

Introduction to
Cryptography
Lecture 12–14

©Michael Luttenberger

Chair for Foundations of Software Reliability and Theoretical Computer Science
Technische Universität München

2018/12/12, 15:31

1 Lecture 12-14 – Group theory

① Lecture 12-14 – Group theory

Motivation

Basic concepts from number theory (reminder)

Group theory

Generating primes

- As soon as we have a PRG, we also have PRFs, PRPs, CPA-secure ES, CCA-secure ES, secure MACs
 - ▷ I.e. PRGs suffice for private-key cryptography.
 - ▷ In fact, PRGs are also necessary for private-key cryptography (later).
- Open question: Do PRGs really exist?
 - ▷ We don't know if PRGs (unconditionally) exists.

At least in the asymptotic setting, one can show that $\mathbf{NP} \neq \mathbf{P}$ if PRGs exist.

But we know how to build PRGs from a certain class of computational problems called one-way functions.

- Construction of PRGs is based on the existence of problems for which only a negligible fraction of the problem instances might be easy (i.e. in PPT) to solve .

Such problems are called **one-way functions (OWF)** (formal definition later).

- Some examples:
 - ▷ For G a PRG, computing x given $G(x)$ needs to be hard not only for some $x \in \{0, 1\}^n$ but for **almost all** except for a negl. fraction.
 - ▷ For G -sCTR with G a PRG, computing the secret key k from known plaintext-ciphertext pairs (m, c) (CPA setting) needs to be hard not only for some $k \in \{0, 1\}^n$, but for **almost all** except a negl. fraction.
 - ▷ For F a PRF, computing the secret key k from a known input-output-pair $(x, F_k(x))$ needs to be hard not only for some $k \in \{0, 1\}^n$ but for **almost all** except a negl. fraction. Analogously for F -MAC.

- For the actual construction of PRGs, OWFs are of particular interest which are themselves not cryptographic problems like e.g. long standing problems from number theory:
 - Integer factorization: Given an integer N
find the largest prime factor of N .
 - Discrete logarithm: Given a cyclic group $\langle g \rangle = \mathbb{G}$ and $y \in \mathbb{G}$,
find $x \in \mathbb{Z}$ s.t. $g^x = y$.
 - RSA problem: Given $N \in \mathbb{N}$, $e \in \mathbb{Z}_{\lambda(N)}^*$ and $y \in \mathbb{Z}_N^*$,
find $x \in \mathbb{Z}_N^*$ s.t. $x^e \equiv y \pmod{N}$.
 - Quadratic residues: Given N and $y \in \mathbb{Z}_N^*$,
compute $x \in \mathbb{Z}_N^*$ with $x^2 \equiv y \pmod{N}$ if such an x exists.
- ▷ Hence, first a detour to primes and commutative groups.

1 Lecture 12-14 – Group theory

Motivation

Basic concepts from number theory (reminder)

Group theory

Generating primes

- **Definition:**

Let $a, b, N \in \mathbb{Z}$ with $N > 0$. Then:

- ▷ $\mathbb{Z}_N := \{0, 1, 2, \dots, N - 1\}$.
- ▷ $a|b$ if $\exists k \in \mathbb{Z} : b = k \cdot a$, i.e., if a divides b .
- ▷ $a \bmod N$ is the unique $k \in \mathbb{Z}_N$ s.t. $N|(a - k)$.
- ▷ $\gcd(a, b) := \max\{d \in \mathbb{N} \mid d|a \wedge d|b\}$.
- ▷ $\text{lcm}(a, b) := \min\{m \in \mathbb{N} \mid m > 0 \wedge a|m \wedge b|m\} = \frac{ab}{\gcd(a, b)}$.
- ▷ $\mathbb{Z}_N^* := \{k \in \mathbb{Z}_N \mid \gcd(k, N) = 1\}$.
- ▷ $\varphi(N) := |\mathbb{Z}_N^*|$ (Euler's phi-function)
- ▷ $a \equiv b \pmod{N}$, $a \equiv b \pmod{N}$, and $a \equiv_N b$ short for $a \bmod N = b \bmod N$.

- **Given:** Natural numbers $0 \leq a \leq b$.

Goal: Compute integers (x, y) s.t. $\gcd(a, b) = xa + yb$.

Algorithm:

- If $a = 0$: return $(0, 1)$
- If $b \bmod a = 0$: return $(1, 0)$
- Recursively compute (x', y') s.t. $\gcd(b \bmod a, a) = x'(b \bmod a) + y'a$.

Return $(y' - kx', x')$ with $k = \lfloor \frac{b}{a} \rfloor = \frac{b - (b \bmod a)}{a}$.

▷ **Correctness:** Obviously $\gcd(a, b) = \gcd(b \bmod a, a)$.

Inductively: $\gcd(b \bmod a, a) = x'(b \bmod a) + y'a$

Check that $\gcd(a, b) = (y' - kx')a + x'b$ using $(b \bmod a) = b - ka$.

- **Remark:** There are at most $2 \log_2 a$ many recursive calls.

- **Example:** recursive calls
 - $a_0 = 27, b_0 = 35$: recursion ($k_0 = 1$).
 - $a_1 = 8, b_1 = 27$: recursion ($k_1 = 3$).
 - $a_2 = 3, b_2 = 8$: recursion ($k_2 = 2$).
 - $a_3 = 2, b_3 = 3$: recursion ($k_3 = 1$).
 - $a_4 = 1, b_4 = 2$: return $(x_4, y_4) = (1, 0)$
 - Final result:

$$\begin{pmatrix} -k_0 & 1 \\ 1 & 0 \end{pmatrix} \cdot \begin{pmatrix} -k_1 & 1 \\ 1 & 0 \end{pmatrix} \cdot \begin{pmatrix} -k_2 & 1 \\ 1 & 0 \end{pmatrix} \cdot \begin{pmatrix} -k_3 & 1 \\ 1 & 0 \end{pmatrix} \cdot \begin{pmatrix} x_4 \\ y_4 \end{pmatrix}$$

- **Ex:** Compute x, y for $a = 23, b = 120$.
- **Ex:** Let $F_0 := 0, F_1 := 1, F_{n+2} = F_{n+1} + F_n$ be the sequence of Fibonacci numbers.

Determine the number of recursive calls for $\text{EEA}(F_n, F_{n+1})$.

