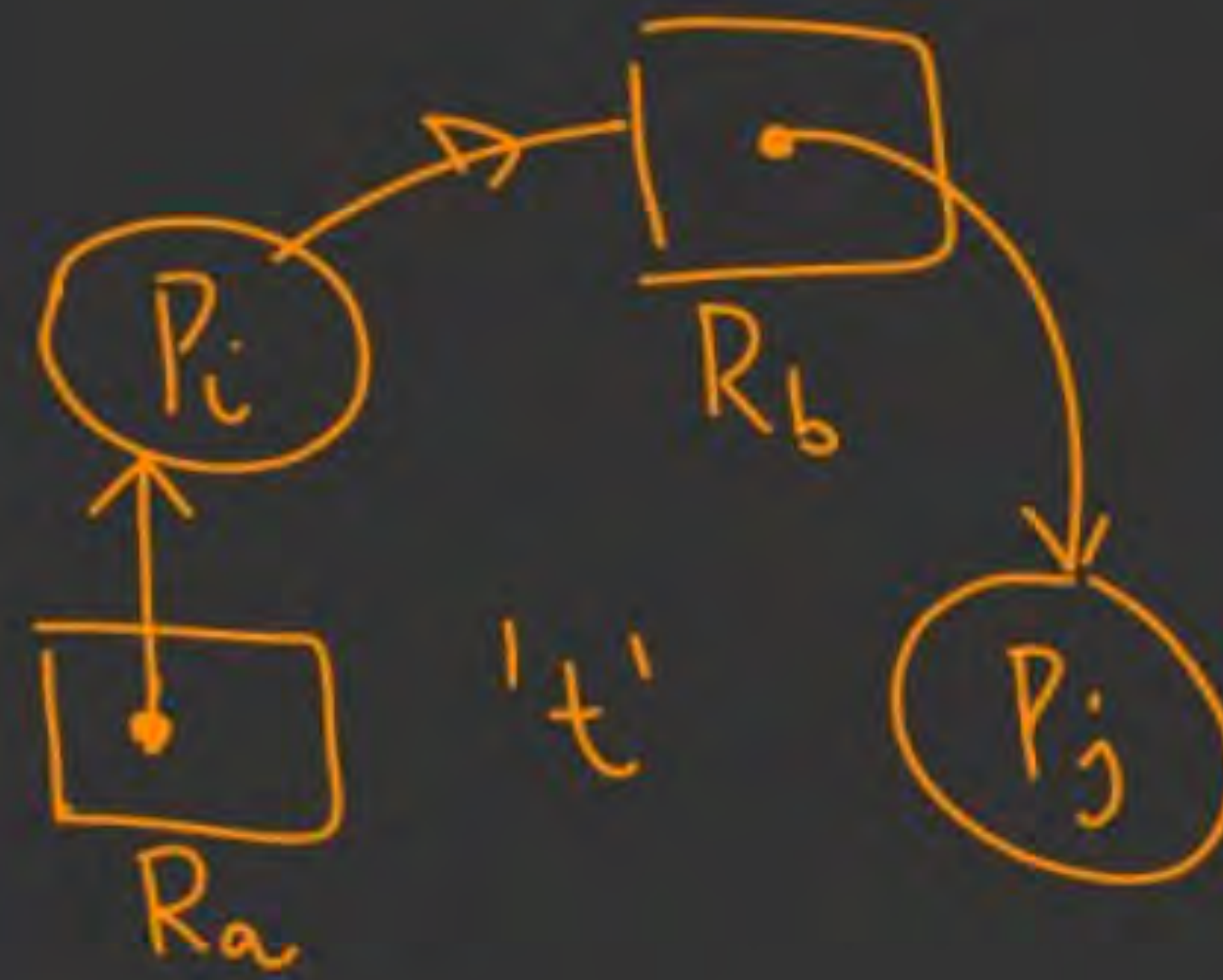
## 1. Necessary Conditions:

(i) Mutual Exclusion:

(ii) Hold and wait:

