Artificial & Computational Intelligence

AIML CLZG557

M1 : Introduction

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Pilani Campus

Agenda

- Course Administration
- Getting Started (with some definitions)
- Course Overview with example

Course Administration

About the course

- Focus on
  - principles of artificial intelligence
  - concepts, algorithms involved in building rational agents
  - topics covered like
    - (informed and uninformed) search & applications
    - (logical & probabilistic) knowledge representation
    - (logical & probabilistic) Reasoning & applications
  - topics not-covered like
    - Formal introduction to machine learning algorithms, neural networks etc., are covered as a ML course is running in parallel, Deep neural networks, which are part of AI as well.
    - Hardware aspects of the Design

Course Outline

- **Pedagogy**
  - Weekly online live sessions
  - Webinars on lab implementation
  - Assignment:
    - 1 Quiz-5%,
    - 2 Assignments- 25%

- **Lab Modules**
  - Supported by 6 lab capsules for practical implementation and better understanding of the concepts learned in the live lecture sessions.

About the course

Text Book

Exercises : In Python & its libraries

Evaluation : 25% Assignment + 5% Quiz + 30% Mid Semester + 40% End Semester

About Labs

Exercises : In Python & its libraries

About Labs

innovate achieve lead

Exercises : In Python & its libraries

About Labs

Exercises : In Python & its libraries

About Labs

Exercises : In Python & its libraries

Artificial Intelligence

- Term coined by, *John McCarthy* (1955) & *Dartmouth Summer Research Project on Artificial Intelligence* (1956)

On September 2, 1955, the project was formally proposed by McCarthy, Marvin Minsky, Nathaniel Rochester and Claude Shannon. The proposal is credited with introducing the term 'artificial intelligence'.

The Proposal states[7]

> "We propose that a 2-month, 10-man study of artificial intelligence be carried out during the summer of 1956 at Dartmouth College in Hanover, New Hampshire. The study is to proceed on the basis of the conjecture that every aspect of learning or any other feature of intelligence can in principle be so precisely described that a machine can be made to simulate it. An attempt will be made to find how to make machines use language, form abstractions and concepts, solve kinds of problems now reserved for humans, and improve themselves. We think that a significant advance can be made in one or more of these problems if a carefully selected group of scientists work on it together for a summer."

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https://en.wikipedia.org/wiki/Dartmouth_workshop [01 June, 2019]

Larger Intent, Dream, Overconfidence ...

Some Early successes of Dartmouth

Many key projects were initiated after Dartmouth summer project.

Shakey robot - First mobile robot to perceive environment & reason about surroundings, actions - Introduced A* algorithm to find paths - Hough Transform for image analysis - Used Lisp for programming - visibility graph used for finding shortest paths in the presence of obstacles...

A brief history of AI

How is AI unique or in other words different from Applied Math?

Some Early successes of Dartmouth

DENDRAL -

Attempted to encode the domain expertise in molecular biology as an expert system

Led to the creation of expert systems for various other domain, including medical.

A milestone worship in the history of AI !!!

Perspectives of AI

Definitions

	Thought / Reasoning	Acting
Human Performance	THINKING HUMANLY "[The automation of] activities that we associate with human thinking, activities such as decision-making, problem solving, learning, ... " (Bellman, 1978)	ACTING HUMANLY "The art of creating machines that perform functions that require intelligence when performed by people" (Kurzweil, 1990)
Rational Performance	THINKING RATIONALLY "The study of computations that make it possible to perceive, reason, and act" (Winston, 1992)	ACTING RATIONALLY "Computational intelligence is the study of the design of intelligent agents" (Poole et al., 1998)

Acting Humanly

Turing Test Approach

- *Turing Test* & *Total Turing test* (operational test to determine an entity is intelligent / not) [50's]

- Skills necessary to pass these tests
  - NLP, Knowledge Representation, Automated Reasoning, ML + Computer Vision & Robotics(for total turing test)

Pictorial Representation of Turing Test from https://en.wikipedia.org/wiki/Turing_test

Acting Humanly

Turing Test Approach

Some Definitions of AI:

"The art of creating machines that perform functions that require intelligence when performed by people." (Kurzweil, 1990)

"The study of how to make computers do things at which, at the moment, people are better." (Rich and Knight, 1991)

Thinking Humanly

Cognitive Modelling Approach

- How do we capture human thinking to implement?
  - Introspection
  - Psychological Experiments
  - Brain Imaging

- System : *"General Problem Solver"* (*Newell and Simon, 1961*)
  - Designed to work as a universal problem solver
  - Problems represented by horn clauses
  - First AI Machine which has KB + Inference separation
  - Authors focus on this is on comparing the trace of its reasoning steps to traces of human subjects solving the same problems

- Growth of Cognitive science and AI supports each other

Passing the Turing Test

Transcript of a chat

EUGINE - a thirteen-year-old Ukrainian boy, chats

JUDGE: Hello.
EUGENE: Hello, I'm really glad to have the chance to chat with you! My guinea pig Bill sends his regards too!
JUDGE: Is Bill a male or a female?
EUGENE: Ask Bill personally, please.
JUDGE: Well I'd rather talk to you. What is your name?
EUGENE: Call me Eugene. I am glad to talk to you!
JUDGE: My name is Jane and I am female. How about you? What's your gender?
EUGENE: I'm a male. A "guy' I'd say.
JUDGE: Pleased to meet you Eugene. What's the weather like where you are?
EUGENE: Let's get on with our conversation!
JUDGE: Don't you like talking about the weather?
EUGENE: All these talks about weather is a waste of time.
JUDGE: What would you like to discuss?
EUGENE: I don't know. Better tell me more about yourself!

Passing the Turing Test

- 2014 - Royal Society (London) - Sixteenth Anniversary of Alan Turing -
- Chabot - Eugene Goostman - Pretended to be a thirteen-year-old Ukrainian boy
  - Passed the turing test for the first time
  - 10/30 Judges believed the response is from human

- *Turing predicted in 50 years time, computers can be programmed to play imitation game in which an average interrogator fails to identify the machine 70% time in a 5 mins questioning*

Thinking Humanly

Cognitive Modelling Approach

Some Definitions of AI:

"The exciting new effort to make computers think . . . machines with minds, in the full and literal sense." (Haugeland, 1985)

"[The automation of] activities that we associate with human thinking, activities such as decision-making, problem solving, learning . . ." (Bellman, 1978)

Thinking Rationally

"Laws of Thought" Approach

- Invention of Formal Logic, Greek Philosopher **Aristotle**, Third century BC.
- Introduced syllogisms, providing argument structures

In all boring classes, students sleep

It is a boring class

Students sleep in this class [Are you ?]

- Field of Logics gave rise to codifying rational thinking
  - When elements are '*things*', we reason about things

Hurdles to the idea : (1) Not everything can be logically coded (2) no provably correct action at a moment (3) Exhaustive computational resources

Acting Rationally

The Rational Agent Approach

- An agent is an entity that perceives and acts

  This course is about designing rational agents

- Abstractly, an agent is a function from percept histories to actions:

  [f: P* → A]

- For any given class of environments and tasks, we seek the agent (or class of agents) with the best performance
- Computational limitations make perfect rationality unachievable
- Design best program for given machine resources

Acting Rationally

The Rational Agent Approach

- Rational behaviour: doing the *right thing*
- The *right thing:* that which is expected to maximize goal achievement, given the available information
- Rational behaviour is not just about correct inference / thinking, skills needed to pass turing test etc.

(adv) : More General - Correct inference is just a thing

(adv) : More amenable for scientific developments, as the rational behaviour is better defined than human thinking and behaviour

Definitions

Thinking Humanly	Thinking Rationally
"The exciting new effort to make computers think . . . *machines with minds*, in the full and literal sense." (Haugeland, 1985) "[The automation of] activities that we associate with human thinking, activities such as decision-making, problem solving, learning . . ." (Bellman, 1978)	"The study of mental faculties through the use of computational models." (Charniak and McDermott, 1985) "The study of the computations that make it possible to perceive, reason, and act." (Winston, 1992)
Acting Humanly	**Acting Rationally**
"The art of creating machines that perform functions that require intelligence when performed by people." (Kurzweil, 1990) "The study of how to make computers do things at which, at the moment, people are better." (Rich and Knight, 1991)	"Computational Intelligence is the study of the design of intelligent agents." (Poole *et al.*, 1998) "AI . . . is concerned with intelligent behavior in artifacts." (Nilsson, 1998)

Traveller's Problem

Destination - Fixed **Goal**

Source

Traveller's Problem

AI in HealthCare

Lyrebird's Project Re-Voice

AI in Culinary Field

Spyce

AI in NLS
IBM Watson

Computer Vision
NLP
ML
Speech Recognition
Automation

AI in Transportation

AI in HCI

Google Map Navigation Assistant

AI in Literacy & Music

Application Domain

(Additional Notes added from the textbook for self read)

Areas Contributing to AI

Philosophy
Mathematics
Economics
Neuroscience
Psychology
Computer Engineering
Control theory, Cybernetics
Linguistics

- Can formal rules be used to draw valid conclusions?
- How does the mind arise from a physical brain?
- Where does knowledge come from?
- How does knowledge lead to action?

BITS Pilani, Deemed to be University under Section 3 of UGC Act, 1956

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Aristotle (384–322 B . C .) : first to formulate precise set of laws to govern rational part of brain

Ramon Lull (d. 1315) : useful reasoning could actually be carried out by a mechanical artifact

Hobbes (1588–1679) : "we add

and subtract in our silent thoughts."

Leibniz (1646–1716) : Built a mechanical device intended to carry out operations on concepts rather than numbers

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Some *'isms* on the working of minds :

Rationalism - Correct Reasonings (Aristotle, Descartes …)

Dualism - A part of the human mind (or soul or spirit) that is outside of nature

Materialism - Alternative to dualism - holds that the brain's operation according to the laws of physics constitutes the mind

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Obtaining Knowledge

David Hume's (1711–1776) : First principles of induction

Logical positivism- Rudolf Carnap : Every knowledge obtained has a logical connection

Carnap (1905–1997) : A book "*The Logical Structure of the World*" (1928) defined an explicit computational procedure for extracting knowledge from elementary experiences

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Connection between knowledge and action:

Aristotle - (in *De Motu Animalium*) that actions are justified by a logical connection between goals and knowledge of the action's outcome

```
I need covering;
a cloak is a covering.
I need a cloak.
What I need, I have to make;
I need a cloak.
I have to make a cloak.
And the conclusion, "I have to make a cloak" is an action
```

Areas Contributing to AI

Philosophy
Mathematics
Economics
Neuroscience
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Control theory, Cybernetics
Linguistics

- What are the formal rules to draw valid conclusions?
- What can be computed?
- How do we reason with uncertain information?

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Areas Contributing to AI

Philosophy
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- What are the formal rules to draw valid conclusions?

George Boole (1815–1864) : Propositional Logic

Gottlob Frege (1848–1925): First order logic

Areas Contributing to AI

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- What can be computed?

Kurt Gödel (1906–1978) : In any formal theory as strong as Peano arithmetic #(the elementary theory of natural numbers), there are true statements that are undecidable in the sense that they have no proof within the theory

Computability, tractability, NP-completeness

Probability theory & inference mechanisms

- https://en.wikipedia.org/wiki/Peano_axioms

Areas Contributing to AI

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- How should we make decisions so as to maximize payoff?

Utility / preferred outcomes

Decision theory -Probability & utility theory

Game theory

- How to make decisions when payoffs are not immediate?
  - MDP

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How do brains process information?

- Study of the nervous system / brain
- How does brain enables thoughts - Mystery Still

Aristotle , "*Of all the animals, man has the largest brain in proportion to his size*"

Areas Contributing to AI

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	Supercomputer	Personal Computer	Human Brain
Computational units	10^4 CPUs, 10^{12} transistors	4 CPUs, 10^9 transistors	10^{11} neurons
Storage units	10^{14} bits RAM	10^{11} bits RAM	10^{11} neurons
	10^{15} bits disk	10^{13} bits disk	10^{14} synapses
Cycle time	10^{-9} sec	10^{-9} sec	10^{-3} sec
Operations/sec	10^{15}	10^{10}	10^{17}
Memory updates/sec	10^{14}	10^{10}	10^{14}

Areas Contributing to AI

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How do humans and animals think and act?

- *Cognitive Psychology* - Brain as an information-processing device
- Two months after the dartmouth workshop, a workshop in MIT gave birth to *Cognitive Science*
  - George Miller, Noam Chomsky, Allen Newell and Herbert Simon - roles of computer models to address the psychology of memory, language, and logical thinking, issues..

"*a cognitive theory should be like a computer program*" (Anderson, 1980);

Areas Contributing to AI

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Control theory, Cybernetics
Linguistics

Computers & Programming Languages

Areas Contributing to AI

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Control theory

- Deals with the behaviour of dynamic systems
  - behaviour must ensure the error between the current state and goal state is minimized
- Cybernetics - Book by Wiener
  - (Norbert Wiener, 1948) : Scientific study of control and communication in the animal and the machine
- Ashby's Design for a Brain (1948, 1952):
  - Intelligence could be created by the use of homeostatic devices containing appropriate feedback loops to achieve stable adaptive behavior
  - Led to the idea of *design of systems that maximize an objective function over time*

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How does language relate to thought?

Verbal Behavior (1957, B. F. Skinner) :

- Behaviorist approach to language learning
- Reviewed by Noam Chomsky
  - criticised lack of notion of creativity in language

Syntactic Structures (1957, Noam Chomsky)

- Computational linguistics / natural language processing as a part of AI
  - Understanding a language is realized as more complex than ever
  - Context, subject matter knowledge complicated it further
  - Representing language consumed volume of work done in NLP, in early times

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Course Outline

- **In this course, you will learn :**
  - a solid foundation for designing intelligent agents
  - to represent and use the knowledge learnt for inferencing
  - to model agents operating in uncertain environments
  - optimization models of computation and processing in real world application
- **Modules :**
  - Problem Solving Agent using Search
  - Game Playing
  - Probabilistic Representation and Reasoning
  - Reasoning over time

Required Reading: AIMA - Chapter #1

AIMA is the first prescribed text book

Thank You for your active participation

Note : Some of the slides are adopted from AIMA TB materials

Next Class Plan

- Agent Design
- Environment
- Agent Architecture
- Problem Solving Agent Formulation

Artificial & Computational Intelligence

AIML CZG557

M1 : Introduction
&
M2 : Problem Solving Agent using Search

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Course Plan

M1	Introduction to AI
M2	Problem Solving Agent using Search
M3	Game Playing
M4	Knowledge Representation using Logics
M5	Probabilistic Representation and Reasoning
M6	Reasoning over time
M7	Ethics in AI

Traveller's Problem

Traveller's Problem

Sensors → Environment → Actuators

Traveller's Problem

Rational Agents

Rational Agent

Design Principles & Techniques

	Thought / Reasoning	Acting
Human Performance	THINKING HUMANLY "[The automation of] activities that we associate with human thinking, activities such as decision-making, problem solving, learning, ... " (Bellman, 1978)	ACTING HUMANLY "The art of creating machines that perform functions that require intelligence when performed by people" (Kurzweil, 1990)
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- Abstractly, an agent is a function from percept histories to actions: [f: P* → A]
- For any given class of environments and tasks, we seek the agent (or class of agents) with the best performance
- Computational limitations make perfect rationality unachievable
- Design best program for given machine resources

Properties of Rational Agent

- Omniscience : Expected Vs Actual Performance
- Learning Capability : Apriori Knowledge
- Autonomous in decision making: An agent is autonomous if its behaviour is determined by its own experience (with ability to learn and adapt)

Intelligent Agent

Rational Agent is one that acts to achieve the best outcome or the best expected outcome even under uncertainty

Maps / Tabulated / Programmed

Percept sequence	Action
[A,Clean]	Right
[A, Dirty]	Suck
[B, Clean]	Left
[B, Dirty]	Suck
[A, Clean],[A, Clean]	Right
[A, Clean],[A, Dirty]	Suck
...	...

Intelligent Agent

- Percepts: location and contents, e.g., [A , Dirty]
- Actions: *Left*, *Right*, *Suck*, *NoOp*

Performance measure: An objective criterion for success of an agent's behaviour

E.g., performance measure of a vacuum-cleaner agent
» amount of dirt cleaned up
» amount of time taken
» amount of electricity consumed
» amount of noise generated, etc.

Intelligent Agent

Percept sequence	Action
[A, Clean]	Right
[A, Dirty]	Suck
[B, Clean]	Left
[B, Dirty]	Suck
[A, Clean], [A, Clean]	Right
[A, Clean], [A, Dirty]	Suck
⋮	⋮
[A, Clean], [A, Clean], [A, Clean]	Right
[A, Clean], [A, Clean], [A, Dirty]	Suck
⋮	⋮

Vacuum World Problem

PEAS Environment

Design on what an application wants the agent to do in the environment

Agent	Performance	Environment	Sensors	Actuators
Medical diagnosis system	Healthy patient, reduced costs	Patient, hospital, staff	Keyboard entry of symptoms, findings, patient's answers	Display of questions, tests, diagnosis, treatments, referrals
Satellite Image analysis system	Correct image categorization	Downlink from orbiting satellite	Color pixel analysis	Display of scene categorization
Interactive English tutor	Student's score on test	Set of students, testing agency	Keyboard entry	Display of exercises, suggestions, corrections

Path finding Robot - Lab Example

PEAS Environment

Agent

Performance

Environment

Sensors

Actuators

Dimensions of Task Environment

Sensor Based:

- Observability : Full Vs Partial

Action Based:

- Dependency : Episodic Vs Sequential

State Based:

- No.ofState : Discrete Vs Continuous

Agent Based:

> Cardinality : Single Vs MultiAgent

Action & State Based:

- State Determinism : Deterministic Vs Stochastic | Strategic
- Change in Time : Static Vs Dynamic

Task Environment

A rational agent is built to solve a specific task. Each such task would then have a different environment which we refer to as Task Environment

Based on the applicability of each technique for agent implementation its task environment design is determined by multiple dimension

Sensor Based:

- Observability : Full Vs Partial

Task Environment

Action Based:

- Dependency : Episodic Vs Sequential

Task Environment

State Based:

- No.of.State : **Discrete** Vs Continuous

Task Environment

State Based:

- No.of.State : Discrete Vs Continuous

VS.

Task Environment

Action & State Based:

- State Determinism : Deterministic Vs Stochastic | Strategic

(If the environment is deterministic except for the actions of other agents, then the environment is strategic)

Task Environment

Agent Based:

> Cardinality : Single Vs MultiAgent

Task Environment

Action & State Based:

- Change in Time : Static Vs Dynamic
- (The environment is semi dynamic if the environment itself does not change with the passage of time but the agent's performance score does)

Task Environment

Task Environment	Fully vs Partially Observable	Single vs Multi-Agent	Deterministic vs Stochastic	Episodic vs Sequential	Static vs Dynamic	Discrete vs Continuous
Medical diagnosis system	Partially	Single	Stochastic	Sequential	Dynamic	Continuous
Satellite Image Analysis System	Fully	Single	Deterministic	Episodic	Static	Continuous
Interactive English tutor	Partially	Multi	Stochastic	Sequential	Dynamic	Discrete

Path finding Robot - Lab Example

Agent
Observability
No.of.Agents
No.of.States
Determinism
Dynamicity
Output Dependency

Learning Objective Achieved

At the end of this class , students Should be able to:

1. Identify the requirement for AI solutions for given problem
2. Understand the significance of State based representations
3. Design the PEAS (Performance, Environment, Actuators, Sensors) for given problem
4. Identify dimensions of TASK environment

Next Class Plan

Structure of Agents-Architectures

Problem Solving Agents

Problem Formulation

Uninformed Search Algorithms

Required Reading: AIMA - Chapter #2

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Agents Architectures

Agent Architectures

Reflex Agent

```
function SIMPLE-REFLEX-AGENT(percept) returns an action
    persistent: rules, a set of condition–action rules
    state ← INTERPRET-INPUT(percept)
    rule ← RULE-MATCH(state, rules)
    action ← rule.ACTION
    return action
```

```
function REFLEX-VACUUM-AGENT( [location,status]) returns an action
    if status = Dirty then return Suck
    else if location = A then return Right
    else if location = B then return Left
```

Simple Reflex Agents

Agent Architectures

Model based Agent

Simple Reflex Agents

Model Based Agents

Model based Agent

```
function MODEL-BASED-REFLEX-AGENT(percept) returns an action
persistent: state, the agent's current conception of the world state
            transition model, a description of how the next state depends on the current state and action
            sensor model , a description of how the current world state is reflected in the agent's percepts
            rules, a set of condition–action rules
            action, the most recent action, initially none
state ← UPDATE-STATE(state, action, percept, transition model, sensor model )
rule ← RULE-MATCH(state, rules)
action ← rule.ACTION
return action
```

Agent Architectures

Simple Reflex Agents

Model Based Agents

Goal Based Agents

Agent Architectures

Simple Reflex Agents

Model Based Agents

Goal Based Agents

Utility Based Agents

Agent Architectures

- Simple Reflex Agents
- Model Based Agents
- Goal Based Agents
- Utility Based Agents
- Learning Agents

Role of Learning

Agents that improve their performance by learning from their own experiences

Input Percept

Performance Element

Output Action

Resulting State

Critic

Feedback

-2

Role of Learning

Input Percept

Possible Actions

Brake
Change Gear to Lower
Change Gear to Higher
Accelerate
Steer left
Steer right

Random

Selected Action

Change Gear to Lower

Role of Learning

<u>Performance Element</u> – Takes decision on action based on percept

$$f(red\ signal,\quad distance) = 15k\ N\ brake$$
$$distance = f^{'}(percept\ sequence)$$
$$f(percepts, distance, raining)$$

- $f(state_0, actionA) = 0.83,$
- $f(state_0, actionB) = 0.45$

Role of Learning

Critic – Provides feedback on the actions taken

Learning :

Supervised Vs Unsupervised Vs Reinforcement

Role of Learning

Performance Element – Takes decision on action based on percept

$$f(red\ signal,\quad distance) = 15k\ N\ brake$$
$$distance = f'(percept\ sequence)$$
$$f(percepts, distance, raining)$$

- $f(state_0, actionA) = 0.83,$
- $f(state_0, actionB) = 0.45$

Learning Element – Make the performance element select better actions such that the utility function is optimized

Critic – Provides feedback on the actions taken

Problem Generator – Make the Performance Element select sub-optimal actions such that you would learn from unseen actions

Next Class Plan

- Problem Solving Agents
- Uninformed Search Algorithms
  - BFS vs DFS – An overview
  - Uniform Cost Search
  - Iterative Depth First Search
  - Notion of Bi-Directional Search

Required Reading: AIMA - Chapter #1, 2

Thank You for all your Attention

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Artificial & Computational Intelligence

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M2 : Problem Solving Agent using Search

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Course Plan

M1 Introduction to AI

M2 Problem Solving Agent using Search

M3 Game Playing

M4 Knowledge Representation using Logics

M5 Probabilistic Representation and Reasoning

M6 Reasoning over time

M7 Ethics in AI

Learning Objective

At the end of this class , students Should be able to:

1. Design problem solving agents
2. Create search tree for given problem
3. Apply uninformed search algorithms to the given problem
4. Compare performance of given algorithms in terms of completeness, optimality, time and space complexity
5. Differentiate for which scenario appropriate uninformed search technique is suitable and justify

Problem Formulation

Problem Solving Agents

Goal based decision making agents finds sequence of actions that leads to the desirable state.

