Data Mining:: Unit-3

(Classification – Decision Trees)

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Decision Trees

- A flow-chart-like inverted tree structure
- Consists of the following:
 - Root node
 - Internal nodes
 - Branches
 - Leaf nodes



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Anatomy of a Decision Tree

- The root node is the beginning of the decision tree
- Each internal node has an associated splitting predicate
 - Internal nodes denote a test on an attribute
- Branches represent the outcome of a test
- Leaf nodes represent class labels
 - A node in a decision tree without children is called a leaf node

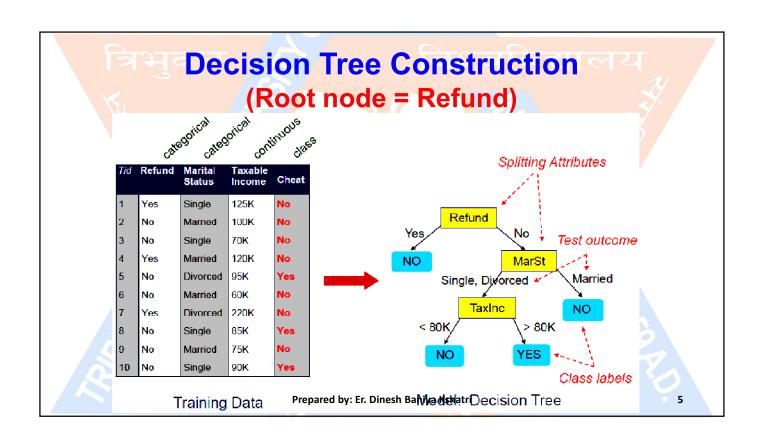
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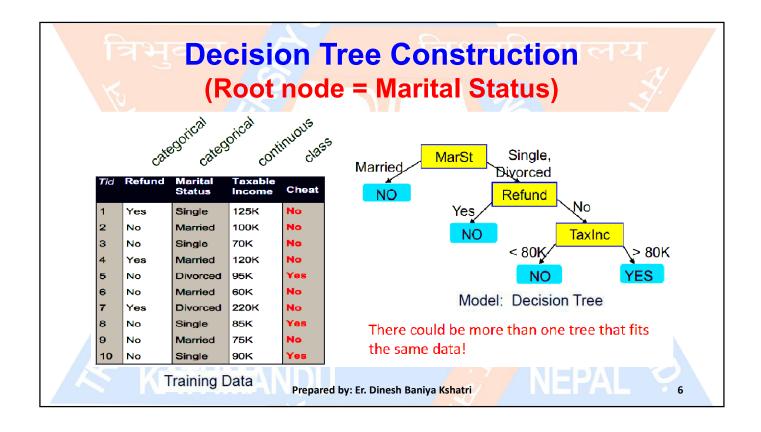
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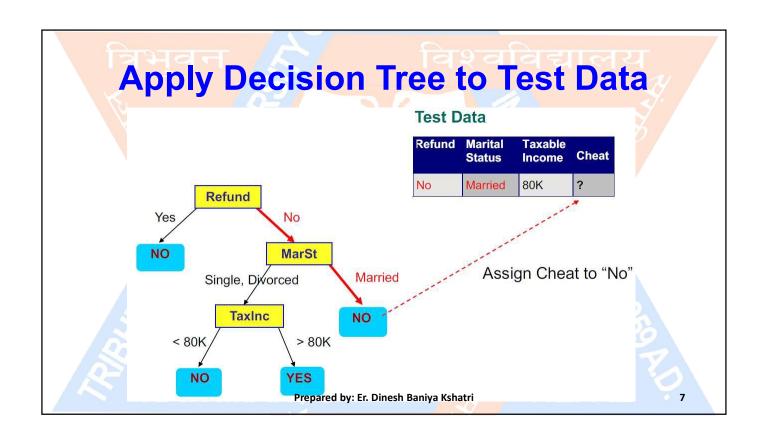
Decision Tree Generation

- Tree Construction
 - Follows the top-down construction schema
 - Examine training data and find best splitting predicate for the root node
 - Partition training data
 - Recursively partition on each child node based on selected attributes
- Tree Pruning
 - Identify and remove branches that reflect noise or outliers

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Decision Tree Induction

- Goal: Find the tree that has the lowest classification error in the training data (training error)
 - Finding the best decision tree (lowest training error) is NP-hard
- In practice: Use Greedy Algorithms
 - Grow a decision tree by making a series of locally optimum decisions on which attributes to use for partitioning the data
 - Hunt's Algorithm (earliest)
 - ID3 (Iterative Dichotomiser 3), CART (Classification and Regression Tree), C4.5, SLIQ (Supervised Learning In Quest), SPRINT (Scalable PaRallelizable INduction of decision Trees)

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General Construction Process – [1] (Decision Trees)

- The basic algorithm for decision tree construction
 is a greedy algorithm that constructs decision trees in a
 top-down recursive divide-and-conquer manner
- Given a training set D of classification data, i.e.
 a data table with a distinguished class attribute
- This training set is recursively partitioned into smaller subsets (data tables) as the tree is being built

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General Construction Process – [2] (Decision Trees)

- Tree STARTS as a single node (root) representing all training dataset D (samples)
- We choose a root attribute from D
 It is called a SPLIT attribute
- A branch is created for each value as defined in D of the node attribute and is labeled by its values and the samples (it means the data table) are partitioned accordingly
- The algorithm uses the same process recursively to form a decision tree at each partition
- Once an attribute has occurred at a node, it need not be considered in any other of the made is the meand as the interest of the manufacture.