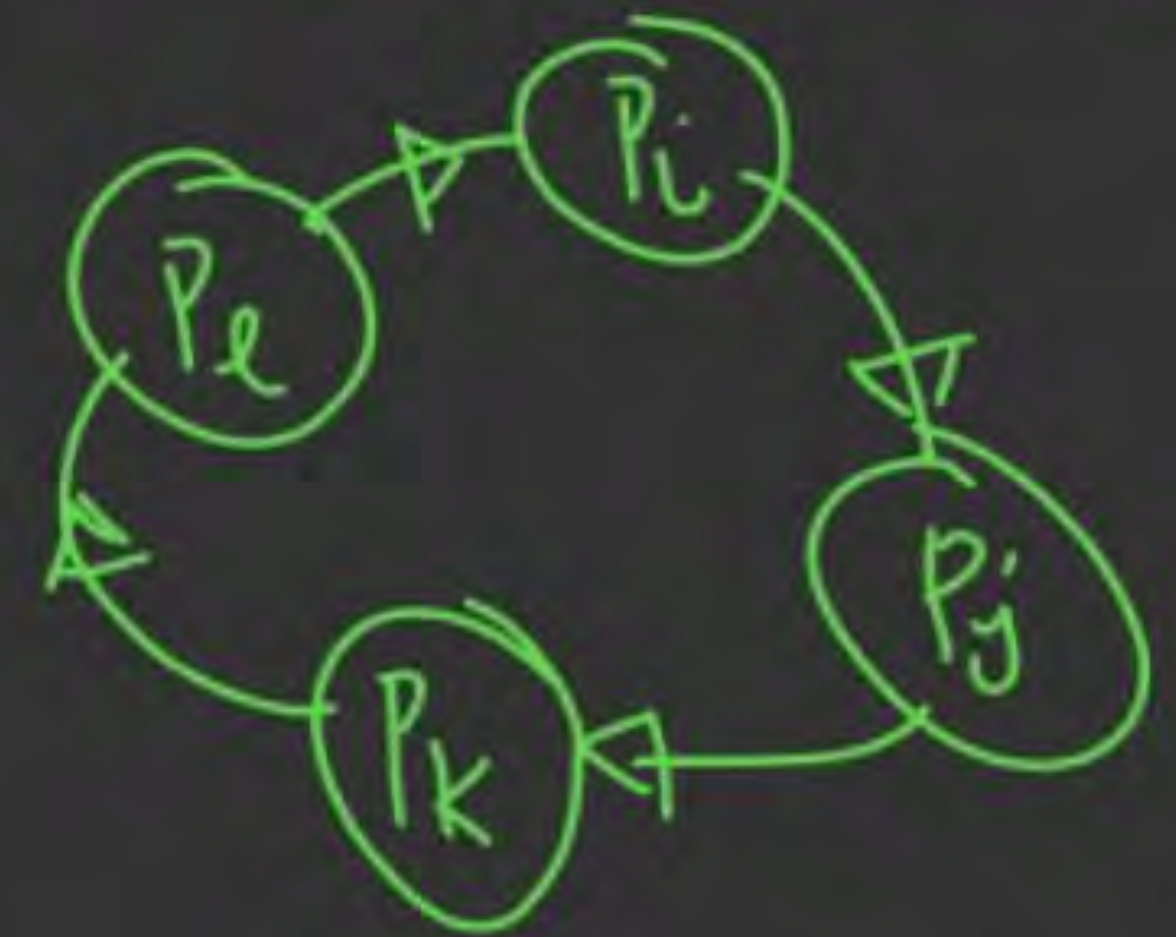


<cs> Shared resources



(iii) No-Preemption  
of resources

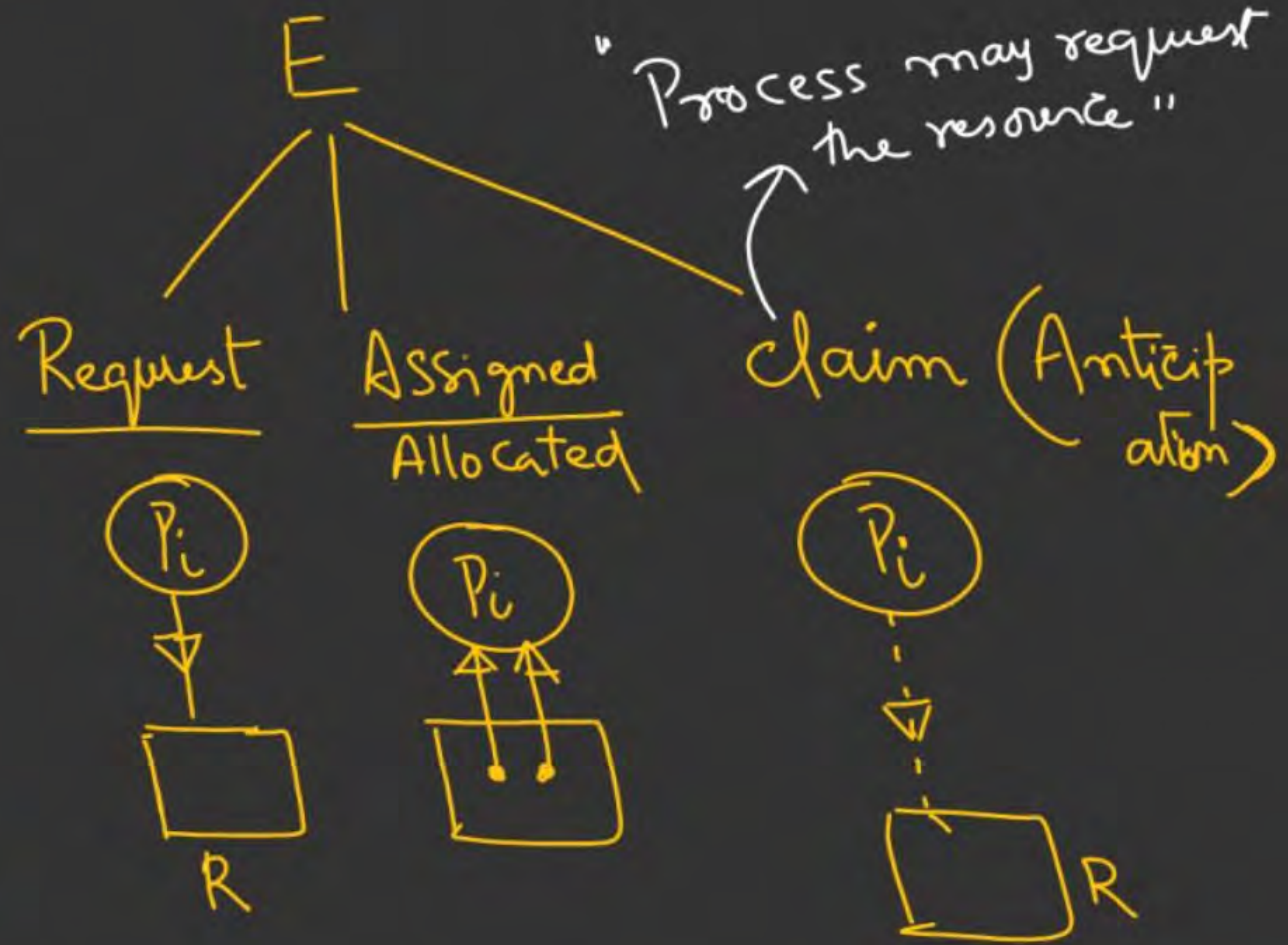
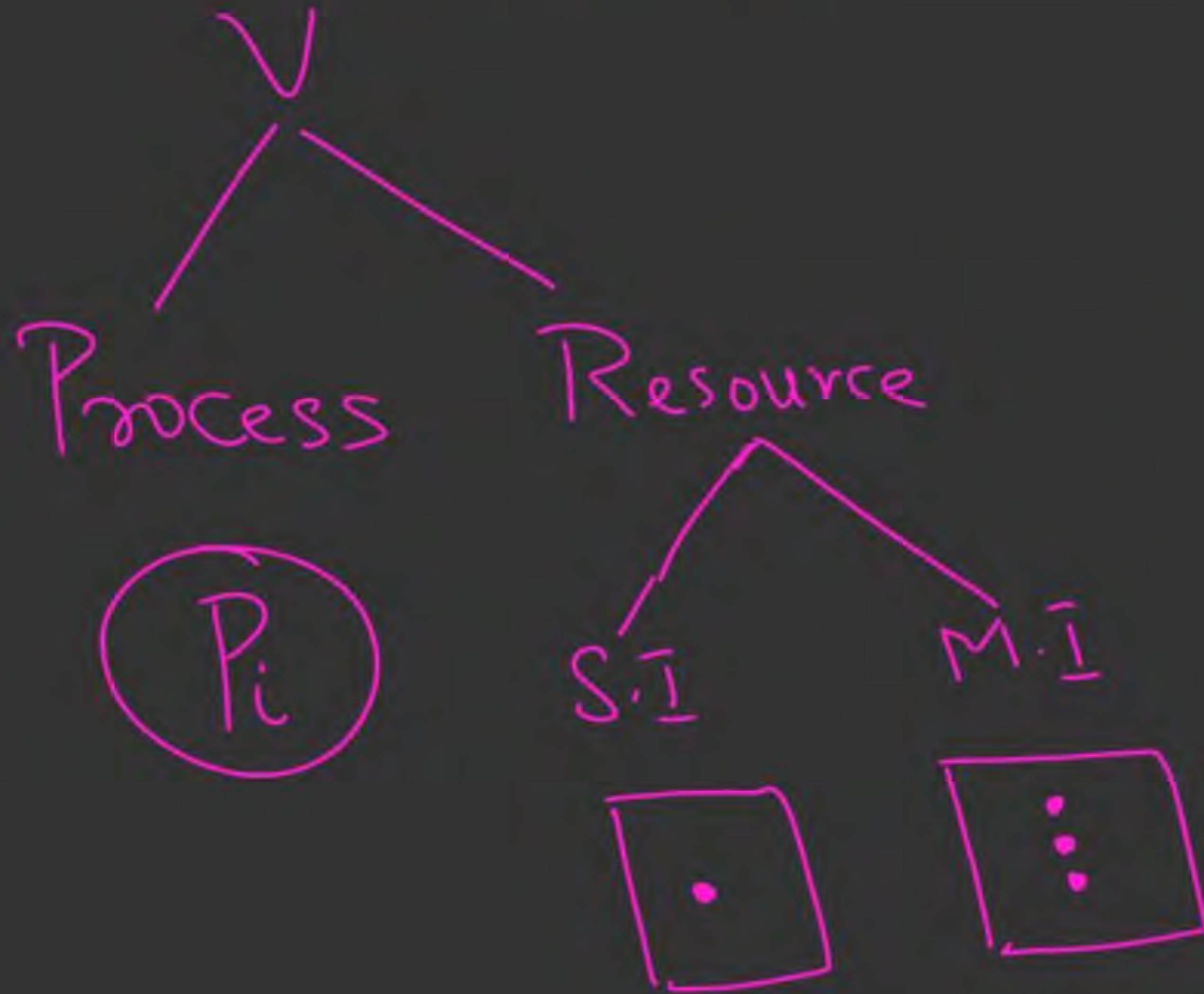
(iv) Circular wait:



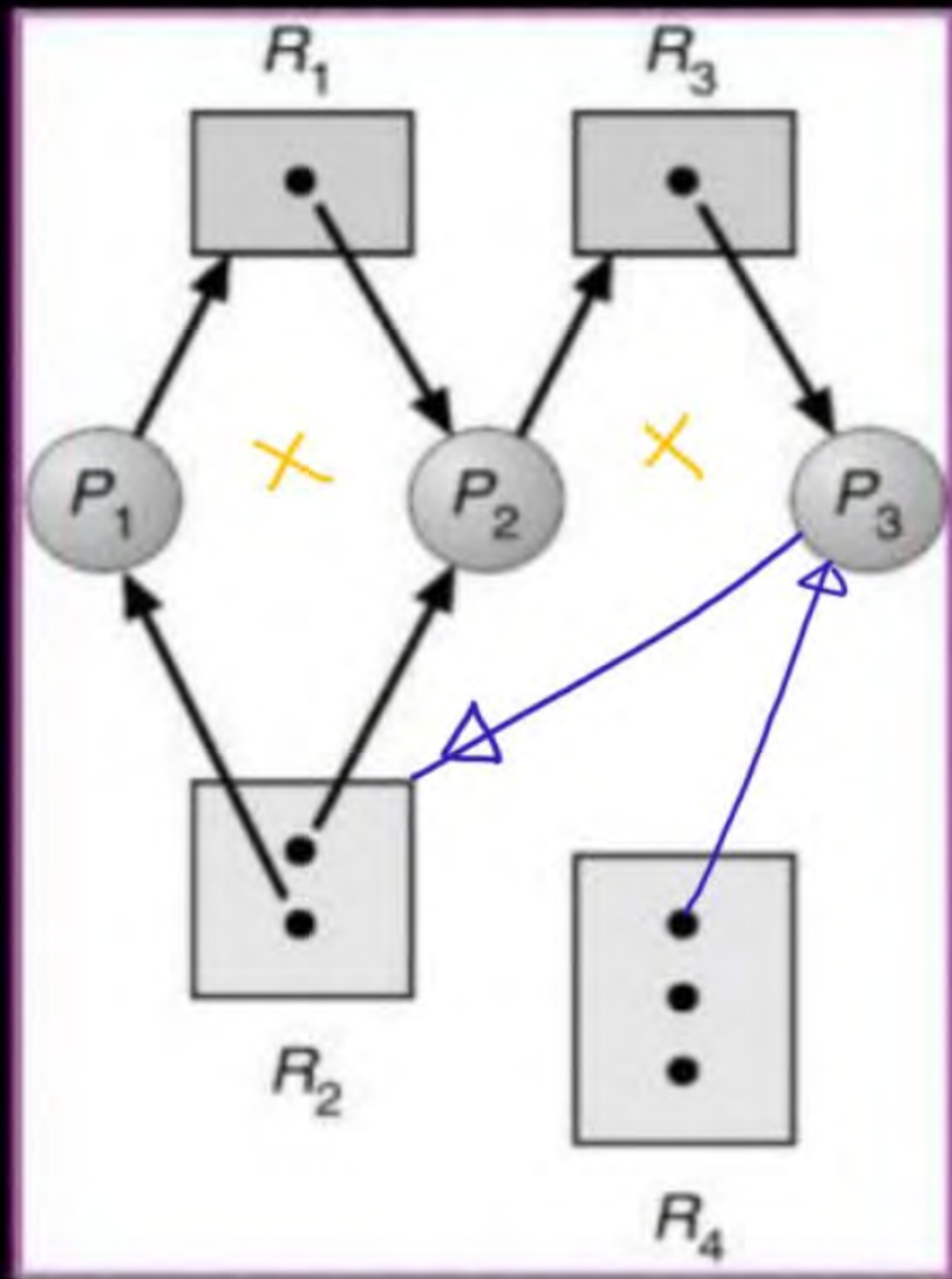


# Resource Allocation Graph (R.A.G)

$$G = (V, E)$$



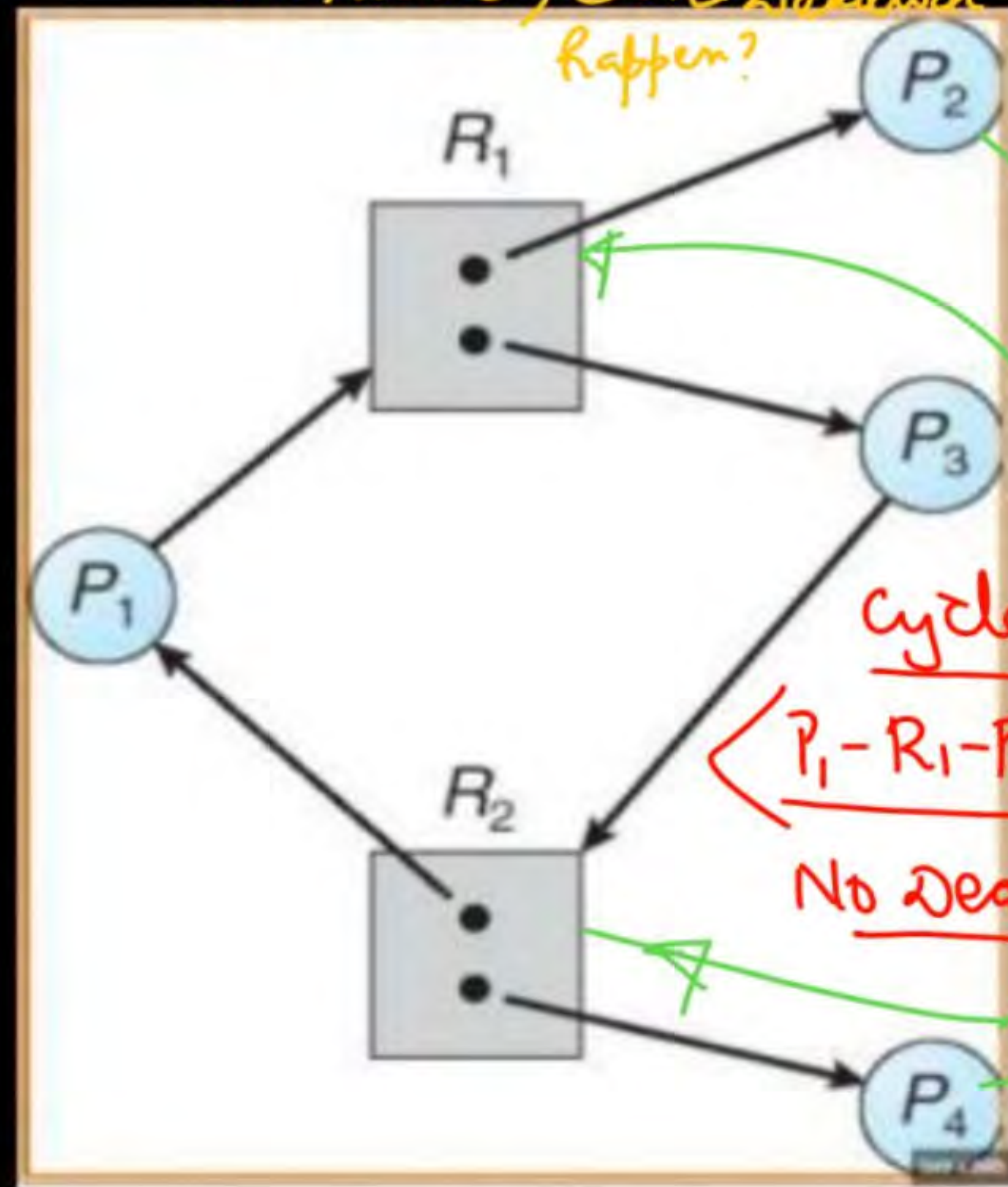




$G_1$

$P_1$  &  $P_2$  are Blocked  
 $P_3$  also gets Blocked

Cycle  
 $P_1 - R_1 - P_2 - R_3 - P_3 - R_2 - P_1$   
 ↓  
Deadlock



$G_2$

In future, can deadlock happen?