Phases of Solution Search by PSA

Goal Formulation

Problem Formulation

Search Phase

Execution Phase

Optimizes the Objective (Local | Global) Limits the Actions

Problem Solving Agents

Phases of Solution Search by PSA

Goal Formulation

Problem Formulation

Search Phase

Execution Phase

State Space Creations [in the path of Goal]
Lists the Actions

Problem Solving Agents

Goal Formulation

Problem Formulation

Search Phase

Execution Phase

Phases of Solution Search by PSA

Assumptions – Environment :
Static
Observable Discrete
Deterministic

Problem Solving Agents

Phases of Solution Search

Goal Formulation

Problem Formulation

Search Phase

Execution Phase

Examine all sequence
Choose best |
Optimal

Problem Solving Agents – Problem Formulation

Abstraction Representation
Decide what actions under states to take to achieve a goal

A function that assigns a numeric cost to each path. A path is a series of actions. Each action is given a cost depending on the problem.

Solution = Path Cost Function + Optimal Solution

Problem Solving Agents – Problem Formulation: BookExample

Initial State –E.g., *In(Arad)*

Possible Actions – *ACTIONS(s)* □ *{Go(Sibiu), Go(Timisoara), Go(Zerind)}*

Transition Model – *RESULT(In(Arad), Go(Sibiu)) = In(Sibiu)*

Goal Test – *IsGoal(In(Bucharest)) = Yes*

Path Cost – *cost(In(Arad), go(Sibiu)) = 140 kms*

Example Problem Formulation

	Travelling Problem
Initial State	Based on the problem
Possible Actions	Take a flight | Train | Shop
Transition Model/ Successor Function	[A, Go(A->S)] = [S]
Goal Test	Is current = B (destination)
Path Cost	Cost + Time + Quality

Example Problem Formulation

	Vacuum World
Initial State	Any
Possible Actions	[Move Left, Move Right, Suck, NoOps]
Transition Model/ Successor Function	[A, ML] = [B , Dirty] [A, ML] = [B, Clean]
Goal Test	Is all room clean? [A, Clean] [B, Clean]
Path Cost	No of steps in path

Example Problem Formulation

	N-Queen
Initial State	Empty | Partial | Full
Possible Actions	
Transition Model/ Successor Function	
Goal Test	
Path Cost	

board[r][c]

Path finding Robot

Successor Function Design

N-W-E-S

Searching for Solutions

Choosing the current state, testing possible successor function, expanding current state to generate new state is called Traversal. Choice of which state to expand – Search Strategy

In(Arad)
Go(Sibiu)
Go(Timisoara)
Go(Zerind)
In(Sibiu)
In(Timisoara)
In(Zerind)
Go(Arad)
Go(Fagaras)
Go(Oradea)
Go(Rimnicu Vilcea)
In(Arad)
In(Fagaras)
In(Oradea)
In(Rimnicu Vilcea)
Leaf Nodes
(no children yet)

Uninformed Search Overview

Breadth First Search (BFS)

Depth First Search (DFS)

Search Tree – Sample Generation

Each NODE in in the search tree denotes an entire PATH through the state space graph.

Search Algorithm – Uninformed Example - 1

(1)
(1 2) (1 3) (1 4)
(1 2 3) (1 2 4) (1 2 5) (1 3) (1 4)
(1 2 3 4) (1 2 3 5) (1 2 4) (1 2 5) (1 3) (1 4)
(1 2 3 4 5) (1 2 3 5) (1 2 4) (1 2 5) (1 3) (1 4)

C(1-2-3-4-5) = 70 + 50 + 100 + 50 =270
Expanded : 4
Generated : 10
Max Queue Length : 6

Search Algorithm – Uninformed Example - 2

(1)
(1 2) (1 3) (1 4)
TEST FAILED

(1 3) (1 4) (1 2 3) (1 2 4) (1 2 5)
(1 2 3) (1 2 4) (1 2 5) (1 3 4) (1 3 5) (1 4 5)
TEST PASSED

C(1-2-5) = 70 + 125 =195
Expanded : 4
Generated : 10
Max Queue Length : 6

Search Algorithm – Uninformed Example - 2

Can you guess which algorithm are these ?

(1)
(1 2) (1 3) (1 4)
(1 2 3) (1 2 4) (1 2 5) (1 3) (1 4)
(1 2 3 4) (1 2 3 5) (1 2 4) (1 2 5) (1 3) (1 4)
(1 2 3 4 5) (1 2 3 5) (1 2 4) (1 2 5) (1 3) (1 4)

C(1-2-3-4-5) = 70 + 50 + 100 + 50 =270
Expanded : 4
Generated : 10
Max Queue Length : 6

(1)
(1 2) (1 3) (1 4)
TEST FAILED

~~(1 3)~~ ~~(1 4)~~ (1 2 3) (1 2 4) (1 2 5)
(1 2 3) (1 2 4) (1 2 5) (1 3 4) (1 3 5) ~~(1 4 5)~~
TEST PASSED

C(1-2-5) = 70 + 125 =195
Expanded : 4
Generated : 10
Max Queue Length : 6

Iterative Deepening Depth First Search (IDS)

Algorithm Tracing

Students must follow this in the exams for all the search algorithms in addition to the search tree constructions. The ordering of the Open Lists must be in consistent with the algorithm with a note on the justification of the order expected!

Iter	Open List / Frontiers / Fringes	Closed List	Goal Test
1.	(1)		Fail on (1)
2.	(1 3), (1 4), (1 2)	(1)	Fail on (1 3)

UCS

(1)
(1 2 : 70) (1 4 : 100) (1 3 : 125)
TEST-F
(1 4 : 100) (1 2 3 :120)(1 3 : 125) (1 2 4 : 145) (1 2 5 : 195)
TEST-F
(1 2 3 :120)(1 3 : 125) (1 2 4 : 145) (1 4 5: 150)(1 2 5 : 195)
TEST-F
(1 3 : 125) (1 2 4 : 145) (1 4 5: 150) (1 2 3 4:170) (1 2 5 : 195) (1 2 3 5 : 245)
TEST-F
(1 2 4 : 145) (1 4 5: 150) (1 2 3 4:170) (1 2 5 : 195) (1 3 4 : 225) (1 2 3 5 : 245) (1 3 5 : 250)
TEST-F
(1 4 5: 150) (1 2 3 4:170) (1 2 4 5 : 195) (1 2 5 : 195) (1 3 4 : 225) (1 2 3 5 : 245) (1 3 5 : 250)
TEST - P

Uniform Cost Search

Instead of expanding the shallowest node, Uniform-Cost search expands the node n with the lowest path cost g(n)

Sorting the Frontier as a priority queue ordered by g(n)

Goal test is applied during expansion

- The goal node if generated may not be on the optimal path
- Find a better path to a node on the Frontier

Uniform Cost Search

Current State:	Sibiu
Frontier:	[]
Step:	Expand Sibiu
Result:	Generates (“Rimnicu Vilcea” 80) (“Fagaras”, 99) Add to Frontier

Initial State:	Sibiu
Goal State:	Bucharest

Uniform Cost Search

Current State:	Sibiu
Frontier:	[("Rimnicu Vilcea" 80) ("Fagaras", 99)]
Step:	Expand "Rimnicu Vilcea" (least cost)
Result:	Generates ("Pitesti", 177) Add to Frontier

Initial State:	Sibiu
Goal State:	Bucharest

Uniform Cost Search

Current State:	Rimnicu Vilcea (not a Goal state)
Frontier:	[("Fagaras", 99) ("Pitesti", 177)]
Step:	Expand "Fagaras" (least cost)
Result:	Generates ("Bucharest", 310) Add to Frontier (It's a Goal State but we won't test during generation)

Initial State:	Sibiu
Goal State:	Bucharest

Uniform Cost Search

Current State:	Fagaras (not a goal state)
Frontier:	[("Pitesti", 177) ("Bucharest", 310)]
Step:	Expand "Pitesti" (least cost)
Result:	Generates ("Bucharest", 278) Replace in Frontier (It's a Goal State but we won't test during generation)

Initial State:	Sibiu
Goal State:	Bucharest

Uniform Cost Search

Current State:	Pitesti (not a goal state)
Frontier:	[("Bucharest", 278)]
Step:	Expand "Bucharest"
Result:	No further generation as Goal Test satisfied Return Solution

Initial State:	Sibiu
Goal State:	Bucharest

Sample Evaluation of the Algorithm

Complete – If the shallowest goal node is at a depth d, BFS will eventually find it by generating all shallower nodes

Optimal – Not necessarily. Optimal if path cost is non-decreasing function of depth of node. E.g., all actions have same cost

Time Complexity – $G(b^d)$ b - branching factor, d – depth

- Nodes expanded at depth 1 = b
- Nodes expanded at depth 2 = b^2
- Nodes expanded at depth d = b^d
- Goal test is applied during generation, time complexity would be $G(b^{d+1})$

Space Complexity – $G(b^d)$

- $G(b^{d-1})$ in explored set
- $G(b^d)$ in frontier set

Uniform Cost Search – Evaluation

Completeness – It is complete if the cost of every step > small +ve constant ∈

- It will stuck in infinite loop if there is a path with infinite sequence of zero cost actions

Optimal – It is Optimal. Whenever it selects a node, it is an optimal path to that node.

Time and Space complexity – Uniform cost search is guided by path costs not depth or branching factor.

- If C* is the cost of optimal solution and ∈ is the min. action cost
- Worst case complexity = $G(b^{1+\frac{C^*}{\epsilon}})$,
- When all action costs are equal → $G(b^{d+1})$, the BFS would perform better
  - As Goal test is applied during expansion, Uniform Cost search would do extra work

Terminologies – Learnt Today

- Nodes
- States
- Frontier | Fringes
- Search Strategy : LIFO | FIFO | Priority Queue
- Performance Metrics
    - Completeness
    - Optimality
    - Time Complexity
    - Space Complexity
- Algorithm Terminology

- d Depth of a node	- m – maximum
- b Branching factor	- C* - Optimal Cost
- n – nodes	- E – least Cost
- l – level of a node	- N –total node generated

Tree Search Vs Graph Search

Oradea
71
Zerind
151
75
Arad
140
Sibiu
99
Fagaras
118
80
Rimnicu Vilcea
Timisoara
111
Lugoj
97
Pitesti
211
70
Mehadia
146
101
75
138
Drobeta
120
Craiova
Neamt
87
Iasi
92
Vaslui
142
98
Hirsova
85
Urziceni
86
Bucharest
90
Giurgiu
Eforie

Coding Aspects

For each node n of the tree,

n.STATE : the state in the state space to which node corresponds

n.PARENT : the node in the search tree that generated this node

n.ACTION : the action that was applied to parent to generate the node

n.PATH-COST : the cost, denoted by g(n), of the path from initial state to node

Algorithm Tracing

Students must follow this in the exams for all the search algorithms in addition to the search tree constructions. The ordering of the Open Lists must be in consistent with the algorithm with a note on the justification of the order expected!

Iter	Open List / Frontiers / Fringes	Goal Test
1.	(1)	Fail on (1)
2.	(1 3), (1 4), (1 2)	Fail on (1 3)

Tree Search Algorithms

```
function Tree-Search (problem, strategy) returns a solution, or failure
        initialize the search tree using the initial state of problems
        loop do
                if there are no candidate for expansion
                        then return failure
                choose: leaf node for expansion according to strategy
                if the node contains a goal state
                        then return the corresponding solution
                else
                        Expand the node
                        Add the resulting nodes to the search tree
        end
```

Tree Search Vs Graph Search Algorithms

Coding Aspects

Need:

Redundant Path Problem : More than one way to reach a state from another.

Infinite Loop Path Problem

Start : Arad

Goal : Craiova

Tree Search Vs Graph Search Algorithms

Coding Aspects

Need:

Redundant Path Problem

Infinite Loop Path Problem: Repeated State generated by looped path existence.

Start : Arad

Goal : Craiova

Algorithm Tracing

Students must follow this in the exams for all the search algorithms in addition to the search tree constructions. The ordering of the Open Lists must be in consistent with the algorithm with a note on the justification of the order expected!

Iter	Open List / Frontiers / Fringes	**Closed List**	Goal Test
1.	(1)		Fail on (1)
2.	(1 3), (1 4), (1 2)	(1)	Fail on (1 3)

Coding Aspects

For each node n of the tree,

n.STATE : the state in the state space to which node corresponds

n.PARENT : the node in the search tree that generated this node

n.ACTION : the action that was applied to parent to generate the node

n.PATH-COST : the cost, denoted by g(n), of the path from initial state to node

n.VISITED : the boolean indicating if the node is already visited and tested (**or)** a global SET of visited nodes

Tree Search Vs Graph Search Algorithms

Coding Aspects

Graph-Search Algorithm

Augments the Tree-Search algorithm to solve redundancy by keeping track of states that are already visited called as **Explored Set**. **Only one copy of each state is maintained/stored.**

Graph Search Algorithms

```
function Graph-Search (problem, fringe) returns a solution, or failure
        initialize the search space using the initial state of problems memory to store
the visited fringe
        closed ← an empty set
        fringe ← Insert(Make-Node(Initial-State[problem]), fringe)
        loop do
                if fringe is empty
                        then return failure
                node ← Remove-Front(fringe)
                if the node contains a goal state
                        then return the corresponding solution
                else
                        if the node is not in closed ie., not visited yet
                            Add the node to the closed set
                            Expand all the fringe of the node
                            Add all expanded sorted successors into the fringe
        end
```

Informed Search

Greedy Best First

A*

Informed /Heuristic Search

Strategies that know if one non-goal state is more promising than another non-goal state

Arad	366	**Mehadia**	241
Bucharest	0	**Neamt**	234
Craiova	160	**Oradea**	380
Drobeta	242	**Pitesti**	100
Eforie	161	**Rimnicu Vilcea**	193
Fagaras	176	**Sibiu**	253
Giurgiu	77	**Timisoara**	329
Hirsova	151	**Urziceni**	80
Iasi	226	**Vaslui**	199
Lugoj	244	**Zerind**	374

Greedy Best First Search

Expands the node that is closest to the goal

Thus, **f(n) = h(n)**

Arad	366	**Mehadia**	241
Bucharest	0	**Neamt**	234
Craiova	160	**Oradea**	380
Drobeta	242	**Pitesti**	100
Eforie	161	**Rimnicu Vilcea**	193
Fagaras	176	**Sibiu**	253
Giurgiu	77	**Timisoara**	329
Hirsova	151	**Urziceni**	80
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Giurgiu	77	**Timisoara**	329
Hirsova	151	**Urziceni**	80
Iasi	226	**Vaslui**	199
Lugoj	244	**Zerind**	374

Greedy Best First Search

n	h(n)
1	60
2	120
3	30
4	40
5	0

(1)
(1 3) (1 4) (1 2)
(1 3 5) (1 3 4)

C(1-3-5) = 100 + 125 =225
Expanded : 2
Generated : 6
Max Queue Length : 3

Greedy Best First Search

n	h(n)
1	60
2	120
3	30
4	40
5	0

(1)
(1 3) (1 4) (1 2)
(1 3 5) (1 3 4)

C(1-3-5) = 100 + 125 =225
Expanded : 2
Generated : 6
Max Queue Length : 3

A* Search

Expands the node which lies in the closest path (estimated cheapest path) to the goal

Evaluation function **f(n) = g(n) + h(n)**

g(n) – the cost to reach the node

h(n) – the expected cost to go from node to goal

f(n) – estimated cost of cheapest path through node n

Arad	366	**Mehadia**	241
Bucharest	0	**Neamt**	234
Craiova	160	**Oradea**	380
Drobeta	242	**Pitesti**	100
Eforie	161	**Rimnicu Vilcea**	193
Fagaras	176	**Sibiu**	253
Giurgiu	77	**Timisoara**	329
Hirsova	151	**Urziceni**	80
Iasi	226	**Vaslui**	199
Lugoj	244	**Zerind**	374

A* Search

n	h(n)
1	60
2	120
3	70
4	40
5	0

(1)
(1 4) (1 2) (1 3)
(1 4 5) (1 2) (1 3)

C(1-4-5) = 100 + 150 =150
Expanded : 2
Generated : 5
Max Queue Length : 3

Required Reading: AIMA - Chapter #3: 3.1, 3.2, 3.3, 3.4, 3.5

Next Class Plan :
Informed Search : GFBS & A*
Heuristic Design

Thank You for all your Attention

Note : Some of the slides are adopted from AIMA TB materials

Artificial & Computational Intelligence

AIML CLZG557

M2 : Problem Solving Agent using Search

Dr. Sudheer Reddy

BITS Pilani
Pilani Campus

Course Plan

M1 Introduction to AI

M2 Problem Solving Agent using Search

M3 Game Playing

M4 Knowledge Representation using Logics

M5 Probabilistic Representation and Reasoning

M6 Reasoning over time

M7 Ethics in AI

Module 2 : Problem Solving Agent using Search

A. Uninformed Search

B. Informed Search

C. Heuristic Functions

D. Local Search Algorithms & Optimization Problems

Learning Objective

At the end of this class , students Should be able to:

1. Apply A* variations algorithms to the given problem
2. Compare given heuristics for a problem and analyze which is the best fit
3. Differentiate between informed and local search requirements
4. Design relaxed problem with appropriate heuristic design

Case Study – 1 Search in Treebanks

Source Credit :

https://catalog.ldc.upenn.edu/docs/LDC95T7/cl93.html

https://ufal.mff.cuni.cz/pdt3.5

Case Study – 1 Search in Treebanks

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https://catalog.ldc.upenn.edu/docs/LDC95T7/cl93.html

https://ufal.mff.cuni.cz/pdt3.5

A* Search

<u>Optimal on condition</u>

h(n) must satisfies two conditions:

- Admissible Heuristic – one that never overestimates the cost to reach the goal
- Consistency – A heuristic is consistent if for every node n and every successor node n` of n generated by action a, h(n) <= c(n, a, n`) + h(n`)

<u>Complete</u>

- If the number of nodes with cost <=C* is finite
- If the branching factor is finite
- A* expands no nodes with f(n) > C*, known as pruning

<u>Time Complexity</u> - $G\left(b^{\Delta}\right)$ where the absolute error $\Delta = h^{*} - h$

A* Search

Is the heuristic designed leads to optimal solution?

Assuming node 3 as goal, taking only sample edges per node below is checked for consistency

n	h(n)	Is Admissible? h(n) <= h*(n)	Is Consistent? For every arc (i,j): h(i) <= g(i,j) + h(j)
1	80	Y	N (5→1) : 190<=155
2	60	N	Y (1→2) : 80<=130
3	0	Y	
4	200	Y	Y (1→4) : 80<=300 Y (2→4) : 60<=275
5	190	Y	Y (2→5) : 60<=315 Y (4→5) : 200<=240

Path finding Robot – Sample Planning Agent Design

Successor Function Design

N-W-E-S

A*

Demo

Variations of A*

Memory Bounded Heuristics

Iterative Deepening A*

Set limit for f(n)

n	h(n)
1	60
2	94
3	40
4	45
5	0

Cut off value is the smallest of f-cost of any node that exceeds the cutoff on previous iterations

Iterative Limit : Eg

f(n) = 180
f(n) = 195
f(n) = 200
.
.
.
.

