

# 21603 Model Theory I

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# Chapter 1

## 1.1 random info

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1. Set Theory
2. Model Theory
3. Recursion Theory
4. Proof Theory

1973 book by Chang and Keisler - Model Theory - Highly recommended for elementary model theory.

What is model theory? Model Theory = logic + universal algebra

1984 - W. Hodges - Shorter Model Theory

model theory = algebraic geometry - field theory

Algebraic structures:

1. groups
2. rings
3. vector spaces
4. fields
5. graphs -  $(V, E)$
6. ordered structures

Around 1870, mathematicians started to layout the foundations for mathematics. One of the ideas was axiomatization. One example was Euclidean axioms for plane geometry.

## 1.2 Structures and Languages

### Definition 1.2.1: Language

$L$  is a language if  $L = F \cup R \cup C$  are parameter disjoint.

### Definition 1.2.2: $L$ -structure

Let  $L$  be a language (similarity type/signature). Then  $\mathcal{M}$  is an  $L$ -structure provided:

$$\mathcal{M} = (U, \{f^{\mathcal{M}} \mid f \in F\}, \{r^{\mathcal{M}} \mid r \in R\}, \{c^{\mathcal{M}} \mid c \in C\})$$

where  $U$  is a nonempty set.  $U$  is also called the universe of  $\mathcal{M}$ .

For any  $f \in F$  there is  $U(f)$  natural number such that  $f^{\mathcal{M}} : U^{n(f)} \rightarrow U$ ,  $R^{\mathcal{M}} \subseteq U^{n(R)}$ ,  $C^{\mathcal{M}} \subseteq U$ ,  $\forall c \in C$ .

Notation:  $|\mathcal{M}| = U$ . The cardinal of  $\mathcal{M}$  is  $|U|$ .  $\|\mathcal{M}\|$  denotes the cardinality of  $\mathcal{M}$ .

#### Definition 1.2.3: Theory

Let  $L$  be a language. A theory  $T$  is a set of sentences in  $L$ . A sentence is a finite set of symbols from  $L$ .

#### Example 1.2.1 (Sentences)

$L_{\text{gr}} = \{e, \cdot\}$ .  $e \in C$ ,  $\cdot \in F$ .  $T_{\text{gr}} = \{\forall x \forall y \forall z (x \cdot (y \cdot z) = (x \cdot y) \cdot z), \forall x (x \cdot e = x, e \cdot x = x), \forall x \exists y (x \cdot y = e, y \cdot x = e)\}$ . These are the group axioms (associativity, identity, existence of inverse).

#### Definition 1.2.4: Term

Let  $L$  be a language. A term is:

1.  $c$  is a term for any  $c \in C$ .
2.  $x$  when  $x$  is a variable.
3.  $\tau_1, \dots, \tau_k$  terms,  $f \in F$ ,  $n(f) = k$ , then  $f(\tau_1, \dots, \tau_k)$  is a term.

#### Definition 1.2.5: Term

$\text{Term}(L)$  is a minimal set of finite strings of symbols from  $L \cup \{(\cdot), =\} \cup X$  that contains  $C \cup x$  and closed under the following rule:

$$\tau_1, \dots, \tau_k \in \text{Term}(L), f \text{ } k\text{-place function symbol, then } f(\tau_1, \dots, \tau_k) \in \text{Term}(L)$$

#### Example 1.2.2 ( $L_r$ )

$L_r = \{0, 1, +, -\}$ .  $\text{Term}(L_r) \supseteq \{\sum a_j x_1^{n_j} \mid a_j \in \mathbb{Z}, n_j \in \mathbb{N}\}$ .

#### Example 1.2.3 ( $L_{\text{gr}}$ )

$\text{Term}(L_{\text{gr}}) \supseteq \{x_1 \cdot x_n \cdots x_n \mid x_i \in X, n \in \omega\}$ .