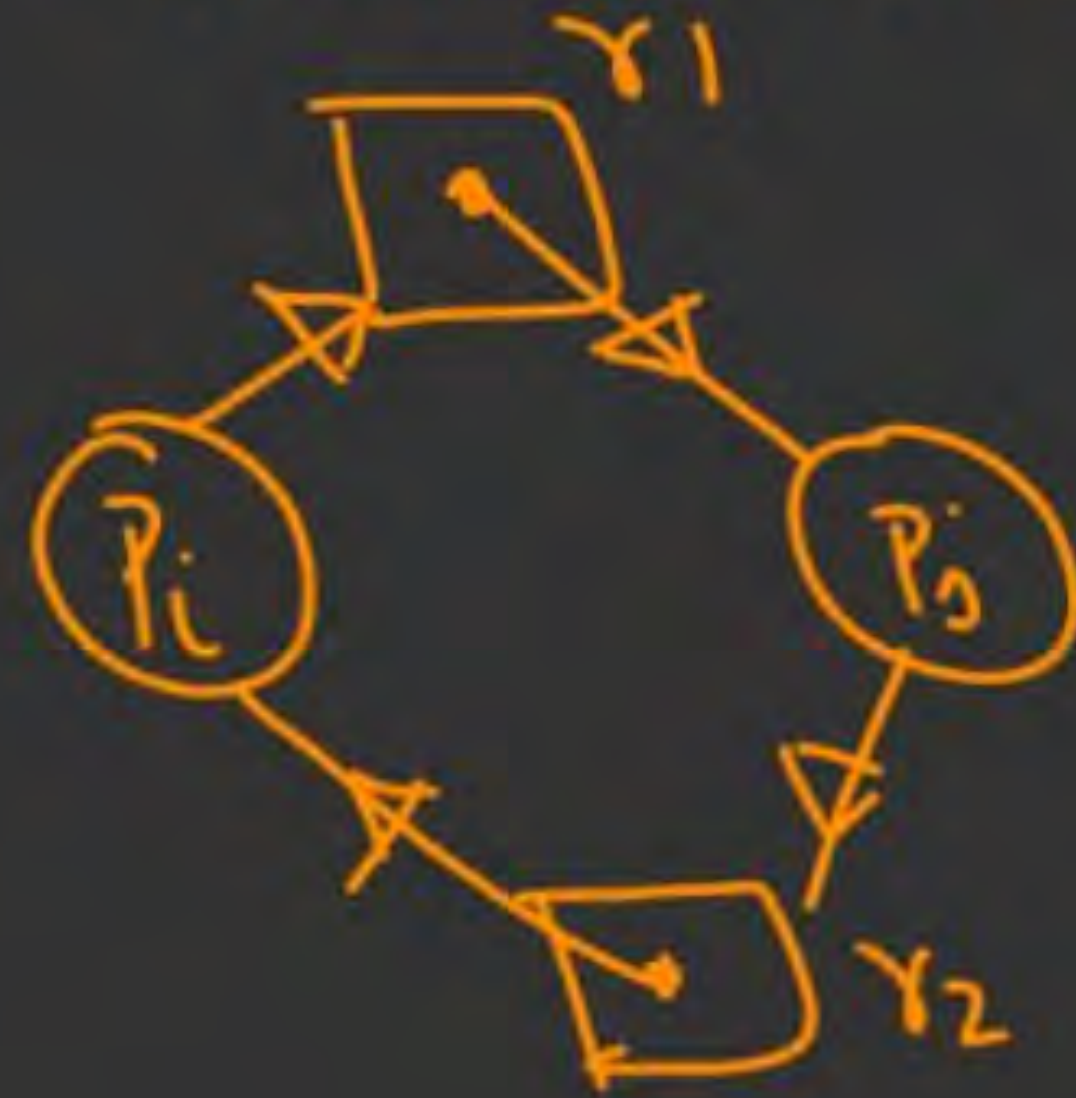
cycle?  
 $P_1 - R_1 - P_3 - R_2 - P_1$   
No Deadlock





Note 1: If the R.A.G has multi-Instance Resource, then cycle is ~~only~~ necessary condition

Note 2: If R.A.G has only Single Instance Resource then cycle is necessary & sufficient condition for deadlock;