Iterative Deepening A*

Set limit for f(n)

n	h(n)
1	60
2	92
3	43
4	45
5	0

B=60
(1: 60)
TEST-F
(1 2: 162) (1 3: 168) (1 4: 175)

B=162
(1: 60)
TEST-F
(1 2: 162) (1 3: 168) (1 4: 175)
TEST-F
(1 2 3: 163) (1 2 4: 200) (1 2 5: 195) (1 3: 168) (1 4: 175)

B=163
(1: 60)
TEST-F
(1 2: 162) (1 3: 168) (1 4: 175)
TEST-F
(1 2 3: 163) (1 2 4: 200) (1 2 5: 195) (1 3: 168) (1 4: 175)
TEST-F

Iterative Deepening A*

Set limit for f(n)

n	h(n)
1	60
2	92
3	43
4	45
5	0

B=163

(1: 60)
TEST-F
(1 2: 162) (1 3: 168) (1 4: 175)
TEST-F
(1 2 3: 163) (1 2 4: 200) (1 2 5: 195) (1 3: 168) (1 4: 175)
TEST-F
(1 2 3 5: 165) (1 2 3 4: 265) (1 2 4: 200) (1 2 5: 195) (1 3: 168) (1 4: 175)

B=165

(1: 60)
TEST-F
(1 2: 162) (1 3: 168) (1 4: 175)
TEST-F
(1 2 3: 163) (1 2 4: 200) (1 2 5: 195) (1 3: 168) (1 4: 175)
TEST-F
(1 2 3 5: 165) (1 2 3 4: 265) (1 2 4: 200) (1 2 5: 195) (1 3: 168) (1 4: 175)

Recursive Best First Search A*

Remember the next best alternative f-Cost to regenerate

n	h(n)
1	60
2	94
3	40
4	45
5	0

(1, 60)
(1 2 | 164) (1 3 | 165) (1 4 | 175)

(1 2 | 175) (1 4 | 175) (1 3 | 180)

(1 2 3 | 175) (1 4 | 175) (1 3 | 180) (1 2 5 | 195) (1 2 4 | 200)

(1 2 3 5 | 175) (1 4 | 175) (1 3 | 180) (1 2 5 | 195) (1 2 4 | 200) (1 2 3 4 | 267)

PASS

Recursive Best First Search A*

Remember the next best alternative f-Cost to regenerate

n	h(n)
1	60
2	94
3	40
4	45
5	0

(1, 60)
(1 2 | 164) (1 3 | 165) (1 4 | 175)

(1 2 | 175) (1 4 | 175) (1 3 | 180)

(1 2 3 | 175) (1 4 | 175) (1 3 | 180) (1 2 5 | 195) (1 2 4 | 200)

(1 2 3 5 | 175) (1 4 | 175) (1 3 | 180) (1 2 5 | 195) (1 2 4 | 200) (1 2 3 4 | 267)

PASS

Recursive Best First Search A*

Remember the next best alternative f-Cost to regenerate

n	h(n)
1	60
2	94
3	40
4	45
5	0

(1, 60)
(1 2 | 164) (1 3 | 165) (1 4 | 175)

(1 2 | 175) (1 4 | 175) (1 3 | 180)

(1 2 3 | 175) (1 4 | 175) (1 3 | 180) (1 2 5 | 195) (1 2 4 | 200)

(1 2 3 5 | 175) (1 4 | 175) (1 3 | 180) (1 2 5 | 195) (1 2 4 | 200) (1 2 3 4 | 267)

PASS

Recursive Best First Search A*

Remember the next best alternative f-Cost to regenerate

n	h(n)
1	60
2	94
3	40
4	45
5	0

If the current best leaf value > best alternative path

Best leaf value of the forgotten subtree is backed up to the ancestors

Recursion unwinds

Else

Continue expansion

Space Usage = O(bd) very less

Case Study – Search in Network Routing

Source Credit :

AR-RBFS: Aware-Routing Protocol Based on Recursive Best-First Search Algorithm for Wireless Sensor Networks
https://doi.org/10.1155/2016/8743927

Case Study – Search in Network Routing

A	14.1
B	11.3
C	8.2
H	6.6
F	2
E	3
D	4.8

Heuristic values toward G

Source Credit :

AR-RBFS: Aware-Routing Protocol Based on Recursive Best-First Search Algorithm for Wireless Sensor Networks
https://doi.org/10.1155/2016/8743927

Case Study – Search in Network Routing

Source Credit :

AR-RBFS: Aware-Routing Protocol Based on Recursive Best-First Search Algorithm for Wireless Sensor Networks

https://doi.org/10.1155/2016/8743927

Design of Heuristics

Heuristic Design

- **Effective Branching Factor**
- Good Heuristics
- Notion of Relaxed Problems
- Generating Admissible Heuristics

Effective branching factor (b^*):

If the algorithm generates N number of nodes and the solution is found at depth d, then

$$N + 1 = 1 + (b^*) + (b^*)^2 + (b^*)^3 + \ldots + (b^*)^d$$

Heuristic Design

- Effective Branching Factor
- Good Heuristics
- **Notion of Relaxed Problems**
- Generating Admissible Heuristics

Simplify the problem

Assume no constraints

Cost of optimal solution to relaxed problem ≤ Cost of optimal solution for real problem

Design of Heuristics

N-Queen

- Construct the search tree by considering one row of the board at a time
- State space graph of relaxed problem is a super graph of original state space because of removal of restrictions

1+0+_ = 1

_+_+_ =

_+_+__ =

_+_+_+_=_

_+_+_+_=_

_+_+_+_=_

_+_+_+_=_

Initial State	Possible Actions	Transition Model	Goal Test	Path Cost	No.Of.States
< Xi , Yi >	Place in any non-occupied row in board		isValid Non-Attacking	Transition + Valid Queens	n!

N-Tile

-	1	2
3	4	5
6	7	8

Initial State	Possible Actions	Transition Model	Goal Test	Path Cost	No.Of.States
<LOC, ID>	Move Empty to near by Tile		ID=LOC+1	Transition + Positional + Distance+ Other approaches	9!

Tic Tac Toe

Opposite Win | Player Win

Initial State	Possible Actions	Transition Model	Goal Test	Path Cost	No.Of.States
([Xij], [Yij])	Place a coin in unoccupied (i,j)		N : i's N : j's N : i=j	No.of.Steps + Opp.Win + (N-1-Curr.Win)	$19,683=3^9$

Learn from experience

Trail / Puzzle	X1(n) : No.of.Misplaced Tiles	X2(n): Pair of adjacent tiles that are not in goal	X3(n): Position of the empty tile	……h`(n)
Example 1	7	10	7	……
Example 2	5	6	6	……
……	..	..	..	……

-	1	2
3	4	5
6	7	8

1	7	6
4	8	5
-	2	3

Create a suitable model:
h(n) = c1*X1(n) + c2*X2(n) + ……..

Required Reading: AIMA - Chapter #3: 3.5, 3.6

Next Class Plan :
Local Search Algorithms &
Optimization Problems

Thank You for all your Attention

Note : Some of the slides are adopted from AIMA TB materials

Artificial & Computational Intelligence

AIML CLZG557

M2 : Problem Solving Agent using Search

Dr. Sudheer Reddy

BITS Pilani
Pilani Campus

Course Plan

M1 Introduction to AI

M2 Problem Solving Agent using Search

M3 Game Playing

M4 Knowledge Representation using Logics

M5 Probabilistic Representation and Reasoning

M6 Reasoning over time

M7 Ethics in AI

Module 2 : Problem Solving Agent using Search

A. Uninformed Search

B. Informed Search

C. Heuristic Functions

D. Local Search Algorithms & Optimization Problems

Learning Objective

At the end of this class , students should be able to:

1. Differentiate which local search is best suitable for given problem
2. Design fitness function for a problem
3. Construct a search tree
4. Apply appropriate local search and show the working of algorithm at least for first 2 iterations with atleast four next level successor generation(if search tree is large)
5. Design and show local search Algorithm steps for a given problem

Local Search & Optimization

Task Environment

Goal Formulation

Problem Formulation

Search Phase

Execution Phase

Phases of Solution Search by PSA

Assumptions – Environment :

Static

Observable

Discrete

Deterministic

Local Search

Terminology

Local Search : Search in the state-space in the neighbourhood of current position until an optimal solution is found

Feasible State/Solution **Neighboring States** **Optimal Solution**

Fitness Value:

h(n) = 4 h(n) = 4 h(n) = 2 h(n) = 0

h(n) = No.of.Conflicting **pairs** of queens

h(n) = 2 h(n) = 2 h(n) = 4 h(n) = 6

h(n) = No.of.**Non**-Conflicting **pairs** of queens.

Local Search

Terminology

Local Search : Search in the state-space in the neighbourhood of current position until an optimal solution is found

Algorithms:

- Choice of Neighbour
- Looping Condition
- Termination Condition

2	5	3	2
	6		
3	5	4	2
4		4	2

Local Search

Optimization Problem

Goal : Navigate through a state space for a given problem such that an optimal solution can be found

Objective : Minimize or Maximize the objective evaluation function value

Scope : Local

Objective Function : Fitness Value evaluates the goodness of current solution

Local Search : Search in the state-space in the neighbourhood of current position until an optimal solution is found

Single Instance Based	Multiple Instance Based
Hill Climbing	Genetic Algorithm
Simulated Annealing	Particle Swarm Optimization
Local Beam Search	Ant Colony Optimization
Tabu Search	

Hill Climbing

Hill Climbing

1. Select a random state
2. Evaluate the fitness scores for all the successors of the state
3. Calculate the probability of selecting a successor based on fitness score
4. Select the next state based on the highest probability
5. Repeat from Step 2

h(n) = No.of non-conflicting pairs of queens in the board.

Q1-Q2
Q1-Q3
Q1-Q4
Q2-Q3
Q2-Q4
Q3-Q4

1	4	2	2	4

Note : Steps 3 & 4 in the above algorithm will be a part of variation of Hill climbing

Hill Climbing

1. Select a random state
2. Evaluate the fitness scores for all the successors of the state
3. Calculate the probability of selecting a successor based on fitness score
4. Select the next state based on the highest probability
5. Repeat from Step 2

h(n) = No.of non-conflicting pairs of queens in the board.

Q1-Q2

Q1-Q3

Q1-Q4

Q2-Q3

Q2-Q4

Q3-Q4

1	4	2	2	4

Hill Climbing

1. Select a random state
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5. Repeat from Step 2

Hill Climbing

1. Select a random state
2. Evaluate the fitness scores for all the successors of the state
3. Calculate the probability of selecting a successor based on fitness score
4. Select the next state based on the highest probability
5. Repeat from Step 2

Hill Climbing

Hill Climbing

Random Restart

1. Select a random state
2. Evaluate the fitness scores for all the successors of the state
3. Calculate the probability of selecting a successor based on fitness score
4. Select the next state based on the highest probability
5. Repeat from Step 2

3	4	4	2	3

```
function HILL-CLIMBING(problem) returns a state that is a local maximum
  current ← MAKE-NODE(problem.INITIAL-STATE)
  loop do
     neighbor ← a highest-valued successor of current
     if neighbor.VALUE ≤ current.VALUE then return current.STATE
     current ← neighbor
```

Hill Climbing

1. Select a random state
2. Evaluate the fitness scores for all the successors of the state
3. Calculate the probability of selecting a successor based on fitness score
4. Select the next state based on the highest probability
5. Repeat from Step 2

Hill Climbing

Random Restart

1. Select a random state
2. Evaluate the fitness scores for all the successors of the state
3. Calculate the probability of selecting a successor based on fitness score
4. Select the next state based on the highest probability
5. Repeat from Step 2

3	4	4	2	3

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     if neighbor.VALUE ≤ current.VALUE then return current.STATE
     current ← neighbor
```

Stochastic Hill Climbing

1. Select a random state
2. Evaluate the fitness scores for all the successors of the state
3. Calculate the probability of selecting a successor based on fitness score
4. Select the next state based on the highest probability
5. Repeat from Step 2

Stochastic Hill Climbing

1. Select a random state
2. Evaluate the fitness scores for all the successors of the state
3. Calculate the probability of selecting a successor based on fitness score
4. Select the next state based on the highest probability
5. Repeat from Step 2

12 N = {4,2,2,3,3,2,2,0,2,1,3,0}

$next \leftarrow$ a randomly selected successor of $current$
$\Delta E \leftarrow next.\text{VALUE} - current.\text{VALUE}$
if $\Delta E > 0$ **then** $current \leftarrow next$
else $current \leftarrow next$ only with probability $e^{\Delta E/T}$

Local Beam Search

Beam Search

1. Initialize k random state
2. Evaluate the fitness scores for all the successors of the k states
3. Calculate the probability of selecting a successor based on fitness score
4. Select the next state based on the highest probability
5. If the goal is not found, Select the next ‘k’ states randomly based on the probabilit
6. Repeat from Step 2

1	4	2	2	4

3	4	4	2	3

Beam Search

1st State

1. Initialize k random state
2. Evaluate the fitness scores for all the successors of the k states
3. Calculate the probability of selecting a successor based on fitness score
4. Select the next state based on the highest probability
5. If the goal is not found, Select the next ‘k’ states randomly based on the probability
6. Repeat from Step 2

Beam Search

2nd State

1. Initialize k random state
2. Evaluate the fitness scores for all the successors of the k states
3. Calculate the probability of selecting a successor based on fitness score
4. Select the next state based on the highest probability
5. If the goal is not found, Select the next ‘k’ states randomly based on the probability
6. Repeat from Step 2

Stochastic Beam Search

Sample from 1st State

1. Initialize k random state
2. Evaluate the fitness scores for all the successors of the k states
3. Calculate the probability of selecting a successor based on fitness score
4. Select the next state based on the highest probability
5. If the goal is not found, Select the next 'k' states randomly based on the probability
6. Repeat from Step 2

Genetic Algorithm

Genetic Algorithm

1. Select ‘k’ random states – **Initialization : k=4**
2. Evaluate the fitness value all states
3. If anyone of the state’s has achieved the threshold fitness value or threshold new states or no change is seen than previous iteration then the algorithm stops
4. Else, use roulette wheel mechanism to select pair/s
5. Pairs selected produces new state (successor) by crossover
6. Successor is allowed to mutate
7. Repeat from Step 2

1	4	2	2

2	1	3	4

1	4	3	2

2	1	4	1

Genetic Algorithm

1. Select ‘k’ random states – **Initialization : k=4**
2. Evaluate the fitness value all states : Maximizing function : No.of.Non-attacking pairs Queens → Threshold = 6
3. If anyone of the state’s has achieved the threshold fitness value or threshold new states or no change is seen than previous iteration then the algorithm stops
4. Else, use roulette wheel mechanism to select pair/s
5. Pairs selected produces new state (successor) by crossover
6. Successor is allowed to mutate
7. Repeat from Step 2

1	4	2	2	4

2	1	3	4	4

1	4	3	2	2

2	1	4	1	3

Genetic Algorithm

Eg., use roulette wheel mechanism to select pair/s

Sample winners of game -1 ,2,3,4 : B4, B1, B1, B3

Genetic Algorithm

1. Select ‘k’ random states – **Initialization : k=4**
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Sample winners of game -1 ,2,3,4 : B4, B1, B1, B3

Genetic Algorithm

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5. Pairs selected produces new state (successor) by crossover
6. Successor is allowed to mutate
7. Repeat from Step 2

Genetic Algorithm – Reference to alternative approaches of crossover

2	4	2	2

1	1	3	4

1	4	2	2

1	1	3	1

http://ictactjournals.in/paper/IJSC_V6_I1_paper_4_pp_1083_1092.pdf

Genetic Algorithm

1. Select ‘k’ random states – **Initialization : k=4**
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7. Repeat from Step 2

2	4	2	2

1	1	4	1

1	4	3	2

1	4	2	2

2	4	1	2	3
				0.23

1	3	4	1	3
				0.23

1	4	3	2	2
				0.15

1	4	2	3	5
				0.39

Genetic Algorithm

1. Select 'k' random states – **Initialization : k=4**
2. Evaluate the fitness value all states : Maximizing function : No.of.Non-attacking pairs Queens → Threshold = 6
3. If anyone of the state's has achieved the threshold fitness value or threshold new states or no change is seen than previous iteration then the algorithm stops
4. Else, use roulette wheel mechanism to select pair/s
5. Pairs selected produces new state (successor) by crossover
6. Successor is allowed to mutate
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Genetic Algorithm

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5. Pairs selected produces new state (successor) by crossover
6. Successor is allowed to mutate
7. Repeat from Step 2

Genetic Algorithm

Techniques:

1. Design of the fitness function
2. Diversity in the population to be accounted
3. Randomization

Application:

- Creative tasks
- Exploratory in nature
- Planning problem
- Static Applications

Genetic Algorithm

```
function GENETIC-ALGORITHM( population, FITNESS-FN) returns an individual
  inputs: population, a set of individuals
          FITNESS-FN, a function that measures the fitness of an individual

  repeat
     new_population ← empty set
     for i = 1 to SIZE( population) do
        x ← RANDOM-SELECTION( population, FITNESS-FN)
        y ← RANDOM-SELECTION( population, FITNESS-FN)
        child ← REPRODUCE(x, y)
        if (small random probability) then child ← MUTATE(child)
        add child to new_population
     population ← new_population
  until some individual is fit enough, or enough time has elapsed
  return the best individual in population, according to FITNESS-FN
```

```
function REPRODUCE(x, y) returns an individual
  inputs: x, y, parent individuals