Constructing Decision Trees (Hunt's Algorithm) – [1]

- X_t: Set of training records that reach a node (t)
- Y = {y₁, y_c}: Class labels
- Step 1: If all records in (X_t) belong to the same class (y_t), then
 (t) is a leaf node labeled as (y_t)
- Step 2: If (X_t) contains records with the same attribute values, then (t) is a leaf node labeled with the majority class (y_t)

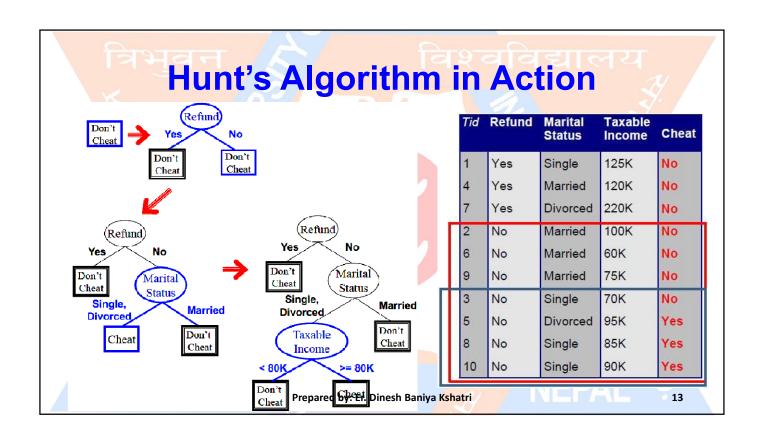
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Constructing Decision Trees (Hunt's Algorithm) – [2]

- Step 3: If (X_t) is an empty set, then (t) is a leaf node labeled by the default class (y_d)
- Step 4: If (X_t) contains records that belong to more than one class:
 - Select attribute test condition to partition the records into smaller subsets
 - Create a child node for each outcome of test condition
 - Apply algorithm recursively for each child

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Design Issues

- Determine how to classify a leaf node:
 - Assign the majority class
 - If leaf is empty, assign the default class the class that has the highest popularity (overall or in the parent node)
- Determine how to split the records:
 - How to specify the attribute test condition?
 - How to determine the best spilt?
- Determine when to stop splitting

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How to Specify Attribute Test Condition?

- Depends on attribute types
 - Categorical vs. Numeric
 - Categorical attribute (Nominal, Ordinal)
 - Numeric attribute: (Interval, Ratio)
 - Discrete vs. Continuous
- Depends on number of ways to split
 - Two-way split
 - Multi-way split

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Splitting Based on Nominal Attributes

 Multi-way split: Use as many partitions as distinct values.

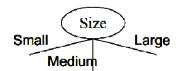


Binary split: Divides values into two subsets.
 Need to find optimal partitioning.

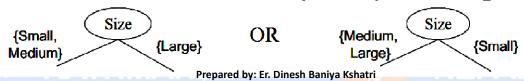


Splitting Based on Ordinal Attributes

 Multi-way split: Use as many partitions as distinct values.



Binary split: Divides values into two subsets.
 Need to find optimal partitioning.



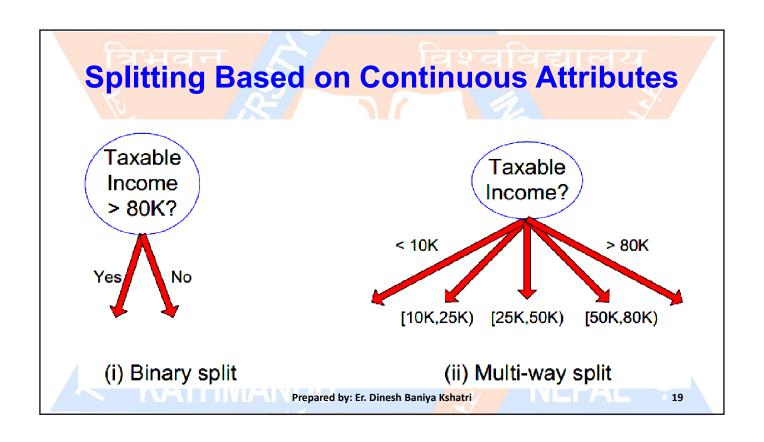
Splitting Based on Continuous Attributes

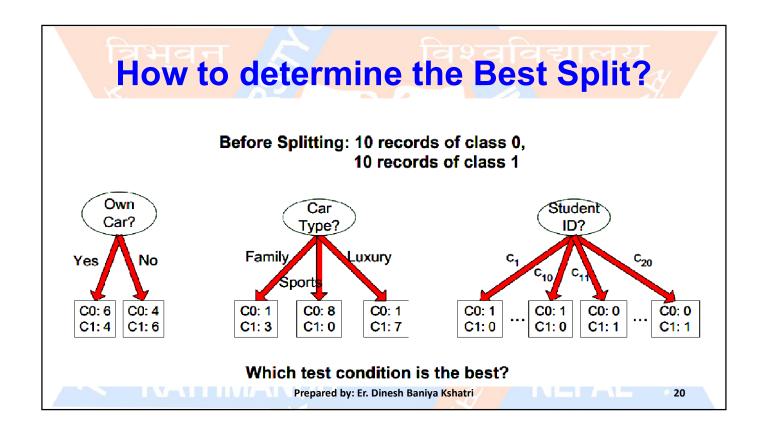
- Different ways of handling
 - Discretization to form an ordinal categorical attribute
 - Static discretize once at the beginning
 - Dynamic ranges can be found by equal interval bucketing, equal frequency bucketing (percentiles), or clustering.
 - Binary Decision: (A < v) or (A ≥ v)
 - consider all possible splits and finds the best cut
 - can be more computationally intensive
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Determining Best Split

- Crucial Point of Decision Tree Creation
 - Good choice of the root attribute and internal nodes attributes is vital
 - Bad choice may result, in the worst case, in just another knowledge representation:
 - A relational table rewritten as a tree with class attributes as the leaves

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Measures of Node Impurity (Determining Best Split)

- Gini Index
- Entropy
- Misclassification error

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Measure of Impurity: Gini Index

Gini Index for a given node t :

$$GINI(t) = 1 - \sum_{j} [p(j \mid t)]^{2}$$

(NOTE: p(j | t) is the relative frequency of class j at node t).