#### Definition 1.2.6: AFml

Let  $L$  be a language. The set of atomic fomrulas denotes by  $\text{AFml}(L)$  is the smallest set of formulas in  $L$  that contains  $L \cup \{(\cdot), =\} \cup X$  such that:

1. If  $\tau_1, \tau_2 \in \text{Term}(L)$ , then  $\tau_1 = \tau_2 \in \text{AFml}(L)$ .
2. Given  $R(x_1, \dots, x_n)$  relation symbol and  $\tau_1, \dots, \tau_n \in \text{Term}(L)$ , then  $R(\tau_1, \dots, \tau_n) \in \text{AFml}(L)$ .

**Definition 1.2.7: Fml**

$\text{Fml}(L)$  is the set of (first order) formulas in  $L$ . Which is the minimal set of finite strings of symbols from  $L \cup \{ (, ), =, \neg, \vee, \wedge, \implies, \iff, \forall, \exists \} \cup X$  such that:

1.  $\text{Fml}(L) \supseteq \text{AFml}(L)$ .
2. If  $\varphi$  is a formula, then  $\neg\varphi$  is a formula.
3. If  $x \in \{ \wedge, \vee, \implies, \iff \}$  and  $\varphi, \psi \in \text{Fml}(L)$ , then  $(\varphi x \psi) \in \text{Fml}(L)$ .
4. If  $\varphi \in \text{Fml}(L)$ ,  $Q \in \{ \forall, \exists \}$ , and  $x \in X$ , then  $Qx\varphi \in \text{Fml}(L)$ .
5. If  $\varphi \in \text{Fml}(L)$ ,  $\text{FV}(\varphi)$  is the set of free variables in  $\varphi$  defined by induction on the structure of  $\varphi$ .
  - Case 1:  $\varphi \in \text{AFml}(L)$ .
    - (a)  $\varphi$  is  $\tau_1 = \tau_2$ .  $\text{FV}(\varphi) = \text{FV}(\tau_1) \cup \text{FV}(\tau_2)$ .
    - (b)  $\varphi$  is  $R(\tau_1, \dots, \tau_n)$ .  $\text{FV}(\varphi) = \text{FV}(\tau_1) \cup \dots \cup \text{FV}(\tau_n)$ .
  - Case 2:
    - (a) if  $\varphi$  is  $\neg\psi$ , then  $\text{FV}(\varphi) = \text{FV}(\psi)$ .
    - (b) if  $\varphi = \psi_1 * \psi_2$  for  $*$   $\in \{ \wedge, \vee, \implies, \iff \}$ , then  $\text{FV}(\varphi) = \text{FV}(\psi_1) \cup \text{FV}(\psi_2)$ .
  - Case 3:  $\varphi$  is  $Qx\psi$ ,  $Q \in \{ \forall, \exists \}$ . Then  $\text{FV}(\varphi) = \text{FV}(\psi) \setminus \{x\}$ .
6.  $\text{Sent}(L)$  are the sentences in  $L$ .  $\text{Sent}(L) = \{ \varphi \in \text{Fml}(L) \mid \text{FV}(\varphi) = \emptyset \}$ .

**Example 1.2.4**

If  $L_f = \{ +, \cdot, 0, 1 \}$ , then  $T_f = \{$

- $\forall x \forall y \forall z (x \cdot (y \cdot z) = (x \cdot y) \cdot z),$
- $\forall x \forall y \forall z (x + (y + z) = (x + y) + z),$
- $\forall x \forall y (x + y = y + x),$
- $\forall x \forall y (x \cdot y = y \cdot x),$
- $\forall x (x \cdot 1 = x, 1 \cdot x = x),$
- $\forall x (x + 0 = x, 0 + x = x),$
- $\forall x \exists y (x \cdot y = 1, y \cdot x = 1),$
- $\forall x \exists y (x + y = 0, y + x = 0),$
- $\forall x \forall y \forall z (x \cdot (y + z) = (x \cdot y) + (x \cdot z))$

$\}$ .