  n ← LENGTH(x); c ← random number from 1 to n
  return APPEND(SUBSTRING(x, 1, c), SUBSTRING(y, c + 1, n))
```

Required Reading: AIMA – Chapter 4 - 4.1

Thank You for all your Attention

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Module 2 : Problem Solving Agent using Search

A. Uninformed Search

B. Informed Search

C. Heuristic Functions

D. Local Search Algorithms & Optimization Problems

Learning Objective

At the end of this class, students should be able to:

1. Convert a given problem into local search problem
2. Understand the significance of hyperparameters of the evolutionary algorithms
3. Apply appropriate local search and show the working of algorithm at least for first 2 iterations

Local Search & Optimization

Local Search

Optimization Problem

Goal : Navigate through a state space for a given problem such that an optimal solution can be found

Objective : Minimize or Maximize the objective evaluation function value

Scope : Local

Objective Function : Fitness Value evaluates the goodness of current solution

Local Search : Search in the state-space in the neighbourhood of current position until an optimal solution is found

Single Instance Based	Multiple Instance Based
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Tabu Search	

Particle Swarm Optimization

Swarm Intelligence

- Swarm Intelligence (SI) is artificial intelligence based on the collective behaviour of decentralized, self-organized systems.
- Characteristics of Swarms:
  - Composed of many individuals
  - Individuals are homogeneous
  - Local interaction based on simple rules (collision avoidance, velocity matching..)
  - Self-organization

Particle Swarm Optimization (PSO) (Contd..)

- Collection of flying particles (swarm) – Changing solutions
- Search area – Possible solutions
- Movement towards a promising area to get the global optimum
- Each particle adjusts its travelling speed dynamically corresponding to the flying experiences of itself and its colleagues
- Each particle keeps track:
  - Its best solution, personal best - pbest
  - The best value of any particle, global best – gbest.
- Each particle modifies its position according to:
  - Its current position
  - Its current velocity
  - The distance between its current position and pbest.
  - The distance between its current position and gbest

Particle Swarm Optimization (Contd..)

Each individual is called a particle

Particle = Position vector $x_i(t)$+ Velocity vector $v_i(t)$

Heuristics Considered = function (Individual's Best So-far , Group's Best So-far)

Updating velocity vector:

$$X_i(t+1) = X_i(t) + V_i(t+1)$$

$$v_i(t+1) = \alpha\ v_i + c_1 \times rand \times (pbest(t)\text{-}x_i(t)) + c_2 \times rand \times (gbest(t)\text{-}x_i(t))$$

α is inertia weight and controls exploration and exploitation
c_1 and c_2 the cognition and social components respectively
rand is a random number generator

Particle Swarm Optimization (Contd..)

Basic Flow of PSO

1. Initialize the swarm with random initializations
2. Evaluate fitness value for each of these individuals
3. Modify **g_{best}, p_{best},** and velocity
4. Move each particle to new particle
5. Go to step 2, and repeat until convergence

Particles velocities on each dimension are clamped to a max velocity v_{max}

PSO vs GA

- Common points
  - Both algorithms start with a group of randomly generated population
  - Both have fitness value to evaluate the population
  - Both update the population and search for optimum with random sampling
  - Both do not guarantee success

- Difference
  - PSO does not have genetic operators like crossover and mutation
  - Particles update themselves
  - They also have memory which is critical to the algorithm
  - In GA, the information is shared between individuals, in PSO, the information is shared one-way.

Ant Colony Optimization

Ant Colony Optimization

- The way ants find their food in shortest path is interesting
- Ants secret pheromones to remember their path
- These pheromones evaporate with time

Ant Colony Optimization

As ants have weak vision and weak memory, they communicate using the stimulus

They leave chemical deposits called **Pheromone** to inform other ants about food, colonies, etc.

Using olfactory **stimulus** the insects follow the **trail** left behind by other ants

Other ants follow the pheromone trail and **deposit even more pheromone** leading to a positive feedback effect, **if the selected path is good.**

Ants **prefer** to follow a path with rich in pheromone

Ant Colony Optimization (Contd..)

Ant Colony Optimization

Problem Definition

Create Construction Graph

Define & Initialize Pheromone Trails

Define Heuristics

Construct Solution

Update Pheromones

m - No.of.Ants **n** - No.of.Nodes

Sk - Memory **α** - Influence of τ

β - Influence of n

ρ – Pheromone evaporation rate

Travelling Salesman Problem
Cities = {i, j, k , g……}
$\Delta\tau_{ij}{}^{k}$ is the pheromone laid on edge (i, j) by ant k

Ants move through states of problem by applying a stochastic local decision policy based on two parameters, **trails and attractiveness**

When an ant completes a solution, or during construction phase, the solution is evaluated and trail values on components are modified

Ant Colony Optimization

Problem Definition

Create Construction Graph

Define & Initialize Pheromone Trails

Define Heuristics

Construct Solution

Update Pheromones

m - No.of.Ants **n** - No.of.Nodes

S_k - Memory **α** - Influence of τ

β - Influence of n

ρ – Pheromone evaporation rate

Travelling Salesman Problem
While real ants deposit chemical substance on the visited state, artificial ants change numerical information of problem state, stored locally when that state is visited

Ant Colony Optimization

Problem Definition

Create Construction Graph

Define & Initialize Pheromone Trails

Define Heuristics

Construct Solution

Update Pheromones

m - No.of.Ants **n** - No.of.Nodes

Sk - Memory **α** - Influence of τ

β - Influence of n

ρ – Pheromone evaporation rate

Travelling Salesman Problem
$\tau_0 = \frac{m}{C}$ $\tau_0 = \frac{1}{\rho C}$
ρ - In ACO and real ants it is important to slowly forget the history to avoid stacking in local extremes and move toward new regions using the evaporation rate

$$\Delta\tau_{ij}{}^{k} = \begin{cases} \frac{Q}{L_k} & if\ ant\ k\ used\ edge\ (i,j) \\ 0 & otherwise \end{cases}$$

where Q is a constant

L_k is the length of tour constructed by ant k

Ant Colony Optimization

Problem Definition

Create Construction Graph

Define & Initialize Pheromone Trails

Define Heuristics

Construct Solution

Update Pheromones

m - No.of.Ants **n** - No.of.Nodes

S_k - Memory **α** - Influence of τ

β - Influence of n

ρ – Pheromone evaporation rate

Travelling Salesman Problem
$n_{i,j}(S_k) = \frac{1}{d_{i,j}}$ d_{ij} is the distance between cities i and j $n_{i,j}$: To optimize for shortest paths, every state transition from i to j, is guided by the attractiveness as the heuristic

Ant Colony Optimization

Problem Definition

Create Construction Graph

Define & Initialize Pheromone Trails

Define Heuristics

Construct Solution – Action Choice Rule

Update Pheromones

m - No.of.Ants **n** - No.of.Nodes

Sk - Memory **α** - Influence of τ

β - Influence of n

ρ – Pheromone evaporation rate

Travelling Salesman Problem

$$p_{ij}^{k} = \begin{cases} \dfrac{\tau_{ij}^{\alpha}\,\eta_{ij}^{\beta}}{\sum_{c_{ij} \in N(s^p)} \tau_{ij}^{\alpha}\,\eta_{ij}^{\beta}} & if\, c_{ij} \in N(s^p) \\ 0 & otherwise \end{cases}$$

Transition Policy: Decision making is based on probabilistic inference. It depends on local state information and existing pheromone trails

edge(i,j) where j is not yet visited $j \in \mathcal{N}_i^k$

Parameters α, β control relative importance of pheromone vs heuristic

Ant Colony Optimization

Problem Definition

Create Construction Graph

Define & Initialize Pheromone Trails

Define Heuristics

Construct Solution

Update Pheromones: Evaporation

m - No.of.Ants **n** - No.of.Nodes

Sk - Memory **α** - Influence of τ

β - Influence of n

ρ – Pheromone evaporation rate

Travelling Salesman Problem

$$\tau_{ij} \leftarrow (1-\rho)\tau_{ij}, \quad \forall (i,j) \in L$$

Local Update

$$\tau_{ij} = (1-\varphi) * \tau_{ij} + \varphi * \tau_0$$

A Local pheromone update is performed by all ants only to the last edge it traversed after each construction step

$\varphi \in (0,1)$ is pheromone decay coefficient

Global Update

$$\tau_{ij} \leftarrow \begin{cases} (1-\rho) * \tau_{ij} + \rho * \Delta\tau_{ij} & \text{if } (i,j) \text{ belongs to best tour} \\ \tau_{ij} & \text{otherwise} \end{cases}$$

$$\Delta\tau_{ij} = 1/L_{best}$$

Where L_{best} - length of tour of best ant either in current iteration or best so far

Applied at the end of iteration by only one ant (either iteration-best/best-so-far)

Ant Colony Optimization

Problem Definition

Create Construction Graph

Define & Initialize Pheromone Trails

Define Heuristics

Construct Solution

Update Pheromones: Deposit

m - No.of.Ants **n** - No.of.Nodes

S_k - Memory **α** - Influence of τ

β - Influence of n

ρ – Pheromone evaporation rate

Travelling Salesman Problem
$\tau_{ij} \leftarrow \tau_{ij} + \Delta\tau_{ij}^{best}$, where $\Delta\tau_{ij}^{best} = 1/C^{best}$.

Deposited amount of Pheromone: Depends on quality of discovered food source / cost of solution found so far

Ant Colony Optimization – Sample Problem

A	B	C	D	
0	1	1	1	**A**
	0	1	1	**B**
		0	1	**C**
			0	**D**

A	B	C	D	
0	5	2	3	**A**
	0	2	1	**B**
		0	4	**C**
			0	**D**

Packet routing:

Optimal path finder for communication over network.

No.of.Ants : **m**= 5

Pheromone evaporation rate: **ρ** =0.5

α - Influence of τ = 1

β - Influence of n = 1

$\tau_{i,j}$ - Pheromone level = 1

1. Represent the solution space by a construction graph
2. Initialize ACO parameters
3. Generate random solutions from each ant's random walk
4. Update pheromone information
5. Go to 3 and repeat until convergence or a stopping criteria is met

Ant Colony Optimization – Sample Problem

A	B	C	D	
0	1	1	1	**A**
	0	1	1	**B**
		0	1	**C**
			0	**D**

A	B	C	D	
0	5	2	3	**A**
	0	2	1	**B**
		0	4	**C**
			0	**D**

<u>Iteration 1: 5 packets randomly sent</u>

1: ABDCA → C_k = AB+BD+DC+CA = 12

2: ADBCA → $C_k = 8$

3: ABDCA → $C_k = 12$

4: ADBCA → $C_k = 8$

5: ACBDA → $C_k = 8$

This is a random trail .
Typically the algorithm uses the probability value based Transition Policy for optimal search to construct the paths

1. Represent the solution space by a construction graph
2. Initialize ACO parameters
3. Generate random solutions from each ant's random walk
4. Update pheromone information
5. Go to 3 and repeat until convergence or a stopping criteria is met

Ant Colony Optimization - Sample Problem

A	B	C	D	
0	~~1~~ **0.58**	1	1	**A**
	0	1	1	**B**
		0	1	**C**
			0	**D**

A	B	C	D	
0	5	2	3	**A**
	0	2	1	**B**
		0	4	**C**
			0	**D**

Iteration 1: 5 packets

1: **AB**DCA → $C_k = 12$

2: ADBCA → $C_k = 8$

3: **AB**DCA → $C_k = 12$

4: ADBCA → $C_k = 8$

5: ACBDA → $C_k = 8$

$$\Delta \tau_{ij} = \frac{1}{Ck}$$

Pheromone Update

AB:

2 ants with $C_k = 12$

$ant\ 1: \Delta \tau_{ij} = \frac{1}{12}$

$ant\ 3: \Delta \tau_{ij} = \frac{1}{12}$

AB: $\tau_{ij} \leftarrow (1 - \rho) * \tau_{ij} + \rho \sum_{k=1}^{m} \Delta \tau_{ij}{}^{k}$

$$\tau_{ij} \leftarrow (1 - 0.5) * 1 + \left(\frac{1}{12} + \frac{1}{12}\right) * 0.5$$

$\boldsymbol{\tau_{ij}} \leftarrow \mathbf{0.58}$

Ant Colony Optimization – Sample Problem

A	B	C	D	
0	0.58	1.04	0.875	A
	0	0.875	1.04	B
		0	0.75	C
			0	D

A	B	C	D	
0	5	2	3	A
	0	2	1	B
		0	4	C
			0	D

Iteration 1: (Above table is a random values filled for rest. Students should try to work out for the right values.)

1: ABDCA → C_k =12

2: ADBCA → C_k = 8

3: ABDCA → C_k = 12

4: ADBCA → C_k = 8

5: ACBDA → C_k = 8

$$\Delta \tau_{ij} = \frac{1}{Ck}$$

$$\tau_{ij} \leftarrow (1-\rho) * \tau_{ij} + \rho \sum_{k=1}^{m} \Delta \tau_{ij}^{k}$$

1. Represent the solution space by a construction graph
2. Initialize ACO parameters
3. Generate random solutions from each ant's random walk
4. Update pheromone information
5. Go to 3 and repeat until convergence or a stopping criteria is met

Ant Colony Optimization – Sample Problem

A	B	C	D	
0	0.58	1.04	0.875	A
	0	0.875	1.04	B
		0	0.75	C
			0	D

A	B	C	D	
0	5	2	3	A
	0	2	1	B
		0	4	C
			0	D

Iteration 2: 3 packets randomly sent

1: BCADB→ C_k =8

2: BDACB → C_k = 8

3: DBACD → C_k = 12

$$\tau_{ij} \leftarrow (1-\rho) * \tau_{ij} + \rho \sum_{k=1}^{m} \Delta\tau_{ij}{}^{k}$$

$$\Delta\tau_{ij} = \frac{1}{Ck}$$

Ant Colony Optimization – Sample Problem

A	B	C	D	
0	0.58	~~1.04~~ 0.687	0.875	A
	0	0.875	1.04	B
		0	0.75	C
			0	D

A	B	C	D	
0	5	2	3	A
	0	2	1	B
		0	4	C
			0	D

Iteration 2: 3 packets

1: BCADB→ $C_k = 8$

2: BDACB → $C_k = 8$

3: DBACD → $C_k = 12$

$$\triangle \tau_{ij} = \frac{1}{Ck}$$

Pheromone Update

AC:

3 ants with $C_k = 12, 8, 8$

$ant\ 1: \triangle \tau_{ij} = \frac{1}{8}$

$ant\ 2: \triangle \tau_{ij} = \frac{1}{8}$

$ant\ 3: \triangle \tau_{ij} = \frac{1}{12}$

AC: $\tau_{ij} \leftarrow (1 - \rho) * \tau_{ij} + \rho \sum_{k=1}^{m} \Delta\tau_{ij}{}^{k}$

$$\tau_{ij} \leftarrow (1 - 0.5) * 1.04 + 0.5\left(\frac{1}{8} + \frac{1}{8} + \frac{1}{12}\right)$$

$$\boldsymbol{\tau_{ij}} \leftarrow \mathbf{0.687}$$

Ant Colony Optimization – Sample Problem

A	B	C	D	
0	**0.413**	**0.853**	**0.687**	**A**
	0	**0.687**	**0.853**	**B**
		0	**0.458**	**C**
			0	**D**

A	B	C	D	
0	5	2	3	**A**
	0	2	1	**B**
		0	4	**C**
			0	**D**

Iteration 2: 3 packets

1: BCADB→ C_k =8

2: BDACB → C_k = 8

3: DBACD → C_k = 12

(Above table is a random values filled for rest. Students should try to work out for the right values.)

$$\tau_{ij} \leftarrow (1-\rho) * \tau_{ij} + \rho \sum_{k=1}^{m} \Delta\tau_{ij}^{k}$$

$$\Delta\tau_{ij} = \frac{1}{Ck}$$

Ant Colony Optimization – Sample Problem

A	B	C	D	
0	**0.413**	**0.853**	**0.687**	**A**
	0	**0.687**	**0.853**	**B**
		0	**0.458**	**C**
			0	**D**

A	B	C	D	
0	5	2	3	**A**
	0	2	1	**B**
		0	4	**C**
			0	**D**

Solution Choice:

A new packet arrives at router C . Which is the next hop ?

$$CA := \frac{\tau_{ij}^{\alpha}\,\eta_{ij}^{\beta}}{\sum_{c_{ij}\in N(s^p)} \tau_{ij}^{\alpha}\,\eta_{ij}^{\beta}} = \frac{0.853*\left(\frac{1}{2}\right)}{\left(0.853*\frac{1}{2}+0.687*\frac{1}{2}+0.458*\frac{1}{4}\right)} \sim 60-70\%$$

Similarly calculate for below edges as well before deciding the next node to send packets to.

CB:=

CD:=

$$p_{ij}^{k} = \frac{[\tau_{ij}]^{\alpha}[\eta_{ij}]^{\beta}}{\sum_{l\in\mathcal{N}_i^k}[\tau_{il}]^{\alpha}[\eta_{il}]^{\beta}}, \quad \text{if } j\in\mathcal{N}_i^k$$

Required Reading: Some Reading materials are uploaded in the canvas pages

Research papers & web resources

Thank You for all your Attention

Note : Some of the slides are adopted from AIMA TB materials

Artificial & Computational Intelligence

AIML CLZG557

M3 : Game Playing

Dr. Sudheer Reddy

BITS Pilani
Pilani Campus

Course Plan

M1 Introduction to AI

M2 Problem Solving Agent using Search

M3 Game Playing

M4 Knowledge Representation using Logics

M5 Probabilistic Representation and Reasoning

M6 Reasoning over time

M7 Ethics in AI

Module 3 : Searching to play games

A. Minimax Algorithm

B. Alpha-Beta Pruning

C. Making imperfect real time decisions

Learning Objective

At the end of this class , students should be able to:

1. Convert a given problem into adversarial search problem
2. Formulate the problem solving agent components
3. Design static evaluation function value for a problem
4. Construct a Game tree
5. Apply Min-Max
6. Apply and list nodes pruned by alpha pruning and nodes pruned by beta pruning

Task Environment

Phases of Solution Search by PSA

Assumptions – Environment :

Static

Observable

Discrete

Deterministic

Number of Agents

Game Problem

Study & design of games enables the computers to model ways in which humans think & act hence simulating human intelligence.

<u>AI for Gaming:</u>

- Interesting & Challenging Problem
- Larger Search Space Vs Smaller Solutions
- Explore to better the Human Computer Interaction

<u>Characteristics of Games:</u>

- Observability
- Stochasticity
- Time granularity
- Number of players

<u>Adversarial Games:</u>

Goals of agents are in conflict where one's optimized step would reduce the utility value of the other.

Games as Search Problem

PSA : Representation of Game:

- INITIAL STATE: S0
- PLAYER(s)
- ACTIONS(s)
- RESULT(s, a)
- TERMINAL-TEST(s)
- UTILITY(s, p)

Eg., Tic Tac Toe

Min-Max Algorithm

<u>Idea:</u> Uses Depth – First search exploration to decide the move

Let
start Player = MAX
Depth m =3

Min-Max Algorithm

```
function MINIMAX-DECISION(state) returns an action
  return arg max_{a ∈ ACTIONS(s)} MIN-VALUE(RESULT(state, a))
```

```
function MAX-VALUE(state) returns a utility value
  if TERMINAL-TEST(state) then return UTILITY(state)
  v ← −∞
  for each a in ACTIONS(state) do
    v ← MAX(v, MIN-VALUE(RESULT(s, a)))
  return v
```

```
function MIN-VALUE(state) returns a utility value
  if TERMINAL-TEST(state) then return UTILITY(state)
  v ← ∞
  for each a in ACTIONS(state) do
    v ← MIN(v, MAX-VALUE(RESULT(s, a)))
  return v
```

Book Example

Design of Static Evaluation Values

Design of Static Evaluation Values

N-Queens

1	4	2	2	4

Tic-Tac-Toe

0	0	x
x		0
		x

Max's Share	2
Min's Share	1
Board Value	1

N-Tile

2	8	3
1	6	4
7		5

1	2	3
8		4
7	6	5

No.of.Tiles Out of Place	5

$$\text{Eval}(S) = w_1 f_1(s) + w_2 f_2(s) + \ldots\ldots + w_n f_n(s)$$

$$= 0.6\,(\text{MaxChance} - \text{MinChance}) + 0.4\,(\text{MaxPairs} - \text{MinPairs})$$

Two Player Game : 1-Ply Game

Multiplayer Game

Min-Max Algorithm – Example -1

Squares represent MAX nodes

Circles represent MIN nodes

Alpha – beta Pruning

General Principle:

At a node ***n*** if a player has better option at the parent of ***n*** or further up, then ***n*** node will never be reached .Hence the entire subtree pruned

$$
\begin{aligned}
\text{MINIMAX}(root) &= \max(\min(3,12,8), \min(2,x,y), \min(14,5,2)) \\
&= \max(3, \min(2,x,y), 2) \\
&= \max(3, z, 2) \qquad \text{where } z = \min(2,x,y) \le 2 \\
&= 3.
\end{aligned}
$$

Alpha Beta Pruning

Book Example

Min-Max Algorithm

Alpha beta Modifications

```
function ALPHA-BETA-SEARCH(state) returns an action
  v ← MAX-VALUE(state, −∞, +∞)
  return the action in ACTIONS(state) with value v
```

```
function MAX-VALUE(state, α, β) returns a utility value
  if TERMINAL-TEST(state) then return UTILITY(state)
  v ← −∞
  for each a in ACTIONS(state) do
    v ← MAX(v, MIN-VALUE(RESULT(s,a), α, β))
    if v ≥ β then return v
    α ← MAX(α, v)
  return v
```