- Maximum (1 1/n_c) when records are equally distributed among all classes, implying least interesting information
- Minimum (0.0) when all records belong to one class, implying most interesting information

C1	0
C2	6
Gini=	0.000

C1	1		C1	2		C1	3
C2	5		C2	4		C2	3
Gini=	0.27 ₽re	par	ed Giyvi €ı	OØi44 sh	Ban	iya Gitein a	Qi500

Splitting Based on Gini Index

- Used in CART, SLIQ, SPRINT.
- When a node p is split into k partitions (children), the quality of split is computed as,

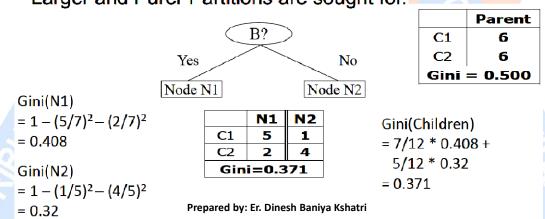
$$GINI_{split} = \sum_{i=1}^{k} \frac{n_i}{n} GINI(i)$$

where, n_i = number of records at child i, n_i = number of records at node p.

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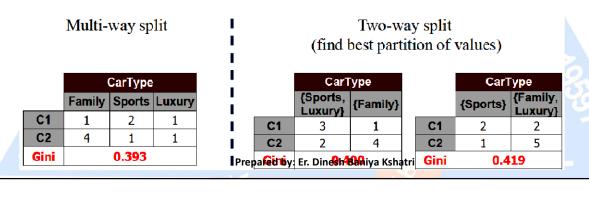
Binary Attributes (Computing Gini Index)

- Splits into two partitions
- Effect of Weighing partitions:
 - Larger and Purer Partitions are sought for.



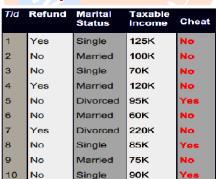
Categorical Attributes (Computing Gini Index)

- For binary values split in two
- For multivalued attributes, for each distinct value, gather counts for each class in the dataset
 - Use the count matrix to make decisions



Continuous Attributes – [1] (Computing Gini Index)

- Use Binary Decisions based on one value
- Several Choices for the splitting value
 - Number of possible splitting values
 Number of distinct values
- Each splitting value has a count matrix associated with it
 - Class counts in each of the partitions, A < v and A ≥ v
- Simple method to choose best v
 - o For each v, scan the database to gather count matrix and compute its Gini index
 - o Computationally Inefficient! Repetition of work.
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Continuous Attributes – [2] (Computing Gini Index)

- For efficient computation: for each attribute,
 - Sort the attribute on values
 - Linearly scan these values, each time updating the count matrix and computing gini index
 - Choose the split position that has the least gini index



Alternative Splitting Criteria (Based on Information Gain) – [1]

Entropy at a given node t:

$$Entropy(t) = -\sum_{j} p(j \mid t) \log p(j \mid t)$$

(NOTE: p(j | t) is the relative frequency of class j at node t).

- Measures homogeneity of a node.
 - ◆Maximum (log n_c) when records are equally distributed among all classes implying least information
 - Minimum (0.0) when all records belong to one class, implying most information
- Entropy based computations are similar to the GINI index computations
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Why is $(0)(log_20) = 0$? (Side Note)

Making use of L'Hospital's Rule:

$$\lim_{x \to 0} x \log_2(x) = \lim_{x \to 0} \frac{\frac{\ln(x)}{\ln(2)}}{x^{-1}} = \lim_{x \to 0} \frac{\frac{x^{-1}}{\ln(2)}}{-x^{-2}} = \lim_{x \to 0} \frac{-x}{\ln(2)} = 0$$

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Alternative Splitting Criteria – [1] (Based on Information Gain) – [2]

Information Gain:

$$GAIN_{split} = Entropy(p) - \left(\sum_{i=1}^{k} \frac{n_i}{n} Entropy(i)\right)$$

Parent Node, p is split into k partitions; n_i is number of records in partition i

- Measures Reduction in Entropy achieved because of the split. Choose the split that achieves most reduction (maximizes GAIN)
- Used in ID3 and C4.5
- Disadvantage: Tends to prefer splits that result in large number of partitions, each being small but pure.

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Alternative Splitting Criteria (Based on Information Gain) – [3]

Gain Ratio:

$$GainRATIO_{split} = \frac{GAIN_{split}}{SplitINFO} SplitINFO = -\sum_{i=1}^{k} \frac{n_{i}}{n} \log \frac{n_{i}}{n}$$

Parent Node, p is split into k partitions n_i is the number of records in partition i

- Adjusts Information Gain by the entropy of the partitioning (SplitINFO). Higher entropy partitioning (large number of small partitions) is penalized!
- Used in C4.5
- Designed to overcome the disadvantage of Information
 Gain
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Alternative Splitting Criteria (Based on Classification Error)

Classification error at a node t :

$$Error(t) = 1 - \max_{i} P(i \mid t)$$

- Measures misclassification error made by a node.
 - ◆ Maximum (1 1/n_c) when records are equally distributed among all classes, implying least interesting information
 - Minimum (0.0) when all records belong to one class, implying most interesting information

