

CSCE 5218 & 4930 Deep Learning

Convolutional Neural Networks

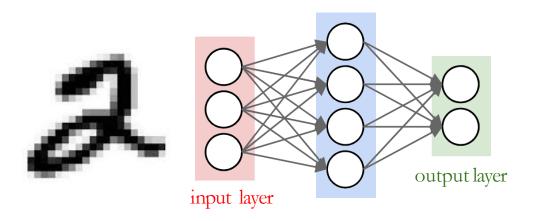
Plan for this lecture

- Motivation: Scanning for patterns
- Convolutional network operations
- Common architectures
- Visualizing convolutional networks
- Applications in computer vision

Plan for this lecture

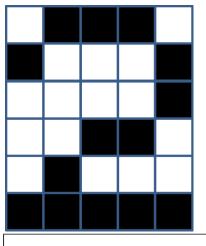
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Neural networks so far

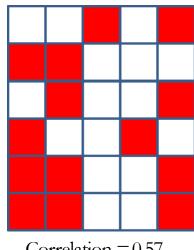


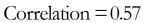
• Can recognize patterns in data (e.g. digits)

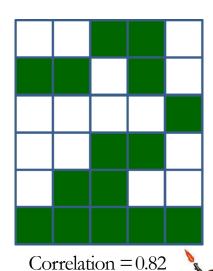
The weights look for patterns



$$y = \left\{ \begin{array}{cc} 1 & \text{if } \Sigma & w_i X_i \ge T \\ 0 & \text{else} \end{array} \right.$$

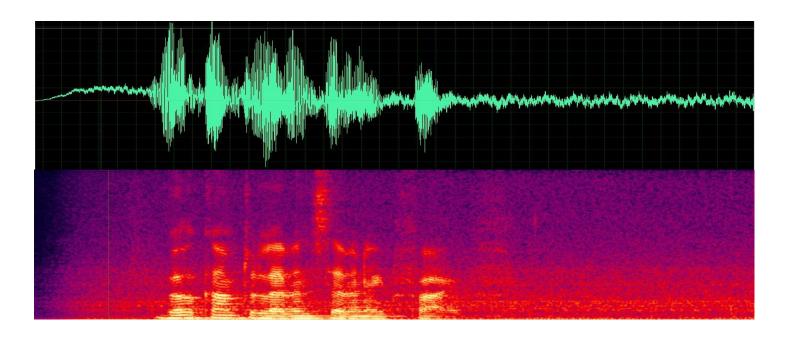






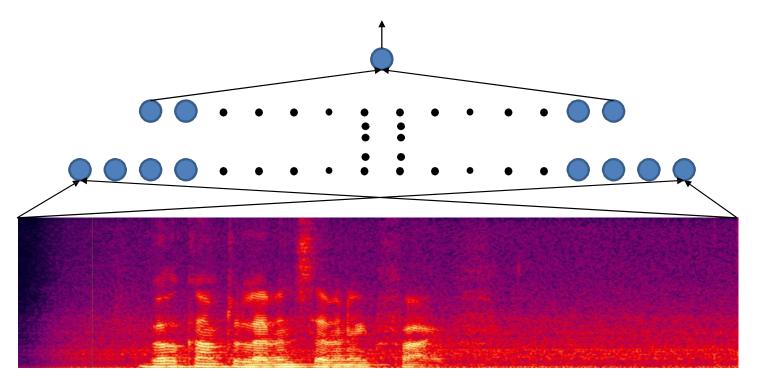
- The green pattern looks more like the weights pattern (black) than the red pattern
 - The green pattern is more *correlated* with the weights

A problem



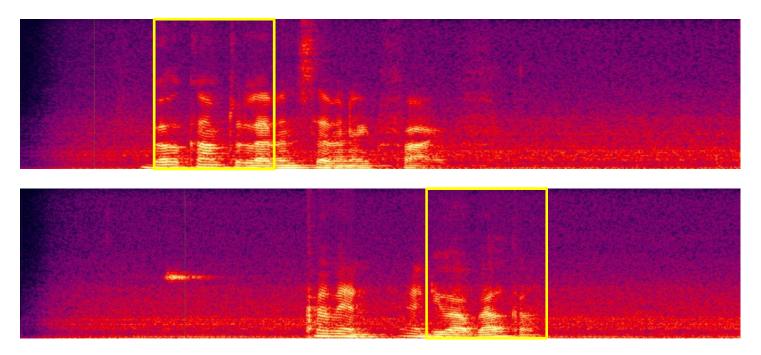
- Does this signal contain the word "Welcome"?
- Compose a NN for this problem
 - Assuming all recordings are exactly the same length

Finding a Welcome



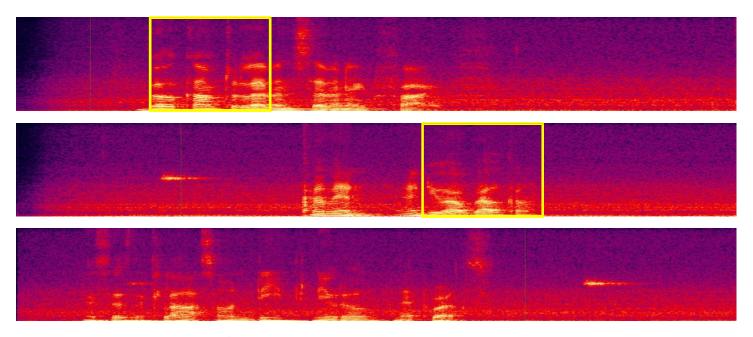
• Trivial solution: Train a NN for the entire recording

Finding a Welcome



- Problem with trivial solution: Network that finds a "welcome" in the top recording will not find it in the lower one
 - Unless trained with both
 - Will require a very large network and a large amount of training data to cover every case

Finding a Welcome



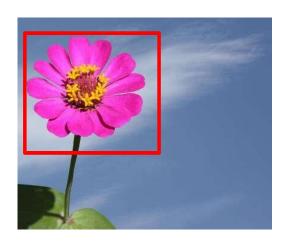
- Need a *simple* network that will fire regardless of the location of "Welcome"
 - and not fire when there is none

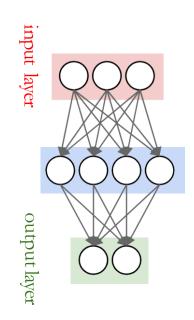
Flower

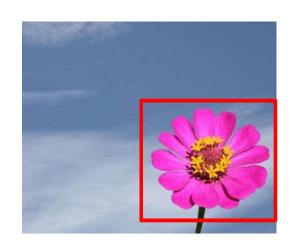


• Is there a flower in any of these images?

