# Concrete Semantics with Isabelle/HOL

Peter Lammich

(slides from Concrete Semantics by Nipkow)

2018-10-16

# Chapter 1

# Introduction

1 Background

**2** This Course

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2 This Course

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Course Homepage: http:
//www21.in.tum.de/teaching/semantik/WS1819/
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Tutorials and Homework are the heart and soul of this course!

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Without semantics, we do not really know what our programs mean.

We merely have a good intuition and a warm feeling.

Like the state of mathematics in the 19th century — before set theory and logic entered the scene.

 You need a good intuition to get your work done efficiently.

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- I assume you have the necessary intuition.
- This course is about "beyond intuition".

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#### Example:

What does the correctness of a type checker even mean?

Writing correct language processors (e.g. compilers, refactoring tools, ...) requires

- a deep understanding of language semantics,
- the ability to *reason* (= perform proofs) about the language and your processor.

#### Example:

What does the correctness of a type checker even mean? How is it proved?

#### We have a compiler — that is the ultimate semantics!!

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- Because compilers are far too complicated.
- They provide the worst possible semantics.
- Moreover: compilers may differ!

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- Most compilers have bugs.
- Few languages have a (separate, abstract) semantics.
- If they do, it will be informal (English).

# Bugs

• Google "compiler bug"

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- Google "hostile applet"
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GI Dissertationspreis 2003: Gerwin Klein: *Verified Java Bytecode Verification* 

# Standard ML (SML)

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Main achievements:

LCF (theorem proving)
SML (functional programming)
CCS, pi (concurrency)

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- too much detail to allow reliable informal proof
- not processable beyond LaTEX, not even executable

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- Complex designs are error-prone.
- Informal mathematical proofs of complex designs are also error-prone.

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#### The tool:

Proof Assistant (PA)
or
Interactive Theorem Prover (ITP)

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### Government health warnings:

Time consuming
Potentially addictive
Undermines your naive trust in informal proofs

# **Terminology**

#### This lecture course:

```
Formal = machine-checked
Verification = formal correctness proof
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Verification = formal correctness proof
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### Traditionally:

Formal = mathematical

C compiler

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Xavier Leroy INRIA Paris using Coq

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Xavier Leroy INRIA Paris using Coq

Operating system microkernel (L4)

C compiler Competitive with gcc -01



Xavier Leroy INRIA Paris using Coq

Operating system microkernel (L4)



Gerwin Klein (& Co)
NICTA Sydney
using Isabelle

# A happy fact of life

Programming language researchers are increasingly using PAs

# Why verification pays off

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Tracking effects of changes by rerunning proofs

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Long term much more important than short term:

Software Never Dies

1 Background

This Course

Hot or trendy PLs

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- Compilers (although they will be one application)

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Both informally and formally (PA!)

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All exercises require the use of Isabelle/HOL

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- It is the only way to deal with complex languages reliably
- I want students to learn how to write correct proofs
- I have seen too many proofs that look more like LSD trips than coherent mathematical arguments

### Overview of course

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- IMP (assignment and while loops) and its semantics

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- Introduction to Isabelle/HOL
- IMP (assignment and while loops) and its semantics
- A compiler for IMP
- Hoare logic for IMP
- Type systems for IMP
- Program analysis for IMP

The semantics part of the course is mostly traditional

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A growing number of universities offer related course

What you learn in this course goes far beyond PLs

# What you learn in this course goes far beyond PLs It has applications in compilers, security, software engineering etc.

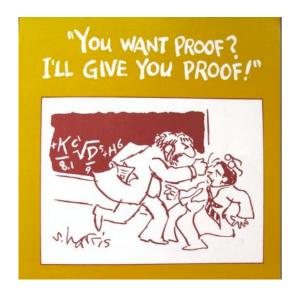
#### What you learn in this course goes far beyond PLs

It has applications in compilers, security, software engineering etc.

It is a new approach to informatics

### At the end of the course ...

### At the end of the course . . .



## Part I

## Isabelle

## Chapter 2

## Programming and Proving

- 3 Overview of Isabelle/HOL
- **4** Type and function definitions
- **5** Induction Heuristics

6 Simplification

### Quiz

Which of the following formulas have the same meaning?

- $\bullet A \Longrightarrow (B \Longrightarrow C)$
- $(A \Longrightarrow B) \Longrightarrow C$
- $(A \land B) \Longrightarrow C$

#### **Notation**

#### Implication associates to the right:

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$$A_1 \quad \dots \quad A_n \quad \text{means} \quad A_1 \Longrightarrow \dots \Longrightarrow A_n \Longrightarrow B$$

3 Overview of Isabelle/HOL

- Type and function definitions
- Induction Heuristics

Simplification

#### HOL = Higher-Order Logic

# $\begin{aligned} & \mathsf{HOL} = \mathsf{Higher}\text{-}\mathsf{Order}\ \mathsf{Logic} \\ & \mathsf{HOL} = \mathsf{Functional}\ \mathsf{Programming} + \mathsf{Logic} \end{aligned}$

#### HOL has

- datatypes
- recursive functions
- logical operators

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#### **HOL Formulas:**

- For the moment: only term = term, e.g. 1 + 2 = 4
- Later:  $\land$ ,  $\lor$ ,  $\longrightarrow$ ,  $\forall$ , . . .

#### 3 Overview of Isabelle/HOL

#### Types and terms

Interface By example: types bool, nat and list Summary

#### Basic syntax:

 $\tau \quad ::=$ 

$$\tau \quad ::= \quad (\tau)$$

```
base types
                          type variables
                          functions
                          pairs (ascii: *)
                          lists
                          sets
                          user-defined types
```

#### Basic syntax:

Convention:  $\tau_1 \Rightarrow \tau_2 \Rightarrow \tau_3 \equiv \tau_1 \Rightarrow (\tau_2 \Rightarrow \tau_3)$ 

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$$t$$
 ::=  $(t)$ 

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Examples: 
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Convention:  $f t_1 t_2 t_3 \equiv ((f t_1) t_2) t_3$ 

This language of terms is known as the  $\lambda$ -calculus.

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• The step from  $(\lambda x. \ t) \ u$  to t[u/x] is called  $\beta$ -reduction.

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- Isabelle performs  $\beta$ -reduction automatically.

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#### Notation:

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$$\frac{t :: \tau_1 \Rightarrow \tau_2 \qquad u :: \tau_1}{t \ u :: \tau_2}$$

Isabelle automatically computes the type of each variable in a term.

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User can help with *type annotations* inside the term. Example: f(x::nat)

# Currying

Thou shalt Curry your functions

### Currying

#### Thou shalt Curry your functions

• Curried:  $f :: \tau_1 \Rightarrow \tau_2 \Rightarrow \tau$ 

• Tupled:  $f' :: \tau_1 \times \tau_2 \Rightarrow \tau$ 

## Currying

#### Thou shalt Curry your functions

```
• Curried: f :: \tau_1 \Rightarrow \tau_2 \Rightarrow \tau
• Tupled: f' :: \tau_1 \times \tau_2 \Rightarrow \tau
```

#### Advantage:

```
Currying allows partial application f a_1 where a_1 :: \tau_1
```

• *Infix:* +, −, ∗, #, @, . . .

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#### Prefix binds more strongly than infix:

- *Infix:* +, -, \*, #, @, ...
- Mixfix: if \_ then \_ else \_, case \_ of, ...

$$! fx + y \equiv (fx) + y \not\equiv f(x + y)$$

Enclose if and case in parentheses:

```
Syntax: theory MyTh imports T_1 \dots T_n begin (definitions, theorems, proofs, ...)* end
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Usually: imports Main

### Concrete syntax

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Types, terms and formulas need to be inclosed in "

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" normally not shown on slides

### 3 Overview of Isabelle/HOL

Types and terms

#### Interface

By example: types *bool*, *nat* and *list* Summary

# isabelle jedit

# isabelle jedit

• Based on *jEdit* editor

#### isabelle jedit

- Based on *¡Edit* editor
- Processes Isabelle text automatically when editing .thy files

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- Processes Isabelle text automatically when editing .thy files (like modern Java IDEs)

## Overview\_Demo.thy

#### 3 Overview of Isabelle/HOL

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By example: types bool, nat and list Summary

datatype  $bool = True \mid False$ 

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Predefined functions:

 $\land, \lor, \longrightarrow, \dots :: bool \Rightarrow bool \Rightarrow bool$ 

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A formula is a term of type bool

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if-and-only-if: =

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Values of type nat: 0, Suc 0, Suc(Suc 0), ...

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Values of type nat: 0, Suc 0, Suc(Suc 0), ...

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Numbers and arithmetic operations are overloaded: 0,1,2,...:  $'a, +:: 'a \Rightarrow 'a \Rightarrow 'a$ 

You need type annotations: 1 :: nat, x + (y::nat)

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Predefined functions:  $+, *, \dots :: nat \Rightarrow nat \Rightarrow nat$ 

Numbers and arithmetic operations are overloaded: 0,1,2,...:  $'a, + :: 'a \Rightarrow 'a \Rightarrow 'a$ 

You need type annotations: 1 :: nat, x + (y::nat) unless the context is unambiguous:  $Suc\ z$ 

## Nat\_Demo.thy

Lemma add m 0 = m

**Lemma** add m 0 = m**Proof** by induction on m.

• Case 0 (the base case):  $add \ 0 \ 0 = 0$  holds by definition of add.

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  We need to show add (Suc m) 0 = Suc m.
  The proof is as follows:

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- Case Suc m (the induction step): We assume add m 0 = m, the induction hypothesis (IH). We need to show add (Suc m) 0 = Suc m. The proof is as follows: add (Suc m) 0 = Suc (add m 0) by def. of add

```
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Proof by induction on m.
```

- Case 0 (the base case):  $add \ 0 \ 0 = 0$  holds by definition of add.
- Case  $Suc\ m$  (the induction step):

  We assume  $add\ m\ 0=m$ ,
  the induction hypothesis (IH).

  We need to show  $add\ (Suc\ m)\ 0=Suc\ m$ .

  The proof is as follows:  $add\ (Suc\ m)\ 0=Suc\ (add\ m\ 0) \quad \text{by def. of } add$   $=Suc\ m \qquad \qquad \text{by IH}$

Lists of elements of type 'a

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datatype 'a list = Nil | Cons 'a ('a list)

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Some lists: Nil,

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Some lists: Nil, Cons 1 Nil,

Lists of elements of type 'a

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Some lists: Nil, Cons 1 Nil, Cons 1 (Cons 2 Nil), ...

