

# Category Theory

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August 25, 2025

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# 1. What is Category Theory?

Category theory is a language for talking about structuralist mathematics.

- materialism: an object is understood in terms of what it consists of
- structuralism: an object is understood in terms of its relationships to other objects

## 1.1. Motivating example

Let  $D^2 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 \leq 1\}$ . Then let  $S^1 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 = 1\} \subseteq D^2$ .

**Theorem 1.1** (Brouwer's fixed point theorem): If  $f : D^2 \rightarrow D^2$  is continuous, then  $f$  has a fixed point. That is, there is some  $x \in D^2$  such that  $f(x) = x$ .

The proof uses a trick and facts about homology. Effectively, there is a machine that takes a topological space (subsets of  $\mathbb{R}^2$ ) and spits out a vector space (over  $\mathbb{R}$ ).

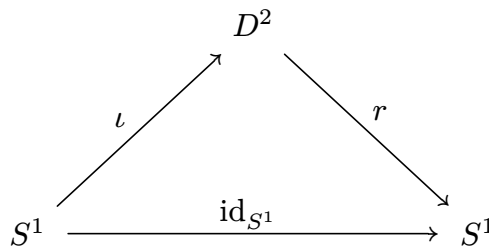
- 1) For every topological space  $X$ , there is a vector space  $H(X)$  (omitting actual definition).
- 2) For every continuous function  $f : X \rightarrow Y$ , there is an "induced" linear map given by  $H(f) : H(X) \rightarrow H(Y)$ .
- 3) If  $X \xrightarrow{f} Y \xrightarrow{g} Z$  are continuous maps,  $H(f) : H(X) \rightarrow H(Y)$ ,  $H(g) : H(Y) \rightarrow H(Z)$  and  $H(g \circ f) : H(X) \rightarrow H(Z)$ , then  $H(g \circ f) = H(g) \circ H(f)$ .
- 4) For any  $X$ ,  $H(\text{id}_X) = \text{id}_{H(X)} : H(X) \rightarrow H(X)$ .

Computations:

- 5)  $H(D^2) \cong 0$ .
- 6)  $H(S^1) \cong \mathbb{R}$ .

PROOF: Assume  $f : D^2 \rightarrow D^2$  is continuous and  $f(x) \neq x$  for all  $x \in D^2$ . Define a new function  $r : D^2 \rightarrow S^1$  such that  $r(x)$  = intersection of the ray from  $f(x)$  to  $x$  with  $S^1 \subseteq D^2$ .

Key fact: If  $x \in S^1$ , then  $r(x) = x$ . Check that  $r$  is also continuous.



The diagram above commutes. Now we can apply homology to it.

$$\begin{array}{ccc}
 & H(D^2) & \\
 H(\iota) \nearrow & & \searrow H(r) \\
 H(S^1) & \xrightarrow{H(\text{id}_{S^1})} & H(S^1)
 \end{array}$$

We can check that

$$\begin{aligned}
 H(r) \circ H(\iota) &= H(r \circ \iota) \\
 &= H(\text{id}_{S^1}) \\
 &= \text{id}_{H(S^1)}.
 \end{aligned}$$