**Definition 1.2.8:  $L$ -theory**

$T$  is an  $L$ -theory if  $T \subseteq \text{Sent}(L)$ .

The example above is “field theory”.

**Definition 1.2.9**

Let  $M$  be an  $L$ -structure.  $\tau(\bar{x})$  is a term,  $\bar{a} \in |M|^{\ell(n)}$ . T

Case 1:  $\tau(\bar{x}) = c$  for some constant symbol. Then  $\tau^M(\bar{a}) = c^M$ .

Case 2:  $\tau(\bar{x}) = x_i$ . Then  $\tau^M(\bar{a}) = a_i$ .

Case 3:  $\tau(\bar{x}) = f(\tau_1, \dots, \tau_k)$ . Then  $\tau^M(\bar{a}) = f^M(\tau_1^M(\bar{a}), \dots, \tau_k^M(\bar{a}))$ .

**Definition 1.2.10:  $\models$** 

Let  $L$  be a language,  $\varphi \in \text{Fml}(L)$ ,  $M$  and  $L$ -structure,  $n = \ell(\bar{x})$ ,  $\bar{a} \in |M|^n$ . Define  $M \models \varphi(\bar{a})$  at  $\bar{a}$  by induction on the structure of  $\varphi$ :

- If  $\varphi$  is atomic,
  - when  $\varphi(x)$  is  $\tau_1 = \tau_2$ , then  $M \models \varphi(\bar{a})$  iff  $\tau_1(\bar{a}) = \tau_2(\bar{a})$ .
  - when  $\varphi(x)$  is  $R(\tau_1, \dots, \tau_k)$ , then  $M \models \varphi(\bar{a})$  iff  $(\tau_1(\bar{a}), \dots, \tau_k(\bar{a})) \in R^M$ .
- If  $\varphi$  is not atomic, then:
  - if  $\varphi$  is  $\neg\psi$ , then  $M \models \varphi(\bar{a})$  iff  $M \models \psi(\bar{a})$  is false.
  - if  $\varphi$  is  $\psi_1 * \psi_2$  for  $*$  in  $\{\wedge, \vee, \implies, \iff\}$ , then  $M \models \varphi(\bar{a})$  iff  $M \models \psi_1(\bar{a})$  and  $M \models \psi_2(\bar{a})$ .
  - if  $\varphi$  is  $\exists y \psi(y, \bar{x})$ , then  $M \models \varphi(\bar{a})$  iff there is  $b \in |M|$  such that  $M \models \psi(b, \bar{a})$ .
  - if  $\varphi$  is  $\forall y \psi(y, \bar{x})$ , then  $M \models \varphi(\bar{a})$  iff for all  $b \in |M|$ ,  $M \models \psi(b, \bar{a})$ .

**Definition 1.2.11**

Let  $M$  be an  $L$ -structure and  $T$  an  $L$ -theory.  $M \models T$  iff for every  $\varphi \in T$ ,  $M \models \varphi$ . We say  $T$  “satisfies”  $M$ .

**Example 1.2.5 (Models)**

$M \models T_f \iff (|M|, +^M, \cdot^M, 0^M, 1^M)$  is a field.

**Definition 1.2.12: Mod**

$\text{Mod}(T) = \{M \text{ } L\text{-structure} \mid M \models T\}$ .

**Example 1.2.6**

$\text{Mod}(T_f)$  is the class of all fields and  $\text{Mod}(T_{gr})$  is the class of all groups.