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Syntactic sugar:

• ] = Nil: empty list

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#### Syntactic sugar:

- ] = Nil: empty list
- $x \# xs = Cons \ x \ xs$ : list with first element x ( "head") and rest xs ( "tail")

Lists of elements of type 'a

datatype 'a list = 
$$Nil$$
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Some lists: Nil, Cons 1 Nil, Cons 1 (Cons 2 Nil), ...

#### Syntactic sugar:

- ] = Nil: empty list
- $x \# xs = Cons \ x \ xs$ : list with first element x ( "head") and rest xs ( "tail")
- $[x_1, \ldots, x_n] = x_1 \# \ldots x_n \# []$

#### Structural Induction for lists

To prove that P(xs) for all lists xs, prove

- P([]) and
- for arbitrary but fixed x and xs, P(xs) implies P(x#xs).

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To prove that P(xs) for all lists xs, prove

- P([]) and
- for arbitrary but fixed x and xs, P(xs) implies P(x#xs).

$$\frac{P([]) \qquad \bigwedge x \ xs. \ P(xs) \Longrightarrow P(x\#xs)}{P(xs)}$$

## List\_Demo.thy

**Lemma** app (app xs ys) zs = app xs (app ys zs)**Proof** by induction on xs.

- Case Nil: app (app Nil ys) zs = app ys zs = app Nil (app ys zs) holds by definition of app.
- Case  $Cons\ x\ xs$ : We assume  $app\ (app\ xs\ ys)\ zs = app\ xs\ (app\ ys\ zs)$  (IH), and we need to show  $app\ (app\ (Cons\ x\ xs)\ ys)\ zs = app\ (Cons\ x\ xs)\ (app\ ys\ zs)$ .

The proof is as follows:

app (app (Cons x xs) ys) zs

 $= Cons \ x \ (app \ (app \ xs \ ys) \ zs)$  by definition of app

 $= Cons \ x \ (app \ xs \ (app \ ys \ zs))$  by IH

 $= app (Cons \ x \ xs) (app \ ys \ zs)$  by definition of app

## Large library: HOL/List.thy

Included in Main.

Included in Main.

Don't reinvent, reuse!

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Don't reinvent, reuse!

Predefined: xs @ ys (append),

Included in Main.

Don't reinvent, reuse!

Predefined: xs @ ys (append), length,

Included in Main.

Don't reinvent, reuse!

Predefined: xs @ ys (append), length, and map

#### 3 Overview of Isabelle/HOL

Types and terms
Interface
By example: types bool, nat and list
Summary

- datatype defines (possibly) recursive data types.
- **fun** defines (possibly) recursive functions by pattern-matching over datatype constructors.

### Proof methods

• *induction* performs structural induction on some variable (if the type of the variable is a datatype).

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### Proof methods

- induction performs structural induction on some variable (if the type of the variable is a datatype).
- auto solves as many subgoals as it can, mainly by simplification (symbolic evaluation):

"=" is used only from left to right!

#### **Proofs**

#### General schema:

```
lemma name: "..."
apply (...)
apply (...)
:
done
```

#### **Proofs**

#### General schema:

```
lemma name: "..."
apply (...)
apply (...)
:
done
```

If the lemma is suitable as a simplification rule:

```
lemma name[simp]: "..."
```

# Top down proofs

Command

sorry

"completes" any proof.

# Top down proofs

Command

#### sorry

"completes" any proof.

Allows top down development:

Assume lemma first, prove it later.

 $1. \bigwedge x_1 \ldots x_p. A \Longrightarrow B$ 

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 $x_1 \ldots x_p$  fixed local variables

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$$\bigwedge x_1 \dots x_p$$
.  $A \Longrightarrow B$ 
 $x_1 \dots x_p$  fixed local variables  $A$  local assumption(s)

1. 
$$\bigwedge x_1 \dots x_p$$
.  $A \Longrightarrow B$ 
 $x_1 \dots x_p$  fixed local variables  $A$  local assumption(s)  $B$  actual (sub)goal

## Multiple assumptions

$$\llbracket A_1; \dots ; A_n \rrbracket \Longrightarrow B$$
abbreviates
$$A_1 \Longrightarrow \dots \Longrightarrow A_n \Longrightarrow B$$

### Multiple assumptions

- 3 Overview of Isabelle/HOL
- Type and function definitions
- Induction Heuristics

Simplification

4 Type and function definitions
Type definitions
Function definitions

```
type_synonym name = \tau
```

Introduces a synonym name for type au

type\_synonym  $name = \tau$ 

Introduces a  $synonym\ name$  for type au

**Examples** 

type\_synonym  $string = char \ list$ 

```
type_synonym name = \tau
```

Introduces a synonym name for type au

### Examples

type\_synonym  $string = char \ list$ type\_synonym  $('a,'b)foo = 'a \ list \times 'b \ list$ 

type\_synonym  $name = \tau$ 

Introduces a  $\mathit{synonym}\ name$  for type  $\tau$ 

Examples

type\_synonym  $string = char \ list$ type\_synonym  $('a,'b)foo = 'a \ list \times 'b \ list$ 

Type synonyms are expanded after parsing and are not present in internal representation and output

$$\begin{array}{lll} \textbf{datatype} \; (\alpha_1,\ldots,\alpha_n)t & = & C_1 \; \tau_{1,1}\ldots\tau_{1,n_1} \\ & | & \ldots \\ & | & C_k \; \tau_{k,1}\ldots\tau_{k,n_k} \end{array}$$

• Types:  $C_i :: \tau_{i,1} \Rightarrow \cdots \Rightarrow \tau_{i,n_i} \Rightarrow (\alpha_1, \ldots, \alpha_n)t$ 

datatype 
$$(\alpha_1, \dots, \alpha_n)t = C_1 \tau_{1,1} \dots \tau_{1,n_1}$$
 $\mid \quad \dots \quad \mid \quad C_k \tau_{k,1} \dots \tau_{k,n_k}$ 

- Types:  $C_i :: \tau_{i,1} \Rightarrow \cdots \Rightarrow \tau_{i,n_i} \Rightarrow (\alpha_1, \ldots, \alpha_n)t$
- Distinctness:  $C_i \ldots \neq C_j \ldots$  if  $i \neq j$

datatype 
$$(\alpha_1,\ldots,\alpha_n)t = C_1 \tau_{1,1}\ldots\tau_{1,n_1}$$
  
 $\mid \ldots \mid$   
 $\mid C_k \tau_{k,1}\ldots\tau_{k,n_k}$ 

- Types:  $C_i :: \tau_{i,1} \Rightarrow \cdots \Rightarrow \tau_{i,n_i} \Rightarrow (\alpha_1, \ldots, \alpha_n)t$
- Distinctness:  $C_i \ldots \neq C_j \ldots$  if  $i \neq j$
- Injectivity:  $(C_i \ x_1 \dots x_{n_i} = C_i \ y_1 \dots y_{n_i}) = (x_1 = y_1 \wedge \dots \wedge x_{n_i} = y_{n_i})$

$$\begin{array}{rcl} \textbf{datatype} \ (\alpha_1,\ldots,\alpha_n)t & = & C_1 \ \tau_{1,1}\ldots\tau_{1,n_1} \\ & | & \ldots \\ & | & C_k \ \tau_{k,1}\ldots\tau_{k,n_k} \end{array}$$

- Types:  $C_i :: \tau_{i,1} \Rightarrow \cdots \Rightarrow \tau_{i,n_i} \Rightarrow (\alpha_1, \ldots, \alpha_n)t$
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• Injectivity: 
$$(C_i \ x_1 \dots x_{n_i} = C_i \ y_1 \dots y_{n_i}) = (x_1 = y_1 \wedge \dots \wedge x_{n_i} = y_{n_i})$$



Distinctness and injectivity are applied automatically Induction must be applied explicitly

Datatype values can be taken apart with case:

(case 
$$xs$$
 of  $[] \Rightarrow \dots | y\#ys \Rightarrow \dots y \dots ys \dots)$ 

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Wildcards:

(case 
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Nested patterns:

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Complicated patterns mean complicated proofs!

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Nested patterns:

(case 
$$xs$$
 of  $[0] \Rightarrow 0 \mid [Suc \ n] \Rightarrow n \mid \_ \Rightarrow 2$ )

Complicated patterns mean complicated proofs!

Need ( ) in context



# Tree\_Demo.thy

**datatype** 'a  $option = None \mid Some$  'a

```
datatype 'a option = None \mid Some 'a
```

```
If 'a has values a_1, a_2, \ldots then 'a option has values None, Some \ a_1, Some \ a_2, \ldots
```

```
datatype 'a \ option = None \mid Some \ 'a
```

```
If 'a has values a_1, a_2, \ldots then 'a option has values None, Some \ a_1, Some \ a_2, \ldots
```

### Typical application:

fun  $lookup :: ('a \times 'b) \ list \Rightarrow 'a \Rightarrow 'b \ option \ where$ 

```
datatype 'a option = None \mid Some 'a
If 'a has values a_1, a_2, \dots
```

then 'a option has values None, Some  $a_1$ , Some  $a_2$ , ...

### Typical application:

```
fun lookup :: ('a \times 'b) \ list \Rightarrow 'a \Rightarrow 'b \ option where lookup \ [] \ x = None \ |
```

```
datatype 'a option = None \mid Some 'a
```

```
If 'a has values a_1, a_2, \ldots then 'a option has values None, Some a_1, Some a_2, \ldots
```

### Typical application:

```
fun lookup :: ('a \times 'b) \ list \Rightarrow 'a \Rightarrow 'b \ option where lookup \ [] \ x = None \ | lookup \ ((a, b) \# ps) \ x =
```

```
datatype 'a option = None \mid Some 'a

If 'a has values a_1, a_2, \ldots

then 'a option has values None, Some a_1, Some a_2, \ldots
```

### Typical application:

```
fun lookup :: ('a \times 'b) \ list \Rightarrow 'a \Rightarrow 'b \ option where lookup \ [] \ x = None \ | lookup \ ((a, b) \# ps) \ x = (if \ a = x \ then \ Some \ b \ else \ lookup \ ps \ x)
```

4 Type and function definitions
Type definitions
Function definitions

### Non-recursive definitions

```
Example
```

**definition**  $sq :: nat \Rightarrow nat$  where sq n = n\*n

### Non-recursive definitions

Example



**definition**  $sq :: nat \Rightarrow nat$  where sq n = n\*n

No pattern matching, just  $f x_1 \ldots x_n = \ldots$ 

## The danger of nontermination

How about 
$$f x = f x + 1$$
 ?