① Lecture 12-14 – Group theory

Motivation

Basic concepts from number theory (reminder)

Group theory

Generating primes

- **Definition:** $\mathbb{G} \hat{=} \langle \mathbb{G}, \cdot, 1 \rangle$ is a **group** if
 - ① $\cdot : \mathbb{G} \times \mathbb{G} \rightarrow \mathbb{G}$ (closed under \cdot).
 - ② $\forall a, b, c \in \mathbb{G}: a \cdot (b \cdot c) = (a \cdot b) \cdot c$ (\cdot associative).
 - ③ $\forall a \in \mathbb{G}: a \cdot 1 = 1 \cdot a = a$ (1 is neutral).
 - ④ $\forall a \in \mathbb{G} \exists b \in \mathbb{G}: a \cdot b = b \cdot a = 1$ (b inverse of a).

$|\mathbb{G}|$ is called the **order** of \mathbb{G} ; \mathbb{G} is **finite** if $|\mathbb{G}| < \infty$.

\mathbb{G} is **commutative** if $\forall a, b: a \cdot b = b \cdot a$ holds in addition.

- ▷ **Remark:** For **commutative** groups the group operation is also often written **additively** $(+, 0)$. We will use the **multiplicative notation** (\cdot) most of the time, and simply write ab for $a \cdot b$, and \mathbb{G} for $\langle \mathbb{G}, \cdot, 1 \rangle$.
- **Remark/Lemma:** Sometimes only $\forall a \in \mathbb{G}: a1 = a$ (right neutral) and $\forall a \in \mathbb{G} \exists b \in \mathbb{G}: ab = 1$ (right inverse) are required. One can show that every right neutral/inverse is also left neutral/inverse.

- **Lemma:** Neutral element and inverse are unique in a group.

▷ **Proof:**

Assume $ab = 1 = ac$. Then $b = b1 = b(ac) = (ba)c = c$.

Assume $a1' = a$ for all $a \in \mathbb{G}$. Then $1 = 1 \cdot 1' = 1'$.

- **Definition:** From now on a^{-1} denotes the unique inverse of a in \mathbb{G} .
(For additively written groups: $-a$.)

- **Corollary:** In every group

- $(a^{-1})^{-1} = a$
- $(ab)^{-1} = b^{-1}a^{-1}$
- The unique solution of $ax = b$ ($xa = b$) is $x = a^{-1}b$ ($x = ba^{-1}$).

▷ **Proof:**

$a^{-1}a = 1 = a^{-1}(a^{-1})^{-1}$ resp. $ab(ab)^{-1} = 1 = ab(b^{-1}a^{-1})$

$x = (a^{-1}a)x = a^{-1}(ax) = a^{-1}b$; analogously for $xa = b$.

- **Definition:** Let $\langle \mathbb{G}, \cdot, 1 \rangle$ be a group.

For $a \in \mathbb{G}$ and $k \in \mathbb{Z}$ define (inductively)

$$a^k := \begin{cases} 1 & \text{if } k = 0 \\ a \cdot a^{k-1} & \text{if } k > 0 \\ (a^{-1}) \cdot a^{k+1} & \text{if } k < 0 \end{cases}$$

and $\langle a \rangle = \{a^k \mid k \in \mathbb{Z}\}$

$\text{ord}(a) := |\langle a \rangle|$ is called the **order of a** (in \mathbb{G}).

\mathbb{G} is **cyclic** if there is some **generator** $g \in \mathbb{G}$ s.t. $\langle g \rangle = \mathbb{G}$.

- ▷ **Remark:** a^k simply means “apply the group operation to k copies of a ” if $k \geq 0$ resp. “apply the group operation to k copies of a^{-1} ” if $k < 0$, which is written as exponentiation when using the multiplicative notation.
- ▷ Hence: $a^k a^l = a^{k+l} = a^l a^k$ and $(a^k)^{-1} = a^{-k} = (a^{-1})^k$.
- ▷ **Remark:** Note that in the **additive notation** (i.e. $a + b$ instead of $a \cdot b$) exponentiation becomes multiplication so that $\langle a \rangle = \{ka \mid k \in \mathbb{Z}\}$.

- **Definition:** Let $\langle G, \cdot, 1 \rangle$ be a group.

A (nonempty) subset $H \subseteq G$ is a **subgroup** of G (short: $H \leq G$) if $\langle H, \cdot, 1 \rangle$ is itself a group.

- ▷ Note that a subgroup H always “inherits” the group operation and the neutral element from its “surrounding” group:

- Let 1_H be neutral in H .

Then in G : $1_H = 1_H \cdot 1 = 1_H \cdot (1_H \cdot 1_H^{-1}) = 1_H \cdot 1_H^{-1} = 1$.

- Hence, assume $ab = 1$ for $a, b \in H$.

Then also $ab = 1$ in G , and thus $b = a^{-1}$ and $a = b^{-1}$.

- **Lemma:** $H \leq G$ iff $ab^{-1} \in H$ for any $a, b \in H$.

- ▷ **Proof:**

If $H \leq G$, then trivially $ab^{-1} \in H$ for any $a, b \in H$.

Assume that $ab^{-1} \in H$ for any $a, b \in H$.

Then also $1 = aa^{-1} \in H$, thus also $b^{-1} = 1b^{-1} \in H$ and $ab = a(b^{-1})^{-1} \in H$.

- **Lemma:** Let \mathbb{G} be a group.

Then $\langle a \rangle$ is a cyclic subgroup of \mathbb{G} for any $a \in \mathbb{G}$.

- ▷ **Proof:**

We only need to check that $cd^{-1} \in \langle a \rangle$ for any $c, d \in \langle a \rangle$.

Note that $(a^k)^{-1} = a^{-k}$ because of uniqueness of the inverse.

As $c, d \in \langle a \rangle$, we find $m, n \in \mathbb{Z}$ s.t. $c = a^m$ and $d = a^n$.

Thus $c^{-1}d = (a^m)^{-1}a^n = a^{-m}a^n = a^{-m+n} \in \langle a \rangle$.

Simply use $aa^{-1} = a^{-1}a = 1$ to reduce the term until $|-m+n|$ copies of a (if $-m+n \geq 0$) resp. a^{-1} remain.

- ▷ **Corollary:** Every cyclic group is commutative.