**Definition 1.2.13: Structure Isomorphism**

Let  $M, N$  both be  $L$ -structures.  $f$  is an isomorphism from  $M$  onto  $N$  if  $f : |M| \rightarrow |N|$  is a bijection such that:

- $f(c^M) = c^N$  for all  $c \in C$ .
- $G(x_1, \dots, x_k)$  function symbol.  $a_1, \dots, a_k \in |M|$ , then  $f(G^M(a_1, \dots, a_k)) = G^N(f(a_1), \dots, f(a_k))$ .
- $R(x_1, \dots, x_k)$  predicate symbol.  $a_1, \dots, a_k \in |M|$ , then  $(a_1, \dots, a_k) \in R^M$  iff  $(f(a_1), \dots, f(a_k)) \in R^N$ .

We write  $f : M \cong N$ . Also  $M \cong N \iff \exists f : M \cong N$ .

**Definition 1.2.14**

Let  $\lambda \geq \aleph_0$ ,  $T$  an  $L$ -theory.  $T$  is  $\lambda$ -categorical if for all  $M, N \models T$  of cardinality  $\lambda$ ,  $M \cong N$ .

**Theorem 1.2.1** Los Conjecture (1954)

Let  $L$  be a language,  $T$  a first order  $L$ -theory, in an at most countable language. If  $\exists \lambda > \aleph_0$  such that  $T$  is  $\lambda$ -categorical, then for all  $\mu > \aleph_0$ ,  $T$  is  $\mu$ -categorical.

Somewhere around 1961-1965, Morley proved this conjecture.

## Chapter 2

# Basic Concepts

### Lemma 2.0.1

1.  $M \cong N \implies N \cong M$ .
2.  $M \cong M$ ,  $f = \text{id}_{|M|}$ .
3. Let  $M_1, M_2, M_3$  be all  $L$ -structures. Then  $f_1 : M_1 \cong M_2$  and  $f_2 : M_2 \cong M_3 \implies f_2 \circ f_1 : M_1 \cong M_3$ .

In other words,  $\cong$  is an equivalence relation on  $\text{Struct}(L)$ .

$$M/\cong = \{N \text{ is an } L(M)\text{-structure} \mid N \cong M\}.$$

### Definition 2.0.1: Spectrum function of $T$

Let  $T$  be a first order theory ( $T \subseteq \text{Sent}(L)$ ) of cardinality  $\lambda$ . Then  $I(\lambda, T)$  is the number of pairwise nonisomorphic models of  $T$  of cardinality  $\lambda$ . We have

$$I(\lambda, T) = |M/\cong|$$

where  $M \models T$  and  $\|M\| = \lambda$ .

Consider  $\lambda \mapsto I(\lambda, T)$ ,  $\lambda \in \text{Card}$  (the class of cardinal numbers). But what is the shape of  $\lambda \mapsto I(\lambda, T)$ . Is it weakly monotone? That is,  $\mu > \lambda \implies I(\mu, T) \geq I(\lambda, T)$ ?

### Theorem 2.0.1 Morley's Conjecture (~1965)

Suppose  $T$  is first order and  $|L(T)| \leq \aleph_0$ . Then  $\mu > \lambda > \aleph_0 \implies I(\mu, T) \geq I(\lambda, T)$ .

The basic problem is that given  $M$  and  $N$  both of cardinality  $\lambda$ ,  $M \not\cong N$ , find  $M', N'$  both of cardinality  $\mu$  such that  $M' \cong N'$ . In 1990, Shelah solved Morley's Conjecture. However, this is an open question for uncountable  $T$ .

### Theorem 2.0.2 Morley's Category Theorem

Let  $T$  be a first order theory for  $|L(T)| \leq \aleph_0$ . Then  $\exists \lambda > \aleph_0, I(\lambda, T) = 1$  then  $\forall \mu > \aleph_0, I(\mu, T) = 1$ .

Shelah listed all possible functions  $\lambda \mapsto I(\lambda, T)$  and, by hand, verified that they were weakly monotone.

### Example 2.0.1

1.  $I(\lambda, T) = 1$  for all  $\lambda > \aleph_0$ .
2.  $I(\lambda, T) = 2^\lambda$  for all  $\lambda > \aleph_0$ .