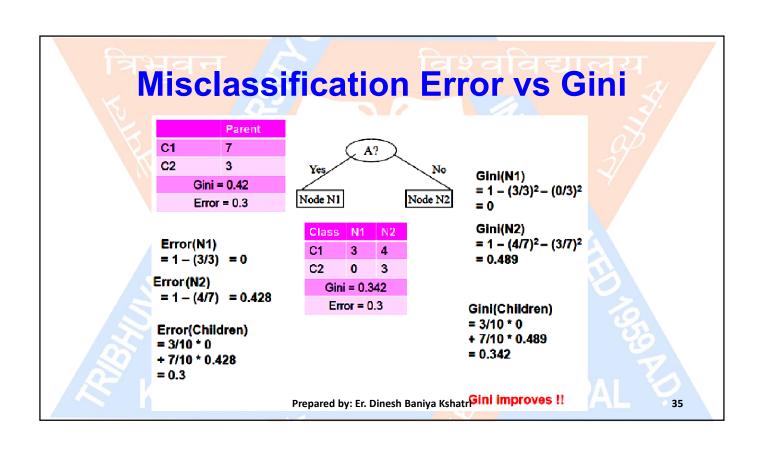
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Impurity Measures (Common Ground)

- All the impurity measures take value zero (minimum)
 - For the case of a pure node where a single value has probability one
- All the impurity measures take maximum value
 - When the class distribution in a node is uniform

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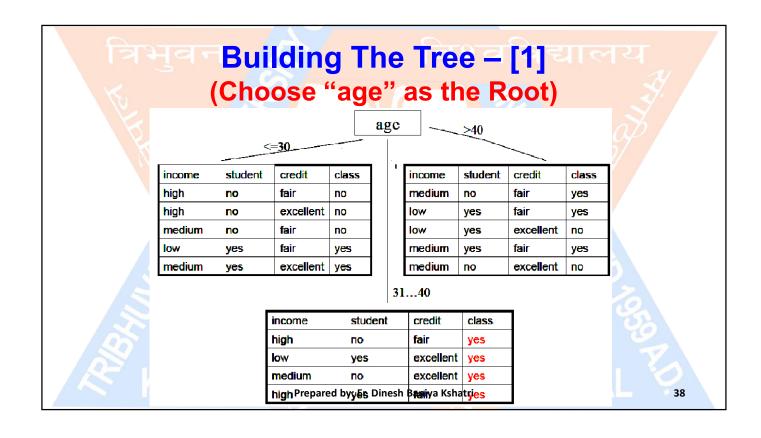


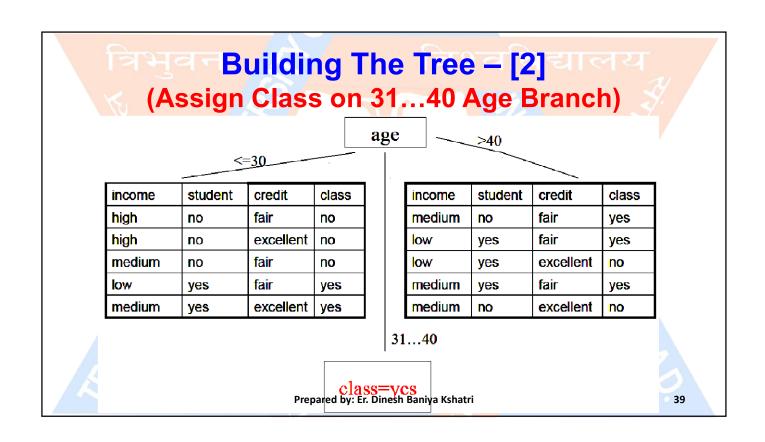
Stopping Criteria for Tree Induction

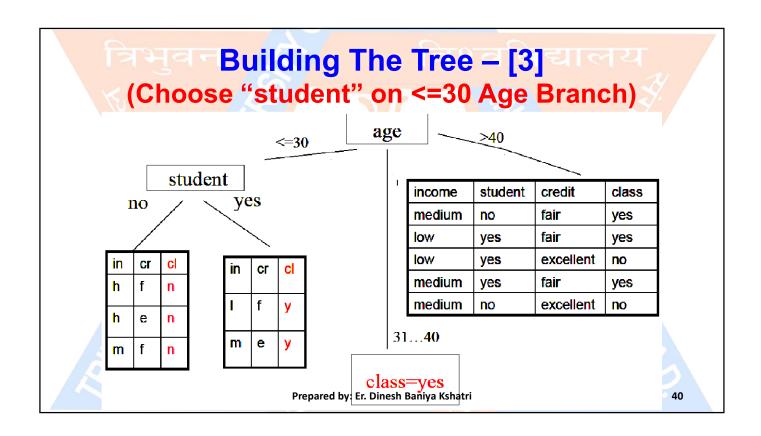
- Stop expanding a node when all the records belong to the same class
- Stop expanding a node when all the records have similar attribute values
 - o What to do? majority voting
- o Early termination, e.g., when the information gain is below a threshold.