- **Lemma:** If $\mathbb{H} \leq \mathbb{G} = \langle g \rangle$, then \mathbb{H} is cyclic, too.

▷ **Proof:** Let $\mathbb{H} \leq \langle g \rangle$. ($\mathbb{H} \neq \emptyset$.)

Then $\emptyset \neq \mathbb{H} = \{g^k \mid k \in E\}$ for suitable exponents $E \subseteq \mathbb{Z}$.

Then there is a least $m \in \mathbb{N} \setminus \{0\}$ s.t. $g^m \in \mathbb{H}$ (as $a, a^{-1} \in \mathbb{H}$).

Hence $\langle g^m \rangle \leq \mathbb{H}$. Pick any $a \in \mathbb{H}$.

Then there is some $k \in \mathbb{Z}$ s.t. $a = g^k$.

If $k < 0$, switch to a^{-1} , s.t. $k > 0$.

Then also $a \cdot (g^m)^{-\lfloor k/m \rfloor} = g^{k \bmod m} \in \mathbb{H}$.

By choice of m , we must have $k \bmod m = 0$ and thus $a = 1$ – contradiction.

▷ **Corollary:** If $\mathbb{H} \leq \langle a \rangle \leq \mathbb{G}$, then \mathbb{H} is cyclic.

- As we will see later (Chinese remainder theorem), we can decompose large groups into the so called direct product of two or more smaller groups.

- **Definition:**

Let $\langle \mathbb{G}_1, \cdot_1, 1_1 \rangle, \langle \mathbb{G}_2, \cdot_2, 1_2 \rangle$ be two groups.

Their **direct product** $\langle \mathbb{G}_1 \times \mathbb{G}_2, \cdot, 1 \rangle$ is defined by:

Carrier: $\mathbb{G}_1 \times \mathbb{G}_2 := \{(a_1, a_2) \mid a_1 \in \mathbb{G}_1, a_2 \in \mathbb{G}_2\}$.

Group operation: $(a_1, a_2) \cdot (b_1, b_2) := (a_1 \cdot_1 b_1, a_2 \cdot_2 b_2)$

Neutral element: $1 := (1_1, 1_2)$

- **Lemma:** The direct product is again a group.

▷ **Proof:** Obvious – we simply compute in both groups in parallel.

- $\mathbb{Z} \hat{=} \langle \mathbb{Z}, +, 0 \rangle$

▷ Infinite.

▷ Group operation: canonical addition.

▷ Cyclic: generated by $1, -1$.

- **Reminder:**

For **additive** groups (group operation denoted by $+$), the inverse is denoted by $-a$,

and $\langle a \rangle$ becomes:

$$\dots, \underbrace{(-a) + (-a) + (-a)}_{3 \cdot (-a)}, (-a) + (-a), (-a), 0, a, a + a, a + a + a, \dots$$

▷ Here: $\langle 2 \rangle = \{2k \mid k \in \mathbb{Z}\} = 2\mathbb{Z}$.

- Let $N > 2$ be a natural number.
- $\mathbb{Z}_N \hat{=} \langle \mathbb{Z}_N, +_N, 0 \rangle$
- ▷ Group operation: canonical addition on \mathbb{Z} modulo N ,
i.e. $a +_N b := (a + b) \bmod N$.
(We simply write $+$ for $+_N$ if not misleading.)
- ▷ Inverse: $-a = N - a$ in \mathbb{Z}_N .
- ▷ Cyclic: $\langle g \rangle = \mathbb{Z}_N$ iff $\gcd(g, N) = 1$. (later)
- ▷ **Ex:** Compute $\langle 4 \rangle$ in \mathbb{Z}_7 .
- ▷ **Ex:** Compute $\langle 4 \rangle$ in \mathbb{Z}_6 .
- ▷ **Ex:** Compute $\langle (1, 1) \rangle$ in $\mathbb{Z}_4 \times \mathbb{Z}_6$.
- ▷ $\mathbb{Z}_M \times \mathbb{Z}_N$ is cyclic iff $\gcd(M, N) = 1$.

- Let $N > 1$ be a natural number.
- $\mathbb{Z}_N^* \hat{=} \langle \{a \in \mathbb{Z}_N \mid \gcd(a, N) = 1\}, \cdot_N, 1 \rangle$.
- ▷ Group operation: canonical multiplication on \mathbb{Z} modulo N ,
i.e. $a \cdot_N b := (a \cdot b) \bmod N$.
(We simply write \cdot for \cdot_N if not misleading.)
- ▷ Inverse: As $\gcd(a, N) = 1$ there are x, y s.t. $1 = xa + yN$,
hence $a^{-1} = (x \bmod N)$ in \mathbb{Z}_N^* .
- ▷ **Theorem:** (w/o proof)
 \mathbb{Z}_N^* is cyclic iff $N \in \{2, 4, p^r, 2p^r\}$ for $p > 2$ prime and $r > 0$.
- ▷ **Ex:** Compute $\langle 4 \rangle$ and $\langle 5 \rangle$ in \mathbb{Z}_7^* .
- ▷ **Ex:** Compute $\langle 5 \rangle$ in \mathbb{Z}_6^* .
- ▷ **Ex:** Compute $\langle 3 \rangle$ in \mathbb{Z}_8^* .

- Let $N > 1$ be a natural number.
- The equivalence class of $a \in \mathbb{Z}$ w.r.t. \equiv_N is denoted by $[a]_N := \{a + zN \mid z \in \mathbb{Z}\}$ and called the **residue class of $a \in \mathbb{Z}$ modulo N** ,
- Set $\mathbb{Z}/N\mathbb{Z} := \{[a]_N \mid a \in \mathbb{Z}\}$, $(\mathbb{Z}/N\mathbb{Z})^* := \{[a]_N \mid a \in \mathbb{Z}, \gcd(a, N) = 1\}$
- Define $[a]_N + [b]_N := [a + b]_N$ and $[a]_N \cdot [b]_N := [a \cdot b]_N$.
- **Reminder:** Then
 - $\mathbb{Z}/N\mathbb{Z} = \{[a]_N \mid a \in \mathbb{Z}_N\}$ and $(\mathbb{Z}/N\mathbb{Z})^* = \{[a]_N \mid a \in \mathbb{Z}_N^*\}$.
 - $[a +_N b]_N = [a + b]_N$ and $[a \cdot_N b]_N = [a \cdot b]_N$
 $(\equiv_N \text{ is a congruence})$

