

Concrete Semantics

with Isabelle/HOL

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Part II

Semantics

Chapter 7

IMP:

A Simple Imperative Language

- ① IMP Commands
- ② Big-Step Semantics
- ③ Small-Step Semantics

① IMP Commands

② Big-Step Semantics

③ Small-Step Semantics

Terminology

Statement: declaration of fact or claim

Semantics is easy.

Command: order to do something

Study the book until you have understood it.

Expressions are *evaluated*, commands are *executed*

Commands

Concrete syntax:

$$\begin{array}{l} com ::= \text{SKIP} \\ \quad | \text{ string} ::= aexp \\ \quad | com ; ; com \\ \quad | \text{ IF } bexp \text{ THEN } com \text{ ELSE } com \\ \quad | \text{ WHILE } bexp \text{ DO } com \end{array}$$

Commands

Abstract syntax:

datatype *com* = *SKIP*
| *Assign string aexp*
| *Seq com com*
| *If bexp com com*
| *While bexp com*

Com.thy

① IMP Commands

② Big-Step Semantics

③ Small-Step Semantics

Big-step semantics

Concrete syntax:

$$(com, initial-state) \Rightarrow final-state$$

Intended meaning of $(c, s) \Rightarrow t$:

Command c started in state s terminates in state t

“ \Rightarrow ” here not type!

Big-step rules

$$(SKIP, s) \Rightarrow s$$

$$(x ::= a, s) \Rightarrow s(x := \text{aval } a \ s)$$

$$\frac{(c_1, s_1) \Rightarrow s_2 \quad (c_2, s_2) \Rightarrow s_3}{(c_1;; c_2, s_1) \Rightarrow s_3}$$

Big-step rules

$$\frac{bval\ b\ s \quad (c_1, s) \Rightarrow t}{(IF\ b\ THEN\ c_1\ ELSE\ c_2, s) \Rightarrow t}$$

$$\frac{\neg\ bval\ b\ s \quad (c_2, s) \Rightarrow t}{(IF\ b\ THEN\ c_1\ ELSE\ c_2, s) \Rightarrow t}$$

Big-step rules

$$\frac{\neg \textit{bval } b \ s}{(\textit{WHILE } b \ \textit{DO } c, \ s) \Rightarrow s}$$

$$\frac{\begin{array}{c} \textit{bval } b \ s_1 \\ (c, \ s_1) \Rightarrow s_2 \end{array} \quad (\textit{WHILE } b \ \textit{DO } c, \ s_2) \Rightarrow s_3}{(\textit{WHILE } b \ \textit{DO } c, \ s_1) \Rightarrow s_3}$$

Examples: derivation trees

$$\frac{\vdots}{("x'' ::= N\ 5;; "y'' ::= V\ "x'',\ s) \Rightarrow ?} \qquad \frac{\vdots}{(w,\ s_i) \Rightarrow ?}$$

where

$$\begin{aligned} w &= \textit{WHILE}\ b\ \textit{DO}\ c \\ b &= \textit{NotEq}\ (V\ "x'')\ (N\ 2) \\ c &= "x'' ::= \textit{Plus}\ (V\ "x'')\ (N\ 1) \\ s_i &= s("x'' := i) \end{aligned}$$

$$\begin{aligned} \textit{NotEq}\ a_1\ a_2 &= \\ \textit{Not}(\textit{And}\ (&\textit{Not}(\textit{Less}\ a_1\ a_2))\ (\textit{Not}(\textit{Less}\ a_2\ a_1)))) \end{aligned}$$

Logically speaking

$$(c, s) \Rightarrow t$$

is just infix syntax for

$$big_step\ (c,s)\ t$$

where

$$big_step :: com \times state \Rightarrow state \Rightarrow bool$$

is an inductively defined predicate.

Big_Step.thy

Semantics

Rule inversion

What can we deduce from

- $(SKIP, s) \Rightarrow t$?
- $(x ::= a, s) \Rightarrow t$?
- $(c_1;; c_2, s_1) \Rightarrow s_3$?
- $(IF\ b\ THEN\ c_1\ ELSE\ c_2, s) \Rightarrow t$?
- $(w, s) \Rightarrow t$ where $w = WHILE\ b\ DO\ c$?