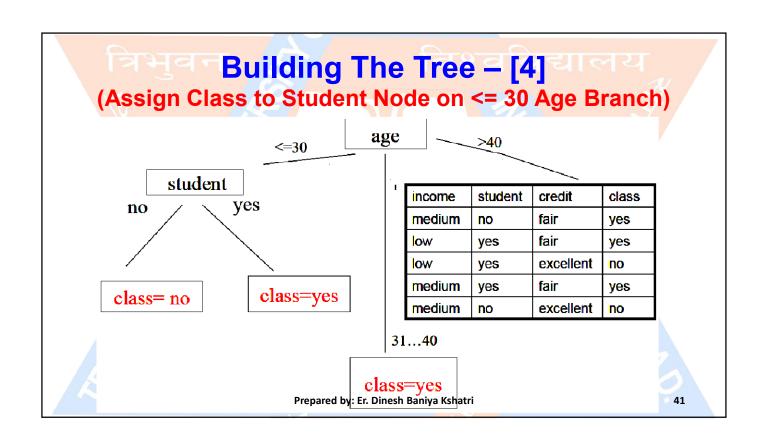
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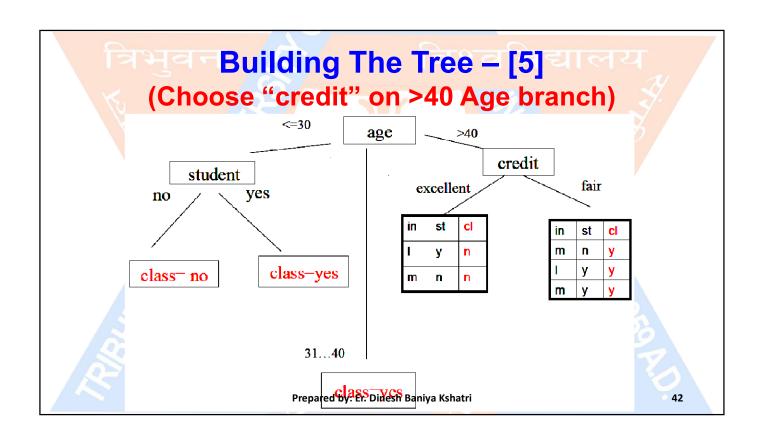
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rec	Age	Income	Student	Credit_rating	Buys_computer(CLASS)	8
r1	<=30	High	No	Fair	No	P
r2	<=30	High	No	Excellent	No	
r3	3140	High	No	Fair	Yes	
r4	>40	Medium	No	Fair	Yes	1
r5	>40	Low	Yes	Fair	Yes	1
r6	>40	Low	Yes	Excellent	No	
r7	3140	Low	Yes	Excellent	Yes	
r8	<=30	Medium	No	Fair	No	
r9	<=30	Low	Yes	Fair	Yes	
r10	>40	Medium	Yes	Fair	Yes	2
r11	<=30	Medium	Yes	Excellent	Yes	10
г12	3140	Medium	No	Excellent	Yes	7
r13	3140	High	Yes	Fair	Yes	
r14	>40	Medium Prepa	ared by: Er. Dir	Excellent nesh Baniya Kshatri	No	37

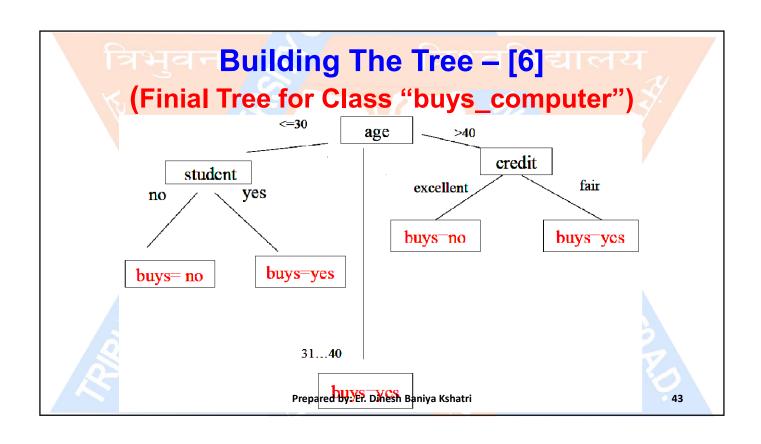












Classification Rule Extraction from Trees

- Goal: Represent the knowledge in the form of IF-THEN rules
- One rule is created for each path from the root to a leaf
- The leaf node holds the class prediction

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Classification Rule Extraction – Example

Attribute Selection Measures

- Construction of the tree depends on the order in which root attributes are selected
 - Different choices produce different trees; some better, some worse
- Shallower trees are better; they are the ones in which classification is reached in fewer levels
 - These trees are said to be more efficient and hence termination is reached quickly

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Attribute Selection: Information Gain

- Class P: buys_computer =

"yes"

Class N: buys_computer = "no"

$$Info_{age}(D) = \frac{5}{14}I(2,3) + \frac{4}{14}I(4,0)$$

$$Info(D) = I(9,5) = -\frac{9}{14}\log_2(\frac{9}{14}) - \frac{5}{14}\log_2(\frac{5}{14}) = 0.940 \\ + \frac{5}{14}I(3,2) = 0.694$$

$$+\frac{5}{14}I(3,2) = 0.694$$

age	p _i	n _i	l(p _i , n _i)
<=30	2	3	0.971
3140	4	0	0
>40	3	2	0.971

$\frac{5}{11}I(2,3)$	means "age <=30" has 5	
14 out	of 14 samples, with 2	
yes	es and <mark>3 no</mark> 's. Hence	

excellent excellent fair

medium

 $Gain(age) = Info(D) - Info_{age}(D) = 0.246$

Similarly,

The attribute "age" becomes the root.

Gain(income) = 0.027

Gain(student) = 0.151

 $Gain(credit\ rating) = 0.048$

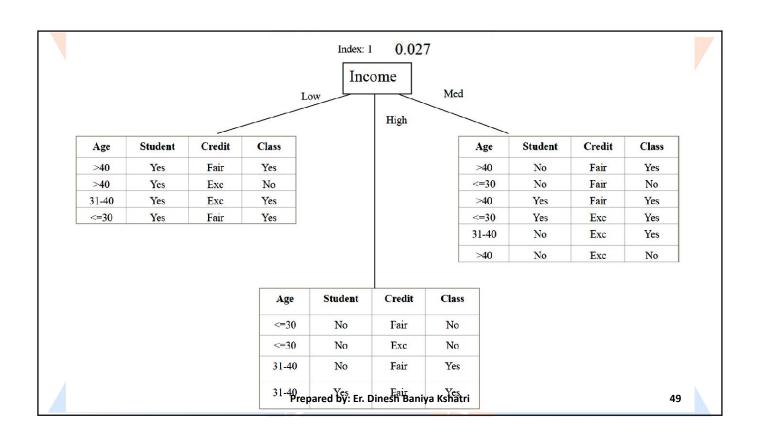
yesPrepared by: Er. Dinesh Baniya Kshatri