Flower

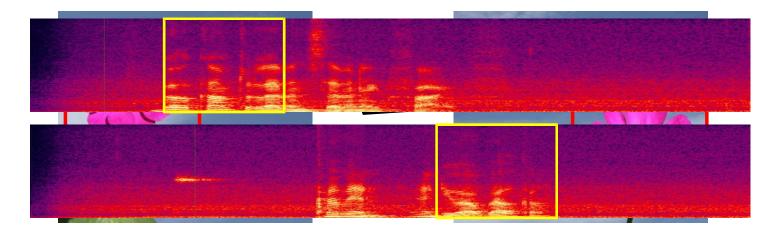






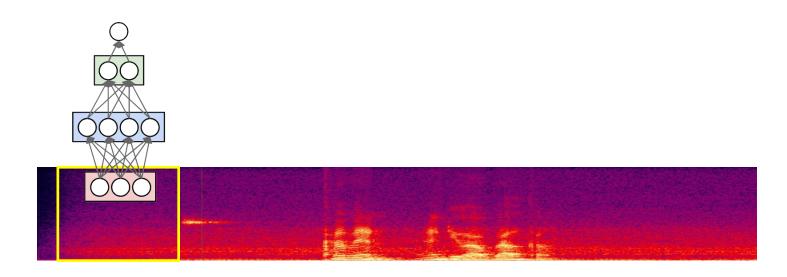
- Will a NN that recognizes the left image as a flower also recognize the one on the right as a flower?
- Need a network that will "fire" regardless of the precise location of the target object

The need for shift invariance



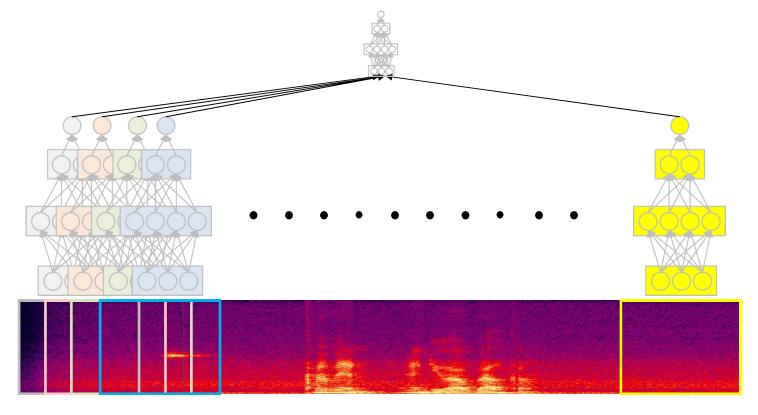
- In many problems the *location* of a pattern is not important
 - Only the presence of the pattern is important
- Conventional NNs are sensitive to location of pattern
 - Moving it by one component results in an entirely different input that the NN won't recognize
- Requirement: Network must be **shift invariant**

Solution: Scan



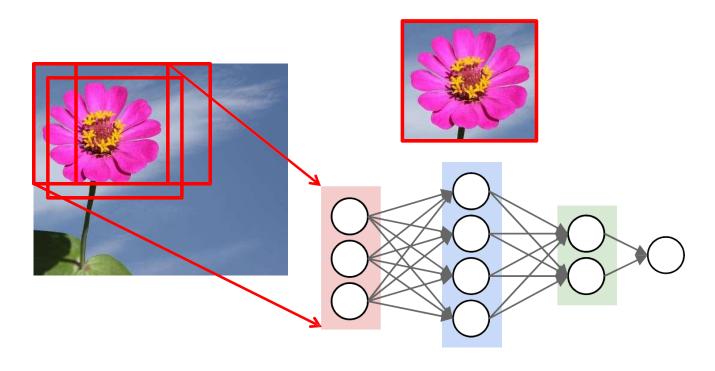
- Scan for the target word
 - The audio signals in a "window" are input to a "welcome-detector" NN

Solution: Scan



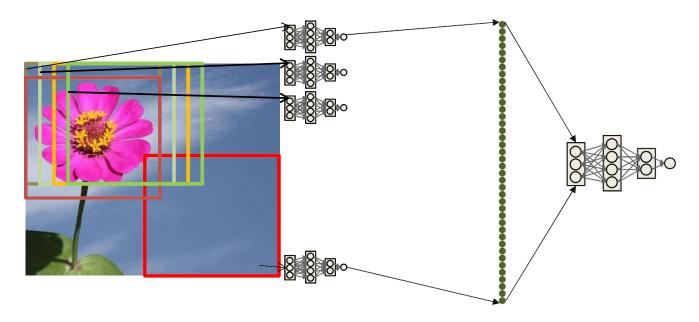
- "Does welcome occur in this recording?"
 - Maximum of all outputs (Equivalent of Boolean OR)
 - Or more complex function

2-d analogue: Does this picture have a flower?



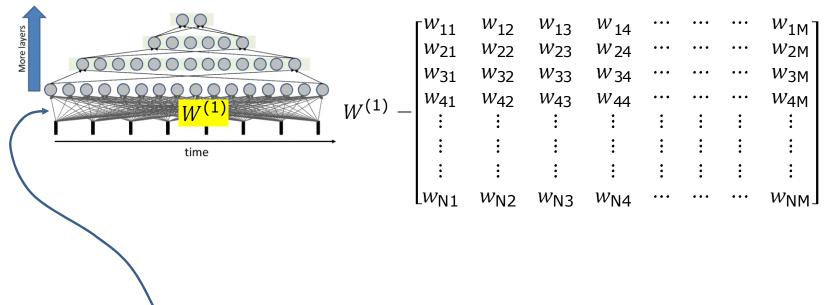
- **Scan** for the desired object
 - "Look" for the target object at each position
 - At each location, entire region is sent through NN

A giant net with common identical subnets



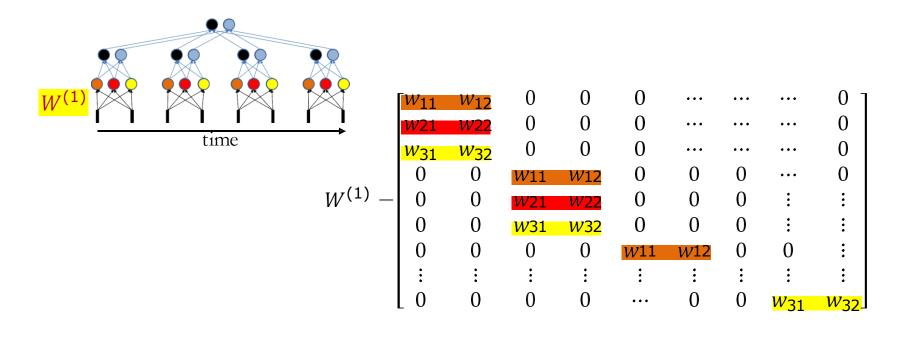
- Determine if any of the locations had a flower
 - Each dot in the right represents the output of the NN when it classifies one location in the input figure
 - Look at the maximum value
 - Or pass it through a simple NN (e.g. linear combination + softmax)

Regular network



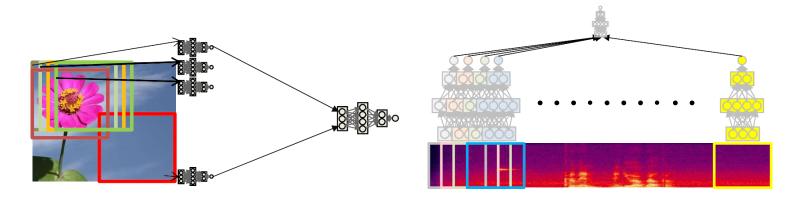
- Consider the first layer
 - Assume N inputs and M outputs
- The weights matrix is a full $N \times M$ matrix
 - Requiring N*M unique parameters