### The danger of nontermination

```
How about f x = f x + 1 ?

Subtract f x on both sides.

\implies 0 = 1
```

## The danger of nontermination

How about 
$$f x = f x + 1$$
?

Subtract  $f x$  on both sides.

 $\implies 0 = 1$ 

All functions in HOL must be total



Pattern-matching over datatype constructors

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- Pattern-matching over datatype constructors
- Order of equations matters
- Termination must be provable automatically by size measures
- Proves customized induction schema

# Example: separation

```
fun sep :: 'a \Rightarrow 'a \ list \Rightarrow 'a \ list where sep \ a \ (x\#y\#zs) = x \# a \# sep \ a \ (y\#zs) \mid sep \ a \ xs = xs
```

## Example: Ackermann

```
fun ack :: nat \Rightarrow nat \Rightarrow nat where

ack \ 0 \qquad n \qquad = Suc \ n \mid

ack \ (Suc \ m) \ 0 \qquad = ack \ m \ (Suc \ 0) \mid

ack \ (Suc \ m) \ (Suc \ n) = ack \ m \ (ack \ (Suc \ m) \ n)
```

## Example: Ackermann

```
fun ack :: nat \Rightarrow nat \Rightarrow nat where

ack \ 0 \qquad n \qquad = Suc \ n \mid

ack \ (Suc \ m) \ 0 \qquad = ack \ m \ (Suc \ 0) \mid

ack \ (Suc \ m) \ (Suc \ n) = ack \ m \ (ack \ (Suc \ m) \ n)
```

Terminates because the arguments decrease *lexicographically* with each recursive call:

- $(Suc \ m, \ 0) > (m, Suc \ 0)$
- $(Suc \ m, \ Suc \ n) > (Suc \ m, \ n)$
- $(Suc \ m, \ Suc \ n) > (m, \ \_)$

• A restrictive version of fun

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### The essence of primitive recursion:

```
f(0) = \dots no recursion f(Suc\ n) = \dots f(n)\dots
```

- A restrictive version of fun
- F
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- Most functions are primitive recursive
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### The essence of primitive recursion:

```
f(0) = \dots no recursion f(Suc\ n) = \dots f(n)\dots g([]) = \dots no recursion g(x\#xs) = \dots g(xs)\dots
```

- 3 Overview of Isabelle/HOL
- Type and function definitions

Induction Heuristics

Simplification

### Basic induction heuristics

Theorems about recursive functions are proved by induction

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Theorems about recursive functions are proved by induction

Induction on argument number i of f if f is defined by recursion on argument number i

### Our initial reverse:

```
fun rev :: 'a \ list \Rightarrow 'a \ list where rev \ [] = [] \mid rev \ (x\#xs) = rev \ xs \ @ \ [x]
```

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fun rev :: 'a \ list \Rightarrow 'a \ list where rev \ [] = [] \mid rev \ (x\#xs) = rev \ xs \ @ \ [x]
```

#### A tail recursive version:

fun  $itrev :: 'a \ list \Rightarrow 'a \ list \Rightarrow 'a \ list$  where

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```

#### A tail recursive version:

```
fun itrev :: 'a \ list \Rightarrow 'a \ list \Rightarrow 'a \ list where itrev \ [] \qquad ys = ys \ |
```

### Our initial reverse:

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fun rev :: 'a \ list \Rightarrow 'a \ list where rev \ [] = [] \mid rev \ (x\#xs) = rev \ xs \ @ \ [x]
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fun itrev :: 'a \ list \Rightarrow 'a \ list \Rightarrow 'a \ list where itrev \ [] \qquad ys = ys \ | itrev \ (x\#xs) \quad ys =
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### Our initial reverse:

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```
fun itrev :: 'a \ list \Rightarrow 'a \ list \Rightarrow 'a \ list where itrev \ [] \qquad ys = ys \ | itrev \ (x\#xs) \quad ys = itrev \ xs \ (x\#ys)
```

**lemma** itrev xs [] = rev xs

# Induction\_Demo.thy

Generalisation

### Generalisation

• Replace constants by variables

#### Generalisation

- Replace constants by variables
- Generalize free variables
  - by arbitrary in induction proof
  - (or by universal quantifier in formula)

So far, all proofs were by structural induction

In each induction step, 1 constructor is added.

In each induction step, 1 constructor is added. In each recursive call, 1 constructor is removed.

In each induction step, 1 constructor is added. In each recursive call, 1 constructor is removed.

Now: induction for complex recursion patterns.

#### Example

```
fun div2 :: nat \Rightarrow nat where div2 \ 0 = 0 \mid div2 \ (Suc \ 0) = 0 \mid div2 \ (Suc(Suc \ n)) = Suc(div2 \ n)
```

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fun div2 :: nat \Rightarrow nat where div2 \ 0 = 0 \mid div2 \ (Suc \ 0) = 0 \mid div2 \ (Suc(Suc \ n)) = Suc(div2 \ n)
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→ induction rule div2.induct:

$$\frac{P(0) \quad P(Suc\ 0) \qquad \qquad P(n) \Longrightarrow P(Suc(Suc\ n))}{P(m)}$$

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fun div2 :: nat \Rightarrow nat where div2 \ 0 = 0 \mid div2 \ (Suc \ 0) = 0 \mid div2 \ (Suc(Suc \ n)) = Suc(div2 \ n)
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→ induction rule div2.induct:

$$\frac{P(0) \quad P(Suc\ 0) \quad \bigwedge n. \quad P(n) \Longrightarrow P(Suc(Suc\ n))}{P(m)}$$

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for each defining equation

$$f(e) = \dots f(r_1) \dots f(r_k) \dots$$

prove P(e) assuming  $P(r_1)$ , ...,  $P(r_k)$ .

If  $f:: \tau \Rightarrow \tau'$  is defined by **fun**, a special induction schema is provided to prove P(x) for all  $x:: \tau$ :

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prove P(e) assuming  $P(r_1), \ldots, P(r_k)$ .

Induction follows course of (terminating!) computation

If  $f:: \tau \Rightarrow \tau'$  is defined by **fun**, a special induction schema is provided to prove P(x) for all  $x:: \tau$ :

for each defining equation

$$f(e) = \dots f(r_1) \dots f(r_k) \dots$$

prove P(e) assuming  $P(r_1), \ldots, P(r_k)$ .

Induction follows course of (terminating!) computation Motto: properties of f are best proved by rule f.induct

If  $f:: \tau_1 \Rightarrow \cdots \Rightarrow \tau_n \Rightarrow \tau'$ :

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$$f :: \tau_1 \Rightarrow \cdots \Rightarrow \tau_n \Rightarrow \tau'$$
:
$$(induction \ a_1 \ \dots \ a_n \ rule: f.induct)$$

If 
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:
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#### Heuristic:

• there should be a call  $f a_1 \ldots a_n$  in your goal

```
If f :: \tau_1 \Rightarrow \cdots \Rightarrow \tau_n \Rightarrow \tau':
(induction \ a_1 \ \dots \ a_n \ rule: f.induct)
```

#### Heuristic:

- there should be a call  $f a_1 \ldots a_n$  in your goal
- ideally the  $a_i$  should be variables.

#### Induction\_Demo.thy

Computation Induction

- 3 Overview of Isabelle/HOL
- **4** Type and function definitions
- **5** Induction Heuristics

6 Simplification

# Simplification means ...

Using equations l = r from left to right

# Simplification means . . .

Using equations l=r from left to right As long as possible

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Terminology: equation *→ simplification rule* 

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Terminology: equation *→ simplification rule* 

Simplification = (Term) Rewriting

Equations: 
$$\begin{array}{rcl} 0+n & = & n & (1) \\ (Suc \ m)+n & = & Suc \ (m+n) & (2) \\ (Suc \ m \leq Suc \ n) & = & (m \leq n) & (3) \\ (0 \leq m) & = & True & (4) \end{array}$$

$$0 + Suc \ 0 \ \le \ Suc \ 0 + x$$

Rewriting:

Equations: 
$$\begin{array}{rcl} 0+n & = & n & (1) \\ (Suc \ m)+n & = & Suc \ (m+n) & (2) \\ (Suc \ m \leq Suc \ n) & = & (m \leq n) & (3) \\ (0 \leq m) & = & True & (4) \end{array}$$

$$0 + Suc \ 0 \le Suc \ 0 + x \stackrel{(1)}{=}$$

$$Suc \ 0 \le Suc \ 0 + x$$

Rewriting:

$$0 + Suc \ 0 \le Suc \ 0 + x \stackrel{\text{(1)}}{=}$$

$$Suc \ 0 \le Suc \ 0 + x \stackrel{\text{(2)}}{=}$$

$$Suc \ 0 \le Suc \ (0 + x)$$

Rewriting:

Equations: 
$$\begin{array}{rcl} 0+n & = & n & (1) \\ (Suc \ m)+n & = & Suc \ (m+n) & (2) \\ (Suc \ m \leq Suc \ n) & = & (m \leq n) & (3) \\ (0 \leq m) & = & True & (4) \end{array}$$

$$0 + Suc \ 0 \le Suc \ 0 + x \stackrel{(1)}{=}$$

$$Suc \ 0 \le Suc \ 0 + x \stackrel{(2)}{=}$$

$$Suc \ 0 \le Suc \ (0 + x) \stackrel{(3)}{=}$$

$$0 < 0 + x$$

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$$\begin{array}{rcl} 0+n & = & n & (1) \\ (Suc \ m)+n & = & Suc \ (m+n) & (2) \\ (Suc \ m \leq Suc \ n) & = & (m \leq n) & (3) \\ (0 \leq m) & = & True & (4) \end{array}$$

$$0 + Suc \ 0 \le Suc \ 0 + x \stackrel{(1)}{=}$$

$$Suc \ 0 \le Suc \ 0 + x \stackrel{(2)}{=}$$

$$Suc \ 0 \le Suc \ (0 + x) \stackrel{(3)}{=}$$

$$0 \le 0 + x \stackrel{(4)}{=}$$

$$True$$

Simplification rules can be conditional:

$$\llbracket P_1; \ldots; P_k \rrbracket \Longrightarrow l = r$$

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#### Example

$$p(0) = True$$
  
 $p(x) \Longrightarrow f(x) = g(x)$ 

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We can simplify f(0) to g(0)

Simplification rules can be conditional:

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#### Example

$$p(0) = True$$
  
 $p(x) \Longrightarrow f(x) = g(x)$ 

We can simplify f(0) to g(0) but we cannot simplify f(1) because p(1) is not provable.