\mathbb{Z}_N and $\mathbb{Z}/N\mathbb{Z}$ resp. \mathbb{Z}_N^* and $(\mathbb{Z}/N\mathbb{Z})^*$ are the "same" (isomorphic).
- ▷ Most of the time, it is easier to compute in $\mathbb{Z}/N\mathbb{Z}$ and only reduce the result to \mathbb{Z}_N at the very end.
- ▷ **Ex:** $994 \cdot 995 \cdot 996 \equiv_{997} (-3) \cdot (-2) \cdot (-1) = -6 \equiv_{997} 991$
- ▷ We won't distinguish between \mathbb{Z}_N and $\mathbb{Z}/N\mathbb{Z}$ resp. \mathbb{Z}_N^* and $(\mathbb{Z}/N\mathbb{Z})^*$ in the following.

- Let $N > 1$ be a natural number.
- $\mathbb{QR}_N \hat{=} \langle \{x^2 \bmod N \mid x \in \mathbb{Z}_N^*\}, \cdot, 1 \rangle$
- ▷ Subgroup of \mathbb{Z}_N^* .
- ▷ In general not cyclic. Cyclic if \mathbb{Z}_N^* is cyclic.
- ▷ **Ex:** Compute $\langle 4 \rangle$ in \mathbb{QR}_7 .
- ▷ **Ex:** Compute \mathbb{QR}_6 , \mathbb{QR}_8 , \mathbb{QR}_{85} .

- We are only interested in finite commutative groups in this lecture.
 - For these, many things can be shown more easily than in the general setting.
 - Let \mathbb{G} be finite and commutative.
- ▷ Obviously, the map $f_a: \mathbb{G} \rightarrow \mathbb{G}: x \mapsto ax$ is bijective for any $a \in \mathbb{G}$.
- ▷ Hence: $\mathbb{G} = \{f_a(x) \mid x \in \mathbb{G}\}$ and

$$c := \prod_{x \in \mathbb{G}} x = \prod_{x \in \mathbb{G}} f_a(x) = \prod_{x \in \mathbb{G}} ax = a^{|\mathbb{G}|} \prod_{x \in \mathbb{G}} x = a^{|\mathbb{G}|} c$$

- ▷ **Lemma:** For \mathbb{G} a finite commutative group: $\forall a \in \mathbb{G}: a^{|\mathbb{G}|} = 1$.
- ▷ **Corollary:** $\forall a \in \mathbb{G}: a^{|\langle a \rangle|} = 1$.
- ▷ **Remark:** The results is also valid for non-commutative finite groups (see Lagrange's theorem)

- **Lemma:** Let
 - \mathbb{G} be a finite group and
 - λ any positive natural number s.t. $\forall a \in \mathbb{G}: a^\lambda = 1$.
 - ▷ For instance, choose $\lambda = |\mathbb{G}|$ (if \mathbb{G} is commutative).

Then $\forall a \in G$:

- ① $a^{\lambda-1} = a^{-1}$.
 - ② $\langle a \rangle = \{a^0, a^1, \dots, a^{\lambda-1}\} = \{a^k \mid k \in \mathbb{Z}_\lambda\}$ and $\langle a \rangle = \{a^k \mid k \in \mathbb{Z}_{|\langle a \rangle|}\}$
 - ③ $a^k = a^{k \bmod \lambda}$ and $a^k = a^{k \bmod |\langle a \rangle|}$ for all $k \in \mathbb{Z}$
 - ④ $\lambda \bmod |\langle a \rangle| = 0$ i.e. $|\langle a \rangle| \mid \lambda$
 - ⑤ If $\lambda \geq |\mathbb{G}|$ is prime, then \mathbb{G} is cyclic.
- **Ex:** Compute 7^{1023} and 7^{-1} in \mathbb{Z}_{11}^* .

• Proof:

- ① $a^{\lambda-1}a = a^\lambda = 1$ by assumption on λ so $a^{-1} = a^{\lambda-1}$.
- ② As $a^{-1} = a^{\lambda-1}$ also $a^{-k} = a^{k(\lambda-1)}$ so it suffices to only consider nonnegative powers of a , i.e. $\langle a \rangle = \{a^k \mid k \in \mathbb{N}_0\}$.

Using $a^\lambda = 1$ any product/term of k copies of a is reduced to a product of $k \bmod \lambda$ copies.

In particular, this is true for $\lambda = |\langle a \rangle|$ as $\langle a \rangle$ is itself a group.

- ③ See (2) – recall that $-k \equiv_\lambda (\lambda - 1)k$.
- ④ As $1 = a^\lambda = a^{k \bmod |\langle a \rangle|}$.
- ⑤ If λ is prime, then either $|\langle a \rangle| = 1$ (i.e. $a = 1$) or $|\langle a \rangle| = \lambda \geq |\mathbb{G}|$, i.e. $\langle a \rangle = \mathbb{G}$. (Note: we may have $\lambda < |\mathbb{G}|$, e.g. $\langle \mathbb{Z}_8^*, \cdot, 1 \rangle$.)

- **Definition:** The exponent $\lambda_{\mathbb{G}}$ of a group \mathbb{G} is the smallest positive integer λ s.t. $\forall a \in \mathbb{G}: a^{\lambda} = 1$.
If $\mathbb{G} = \mathbb{Z}_N^*$, then $\lambda(N) := \lambda_{\mathbb{Z}_N^*}$ is called Carmichael function.
- ▷ **Corollary:** $\lambda_{\mathbb{G}} = \text{lcm}\{\text{ord}(a) \mid a \in \mathbb{G}\}$ and $\lambda_{\mathbb{G}} \mid |\mathbb{G}|$
- ▷ **Remark:** If \mathbb{G} is cyclic, then obviously $\lambda_{\mathbb{G}} = |\mathbb{G}|$;
in particular, if \mathbb{G} is finite, then $|\langle a \rangle| = \lambda_{\langle a \rangle}$ for any $a \in \mathbb{G}$.
One can show: if \mathbb{G} is finite and commutative and $\lambda_{\mathbb{G}} = |\mathbb{G}|$, then \mathbb{G} is cyclic.
- ▷ **Ex:** Compute the exponent of (1) \mathbb{Z}_6 , (2) \mathbb{Z}_8^* , (3) $\mathbb{Z}_{10} \times \mathbb{Z}_6$.

