CDD/ECDD - Individual "Due Diligence Form"
(For high risk customers, please complete ECDD section also)

KNOW YOUR CUSTOMER DETAILS					
Primary Account Holder					
Customer ID (for Existing Customer)	400906		Account Type		
Name (As Per Passport)	NABIN PVT. LTD.		Account No (for Existing Customer)		
Date of Birth	23-03-2022		Place of Birth		
Nationality			Account Opening Date		

Country of Citizenship & dual (If any)	Resident ☐	Non-Resident ☐	Country of current residency		
	Others (Please specify)				

Occupation	Salaried ☐	Business ☐	If business, please mentioned line of business activity		
Annual Income (AED)	0	Source of funds (please mentioned the document		Purpose of Account Opening	
Tax Registration /Identification no (If Any)	Yes ☐ No ☐		Tax ID number		

ADDRESS INFORMATION (Primary Account Holder)					
Primary Address	1470/46				
Country Name:	NEPAL		City:	101	Po Box	
Mobile	470-392-2839		Telephone 1	123453	Telephone 2	
Email Id	nabin.and.sons@gmail.com				
Change of residential status after Opening account	Yes or No, if Yes, please provide the current residency information ☐				
Country:			City:		Po Box	

JOINT ACCOUNT HOLDERS 1					
Name					
Primary Address					
Country			City:		Po Box	
Mobile	243432		Telephone 1		Telephone 2	
Email Id					
Change of residential status after Opening account	Yes or No, if Yes, please provide the current residency information ☐				
Country:			City:		Po Box	

JOINT ACCOUNT HOLDERS 2					
Name					
Primary Address					
Country			City:		Po Box	
Mobile			Telephone 1		Telephone 2	
Email Id					
Change of residential status after Opening account	Yes or No, if Yes, please provide the current residency information ☐				
Country:			City:		Po Box	

Account Holder	Primary account holder		Joint Account Holder 1		Joint Account Holder 2	
Nationality						
Passport	Yes	No	Yes	No	Yes	No

Visa/EID	Yes	No	Yes	No	Yes	No
Valid Residence Address	Yes	No	Yes	No	Yes	No
Proof of Source of Income	Yes	No	Yes	No	Yes	No

1. LIST OF ALL OTHER LINKED ACCOUNTS / CUSTOMER ID

Account Name	Account Number	Account Type	Date Of Opening	Currency	Status

A. Branch official observations during review of existing relationship

Whether the conduct of the Account is satisfactory?	Yes	☐	No	☐	Transaction commensurate with nature/Volume of customer profile	Yes	☐	No ☐
During the last One Year If any High Value transactions are observed	Yes	☐	No	☐	If yes, please provide the details of transactions	1. null 2.null 3. null 4. null		
If any suspicion is observed	Yes	☐	No	☐	If yes, please specify- null			
Whether the branch is satisfied with transactions in the account	Yes	☐	No	☐	Whether the branch has obtained the supporting document of transactions for the record and due diligence. (Source of Funds, Proof of transactions ec.)	Yes	☐	No ☐

B. Details of Transaction in the account

Current turnover and expected turnover in the account (AED)	Current turnover	Expected turnover
	0	0
Details regarding the anticipated expected transactions that should be debited/ credited into the account	Debit	Credit
Expected type of transactions (e.g. Cash, Cheque, TT, etc.)		
Expected volume of transactions (Annually based on last year's transactions)	Frequency	
	Volume	

Known country with customer conduct transactions.

1. null	2. null
3. null	4. null

Known or expected countries related to transactional activity (other than mentioned above)

1. null	2. null	3. null	4. null

C. Sanctions screening & Due Diligence – Primary Account Holder

If any Positive match, please Mention the Sanctions List as below

UAE	UN	OFAC	HMT	EU	Others null
CBUAE BBL check done		Yes	No		
Google open Media search		Yes	No		
Internal Deny list name screening		Yes	No		

If any suspicion is observed	Please specify in details - Material adverse or Non Material adverse information
Please confirm, If any suspicion is observed branch has obtained the supporting document to discount the red flag appropriately -	Yes …/ No…./NA….If no, please provide the reason for the same- null
	,,,

Sanctions screening & Due Diligence- Joint Account Holder 1, 2, 3.

If any Positive match, please Mention the Sanctions List as below

UAE	UN	OFAC	HMT	EU	Others	null

CBUAE BBL check done	Yes	No
Google open Media search	Yes	No
Internal Deny list name screening	Yes	No

If any suspicion is observed	Please specify in details - Material adverse or Non Material adverse information

Please confirm, If any suspicion is observed branch has obtained the supporting document to discount the red flag appropriately -	Yes …/ No…./NA….If no, please provide the reason for the same- null

Whether customer is PEP	Yes	No	NA
if yes, senior management approval is attached (Customer/UBO)	Yes	No	NA
Customer requesting for Foreign Currency (USD/GBP/EURO. Etc)	Yes	No	NA
if yes, senior management approval is attached	Yes	No	NA
Customer Risk (please mention the correct risk classification derived from CRA calculator)	Yes	No	NA

Reason for categorizing customer risk as High risk. (only for High risk customer) Analysis of underline ML/TF risk.
null

Further, Due Diligence done by the Branch for account being categorized as high risk. (provide the supporting document and information) (only for High risk customer)
null

Observations of Bank official (List any adverse features if any found post CDD/ECDD)
null

Account opening officer/ Branch official	Branch official
Signature : null	Signature : null
Name : null	Name : null
Designation:null	Designation:null