```
function MIN-VALUE(state, α, β) returns a utility value
  if TERMINAL-TEST(state) then return UTILITY(state)
  v ← +∞
  for each a in ACTIONS(state) do
    v ← MIN(v, MAX-VALUE(RESULT(s,a) , α, β))
    if v ≤ α then return v
    β ← MIN(β, v)
  return v
```

Is it possible to compute the minimax decision for a node without looking at every successor node?

Alpha – beta Pruning

Steps in Alpha – Beta Pruning:

1. At root initialize alpha = -∞ and beta = + ∞. This is to set the worst case boundary to start the algorithm which aims to increase alpha and decrease beta as much as optimally possible
2. Navigate till the depth / limit specified and get the static evaluated numeric value.
3. For every value VAL being analyzed : Loop till all the leaf/terminal/specified state level nodes are analyzed & accounted for OR until **beta <= alpha.**
    1. If the player is MAX :
        1. If VAL > alpha
        2. then reset alpha = VAL
        3. also check **if** beta <=alpha **then** tag the path as unpromising (TO BE AVOIDED) **and** prune the branch from game tree. Rest of their siblings are not considered for analysis
    2. Else if the player is MIN:
        1. If VAL < beta
        2. then reset beta = VAL
        3. also check **if** beta <=alpha **then** tag the path as unpromising (TO BE AVOIDED) **and** prune the branch from game tree. Rest of their siblings are not considered for analysis

Alpha Beta Pruning - Another Example

Idea –Pruning

Alpha Beta Pruning

Idea –Pruning

Alpha Beta Pruning

Idea –Pruning

Alpha Beta Pruning

Idea –Pruning

Alpha Beta Pruning

Idea – Alpha Pruning

Alpha Beta Pruning

Idea – Beta Pruning

Alpha Beta Pruning

Idea –Pruning

Alpha Beta Pruning

Idea –Pruning

Alpha Beta Pruning

Idea –Pruning

Alpha Beta Pruning

Idea –Pruning

Alpha Beta Pruning

Idea –Pruning

Alpha Beta Pruning

Idea –Pruning

Alpha Beta Pruning

Idea – Alpha Pruning

Alpha – Lower bound of Maximizer's value. Perceived value that Maximizer hopes to against a competitive Minimizer

Alpha – beta Pruning – Example -4

Do for practice.

Alpha – Lower bound of Maximizer's value. Perceived value that Maximizer hopes to get with a competitive Minimizer

Beta – Upper Bound of Minimizer's value.

Game Playing
(Interesting Case Studies)

Games in Image Processing

Source Credit:
[2019 - Analyzing and Improving the Image Quality of StyleGAN]
[Tero Karras, Samuli Laine, Miika Aittala, Janne Hellsten, Jaakko Lehtinen, Timo Aila]
[https://thispersondoesnotexist.com/]

Games in Feature Engineering

Source Credit:
https://deepmind.com/blog/article/EigenGame
2021 - EigenGame: PCA as a Nash Equilibrium , Ian Gemp, Brian McWilliams, Claire Vernade, Thore Graepel

Games in Feature Engineering

$$\mathtt{Utility}(v_i \mid v_{j<i}) = \boxed{\mathtt{Var}(v_i)} - \sum_{j<i} \boxed{\mathtt{Align}}(v_i, v_j)$$

Source Credit:
https://deepmind.com/blog/article/EigenGame
2021 - EigenGame: PCA as a Nash Equilibrium , Ian Gemp, Brian McWilliams, Claire Vernade, Thore Graepel

Gaming – Sample Question

Two Robots A and B competes to leave the maze through either of exits: E1 and E2, as shown in the diagram. At each time step, each Robot move to an adjacent free square. Robots are not allowed to enter squares that other robots are moving into. The same exit cannot be used by both robots at once, but either robot may use either exit. A poisonous gas is left behind when a robot moves. No robot may enter the poisonous square for the duration of the gas's 1-time-step presence (ie., If the square is left free for one game round, the poison evaporates and is no longer dangerous). The poisonous squares are represented as ×'s in the diagram. For utility calculation consider the below assumptions.

H(n) = (Max player's ability to win in the board position) – (Min player's ability to win in the board position)

Note: *Player "Z's" ability to win is given by below:*

Utility = Minimum (manhanttanDistance(Player "Z", Exit E1), manhanttanDistance(Player "Z", Exit E2)) + Penalty

Penalty = Number of unsafe cells (blockage+ poisonous squares) with 4 degree of freedom(Up, Down, Right, Left) in the immediate neighborhood of the Player "Z's" position.

Max – Min =
Min(Dist(A, E1) , Dist(A,E2) + No.of.blocks/Poisonous cells around A
-
Min(Dist(B, E1) , Dist(B,E2) + No.of.blocks/Poisonous cells around B

= [min (7,9) + 2] – [min(3,5) + 2] = 8 – 5 = 3

MAX – Agent A

MIN – Agent B

max
3
min
3
2
max
4
3
3
2
4
3
3
3
3
1
2
2

Required Reading: AIMA - Chapter # 5.1, 5.2, 5.3

Thank You for all your Attention

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M4 : Knowledge Representation using Logics

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Gaming
(Imperfect Decisions)

Computational Efficiency

How games can be designed to handle imperfect decisions in real-time?

Computational Efficiency

<u>Idea : Chance Node:</u>

Holds the expected values that are computed as a sum of all outcomes weighted by their probability (of dice roll)

Expecti Mini Max Algorithm

Expecti Mini Max Algorithm

= 4.4 (0.3) + 3(0.7)
= 3.42
4(0.6)+10(0.4)
=6.4
0.3
0.7
0.6
0.4
min (4(0.8)+6(0.2) , 6)
= min (4.4, 6)
= 4.4
0.8
0.2
3
7
4
10
4
6
3
6

Game Playing
(Interesting Case Studies)

Games in Image Processing

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Games in Feature Engineering

$$\mathbf{Utility}(v_i \mid v_{j<i}) = \boxed{\mathbf{Var}(v_i)} - \sum_{j<i} \boxed{\mathbf{Align}}(v_i, v_j)$$

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2021 - EigenGame: PCA as a Nash Equilibrium , Ian Gemp, Brian McWilliams, Claire Vernade, Thore Graepel

Knowledge Representation Using Logics

Learning Objective

At the end of this class , students should be able to:

1. Represent a given knowledge base into logic formulation
2. Infer facts from KB using Resolution
3. Infer facts from KB using Forward Chaining
4. Infer facts from KB using Backward Chaining

Knowledge based Agent : Model & Represent

Concepts, logic Representation of a sample agent

Wumpus World Problem:

PEAS:

Performance Measure:

+1000 for climbing out with gold,

-1000 for falling into a pit or being eaten by Wumpus,

-1 for each action taken and

-10 for using an arrow

Environment: 4x4 grid of rooms. Always starts at [1, 1] facing right.

The location of Wumpus and Gold are random.

Agent dies if entered a pit or live Wumpus.

Knowledge based Agent : Model & Represent

Concepts, logic Representation of a sample agent

Wumpus World Problem:

PEAS:

Actuators –

Forward,

TurnLeft by 90,

TurnRight by 90,

Grab – pick gold if present,

Shoot – fire an arrow, it either hits a wall or kills wumpus. Agent has only one arrow.

Climb – Used to climb out of cave, only from [1, 1]

Knowledge based Agent : Model & Represent

Concepts, logic Representation of a sample agent

Why do we need Factored representation

- To reason about steps
- To learn new knowledge about the environment
- To adapt to changes to the existing knowledge
- Accept new tasks in the form of explicit goals
- To overcome partial observability of environment

Knowledge based Agent : Model & Represent

Concepts, logic Representation of a sample agent

Wumpus World Problem:

PEAS:

Sensors. The agent has five sensors

Stench: In all adjacent (but not diagonal) squares of Wumpus

Breeze: In all adjacent (but not diagonal) squares of a pit

Glitter: In the square where gold is

Bump: If agent walks into a wall

Scream: When Wumpus is killed, it can be perceived everywhere

Percept Format:

[Stench?, Breeze?, Glitter?, Bump?, Scream?]

E.g., [Stench, Breeze, None, None, None]

Percept 1: [None, None, None, None, None]

Action: Forward

Percept Format:
[Stench?, Breeze?, Glitter?, Bump?, Scream?]

Representation

Agents based on Propositional logic, TT-Entail for inference from truth table

Syntax
Semantics
Model

→ Logic → Propositional Logic / Predicate Logic

(a) Atomic | (b) Factored | (b) Structured

Search Strategies | Propositional Logic | First Order Logic

Propositional Logic

Agentsbasedon Propositionallogic,TT-Entailforinferencefromtruthtable

A simple representation language for building knowledge-based agents

Proposition Symbol - A symbol that stands for a proposition.

E.g., W1,3 - "Wumpus in [1,3]" is a proposition and $W_{1,3}$ is the symbol

Proposition can be true or false

Atomic : $W_{1,3}$

Conjuncts : $W_{1,3} \wedge P_{3,1}$

Disjuncts : $W_{1,3} \vee P_{3,1}$

Implications :

$(W_{1,3} \wedge P_{3,1}) \Rightarrow \neg W_{2,2}$

Biconditional : $W_{1,3} \Leftrightarrow \neg W_{2,2}$

Propositional Logic

Agents based on Propositional logic, TT-Entail for inference from truth table

Tie break in search:

$\neg$, $\wedge$, $\vee$, $\Rightarrow$, $\Leftrightarrow$

$(\neg A) \wedge B$ has precedence over $\neg (A \wedge B)$

P	Q	$\neg P$	$P \wedge Q$	$P \vee Q$	$P \Rightarrow Q$	$P \Leftrightarrow Q$
false	*false*	*true*	*false*	*false*	*true*	*true*
false	*true*	*true*	*false*	*true*	*true*	*false*
true	*false*	*false*	*false*	*true*	*false*	*false*
true	*true*	*false*	*true*	*true*	*true*	*true*

Percept 3: [Stench, None, None, None, None]
Action: Move to [2, 2]
Remembers (2,2) as possible PIT and no Stench.

Representation by Propositional Logic

For each [x, y] location

$P_{x,y}$ is true if there is a pit in [x, y]

$W_{x,y}$ is true if there is a wumpus in [x, y]

$B_{x,y}$ is true if agent perceives a breeze in [x, y]

$S_{x,y}$ is true if agent perceives a stench in [x, y]

---------- R is the sentence in KB

$R_1 : \neg P_{1,1}$

$R_2 : B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$

$R_3 : B_{2,1} \Leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1})$

$R_4 : \neg B_{1,1}$

$R_5 : B_{2,1}$

$Query : \neg P_{1,2}$ entailed by our KB?

TT – Entails Inference – Example

$\neg P_{1,2}$ entailed by our KB?

Way – 1 :

1. Get sufficient information $B_{1,1}$, $B_{2,1}$, $P_{1,1}$, $P_{1,2}$, $P_{2,1}$, $P_{2,2}$, $P_{3,1}$
2. Enumerate all models with combination of truth values to propositional symbols
3. In all the models, find those models where KB is true, i.e., every sentence R_1, R_2, R_3, R_4, R_5 are true
4. In those models where KB is true, find if query sentence $\neg P_{1,2}$ is true
5. If query sentence $\neg P_{1,2}$ is true in all models where KB is true, then it entails, otherwise it won't

$B_{1,1}$	$B_{2,1}$	$P_{1,1}$	$P_{1,2}$	$P_{2,1}$	$P_{2,2}$	$P_{3,1}$	R_1	R_2	R_3	R_4	R_5	KB
false	*false*	*false*	*false*	*false*	*false*	*false*	*true*	*true*	*true*	*true*	*false*	*false*
false	*false*	*false*	*false*	*false*	*false*	*true*	*true*	*true*	*false*	*true*	*false*	*false*
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮
false	*true*	*false*	*false*	*false*	*false*	*false*	*true*	*true*	*false*	*true*	*true*	*false*
false	*true*	*false*	*false*	*false*	*false*	*true*	*true*	*true*	*true*	*true*	*true*	*true*
false	*true*	*false*	*false*	*false*	*true*	*false*	*true*	*true*	*true*	*true*	*true*	*true*
false	*true*	*false*	*false*	*false*	*true*	*true*	*true*	*true*	*true*	*true*	*true*	*true*
false	*true*	*false*	*false*	*true*	*false*	*false*	*true*	*false*	*false*	*true*	*true*	*false*
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮
true	*true*	*true*	*true*	*true*	*true*	*true*	*false*	*true*	*true*	*false*	*true*	*false*

TT – Entails Inference – Example

Agents based on Propositional logic, TT-Entail for inference from truth table

$B_{1,1}$	$B_{2,1}$	$P_{1,1}$	$P_{1,2}$	$P_{2,1}$	$P_{2,2}$	$P_{3,1}$	R_1	R_2	R_3	R_4	R_5	KB
false	*false*	*false*	*false*	*false*	*false*	*false*	*true*	*true*	*true*	*true*	*false*	*false*
false	*false*	*false*	*false*	*false*	*false*	*true*	*true*	*true*	*false*	*true*	*false*	*false*
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮
false	*true*	*false*	*false*	*false*	*false*	*false*	*true*	*true*	*false*	*true*	*true*	*false*
false	*true*	*false*	*false*	*false*	*false*	*true*	*true*	*true*	*true*	*true*	*true*	*true*
false	*true*	*false*	*false*	*false*	*true*	*false*	*true*	*true*	*true*	*true*	*true*	*true*
false	*true*	*false*	*false*	*false*	*true*	*true*	*true*	*true*	*true*	*true*	*true*	*true*
false	*true*	*false*	*false*	*true*	*false*	*false*	*true*	*false*	*false*	*true*	*true*	*false*
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮
true	*true*	*true*	*true*	*true*	*true*	*true*	*false*	*true*	*true*	*false*	*true*	*false*

Inference : Properties

1. Entailment : $\alpha \models \beta$
2. Equivalence : $\alpha \equiv \beta$ if and only if $\alpha \models \beta$ and $\beta \models \alpha$
3. Validity
4. Satisfiability

Inference : Example – Theorem Proving (Self Study)

Logical Equivalence rules can be used as inference rules

$$
\begin{aligned}
(\alpha \wedge \beta) &\equiv (\beta \wedge \alpha) && \text{commutativity of } \wedge \\
(\alpha \vee \beta) &\equiv (\beta \vee \alpha) && \text{commutativity of } \vee \\
((\alpha \wedge \beta) \wedge \gamma) &\equiv (\alpha \wedge (\beta \wedge \gamma)) && \text{associativity of } \wedge \\
((\alpha \vee \beta) \vee \gamma) &\equiv (\alpha \vee (\beta \vee \gamma)) && \text{associativity of } \vee \\
\neg(\neg\alpha) &\equiv \alpha && \text{double-negation elimination} \\
(\alpha \Rightarrow \beta) &\equiv (\neg\beta \Rightarrow \neg\alpha) && \text{contraposition} \\
(\alpha \Rightarrow \beta) &\equiv (\neg\alpha \vee \beta) && \text{implication elimination} \\
(\alpha \Leftrightarrow \beta) &\equiv ((\alpha \Rightarrow \beta) \wedge (\beta \Rightarrow \alpha)) && \text{biconditional elimination} \\
\neg(\alpha \wedge \beta) &\equiv (\neg\alpha \vee \neg\beta) && \text{De Morgan} \\
\neg(\alpha \vee \beta) &\equiv (\neg\alpha \wedge \neg\beta) && \text{De Morgan} \\
(\alpha \wedge (\beta \vee \gamma)) &\equiv ((\alpha \wedge \beta) \vee (\alpha \wedge \gamma)) && \text{distributivity of } \wedge \text{ over } \vee \\
(\alpha \vee (\beta \wedge \gamma)) &\equiv ((\alpha \vee \beta) \wedge (\alpha \vee \gamma)) && \text{distributivity of } \vee \text{ over } \wedge
\end{aligned}
$$

Inference : Example – Theorem Proving

1. **Modes Ponens**
2. AND Elimination

α : I walk in rain without the umbrella

β : I get wet

α → β **α**

β

$$
\begin{aligned}
(\alpha \wedge \beta) &\equiv (\beta \wedge \alpha) && \text{commutativity of } \wedge \\
(\alpha \vee \beta) &\equiv (\beta \vee \alpha) && \text{commutativity of } \vee \\
((\alpha \wedge \beta) \wedge \gamma) &\equiv (\alpha \wedge (\beta \wedge \gamma)) && \text{associativity of } \wedge \\
((\alpha \vee \beta) \vee \gamma) &\equiv (\alpha \vee (\beta \vee \gamma)) && \text{associativity of } \vee \\
\neg(\neg\alpha) &\equiv \alpha && \text{double-negation elimination} \\
(\alpha \Rightarrow \beta) &\equiv (\neg\beta \Rightarrow \neg\alpha) && \text{contraposition} \\
(\alpha \Rightarrow \beta) &\equiv (\neg\alpha \vee \beta) && \text{implication elimination} \\
(\alpha \Leftrightarrow \beta) &\equiv ((\alpha \Rightarrow \beta) \wedge (\beta \Rightarrow \alpha)) && \text{biconditional elimination} \\
\neg(\alpha \wedge \beta) &\equiv (\neg\alpha \vee \neg\beta) && \text{De Morgan} \\
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(\alpha \vee (\beta \wedge \gamma)) &\equiv ((\alpha \vee \beta) \wedge (\alpha \vee \gamma)) && \text{distributivity of } \vee \text{ over } \wedge
\end{aligned}
$$

Inference : Example – Theorem Proving

1. Modes Ponens
2. **AND Elimination**

α : I walk in rain without the umbrella

β : I get wet

$$(\alpha \wedge \beta) \equiv (\beta \wedge \alpha) \quad \text{commutativity of } \wedge$$
$$(\alpha \vee \beta) \equiv (\beta \vee \alpha) \quad \text{commutativity of } \vee$$
$$((\alpha \wedge \beta) \wedge \gamma) \equiv (\alpha \wedge (\beta \wedge \gamma)) \quad \text{associativity of } \wedge$$
$$((\alpha \vee \beta) \vee \gamma) \equiv (\alpha \vee (\beta \vee \gamma)) \quad \text{associativity of } \vee$$
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$$\neg(\alpha \wedge \beta) \equiv (\neg\alpha \vee \neg\beta) \quad \text{De Morgan}$$
$$\neg(\alpha \vee \beta) \equiv (\neg\alpha \wedge \neg\beta) \quad \text{De Morgan}$$
$$(\alpha \wedge (\beta \vee \gamma)) \equiv ((\alpha \wedge \beta) \vee (\alpha \wedge \gamma)) \quad \text{distributivity of } \wedge \text{ over } \vee$$
$$(\alpha \vee (\beta \wedge \gamma)) \equiv ((\alpha \vee \beta) \wedge (\alpha \vee \gamma)) \quad \text{distributivity of } \vee \text{ over } \wedge$$

Inference : Example – Theorem Proving

$R_1 : \neg P_{1,1}$

$R_2 : B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$

$R_3 : B_{2,1} \Leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1})$

$R_4 : \neg B_{1,1}$

$R_5 : B_{2,1}$

$(\alpha \wedge \beta)$	$\equiv (\beta \wedge \alpha)$	commutativity of $\wedge$
$(\alpha \vee \beta)$	$\equiv (\beta \vee \alpha)$	commutativity of $\vee$
$((\alpha \wedge \beta) \wedge \gamma)$	$\equiv (\alpha \wedge (\beta \wedge \gamma))$	associativity of $\wedge$
$((\alpha \vee \beta) \vee \gamma)$	$\equiv (\alpha \vee (\beta \vee \gamma))$	associativity of $\vee$
$\neg(\neg\alpha)$	$\equiv \alpha$	double-negation elimination
$(\alpha \Rightarrow \beta)$	$\equiv (\neg\beta \Rightarrow \neg\alpha)$	contraposition
$(\alpha \Rightarrow \beta)$	$\equiv (\neg\alpha \vee \beta)$	implication elimination
$(\alpha \Leftrightarrow \beta)$	$\equiv ((\alpha \Rightarrow \beta) \wedge (\beta \Rightarrow \alpha))$	biconditional elimination
$\neg(\alpha \wedge \beta)$	$\equiv (\neg\alpha \vee \neg\beta)$	De Morgan
$\neg(\alpha \vee \beta)$	$\equiv (\neg\alpha \wedge \neg\beta)$	De Morgan
$(\alpha \wedge (\beta \vee \gamma))$	$\equiv ((\alpha \wedge \beta) \vee (\alpha \wedge \gamma))$	distributivity of $\wedge$ over $\vee$
$(\alpha \vee (\beta \wedge \gamma))$	$\equiv ((\alpha \vee \beta) \wedge (\alpha \vee \gamma))$	distributivity of $\vee$ over $\wedge$

Query: $\neg P_{1,2}$. Can we prove if this sentence be entailed from KB using inference rules?_____

$R_2 : B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$	
$R_6 : (B_{1,1} \Rightarrow (P_{1,2} \vee P_{2,1})) \wedge ((P_{1,2} \vee P_{2,1}) \Rightarrow B_{1,1})$	Biconditional Elimination
$R_7 : ((P_{1,2} \vee P_{2,1}) \Rightarrow B_{1,1})$	And Elimination
$R_8 : (\neg B_{1,1} \Rightarrow \neg (P_{1,2} \vee P_{2,1}))$	Contraposition
$R_9 : \neg (P_{1,2} \vee P_{2,1})$	Modus Ponens
$R_{10} : \neg P_{1,2} \wedge \neg P_{2,1}$	Demorgans
R11: $\neg P_{1,2}$	And Elimination

Proof by Contradiction

Required Reading: AIMA - Chapter # 5.4, # 7

Note : Some of the slides are adopted from AIMA TB materials

Thank You for all your Attention

Artificial & Computational Intelligence

DSECSZG557

M4 : Knowledge Representation using Logics

Dr. Sudheer Reddy

BITS Pilani
Pilani Campus

Course Plan

M1 Introduction to AI

M2 Problem Solving Agent using Search

M3 Game Playing

M4 Knowledge Representation using Logics

M5 Probabilistic Representation and Reasoning

M6 Reasoning over time

M7 Ethics in AI

PL-Resolution

Horn Clause

1. Definite Clause : A horn clause with exactly one positive literal
2. Fact : Definite clause with no negative literal / assertion
3. Rule
4. Inference by Chaining

PL-Resolution : CNF conversion

Wumpus world Book example

$R_1 : \neg P_{1,1}$

$\mathbf{R_2 : B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})}$

$\mathbf{R_3 : B_{2,1} \Leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1})}$

$R_4 : \neg B_{1,1}$

$R_5 : B_{2,1}$

Query: $\neg P_{1,2}$

Conjunctive Normal Form :

(A V ~B) ^ (A V B V ~C) ^ ~A

Unit Resolution : ~A

Query : Is 'C' true?

Proof by Contradiction

PL-Resolution : CNF conversion

Wumpus world Book example

$R_1 : \neg P_{1,1}$

$\mathbf{R_2 : B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})}$

$\mathbf{R_3 : B_{2,1} \Leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1})}$

$R_4 : \neg B_{1,1}$

$R_5 : B_{2,1}$

Query: $\neg P_{1,2}$

Conjunctive Normal Form :

(A V ~B) ^ (A V B V ~C) ^ ~A

Unit Resolution : ~A

Query : Is 'C' true?

PL-Resolution

$R_1 : \neg P_{1,1}$

~~$R_2 : B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$~~

~~$\mathbf{R_3 : B_{2,1} \Leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1})}$~~

$R_4 : \neg B_{1,1}$

$R_5 : B_{2,1}$

Query: $\neg P_{1,2}$

$R_6 : \neg B_{1,1} \vee P_{1,2} \vee P_{2,1}$

$R_7 : \neg P_{1,2} \vee B_{1,1}$

$R_8 : \neg P_{2,1} \vee B_{1,1}$

$R_9 : \neg B_{2,1} \vee P_{1,1} \vee P_{2,2} \vee P_{3,1}$

$R_{10} : \neg P_{1,1} \vee B_{2,1}$

$R_{11} : \neg P_{2,2} \vee B_{2,1}$

$R_{12} : \neg P_{3,1} \vee B_{2,1}$

$(\alpha \wedge \beta) \equiv (\beta \wedge \alpha)$ commutativity of $\wedge$

$(\alpha \vee \beta) \equiv (\beta \vee \alpha)$ commutativity of $\vee$

$((\alpha \wedge \beta) \wedge \gamma) \equiv (\alpha \wedge (\beta \wedge \gamma))$ associativity of $\wedge$

$((\alpha \vee \beta) \vee \gamma) \equiv (\alpha \vee (\beta \vee \gamma))$ associativity of $\vee$

$\neg(\neg\alpha) \equiv \alpha$ double-negation elimination

$(\alpha \Rightarrow \beta) \equiv (\neg\beta \Rightarrow \neg\alpha)$ contraposition

$(\alpha \Rightarrow \beta) \equiv (\neg\alpha \vee \beta)$ implication elimination

$(\alpha \Leftrightarrow \beta) \equiv ((\alpha \Rightarrow \beta) \wedge (\beta \Rightarrow \alpha))$ biconditional elimination

$\neg(\alpha \wedge \beta) \equiv (\neg\alpha \vee \neg\beta)$ De Morgan

$\neg(\alpha \vee \beta) \equiv (\neg\alpha \wedge \neg\beta)$ De Morgan

$(\alpha \wedge (\beta \vee \gamma)) \equiv ((\alpha \wedge \beta) \vee (\alpha \wedge \gamma))$ distributivity of $\wedge$ over $\vee$

$(\alpha \vee (\beta \wedge \gamma)) \equiv ((\alpha \vee \beta) \wedge (\alpha \vee \gamma))$ distributivity of $\vee$ over $\wedge$

Eliminate		**$R_2 : B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$**	**$R_3 : B_{2,1} \Leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1})$**
$\leftrightarrow$	Biconditional Elimination	$(B_{1,1} \Rightarrow (P_{1,2} \vee P_{2,1})) \wedge ((P_{1,2} \vee P_{2,1}) \Rightarrow B_{1,1})$	$(B_{2,1} \Rightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1})) \wedge ((P_{1,1} \vee P_{2,2} \vee P_{3,1}) \Rightarrow B_{2,1})$
$\rightarrow$	Implication Elimination	$\neg B_{1,1} \vee (P_{1,2} \vee P_{2,1})$ $\neg(\mathbf{P_{1,2}} \vee \mathbf{P_{2,1}}) \vee \mathbf{B_{1,1}}$	$\neg B_{2,1} \vee (P_{1,1} \vee P_{2,2} \vee P_{3,1})$ $\neg(\mathbf{P_{1,1}} \vee \mathbf{P_{2,2}} \vee \mathbf{P_{3,1}}) \vee \mathbf{B_{2,1}}$
Clause level $\neg$	De Morgan	$(\neg\mathbf{P_{1,2}} \wedge \neg\mathbf{P_{2,1}}) \vee \mathbf{B_{1,1}}$	$(\neg\mathbf{P_{1,1}} \wedge \neg\mathbf{P_{2,2}} \wedge \neg\mathbf{P_{3,1}}) \vee \mathbf{B_{2,1}}$
CNF Form	Distributivity of $\vee$ over $\wedge$	$(\neg\mathbf{P_{1,2}} \vee \mathbf{B_{1,1}}) \wedge (\neg\mathbf{P_{2,1}} \vee \mathbf{B_{1,1}})$	$(\neg\mathbf{P_{1,1}} \vee \mathbf{B_{2,1}}) \wedge (\neg\mathbf{P_{2,2}} \vee \mathbf{B_{2,1}}) \wedge (\neg\mathbf{P_{3,1}} \vee \mathbf{B_{2,1}})$

PL-Resolution

Unit Resolution: Query: $\neg P_{1,2}$

To find: Is there a pit in location (1,2) using the CNF obtained in previous slide

Inference = FALSE

Assumption is False

Given Query is TRUE

DPLL Algorithm

In logic and computer science, the Davis–Putnam–Logemann–Loveland (**DPLL**) **algorithm** is a complete, backtracking-based search **algorithm** for deciding the satisfiability of propositional logic formulae in conjunctive normal form