Automating rule inversion

Isabelle command **inductive_cases** produces theorems that perform rule inversions automatically.

We reformulate the inverted rules. Example:

$$\frac{(c_1;; c_2, s_1) \Rightarrow s_3}{\exists s_2. (c_1, s_1) \Rightarrow s_2 \wedge (c_2, s_2) \Rightarrow s_3}$$

is logically equivalent to

$$\frac{\bigwedge s_2. [(c_1, s_1) \Rightarrow s_2; (c_2, s_2) \Rightarrow s_3] \implies P}{P}$$

Replaces assem $(c_1;; c_2, s_1) \Rightarrow s_3$ by two assems $(c_1, s_1) \Rightarrow s_2$ and $(c_2, s_2) \Rightarrow s_3$ (with a new fixed s_2).

No \exists and \wedge !

The general format: *elimination rules*

$$\frac{asm \quad asm_1 \Longrightarrow P \quad \dots \quad asm_n \Longrightarrow P}{P}$$

(possibly with $\bigwedge \bar{x}$ in front of the $asm_i \Longrightarrow P$)

Reading:

To prove a goal P with assumption asm ,
prove all $asm_i \Longrightarrow P$

Example:

$$\frac{F \vee G \quad F \Longrightarrow P \quad G \Longrightarrow P}{P}$$

elim attribute

- Theorems with *elim* attribute are used automatically by *blast*, *fastforce* and *auto*
- Can also be added locally, eg (*blast elim: ...*)
- Variant: *elim!* applies elim-rules eagerly.

Big_Step.thy

Rule inversion

Command equivalence

Two commands have the same input/output behaviour:

$$c \sim c' \equiv (\forall s\ t. (c, s) \Rightarrow t \longleftrightarrow (c', s) \Rightarrow t)$$

Example

$$w \sim w'$$

where $w = \text{WHILE } b \text{ DO } c$

$w' = \text{IF } b \text{ THEN } c;; w \text{ ELSE SKIP}$

Equivalence proof

$$\begin{aligned} & (w, s) \Rightarrow t \\ & \longleftrightarrow \\ & bval\ b\ s \wedge (\exists s'. (c, s) \Rightarrow s' \wedge (w, s') \Rightarrow t) \\ & \quad \vee \\ & \neg\ bval\ b\ s \wedge t = s \\ & \longleftrightarrow \\ & (w', s) \Rightarrow t \end{aligned}$$

Using the rules and rule inversions for \Rightarrow .

Big_Step.thy

Command equivalence

Execution is deterministic

Any two executions of the same command in the same start state lead to the same final state:

$$(c, s) \Rightarrow t \implies (c, s) \Rightarrow t' \implies t = t'$$

Proof by rule induction, for arbitrary t' .

Big_Step.thy

Execution is deterministic

The boon and bane of big steps

We cannot observe intermediate states/steps

Example problem:

(c, s) does not terminate iff $\nexists t. (c, s) \Rightarrow t$?

Needs a formal notion of nontermination to prove it.
Could be wrong if we have forgotten a \Rightarrow rule.

Big-step semantics cannot directly describe

- nonterminating computations,
- parallel computations.

We need a finer grained semantics!

① IMP Commands

② Big-Step Semantics

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Small-step semantics

Concrete syntax:

$$(com, state) \rightarrow (com, state)$$

Intended meaning of $(c, s) \rightarrow (c', s')$:

The first step in the execution of c in state s leaves a “remainder” command c' to be executed in state s' .

Execution as finite or infinite reduction:

$$(c_1, s_1) \rightarrow (c_2, s_2) \rightarrow (c_3, s_3) \rightarrow \dots$$

Terminology

- A pair (c,s) is called a *configuration*.
- If $cs \rightarrow cs'$ we say that cs *reduces* to cs' .
- A configuration cs is *final* iff $\nexists cs'. cs \rightarrow cs'$

The intention:

$(SKIP, s)$ is final

Why?