Scanning networks



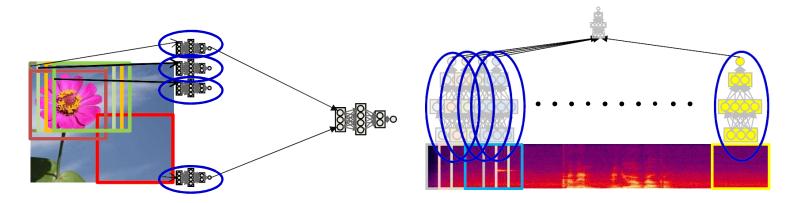
- In a *scanning NN* each neuron is connected to a subset of neurons in the previous layer
 - The weights matrix is sparse
 - The weights matrix is block structured *with identical blocks*
 - The network is a shared parameter model

Training the network



- These are really just large networks
 - Can use conventional backpropagation to learn parameters
 - Backprop learns a network that maps the training inputs to the target binary outputs

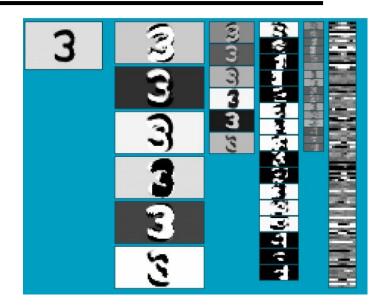
Training the network: constraint

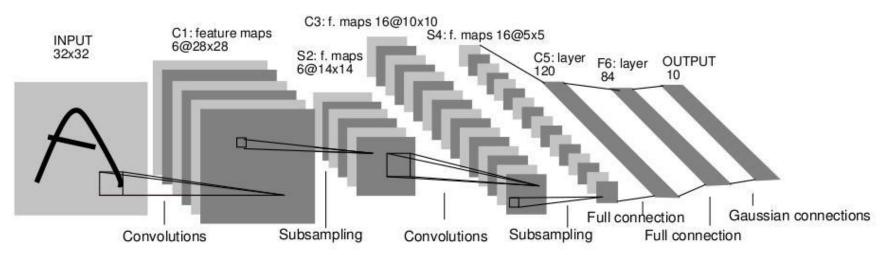


- These are **shared parameter** networks
 - All lower-level subnets are identical
 - Are all searching for the same pattern
 - Any update of the parameters of one copy of the subnet must equally update *all* copies

Convolutional Neural Networks (CNN)

- Neural network with specialized connectivity structure
- Stack multiple stages of feature extractors
- Higher stages compute more global, more invariant, *more abstract* features
- Classification layer at the end



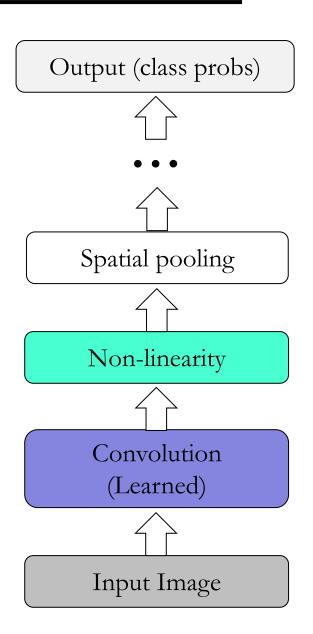


Y. LeCun, L. Bottou, Y. Bengio, and P. Haffner, <u>Gradient-based learning applied to document recognition</u>, Proceedings of the IEEE 86(11): 2278–2324, 1998.

Adapted from Rob Fergus

Convolutional Neural Networks (CNN)

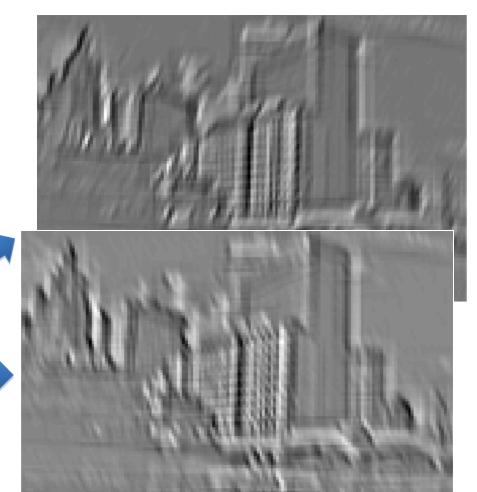
- Feed-forward feature extraction:
 - 1. Convolve input with learned filters
 - 2. Apply non-linearity
 - 3. Spatial pooling (downsample)
- Recent architectures have additional operations (to be discussed)
- Trained with some loss, backprop



1. Convolution

- Apply learned filter weights
- One feature map per filter
- Stride can be greater than 1 (faster, less memory)





Feature Map

Input

2. Non-Linearity

- Per-element (independent)
- Some options:
 - Tanh
 - Sigmoid: $1/(1+\exp(-x))$
 - Rectified linear unit (ReLU)
 - Avoids saturation issues

Krizhevsky et al.

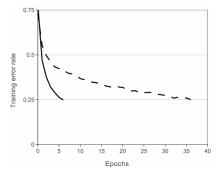
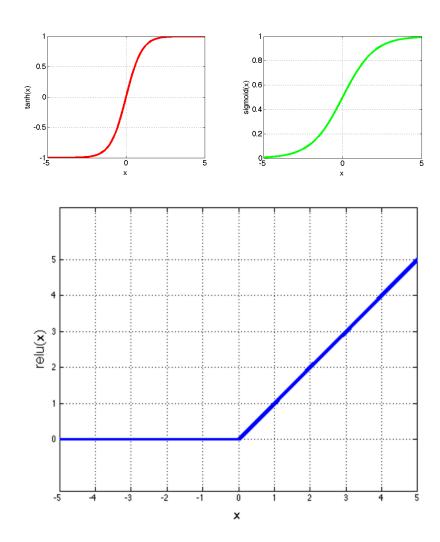
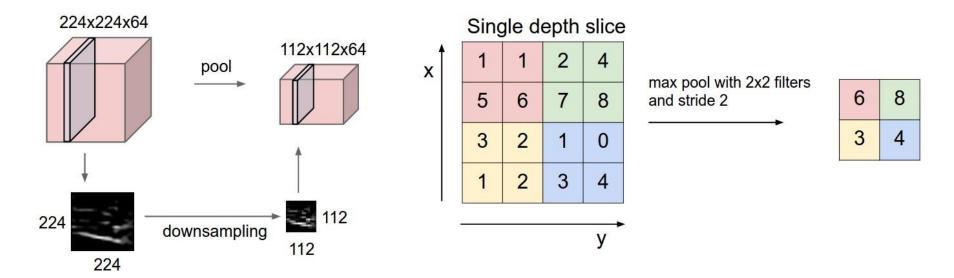


Figure 1: A four-layer convolutional neural network with ReLUs (solid line) reaches a 25% training error rate on CIFAR-10 six times faster than an equivalent network with tanh neurons (dashed line). The learning rates for each network were chosen independently to make training as fast as possible. No regularization of any kind was employed. The magnitude of the effect demonstrated here varies with network architecture, but networks with ReLUs consistently learn several times faster than equivalents with saturating neurons.