Improvements:

1. Early Termination
2. Pure Symbolic Heuristic
3. Unit Clause Heuristic

DPLL Algorithm

$R_7 : \neg P_{1,2} \vee B_{1,1}$
$R_8 : \neg P_{2,1} \vee B_{1,1}$
$\{P_{1,2}, B_{1,1}, P_{2,1}\}$

$R_7 : \neg P_{1,2} \vee B_{1,1}$
$R_8 : \neg P_{2,1} \vee B_{1,1}$

$R_7 : \neg P_{1,2} \vee B_{1,1}$
$R_8 : \neg P_{2,1} \vee B_{1,1}$

$R_7 : \neg P_{1,2} \vee B_{1,1}$
$R_8 : \neg P_{2,1} \vee B_{1,1}$

–

Towards Predicate Logic

All courses are offered and interesting

All offered courses are interesting

Some of the courses are offered and interesting [Atleast one of the offered courses is interesting]

Some of the offered courses are interesting

Towards Predicate Logic

All courses are offered and interesting

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Towards Predicate Logic

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Some of the offered courses are interesting

Towards Predicate Logic

Predicate Logic

Squares neighboring the wumpus are smelly

Objects: squares, wumpus

Unary Relation (properties of an object): smelly N-ary

Relation (between objects): neighboring

Function: Neighbouring of Wumpus are Smelly

Primary difference between propositional and first-order logic lies in “ontological commitment” – the assumption about the nature of reality.

Predicate Logic – Sample Modelling

1. “Squares neighboring the wumpus are smelly”

$\forall x, y\ Neighbour(x, y) \wedge Wumpus(y) \Longrightarrow Smelly(x)$

Order of quantifiers is important

Predicate Logic – Sample Modelling

2. “Everybody loves somebody”

$\forall x\ \exists y\ Loves(x, y)$

3. “There is someone who is loved by everyone”

$\exists y\ \forall x\ Loves(x, y)$

Order of quantifiers is important

Predicate Logic – Sample Modelling

Brother(Richard, John) ∧ Brother(John, Richard)

King(Richard) ∨ King(John)

¬King(Richard) ⟹ King(John)

Unification & Lifting

Brother(Richard, John) ∧ Brother(John, Richard)

King(Richard) ∨ King(John)

¬King(Richard) ⟹ King(John)

Predicate Logic – Sample Modelling

Quantifiers

Brother(Richard, John) ∧ Brother(John, Richard)

King(Richard) ∨ King(John)

¬King(Richard) ⟹ King(John)

”All Kings are persons”

$\forall x\ King(x) \Longrightarrow Person(x)$

“King John has a crown on his head”

$\exists x\ Crown(x)\ \ AOnHead(x, John)$

Ground Term: A term with no variables. E.g., King(Richard)

Predicate Logic – Inference

1. Substitute for Quantifiers
2. Convert into Propositional Logic
3. Apply inference tech

$\forall x\ King(x) \Longrightarrow Person(x)$

Richard the Lionheart is a king ⟹ Richard the Lionheart is a person

King John is a king ⟹ King John is a person

$\exists x\ Crown(x)\ \ \text{A}OnHead(x, John)$

Crown(C_1) A OnHead(C_1, John) ||C1 is imputed assumed fact

Forward Chaining

- Consider the following problem:

The law says it is a crime for an American to sell weapons to hostile nations. The country Nono, an enemy of America, has some missiles, and all of its missiles were sold to it by Colonel West, who is American.

- We will prove that West is a criminal

Forward Chaining

- "All of its missiles were sold to it by Colonel West"

$$Missile(x) \wedge Owns(Nono, x) \Rightarrow Sells(West, x, Nono)$$

- Missiles are weapons

$$Missile(x) \Rightarrow Weapon(x)$$

- Hostile means enemy

$$Enemy(x, America) \Rightarrow Hostile(x)$$

- "West, who is American"

$$American(West)$$

- "The country Nono, an enemy of America"

$$Enemy(Nono, America)$$

Forward Chaining

- First, we will represent the facts in First Order Definite Clauses

" … it is a crime for an American to sell weapons to hostile nations"

$$American(x) \wedge Weapon(y) \wedge Sells(x, y, z) \wedge Hostile(z) \Rightarrow Criminal(x)$$

"Nono … has some missiles"

$$\exists x \; Owns(Nono, x) \wedge Missile(x)$$

is transformed into two definite clauses by Existential Instantiation

$$Owns(Nono, M_1)$$

$$Missile(M_1)$$

Forward Chaining

- Consider the following problem:

The law says it is a crime for an American to sell weapons to hostile nations. The country Nono, an enemy of America, has some missiles, and all of its missiles were sold to it by Colonel West, who is American.

- We will prove that West is a criminal

Algorithm:

1. Start from the facts
2. Trigger all rules whose premises are satisfied
3. Add the conclusion to known facts
4. Repeat the steps till no new facts are added or the query is answered

Forward Chaining

1. $American(x) \wedge Weapon(y) \wedge Sells(x, y, z) \wedge Hostile(z) \Rightarrow Criminal(x)$
2. $Missile(x) \wedge Owns(Nono, x) \Rightarrow Sells(West, x, Nono)$
3. $Missile(x) \Rightarrow Weapon(x)$
4. $Enemy(x, America) \Rightarrow Hostile(x)$

Missile(M1)
Owns(Nono, M1)
American (West)
Enemy (Nono, America)

Forward Chaining

1. $American(x) \wedge Weapon(y) \wedge Sells(x, y, z) \wedge Hostile(z) \Rightarrow Criminal(x)$
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3. $Missile(x) \Rightarrow Weapon(x)$
4. $Enemy(x, America) \Rightarrow Hostile(x)$

Backward Chaining

Algorithm:

1. Form Definite Clause
2. Start from the Goals
3. Search through rules to find the fact that support the proof
4. If it stops in the fact which is to be proved → Empty Set- LHS

Divide & Conquer Strategy

Substitution by Unification

Backward Chaining

1. $American(x) \wedge Weapon(y) \wedge Sells(x, y, z) \wedge Hostile(z) \Rightarrow Criminal(x)$
2. $Missile(x) \wedge Owns(Nono, x) \Rightarrow Sells(West, x, Nono)$
3. $Missile(x) \Rightarrow Weapon(x)$
4. $Enemy(x, America) \Rightarrow Hostile(x)$

Missile(M1)
Owns(Nono, M1)
American (West)
Enemy (Nono, America)

Backward Chaining

1. $American(x) \wedge Weapon(y) \wedge Sells(x, y, z) \wedge Hostile(z) \Rightarrow Criminal(x)$
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Missile(M1)
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Enemy (Nono, America)

Backward Chaining

1. $American(x) \wedge Weapon(y) \wedge Sells(x, y, z) \wedge Hostile(z) \Rightarrow Criminal(x)$
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Missile(M1)
Owns(Nono, M1)
American (West)
Enemy (Nono, America)

Required Reading: AIMA - Chapter # 7, # 8, # 9

Thank You for all your Attention

Note : Some of the slides are adopted from AIMA TB materials

Artificial & Computational Intelligence

DSECSZG557

M4 : Knowledge Representation using Logics

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Course Plan

M1	Introduction to AI
M2	Problem Solving Agent using Search
M3	Game Playing
M4	Knowledge Representation using Logics
M5	Probabilistic Representation and Reasoning
M6	Reasoning over time, Reinforcement Learning
M7	Ethics in AI

Reasoning

- Monotonic Reasoning

- Non- Monotonic Reasoning

  Dependency Directed Backtracking: when a statement is deleted as “ no more valid”, other related statements have to be backtracked and they should be either deleted or new proofs have to be found for them. This is called dependency directed backtracking (DDB)

Reasoning

- Monotonic Reasoning
- Non- Monotonic Reasoning

Monotonic	Non-Monotonic
Consistent	Relaxed Consistency
Complete Knowledge	Incomplete Knowledge
Static	Dynamic
Discrete	Continuous & Learning Agent
Predicate Logic	Probabilistic Model

Bayesian Net???

Wumpus World Problem

- Wumpus Ghost traces of scent in the visited cell
- Earlier visited cell may become unsafe!!!
- **Problem**: Given the information that there is a possibility of apparition of Wumpus anywhere in the cave, AI agent needs to be safely travel with more caution!!

Uncertainty

You can reach Bangalore Airport from MG Road within 90 mins if you go by route A.

- There is uncertainty in this information due to partial observability and non determinism
- Agents should handle such uncertainty

Previous approaches like Logic represent all possible world states

Such approaches can't be used as multiple possible states need to be enumerated to handle the uncertainty in our information

Uncertainty

You can reach Bangalore Airport from MG Road within 90 mins if you go by route A.

Road Block	Festival Season	Weekend	Observation (20)	Prob
F	F	F	12	0.6
F	F	T	3	0.15
F	T	F	2	0.1
F	T	T	2	0.1
T	F	F	0	0
T	F	T	0	0
T	T	F	1	0.05
T	T	T	0	0
				=1

Probability Theory

Basics

Conditional Probability

Chain Rule

Independence

Conditional Independence

Belief Nets

Joint Probability distribution

Probability Basics - Refresher

Sample Space: Set of all possible outcomes.

- Ex: After tossing 2 coins, the set of all possible outcomes are
- {HH, HT, TH, TT}

Event: A subset of a sample space.

- An event of interest might be - {HH}

Probability Basics - Model

A fully specified **probability model** associates a numerical probability P(ω) with each possible world.

The **basic axioms**

1. Every possible world has a probability between 0 and 1
2. Sum of probabilities of possible worlds is 1

   P(True) = 1

   P(False) = 0
3. P(a ∨ b) = P(a) + P(b) - P(a ∧ b)

E.g., P(HH) = 0.25; P(HT) = 0.25; P(TT) = 0.25, P(TH) = 0.25

$$0 \leq P(\omega) \leq 1 \text{ for every } \omega \text{ and } \sum_{\omega \in \Omega} P(\omega) = 1$$

Probability Basics – Exclusive / Exhaustive events

Mutually Exclusive Events:

- Two events (or propositions) are mutually exclusive or disjoint if they cannot both occur at the same time(be true).
- A clear example is the set of outcomes of a single coin toss, which can result in either heads or tails, but not both.

Exhaustive Events:

- A set of events is jointly or collectively exhaustive if at least one of the events must occur.
- E.g., when rolling a six-sided die, the events 1, 2, 3, 4, 5, and 6 are collectively exhaustive.

Probability Basics - Propositions

Probabilistic assertions (Propositions)

- Usually not a particular world event but about a set of them
- E.g., two dice when rolled, a proposition φ can be “the sum of dice is 11”

For any proposition φ,

$P(\varphi) = P(\text{sum} = 11) \quad = P((5, 6)) + P((6, 5))$

$= 1/36 + 1/36 = 1/18$

Probability Basics – Unconditional/Prior

Unconditional / Prior probabilities:

Propositions like P(sum = 11) or P(two dices rolling equals) are called Unconditional or Prior probabilities

They refer to degree of belief in absence of any other information

$$P(a \mid b) = \frac{P(a \wedge b)}{P(b)}$$

$$P(a \wedge b) = P(a \mid b)P(b)$$

Probability Basics - Conditional

However, most of the time we have some information, we call it **evidence**

E.g., we can interested in two dice rolling a double (i.e., 1,1 or 2,2, etc)

When one die has rolled 5 and the other die is still spinning

Here, we not interested in unconditional probability of rolling a double

Instead, the **conditional** or **posterior** probability for rolling a double given the first die has rolled a 5

$P(doubles \mid Die_1 = 5)$ where | is pronounced "given"

E.g., if you are going for a dentist for a checkup, P(cavity) = 0.2

- If you have a toothache, then P(cavity | toothache) = 0.6

Independence

If we have two random variables, TimeToBnlrAirport and HyderabadWeather

P(TimeToBnlrAirport, HyderabadWeather)

To determine their relation, use the product rule

= P(TimeToBnlrAirport | HyderabadWeather) / P(HyderabadWeather)

However, we would argue that HyderabadWeather and TimeToBnlrAirport doesn't have any relation and hence

P(TimeToBnlrAirport | HyderabadWeather) = P(TimeToBnlrAirport)

This is called Independence or Marginal Independence

Independence between propositions a and b can be written as

$$P(a \mid b) = P(a) \quad \text{or} \quad P(b \mid a) = P(b) \quad \text{or} \quad P(a \wedge b) = P(a)P(b)$$

Bayes Rule

Using the product rule for propositions a and b

$$P(a \wedge b) = P(a \mid b)P(b) \qquad \text{and} \qquad P(a \wedge b) = P(b \mid a)P(a)$$

Equating the right hand sides and dividing by P(a)

$$P(b \mid a) = \frac{P(a \mid b)P(b)}{P(a)}$$

This is called the Bayes Rule

Conditional Independence

2 random variables A and B are conditionally independent given C iff

$$P(a, b \mid c) = P(a \mid c)\, P(b \mid c) \text{ for all values } a, b, c$$

More intuitive (equivalent) conditional formulation

- A and B are conditionally independent given C iff

  $$P(a \mid b, c) = P(a \mid c) \text{ OR } P(b \mid a, c) = P(b \mid c), \text{ for all values } a, b, c$$

- Intuitive interpretation:

  $P(a \mid b, c) = P(a \mid c)$ tells us that learning about b, given that we already know c, provides no change in our probability for a, i.e., b contains no information about a beyond what c provides

  $P(R \mid F, P) = P(R \mid P)$

Joint Probability Distributions

Instead of distribution over single variable, we can model distribution over multiple variables, separated by comma

E.g., **P**(A, B) = **P**(A | B) . **P**(B)

P(A, B) is the probability distribution over combination of all values of A and B

E.g., if A = Weather and B = Cavity

$$
\begin{aligned}
P(W = sunny \wedge C = true) &= P(W = sunny | C = true)\, P(C = true) \\
P(W = rain \wedge C = true) &= P(W = rain | C = true)\, P(C = true) \\
P(W = cloudy \wedge C = true) &= P(W = cloudy | C = true)\, P(C = true) \\
P(W = snow \wedge C = true) &= P(W = snow | C = true)\, P(C = true) \\
P(W = sunny \wedge C = false) &= P(W = sunny | C = false)\, P(C = false) \\
P(W = rain \wedge C = false) &= P(W = rain | C = false)\, P(C = false) \\
P(W = cloudy \wedge C = false) &= P(W = cloudy | C = false)\, P(C = false) \\
P(W = snow \wedge C = false) &= P(W = snow | C = false)\, P(C = false)\ .
\end{aligned}
$$

Probabilistic Inference

Computation of posterior probabilities given observed evidence, i.e., full joint probability distribution

	toothache		*¬toothache*	
	catch	*¬catch*	*catch*	*¬catch*
cavity	0.108	0.012	0.072	0.008
¬cavity	0.016	0.064	0.144	0.576

Query: P(cavity ∨ toothache)

0.108 + 0.012 + 0.072 + 0.008 + 0.016 + 0.064 = 0.28

Conditional Probability

Towards Chain Rule:

$P(a \mid b) = P(a,b) / P(b)$

$P(a, b) = P(a \mid b)\, P(b)$

$P(a, b, c) = P(a, x)$ where $x = b,c$

$P(a,x) = P(a \mid x) \,.\, P(x)$

$= P(a \mid bc) \,.\, P(b, c)$

$= P(a \mid bc) \,.\, P(b \mid c) .\, P(c)$

Hence : $P(a,b,c) = P(a \mid bc) \,.\, P(b \mid c) .\, P(c)$

Chain Rule : Generalization

$$P(X_1, X_2, \ldots X_K) = \prod P(X_i \mid X_{i-1}, \ldots X_1)$$

Where i = k to 1 (reverse)

Probability Theory

<u>Independence</u>

P(a | b) = P(a)

Implication:

P(a | b) = P(a,b) / P(b)

P(a) = P(a,b) / P(b)

P(a,b) = P(a) . P(b)

<u>Conditional Independence</u>

P(a | b c) = P(a | c)

Probability Theory

Conditional Independence

P(a | b c) = P(a | c)

Extension:

P(a b | c) = P(a | c) . P(b | c)

Required Reading: AIMA - Chapter # 13

Thank You for all your Attention

Note : Some of the slides are adopted from AIMA TB materials

Artificial & Computational Intelligence

DSECSZG557

M5 : Probabilistic Representation and Reasoning

Dr. Sudheer Reddy

BITS Pilani
Pilani Campus

Course Plan

M1	Introduction to AI
M2	Problem Solving Agent using Search
M3	Game Playing
M4	Knowledge Representation using Logics
M5	Probabilistic Representation and Reasoning
M6	Reasoning over time, Reinforcement Learning
M7	Ethics in AI

Building a Bayesian Network

Example Bayesian Net #1

A simple world with four random variables

- Weather, Toothache, Cavity, Catch
- Weather is independent of other variables
- Toothache and Catch are conditionally independent given Cavity
- P(Toothache, Catch | Cavity) = P(Toothache | Cavity) . P(Catch | Cavity)
- Cavity is a direct cause of Toothache and Catch
- No direct relation between Toothache and Catch exists

Example Bayesian Net #1

A simple world with four random variables

- Weather, Toothache, Cavity, Catch
- Weather is independent of other variables
- Toothache and Catch are conditionally independent given Cavity
- P(Toothache, Catch | Cavity) = P(Toothache | Cavity) . P(Catch | Cavity)
- Cavity is a direct cause of Toothache and Catch
- No direct relation between Toothache and Catch exists

Example Bayesian Net #2

A Burglary Alarm System

- Fairly reliable on detecting a burglary
- Also responds to earthquakes
- Two neighbors John and Mary are asked to call you at work when Burglary happens and they hear the Alarm
- John nearly always calls when he hears the alarm, however sometimes confuses the telephone ring with alarm and calls then too
- Mary like loud music and often misses the alarm altogether
- **Problem**: Given the information, calculate the probability that alarm has sounded, but neither burglary nor earthquake happened, and both John and Mary called

Example Bayesian Net #2

A Burglary Alarm System

- Fairly reliable on detecting a burglary
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- **Problem**: Given the information, calculate the probability that alarm has sounded, but neither burglary nor earthquake happened, and both John and Mary called

$P(B)$
.001

$P(E)$
.002

B	E	$P(A)$
t	t	.95
t	f	.94
f	t	.29
f	f	.001

A	$P(J)$
t	.90
f	.05

A	$P(M)$
t	.70
f	.01

Example Bayesian Net #2

A Burglary Alarm System

- Fairly reliable on detecting a burglary
- Also responds to earthquakes
- Two neighbors John and Mary ar asked to call you at work when Burglary happens and they hear the Alarm
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$P(B)$
.001

$P(E)$
.002

B	E	$P(A)$
t	t	.95
t	f	.94
f	t	.29
f	f	.001

A	$P(J)$
t	.90
f	.05

A	$P(M)$
t	.70
f	.01

$$P(j,m,a,\neg b,\neg e) = P(j|a)P(m|a)P(a|\neg b \wedge \neg e)P(\neg b)P(\neg e)$$
$$= 0.90 \times 0.70 \times 0.001 \times 0.999 \times 0.998 = 0.000628 .$$

Example Bayesian Net #3

Traffic Prediction -Travel Estimation

- AI system reminds traveler regarding start time
- Travel plan is to reach Delhi and the weather of Delhi may influence the accommodation plans
- Traveler always take car to reach airport
- Car may be rerouted either due to road block or weekday traffic during working hours which delays the arrival to airport
- Bars are always observed to be full on weekends
- Authorities block roads to safe the processions
- Processions observed during festive season or due to the political rally.
- **Problem**: Given the information that there is a political rally expected estimate the probability of late arrival

Example Bayesian Net #3

Traffic Prediction -Travel Estimation

- AI system reminds traveler regarding start time
- Travel plan is to reach Delhi and the weather of Delhi may influence the accommodation plans
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- Bars are always observed to be full on weekends
- Authorities block roads to safe the processions
- Processions observed during festive season or due to the political rally.
- **Problem**: Given the information that there is a political rally expected estimate the probability of late arrival

Example Bayesian Net #3

F	~F
T	

Y	~Y
T	

T	T		
T	F		
F	T		
F	F		

D	~D
T	

P	R	~R
T		
F		

W	~W
T	

R	W	C	~C
T	T		
T	F		
F	T		
F	F		

W	A	~A
T		
F		

C	L	~L
T		
F		

Example Bayesian Nets

R	W	C	~C
T	T		
T	F		
F	T		
F	F		

C	L	~L
T		
F		

C	R	~R
T		
F		

L	A	C	~C
T	T		
T	F		
F	T		
F	F		

Example Bayesian Nets

- A node is conditionally independent of its non-descendants given its parents
- A node is conditionally independent of all other nodes in the net , given its parents, children and children's parents.

Bayesian Nets

Interesting Case Study

111-column wide dataset : 6347497291776 entries to store the JPD 817 entries. Memory gain :99.99999998712879%!

Source Credit : https://www.kaggle.com/einsteindata4u/covid19

Bayesian Network

Cyber Security

Fig. 1 Typical ICS Architecture and Layers

Source Credit : 2021 : Chockalingam, S., Pieters, W., Teixeira, A. *et al.* Bayesian network model to distinguish between intentional attacks and accidental technical failures: a case study of floodgates.

Fig. 4 Constructed Qualitative BN Model. (In this Figure, the presence of contributory factors and observations (or test results) colored in dark red would increase the likelihood of the problem (colored in red) due to an attack on the sensor. Furthermore, the presence of contributory factors and observations (or test results) colored in orange would increase the likelihood of the problem due to sensor failure)

Source Credit : 2021 : Chockalingam, S., Pieters, W., Teixeira, A. *et al.* Bayesian network model to distinguish between intentional attacks and accidental technical failures: a case study of floodgates.

Bayesian Network

Cyber Security

Table 2 CPT Excerpt – Problem Variable

C_1	C_2	C_3	C_4	C_5	C_6	C_7	C_8	Y	
								Attack	Failure
True	True	True	True	True	True	True	True	0.02	0.98
True	True	True	True	True	True	True	False	0.09	0.91
True	True	True	True	True	True	False	True	0.06	0.94
True	True	True	True	True	True	False	False	0.24	0.76
True	True	True	True	True	False	True	True	0.09	0.91
True	True	True	True	True	False	True	False	0.38	0.62
True	True	True	True	True	False	False	True	0.24	0.76
True	True	True	True	True	False	False	False	0.97	0.03
True	True	True	True	False	True	True	True	0.02	0.98
True	True	True	True	False	True	True	False	0.09	0.91

In this table, C_1: Easy physical accessibility, C_2: No sensor data integrity verification, C_3: Easy network accessibility, C_4: Presence of software in sensor, C_5: No sensor firmware update, C_6: Maintenance issue, C_7: No use of EMI shielding technique, C_8: Location of sensor susceptible to external factor and Y: Major cause for sensor sends incorrect water level measurements

Source Credit : 2021 : Chockalingam, S., Pieters, W., Teixeira, A. *et al.* Bayesian network model to distinguish between intentional attacks and accidental technical failures: a case study of floodgates.

Inferences in Bayesian Nets

Enumeration

Belief Nets

Diagnostic

Causal

Inter-Casual

Mixed Inferences

Examples

1. Calculate the probability that arrival at airport was delayed during a weekend but there was no road block or festival and car was not routed anywhere.

2. What is the probability that it is a festival season given cars where routed?

3. What is the probability that car arrived late at airport given it's a festival day?

Required Reading: AIMA - Chapter # 14

Thank You for all your Attention

Note : Some of the slides are adopted from AIMA TB materials

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Inferences in Bayesian Nets

Enumeration

Examples

What is the probability that Burglary happened given John & Mary called the police

$$P(B \mid J,M) = \frac{P(B, J, M)}{P(J, M)}$$

$$P(B \mid J,M) = \frac{\sum_{A,E} P(J, M, A, B, E)}{\sum_{A,B,E} P(J, M, A, B, E)}$$

Inferences in Bayesian Nets

Variable Elimination
Reduce Guaranteed Independent nodes

D-Connectedness Vs D-Separation

1. Each variable is conditionally independent of its non-descendants, given its parents
2. Eliminate the hidden variables that is neither a query nor an evidence
3. **Two variables are d-separated if they are conditionally independent given evidences**

Try it & Test

X	Y	Evidence Z	d-sep?
F	W	C	No
L	W	R	No
R	**L**	**C**	**Yes**
B	R	C	No

- P(R | L , C) = P(R| L)

R & L are d-separated ie., conditionally independent given C

D-Separation in Inference

V		~V
T	0.6	0.4

D		~D
T	0.1	0.9

V	L	~L
T	0.8	0.2
F	0.01	0.99

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

X	Y	Evidence Z	d-sep?
V	F	L	Yes
V	D	L	Yes

- P(V | F , L)
- P(V | D , L)

Variable Elimination

1. Each variable is conditionally independent of its non-descendants, given its parents