SKIP is the empty program. Nothing more to be done.

Small-step rules

$$(x ::= a, s) \rightarrow (SKIP, s(x := \text{aval } a \ s))$$

$$(SKIP;; c, s) \rightarrow (c, s)$$

$$\frac{(c_1, s) \rightarrow (c'_1, s')}{(c_1;; c_2, s) \rightarrow (c'_1;; c_2, s')}$$

Small-step rules

$$\frac{bval\ b\ s}{(IF\ b\ THEN\ c_1\ ELSE\ c_2, s) \rightarrow (c_1, s)}$$

$$\frac{\neg\ bval\ b\ s}{(IF\ b\ THEN\ c_1\ ELSE\ c_2, s) \rightarrow (c_2, s)}$$

$$(WHILE\ b\ DO\ c, s) \rightarrow (IF\ b\ THEN\ c;;\ WHILE\ b\ DO\ c\ ELSE\ SKIP, s)$$

Fact $(SKIP, s)$ is a final configuration.

Small-step examples

$$("z'' ::= V "x'';; "x'' ::= V "y'';; "y'' ::= V "z'', s) \rightarrow \dots$$

where $s = \langle "x'' := 3, "y'' := 7, "z'' := 5 \rangle$.

$$(w, s_0) \rightarrow \dots$$

where

$$\begin{aligned} w &= \text{WHILE } b \text{ DO } c \\ b &= \text{Less } (V "x'') (N 1) \\ c &= "x'' ::= \text{Plus } (V "x'') (N 1) \\ s_n &= \langle "x'' := n \rangle \end{aligned}$$

Small_Step.thy

Semantics

Are big and small-step semantics equivalent?

From \Rightarrow to \rightarrow^*

Theorem $cs \Rightarrow t \implies cs \rightarrow^* (SKIP, t)$

Proof by rule induction (of course on $cs \Rightarrow t$)

In two cases a lemma is needed:

Lemma

$(c_1, s) \rightarrow^* (c_1', s') \implies (c_1;; c_2, s) \rightarrow^* (c_1';; c_2, s')$

Proof by rule induction.

From \rightarrow^* to \Rightarrow

Theorem $cs \rightarrow^* (SKIP, t) \implies cs \Rightarrow t$

Proof by rule induction on $cs \rightarrow^* (SKIP, t)$.

In the induction step a lemma is needed:

Lemma $cs \rightarrow cs' \implies cs' \Rightarrow t \implies cs \Rightarrow t$

Proof by rule induction on $cs \rightarrow cs'$.

Equivalence

Corollary $cs \Rightarrow t \iff cs \rightarrow^* (SKIP, t)$

Small_Step.thy

Equivalence of big and small

Can execution stop prematurely?

That is, are there any final configs except $(SKIP, s)$?

Lemma $final(c, s) \implies c = SKIP$

We prove the contrapositive

$$c \neq SKIP \implies \neg final(c, s)$$

by induction on c .

- Case $c_1;; c_2$: by case distinction:
 - $c_1 = SKIP \implies \neg final(c_1;; c_2, s)$
 - $c_1 \neq SKIP \implies \neg final(c_1, s)$ (by IH)
 $\implies \neg final(c_1;; c_2, s)$
- Remaining cases: trivial or easy

By rule inversion: $(SKIP, s) \rightarrow ct \implies False$

Together:

Corollary $final(c, s) = (c = SKIP)$

Infinite executions

\Rightarrow yields final state iff \rightarrow terminates

Lemma $(\exists t. cs \Rightarrow t) = (\exists cs'. cs \rightarrow^* cs' \wedge \text{final } cs')$

Proof: $(\exists t. cs \Rightarrow t)$
= $(\exists t. cs \rightarrow^* (\text{SKIP}, t))$
 (by big = small)
= $(\exists cs'. cs \rightarrow^* cs' \wedge \text{final } cs')$
 (by final = SKIP)

Equivalent:

\Rightarrow does not yield final state iff \rightarrow does not terminate

May versus Must

\rightarrow is deterministic:

Lemma $cs \rightarrow cs' \implies cs \rightarrow cs'' \implies cs'' = cs'$
(Proof by rule induction)

Therefore: no difference between

may terminate (there is a terminating \rightarrow path)

must terminate (all \rightarrow paths terminate)

Therefore: \Rightarrow correctly reflects termination behaviour.