3. Spatial Pooling

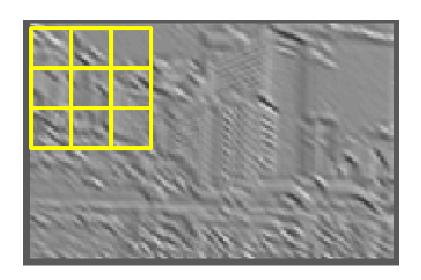
 Sum or max over non-overlapping / overlapping regions



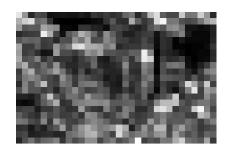
- Role of pooling:
 - Invariance to small transformations
 - Larger receptive fields (neurons see more of input)

3. Spatial Pooling

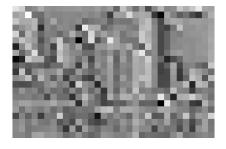
Sum or max over non-overlapping / overlapping regions



Max



Sum



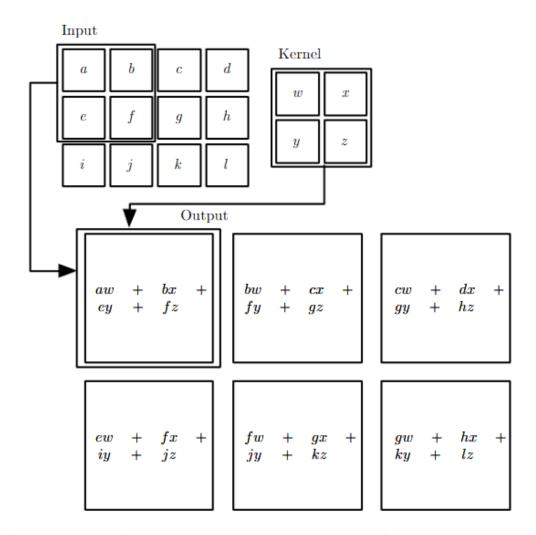
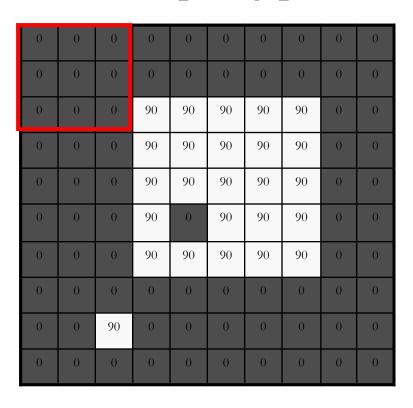
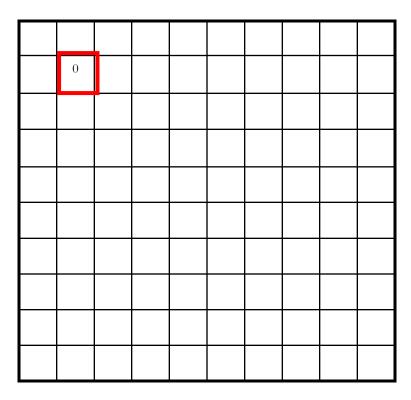


Figure 9.1: An example of 2-D convolution without kernel flipping. We restrict the output to only positions where the kernel lies entirely within the image, called "valid" convolution in some contexts. We draw boxes with arrows to indicate how the upper-left element of the output tensor is formed by applying the kernel to the corresponding upper-left region of the input tensor.

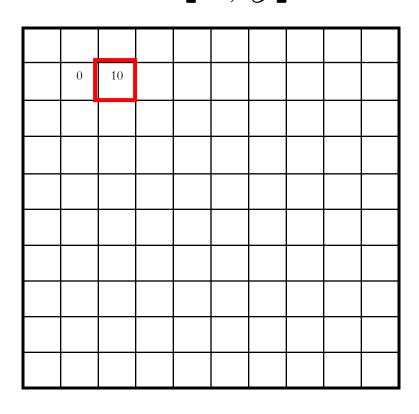
F[x,y]



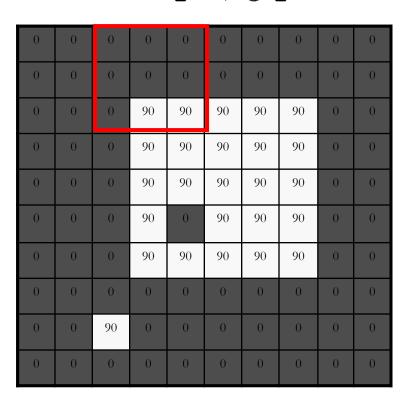


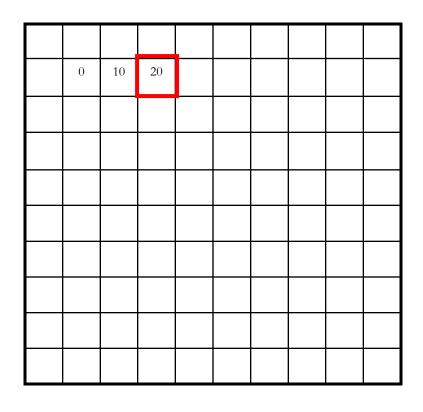
F[x,y]

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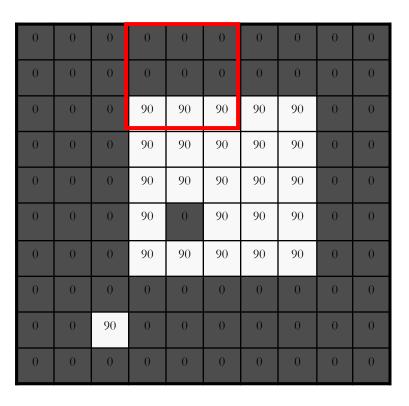


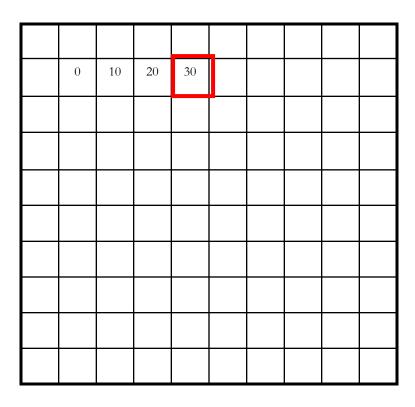
F[x,y]



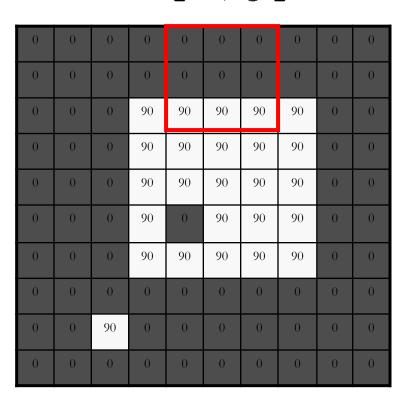


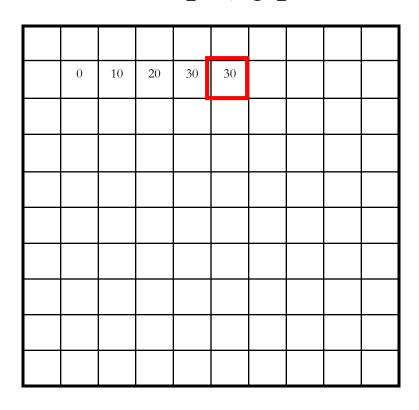
F[x,y]