2. **Eliminate the hidden variables that is neither a query nor evidence**
3. Two variables are d-separated if they are conditionally independent given evidences

- $P(B) = \sum_{L, B, W, R, F} P(L, C, B, W, R, F)$
  $= \sum_L \sum_B P(L|C) \cdot P(B \mid W) \cdot \sum_W P(C \mid W, R) \cdot \sum_R P(R \mid F) \cdot \sum_F P(F)$
  $= \mathbf{P(B \mid W)}$

All other variables are hidden w.r.t to B as (L, C, R, F) are neither evidence nor query nor (L, C, R, F) ∈ Ancestors(W, B)

This is variable elimination example targeting irrelevant nodes

Inference

Variable Elimination: V

V		~V
T	0.6	0.4

D		~D
T	0.1	0.9

V		~V
T	0.6	0.4

V	L	~L
T	0.8	0.2
F	0.01	0.99

V	L	~L
T	0.8	0.2
F	0.01	0.99

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

V	L	
T	T	0.48
T	F	0.12
F	T	0.004
F	F	0.396

L	
T	0.484
F	0.516

P(V)
P(L|V)
P(D)
P(F|L,D)

Inference

Variable Elimination: L,D

V		~V
T	0.6	0.4

D		~D
T	0.1	0.9

V	L	~L
T	0.8	0.2
F	0.01	0.99

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

P(L)
P(D)
P(F|L,D)

L	
T	0.484
F	0.516

D		~D
T	0.1	0.9

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

D	L	F	
T	T	T	0.04792
T	F	T	0.04128
F	T	T	0.34848
F	F	T	0.02322
T	T	F	0.00048
T	F	F	0.01032
F	T	F	0.08712
F	F	F	0.44118

L	F	
T	T	0.3964
F	T	0.0645
T	F	0.0876
F	F	0.4515

F	
T	0.4609
F	0.5391

Approximate Inferences in Bayesian Nets
Introduction

Prior Sampling

Sample Generation by Randomization

V		~V
T	0.6	0.4

D		~D
T	0.1	0.9

V	L	~L
T	0.8	0.2
F	0.01	0.99

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

V	L	D	F

0.3, 0.2, 0.6, 0.58, 0.73, 0.87, 0.15, 0.6, 0.57, 0.85, 0.12, 0.004, 0.93 , 0.0002, 0.9, 0.55……..

Prior Sampling

Sample Generation by Randomization

V	~V
0.6	0.4

D	~D
0.1	0.9

V	L	~L
0.8	0.2	
0.01	0.99	

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

V	L	D	F
T	T	F	T
F	F	F	F
T	F	F	T
F	T	F	T
..			
.....			

0.3, 0.2, 0.6, 0.58, 0.73, 0.87, 0.15, 0.6, 0.57, 0.85, 0.12, 0.004, 0.93 , 0.0002, 0.9, 0.55……..

Prior Sampling

Inference

V		~V
T	0.6	0.4

D		~D
T	0.1	0.9

V	L	~L
T	0.8	0.2
F	0.01	0.99

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

V	L	D	F
T	T	F	T
F	F	F	F
T	F	F	T
F	T	F	T
T	T	F	T
T	F	F	F
F	F	F	T
T	F	F	F

P(L) = 3/8
P(FL) = 3/8
P(L|F) = 3/5
P(~V|F) = 2/5
P(L|V~F) = 0
P(F|D) = ?????

Rejection Sampling

Sample Generation by Randomization

V		~V
T	0.6	0.4

D		~D
T	0.1	0.9

V	L	~L
T	0.8	0.2
F	0.01	0.99

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

V	L	D	F
.....			

P(L) = 3/8
P(FL) = 3/8
P(L|F) = 3/5
P(~V|F) = 2/5
P(L|V~F) = 0
P(F|D) = ?????

0.3, 0.2, 0.6, 0.58, 0.73, 0.87, 0.15, 0.6, 0.57, 0.85, 0.12, 0.004, 0.93 , 0.0002, 0.9,0.555,0.38……..

Rejection Sampling

Sample Generation by Randomization

V		~V
T	0.6	0.4

D		~D
T	0.1	0.9

V	L	~L
T	0.8	0.2
F	0.01	0.99

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

V	L	D	F
T	T	F	
T	T	F	
T	T	F	
F	F	T	F
T	F	T	T
.....			

P(L) = 3/8
P(FL) = 3/8
P(L|F) = 3/5
P(~V|F) = 2/5
P(L|V~F) = 0
P(F|D) = ?????

0.3, 0.2, 0.6, 0.58, 0.73, 0.87, 0.15, 0.6, 0.57, 0.85, 0.12, 0.004, 0.93 , 0.0002, 0.9,0.555,0.38……..

Rejection Sampling

Inference

V		~V
T	0.6	0.4

D		~D
T	0.1	0.9

V	L	~L
T	0.8	0.2
F	0.01	0.99

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

P(L) = 3/8
P(FL) = 3/8
P(L|F) = 3/5
P(~V|F) = 2/5
P(L|V~F) = 0
P(F|D) = 5/8

V	L	D	F
T	T	T	T
F	F	T	F
T	F	T	T
F	T	T	T
T	T	T	T
T	F	T	F
F	F	T	T
T	F	T	F

Likelihood Weighing

Sample Generation by Randomization

V		~V
T	0.6	0.4

D		~D
T	0.1	0.9

V	L	~L
T	0.8	0.2
F	0.01	0.99

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

V	L	D	F	wgt
F		T		
F		T		
F		T		
F		T		
F		T		
F		T		
F		T		

P(~F|D,~V)

0.3, 0.2, 0.58, 0.73, 0.87, 0.15, 0.6, 0.57, 0.85, 0.12, 0.004, 0.93 , 0.0002, 0.99, , ……..

Likelihood Weighing

Sample Generation by Randomization

V		~V
T	0.6	0.4

D		~D
T	0.1	0.9

V	L	~L
T	0.8	0.2
F	0.01	0.99

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

V	L	D	F	wgt
F	F	T	T	0.4*1* 0.1 *1=
F	F	T	T	
F	F	T	T	
F	F	T	T	
F	F	T	T	
F	T	T	T	
F	T	T	F	

P(~F|D,~V) = 0.04 / 7*0.04

0.3, 0.2, 0.58, 0.73, 0.87, 0.15, 0.6, 0.57, 0.85, 0.12, 0.004, 0.93 , 0.0002, 0.99, , ……..

Likelihood Weighing

Inference

V		~V
T	0.6	0.4

D		~D
T	0.1	0.9

V	L	~L
T	0.8	0.2
F	0.01	0.99

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

V	L	D	F	wgt
F	F	T	F	0.4*1* 0.1 *1=
F	T	T	T	0.4*1* 0.1 *1=
F	F	T	T	0.4*1* 0.1 *1=
F	F	T	F	0.4*1* 0.1 *1=

P(F|D,~V) = 0.04+0.04 / 4*0.04

Likelihood Weighing

V		~V
T	0.6	0.4

D		~D
T	0.1	0.9

V	L	~L
T	0.8	0.2
F	0.01	0.99

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

P(F|D,~L)

Inference

V	L	D	F	wgt
F	F	T	F	
F	F	T	T	
F	F	T	T	
T	F	T	F	

Likelihood Weighing

Inference

V		~V
T	0.6	0.4

D		~D
T	0.1	0.9

V	L	~L
T	0.8	0.2
F	0.01	0.99

L	D	F	~F
T	T	0.99	0.01
T	F	0.8	0.2
F	T	0.8	0.2
F	F	0.05	0.95

V	L	D	F	wgt
F	F	T	F	1*0.99* 0.1 *1=
F	F	T	T	1*0.99* 0.1 *1=
F	F	T	T	1*0.99* 0.1 *1=
T	F	T	F	1*0.2* 0.1 *1=

P(F|D,~L) = 0.099+0.099 / (3*0.099 + 0.02)

Bayesian Network

Fault Diagnostic System

Source Credit : Sensors 2021 : Fusion-Learning of Bayesian Network Models for Fault Diagnostics

Bayesian Network

Fault Diagnostic System

Raw Data	Short Description		Resolution Notes			
	On battery power		Power outage due to transformer fire			
Classified Tags	Symptom		Cause(s)		Link	
	on_battery_power		power_outage, transformer_fire		due_to	
BN Mapping	Child Variable	Child State	Parent Variable	Parent State	Ancestor Variable	Ancestor State
	on_battery_power	yes	power_outage	yes	transformer	Fire

Source Credit : Sensors 2021 : Fusion-Learning of Bayesian Network Models for Fault Diagnostics

Bayesian Network

Fault Diagnostic System

Figure 8. Fused Bayesian Network structure for top six occurring UPS messages.

Source Credit : Sensors 2021 : Fusion-Learning of Bayesian Network Models for Fault Diagnostics

Bayesian Network

Fault Diagnostic System

	MC = Good	MC = Faulty
WD = Yes	0.5	0.5
WD = No	0.974	0.026

WD = Yes	WD = No
0.002	0.998

ITSL = Yes	ITSL = No
0.001	0.999

BNTR = Yes	BNTR = No
0.001	0.999

ITT = Yes	ITT = No
0.006	0.994

BAC = Good	BAC = Faulty
0.991	0.009

CabAC = Good	CabAC = Faulty
0.995	0.005

CloAC = Good	CloAC = Faulty
0.98	0.02

	HTA = Yes	HTA = No
BNTR = No, CabAC = Good, CloAC = Good, BAC = Good, ITSL = No, ITT = No, MC = Faulty	0.091	0.909
BNTR = No, CabAC = Good, CloAC = Good, BAC = Good, ITSL = No, ITT = Yes, MC = Good	1	0
BNTR = No, CabAC = Good, CloAC = Good, BAC = Good, ITSL = Yes, ITT = No, MC = Good	1	0
BNTR = No, CabAC = Faulty, CloAC = Good, BAC = Good, ITSL = No, ITT = No, MC = Good	0.75	0.25
⋮		

Source Credit : Sensors 2021 : Fusion-Learning of Bayesian Network Models for Fault Diagnostics

Required Reading: AIMA - Chapter # 14

Thank You for all your Attention

Note : Some of the slides are adopted from AIMA TB materials

Artificial & Computational Intelligence

AIML CLZG557

M6: Reasoning over time

BITS Pilani

Pilani Campus

Dr. Sudheer Reddy

Course Plan

M1 Introduction to AI

M2 Problem Solving Agent using Search

M3 Game Playing

M4 Knowledge Representation using Logics

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M7 Ethics in AI

Module 6:
Reasoning over time

Reasoning Over Time

A. Time and Uncertainty

B. Inference in temporal models

C. Overview of HMM

D. Learning HMM Parameters using EM Algorithm

E. Applications of HMM

Learning Objective

- Understand the relationship between Time & Uncertainty
- Recognize the transition model of Markov Model
- Relate to the application of the Hidden Markov Model

Sequential Decision Problems & Markov Decision Process

Markov Decision Process

Sequential Problem | Partial Observability | Belief System

Modelling sequences of random events and transitions between states over time is known as Markov chain

Agents in partially observable environment should keep a track of current state to the extent allowed by sensors

E.g., Robot moving in a new maze

Agent maintains a **belief state** representing the current possible world states

Transition Model / Probability Matrix :
Using belief state and transition model,
the agent can how the world might evolve in
next time step. To capture the degree of belief
we will use Probability Theory. We model the change in world
using a variable for each aspect of state and
at each point in time.
Current state depends only finite number of previous states.

	C	M
C	0.40	0.20
M	0.60	0.80

Markov Decision Process

Time - Uncertainty | States - Observations

Static World: Each random variable would have a single fixed value

E.g., Diagnosing a broken car

Dynamic World: The state information keeps changing with time

E.g., treating a diabetic patient, tracking the location of robot, tracking economic activity of a nation

Time slices: World is observed in time slices. Each slice has a set of random variables, some observable and some not.

Assumption: We will assume same subset of random variables are observable in each time slice

$\mathbf{E_t}$ - set of observable random variables at time t

$\mathbf{X_t}$ - set of unobserved random variables at time t

C	M	
0.40	0.20	C
0.60	0.80	M

Markov Process

States | Observations | Assumptions

Modelling sequences of random events and transitions between states over time is known as Markov chain

Transition Model / Probability Matrix :
Current state depends only finite number of previous states.

	C	M
C	0.40	0.20
M	0.60	0.80

Markov Model- Example 1

Transition Model

	C	M
C	0.40	0.20
M	0.60	0.80

Markov Model

Current State: Initial State Distribution

1	C
0	M

	C	M
C	0.40	0.20
M	0.60	0.80

Next State : Likely to buy Media accessories on next visit

0.40	C
0.60	M

Next State : Likely to buy Media accessories on next visit

0.28	C
0.72	M

Inference in temporal Models

Markov Model

Inference Type 1

	C	M
C	0.40	0.20
M	0.60	0.80

What is the probability that the purchasing behaviour of the customer is in below sequential order only?Initial Probability Matrix is P(C) = 1 , P(M) = 0
(Computer, Media, Media, Computer)

<u>Apply Bayes chain rule:</u>
P(Computer, Media, Media, Computer) = P(C) * P(M|C) * P(M|M) * P(C|M) = 0.096

Markov Model

Inference Type 2

	C	M
C	0.40	0.20
M	0.60	0.80

What is the probability that the customer who purchased Media accessories will keep coming back to purchase media accessories in the next 2 consecutive visits only?

<u>Derive Initial prob values & Apply Bayes chain rule on the pattern exhibited:</u>
Initial Probability Matrix is P(M) = 1 , P(C) = 0

Markov Model

Inference Type 2

	C	M
C	0.40	0.20
M	0.60	0.80

What is the probability that the customer who purchased Media accessories will keep coming back to purchase media accessories in the next 2 consecutive visits only?

<u>Derive Initial prob values & Apply Bayes chain rule on the pattern exhibited:</u>
Initial Probability Matrix is P(M) = 1 , P(C) = 0

P(Media, Media, Media, Computer) = P(M) * P(M|M) * P(M|M) * P(C|M) = 0.128

Markov Model

Inference Type 3

	C	M
C	0.40	0.20
M	0.60	0.80

Given the evidence that the customer walked into the store and bought a computer electronics, find the expected purchase pattern in the next 3 visits

<u>Derive Initial prob values & Apply Bayes chain rule and reverse predict the combination on the most likely pattern:</u>

Initial Probability Matrix is P(C) = 1 , P(M) = 0

Markov Model

Inference Type 3

	C	M
C	0.40	0.20
M	0.60	0.80

Given the evidence that the customer walked into the store and bought a computer electronics, find the expected purchase pattern in the next 3 visits

<u>Derive Initial prob values & Apply Bayes chain rule and reverse predict the combination on the most likely pattern:</u>

Initial Probability Matrix is P(C) = 1 , P(M) = 0

P(Computer , X, Y, Z) = P(Computer) * P(X|Computer) * P(Y|X) * P(Z|X) = 1*0.6*0.8*0.8 → Produces max values

Ans : Pattern = (Computer, Media, Media, Media)

Hidden Markov Process

States | Observations | Assumptions

Modelling sequences of random events and transitions between states over time is known as Morkov chain

Hidden Markov Process models events as the state sequences that are not directly observable but only be approximated from the sequence of observations produced by the system

Transition Model / Probability Matrix :
Current state depends only finite number of previous states. :

Evidence / Sensor Model/ Emission Probability Matrix :
Current Evidence or Observation depends Current State of the world. Given the Current State Knowledge of the world, observation doesn't depend on history:

Hidden Markov Model

States | Observations | Assumptions

Time Slice **(t)**	0	1	2	3	4	5	6	$P(O_t \mid O_{t-1})$
Observed Evidence **(O_t/ E_t)**	-	Late	OnTime	Late	Late	Ontime	Ontime	……
Unobserved State **(U_t /X_t/ Q_t)**	Meeting	No Meeting	No Meeting	Meeting	Meeting	No Meeting	No Meeting	……

Transition Model / Probability Matrix

$P(U_{t-1}$ **= No Meeting)**	$P(U_{t-1}$ **= Meeting)**	←Previous
0.5	0.67	$P(U_t$ **= No Meeting)**
0.5	0.33	$P(U_t$ **= Meeting)**

Evidence / Sensor Model/ Emission Probability Matrix

$P(U_t$ **= No Meeting)**	$P(U_t$ **= Meeting)**	←Unobserved Evidence v
0.9	0.3	$P(O_t$ **= OnTime)**
0.1	0.7	$P(O_t$ **= Late)**

Hidden Morkov Model

States | Observations | Assumptions

Time Slice (t)	0	1	2	3	4	.....	$P(O_t \mid O_{t-1}, O_{t-2})$
Observed Evidence (O_t)	-	Rainy	Sunny	Rainy	Rainy		
Unobserved State(U_t)	Low Pressure	High Pressure	High Pressure	Low Pressure	Low Pressure		

Transition Model / Probability Matrix

$P(U_{t-2} = LP, U_{t-1} = HP)$	$P(U_{t-2} = HP, U_{t-1} = HP)$	$P(U_{t-2} = HP, U_{t-1} = LP)$	$P(U_{t-2} = LP, U_{t-1} = LP)$	←Previous
0.2	0.40	0.85	0.5	$P(U_t = LP)$
0.8	0.60	0.15	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Markov Model

Filtering	Prediction	Smoothing	Most Likely Explanation
$P(L_3 \mid R\text{-}S\text{-}R\text{-}R)$ $P(X_t \mid E_{1\ldots t})$	$P(L_3 \mid R\text{-}S)$ $P(X_{t+k} \mid E_{1\ldots t})$	$P(H_2 \mid R\text{-}S\text{-}R\text{-}R)$ $P(X_{k,\ o>k>t} \mid E_{1\ldots t})$	$P(H\text{-}H\text{-}L\text{-}L \mid R\text{-}S\text{-}R\text{-}R)$ $\text{argmax}\ X_{1\ldots t} : P(X_{1\ldots t} \mid E_{1\ldots t})$

In your Text book another example for these inferences is explained "Task of predicting the weather condition by a security personnel sitting in an underground secret installation by observing the state of an employee who either umbrella or don't" Kindly check it and work it out as additional practice

Hidden Markov Model

Sequence Evaluation : Likely hood Computation : Forward Algorithm

Find the probability of occurrence of this weather sequence observation: **S-S-R**

Intuition: $P(E_{1\ldots t}) = \sum_{i=1}^{N} P(E_{1\ldots t} \mid X_{1\ldots t}) * P(X_{1\ldots t}) =$

$= \sum_{i=1}^{N} \prod_{j=1}^{t} P(Ej \mid Xj) * P(Xj \mid Xj_{-1})$

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

P(SSR)

$$= \sum_{X} P(SSR\ ,\ X) = \sum_{X} P(SSR\ ,\ X_1X_2X_3)$$

$$= \sum_{X} P(R, X_3, S, X_2, S, X_1) = \sum_{X} P(R \mid X_3) * P(X_3 \mid X_2) * P(S \mid X_2) * P(X_2 \mid X_1) * P(S \mid X_1) * P(X_1 \mid X_0)$$

$$= \sum_{X} P(R \mid X_3) * P(S \mid X_2) * P(S \mid X_1) * P(X_3 \mid X_2) * P(X_2 \mid X_1) * P(X_1 \mid X_0)$$

$$= \sum_{X} \prod_{j=1}^{t} P(Ej \mid X_j) * P(Xj \mid X_{j-1})$$

Hidden Markov Model

Forward Propagation Algorithm

Find the probability of occurrence of this whether sequence observation: **S-S-R**

<u>Initialization Phase:</u>

P(L)*P(S|L) = 0.5*0.2 = 0.1

P(H)*P(S|H) = 0.5*0.6 = 0.3

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Markov Model

Forward Propagation Algorithm

Find the probability of occurrence of this whether sequence observation: **S-S-R**

<u>Initialization Phase:</u>

P(L)*P(S|L) = 0.5*0.2 = 0.1 -> 0.25

P(H)*P(S|H) = 0.5*0.6 = 0.3 -> 0.75

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Markov Model

Forward Propagation Algorithm : S-S-R

P(L)*P(L|L)*P(S|L) = 0.25*0.5*0.2 = **0.025**

P(H)*P(L|H)*P(S|L) = 0.75*0.2*0.2 = **0.03**

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

P(L)*P(H|L)*P(S|H) = 0.25*0.5*0.6 = **0.075**

P(H)*P(H|H)*P(S|H) = 0.75*0.8*0.6 = **0.36**

Hidden Markov Model

Forward Propagation Algorithm : S-S-R

P(L)*P(L|L)*P(R|L) = 0.055*0.5*0.8 = **0.022**

P(H)*P(L|H)*P(R|L) = 0.435*0.2*0.8 = **0.0696**

P(L)*P(H|L)*P(R|H) = 0.055*0.5*0.4 = **0.011**

P(H)*P(H|H)*P(R|H) = 0.435*0.8*0.4 = **0.1392**

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Markov Model

Forward Propagation Algorithm : S- S-R

Termination Phase:

P(S-S-R) = 0.2418

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Required Reading: AIMA - Chapter #15.1, #15.2, #15.3

Thank You for all your Attention

Note : Some of the slides are adopted from AIMA TB materials

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M6: Reasoning over time

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Module 6:
Reasoning over time

Reasoning Over Time

A. Time and Uncertainty

B. Inference in temporal models

C. Overview of HMM

D. Learning HMM Parameters using EM Algorithm

E. Applications of HMM

Hidden Morkov Model

Filtering : Forward Propagation Algorithm

Find the Current Pressure if sequence of weather observations recorded are: **S-S-R**

Intuition: $P(E_{1...t}) = \sum_{i=1}^{N} P(E_{1...t} \mid X_{1...t}) * P(X_{1...t}) = \sum_{i=1}^{N} \prod_{j=1}^{t} P(Ej \mid Xj) * P(Xj \mid Xj_{-1})$

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Forward Propagation Algorithm

Pressure sequence observation: **S-S-R**

Initialization Phase:

P(L)*P(S|L) = 0.5*0.2 = 0.1 → 0.25

P(H)*P(S|H) = 0.5*0.6 = 0.3 → 0.75

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Forward Propagation Algorithm : S-S-R

P(L)*P(L|L)*P(S|L) = 0.25*0.5*0.2 = **0.025**

P(H)*P(L|H)*P(S|L) = 0.75*0.2*0.2 = **0.03**

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Recursion Phase:

Hidden Morkov Model

Forward Propagation Algorithm : S-S-R

P(L)*P(H|L)*P(S|H) = 0.25*0.5*0.6 = **0.075**

P(H)*P(H|H)*P(S|H) = 0.75*0.8*0.6 = **0.36**

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Forward Propagation Algorithm : S-S-R

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Forward Propagation Algorithm : S-S-R

P(L)*P(L|L)*P(R|L) = 0.1122*0.5*0.8 = **0.04488**

P(H)*P(L|H)*P(R|L) = 0.8878*0.2*0.8 = **0.142048**

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Forward Propagation Algorithm : S-S-R

P(L)*P(H|L)*P(R|H) = 0.1122*0.5*0.4 = **0.02244**

P(H)*P(H|H)*P(R|H) = 0.8878*0.8*0.4 = **0.284096**

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Forward Propagation Algorithm : S-S-R

Termination Phase:

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Forward Propagation Algorithm : S-S-R

Termination Phase:

(0.37881,**0.62119**)

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Most Likely Explanation : Veterbi Algorithm

Find the pattern in pressure that might have caused this observation: **S-S-R**

argmax $X_{1...t}$: $P(X_{1...t} \mid E_{1...t})$

MM Inf

P(L)*P(S|L) = 0.5*0.2 = 0.1 → 0.25

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

P(H)*P(S|H) = 0.5*0.6 = 0.3 → 0.75

Hidden Morkov Model

Veterbi Algorithm : S-S-R

P(L)*P(L|L)*P(S|L) = 0.25*0.5*0.2 = 0.025

P(H)*P(L|H)*P(S|L) = 0.75*0.2*0.2 = **0.03**

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Veterbi Algorithm : S-S-R

P(L)*P(H|L)*P(S|H) = 0.25*0.5*0.6 = 0.075

P(H)*P(H|H)*P(S|H) = 0.75*0.8*0.6 = **0.36**

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Veterbi Algorithm : S-S-R

P(L)*P(L|L)*P(R|L) = 0.03*0.5*0.8 = 0.012

P(H)*P(L|H)*P(R|L) = 0.36*0.2*0.8 = **0.0576**

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Veterbi Algorithm : S-S-R

P(L)*P(H|L)*P(R|H) = 0.03*0.5*0.4 = 0.006

P(H)*P(H|H)*P(R|H) = 0.36*0.8*0.4 = **0.1152**

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Veterbi Algorithm : S-S-R

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = \text{Rainy})$
0.2	0.6	$P(E_t = \text{Sunny})$

Hidden Morkov Model

Inference: Type -4

Smoothing : Backward Propagation Algorithm (Most Likely State Estimation)

Find the Pressure in past instance of time if sequence of following future weather observations recorded are: **S-S-R**

Intuition: $P(E_{1...t}) = \sum_{i=1}^{N} P(E_{1...t} \mid X_{1...t}) * P(X_{1...t}) = \sum_{i=1}^{N} \prod_{j=1}^{t} P(Ej \mid Xj) * P(Xj \mid Xj_{-1})$

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Inference: Type -4

Smoothing : Backward Propagation Algorithm

Find the Pressure in past instance of time if sequence of following future weather observations recorded are: **S-S-R**

Intuition: $P(Xt_{+1} | E_{1...t+1}) = \boldsymbol{\alpha}\, P(et_{+1} | Xt_{+1}) * \sum_{X_t} P(X_{t+1}|X_t) * \boldsymbol{P}(\mathbf{X_t} | \mathbf{E_{1..t}})$

$P(X_1 | SSR) = P(X_1 | S,S,R)$

$$= \frac{\boldsymbol{P}(\boldsymbol{SR} | \mathbf{X_1}\, \boldsymbol{S}) * P(X_1 | S)}{P(SR)}$$

$$= \frac{P(X_1 | S) * \{ \sum_{X_2} P(X_2|X_1) * \boldsymbol{P}(\mathrm{SR} | \mathbf{X_2}, \mathbf{X_1}) \}}{P(SR)}$$

$$= \frac{P(X_1 | S) * \{ \sum_{X_2} P(X_2|X_1) * \boldsymbol{P}(\mathrm{SR} | \mathbf{X_2}) \}}{P(SR)}$$

$$= \frac{P(X_1 | S) * \{ \sum_{X_2} P(X_2|X_1) * P(S | X_2) * \boldsymbol{P}(\mathrm{R} | \mathbf{X_2}) \}}{P(SR)}$$

$$= \frac{P(X_1 | S) * \{ \sum_{X_2} P(X_2|X_1) * P(S | X_2) * \{ \sum_{X_3} P(X_3|X_2) * P(R | X_3) * \boldsymbol{P}(. | \mathbf{X_3}) \} \}}{P(SR)}$$

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probab

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

$$P(Xt | E_{t+1,\, t+2\, ...\, z}) = \boldsymbol{\alpha} * \text{fwd msg} * \sum_{X_{t+1}} P(X_{t+1}|X_t) * P(e_{t+1}|X_{t+1}) * \boldsymbol{P}(\mathbf{E_{t+2..z}} | \mathbf{X_{t+1}})$$

Hidden Morkov Model

Backward Propagation Algorithm

Pressure sequence observation: **S-S-R**

<u>Initialization Phase:</u> Set value 1 for the terminal state

P(L|L)*P(R|L)*P(.|L) = 0.5*0.8 * 1= 0.40

P(H|L)*P(R|H)*P(.|H) = 0.5*0.4 *1= 0.2

P(L|H)*P(R|L)*P(.|L) = 0.2*0.8 * 1= 0.16

P(H|H)*P(R|H)*P(.|H) = 0.8*0.4 *1= 0.32

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Backward Propagation Algorithm : S-S-R

P(L|L)*P(S|L)*MSG(L`) = 0.5*0.2 * 0.60= 0.06

P(H|L)*P(S|H)*MSG(H`) = 0.5*0.6*0.48= 0.144

P(L|H)*P(S|L)*MSG(L`) = 0.2*0.2 * 0.6= 0.024

P(H|H)*P(S|H)*MSG(H`) = 0.8*0.6 *0.48= 0.2304

Recursion Phase:

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Backward Propagation Algorithm : S-S-R

Recursion Phase: If it continues if needed !!!!

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Backward Propagation Algorithm : S-S-R

P(L)*P(S|L)*MSG(L`) = 0.5*0.2 * 0.204= 0.0204

P(H)*P(S|H)*MSG(H`) = 0.5*0.6 * 0.2544= 0.07632

Termination Phase: (0.2109,0.7891)

Normalize :Initial value * Emission at start* backMsg

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Hidden Morkov Model

Forward Backward Propagation Algorithm : S-S-R

P(X2 | SSR) = α * P(X2|SS) *P(R|X2)

P(X2 | SSR) = α * (0.1122 , 0.8878)* (0.6, 0.48) = (0.06732, 0.426144) = (0.14,0.86)

Termination Phase: X2 = ??? → **X2 = H**

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability Matrix

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Forward Path Probability

$\alpha_t(j) = \alpha_{t-1}(i)\, a_{i,j}\, b_j(o_t)$

i

$P(O_{1..t} \mid \lambda)$

Backward Path Probability

$\beta_t(i) = \sum_j \beta_{t+1}(j)\, a_{i,j}\, b_j(o_{t+1})$

$P(O_{t+1,\, t+2..t+k} \mid \lambda)$

$\gamma_t(i) = P(X_t \mid O_{1\ldots t\ \ldots t+1,\, t+2..t+k} \mid \lambda)$: Forward - Backward Algorithm