#### **Termination**

Simplification may not terminate. Isabelle uses simp-rules (almost) blindly from left to right.

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Example: 
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,  $g(x) = f(x)$ 

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Example: 
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,  $g(x) = f(x)$ 

Principle:

$$\llbracket P_1; \ldots; P_k \rrbracket \Longrightarrow l = r$$

is suitable as a simp-rule only if l is "bigger" than r and each  $P_i$ 

#### **Termination**

Simplification may not terminate. Isabelle uses simp-rules (almost) blindly from left to right.

Example: 
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$$n < m \Longrightarrow (n < Suc \ m) = True$$
  
 $Suc \ n < m \Longrightarrow (n < m) = True$ 

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Goal: 1.  $\llbracket P_1; \ldots; P_m \rrbracket \Longrightarrow C$ 

 $apply(simp \ add: \ eq_1 \ldots \ eq_n)$ 

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- rules from fun and datatype

Goal: 1.  $\llbracket P_1; \ldots; P_m \rrbracket \Longrightarrow C$ 

```
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```

Simplify  $P_1 \ldots P_m$  and C using

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- rules from fun and datatype
- additional lemmas  $eq_1 \ldots eq_n$

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Simplify  $P_1 \ldots P_m$  and C using

- lemmas with attribute simp
- rules from fun and datatype
- additional lemmas  $eq_1 \ldots eq_n$
- assumptions  $P_1 \ldots P_m$

#### Variations:

- $(simp \dots del: \dots)$  removes simp-lemmas
- add and del are optional

#### auto versus simp

- auto acts on all subgoals
- ullet simp acts only on subgoal 1

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- auto applies simp and more

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- auto acts on all subgoals
- simp acts only on subgoal 1
- auto applies simp and more
- auto can also be modified: (auto simp add: ... simp del: ...)

### Rewriting with definitions

Definitions (definition) must be used explicitly:

```
(simp\ add:\ f\_def\dots)
```

#### Rewriting with definitions

Definitions (**definition**) must be used explicitly:

```
(simp\ add:\ f_{-}def\dots)
```

f is the function whose definition is to be unfolded.

Automatic:

$$P (if A then s else t) = (A \longrightarrow P(s)) \land (\neg A \longrightarrow P(t))$$

Automatic:

$$\begin{array}{ccc} P \ (\textit{if} \ A \ \textit{then} \ s \ \textit{else} \ t) \\ &= \\ (A \longrightarrow P(s)) \ \land \ (\neg A \longrightarrow P(t)) \end{array}$$

By hand:

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By hand:

Proof method: (simp split: nat.split)

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By hand:

Proof method:  $(simp\ split:\ nat.split)$ Or auto. Similar for any datatype  $t:\ t.split$ 

## Simp\_Demo.thy

## Chapter 3

Case Study: IMP Expressions

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#### This section introduces

arithmetic and boolean expressions

of our imperative language IMP.

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of our imperative language IMP.

IMP commands are introduced later.

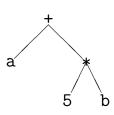
7 Case Study: IMP Expressions
Arithmetic Expressions

Boolean Expressions
Stack Machine and Compilation

Concrete syntax: strings, eg "a+5\*b"

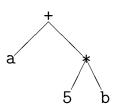
Concrete syntax: strings, eg "a+5\*b"

Abstract syntax: trees, eg



Concrete syntax: strings, eg "a+5\*b"

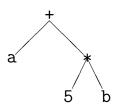
Abstract syntax: trees, eg



Parser: function from strings to trees

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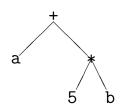


Parser: function from strings to trees

Linear view of trees: terms, eg Plus a (Times 5 b)

Concrete syntax: strings, eg "a+5\*b"

Abstract syntax: trees, eg



Parser: function from strings to trees

Linear view of trees: terms, eg Plus a (Times 5 b)

Abstract syntax trees/terms are datatype values!

Concrete syntax is defined by a context-free grammar, eg

$$a := n | x | (a) | a + a | a * a | \dots$$

where n can be any natural number and x any variable.

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$$a := n | x | (a) | a + a | a * a | \dots$$

where n can be any natural number and x any variable.

We focus on *abstract* syntax which we introduce via datatypes.

#### Datatype *aexp*

Variable names are strings, values are integers:

```
type_synonym vname = string
datatype aexp = N \ int \mid V \ vname \mid Plus \ aexp \ aexp
```

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```

Concrete	Abstract
5	N 5

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X	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \

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Concrete	Abstract
5	N 5
X	V''x''
x+y	Plus (V''x'') (V''y'')

## Datatype *aexp*

Variable names are strings, values are integers:

Concrete	Abstract
5	N 5
X	$\left  egin{array}{c} N \ 5 \ V \ ''x'' \end{array}  ight.$
x+y	Plus (V''x'') (V''y'')
2+(z+3)	$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$

# Warning

This is syntax, not (yet) semantics!

## Warning

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$$N 0 \neq Plus (N 0) (N 0)$$

What is the value of x+1?

 The value of an expression depends on the value of its variables.

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- The value of all variables is recorded in the state.

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```
type_synonym val = int
type_synonym state = vname \Rightarrow val
```

# Function update notation

If 
$$f :: au_1 \Rightarrow au_2$$
 and  $a :: au_1$  and  $b :: au_2$  then 
$$f(a := b)$$

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## Function update notation

If 
$$f :: \tau_1 \Rightarrow \tau_2$$
 and  $a :: \tau_1$  and  $b :: \tau_2$  then 
$$f(a := b)$$

is the function that behaves like f except that it returns b for argument a.

$$f(a := b) = (\lambda x. if x = a then b else f x)$$

#### Some states:

•  $\lambda x. 0$ 

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- $\lambda x$ . 0
- $(\lambda x. \ 0)("a" := 3)$

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#### Nicer notation:

$$<''a'' := 5, "x'' := 3, "y'' := 7>$$

#### Some states:

- $\bullet$   $\lambda x$ , 0
- $(\lambda x. \ 0)("a" := 3)$
- $((\lambda x. \ 0)("a" := 5))("x" := 3)$

#### Nicer notation:

$$<''a'' := 5, "x'' := 3, "y'' := 7>$$

Maps everything to 0, but "a" to 5, "x" to 3, etc.

# AExp.thy

7 Case Study: IMP Expressions
 Arithmetic Expressions
 Boolean Expressions
 Stack Machine and Compilation

# BExp.thy

7 Case Study: IMP Expressions
Arithmetic Expressions
Boolean Expressions
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# ASM.thy

Because evaluation of expressions always terminates.

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But execution of programs may *not* terminate.

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Hence we cannot define it by a total recursive function.

This was easy.

Because evaluation of expressions always terminates.

But execution of programs may *not* terminate.

Hence we cannot define it by a total recursive function.

We need more logical machinery to define program execution and reason about it.

# Chapter 4

Logic and Proof Beyond Equality 8 Logical Formulas

9 Proof Automation

Single Step Proofs

**1** Inductive Definitions

- 8 Logical Formulas
- 9 Proof Automation

Single Step Proofs

Inductive Definitions

$$\neg A \land B \lor C \equiv ((\neg A) \land B) \lor C$$

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$$s = t \land C \equiv (s = t) \land C$$

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$$A \land B = B \land A \equiv A \land (B = B) \land A$$

$$\neg A \land B \lor C \equiv ((\neg A) \land B) \lor C$$

$$s = t \land C \equiv (s = t) \land C$$

$$A \land B = B \land A \equiv A \land (B = B) \land A$$

$$\forall x. \ P \ x \land Q \ x \equiv \forall x. \ (P \ x \land Q \ x)$$

### Examples:

$$\neg A \land B \lor C \equiv ((\neg A) \land B) \lor C$$

$$s = t \land C \equiv (s = t) \land C$$

$$A \land B = B \land A \equiv A \land (B = B) \land A$$

$$\forall x. \ P \ x \land Q \ x \equiv \forall x. \ (P \ x \land Q \ x)$$

Input syntax:  $\longleftrightarrow$  (same precedence as  $\longrightarrow$ )

#### Variable binding convention:

 $\forall x y. P x y \equiv \forall x. \forall y. P x y$ 

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$$\forall x y. P x y \equiv \forall x. \forall y. P x y$$

Similarly for  $\exists$  and  $\lambda$ .

#### Warning

Quantifiers have low precedence and need to be parenthesized (if in some context)

#### Mathematical symbols

... and their ascii representations:

```
\<forall>
             ALL.
\<exists>
            EX
\<lambda>
-->
<->
             &
\not>
\<noteq>
```

'a set

•  $\{\}$ ,  $\{e_1,\ldots,e_n\}$ 

- $\{\}$ ,  $\{e_1,\ldots,e_n\}$
- $e \in A$ ,  $A \subseteq B$

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- . . .

```
• \{\}, \{e_1, \dots, e_n\}
• e \in A, A \subseteq B
• A \cup B, A \cap B, A - B, - A
• ...
```

•  $\{x. P\}$  where x is a variable

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- Instead:  $\{t \mid x \ y \ z. \ P\}$

- $\{x. P\}$  where x is a variable
- But not  $\{t. P\}$  where t is a proper term
- Instead:  $\{t \mid x \ y \ z. \ P\}$  is short for  $\{v. \ \exists \ x \ y \ z. \ v = t \land P\}$  where  $x, \ y, \ z$  are the free variables in t

8 Logical Formulas

9 Proof Automation

Single Step Proofs

Inductive Definitions

simp: rewriting and a bit of arithmetic

auto: rewriting and a bit of arithmetic, logic and sets

simp: rewriting and a bit of arithmeticauto: rewriting and a bit of arithmetic, logic and sets

Show you where they got stuck

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simp: rewriting and a bit of arithmeticauto: rewriting and a bit of arithmetic, logic and sets
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- Show you where they got stuck
- highly incomplete