F[x,y]





F[x,y]

0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	90	90	90	90	90	0	0
0	0	0	90	90	90	90	90	0	0
0	0	0	90	90	90	90	90	0	0
0	0	0	90	0	90	90	90	0	0
0	0	0	90	90	90	90	90	0	0
0	0	0	0	0	0	0	0	0	0
0	0	90	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0

0	10	20	30	30	30	20	10	
0	20	40	60	60	60	40	20	
0	30	60	90	90	90	60	30	
0	30	50	80	80	90	60	30	
0	30	50	80	80	90	60	30	
0	20	30	50	50	60	40	20	
10	20	30	30	30	30	20	10	
10	10	10	0	0	0	0	0	

Image filtering

- Compute a function of the local neighborhood at each pixel in the image
 - Function specified by a "filter" or mask saying how to combine values from neighbors.
 - Element-wise multiplication

- Uses of filtering:
 - Enhance an image (denoise, resize, etc)
 - Extract information (texture, edges, etc)
 - Detect patterns (template matching)

Correlation filtering

Say the averaging window size is $2k+1 \times 2k+1$:

$$G[i,j] = \frac{1}{(2k+1)^2} \sum_{u=-k}^{k} \sum_{v=-k}^{k} F[i+u,j+v]$$
Attribute uniform weight to Loop over all pixels in neighborhood around image pixel

each pixel

F/i,j

Now generalize to allow different weights depending on neighboring pixel's relative position:

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i+u,j+v]$$
Non-uniform weights

Correlation filtering

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i+u,j+v]$$

This is called **cross-correlation**, denoted

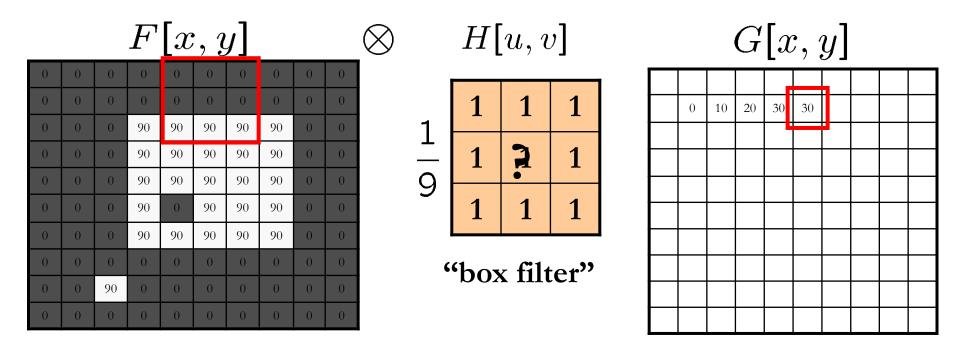
$$G = H \otimes F$$

Filtering an image: replace each pixel with a linear combination of its neighbors.

The filter a.k.a. kernel a.k.a. mask H[u,v] is the prescription for the weights in the linear combination.

Averaging filter

• What values belong in the kernel H for the moving average example?

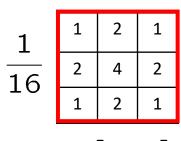


$$G = H \otimes F$$

Gaussian filter

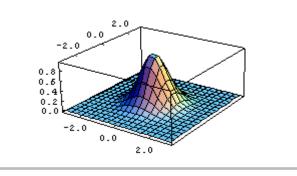
• What if we want nearest neighboring pixels to have the most influence on the output?

0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0
0	0	0	90	90	90	90	90	0	0
0	0	0	90	90	90	90	90	0	0
0	0	0	90	90	90	90	90	0	0
0	0	0	90	0	90	90	90	0	0
0	0	0	90	90	90	90	90	0	0
0	0	0	0	0	0	0	0	0	0
0	0	90	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0



This kernel is an approximation of a 2d Gaussian function:

$$h(u,v) = \frac{1}{2\pi\sigma^2}e^{-\frac{u^2+v^2}{\sigma^2}}$$



Convolution

• Convolution:

- Flip the filter in both dimensions (bottom to top, right to left)
- Then apply cross-correlation

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i-u,j-v]$$

$$G = H \star F$$

$$\uparrow$$
Notation for convolution operator

Convolution

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i-u,j-v]$$

$$G = H \star F$$

Cross-correlation

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i+u,j+v]$$

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For a Gaussian or box filter, how will the outputs differ?

Cross-correlation

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i+u,j+v]$$

$$G = H \otimes F$$

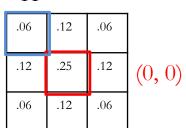
u = -1, v = -1

1				
5	2	5	4	4
5	200	3	200	4
1	5	5	4	4
5	5	1	1	2
200	1	3	5	200
1	200	200	200	1

Convolution

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i-u,j-v]$$

$$G = H \star F$$



Cross-correlation

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i+u,j+v]$$

$$G = H \otimes F$$

$$u = -1, v = -1$$

 $v = 0$

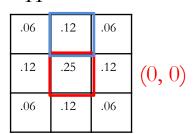
F

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Convolution

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i-u,j-v]$$

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Cross-correlation

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i+u,j+v]$$

$$G = H \otimes F$$

$$u = -1, v = -1$$
 $v = 0$
 $v = +1$

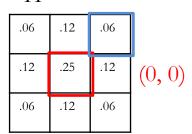
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Convolution

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i-u,j-v]$$

$$G = H \star F$$



Cross-correlation

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i+u,j+v]$$

$$G = H \otimes F$$

$$u = -1, v = -1$$
 $v = 0$
 $v = +1$

$$u = 0$$
, $v = -1$

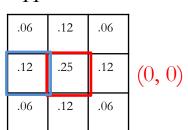
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Convolution

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i-u,j-v]$$

$$G = H \star F$$



u = -1, v = -1

Cross-correlation

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i+u,j+v]$$

$$G = H \otimes F$$

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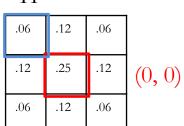
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5	5	1	1	2	
200	1	3	5	200	
1	200	200	200	1	

Convolution

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i-u,j-v]$$

$$G = H \star F$$





Cross-correlation

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i+u,j+v]$$

$$G = H \otimes F$$

$$u = -1, v = -1$$

 $v = 0$

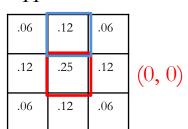
F

1					
5	2	5	4	4	
5	200	3	200	4	
1	5	5	4	4	(
5	5	1	1	2	
200	1	3	5	200	
1	200	200	200	1	