Hart, Hrushovski, and Laskowski found all the 13 functions.

### Definition 2.0.2: Submodel

Let  $M, N$  be  $L$ -structures.  $M$  is a submodel of  $N$  if:

1.  $|M| \leq |N|$
2.  $\forall a_1, \dots, a_n \in |M|$  and  $F(x_1, \dots, x_n)$ ,  $F^M(a_1, \dots, a_n) = F^N(a_1, \dots, a_n)$ .
3.  $c^M = c^N$  for all constant symbols  $c$ .
4.  $R^M = R^N \cap (|M| \times \dots \times |M|)$ .

### Definition 2.0.3: Elementarily Equivalent

Let  $M, N$  be  $L$ -structure.  $M$  is elementarily equivalent to  $N$  denoted by  $M \equiv N$  provided  $M \models \varphi \iff N \models \varphi$  for any  $\varphi \in \text{Sent}(L)$ .

### Definition 2.0.4

Let  $M$  be an  $L$ -structure. The theory of  $M$  is denoted  $\text{Th}(M) = \{\text{Th}(M)\varphi \in \text{Sent}(L) \mid M \models \varphi\}$ .

Let  $N := (\omega, +, \cdot, 0, 1)$ . Then  $\text{TA} = \text{Th}(N)$  “True Arithmetic”. For example the twin primes conjecture is  $\{p \mid p \text{ and } p + 2 \text{ are both primes}\}$  is infinite. If it is true, then it is a member of  $\text{TA}$ .

### Theorem 2.0.3

Let  $M, N$  be  $L$ -structures. If  $M \cong N$ , then  $M \equiv N$ .

### Theorem 2.0.4

Let  $M, N$  be  $L$ -structures. Suppose  $f : M \cong N$ . Then for any  $\bar{a} \in |M|$  and any  $\varphi(\bar{x}) \in \text{Fml}(L)$  with  $\ell(\bar{x}) = \ell(\bar{a})$ ,  $M \models \varphi[\bar{a}] \iff N \models \varphi[f(\bar{a})]$ .

*Proof.* Suppose  $\varphi(\bar{x})$  is atomic.

### Lemma 2.0.2

Suppose  $f : M \cong N$  and  $\tau(\bar{x})$  sequence of terms.  $\bar{a} \in |M|$ ,  $\ell(\bar{x}) = \ell(\bar{a})$ . Then  $f(\tau(\bar{a})) = \tau(f(\bar{a}))$ .

*Proof.* By induction on the length of  $\tau$ .

Case 1:  $\tau(\bar{x})$  is  $x$ . Then  $f(\tau(\bar{a})) = f(a) = \tau(f(\bar{a}))$ .

Case 2:  $\tau(\bar{x}) = c$ . then  $f(c^M) = c^N$  by definition of isomorphism.

Case 3:  $\tau(\bar{x}) = G(y_1, \dots, y_n)$  function symbol. Then  $\tau_1(\bar{x}), \dots, \tau_n(\bar{x})$  are terms. By induction,  $f(\tau(\bar{a})) = f(G^M(\tau_1(\bar{a}), \dots, \tau_n(\bar{a}))) = G^N(f(\tau_1(\bar{a})), \dots, f(\tau_n(\bar{a}))) = \tau^N(f(\bar{a}))$ .  $\ominus$

Now returning to the proof:

Case 1:  $\varphi(\bar{x})$  is  $\tau_1(\bar{x}) = \tau_2(\bar{x})$ . Then, we have  $M \models \varphi(\bar{a}) \iff \tau_1(\bar{a}) = \tau_2(\bar{a}) \iff f(\tau_1(\bar{a})) = f(\tau_2(\bar{a})) \iff \tau_1^N(f(\bar{a})) = \tau_2^N(f(\bar{a})) \iff N \models \varphi(f(\bar{a}))$ .