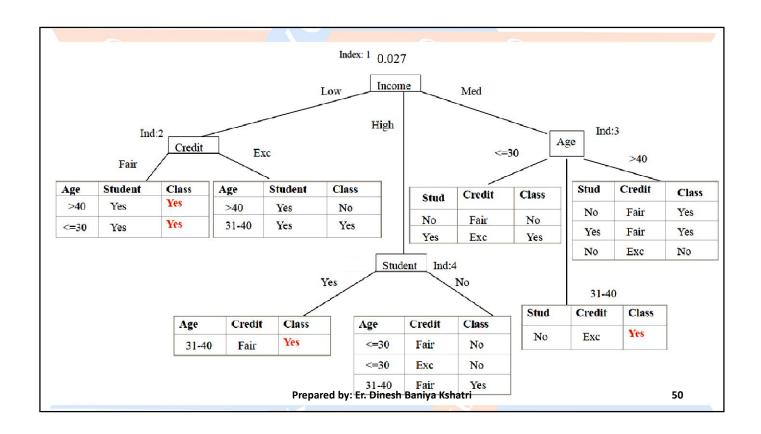
Decision Tree Construction (Class Work)

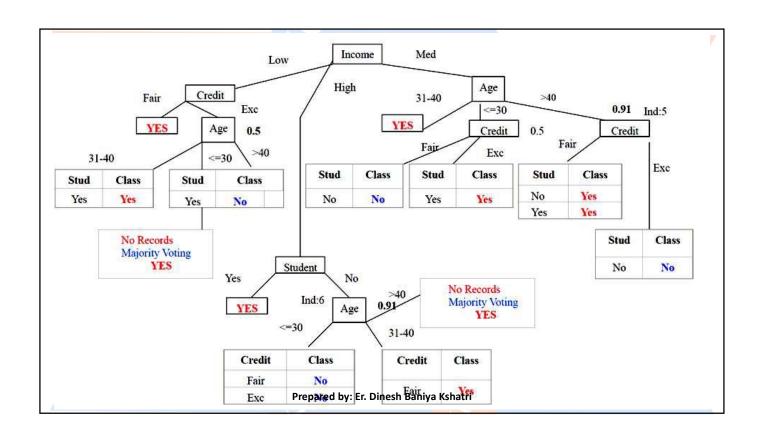
- Choose the feature "buys_computer" as the class attribute
- Perform DT algorithm "by hand" using "Income" as the root attribute
- Use the ID3 algorithm (i.e. use entropy and information gain as the attribute selector)

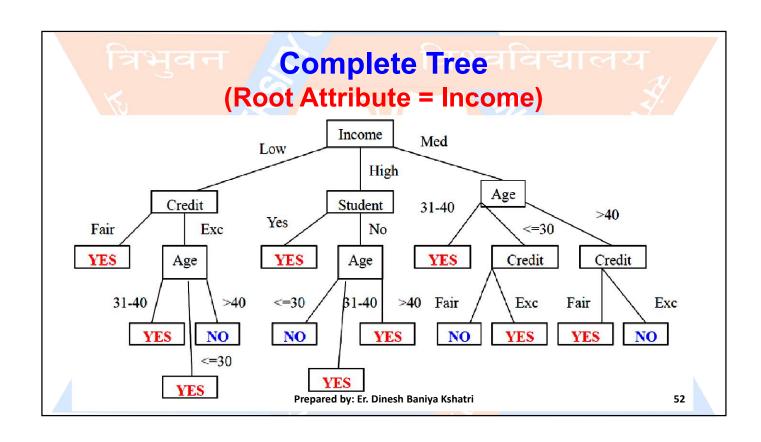
age	income	student	credit_rating	buys_computer
<=30	high	no	fair	no
<=30	high	no	excellent	no
3140	high	по	fair	yes
>40	medium	no	fair	yes
>40	low	yes	fair	yes
>40	low	yes	excellent	no
3140	low	yes	excellent	yes
<=30	medium	no	fair	no
<=30	low	yes	fair	yes
>40	medium	yes	fair	yes
<=30	medium	yes	excellent	yes
3140	medium	no	excellent	yes
3140	high	yes	fair	yes
>40	medium	no	excellent	no

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Information Gain at Each Tree Level (Root Attribute = Income)

1. Original Table:

Class P: buys computer = yes; Class N: buys computer = No

I(P,N) = -P/P+N log : (P/P+N) - N/P+N log: N/P+N-----(equation 1) I(P,N) = I(9,5) = (-9/9+5) log : (9/9+5) - (5/9+5) log: (5/9+5) = 0.940

2. <u>Index:1</u>

Income	Pi	Ni	I(Pi,Ni)
Low	3	1	0.8111
Med	4	2	0.9234
High	2	2	1

Substituting the values in eq.2 we get, E(Income) = 0.2317 + 0.3957 + 0.2857 = 0.9131Gain (Income) = I(P,N) - E(Income)= 0.940 - 0.9131 = 0.027

E(Income) = 4/14 I(3,1) + 6/14 I(4,2) + 4/14 I(2,2) - (eq.2)

I(3,1) = 0.8111 (Using equation 1)

I(4,2) = 0.9234 (Using equation 1)

I(2,2) = 1

Similarly we can calculate Information gain of tables at each stage.