With nondeterminism: may have both $cs \Rightarrow t$ and a nonterminating reduction $cs \rightarrow cs' \rightarrow \dots$

Chapter 8

Hoare Logic

④ Weakest Preconditions

⑤ Towards Simpler Verification of Programs

⑥ Loop Patterns

④ Weakest Preconditions

⑤ Towards Simpler Verification of Programs

⑥ Loop Patterns

④ Weakest Preconditions

Introduction

We have proved functional programs correct

We have modeled semantics of imperative languages

But how do we prove imperative programs correct?

An example program:

```
program exp {  
  a := 1  
  while (0 < n) do {  
    a := a + a;  
    n := n - 1  
  }  
}
```

At the end of the execution, variable a should contain 2^n ,
where n is the original value of variable n !
and $0 \leq n!$

In general: If *precondition* holds for initial state then, program terminates, and final state satisfies *postcondition*

Formally?

$$P \ s \Longrightarrow \exists t. (c, s) \Rightarrow t \wedge Q \ t$$

The RHS of this implication is called *weakest precondition*

$$wp \ c \ Q \ s \equiv \exists t. (c, s) \Rightarrow t \wedge Q \ t$$

Weakest condition on state, such that program c will satisfy postcondition Q .

Some obvious facts:

Consequence rule:

$$\llbracket wp\ c\ P\ s; \bigwedge s. P\ s \implies Q\ s \rrbracket \implies wp\ c\ Q\ s$$

wp of equivalent programs is equal

$$c \sim c' \implies wp\ c = wp\ c'$$

Correctness of *exp*?

$$0 \leq s \text{ ''}n'' \implies wp \ exp \ (\lambda s'. \ s' \text{ ''}a'' = 2^{nat \ (s \text{ ''}n'')}) \ s$$

$nat::int \Rightarrow nat$ required b/c $(\hat{\ })::'a \Rightarrow nat \Rightarrow 'a$ only defined on nat .

In general: $P \ s \implies wp \ c \ Q \ s$

How to prove correctness of programs?

$$P\ s \Longrightarrow wp\ c\ Q\ s$$

$$wp\ SKIP\ Q\ s = Q\ s$$

$$wp\ (x ::= a)\ Q\ s = Q\ (s(x := aval\ a\ s))$$

$$wp\ (c_1;; c_2)\ Q\ s = wp\ c_1\ (wp\ c_2\ Q)\ s$$

$$\begin{aligned} wp\ (IF\ b\ THEN\ c_1\ ELSE\ c_2)\ Q\ s \\ = if\ bval\ b\ s\ then\ wp\ c_1\ Q\ s\ else\ wp\ c_2\ Q\ s \end{aligned}$$

Reasoning along syntax of program!

That was easy! But what about *While*?

$$\begin{aligned} & wp \ (WHILE \ b \ DO \ c) \ Q \ s \\ & = if \ bval \ b \ s \ then \ wp \ c \ (wp \ (WHILE \ b \ DO \ c) \ Q) \ s \ else \\ & \quad Q \ s \end{aligned}$$

Unfolding will continue forever!

Obviously, need some inductive argument!

But, let's get less ambitious (for first)

Weakest **liberal** precondition

$$wlp\ c\ Q\ s \equiv \forall t. (c, s) \Rightarrow t \longrightarrow Q\ t$$

If c terminates on s , then new state satisfies Q

Cannot reason about termination. This is called ***partial correctness***.