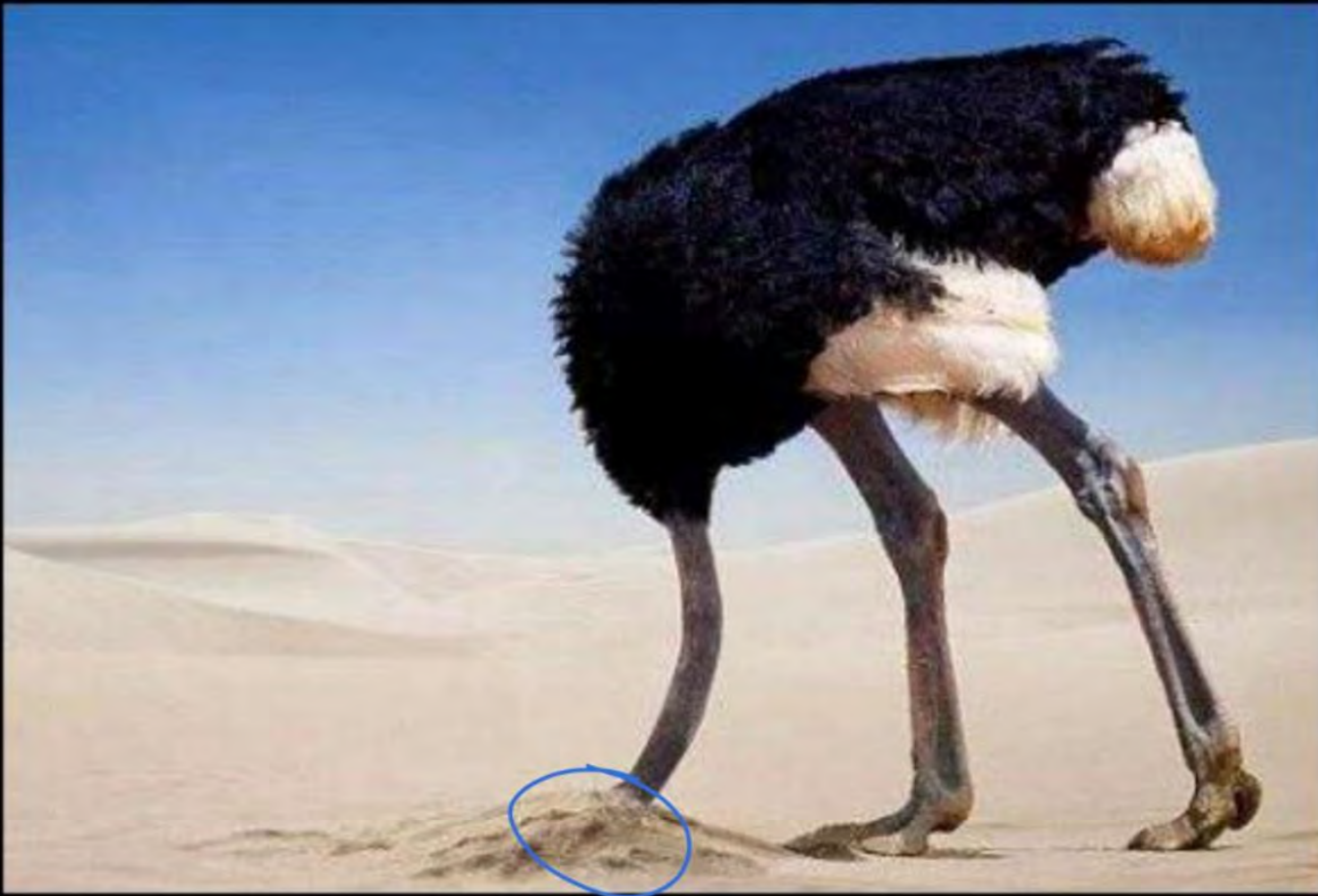


## Strategies

- (i) Deadlock Prevention
  - (ii) Deadlock Avoidance
  - (iii) Deadlock Detection & Recovery
  - (iv) Deadlock Ignorance
- <NO-Strategy>
- $T_1$  : deadlock Never occurs
- <Banker's Algo>
- <Doctor's Algo>\*
- <Ostrich Algorithm>
- $T_2$  : <Deadlock occurs>



# Deadlock Ignorance





# The Ostrich Algorithm

- Pretend there is no problem
- Reasonable if
  - Deadlocks occur very rarely;
  - Cost of prevention is high;
- UNIX and Windows take this approach
- It is a trade-off between
  - Convenience
  - Correctness

Burying your head in the sand will not make you invisible & neither it solves the problem



It will only increase your frustration;

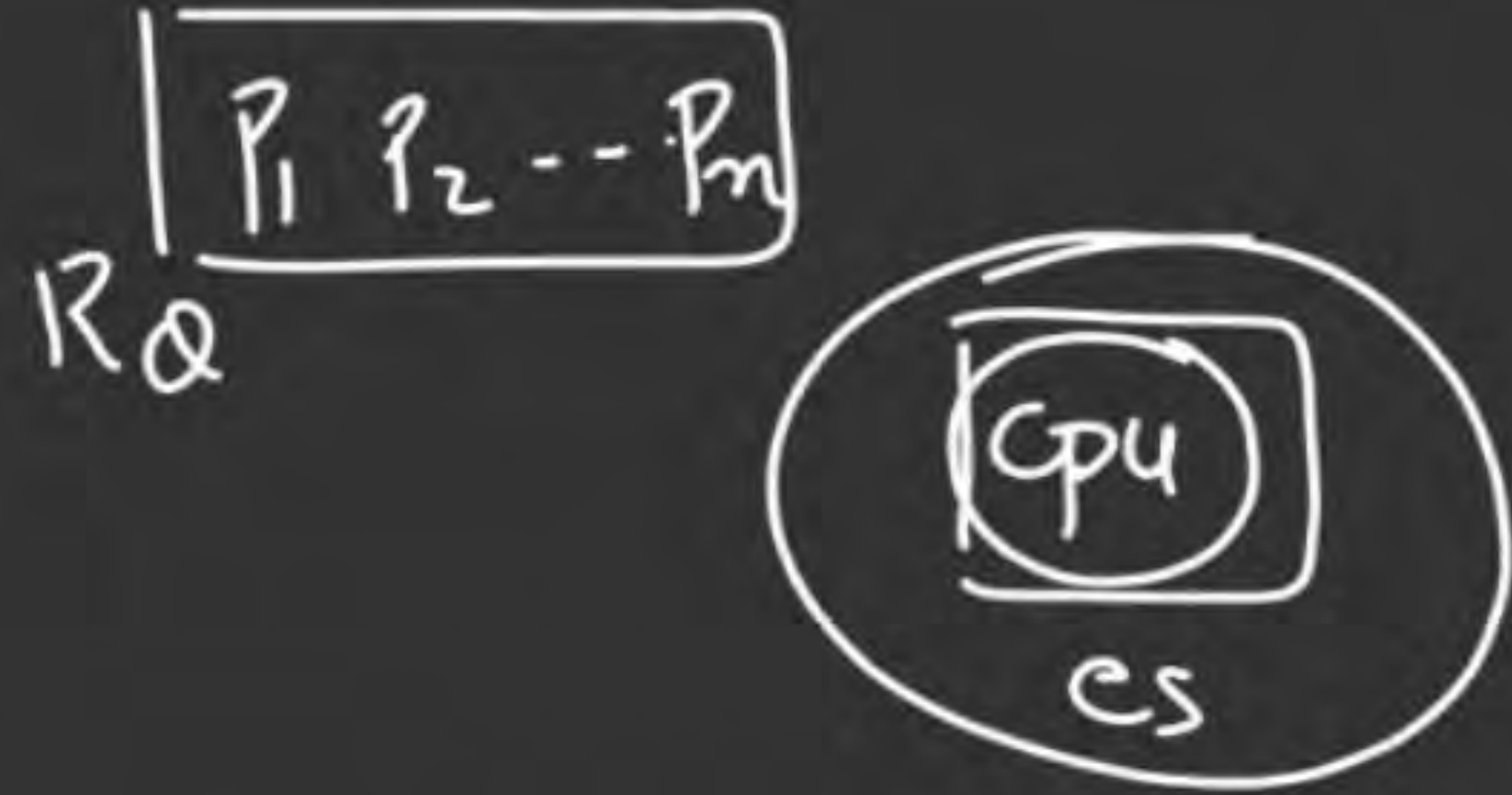
↓  
No Strategy





② Deadlock Prevention: < by dissatisfying negating one/more of the necessary condition;

1) Mutual Exclusion:



Can we Imaging  
a System without  
a Shared Resource?