Convolution

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i-u,j-v]$$

$$G = H \star F$$



Cross-correlation

$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i+u,j+v]$$

$$G = H \otimes F$$

$$u = -1, v = -1$$
 $v = 0$
 $v = +1$

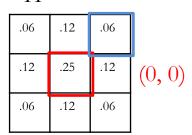
F

1.				
5	2	5	4	4
5	200	3	200	4
1	5	5	4	4
5	5	1	1	2
200	1	3	5	200
1	200	200	200	1

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 $v = 0$
 $v = +1$

$$u = 0$$
, $v = -1$

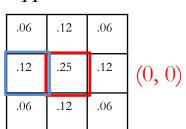
F

1					
5	2	5	4	4	
5	200	3	200	4	
1	5	5	4	4	
5	5	1	1	2	
200	1	3	5	200	
1	200	200	200	1	

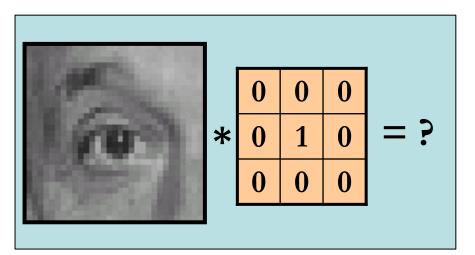
Convolution

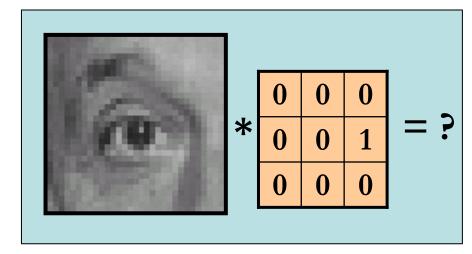
$$G[i,j] = \sum_{u=-k}^{k} \sum_{v=-k}^{k} H[u,v]F[i-u,j-v]$$

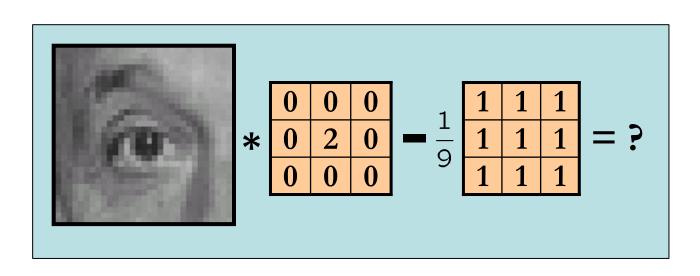
$$G = H \star F$$



Predict the outputs using correlation filtering









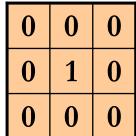
0	0	0
0	1	0
0	0	0

?

Original



Original





Filtered (no change)



0	0	0
0	0	1
0	0	0

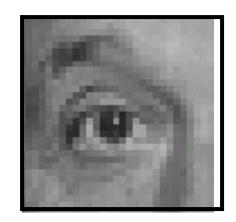
?

Original



Original

0	0	0
0	0	1
0	0	0



Shifted left by 1 pixel with correlation



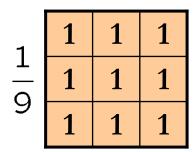
 $\frac{1}{9} \begin{array}{c|cccc} 1 & 1 & 1 \\ \hline 1 & 1 & 1 \\ \hline 1 & 1 & 1 \end{array}$

?

Original



Original





Blur (with a box filter)



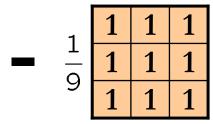
0	0	0	1	1	1	1
0	2	0	<u> </u>	1	1	1
0	0	0	9	1	1	1

?

Original



0	0	0
0	2	0
0	0	0

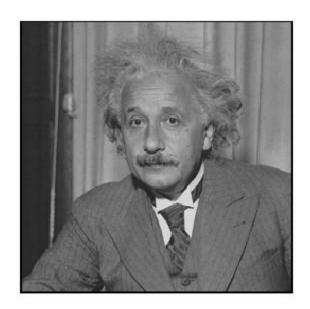


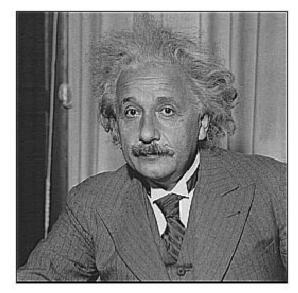


Original

Sharpening filter:
accentuates differences with local average

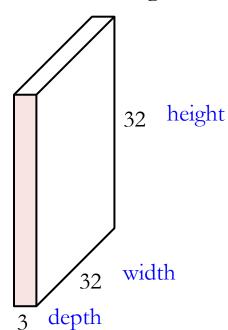
Sharpening



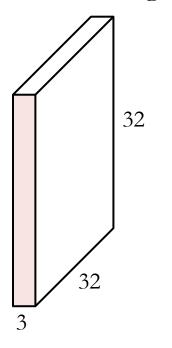


before after

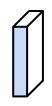
32x32x3 image



32x32x3 image

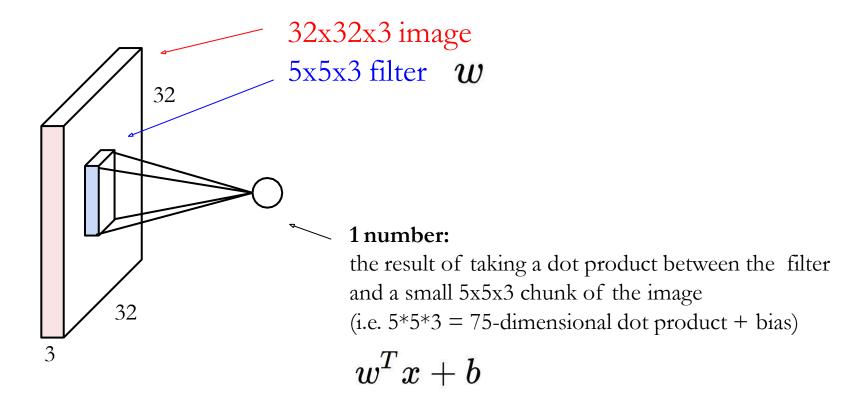


5x5x3 filter

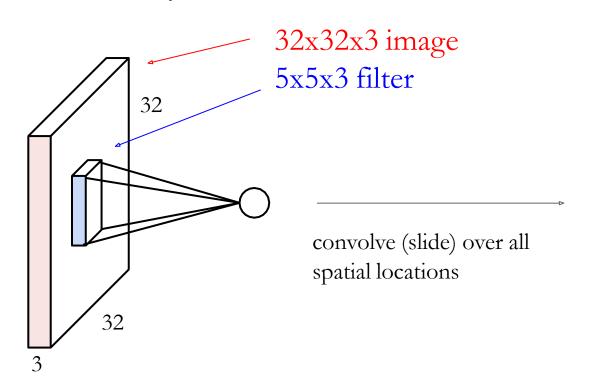


Convolve the filter with the image i.e. "slide over the image spatially, computing dot products"