```
function FORWARD-BACKWARD(ev, prior) returns a vector of probability distributions
  inputs: ev, a vector of evidence values for steps 1, ..., t
          prior, the prior distribution on the initial state, P(X_0)
  local variables: fv, a vector of forward messages for steps 0, ..., t
                   b, a representation of the backward message, initially all 1s
                   sv, a vector of smoothed estimates for steps 1, ..., t

  fv[0] ← prior
  for i = 1 to t do
     fv[i] ← FORWARD(fv[i − 1], ev[i])
  for i = t downto 1 do
     sv[i] ← NORMALIZE(fv[i] × b)
     b ← BACKWARD(b, ev[i])
  return sv
```

Figure 15.4 The forward–backward algorithm for smoothing: computing posterior probabilities of a sequence of states given a sequence of observations. The FORWARD and BACKWARD operators are defined by Equations (15.5) and (15.9), respectively.

Text & Natural Language Processing

HMM Application

Initial	Prob
N	0.67
D	0.33
V	0
J	0
A	0
P	0

	N	D	V	J	A	P
N		01675		0.67		1
D			0.571			
V	0.63	0.1675	0.143			
J		0.33	0.143			
A						
P		0.1675				
E	0.37	0.1675	0.143	0.33		

Given the corpus with tags to build training data:

1. Create initial probability matrix.
2. Transition probability matrix
3. Emission probability matrix
4. Use HMM Veterbi algorithm to predict the sequence of PoS Tags for given test data / sentence.

In the HMM model , the PoS tags act as the hidden states and the word in the given test sentence as the observed states.

- Boys are taller.
  N V J
- This is the tree.
  D V D N
- She is a tall girl.
  N V D J N
- Trees are more.
  N V D
- Girls are more than boys.
  N V D P N
- The tall tree is falling.
  D J N V V

Initi	
N	0.67
D	0.33
V	0
J	0
A	0
P	0

N	D	V	J	A	P	
	0.1675		0.67		1	N
		0.571				
0.63	0.1675	0.143				
	0.33	0.143				
	0.1675					
0.37	0.1675	0.143				
		0.33				

- Boys are taller.
  N V J
- This is the tree.
  D V D N
- She is a tall girl.
  N V D J N
- Trees are more.
  N V D
- Girls are more than boys.
  N V D P N
- The tall tree is falling.
  D J N V V

N	D	V	J	A	P	
0.25						Boys
		0.43				Are
			1			Tall
	0.17					This
		0.43				Is
	0.33					The
0.375						Tree
0.125						She
	0.17					A
0.25						Girl
	0.33					More
					1	Than
		0.14				fall

Initi	
N	0.67
D	0.33
V	0
J	0
A	0
P	0

N	D	V	J	A	P	
	0.1675		0.67		1	N
		0.571				
0.63	0.1675	0.143				
	0.33	0.143				
	0.1675					
0.37	0.1675	0.143				
		0.33				

N	D	V	J	A	P	
0.25						Boys
		0.43				Are
			1			Tall
	0.17					This
		0.43				Is
	0.33					The
0.375						Tree
0.125						She
	0.17					A
0.25						Girl
	0.33					More
					1	Than
		0.14				fall

Exercise :

For the below test data/sentence, using the tables constructed using training data, predict the PoS tags.

"Girls are falling"

Initi	
N	0.67
D	0.33
V	0
J	0
A	0
P	0

"Girls are falling"

P(Girls , Noun) = P(Girl | Noun) * P(Noun | StartState) = 0.25 * 0.67 = **0.1675**

P(Girls , Verb) = P(Girl | Verb) * P(Verb | StartState) = 0 * 0 = 0 (Ideally with better corpus and the KB , for most cases it might not be 0 but too low like 0.00000000000…..001.)

P(Girls , Determiner) = P(Girls , Adverb) = P(Girls , Adjective) =P(Girls , Preposition/Conjunction) = 0

StartState → Noun

Initi	
N	0.67
D	0.33
V	0
J	0
A	0
P	0

"Girls are falling"

If Sequence is StartState →Noun

P(are , Verb)

= P(are| Verb) * P(Verb | Noun) * **P(Girls | Noun)** * **P(Noun | StartState)**

= 0.43 * 0.63 * **0.1675**

= 0.04537

P(are, Noun) = P(are| Noun) * P(Noun | Noun) * ~~**N**~~**oun** = 0 * 0 = 0

P(are, Determiner) = P(are, Adverb) = P(are , Adjective) =P(are , Preposition/Conjunction) = 0

StartState→ Noun→ Verb

If Sequence is StartState →Verb

P(are , Verb)

= P(are| Verb) * P(Verb | Verb) * **P(Girls | Verb)** *

P(Verb | StartState)

= 0.43 * 0.143 * **0 = 0**

P(are, Noun) = P(are| Noun) * P(Noun | Noun) * **Verb**= 0 * 0 = 0

P(are, Determiner) = P(are, Adverb) = P(are , Adjective) =P(are , Preposition/Conjunction) = 0

Initi	
N	0.67
D	0.33
V	0
J	0
A	0
P	0

"Girls are falling"

Answer : PoSTag :

StartState → Noun→ Verb →Verb

If Sequence is StartState→ Noun →Verb

P(falling, Verb)

= P(falling|Verb)*P(Verb|Verb)***P(are| Verb)** * **P(Verb | Noun)** * **P(Girls | Noun)** * **P(Noun | StartState)**

= 0.14 * 0.143 * **0.04537**

= 0.000908

P(are, Noun) = P(are| Noun) * P(Noun | Noun) * **Noun** = 0 * 0 = 0

P(are, Determiner) = P(are, Adverb) = P(are , Adjective) =P(are , Preposition/Conjunction) = 0

If Sequence is StartState→ Verb ->Adjective

P(falling , Verb)

= P(falling | Verb) * P(Verb | Verb) * P(are| Adjective) * P(Adjective | Verb) * P(Girls | Verb)

* P(Verb | StartState)

= 0.14 * 0.143 * **0 = 0**

P(falling, Noun) = P(falling| Noun) * P(Noun | Adjective) * **Verb**= 0 * 0 = 0

P(falling, Determiner) = P(falling, Adverb) = P(falling , Adjective) =P(falling , Preposition/Conjunction) = 0

Sample Sequence under Test: Start -> Noun -> Verb -> … … … .

Assume Noun -> Verb is the maximum Value

Learning HMM Parameters

Parameter Estimation by EM Algorithm

(Baum-Welch re-estimation procedure)

Parameter Estimation

Learning Approach

Baum-Welch re-estimation procedure: Backward Propagation Algorithm

Given an observation sequence O(Evidence) and the set of possible states in the HMM, learn the HMM parameters A(Transition) and B(Emission).

Given set of weather observations recorded estimate the PARAMETERS:

{SS, SR, RR}

	HH	HL	LH	LL
SS	**(0.5).(0.6).(0.8)(0.6) = 0.1440**	0.0120	0.03	0.01
SR	**0.0960**	0.048	0.02	0.04
RR	0.064	0.032	0.12	**0.16**
Total	0.304	0.092	0.17	0.21

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probabi

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Parameter Estimation

Learning Approach

Baum-Welch re-estimation procedure: Backward Propagation Algorithm

Given an observation sequence O(Evidence) and the set of possible states in the HMM, learn the HMM parameters A(Transition) and B(Emission).

Given set of weather observations recorded estimate the PARAMETERS:

{SS, SR, RR}

	HH	HL	LH	LL	Best Seq	P(Best)
SS	**0.1440**	0.0120	0.03	0.01	HH	0.144
SR	**0.0960**	0.048	0.02	0.04	HH	0.096
RR	0.064	0.032	0.12	**0.16**	LL	0.16
Total	0.304	0.092	0.17	0.21		0.4
Normalize	0.76	0.23	0.425	0.525		

HP	LP	
0.232323232	0.5526316	LP
0.767676768	0.4473684	HP

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probabi

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Parameter Estimation

Learning Approach

Baum-Welch re-estimation procedure: Backward Propagation Algorithm

Given an observation sequence O(Evidence) and the set of possible states in the HMM, learn the HMM parameters A(Transition) and B(Emission).

Find set of weather observations recorded estimate the parameters:

{SS, SR, RR}

After this step for the second iteration
Use the optimized tables
(Initial, Transition , Emission)
and repeat the algorithm till convergence

	Start(H)	Start(L)	Best Seq	P(Best)
SS	**0.1440**	0.03	HH	0.144
SR	0.0960	0.04	HH	0.096
RR	0.064	0.16	LL	0.16
	0.304	0.23		
Normalize	0.76	0.575		

HP	LP
0.56929	0.4307

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probability

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

Parameter Estimation

Learning Approach

Baum-Welch re-estimation procedure: Backward Propagation Algorithm

Given an observation sequence O(Evidence) and the set of possible states in the HMM, learn the HMM parameters A(Transition) and B(Emission).

Find set of weather observations recorded estimate the parameters:

{SS, SR, RR}

	H→S	L→S	H→R	L→R	Best Seq	P(Seq)
SS	**0.1440**	0.01			HH	0.144
SR	0.0960	0.04	0.096	0.048	HH	0.096
RR			0.064	0.0320	LL	0.16
Total	0.24	0.05	0.16	0.08		
Normalize	0.6	0.125	0.4	0.2		

LP	HP	
0.615384615	0.4	R
0.384615385	0.6	S

Transition Model / Probability Matrix

$P(U_{t-1} = HP)$	$P(U_{t-1} = LP)$	←Previous
0.2	0.5	$P(U_t = LP)$
0.8	0.5	$P(U_t = HP)$

Evidence / Sensor Model/ Emission Probabi

$P(X_t = LP)$	$P(X_t = HP)$	←Unobserved Evidence v
0.8	0.4	$P(E_t = Rainy)$
0.2	0.6	$P(E_t = Sunny)$

HMM in Prevention of Network Security Threat

(Interesting Case Studies)

Hidden Morkov Model

Cyber Security

FIGURE 1. **Typical stages of APT attack.**

Source Credit : 2021 : Hidden Markov Model and Cyber Deception for the Prevention of Adversarial Lateral Movement

Hidden Morkov Model

Cyber Security

Source Credit : 2021 : Hidden Markov Model and Cyber Deception for the Prevention of Adversarial Lateral Movement

Hidden Morkov Model

Cyber Security

FIGURE 9. **Experimental network topology.**

Source Credit : [2021 : Hidden Markov Model and Cyber Deception for the Prevention of Adversarial Lateral Movement]

Hidden Morkov Model

Cyber Security

FIGURE 10. **Attack graph of the experimental network.**

Attack states description.

State	Description
S_1	Initial State
S_2	(H_1,root)
S_3	(H_2,root)
S_4	(H_3,user)
S_5	(H_3,root)
S_6	(H_4,user)
S_7	(H_5,root)

Source Credit : 2021 : Hidden Markov Model and Cyber Deception for the Prevention of Adversarial Lateral Movement

Hidden Morkov Model

TABLE 6. **Possible attack paths.**

Path Number	Attack Path
1	$S_1 \rightarrow S_2 \rightarrow S_6 \rightarrow S_7$
2	$S_1 \rightarrow S_2 \rightarrow S_3 \rightarrow S_4 \rightarrow S_7$
3	$S_1 \rightarrow S_2 \rightarrow S_3 \rightarrow S_6 \rightarrow S_7$
4	$S_1 \rightarrow S_2 \rightarrow S_3 \rightarrow S_4 \rightarrow S_5 \rightarrow S_6 \rightarrow S_7$
5	$S_1 \rightarrow S_2 \rightarrow S_3 \rightarrow S_5 \rightarrow S_6 \rightarrow S_7$
6	$S_1 \rightarrow S_2 \rightarrow S_4 \rightarrow S_6 \rightarrow S_7$
7	$S_1 \rightarrow S_2 \rightarrow S_4 \rightarrow S_3 \rightarrow S_6 \rightarrow S_7$
8	$S_1 \rightarrow S_2 \rightarrow S_4 \rightarrow S_5 \rightarrow S_3 \rightarrow S_6 \rightarrow S_7$
9	$S_1 \rightarrow S_2 \rightarrow S_4 \rightarrow S_5 \rightarrow S_6 \rightarrow S_7$

Attack states description.

State	Description
S_1	Initial State
S_2	(H_1,root)
S_3	(H_2,root)
S_4	(H_3,user)
S_5	(H_3,root)
S_6	(H_4,user)
S_7	(H_5,root)

Source Credit : 2021 : Hidden Markov Model and Cyber Deception for the Prevention of Adversarial Lateral Movement

Required Reading: AIMA - Chapter #15.1, #15.2, #15.3, # 20.3

Thank You for all your Attention

Note : Some of the slides are adopted from AIMA TB materials