- **Lemma:** Let \mathbb{G} be finite and commutative, and $k \in \mathbb{Z}$.

Set $g_e: \mathbb{G} \rightarrow \mathbb{G}: x \mapsto x^k$. Then:

- ① $g_k(x) := x^k$ is a permutation on \mathbb{G} iff $\gcd(k, \lambda_{\mathbb{G}}) = 1$.
 - ② If g_k is a permutation, there are $e, d \in \mathbb{Z}_{\lambda_{\mathbb{G}}}^*$ s.t. $g_k = g_e$ and $g_k^{-1} = g_d$.
 - ③ $|\{g_k \mid k \in \mathbb{Z} \text{ s.t. } g_k \text{ is bijective}\}| = |\mathbb{Z}_{\lambda_{\mathbb{G}}}^*|$
- ▷ This is the algebraic essence of RSA:
 e is the encryption exponent, d is the decryption exponent.
In order to compute g_e , one has to know e and how to compute in \mathbb{G} .
Necessary requirement: “Computing d from e and \mathbb{G} has to be hard.”
- ▷ **Ex:** Show that if $e \in \mathbb{Z}_{|\mathbb{G}|}^*$, then g_e is also a permutation on \mathbb{G} .
But there might be an $e' \in \mathbb{Z}_{|\mathbb{G}|}^*$ with $e \neq e'$ and yet $g_e = g_{e'}$.

▷ **Proof:**

- ① As \mathbb{G} is finite, g_e is bijective iff it is injective.

We have: $g_e(x) = g_e(y)$

iff $(xy^{-1})^e = 1$ (as \mathbb{G} is commutative)

iff $e \bmod |\langle xy^{-1} \rangle| = 0$

iff $\gcd(e, \lambda_{\mathbb{G}}) \geq |\langle xy^{-1} \rangle|$

As $|\langle xy^{-1} \rangle| = 1$ iff $x = y$, the claim follows.

- ② Pick $e := (k \bmod \lambda_{\mathbb{G}})$ and $d \in \mathbb{Z}_{\lambda_{\mathbb{G}}}^*$ s.t. $ed \equiv_{\lambda_{\mathbb{G}}} 1$

- ③ We have:

$$\forall x \in \mathbb{G}: g_k(x) = g_l(x) \text{ iff } \forall x \in \mathbb{G}: x^{k-l} = 1 \text{ iff } \lambda_{\mathbb{G}} \mid k-l \text{ iff } k \equiv_{\lambda_{\mathbb{G}}} l$$

- Often we know that a group is cyclic, e.g. as its order is a prime.
- ▷ But we still need to find some generator.
- ▷ Idea: use again rejection sampling
 - Choose a group element $a \stackrel{u}{\in} \mathbb{G}$ uniformly at random.
 - ▷ For $\mathbb{G} = \mathbb{Z}_N^*$, you can also use rejection sampling here:
Choose $a \stackrel{u}{\in} \mathbb{Z}_N$ and reject it if $\gcd(a, N) > 1$.
 - Test if a is a generator.
- ▷ This works quite well if
 - (1) we hit a generator with high prob. (enough generators) and
 - (2) we can efficiently test if a is a generator.
- ▷ First: How to test if a is generator.
- ▷ Then: How many generators has a cyclic group?

- **Lemma:** Let \mathbb{G} be a finite group of order M .

Then: $\langle a \rangle = \mathbb{G}$ iff $a^{M/p} \neq 1$ for every prime $p \mid M$.

- **Proof:**

▷ (\Rightarrow) Assume $\langle a \rangle = \mathbb{G}$. Then $\text{ord}(a) = M = \min\{k > 0 \mid a^k = 1\}$.

▷ (\Leftarrow) Assume $\langle a \rangle \neq \mathbb{G}$, i.e. $\text{ord}(a) < M$.

▷ As $\text{ord}(a) \mid M$, there is a $m > 1$ s.t. $M = m \cdot \text{ord}(a)$.

▷ Choose any $p \mid m$ s.t. $m = m'p$. Then: $a^{M/p} = a^{m' \cdot \text{ord}(a)} = 1$.

- **Remark:** There are at most $\log_2 M$ distinct primes p with $p \mid M$.

- **Remark:** When the prime factors of M are unknown, no efficient generator test is known.

▷ **Corollary:** $\langle a \rangle = \mathbb{Z}_N$ iff $\gcd(a, N) = 1$.

▷ **Proof:** The generator test becomes “ $\langle a \rangle \neq \mathbb{Z}_N$ iff $\gcd(a, N) > 1$ ”.

- First question: How many generators has \mathbb{Z}_N ?
 - ▷ As just seen: $\langle a \rangle = \mathbb{Z}_N$ iff $\gcd(a, N) = 1$.
 - ▷ So \mathbb{Z}_N^* is exactly the set of all generators of \mathbb{Z}_N (!).
 - ▷ Euler's φ -function: $\varphi(N) := |\mathbb{Z}_N^*|$.
- **Lemma:**
 - $\varphi(p^r) = p^{r-1}(p-1)$ for any prime p and $r > 0$.
 - $\varphi(MN) = \varphi(M) \cdot \varphi(N)$ if $\gcd(M, N) = 1$.
- **Proof:**
 - ▷ First claim: $\gcd(a, p^r) > 1$ iff $a = p \cdot s$ with $s \in \mathbb{Z}_{p^{r-1}}$.
 - ▷ Second claim follows from the Chinese remainder theorem (later).
- **Ex:** Compute $\varphi(57)$.
- **Ex:** How many generators has \mathbb{Z}_{100} ?

- **Ex:** Let $N = pq$ for $p \neq q$ distinct odd primes.

Show: Given N and $\varphi(N)$, we can compute p, q efficiently.

That is: if factoring N is hard, then so is computing $\varphi(N)$.

- ▷ So, in general computing $\varphi(N)$ is infeasible for large N if we do not know a factorization of N .

- Second question: How many generators has a finite cyclic group $\langle g \rangle = \mathbb{G}$?