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Example – 2 (Problem Description) – [1]

- Taste, Temperature and Texture are exploratory variables and Eat (Yes/No) is the target variable
- Need to construct a topdown decision tree that splits the dataset and finally forms a pure group
- Use the ID3 algorithm to find the decision tree

Taste Temperature Texture Salty Hot Soft No Hot Spicy Soft No Spicy Hot Hard Yes Cold Hard No Spicy Spicy Hot Hard Yes Sweet Cold Soft Yes Salty Cold Soft No Hot Sweet Soft Yes Cold Spicy Soft Yes Hot Hard Yes Prepared by: Er. Dinesh Baniya Kshatri

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Example – 2 (Calculating Parent Entropy)

$$E_o = \sum_{i=1}^{2} \left[-P_i log_2(P_i) \right]$$

$$= \frac{-4}{10} log_2(\frac{4}{10}) - \frac{-6}{10} log_2(\frac{6}{10})$$

$$= 0.971$$

No. of 'NO'
$$\rightarrow$$
 4

No. of 'YES'
$$\rightarrow$$
 6

No. of objects
$$\rightarrow$$
 10

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Example – 2 (Calculating Entropy & IG due to Taste)

$$E_{Salty} = -\frac{N_1}{N} S_1 \qquad \text{Yes} \qquad \text{NO}$$

$$= -\frac{3}{10} \left[\frac{1}{3} log_2 \left(\frac{1}{3} \right) + \frac{2}{3} log_2 \left(\frac{2}{3} \right) \right]$$

$$= 0.2754$$

$$E_{Spicy} = -\frac{N_2}{N} S_2$$

$$= -\frac{5}{10} \left[\frac{3}{5} log_2(\frac{3}{5}) + \frac{2}{5} log_2(\frac{2}{5}) \right]$$

$$= 0.4854$$

$$E_{Sweet} = -\frac{N_3}{N}S_3$$
$$= -\frac{2}{10} \left[\frac{2}{2} log_2 \left(\frac{2}{2} \right) \right]$$

$$E_{Taste} = E_{Salty} + E_{Spicy} + E_{Sweet}$$
$$= 0.7608$$

$$IG_{Taste} = E_o - E_{Taste}$$
$$= 0.971 - 0.7608$$

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= 0

Example – 2 (Calculating Entropy & IG due to Temperature)

$$E_{Hot} = -\frac{N_1}{N} S_1$$

$$= -\frac{6}{10} \left[\frac{4}{6} log_2 \left(\frac{4}{6} \right) + \frac{2}{6} log_2 \left(\frac{2}{6} \right) \right]$$

$$= 0.5509$$

$$E_{Cold} = -\frac{N_2}{N} S_2$$

$$= -\frac{4}{10} \left[\frac{2}{4} log_2 \left(\frac{2}{4} \right) + \frac{2}{4} log_2 \left(\frac{2}{4} \right) \right]$$

= 0.4

$$E_{Temp.} = E_{Hot} + E_{Cold}$$
$$= 0.9509$$

$$IG_{Temp.} = E_o - E_{Temp.}$$

= 0.971 - 0.9509
= 0.02

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Example – 2 (Calculating Entropy & IG due to Texture)

$$E_{Soft} = -\frac{N_1}{N} S_1$$

$$= -\frac{6}{10} \left[\frac{3}{6} log_2 \left(\frac{3}{6} \right) + \frac{3}{6} log_2 \left(\frac{3}{6} \right) \right]$$

$$= 0.6$$

$$E_{Temp.} = E_{Soft} + E_{Hard}$$
$$= 0.9245$$

$$E_{Hard} = -\frac{N_2}{N} S_2$$

$$= -\frac{4}{10} \left[\frac{1}{4} log_2 \left(\frac{1}{4} \right) + \frac{3}{4} log_2 \left(\frac{3}{4} \right) \right]$$

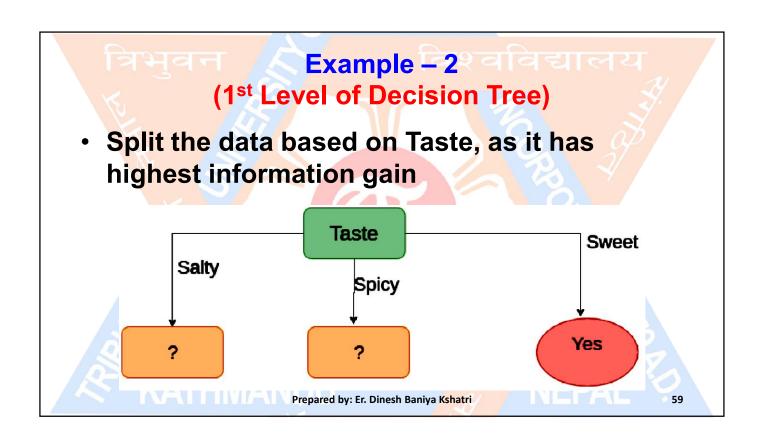
$$IG_{Temp.} = E_o - E_{Text.}$$

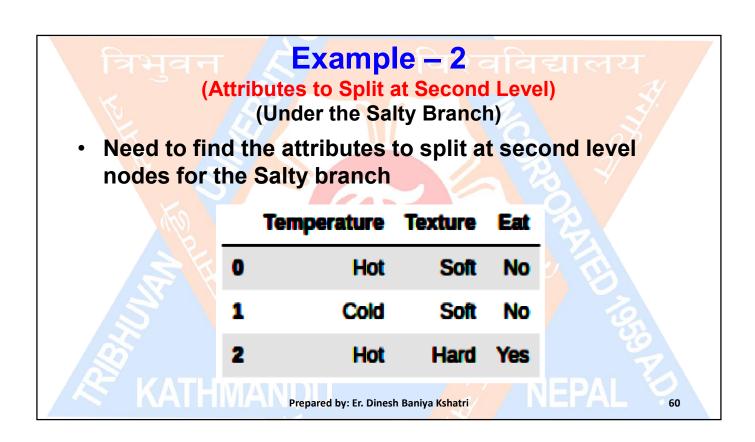
= 0.971 - 0.9245
= 0.05

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= 0.3245





Example - 2

(Calculating Entropy & IG due to Temperature)