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simp: rewriting and a bit of arithmeticauto: rewriting and a bit of arithmetic, logic and sets
```

- Show you where they got stuck
- highly incomplete
- Extensible with new simp-rules

Exception: auto acts on all subgoals

• rewriting, logic, sets, relations and a bit of arithmetic.

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- incomplete but better than *auto*.

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• A complete proof search procedure for FOL ...

- A complete proof search procedure for FOL . . .
- ... but (almost) without "="

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arith:

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proves linear formulas (no "\*")

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- complete for quantifier-free real arithmetic

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- proves linear formulas (no "\*")
- complete for quantifier-free real arithmetic
- complete for first-order theory of nat and int (Presburger arithmetic)

# Sledgehammer



#### Architecture:

**Isabelle** 

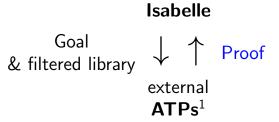
external ATPs<sup>1</sup>

<sup>&</sup>lt;sup>1</sup>Automatic Theorem Provers

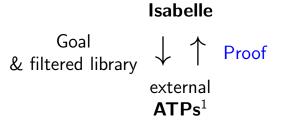
#### Architecture:

Goal & filtered library external ATPs<sup>1</sup>

<sup>&</sup>lt;sup>1</sup>Automatic Theorem Provers



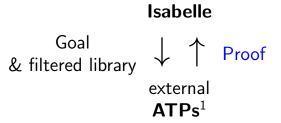
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#### Characteristics:

Sometimes it works,

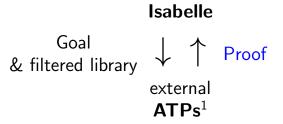
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#### Characteristics:

- Sometimes it works,
- sometimes it doesn't.

<sup>&</sup>lt;sup>1</sup>Automatic Theorem Provers



#### Characteristics:

- Sometimes it works,
- sometimes it doesn't.

Do you feel lucky?

<sup>&</sup>lt;sup>1</sup>Automatic Theorem Provers

**by**(proof-method)

 $\approx$ 

apply(proof-method)
done

### Auto\_Proof\_Demo.thy

8 Logical Formulas

9 Proof Automation

Single Step Proofs

Inductive Definitions

Step-by-step proofs can be necessary if automation fails and you have to explore where and why it failed by taking the goal apart.

After you have finished a proof, Isabelle turns all free variables  $\,V\,$  in the theorem into  $\,?\,V.$ 

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Example: theorem conjI:  $[?P; ?Q] \implies ?P \land ?Q$ 

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By hand: conjI[of "a=b" "False"] ~>

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Example: theorem conjI:  $[?P; ?Q] \implies ?P \land ?Q$ 

These ?-variables can later be instantiated:

By hand:

```
conjI[of "a=b" "False"] \rightsquigarrow [a = b; False] \implies a = b \land False
```

After you have finished a proof, Isabelle turns all free variables V in the theorem into ?V.

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$$\rightsquigarrow$$
  $[a = b; False] \implies a = b \land False$ 

• By unification: unifying  $?P \land ?Q$  with  $a=b \land False$ 

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Example: theorem conjI: [P]; P; P

These ?-variables can later be instantiated:

By hand:

conjI[of "a=b" "False"] 
$$\leadsto$$
  $[a = b; False] \Longrightarrow a = b \land False$ 

• By unification: unifying  $?P \land ?Q$  with  $a=b \land False$  sets ?P to a=b and ?Q to False.

Example: rule:  $[P; P] \implies P \land P$ 

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subgoal:  $1. \ldots \Longrightarrow A \wedge B$ 

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Result:  $1. \ldots \Longrightarrow A$ 

 $2. \ldots \Longrightarrow B$ 

Example: rule:  $[P; P] \Longrightarrow P \land P$ subgoal:  $1. \ldots \Longrightarrow A \land B$ 

Result:  $1. \ldots \Longrightarrow A$ 

 $2. \ldots \Longrightarrow B$ 

The general case: applying rule  $[\![A_1;\ldots;A_n]\!] \Longrightarrow A$  to subgoal  $\ldots \Longrightarrow C$ :

Result: 
$$1. \ldots \Longrightarrow A$$
  
 $2. \ldots \Longrightarrow B$ 

The general case: applying rule  $[\![A_1;\ldots;A_n]\!] \Longrightarrow A$  to subgoal  $\ldots \Longrightarrow C$ :

• Unify A and C

Example: rule: 
$$[P; P; Q] \Longrightarrow P \land Q$$
  
subgoal:  $A \land B$ 

Result: 
$$1. \ldots \Longrightarrow A$$
  
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- ullet Unify A and C
- Replace C with n new subgoals  $A_1 \ldots A_n$

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 $apply(rule \ xyz)$ 

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"Backchaining"

$$\frac{?P}{?P \land ?Q}$$
 conjI

$$\frac{?P}{?P \land ?Q}$$
 conjI

$$\frac{?P \Longrightarrow ?Q}{?P \longrightarrow ?Q} \text{ impI}$$

$$\frac{?P}{?P \land ?Q} \operatorname{conjI}$$

$$\frac{?P \Longrightarrow ?Q}{?P \longrightarrow ?Q} \text{ impI} \qquad \frac{\bigwedge x. ?P \ x}{\forall x. ?P \ x} \text{ allI}$$

$$\frac{?P}{?P \land ?Q}$$
 conjI

$$\frac{?P \Longrightarrow ?Q}{?P \longrightarrow ?Q} \text{ impI} \qquad \frac{\bigwedge x. ?P \ x}{\forall \ x. ?P \ x} \text{ allI}$$

$$\frac{?P\Longrightarrow?Q\quad?Q\Longrightarrow?P}{?P=?Q} \, \text{iffI}$$

$$\frac{?P}{?P \land ?Q}$$
 conjI

$$\frac{?P \Longrightarrow ?Q}{?P \longrightarrow ?Q} \text{ impI} \qquad \frac{\bigwedge x. ?P \ x}{\forall \ x. ?P \ x} \text{ allI}$$

$$\frac{\textit{?P} \Longrightarrow \textit{?Q} \quad \textit{?Q} \Longrightarrow \textit{?P}}{\textit{?P} = \textit{?Q}} \, \text{iffI}$$

They are known as introduction rules because they *introduce* a particular connective.

If r is a theorem  $\llbracket A_1; \ldots; A_n \rrbracket \Longrightarrow A$  then  $(blast\ intro:\ r)$ 

allows blast to backchain on r during proof search.

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#### Example:

theorem  $le\_trans$ :  $[ ?x \le ?y; ?y \le ?z ] \implies ?x \le ?z$ 

If r is a theorem  $\llbracket A_1; \ldots; A_n \rrbracket \Longrightarrow A$  then (blast intro: r)

allows blast to backchain on r during proof search.

#### Example:

```
theorem le\_trans: \llbracket ?x \le ?y; ?y \le ?z \rrbracket \Longrightarrow ?x \le ?z goal 1. \llbracket a \le b; b \le c; c \le d \rrbracket \Longrightarrow a \le d
```

If r is a theorem  $\llbracket A_1; \ldots; A_n \rrbracket \Longrightarrow A$  then (blast intro: r)

allows blast to backchain on r during proof search.

#### Example:

```
theorem le\_trans: [ ?x \le ?y; ?y \le ?z ] \implies ?x \le ?z goal 1. [ a \le b; b \le c; c \le d ] \implies a \le d proof apply(blast\ intro:\ le\_trans)
```

If r is a theorem  $\llbracket A_1; \ldots; A_n \rrbracket \Longrightarrow A$  then  $(blast \ intro: \ r)$ 

allows blast to backchain on r during proof search.

#### Example:

```
theorem le\_trans: [ ?x \le ?y; ?y \le ?z ] \implies ?x \le ?z goal 1. [ a \le b; b \le c; c \le d ] \implies a \le d proof apply(blast\ intro:\ le\_trans)
```

Also works for *auto* and *fastforce* 

## Automating intro rules

If r is a theorem  $[\![A_1; \ldots; A_n]\!] \Longrightarrow A$  then  $(blast\ intro:\ r)$ 

allows blast to backchain on r during proof search.

### Example:

```
theorem le\_trans: [ ?x \le ?y; ?y \le ?z ] \implies ?x \le ?z goal 1. [ a \le b; b \le c; c \le d ] \implies a \le d proof apply(blast\ intro:\ le\_trans)
```

Also works for auto and fastforce

Can greatly increase the search space!

If r is a theorem  $A \Longrightarrow B$ 

If r is a theorem  $A \Longrightarrow B$  and s is a theorem that unifies with A

If r is a theorem  $A \Longrightarrow B$  and s is a theorem that unifies with A then

is the theorem obtained by proving A with s.

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$$r[OF \ s]$$

is the theorem obtained by proving A with s.

Example: theorem refl: ?t = ?t

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Example: theorem refl: ?t = ?t

conjI[OF refl[of "a"]]

If r is a theorem  $A \Longrightarrow B$  and s is a theorem that unifies with A then

is the theorem obtained by proving A with s.

Example: theorem refl: 
$$?t = ?t$$
 conjI[OF refl[of "a"]]  $\overset{\leadsto}{?Q} \Longrightarrow a = a \land ?Q$ 

If r is a theorem  $\llbracket A_1; \ldots; A_n \rrbracket \Longrightarrow A$  and  $r_1, \ldots, r_m \ (m \le n)$  are theorems then

$$r[OF \ r_1 \ \dots \ r_m]$$

is the theorem obtained by proving  $A_1 \ldots A_m$  with  $r_1 \ldots r_m$ .

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If r is a theorem  $[\![A_1;\ldots;A_n]\!] \Longrightarrow A$  and  $r_1,\ldots,r_m$   $(m \le n)$  are theorems then

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Example: theorem refl: ?t = ?t

conjI[OF refl[of "a"] refl[of "b"]] 
$$\overset{\leadsto}{a=a \land b=b}$$

From now on: ? mostly suppressed on slides

# Single\_Step\_Demo.thy

### $\Longrightarrow$ versus $\longrightarrow$

 $\Longrightarrow$  is part of the Isabelle framework. It structures theorems and proof states:  $[A_1; \ldots; A_n] \Longrightarrow A$ 



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 $\longrightarrow$  is part of HOL and can occur inside the logical formulas  $A_i$  and A.



 $\Longrightarrow$  is part of the Isabelle framework. It structures theorems and proof states:  $[A_1; \ldots; A_n] \Longrightarrow A$ 

 $\longrightarrow$  is part of HOL and can occur inside the logical formulas  $A_i$  and A.

Phrase theorems like this  $[A_1; \ldots; A_n] \Longrightarrow A$  not like this  $A_1 \land \ldots \land A_n \longrightarrow A$ 

8 Logical Formulas

9 Proof Automation

Single Step Proofs

**1** Inductive Definitions

Informally:

### Informally:

• 0 is even

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- 0 is even
- If n is even, so is n+2

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inductive ev :: nat \Rightarrow bool
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### In Isabelle/HOL:

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```

### Informally:

- 0 is even
- If n is even, so is n+2
- These are the only even numbers

### In Isabelle/HOL:

```
inductive ev :: nat \Rightarrow bool
where
ev \ 0 \quad |
ev \ n \Longrightarrow ev \ (n+2)
```

An easy proof: ev 4

 $ev \ 0 \Longrightarrow ev \ 2 \Longrightarrow ev \ 4$ 

```
fun evn :: nat \Rightarrow bool where evn \ 0 = True \mid evn \ (Suc \ 0) = False \mid evn \ (Suc \ (Suc \ n)) = evn \ n
```

```
fun evn :: nat \Rightarrow bool where evn \ 0 = True \mid evn \ (Suc \ 0) = False \mid evn \ (Suc \ (Suc \ n)) = evn \ n
```

A trickier proof:  $ev \ m \Longrightarrow evn \ m$ 

```
fun evn :: nat \Rightarrow bool where

evn \ 0 = True \mid

evn \ (Suc \ 0) = False \mid

evn \ (Suc \ (Suc \ n)) = evn \ n
```

A trickier proof:  $ev m \implies evn m$ 

By induction on the *structure* of the derivation of ev m

```
fun evn :: nat \Rightarrow bool where

evn \ 0 = True \mid

evn \ (Suc \ 0) = False \mid

evn \ (Suc \ (Suc \ n)) = evn \ n
```

A trickier proof:  $ev \ m \Longrightarrow evn \ m$ 

By induction on the  $\it structure$  of the derivation of  $\it ev$   $\it m$ 

Two cases: ev m is proved by

• rule ev 0

```
fun evn :: nat \Rightarrow bool where

evn \ 0 = True \mid

evn \ (Suc \ 0) = False \mid

evn \ (Suc \ (Suc \ n)) = evn \ n
```

A trickier proof:  $ev m \implies evn m$ 

By induction on the *structure* of the derivation of  $ev\ m$ 

Two cases:  $ev\ m$  is proved by

• rule  $ev \ 0$  $\implies m = 0 \implies evn \ m = True$ 

```
fun evn :: nat \Rightarrow bool where

evn \ 0 = True \mid

evn \ (Suc \ 0) = False \mid

evn \ (Suc \ (Suc \ n)) = evn \ n
```

A trickier proof:  $ev m \implies evn m$ 

By induction on the *structure* of the derivation of  $ev\ m$  Two cases:  $ev\ m$  is proved by

- rule  $ev \ 0$   $\implies m = 0 \implies evn \ m = True$ 
  - rule  $ev n \Longrightarrow ev (n+2)$

```
fun evn :: nat \Rightarrow bool where

evn \ 0 = True \mid

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```

A trickier proof:  $ev \ m \Longrightarrow evn \ m$ 

By induction on the *structure* of the derivation of  $ev\ m$  Two cases:  $ev\ m$  is proved by

- rule  $ev \ 0$  $\implies m = 0 \implies evn \ m = True$
- rule  $ev \ n \Longrightarrow ev \ (n+2)$  $\Longrightarrow m = n+2 \text{ and } evn \ n \ (IH)$

```
fun evn :: nat \Rightarrow bool where evn \ 0 = True \mid evn \ (Suc \ 0) = False \mid evn \ (Suc \ (Suc \ n)) = evn \ n
```

A trickier proof:  $ev \ m \Longrightarrow evn \ m$ 

By induction on the structure of the derivation of  $ev\ m$ 

- Two cases: ev m is proved by
  - rule  $ev \ 0$  $\implies m = 0 \implies evn \ m = True$
  - rule  $ev \ n \Longrightarrow ev \ (n+2)$   $\Longrightarrow m = n+2 \text{ and } evn \ n \ (IH)$  $\Longrightarrow evn \ m = evn \ (n+2) = evn \ n = True$

## Rule induction for ev

To prove

$$ev \ n \Longrightarrow P \ n$$

by *rule induction* on ev n we must prove

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• P 0

## Rule induction for ev

To prove

$$ev \ n \Longrightarrow P \ n$$

by rule induction on ev n we must prove

- P 0
- $P n \Longrightarrow P(n+2)$

### Rule induction for ev

To prove

$$ev \ n \Longrightarrow P \ n$$

by rule induction on ev n we must prove

- P 0
- $P n \Longrightarrow P(n+2)$

Rule ev.induct:

$$\frac{ev \ n \quad P \ 0 \quad \bigwedge n. \ \llbracket \ ev \ n; \ P \ n \ \rrbracket \Longrightarrow P(n+2)}{P \ n}$$

inductive  $I:: \tau \Rightarrow bool$  where

```
inductive I :: \tau \Rightarrow bool where \llbracket I \ a_1; \ldots; I \ a_n \rrbracket \Longrightarrow I \ a \mid
```

```
inductive I :: \tau \Rightarrow bool \text{ where} \llbracket I \ a_1; \dots; I \ a_n \rrbracket \Longrightarrow I \ a \mid \vdots
```

```
inductive I :: \tau \Rightarrow bool where \llbracket I \ a_1; \ldots; I \ a_n \rrbracket \Longrightarrow I \ a \mid \vdots
```

### Note:

I may have multiple arguments.

```
inductive I :: \tau \Rightarrow bool where \llbracket I \ a_1; \ldots; I \ a_n \rrbracket \Longrightarrow I \ a \mid \vdots
```

### Note:

- I may have multiple arguments.
- Each rule may also contain side conditions not involving I.

# Rule induction in general

To prove

$$I x \Longrightarrow P x$$

by rule induction on I x

### Rule induction in general

To prove

$$I x \Longrightarrow P x$$

by *rule induction* on I x we must prove for every rule

$$\llbracket I a_1; \ldots; I a_n \rrbracket \Longrightarrow I a$$

that P is preserved:

### Rule induction in general

To prove

$$I x \Longrightarrow P x$$

by rule induction on I x we must prove for every rule

$$\llbracket I a_1; \ldots; I a_n \rrbracket \Longrightarrow I a$$

that P is preserved:

$$\llbracket I \ a_1; \ P \ a_1; \dots ; I \ a_n; \ P \ a_n \ \rrbracket \Longrightarrow P \ a$$

Rule induction is absolutely central to (operational) semantics and the rest of this lecture course

# Inductive\_Demo.thy

inductive\_set  $I :: \tau \ set$  where

```
inductive_set I :: \tau \ set \ where
\llbracket \ a_1 \in I; \dots \ ; \ a_n \in I \ \rrbracket \implies a \in I \ |
```

```
inductive_set I :: \tau \ set \ where
\llbracket \ a_1 \in I; \dots ; \ a_n \in I \ \rrbracket \Longrightarrow a \in I \ |
\vdots
```

```
inductive_set I :: \tau \text{ set where}
\llbracket a_1 \in I; \dots ; a_n \in I \rrbracket \implies a \in I \mid
\vdots
```

### Difference to **inductive**:

arguments of I are tupled, not curried

```
inductive_set I :: \tau \text{ set where}
\llbracket a_1 \in I; \dots; a_n \in I \rrbracket \implies a \in I \mid
\vdots
```

### Difference to **inductive**:

- arguments of I are tupled, not curried
- I can later be used with set theoretic operators, eg  $I \cup \ldots$

# Chapter 5

# Isar: A Language for Structured Proofs

- Isar by example
- Proof patterns
- Streamlining Proofs

Proof by Cases and Induction

unreadable

- unreadable
- hard to maintain

- unreadable
- hard to maintain
- do not scale

- unreadable
- hard to maintain
- do not scale

No structure!

### Apply scripts versus Isar proofs

Apply script = assembly language program

### Apply scripts versus Isar proofs

Apply script = assembly language program

Isar proof = structured program with assertions

### Apply scripts versus Isar proofs

Apply script = assembly language program

Isar proof = structured program with assertions

But: apply still useful for proof exploration

### A typical Isar proof

```
\begin{array}{c} \mathbf{proof} \\ \mathbf{assume} \ formula_0 \\ \mathbf{have} \ formula_1 \quad \mathbf{by} \ simp \\ \vdots \\ \mathbf{have} \ formula_n \quad \mathbf{by} \ blast \\ \mathbf{show} \ formula_{n+1} \ \mathbf{by} \ \dots \\ \mathbf{qed} \end{array}
```

### A typical Isar proof

```
proof
   assume formula_0
   have formula_1 by simp
   have formula_n by blast
   show formula_{n+1} by . . .
ged
proves formula_0 \Longrightarrow formula_{n+1}
```

```
proof = proof [method] step* qed | by method
```

```
| by method
```

proof = **proof** [method] step\* **qed** 

```
\mathsf{method} \ = \ (\mathit{simp} \ \ldots) \mid (\mathit{blast} \ \ldots) \mid (\mathit{induction} \ \ldots) \mid \ldots
```

```
proof = proof [method] step* qed
           by method
method = (simp ...) | (blast ...) | (induction ...) | ...
\begin{array}{rcl} \mathsf{step} &=& \mathsf{fix} \; \mathsf{variables} & & (\bigwedge) \\ & & \mathsf{assume} \; \mathsf{prop} & & (\Longrightarrow) \end{array}
          [from fact<sup>+</sup>] (have | show) prop proof
prop = [name:] "formula"
```

```
proof = proof [method] step* qed
           by method
method = (simp ...) | (blast ...) | (induction ...) | ...
\begin{array}{lll} \mathsf{step} &=& \mathsf{fix} \; \mathsf{variables} & & (\bigwedge) \\ & | & \mathsf{assume} \; \mathsf{prop} & & (\Longrightarrow) \end{array}
          [from fact<sup>+</sup>] (have | show) prop proof
prop = [name:] "formula"
fact = name | \dots |
```

- Isar by example
- Proof patterns
- Streamlining Proofs
- Proof by Cases and Induction

### Example: Cantor's theorem

**lemma**  $\neg surj(f :: 'a \Rightarrow 'a \ set)$ 

### Example: Cantor's theorem

```
lemma \neg surj(f :: 'a \Rightarrow 'a \ set) proof
```

### Example: Cantor's theorem

```
lemma \neg surj(f :: 'a \Rightarrow 'a \ set)
proof default proof: assume surj, show False
```

```
lemma \neg surj(f :: 'a \Rightarrow 'a \ set)
proof default proof: assume surj, show False
assume a: surj f
```

```
lemma \neg surj(f :: 'a \Rightarrow 'a \ set)

proof default proof: assume surj, show False

assume a : surj f

from a have b : \forall A. \exists a. A = f a
```

```
lemma \neg surj(f :: 'a \Rightarrow 'a \ set)

proof default proof: assume surj, show False

assume a : surj f

from a have b : \forall A . \exists a . A = f a

by (simp \ add : surj\_def)
```