Some obvious facts:

$$c \sim c' \implies wlp\ c = wlp\ c'$$

$$\llbracket wlp\ c\ P\ s; \bigwedge s. P\ s \implies Q\ s \rrbracket \implies wlp\ c\ Q\ s$$

Relation between wp and wlp

$$wp\ c\ Q\ s \implies wlp\ c\ Q\ s$$

$$wlp\ c\ Q\ s \wedge (c, s) \Rightarrow t \implies wp\ c\ Q\ s$$

Unfold rules still hold:

$$wlp\ SKIP\ Q\ s = Q\ s$$

$$wlp\ (x ::= a)\ Q\ s = Q\ (s(x := aval\ a\ s))$$

$$wlp\ (c_1;; c_2)\ Q\ s = wlp\ c_1\ (wlp\ c_2\ Q)\ s$$

$$wlp\ (IF\ b\ THEN\ c_1\ ELSE\ c_2)\ Q\ s = \\ (if\ bval\ b\ s\ then\ wlp\ c_1\ Q\ s\ else\ wlp\ c_2\ Q\ s)$$

$$\text{wlp } (\text{WHILE } b \text{ DO } c) \ Q \ s = \\ (\text{if } \text{bval } b \ s \text{ then } \text{wlp } c \ (\text{wlp } (\text{WHILE } b \text{ DO } c) \ Q) \ s \text{ else } \\ Q \ s)$$

Let's try to find predicate I , such that

$$\bigwedge s. I \ s \implies \text{if } \text{bval } b \ s \text{ then } \text{wp } c \ I \ s \text{ else } Q \ s$$

and I holds for start state.

Intuition: I holds initially, is preserved by iteration, and implies Q at end of loop. I is called *loop invariant*

While-rule for partial correctness

$$\begin{aligned} & \llbracket I \ s_0; \bigwedge s. I \ s \implies \textit{if } b \textit{val } b \ s \textit{ then } wlp \ c \ I \ s \textit{ else } Q \ s \rrbracket \\ & \implies wlp \ (\textit{WHILE } b \ \textit{DO } c) \ Q \ s_0 \end{aligned}$$

Wp_Demo.thy

Weakest Precondition

Now we can start proving programs ...

$$P \ s \Longrightarrow \textit{wlp} \ c \ Q \ s$$

If $c = \textit{WHILE} \ _ \textit{DO} \ _$, provide invariant and apply while rule

Otherwise, use unfold rules.

Iterate, until all *wlps* gone!

wlp_if_eq and *wlp_whileI'* produce *if-then-else* which we have to split.

Combine rule with splitting!

Wp_Demo.thy

Proving Partial Correctness

But how about termination?

An (ordering) relation $<$ is *well-founded*, iff every non-empty set has a minimal element.

Equivalently: No infinite sequence with $x_1 > x_2 > \dots$

Well-foundedness implies induction principle

$$\frac{wf\ r \quad \bigwedge x. \frac{\forall y. (y, x) \in r \longrightarrow P\ y}{P\ x}}{P\ a}$$

Wellfounded_Demo.thy

For while loop: Find wf relation $<$ such that state decreases in each iteration

$$\bigwedge s. I\ s \implies \text{if } bval\ b\ s \text{ then } wp\ c\ (\lambda s'. I\ s' \wedge s' < s)\ s \\ \text{else } Q\ s$$

Then use wf-induction to prove:

$$\begin{aligned} & \llbracket wf\ R; I\ s_0; \\ & \bigwedge s. I\ s \implies \text{if } bval\ b\ s \text{ then } wp\ c\ (\lambda s'. I\ s' \wedge (s', s) \in \\ & R)\ s \text{ else } Q\ s \rrbracket \\ & \implies wp\ (WHILE\ b\ DO\ c)\ Q\ s_0 \end{aligned}$$

Or, equivalently

assumes $WF: wf\ R$

assumes $INIT: I\ s_0$

assumes $STEP: \bigwedge s. \llbracket I\ s; bval\ b\ s \rrbracket$
 $\implies wp\ c\ (\lambda s'. I\ s' \wedge (s', s) \in R)\ s$

assumes $FINAL: \bigwedge s. \llbracket I\ s; \neg bval\ b\ s \rrbracket \implies Q\ s$

shows $wp\ (WHILE\ b\ DO\ c)\ Q\ s_0$

Now we can prove total correctness ...