No  
Sys. must/will always have a  
Shared resource ( $cs$ )

"Mut. Exclusion is non  
dissatisfying"



(ii) Hold and wait: (Hold or wait)

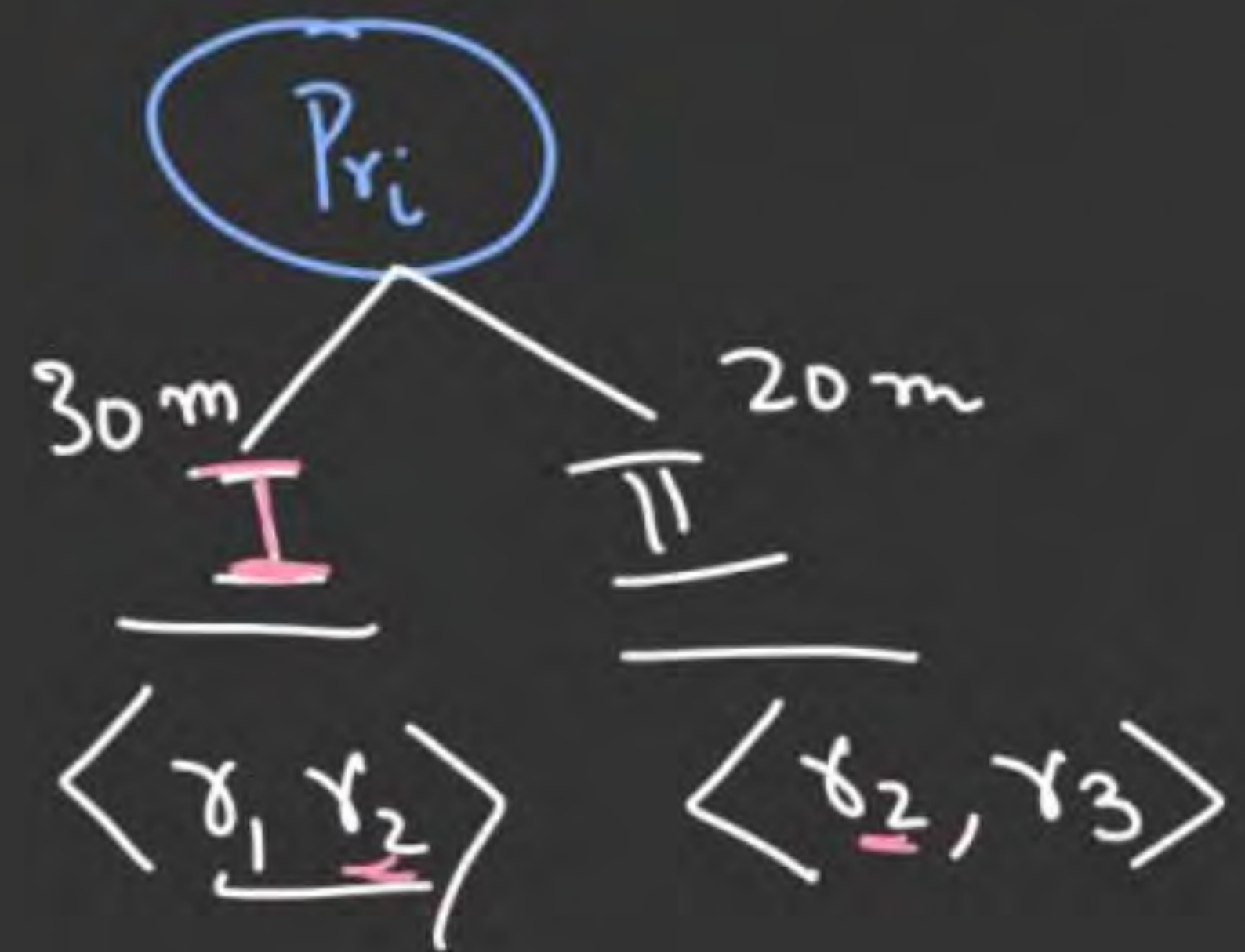
a) Request & be allocated all the resources Prior to its execution

(i) Starvation

(ii) Inefficiency;