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lemma \neg surj(f :: 'a \Rightarrow 'a \ set)

proof default proof: assume surj, show False

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by(simp \ add : surj\_def)

from b have c : \exists a . \{x . x \notin f x\} = f a
```

```
lemma \neg surj(f :: 'a \Rightarrow 'a \ set)

proof default proof: assume surj, show False

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from a have b : \forall A. \exists a. A = f a

by (simp \ add : surj\_def)

from b have c : \exists a. \{x. \ x \notin f \ x\} = f \ a

by blast
```

```
lemma \neg surj(f :: 'a \Rightarrow 'a \ set)

proof default proof: assume surj, show False

assume a : surj f

from a have b : \forall A. \exists a. A = f a

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by blast

from c show False
```

```
lemma \neg surj(f :: 'a \Rightarrow 'a \ set)
proof default proof: assume surj, show False
 assume a: surj f
 from a have b: \forall A. \exists a. A = f a
   by(simp add: surj_def)
 from b have c: \exists a. \{x. x \notin f x\} = f a
   by blast
 from c show False
   by blast
```

```
lemma \neg surj(f :: 'a \Rightarrow 'a \ set)
proof default proof: assume surj, show False
 assume a: surj f
 from a have b: \forall A. \exists a. A = f a
   by(simp add: surj_def)
  from b have c: \exists a. \{x. x \notin f x\} = f a
   by blast
  from c show False
   by blast
ged
```

### Isar\_Demo.thy

Cantor and abbreviations

#### **Abbreviations**

```
this = the previous proposition proved or assumed then = from this thus = then show hence = then have
```

# using and with

(have|show) prop using facts

# using and with

```
(have|show) prop using facts
=
from facts (have|show) prop
```

# using and with

```
(have|show) prop using facts = from facts (have|show) prop
```

with facts

 ${f from}$  facts this

#### lemma

```
fixes f :: 'a \Rightarrow 'a \ set
assumes s : surj f
shows False
```

```
lemma

fixes f :: 'a \Rightarrow 'a \ set

assumes s : surj f

shows False

proof -
```

```
lemma

fixes f :: 'a \Rightarrow 'a \ set

assumes s : surj f

shows False

proof — no automatic proof step
```

```
lemma

fixes f :: 'a \Rightarrow 'a \ set

assumes s : surj \ f

shows False

proof — no automatic proof step

have \exists \ a. \ \{x. \ x \notin f \ x\} = f \ a \ using \ s

by (auto \ simp : \ surj\_def)
```

```
lemma
  fixes f:: 'a \Rightarrow 'a \ set
 assumes s: surj f
  shows False
proof — no automatic proof step
  have \exists a. \{x. x \notin f x\} = f a using s
   by(auto simp: surj_def)
 thus False by blast
ged
```

```
lemma
  fixes f:: 'a \Rightarrow 'a \ set
  assumes s: surj f
  shows False
proof — no automatic proof step
  have \exists a. \{x. x \notin f x\} = f a using s
   by(auto simp: surj_def)
  thus False by blast
qed
     Proves surj f \Longrightarrow False
```

```
lemma
  fixes f:: 'a \Rightarrow 'a \ set
  assumes s: surj f
  shows False
proof — no automatic proof step
  have \exists a. \{x. x \notin f x\} = f a using s
   by(auto simp: surj_def)
  thus False by blast
ged
     Proves surj f \Longrightarrow False
     but surj f becomes local fact s in proof.
```

# The essence of structured proofs

Assumptions and intermediate facts can be named and referred to explicitly and selectively

```
fixes x :: \tau_1 and y :: \tau_2 \dots assumes a: P and b: Q \dots shows R
```

```
fixes x :: \tau_1 and y :: \tau_2 ... assumes a: P and b: Q ... shows R
```

• fixes and assumes sections optional

```
fixes x :: \tau_1 and y :: \tau_2 \dots assumes a: P and b: Q \dots shows R
```

- fixes and assumes sections optional
- shows optional if no fixes and assumes

- Isar by example
- Proof patterns
- Streamlining Proofs

Proof by Cases and Induction

#### Case distinction

```
show R
proof cases
 assume P
 show R \langle proof \rangle
next
 assume \neg P
 show R \langle proof \rangle
qed
```

#### Case distinction

```
have P \vee Q \langle proof \rangle
show R
                               then show R
proof cases
  assume P
                               proof
                                 assume P
  show R \langle proof \rangle
                                 show R \langle proof \rangle
next
  assume \neg P
                               next
                                 assume Q
  show R \langle proof \rangle
ged
                                 show R \langle proof \rangle
                               qed
```

#### Contradiction

```
\begin{array}{l} \textbf{show} \ \neg \ P \\ \textbf{proof} \\ \textbf{assume} \ P \\ \vdots \\ \textbf{show} \ False \ \langle proof \rangle \\ \textbf{qed} \end{array}
```

#### Contradiction

```
\begin{array}{lll} \operatorname{show} \neg P & \operatorname{s} \\ \operatorname{proof} & \operatorname{p} \\ \operatorname{assume} P \\ \vdots & \operatorname{show} \mathit{False} \ \langle \mathit{proof} \rangle \\ \operatorname{qed} & \operatorname{q} \end{array}
```

```
\begin{array}{l} \textbf{show} \ P \\ \textbf{proof} \ (\textit{rule} \ \textit{ccontr}) \\ \textbf{assume} \ \neg P \\ \vdots \\ \textbf{show} \ \textit{False} \ \langle \textit{proof} \rangle \\ \textbf{qed} \end{array}
```



```
show P \longleftrightarrow Q
proof
  assume P
  show Q \langle proof \rangle
next
  assume Q
  show P \langle proof \rangle
qed
```

#### $\forall$ and $\exists$ introduction

```
show \forall x. \ P(x)

proof

fix x local fixed variable

show P(x) \langle proof \rangle

qed
```

### $\forall$ and $\exists$ introduction

```
show \forall x. P(x)
proof
  \mathbf{fix} \ x local fixed variable
  show P(x) \langle proof \rangle
ged
show \exists x. P(x)
proof
  show P(witness) \langle proof \rangle
ged
```

### ∃ elimination: **obtain**

#### ∃ elimination: **obtain**

```
have \exists x. P(x)
then obtain x where p: P(x) by blast
\vdots x fixed local variable
```

#### ∃ elimination: **obtain**

```
have \exists x. P(x)
then obtain x where p: P(x) by blast
\vdots x fixed local variable
```

Works for one or more x

### obtain example

```
lemma \neg surj(f :: 'a \Rightarrow 'a \ set)

proof

assume surj f

hence \exists \ a. \ \{x. \ x \notin f \ x\} = f \ a \ by(\ auto \ simp: \ surj_def)
```

## obtain example

```
lemma \neg surj(f :: 'a \Rightarrow 'a \ set)

proof

assume surj \ f

hence \exists \ a. \ \{x. \ x \notin f \ x\} = f \ a \ by (auto \ simp: \ surj\_def)

then obtain a where \{x. \ x \notin f \ x\} = f \ a \ by \ blast
```

## obtain example

```
lemma \neg surj(f :: 'a \Rightarrow 'a \ set)
proof
assume surj \ f
hence \exists \ a. \ \{x. \ x \notin f \ x\} = f \ a \ by \ (auto \ simp: \ surj\_def)
then obtain a where \{x. \ x \notin f \ x\} = f \ a \ by \ blast
hence a \notin f \ a \longleftrightarrow a \in f \ a \ by \ blast
```

## obtain example

```
lemma \neg surj(f :: 'a \Rightarrow 'a \ set)
proof
  assume surj f
  hence \exists a. \{x. \ x \notin f \ x\} = f \ a \ by(auto \ simp: \ surj_def)
  then obtain a where \{x.\ x \notin f x\} = f a by blast
  hence a \notin f \ a \longleftrightarrow a \in f \ a by blast
  thus False by blast
ged
```

## Set equality and subset

```
\begin{array}{l} \mathbf{show}\ A = B \\ \mathbf{proof} \\ \mathbf{show}\ A \subseteq B\ \langle proof \rangle \\ \mathbf{next} \\ \mathbf{show}\ B \subseteq A\ \langle proof \rangle \\ \mathbf{qed} \end{array}
```

### Set equality and subset

```
\begin{array}{lll} \operatorname{show}\ A = B & \operatorname{show}\ A \subseteq B \\ \operatorname{proof} & \operatorname{proof} \\ \operatorname{show}\ A \subseteq B\ \langle \operatorname{proof} \rangle & \operatorname{fix}\ x \\ \operatorname{next} & \operatorname{assume}\ x \in A \\ \operatorname{show}\ B \subseteq A\ \langle \operatorname{proof} \rangle & \vdots \\ \operatorname{qed} & \operatorname{show}\ x \in B\ \langle \operatorname{proof} \rangle \\ \operatorname{qed} & \operatorname{qed} \end{array}
```

# Isar\_Demo.thy

Exercise

- Isar by example
- Proof patterns
- Streamlining Proofs
- Proof by Cases and Induction

Streamlining Proofs
Pattern Matching and Quotations
Top down proof development
moreover
Local lemmas

# Example: pattern matching

show  $formula_1 \longleftrightarrow formula_2$  (is ?L  $\longleftrightarrow$  ?R)

# Example: pattern matching

```
show formula_1 \longleftrightarrow formula_2 (is ?L \longleftrightarrow ?R)
proof
   assume ?L
   show ?R \langle proof \rangle
next
   assume ?R
   show ?L \langle proof \rangle
ged
```

### ?thesis

```
\begin{array}{c} \textbf{show} \ formula \\ \textbf{proof -} \\ \vdots \\ \textbf{show} \ ?thesis \ \langle proof \rangle \\ \textbf{qed} \end{array}
```

### ?thesis

```
\begin{array}{ll} \textbf{show} \ formula & \textit{(is ?thesis)} \\ \textbf{proof -} \\ & \vdots \\ & \textbf{show} \ ?thesis \ \langle proof \rangle \\ \textbf{qed} \end{array}
```

### ?thesis

```
show formula (is ?thesis)
proof -
:
show ?thesis \langle proof \rangle
qed
```

Every show implicitly defines ?thesis

### let

Introducing local abbreviations in proofs:

```
let ?t = "some-big-term":
have "\dots ?t \dots "
```

# Quoting facts by value

#### By name:

```
have x0: "x > 0" ... : from x0 ...
```

# Quoting facts by value

#### By name:

```
have x0: "x > 0" \dots
:
from x0 \dots
```

#### By value:

```
have "x > 0" ... : from 'x > 0' ...
```

# Quoting facts by value

#### By name:

```
have x0: "x > 0" \dots
:
from x0 \dots
```

#### By value:

```
have "x > 0" ...