▷ Let $M = |\mathbb{G}|$. Then \mathbb{G} is isomorphic to \mathbb{Z}_M by means of $h: \mathbb{Z}_M \rightarrow \mathbb{G}: k \mapsto g^k$.

- **Remark:** Isomorphic groups $\mathbb{G}_1 \cong \mathbb{G}_2$ are “the same” w.r.t. the group properties.

From a computational point of view, computing in \mathbb{G}_1 (e.g. \mathbb{Z}_M) can still be much easier than in \mathbb{G}_2 .

See the discrete logarithm problem later.

▷ So:

Lemma: A cyclic group \mathbb{G} has exactly $\varphi(|\mathbb{G}|)$ many generators.

- **Ex:** How many generators has \mathbb{Z}_{85}^* ?
- **Ex:** Is 7 a generator of \mathbb{Z}_{54}^* ? What is the prob. that $a \in \mathbb{Z}_{54}^*$ is a generator?

- Second question:

How many generators has a finite cyclic group $\langle g \rangle = \mathbb{G}$?

▷ **Definition:** Let $\langle \mathbb{G}_1, \cdot_1, 1_1 \rangle, \langle \mathbb{G}_2, \cdot_2, 1_2 \rangle$ be two groups.

A map $h: \mathbb{G}_1 \rightarrow \mathbb{G}_2$ is a **homomorphism** if it respects the group operations i.e.

$$h(a \cdot_1 b) = h(a) \cdot_2 h(b)$$

If h is a homomorphism and bijective, then it is called an **isomorphism**, and \mathbb{G}_1 and \mathbb{G}_2 are called **isomorphic** (short: $\mathbb{G}_1 \cong \mathbb{G}_2$).

- **Lemma:** If $h: \mathbb{G}_1 \rightarrow \mathbb{G}_2$ is a homomorphism, then

① $h(1_1) = 1_2$

② $h(a^{-1}) = h(a)^{-1}$

③ $h(\mathbb{G}_1) \leq \mathbb{G}_2$.

▷ Proof:

$$\begin{aligned} 1_2 = h(1_1) \cdot_2 h(1_1)^{-1} &= h(1_1 \cdot_1 1_1) \cdot_2 h(1_1)^{-1} \\ &= h(1_1) \cdot_2 h(1_1) \cdot_2 h(1_1)^{-1} = h(1_1) \end{aligned}$$

$$\begin{aligned} h(a)^{-1} = h(a)^{-1} \cdot_2 1_2 &= h(a)^{-1} \cdot_2 h(a \cdot_1 a^{-1}) \\ &= h(a)^{-1} \cdot_2 h(a) \cdot_2 h(a^{-1}) = h(a^{-1}) \end{aligned}$$

$$h(a) \cdot_2 h(b)^{-1} = h(a \cdot_1 b^{-1}) \in \mathbb{H}$$

- **Lemma:** If $h: \mathbb{G}_1 \rightarrow \mathbb{G}_2$ is an isomorphism, then

- ① Also the inverse map $h^{-1}: \mathbb{G}_2 \rightarrow \mathbb{G}_1$ is an isomorphism.
- ② $\langle g \rangle = \mathbb{G}_1$ iff $\langle h(g) \rangle = \mathbb{G}_2$.
- ③ Both groups have the same number of generators.

▷ Proof:

- ① $h^{-1}(h(a) \cdot_2 h(b)) = h^{-1}(h(a \cdot_1 b)) = a \cdot_1 b = h^{-1}(h(a)) \cdot_2 h^{-1}(h(b))$
- ② If $\langle g \rangle = \mathbb{G}_1$, then $\langle h(g) \rangle = h(\langle g \rangle) = h(\mathbb{G}_1) = \mathbb{G}_2$, and analogously for h^{-1} .
- ③ Follows from (3).

- **Lemma:** Let $\mathbb{G} = \langle g \rangle$ be a finite cyclic group.

Then \mathbb{G} is isomorphic to $\langle \mathbb{Z}_N, +, 0 \rangle$ for $N = |\mathbb{G}|$ via

$$h: \mathbb{Z}_N \rightarrow \mathbb{G}: k \mapsto g^k$$

- **Lemma:** Let $\mathbb{G} = \langle g \rangle$ be a finite cyclic group.

Then \mathbb{G} is isomorphic to $\langle \mathbb{Z}_N, +, 0 \rangle$ for $N = |\mathbb{G}|$ via

$$h: \mathbb{Z}_N \rightarrow \mathbb{G}: k \mapsto g^k$$

▷ **Proof:** $N = \lambda_{\mathbb{G}} = \min\{\lambda > 0: g^\lambda = 1\}$.

▷ **Corollary:**

A finite cyclic group \mathbb{G} has exactly $\varphi(|\mathbb{G}|)$ many generators.

- **Ex:** How many generators has \mathbb{Z}_{85}^* ?
- **Ex:** Is 7 a generator of \mathbb{Z}_{54}^* ? What is the prob. that $a \in \mathbb{Z}_{54}^*$ is a generator?

- **Theorem:** Let M, N be coprime, i.e. $\gcd(M, N) = 1$.

Then (i) $\mathbb{Z}_{MN} \cong \mathbb{Z}_M \times \mathbb{Z}_N$ and (ii) $\mathbb{Z}_{MN}^* \cong \mathbb{Z}_M^* \times \mathbb{Z}_N^*$.

by means of $h: \mathbb{Z}_{MN} \rightarrow \mathbb{Z}_M \times \mathbb{Z}_N: a \mapsto (a \bmod M, a \bmod N)$.

For $\alpha, \beta \in \mathbb{Z}$ s.t. $1 = \alpha M + \beta N$:

$$h^{-1}(u, v) = (u\beta N + v\alpha M) \bmod MN.$$

- **Remark:** α, β can be computed using the extended Euclidean algorithm.
- **CRT** short for “chinese remainder theorem”.

▷ Proof:

▷ **Ex:** Show

- $(a \bmod MN) \bmod M = a \bmod M$.

Conclude:

- $((a + b) \bmod MN) \bmod M = (a + b) \bmod M$ and
- $((ab) \bmod MN) \bmod M = ab \bmod M$.

▷ **Ex:** Show

- $(a + b) \bmod M = ((a \bmod M) + (b \bmod M)) \bmod M$ and
- $ab \bmod M = ((a \bmod M)(b \bmod M)) \bmod M$.