Case 2:  $\varphi(\bar{x})$  is  $R(\tau_1(\bar{x}), \dots, \tau_n(\bar{x}))$ . When  $R(y_1, \dots, y_n)$  is a relation symbol and  $\tau_i(\bar{x})$  are terms. Then  $M \models \varphi(\bar{a}) \iff (\tau_1(\bar{a}), \dots, \tau_n(\bar{a})) \in R^M \iff (f(\tau_1(\bar{a})), \dots, f(\tau_n(\bar{a}))) \in R^N \iff (\tau_1(f(\bar{a})), \dots, \tau_n(f(\bar{a}))) \iff N \models \varphi(f(\bar{a}))$ .

Suppose  $\varphi$  is  $\neg\psi$ . Then  $M \models \varphi(\bar{a}) \iff M \not\models \psi(\bar{a}) \iff N \not\models \psi(f(\bar{a})) \iff N \models \varphi(f(\bar{a}))$ .

Suppose  $\varphi$  is  $\psi_1 \wedge \psi_2$ . Then  $M \models \varphi(\bar{a}) \iff M \models \psi_1(\bar{a})$  and  $M \models \psi_2(\bar{a}) \iff N \models \psi_1(f(\bar{a}))$  and  $N \models \psi_2(f(\bar{a})) \iff N \models \varphi(f(\bar{a}))$ .

Suppose  $\varphi(\bar{x})$  is  $\exists y \psi(y, \bar{x})$ . Then  $M \models \varphi(\bar{a}) \iff$  there is  $b \in |M|$  such that  $M \models \psi(b, \bar{a}) \iff$  there is  $c \in |N|$  such that  $N \models \psi(c, f(\bar{a})) \iff N \models \exists y \psi(y, f(\bar{a})) \iff N \models \varphi(f(\bar{a}))$ .  $\ominus$

General Remark:

$$\begin{aligned} M \models \exists y \varphi(y, \bar{a}) &\iff M \models \neg \forall y \neg \varphi(y, \bar{a}) \\ M \models \neg \exists y \varphi(y, \bar{x}) &\iff \forall y \neg \varphi(y, \bar{a}). \end{aligned}$$

### Example 2.0.2

$L_{gr} = \{\cdot, 1\}$ .  $(\mathbb{Q}, +, 0), (\mathbb{R}, +, 0)$  are not isomorphic because diff cardinality.  $(\mathbb{Q}, +, 0), (\mathbb{Z}, +, 0)$  are not isomorphic because:

$$(\mathbb{Q}, +, 0) \models \forall x \exists y (x = y + y).$$

This sentence is not true for  $\mathbb{Z}$  under addition.

$N = (\omega, +, \cdot, 0, 1)$  is called the standard model of arithmetic.  $TA = \text{Th}(N)$ , true arithmetic.

### Question

Given  $M_1, M_2 \models TA$  both countable. Are they isomorphic?

### Question

What is  $I(\aleph_0, TA)$ ? Voted on  $2^{\aleph_0} \dots$  and it is.

Let  $T$  be a theory and  $\varphi \in \text{Sent}(L)$ . We say  $T$  proves  $\varphi$  (denoted  $T \vdash \varphi$ ) if there exists a finite set of sequences from  $L$ ,  $\varphi_1, \varphi_2, \dots, \varphi_n$  such that  $\varphi_n = \varphi$  and for all  $i$ ,  $\varphi_i \in T$  or  $\varphi_i$  is a tautology or there are  $j, k < i$  where  $\varphi_j = (\varphi_k \implies \varphi_i)$ .

1.  $Q \rightarrow P$ : the rule of inference. “modus ponens”.
- 2.

Other rules (possible members of  $\varphi$ ):

- $x = y, y = z$  then  $x = z$ .
- If  $\varphi_i = \forall x \varphi(x)$ , then also  $\forall y \varphi(y)$  in the sequence.
- If  $\forall x \varphi(x)$  also  $\varphi(\tau(\bar{c}))$ .