Therefore, the new diagram also commutes. So, if  $w \in H(S^1)$ , then

$$w = \text{id}_{H(S^1)}(w) = H(r)(H(\iota)(w)) = 0.$$

This is a contradiction as  $H(S^1) \neq 0$ . ■

## 1.2. Categories

**Definition 1.2** (Category): A category  $\mathcal{C}$  consists of:

- a collection of objects,  $\text{Ob}(\mathcal{C})$ . For any  $A \in \text{Ob}(\mathcal{C})$ , we usually write  $A \in \mathcal{C}$ .
- for any pair of objects  $A, B \in \mathcal{C}$ , there is a collection of morphisms  $\text{Hom}_{\mathcal{C}}(A, B)$ , or  $\text{Hom}(A, B)$ , or  $\mathcal{C}(A, B)$ . Instead of  $f \in \mathcal{C}(A, B)$ , we write  $f : A \rightarrow B$  or  $A \xrightarrow{f} B$ .
- for any objects  $A, B, C \in \mathcal{C}$  and morphisms  $f : A \rightarrow B$  and  $g : B \rightarrow C$ , there is a specified composition  $g \circ f : A \rightarrow C$ .
- for any object  $A \in \mathcal{C}$ , there is a given  $\text{id}_A : A \rightarrow A$
- compositions are associative:  $(g \circ f) \circ h = g \circ (f \circ h)$
- for any  $A \xrightarrow{f} B$ ,  $f \circ \text{id}_A = f = \text{id}_B \circ f$

**Example 1.3:**

- Set, the category of sets (& functions).

**Definition 1.4** (Monoid): A monoid  $(M, *)$  consists of:

- a set  $M$
- a binary operation  $* : M \times M \rightarrow M$
- an identity element  $e \in M$  such that  $\forall x \in M, e * x = x * e = x$ .

**Definition 1.5** (Monoid Homomorphism): A monoid homomorphism  $f : M \rightarrow N$  is a function satisfying

- $f(xy) = f(x)f(y)$ .
- $f(e) = e$ .

**Definition 1.6** (Functor): A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  is a function satisfying

- $F(A) \in \mathcal{D}$  for all  $A \in \mathcal{C}$ .
- $F(f) : F(A) \rightarrow F(B)$  for all  $f : A \rightarrow B$  in  $\mathcal{C}$ .
- $F(g \circ f) = F(g) \circ F(f)$  for all  $f : A \rightarrow B$  and  $g : B \rightarrow C$  in  $\mathcal{C}$ .
- $F(\text{id}_A) = \text{id}_{F(A)}$  for all  $A \in \mathcal{C}$ .

### 1.3. 09/02/2025

Two “sorts” of categories:

- “concrete” categories: sets with some sort of familiar structure (groups, rings, modules, etc.)
- “abstract” categories:  $\mathbb{1}$ ,  $\mathbb{2}$ ,  $\mathbb{3}$ , etc. More formal symbols than not.

**Definition 1.7** (Endomorphism): An endomorphism  $f : A \rightarrow A$  is a morphism from an object to itself.

New categories from old:

1) Product category.

- input: two categories  $\mathcal{C}$  and  $\mathcal{D}$
- output:  $\mathcal{C} \times \mathcal{D}$
- objects:  $(A, B)$  where  $A \in \text{Ob}(\mathcal{C})$  and  $B \in \text{Ob}(\mathcal{D})$
- morphisms:  $(f, g)$  where  $f : A \rightarrow A'$  in  $\mathcal{C}$  and  $g : B \rightarrow B'$  in  $\mathcal{D}$
- composition:  $(f, g) \circ (f', g') = (f \circ f', g \circ g')$
- identity:  $(\text{id}_A, \text{id}_B)$

Projection functors on  $\mathcal{C} \times \mathcal{D}$ :

- $\pi_1 : \mathcal{C} \times \mathcal{D} \rightarrow \mathcal{C}, \pi_2 : \mathcal{C} \times \mathcal{D} \rightarrow \mathcal{D}$ .
- on objects:  $\pi_1((A, B)) = A$
- on morphisms:  $\pi_1((f, g)) = f : A \rightarrow A'$ .

2) Slice categories, coslice categories

- input: a category  $\mathcal{C}$  and an object  $X \in \text{Ob}(\mathcal{C})$
- output:  $\mathcal{C}/X$  or  $X/\mathcal{C}$

description of coslice:

- objects: pair  $(A, f)$ , where  $A \in \text{Ob}(\mathcal{C})$  and  $f : A \rightarrow X$  in  $\mathcal{C}$
- morphisms: from  $(A, f) \rightarrow (B, g)$ : morphism  $k : A \rightarrow B$  of  $\mathcal{C}$  such that  $k \circ f = g$ .