Conclude:

- $h(a + b) = h(a) + h(b)$ and $h(ab) = h(a)h(b)$.

▷ **Ex:** Check that h^{-1} (as defined in the theorem) is the inverse map of h .

▷ **Ex:** Show $h(\mathbb{Z}_{MN}^*) = \mathbb{Z}_M^* \times \mathbb{Z}_N^*$

- **Corollary:**

Let $N = \prod_{i=1}^r p_i^{e_i}$ be a prime factorization of N .

Then: $\mathbb{Z}_N^* \cong \mathbb{Z}_{p_1^{e_1}}^* \times \mathbb{Z}_{p_2^{e_2}}^* \times \dots \times \mathbb{Z}_{p_r^{e_r}}^*$.

Thus $\varphi(N) = \prod_{i=1}^r \varphi(p_i^{e_i}) = \prod_{i=1}^r p_i^{e_i-1} (p_i - 1)$.

- **Remark:** The CRT allows us to compute within $\mathbb{Z}_M \times \mathbb{Z}_N$ instead of \mathbb{Z}_{MN} , i.e. we may compute with smaller numbers.

This can be used to speed-up the decryption of RSA-based PKES (later).

- ▷ **Ex:** Let $p = 13, q = 19$ and $N = pq = 247$.

Compute 197^{200} in \mathbb{Z}_N^* using the CRT.

- **Reminder:**

$\lambda_{\mathbb{G}}$ is the least positive integer λ s.t. $\forall a \in \mathbb{G}: a^\lambda = 1$.

For $\mathbb{G} = \mathbb{Z}_N^*$: $\lambda(N) := \lambda_{\mathbb{Z}_N^*}$ (Carmichael function).

▷ By the CRT: If $N = \prod_{i=1}^r p_i^{e_i}$ (prime factorization),

then $\mathbb{Z}_N^* \cong \mathbb{Z}_{p_1^{e_1}}^* \times \mathbb{Z}_{p_2^{e_2}}^* \times \dots \times \mathbb{Z}_{p_r^{e_r}}^*$.

Hence: $\lambda(N) = \text{lcm}(\lambda(p_1^{e_1}), \dots, \lambda(p_r^{e_r}))$.

▷ Recall: \mathbb{Z}_N^* is cyclic iff $N \in \{2, 4, p^r, 2p^r\}$ ($p > 2$ prime, $r > 0$).

Hence: $\lambda(p^r) = \varphi(p^r)$ if p is an odd prime.

▷ What about $\mathbb{Z}_{2^k}^*$?

One can show: $\mathbb{Z}_{2^k}^* \cong \mathbb{Z}_2 \times \mathbb{Z}_{2^{k-2}}$ for $k > 2$.

▷ Hence: $\lambda(2) = 1$, $\lambda(4) = 2$, $\lambda(2^k) = 2^{k-2}$ for $k > 2$.

▷ 2 is the “oddest” prime.

- Recall: $\mathbb{QR}_N := \{x^2 \bmod N \mid x \in \mathbb{Z}_N^*\}$ is the set of quadratic residues modulo N .

\mathbb{QR}_p will be important later for the Elgamal PKES.

- Lemma:** $\mathbb{QR}_N \leq \mathbb{Z}_N^*$.

▷ **Proof:** $1 \in \mathbb{QR}_N$ and it is closed under multiplication.

- Corollary:** \mathbb{QR}_p is cyclic for any prime p .

- Ex:** \mathbb{QR}_N can be cyclic although \mathbb{Z}_N^* is acyclic (e.g. $N = 15$).

- Remark:** Let $N = \prod_{i=1}^r p_i^{e_i}$ be a prime factorization of N . From the CRT it follows:

$$x^2 \equiv y \pmod{N} \text{ iff } \forall i \in [r]: x^2 \equiv y \pmod{p_i^{e_i}}.$$

$$\text{That is: } \mathbb{QR}_N \cong \mathbb{QR}_{p_1^{e_1}} \times \dots \times \mathbb{QR}_{p_r^{e_r}}.$$

- ▷ **Ex:** Modulo a composite $N = pq$ (both prime), every $y \in \mathbb{QR}_N$ has at least four square roots. Hence: $|\mathbb{QR}_N| \leq \varphi(N)/4$.

- **Lemma:** Let $p > 2$ be prime.

Then every $y \in \mathbb{QR}_p$ has exactly two square roots modulo p .

- ▷ **Proof:** **Ex**

Hint: recall that $u^2 - v^2 = (u + v)(u - v)$.

- ▷ **Corollary:** $x^{\frac{p-1}{2}} \equiv_p \pm 1$ for p prime and $|\mathbb{QR}_p| = (p - 1)/2$.

- **Remark:** Let p be prime with $p \equiv 3 \pmod{4}$ (e.g. a safe prime).

Then: $(x^2)^{\frac{p+1}{4}} \equiv_p x^{\frac{p+1}{2}} \equiv_p x^{\frac{p-1}{2}} \cdot x \equiv_p \pm x$.

Computing a square root of x^2 in the case $p \equiv 1 \pmod{4}$ is more difficult, see e.g. [here](#).

▷ **Definition:** Let $p > 2$ be prime.

$\left(\frac{x}{p}\right) := x^{\frac{p-1}{2}} \bmod p$ is the Legendre symbol modulo p .

▷ **Lemma:** Let $p > 2$ be prime, and $y \in \mathbb{Z}_p^*$. Then:

$\left(\frac{y}{p}\right) = -1$ iff $y \in \mathbb{Z}_p^* \setminus \mathbb{QR}_p$, and $\left(\frac{y}{p}\right) = 1$ iff $y \in \mathbb{QR}_p$.

▷ **Proof:** **Ex**

Hint: Let $\langle g \rangle = \mathbb{Z}_p^*$ and show that $g^{\frac{p-1}{2}} \equiv_p -1$.

- **Note:** Let p be prime.

Then \mathbb{Z}_p^* has $\varphi(\varphi(p))$ many generators.

While computing $\varphi(p) = p - 1$ is trivial,

computing $\varphi(\varphi(p)) = \varphi(p - 1)$ requires a factorization of $p - 1$.

One possible solution: Use **safe primes**.