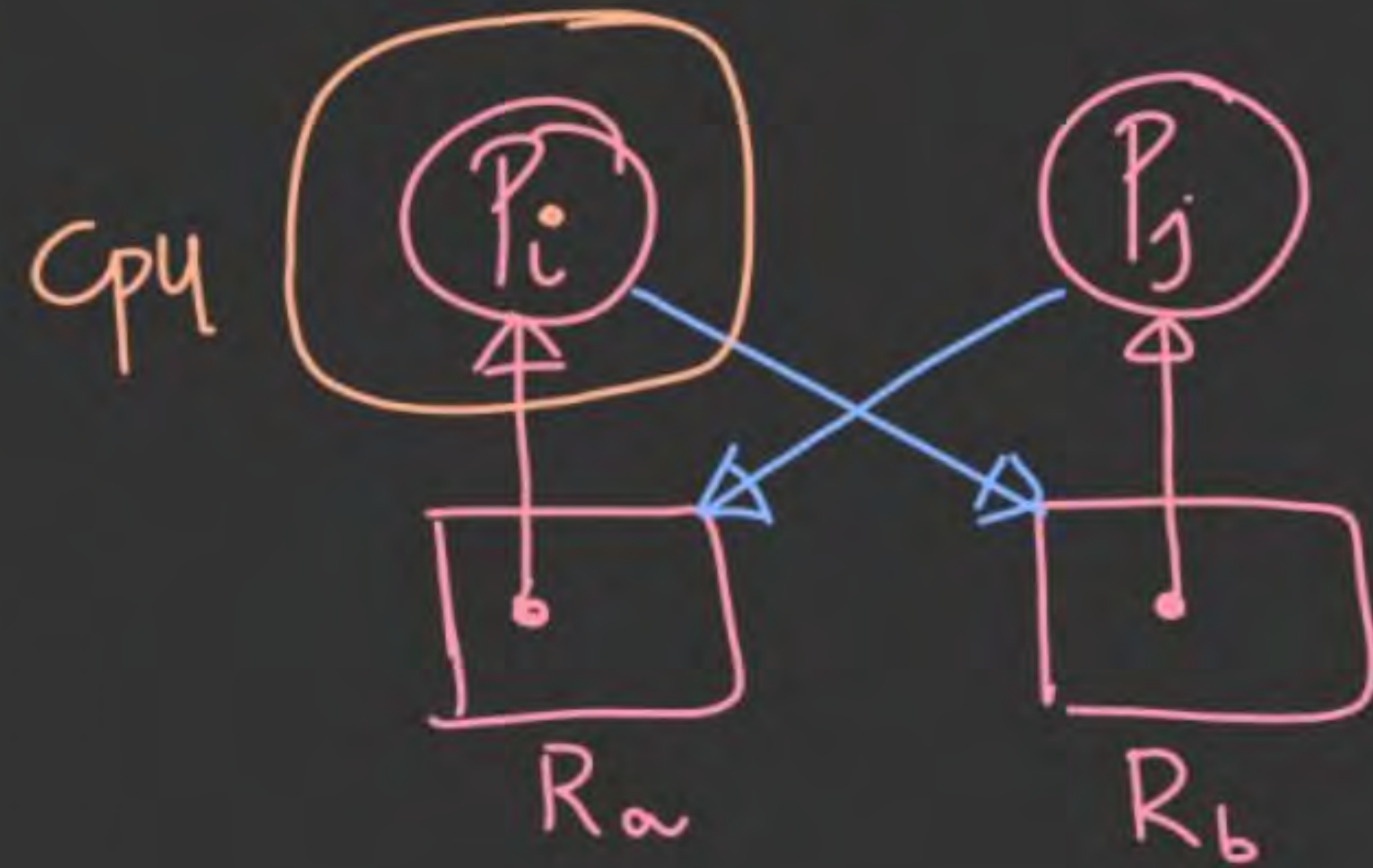
b) Process must release all the resources b/f making a fresh request;

- Starvation





(iii) ! (No-Preemption):



Pre Emption

forcible  
(Selfish)

Self  
(Selfless)

Starvation



# (10) Circular-wait:

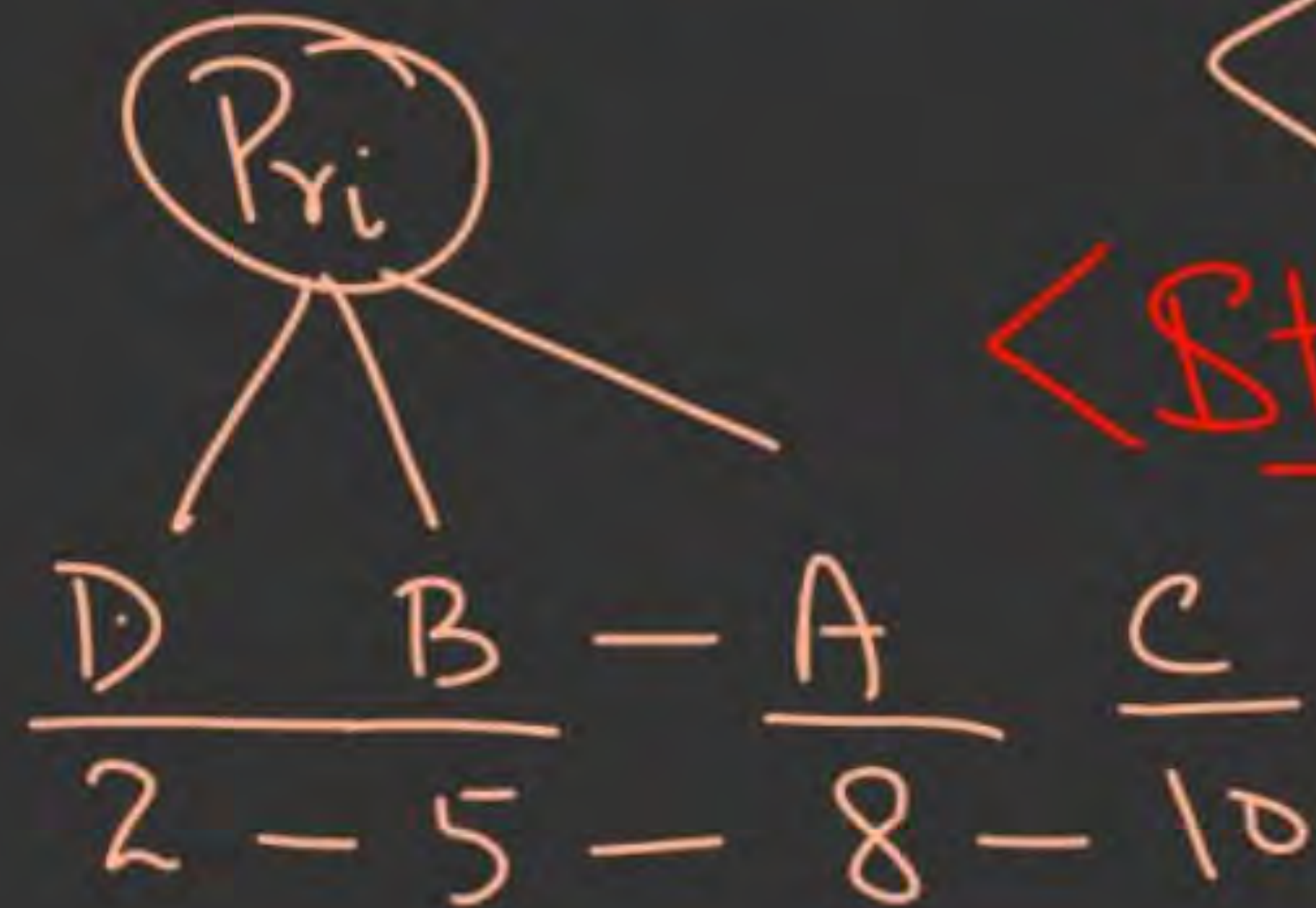
a) Number all resources uniquely

b) Never allow a process to request a lower numbered resource than the last one requested.