### Definition 2.0.5

A set of sentences is a consistent theory if there is no  $\varphi$  such that  $\varphi$  and  $\neg\varphi$  are both in the theory.  $T$  is inconsistent if it is not consistent.

### Theorem 2.0.5 Godel's Completeness Theorem

Let  $T$  be some set of sentences in  $L$ . Then  $T$  is consistent iff  $T$  has a model.

Godel only proved it for when  $|L| \leq \aleph_0$ .

### Theorem 2.0.6 Compactness Theorem

Let  $T \subseteq \text{Sent}(L)$ . If for any finite  $T_0 \subseteq T$ ,  $T_0$  has a model, then  $T$  has a model.

*Proof.* Enough to show by completeness that is consistent. If  $T$  inconsistent,  $T \vdash \varphi$  and  $T \vdash \neg\varphi$ , there are  $T_1, T_2 \subset T$  finite such that  $T_1 \vdash \varphi$  and  $T_2 \vdash \neg\varphi$ . Then  $T_1 \cup T_2 \vdash \varphi \wedge \neg\varphi$ . By assumption on  $T$ ,  $\exists M_0 \models T_1 \cup T_2$ . Then  $M_0 \models \varphi \wedge \neg\varphi$  which is a contradiction. ☹

ZF cannot prove the compactness theorem.

Let  $G$  be a group,  $A \subseteq G$ . Then subgroup generated by  $A$  is denoted:

$$\langle A \rangle := \bigcap \{H \mid H \leq G, H \supseteq A\}.$$

### Proposition 2.0.1

$$\langle A \rangle = \{a_1^{\epsilon_1} \cdot a_2^{\epsilon_2} \cdot \dots \cdot a_n^{\epsilon_n} \mid n \in \mathbb{N}, a_i \in A, \epsilon_i \in \{1, -1\}\}.$$

### Theorem 2.0.7 Submodel Theorem

Let  $M$  be an  $L$ -structure. Denote by  $\lambda := |L| + \aleph_0$ . For any  $A \subseteq |M|$ , there is  $N \subseteq M$  such that  $|N| \supseteq A$  and  $\|N\| \leq |A| + \lambda$ .

**Remark:** When  $|L| \leq \aleph_0$ , then  $\lambda = \aleph_0$ . For infinite  $A$  we have  $|A| \geq \|N\| \geq |A|$ , so by CB,  $\|N\| = |A|$ .

*Proof.* By induction on  $n < \omega$ , define  $\{A_n \subseteq |M| \mid n < \omega\}$  such that  $A_0 = A \cup \{c^M \mid c \text{ constant symbols}\}$ . For  $n+1$ , let  $A_{n+1} = A_n \cup \{f^M(a_1, \dots, a_k) \mid f \text{ function symbol}\}$ . Take  $B = \bigcup_{n < \omega} A_n$ . Now let  $N = (B, F^M, R^M, c^M)_{F, R, c \in L}$ .

We claim that  $N$  is as required.

$|N| \supseteq A$ :  $B = \bigcup_{n < \omega} A_n \supseteq A_0 \supseteq A$ .

$N \subseteq M$ : Enough to show  $F(x_1, \dots, x_k)$  is a function symbol for  $a_1, \dots, a_k \in B$ .

$F(a_1, \dots, a_k) \in B$ : Given  $a_1, \dots, a_k \in B$ , for all  $1 \leq n \leq k$ ,  $\exists n_i < \omega$ ,  $a_i \in A_{n_i}$ . Let  $\mu = \max\{n_1, \dots, n_k\}$ . By  $A_{n+1} \supseteq A_n$  for all  $n$ , we have  $A_\mu \supseteq A_{n_i}$  for all  $i \leq k$ . So  $a_1, \dots, a_k \in A_\mu$ . By definition of  $A_{\mu+1}$ ,  $F(a_1, \dots, a_k) \in A_{\mu+1} \subseteq B = |N|$ .