- **Definition:** A prime $p > 5$ is **safe** iff $p = 2q + 1$ with q prime.
(q is called a Sophie-Germain prime.)

- **Ex:** For $p = 2q + 1$ a safe prime, $\Pr_{a \in \mathbb{Z}_p^*} [\langle a \rangle = \mathbb{Z}_p^*] = \frac{1}{2} - \frac{1}{2q}$.
- **Ex:** Let $p = 2q + 1$ be a safe prime and $a \in \mathbb{Z}_p^*$.
Then $\langle a^2 \rangle = \mathbb{QR}_p$ if $a^2 \not\equiv_p 1$, i.e. $\Pr_{a \in \mathbb{Z}_p^*} [\langle a^2 \rangle = \mathbb{QR}_p] = 1 - \frac{1}{q}$.
- **Ex:** Compute all solutions of $X^2 \equiv_{221} 118$.
- **Ex:** Is 6 a quadratic residue modulo 47?
If so, compute its square roots.

1 Lecture 12-14 – Group theory

Motivation

Basic concepts from number theory (reminder)

Group theory

Generating primes

- **Theorem** (w/o proof, see e.g. [here](#)):

Let $\pi(x) = |\{p \leq x \mid p \text{ is prime}\}|$. Then: $\lim_{x \rightarrow \infty} \frac{\pi(x)}{x/\ln x} = 1$.

- **Lemma** (w/o proof, see e.g. [here](#)): For $x \geq 355991$:

$$\frac{x}{\ln x} \left(1 + \frac{1}{\ln x}\right) < \pi(x) < \frac{x}{\ln x} \left(1 + \frac{1}{\ln x} + \frac{2.51}{(\ln x)^2}\right).$$

- **Ex:** Use above lemma to show that there are at least $0.6 \frac{2^n}{n}$ primes in $[2^{n-1}, 2^n - 1]$ (" n -bit primes") if $n \geq 20$.
- **Conjecture:** (Hardy, Littlewood)

Let $\pi_s(x) = |\{p \leq x \mid p \text{ is a Sophie-Germain prime}\}|$.

Then: $\pi_s(x) \approx 1.32 \frac{x}{(\ln x)^2}$.

- **Ex:** Let $n \geq 20$.

As **Primes** is in **P** we can use rejection sampling for generating random primes uniformly distributed in $[2^{n-1}, 2^n - 1]$:

- Choose $x \stackrel{u}{\in} \{0, 1\}^{n-2}$.
- Read $1||x||1$ as an odd integer a in $[2^{n-1}, 2^n - 1]$.
- If a is not a prime, go back to step 1; else return a .

Show that the prob. that we haven't found a prime within $r := n^2$ rounds is negligible.

Hint: $(1 - 1/x)^x \leq e^{-x}$ for all $x \geq 1$.

Ex: If the estimate on the number of Sophie-Germain primes is asympt. correct, we can also generate in this way safe primes (for $r \approx n^3$).

- While the **AKS primality test** runs in **DPT**, in practice, the probabilistic Miller-Rabin test is still used more often as it is faster, and its prob. to give a false answer is negligible.
- ▷ It is based on the following results:
 - (i) $a^M = 1$ for all $a \in \mathbb{G}$ if \mathbb{G} is of finite order M .
 - (ii) \mathbb{Z}_N^* is a finite group of order $\varphi(N)$.
 - (iii) $\varphi(N) = N - 1$ iff N is prime.
 - (iv) For $p > 2$ prime: $x^2 \equiv_p 1$ iff $x \equiv_p \pm 1$.

- **Lemma:** Let $p > 2$ be prime with $p - 1 = 2^t d$ with d odd and $t > 0$.

Then $\forall a \in \mathbb{Z}_p^* \forall k = 0, 1, \dots, t - 1: a^{2^{k+1}d} \equiv_p 1 \rightarrow a^{2^k d} \equiv_p \pm 1$.

▷ **Proof:** ± 1 are the only two roots of 1 modulo a prime.

▷ **Corollary:** Let $N - 1 = 2^t d$ with d odd. If there is a k s.t. $a^{2^{k+1}d} \equiv_N 1$ and $a^{2^k d} \not\equiv \pm 1$, then N is not prime.

- **Miller-Rabin test** (simple version):

- Assume $N > 2$ is prime.
- Repeat r times:
 - Choose $a \stackrel{u}{\in} \mathbb{Z}_N \setminus \{0\}$
 - Check that indeed $\gcd(a, N) = 1$.
 - Check that $a^{N-1} \equiv_N 1$.
 - Check that the lemma holds.

- **Definition:** Input: odd integer $N > 2$ and number of rounds $r > 0$

If $\sqrt{N} \in \mathbb{N}$, return "composite"; // N is a square

Compute $t = \max\{k \in \mathbb{N}_0 : (N - 1) \bmod 2^k = 0\}$

(*) for $i = 1 \dots r$:

choose $a \overset{u}{\in} \{2, \dots, N - 2\}$;

if $\gcd(a, N) \neq 1$, return "composite"; // $\mathbb{Z}_N^* \neq \{1, 2, \dots, N - 1\}$

if $a^d \equiv_N \pm 1$, goto (*); // Lemma satisfied, N might be prime

for $j = 1 \dots t - 1$:

if $a^{2^j d} \equiv_N 1$, return "composite"; // $a^{2^{j-1} d} \not\equiv_N \pm 1$, but a root of 1

if $a^{2^j d} \equiv_N -1$, goto (*); // Lemma satisfied, N might be prime

return "composite";

return "probably prime";

- Assume N is a odd (non square) composite, i.e. $N \geq 15$.

Then N passes one round of the test if by chance

$$a \in \text{Bad} := \{a \in \mathbb{Z}_N^* \mid a^d \equiv_N \pm 1 \vee \exists 0 < j < t: a^{2^j d} \equiv_N -1\}.$$

- **Ex:** Determine Bad for $N = 15$ (use the CRT).

- **Lemma** (see e.g. [here](#)): $|\text{Bad}| \leq \frac{1}{4}\varphi(N)$.

▷ **Corollary** An odd composite passes r rounds of the Miller-Rabin test with prob. at most 4^{-r} .

- **Remark:** Use repeated squaring to compute $a^d \pmod{N}$; after that only squaring required.

The total running time becomes $\mathcal{O}(r(\log_2 N)^3)$.