- composition:  $(A, f) \xrightarrow{k} (B, g) \xrightarrow{l} (C, h)$  is  $(A, f) \xrightarrow{l \circ k} (C, h)$ . We can check that  $(l \circ k) \circ f = h$ . The TLDR for this is that you can copy and paste commutative diagrams and get another commutative diagram.

**Example 1.8 (Coslice):** Let  $\mathcal{C} = \text{Set}$ ,  $X = 1 = \{*\}$ . So coslice  $X/\mathcal{C} = 1/\text{Set} = ?$ .

- objects: pairs  $(A, f)$  of a set  $A$  and a function  $f : 1 \rightarrow A$ .
- morphisms: functions  $k$  such that  $k \circ f = g$ .

Elements of sets categorically.  $A$  is a set. How do we express  $a \in A$  in terms of the category  $\text{Set}$ ?

elements of  $A \longleftrightarrow$  functions  $f : 1 \rightarrow A$

$$a \in A \longleftrightarrow f : 1 \rightarrow A, f(*) = a$$

$$f(x) \in A \longleftrightarrow f : 1 \rightarrow A.$$

3) Opposite category.

- input: a category  $\mathcal{C}$
- output:  $\mathcal{C}^{\text{op}}$
- objects of  $\mathcal{C}^{\text{op}}$ :  $A^*$  for  $A \in \mathcal{C}$ .
- morphisms of  $\mathcal{C}^{\text{op}}(A^*, B^*)$ :  $f^*$  for  $f : A \rightarrow B$  in  $\mathcal{C}$ .
- composition:  $(f^* \circ g^*) = (g \circ f)^*$

## 1.4. 09/04/2025

Examples of functors between concrete categories:

- 1) Forgetful functors. E.g.  $U : \text{Mon} \rightarrow \text{Set}$ .  $U(M) = M$ . And if  $f : M \rightarrow N$  is a monoid homomorphism. Then  $U(f) : UM \rightarrow UN$ , so we just take  $U(f) = f$ . Then we just have to check that  $U(g \circ f) = U(g) \circ U(f)$  but this is obvious. There are other similar examples like  $\text{Vect}_k \rightarrow \text{Set}$  or  $\text{Top} \rightarrow \text{Set}$ . Basically it's just "forgetting" some sort of structure from the original category.
- 2) Free functors. E.g.  $F : \text{Set} \rightarrow \text{Mon}$  which is the free monoid functor.

Let  $A$  be a set,  $\text{List}(A) = \{\text{strings } a_1, \dots, a_n \mid n \geq 0, a_i \in A\}$ . So if  $A = \{a, b, c\}$ , then we have that

$$\text{List}(A) = \{<>, a, b, c, aa, ab, ac, \dots\}.$$

Define concatenation as  $\cdot$  where

$$(a_1 a_2 \dots a_n) \cdot (b_1 b_2 \dots b_m) = (a_1 a_2 \dots a_n b_1 b_2 \dots b_m).$$

We claim that  $\text{List}(A)$  is a monoid with unit  $<>$ . Call that monoid  $FA \in \text{Mon}$ .

On morphisms: given  $f : A \rightarrow B$ , get monoid homomorphism  $F(f) = FA \rightarrow FB$ , we define

$$F(f)(a_1 a_2 \dots a_n) = f(a_1) f(a_2) \dots f(a_n).$$

We can also check that  $F(f \circ g) = F(f) \circ F(g)$  and  $F(\text{id}_A) = \text{id}_{FA}$ .

**Definition 1.9** (Contravariant Functor): A contravariant functor from  $\mathcal{C}$  to  $\mathcal{D}$  is a functor  $F : \mathcal{C}^{\text{op}} \rightarrow \mathcal{D}$ .

### Universal Mapping Property

Idea: universal property of  $X$  is a description of morphisms into/out of  $X$ .