$\|N\| \leq |A| + \mu$ : We proceed with induction on  $n < \omega$ .

$|A_n| \leq \lambda + |A|$ :  $n = 0$ . By definition of  $A_0$ ,  $|A_0| \leq |A| + |L| \leq |A| + \lambda \implies |L| \leq \lambda$ .

So  $|A_{n+1}| \leq |A_n| + |L| + \sum_{k \leq \omega} |A_n|^k \leq \mu + \sum_{k < \omega} \mu^k = \sum_{k < \omega} \mu = \mu + \aleph_0 \mu = \mu = |A| + \lambda$ . ⊕

### Definition 2.0.6

Let  $M$  be an  $L$  structure,  $L_0 \subseteq L$ ,  $M \upharpoonright L_0 := \langle |M|, F^M, R^M, c^M \rangle_{F, R, c \in L_0}$ . We can also say  $M$  is an expansion of  $M \upharpoonright L_0$ .

### Example 2.0.3

Suppose you have a field  $(F, +, \cdot, 0, 1)$ , so  $L = (+, \cdot, 0, 1)$ . Then let  $L_0 = \{+, 0\}$ . Then  $F \upharpoonright L_0$  is the additive group of  $F$ .

### Theorem 2.0.8

Let  $T$  be a first order theory with  $\lambda \geq |L(T)| + \aleph_0$ . If  $T$  has an infinite model, then  $\exists N \models T$  such that  $\|N\| \geq \lambda$ .

**Remark:** This is a very simple version of the Upward Lowenheim-Skolem Theorem.

*Proof.* Let  $\{c_i \mid i < \lambda\}$  be a set of constant symbols not in  $L(T)$ . Let  $T_1 = T \cup \{c_i \neq c_j \mid i \neq j, i, j < \lambda\}$ . We claim that if  $N_1 \models T_1$ , then  $N := N_1 \upharpoonright L(T)$  is as required.

As  $N_1 \models T_1$  and  $T \subseteq T_1$ ,  $N_1 \models T$ , so  $N \models T$ .

Let  $a_i := c_i$  for all  $i < \lambda$ . Let  $i < j < \lambda$ . Since  $N_1 \models c_i \neq c_j$ , by definition of  $\models$ ,  $a_i \neq a_j$ . But  $\{a_i \mid i < \lambda\} \subseteq |N_1| = |N|$ .

So by claim, it is enough to show that there exists  $N_1 \models T_1$ . We apply the compactness theorem to  $T_1$ . Let  $T_0 \subseteq T_1$  be finite.

Let  $i_1, \dots, i_n < \lambda$  such that  $T_0 \subseteq T \cup \{c_{i_\ell} \neq c_{i_k} \mid \ell \neq k, \ell, k \leq n\}$ . As  $T$  has an infinite model  $M$ , pick  $\{a_1, \dots, a_n\} \subseteq |M|$ . Let  $M_0 = \langle |M|, R^M, F^M, c^M, a_1, \dots, a_n \rangle_{R, F, c \in L(T)}$ .  $c_i^{M_0} := a_i$ . Clearly  $M_0 \models T_0$ . ⊕

CT - the statement of the compactness theorem. Facts:

- Tychonov's theorem. Product of compact topological spaces is compact. This is known to be equivalent to the axiom of choice.

- $\text{Ty H} \iff \text{Tychonov's theorem for Hausdorff spaces.}$
- BPI: Boolean Prime Ideal Theorem.

**Theorem 2.0.9**

$\text{BPI} \iff \text{CT} \iff \text{Ty H.}$

This was proved by ZF. More facts:

- $\text{ZFC} \vdash \text{CT.}$
- $\exists M \models (\text{ZF} + \neg \text{BPI}).$  So,  $\text{ZF} \not\vdash \text{CT.}$