(Under the Salty Branch)

$$E_{1a} = \frac{2}{3}log_2(\frac{2}{3}) + \frac{1}{3}log_2(\frac{1}{3})$$
$$= 0.9182$$

$$E_{Hot} = -\frac{2}{3} \left[\frac{1}{2} log_2 \left(\frac{1}{2} \right) + \frac{1}{2} log_2 \left(\frac{1}{2} \right) \right]$$

= 0.67

$$E_{Cold} = -\frac{1}{3} \left[\frac{1}{1} log_2 \left(\frac{1}{1} \right) \right]$$
$$= 0$$

$$E_{Temp.}=0.67$$

$$IG_{Temp.} = E_{1a} - E_{Temp.}$$
$$= 0.9182 - 0.67$$

= 0.2482

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Example - 2

(Calculating Entropy & IG due to Texture)

(Under the Salty Branch)

$$E_{Soft} = -\frac{2}{3} \left[\frac{2}{2} log_2 \left(\frac{2}{2} \right) \right]$$
$$= 0$$

$$E_{Hard} = -\frac{1}{3} \left[\frac{1}{1} log_2 \left(\frac{1}{1} \right) \right]$$

$$=0$$

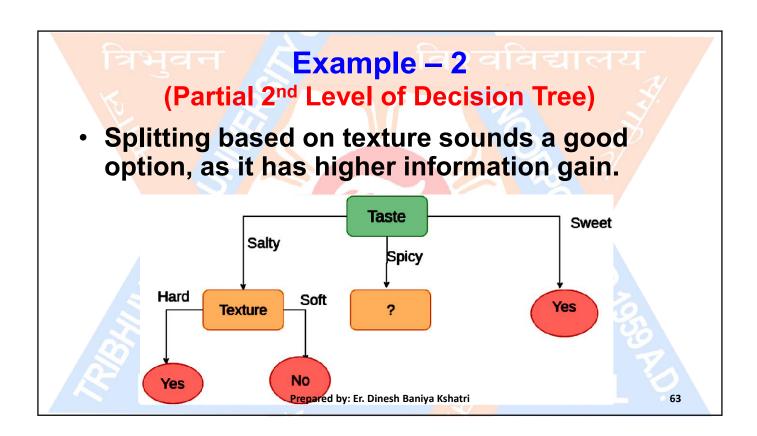
 $IG_{Text.} = E_{1a} - E_{Text.}$

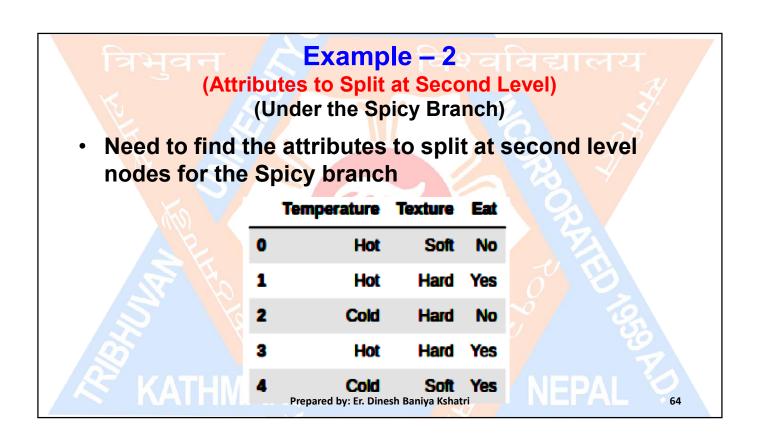
= 0.9182 - 0

= 0.9182

Propaged by: Fr. Dinach Baniya Kehate

NEPAL





Example - 2

(Calculating Entropy & IG due to Temperature)

(Under the Spicy Branch)

$$E_{1b} = -\frac{2}{5}log_2(\frac{2}{5}) - \frac{3}{5}log_2(\frac{3}{5})$$

$$= 0.9709$$

$$E_{Hot} = -\frac{3}{5} \left[\frac{1}{3} log_2 \left(\frac{1}{3} \right) + \frac{2}{3} log_2 \left(\frac{2}{3} \right) \right]$$
$$= 0.5509$$

$$E_{Cold} = -\frac{2}{5} \left[\frac{1}{2} log_2 \left(\frac{1}{2} \right) + \frac{1}{2} log_2 \left(\frac{1}{2} \right) \right]$$

= 0.4

 $E_{Temp.} = 0.9509$

$$IG_{Temp.} = E_{1b} - E_{Temp.}$$

= 0.9709 - 0.9509
= 0.02

Prepared by: Er. Dinesh Baniya Kshatri

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Example – 2

(Calculating Entropy & IG due to Texture)

(Under the Spicy Branch)

$$E_{Soft} = -\frac{2}{5} \left[\frac{1}{2} log_2 \left(\frac{1}{2} \right) + \frac{1}{2} log_2 \left(\frac{1}{2} \right) \right]$$

= 0.4

$$E_{Hard} = -\frac{3}{5} \left[\frac{1}{3} log_2 \left(\frac{1}{3} \right) + \frac{2}{3} log_2 \left(\frac{2}{3} \right) \right]$$
$$= 0.5509$$

$$E_{Text.} = 0.9509$$

$$IG_{Text.} = E_{1b} - E_{Text.}$$

= 0.9709 - 0.9509
= 0.02

Prepared by: Er. Dinesh Baniya Kshat

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Example – 2 (Splitting Decision under Spicy Branch)

- Both the attributes (Temperature and Texture) generated same Information Gain
 - So, can split with any attribute
 - Temperature has been chosen as the splitting parameter
- Tables left after Temperature split, for both branches

Texture Eat

O Soft No

Hard Yes

Hard Yes

Texture Eat

O Hard No

Soft Yes

Table : Spicy-Temperature-Cold path

Table : Spicy-Temperaturesy:lp.topath Baniya Kshatri