Wp_Demo.thy

Total Correctness

④ Weakest Preconditions

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⑥ Loop Patterns

Let's make our VCG more usable

Add standard arithmetic operators to IMP

Add nice syntax for programs

Make VCs more readable

Simplify specification of pre/postcondition, and invariants

Standard operators

We add generic syntax for any unary/binary operator

$Unop::(int \Rightarrow int) \Rightarrow aexp \Rightarrow aexp$

$Binop::(int \Rightarrow int \Rightarrow int) \Rightarrow aexp \Rightarrow aexp \Rightarrow aexp$

$Cmpop::(int \Rightarrow int \Rightarrow bool) \Rightarrow aexp \Rightarrow aexp \Rightarrow bexp$

$BBinop::(bool \Rightarrow bool \Rightarrow bool) \Rightarrow bexp \Rightarrow bexp \Rightarrow bexp$

For example:

$Cmpop (\leq) (Binop (+) (Unop uminus (V "x"))) (N 42)) (N 50)$

IMP2/Introduction.thy

Adding more Operators

C-like syntax

Operators

Arith: $+, -, *, /$ with usual binding

Boolean: \neg, \wedge, \vee and $=, \neq, \leq, <, >, \geq$

Commands

skip, $v = aexp$, $\{c\}$, $c_1; c_2$

if bexp then c_1 [*else* c_2] else part is optional

while (*bexp*) c

IMP2/Introduction.thy

Program Syntax

More Readable VCs

Idea: Replace $s \text{ ''}x\text{''}$ by (Isabelle) variable x .

Similar: $s_0 \text{ ''}x\text{''}$ by x_0 .

If subgoal can still be proved for arbitrary (Isabelle) variable x , it can, in particular, be proved for $s \text{ ''}x\text{''}$.

$$(\bigwedge x. P \ x) \Longrightarrow P \ (s \text{ ''}x\text{''})$$

IMP2/Introduction.thy

More Readable VCs

More Readable Annotations

Can we do similar trick for pre/postconditions and invariants?

E.g. write $c \leq n_0 \wedge a = c * c$ for

$$s \text{ ''}c\text{''} \leq s_0 \text{ ''}n\text{''} \wedge s \text{ ''}a\text{''} = s \text{ ''}c\text{''} * s \text{ ''}c\text{''}$$

Which variables to interpret? over which states?

All variables that occur in the program!

Precondition: x interpreted as $s \text{ ''}x\text{''}$

Postcondition/Invariant: x as $s \text{ ''}x\text{''}$, x_0 as $s_0 \text{ ''}x\text{''}$

IMP2/Introduction.thy

More Readable Annotations

④ Weakest Preconditions

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Common Loop Patterns

We've seen a few loop's already:

$a=1; c=0; \text{ while } (c < n) \{ a=2*a; c=c+1 \}$

Compute operation by iterating weaker operation

e.g. $2^n = 2 * \dots * 2$

Use accumulator a and increment counter (count-up)

Or decrement counter (e.g. n) (count down)

Invariant: $a = 2^c \wedge \dots$ (accumulator = f(iterations))

Applications: $*$ by $+$, exp, Fibonacci, factorial, ...

IMP2/Examples.thy

Count-up, Count-Down

Approximate Naively

Invert monotonic function, by naively trying all values:

$r=1$; *while* $(r*r \leq n)$ $\{r=r+1\}$; $r=r-1$

What does this compute? square root, rounded down!

Idea: Iterate until we overshoot by one. Then decrement.

Invariant: $?$ $(r-1)^2 \leq n \wedge \dots$ ($r-1$ below or equal result)

Applications: sqrt, log, ...

IMP2/Examples.thy

Approximate from Below

Bisection

We can compute sqrt more efficiently.

```
l=0; h=n+1; while (l+1 < h) { m = (l + h) / 2; if  
m*m ≤ n then l=m else h=m }; r=l
```

Idea: Half range in each step

Invariant? $l^2 \leq n < h^2 \wedge \dots$ (range contains solution)

This program is actually tricky to get right!

IMP2/Examples.thy

Bisection