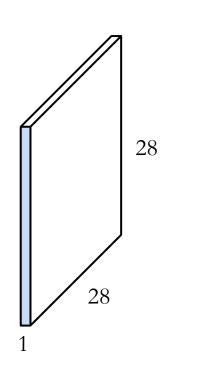
Convolution Layer



Convolution Layer

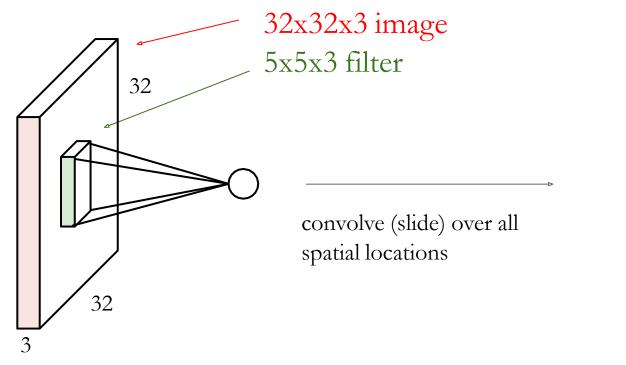


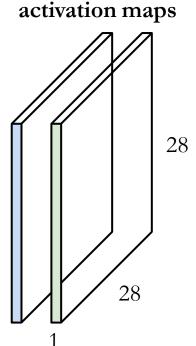
activation map



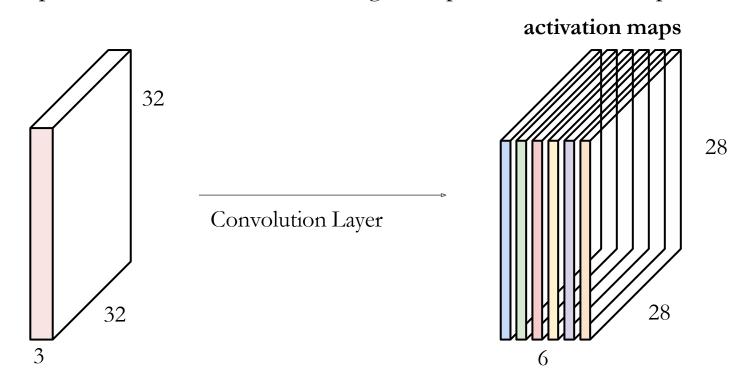
Convolution Layer

consider a second, green filter



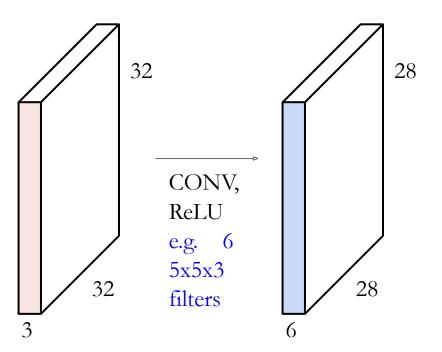


For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:

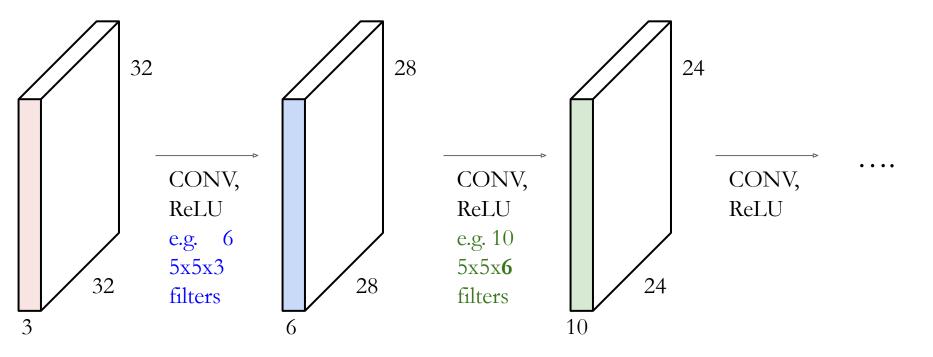


We stack these up to get a "new image" of size 28x28x6!

Preview: ConvNet is a sequence of Convolution Layers, interspersed with activation functions

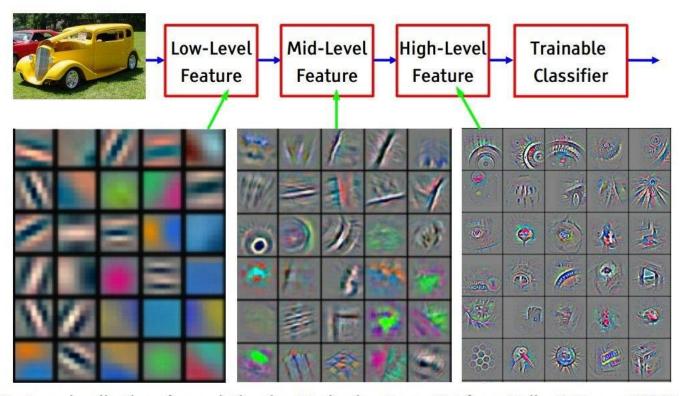


Preview: ConvNet is a sequence of Convolutional Layers, interspersed with activation functions



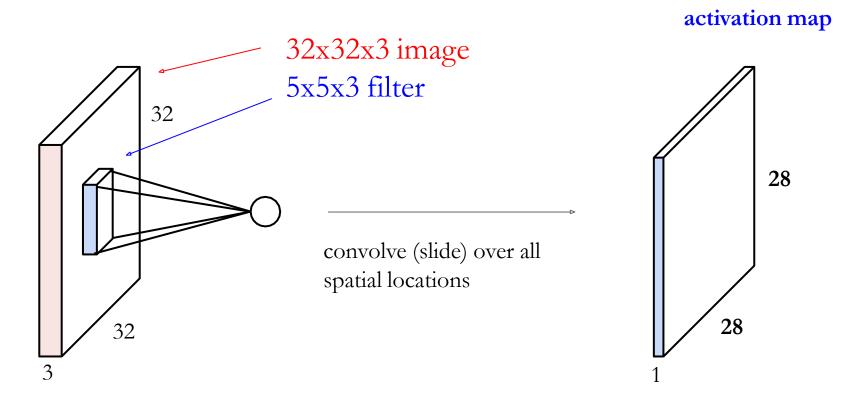
Preview

[From recent Yann LeCun slides]

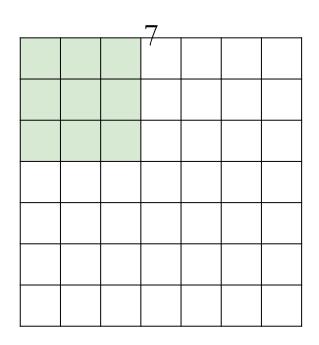


Feature visualization of convolutional net trained on ImageNet from [Zeiler & Fergus 2013]

A closer look at spatial dimensions:

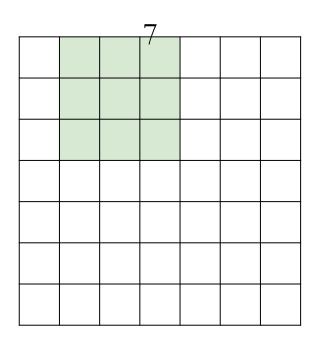


A closer look at spatial dimensions:



7x7 input (spatially) assume 3x3 filter

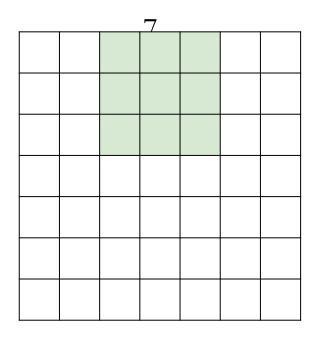
A closer look at spatial dimensions:



7x7 input (spatially) assume 3x3 filter

7

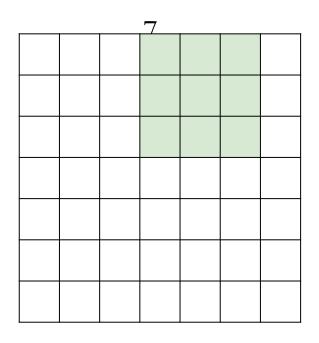
A closer look at spatial dimensions:



7x7 input (spatially) assume 3x3 filter

_

A closer look at spatial dimensions:



7x7 input (spatially) assume 3x3 filter

_

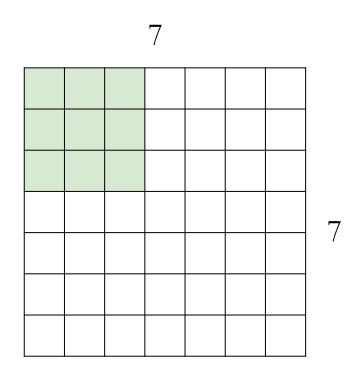
A closer look at spatial dimensions:

	7		
	1		

7x7 input (spatially) assume 3x3 filter

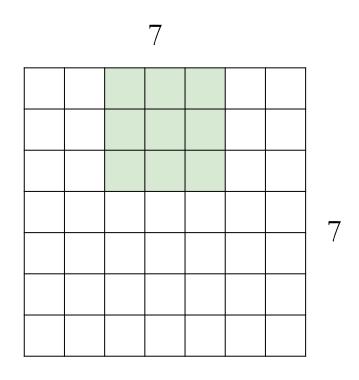
=> 5x5 output

A closer look at spatial dimensions:



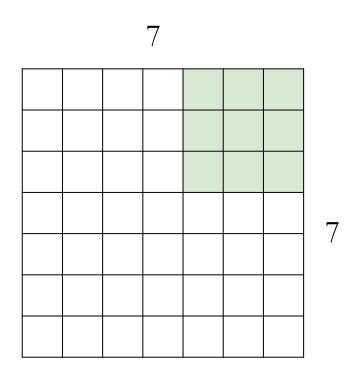
7x7 input (spatially) assume 3x3 filter applied with stride 2

A closer look at spatial dimensions:



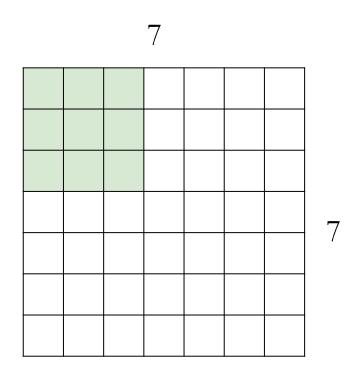
7x7 input (spatially) assume 3x3 filter applied with stride 2

A closer look at spatial dimensions:



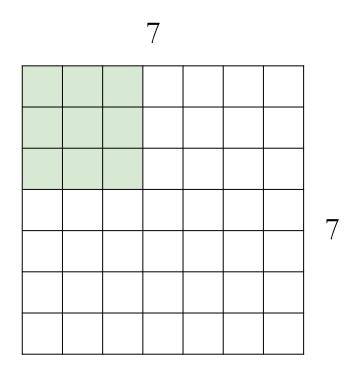
7x7 input (spatially)
assume 3x3 filter
applied with stride 2
=> 3x3 output!

A closer look at spatial dimensions:



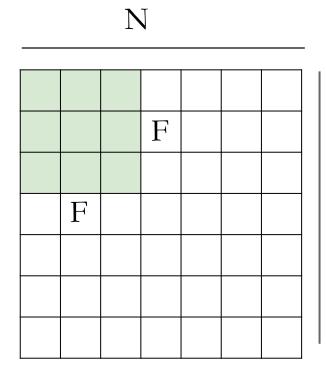
7x7 input (spatially) assume 3x3 filter applied with stride 3?

A closer look at spatial dimensions:



7x7 input (spatially) assume 3x3 filter applied with stride 3?

doesn't fit!
cannot apply 3x3 filter on
7x7 input with stride 3.



Output size:

$$(N - F) / stride + 1$$

e.g. N = 7, F = 3:
stride 1 =>
$$(7 - 3)/1 + 1 = 5$$

stride 2 => $(7 - 3)/2 + 1 = 3$
stride 3 => $(7 - 3)/3 + 1 = 2.33$:\

In practice: Common to zero pad the border

0	0	0	0	0	0		
0							
0							
0							
0							

e.g. input 7x7
3x3 filter, applied with stride 1
pad with 1 pixel border => what is the output?

(recall:)
(N - F) / stride + 1

In practice: Common to zero pad the border

0	0	0	0	0	0		
0							
0							
0							
0							

e.g. input 7x7

3x3 filter, applied with stride 1

pad with 1 pixel border => what is the output?

7x7 output!

In practice: Common to zero pad the border

0	0	0	0	0	0		
0							
0							
0							
0							

e.g. input 7x7

3x3 filter, applied with stride 1

pad with 1 pixel border => what is the output?

7x7 output!

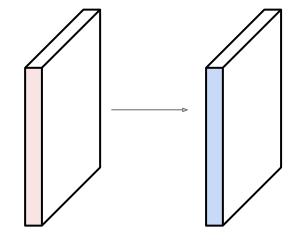
in general, common to see CONV layers with stride 1, filters of size FxF, and zero-padding with (F-1)/2. (will preserve size spatially)

(N + 2*padding - F) / stride + 1

Examples time:

Input volume: 32x32x3

10 5x5x3 filters with stride 1, pad 2

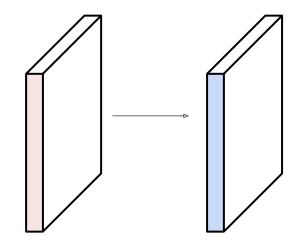


Output volume size: ?

Examples time:

Input volume: 32x32x3

10 5x5x3 filters with stride 1, pad 2



Output volume size:

$$(32+2*2-5)/1+1 = 32$$
 spatially, so

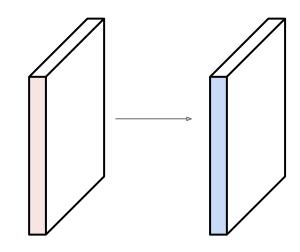
32x32x10

Examples time:

Input volume: 32x32x3

10 5x5x3 filters with stride 1, pad 2

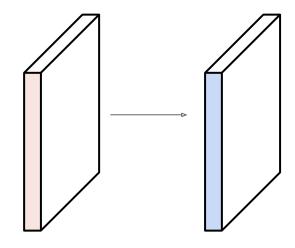
Number of parameters in this layer?



Examples time:

Input volume: 32x32x3

10 5x5x3 filters with stride 1, pad 2



Number of parameters in this layer? each filter has 5*5*3 + 1 = 76 params => 76*10 = 760

Putting it all together