<linear order>

<Starvation>

<u>Res. Name</u>	<u>Rid</u>
A	8
B	5
C	10
D	2
E	12
F	15





# Deadlock prevention

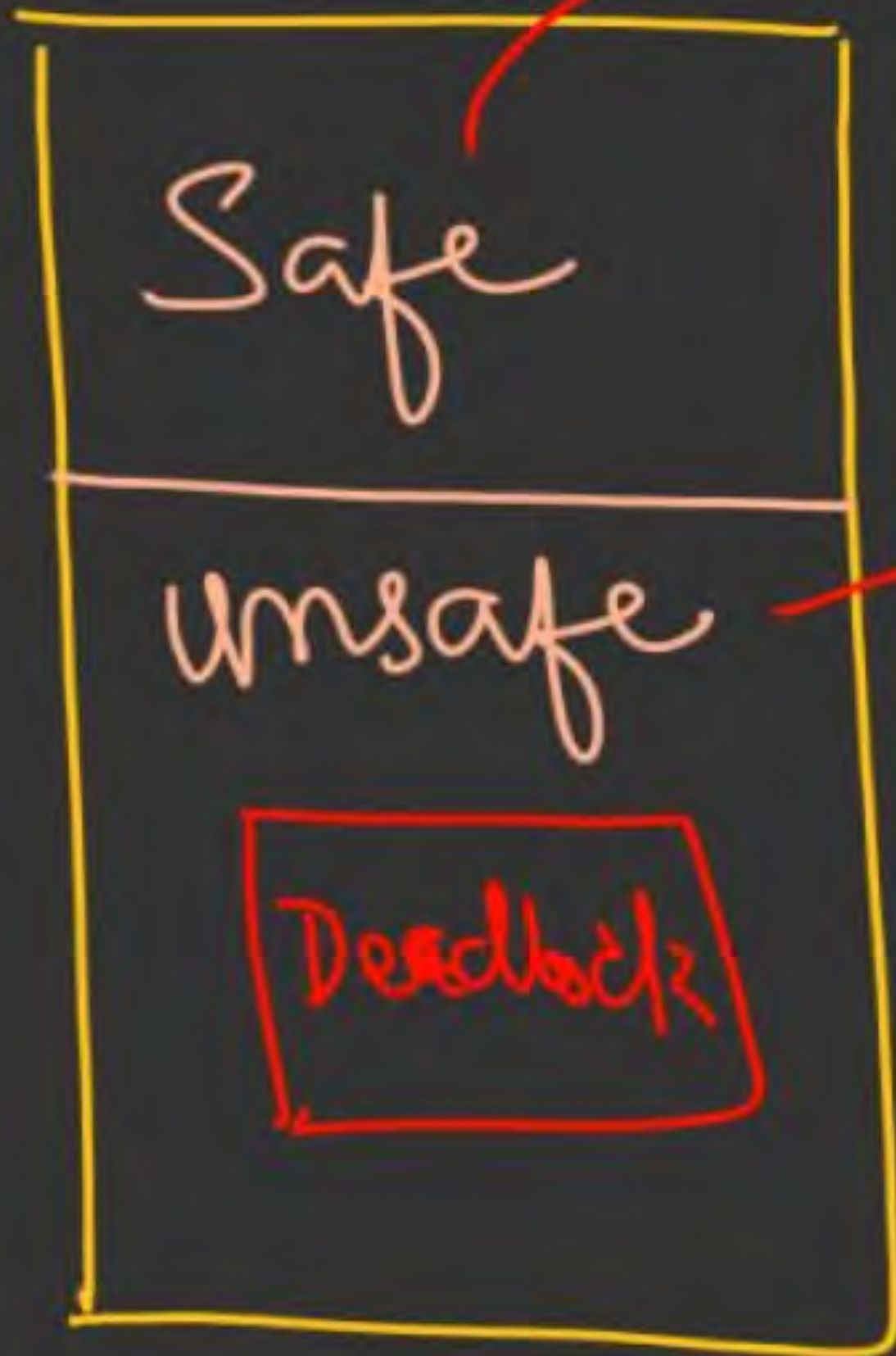
- To design a system in such a way that the possibility of a deadlock is excluded a priori.
- Prevention philosophy: We know what the preconditions are; So prevent one or more these from occurring.
- For example: Circular wait can be prevented by linear ordering of the resource types. If a process holds resources of type  $R_j$ , then it can request resources of type  $R_k$ ,  $k > j$ , but not  $R_i$  where  $i \leq j$ . Similarly, any other process holding  $R_i$  can request  $R_j$  but a process holding  $R_j$  cannot request  $R_i$ .



### III. Deadlock Avoidance: < Banker's Algorithm >

(i) Safety Algorithm (ii) Resource-Request Algo.

System State:



→ No deadlock

→ Warning

(Safety Algo)

"The main obj of Banker's Algo is to always operate the system in a safe manner."



System Parameters that define its State;

$n$ : no. of Processes

$m$ : no. of resources

Maximum  $[1..n, 1..m]_{n \times m}$

$Max[i, j] = K$

$P_i \xrightarrow{max} K(R_j)$

Allocation  $[1..n, 1..m]_{n \times m}$

$Alloc[i, j] = a$

$P_i \xleftarrow{alloc} a(R_j) [a \leq K]$

(v) Need  $[1..n, 1..m]_{n \times m} = \frac{Max - Alloc}{K - a}$

$Need[i, j] = b$

$P_i \xrightarrow{Need} b(R_j)$

(vi) Request  $[1..n, 1..m]_{n \times m}$

$Req[i, j] = e$

$P_i \xrightarrow{req} e(R_j) @ \text{time } t' [e \leq b]$

(vii) Total  $[1..m]$

$Total[j] = z$  | There are 'z' copies of  $R_j$  in System

(viii) Available  $[1..m] = Total - \sum_{i=1}^n Alloc$

Avail  $[j] = y$ ;

There are  $y(R_j)$  free avail @  $t'$

$$A = \frac{Total}{50}$$

$$\langle P_1 \dots P_n \rangle = \frac{A}{35}$$

$$Avail = 15$$



1)  $n=5; \langle P_1 \dots P_5 \rangle$   
 $R = 35$  (Total)

Safety Algo: Sys is said to be Avail  $= \text{Total} - \sum \text{Alloc}$   
 Safe iff the Need  $\frac{\text{Total} - \sum \text{Alloc}}{\text{Need}}$   
 of all Processes can be satisfied with the 'avail' resource in some order

34 - 32 = 2

to:

Pid	<u>Main</u> R	<u>Alloc</u> R	<u>Need</u> R	<u>Avail</u> R
P <sub>1</sub>	12	6	6	3
P <sub>2</sub>	6	3	3	
P <sub>3</sub>	20	12	8	
P <sub>4</sub>	15	7	8	
P <sub>5</sub>	8	4	4	
		<u>32</u>		

$t_1: \langle P_2, P_5, P_1, P_3, P_4 \rangle$   
Safe Sequence

~~6~~  
~~10~~  
~~16~~  
 28  
 $\langle 35 \rangle$



R.Q	Allocation			Max	A	B	C	Available
	A	B	C					
P0	0	1	0	7	5	3	3	3
P1	2	0	0	3	2	2	2	3
P2	3	0	2	9	0	2	1	0
P3	2	1	1	2	2	2		
P4	0	0	2	4	3	3		

Need

A B C

7 4 3

0 2 0

6 0 0

0 1 1

4 3 1

7 2 3 X

X

X

X

X

$T_1: \langle P_1, P_3, P_4, P_0 \rangle$

$T_0$ : System is Safe:

$T_1: (P_1) \rightarrow \langle 1, 0, 2 \rangle$ : Req<sub>1</sub>  
 ↓  
 is granted ✓

$T_2: (P_4) \rightarrow \langle 3, 3, 0 \rangle$ : Req<sub>4</sub>?  
 NOT granted

$T_3: (P_0) \rightarrow \langle 0, 2, 0 \rangle$ : Req<sub>0</sub>? denied





**THANK  
YOU!**