From 'x > 0' ...

\uparrow \uparrow

back quotes
```

### Isar\_Demo.thy

Pattern matching and quotations

Streamlining Proofs
Pattern Matching and Quotations
Top down proof development
moreover
Local lemmas

## Example

#### lemma

```
\exists ys \ zs. \ xs = ys @ zs \land \\ (length \ ys = length \ zs \lor length \ ys = length \ zs + 1)
```

## Example

#### lemma

```
\exists ys \ zs. \ xs = ys @ zs \land (length \ ys = length \ zs \lor length \ ys = length \ zs + 1)
proof ???
```

### Isar\_Demo.thy

Top down proof development

Split proof up into smaller steps.

Split proof up into smaller steps.

Or explore by apply:

Split proof up into smaller steps.

Or explore by apply:

have ... using ...

Split proof up into smaller steps.

Or explore by apply:

```
have ... using ...

apply - to make incoming facts
part of proof state
```

Split proof up into smaller steps.

Or explore by apply:

```
have ... using ...
```

**apply** - to make incoming facts

part of proof state

**apply** *auto* or whatever

Split proof up into smaller steps.

Or explore by **apply**:

```
have ... using ...

apply - to make incoming facts part of proof state

apply auto or whatever

apply ...
```

Split proof up into smaller steps.

Or explore by **apply**:

```
have ... using ...

apply - to make incoming facts part of proof state

apply auto or whatever

apply ...
```

At the end:

Split proof up into smaller steps.

Or explore by **apply**:

```
have ... using ...

apply - to make incoming facts part of proof state

apply auto or whatever

apply ...
```

At the end:

done

Split proof up into smaller steps.

Or explore by **apply**:

```
have ... using ...

apply - to make incoming facts part of proof state

apply auto or whatever

apply ...
```

#### At the end:

- done
- Better: convert to structured proof

### Streamlining Proofs

Pattern Matching and Quotations Top down proof development

#### moreover

Local lemmas

### moreover—ultimately

```
have P_1 \ldots
moreover
have P_2 ...
moreover
moreover
have P_n ...
ultimately
have P \dots
```

### moreover—ultimately

```
have P_1 ...
                                have lab_1: P_1 \ldots
                                have lab_2: P_2 ...
moreover
have P_2 ...
                                have lab_n: P_n ...
moreover
                         \approx
                                from lab_1 \ lab_2 \dots
                                have P ...
moreover
have P_n ...
ultimately
have P ...
```

With names

#### Streamlining Proofs

Pattern Matching and Quotations
Top down proof development
moreover
Local lemmas

### Local lemmas

```
have B if name: A_1 \ldots A_m for x_1 \ldots x_n \langle proof \rangle
```

#### Local lemmas

```
have B if name: A_1 \ldots A_m for x_1 \ldots x_n \langle proof \rangle
```

proves  $[A_1; \ldots; A_m] \Longrightarrow B$ 

#### Local lemmas

```
have B if name: A_1 \ldots A_m for x_1 \ldots x_n \langle proof \rangle
```

proves  $[A_1; \ldots; A_m] \Longrightarrow B$  where all  $x_i$  have been replaced by  $?x_i$ .

In general: **proof** *method* 

In general: **proof** *method* 

Applies *method* and generates subgoal(s):

$$\bigwedge x_1 \ldots x_n$$
.  $\llbracket A_1; \ldots; A_m \rrbracket \Longrightarrow B$ 

In general: **proof** *method* 

Applies *method* and generates subgoal(s):

$$\bigwedge x_1 \ldots x_n \cdot \llbracket A_1; \ldots ; A_m \rrbracket \Longrightarrow B$$

How to prove each subgoal:

In general: **proof** *method* 

Applies *method* and generates subgoal(s):

$$\bigwedge x_1 \ldots x_n. \ \llbracket \ A_1; \ldots ; A_m \ \rrbracket \Longrightarrow B$$

How to prove each subgoal:

```
fix x_1 \ldots x_n assume A_1 \ldots A_m : show B
```

In general: **proof** *method* 

Applies *method* and generates subgoal(s):

$$\bigwedge x_1 \ldots x_n. [\![ A_1; \ldots; A_m ]\!] \Longrightarrow B$$

How to prove each subgoal:

```
fix x_1 \ldots x_n assume A_1 \ldots A_m:
show B
```

Separated by **next** 

- Isar by example
- Proof patterns

- Streamlining Proofs
- Proof by Cases and Induction

# Isar\_Induction\_Demo.thy

Proof by cases

## Datatype case analysis

datatype  $t = C_1 \vec{\tau} \mid \dots$ 

## Datatype case analysis

```
datatype t = C_1 \vec{\tau} \mid \dots
```

```
\begin{array}{c} \textbf{proof}\;(cases\;"term")\\ \textbf{case}\;(C_1\;x_1\;\ldots\;x_k)\\ \ldots\;x_j\;\ldots\\ \textbf{next}\\ \vdots\\ \textbf{qed} \end{array}
```

### Datatype case analysis

```
datatype t = C_1 \vec{\tau} \mid \dots
```

```
\begin{array}{c} \textbf{proof}\;(cases\;"term")\\ \textbf{case}\;(C_1\;x_1\;\ldots\;x_k)\\ \ldots\;x_j\;\ldots\\ \textbf{next}\\ \vdots\\ \textbf{qed} \end{array}
```

```
where \mathbf{case} \ (C_i \ x_1 \ \dots \ x_k) \equiv \mathbf{fix} \ x_1 \ \dots \ x_k \mathbf{assume} \ \underbrace{C_i:}_{\mathsf{label}} \ \underbrace{term = (C_i \ x_1 \ \dots \ x_k)}_{\mathsf{formula}}
```

## Isar\_Induction\_Demo.thy

```
show P(n)
proof (induction n)
  case 0
  show ?case
next
  case (Suc\ n)
  show ?case
ged
```

```
show P(n)
proof (induction \ n)
  case 0
                        \equiv let ?case = P(0)
  show ?case
next
  case (Suc\ n)
  show ?case
ged
```

```
show P(n)
proof (induction \ n)
  case 0
                         \equiv let ?case = P(0)
  show ?case
next
  case (Suc\ n)
                         \equiv fix n assume Suc: P(n)
                             let ?case = P(Suc \ n)
  show ?case
ged
```

#### Structural induction with $\Longrightarrow$

```
show A(n) \Longrightarrow P(n)
proof (induction n)
  case 0
  show ?case
next
  case (Suc\ n)
  show ?case
ged
```

#### Structural induction with $\Longrightarrow$

```
show A(n) \Longrightarrow P(n)
proof (induction n)
                           \equiv assume 0: A(0)
  case 0
                               let ?case = P(0)
  show ?case
next
  case (Suc\ n)
  show ?case
ged
```

#### Structural induction with $\Longrightarrow$

```
show A(n) \Longrightarrow P(n)
proof (induction \ n)
  case 0
                            \equiv assume 0: A(0)
                                let ?case = P(0)
  show ?case
next
  case (Suc\ n)
                                fix n
                                assume Suc: A(n) \Longrightarrow P(n)
                                                 A(Suc \ n)
                                let ?case = P(Suc \ n)
  show ?case
ged
```

In a proof of

$$A_1 \Longrightarrow \ldots \Longrightarrow A_n \Longrightarrow B$$

by structural induction:

In a proof of

$$A_1 \Longrightarrow \ldots \Longrightarrow A_n \Longrightarrow B$$

by structural induction:

In the context of

case C

In a proof of

$$A_1 \Longrightarrow \ldots \Longrightarrow A_n \Longrightarrow B$$

by structural induction:

In the context of

case C

we have

*C.IH* the induction hypotheses

In a proof of

$$A_1 \Longrightarrow \ldots \Longrightarrow A_n \Longrightarrow B$$

by structural induction:

In the context of

case C

we have

*C.IH* the induction hypotheses

C.prems the premises  $A_i$ 

In a proof of

$$A_1 \Longrightarrow \ldots \Longrightarrow A_n \Longrightarrow B$$

by structural induction:

In the context of

case 
$$C$$

we have

*C.IH* the induction hypotheses

C.prems the premises  $A_i$ 

$$C$$
  $C.IH + C.prems$ 

## A remark on style

• case (Suc n) ... show ?case is easy to write and maintain

## A remark on style

- case (Suc n) ... show ?case is easy to write and maintain
- **fix** *n* **assume** *formula* . . . **show** *formula'* is easier to read:
  - all information is shown locally
  - no contextual references (e.g. ?case)

Proof by Cases and Induction
Rule Induction
Rule Inversion

## Isar\_Induction\_Demo.thy

```
inductive I :: \tau \Rightarrow \sigma \Rightarrow bool where rule_1 : \dots : rule_n : \dots
```

```
inductive I:: \tau \Rightarrow \sigma \Rightarrow bool show I \ x \ y \Longrightarrow P \ x \ y where rule_1: \ldots : rule_n: \ldots
```

```
\begin{array}{l} \textbf{inductive} \ I :: \tau \Rightarrow \sigma \Rightarrow bool \\ \textbf{where} \\ rule_1 : \dots \\ \vdots \\ rule_n : \dots \end{array}
```

```
show I \ x \ y \Longrightarrow P \ x \ y
proof (induction rule: I.induct)
```

```
inductive I :: \tau \Rightarrow \sigma \Rightarrow bool
where
rule_1 : \dots
\vdots
rule_n : \dots
```

```
show I x y \Longrightarrow P x y
proof (induction rule: I.induct)
  case rule_1
  show ?case
next
next
  case rule_n
  show ?case
qed
```

## Fixing your own variable names

case 
$$(rule_i \ x_1 \ \dots \ x_k)$$

Renames the first k variables in  $rule_i$  (from left to right) to  $x_1 \ldots x_k$ .

In a proof of

$$I \ldots \Longrightarrow A_1 \Longrightarrow \ldots \Longrightarrow A_n \Longrightarrow B$$

by rule induction on  $I \dots$ :

In a proof of

$$I \ldots \Longrightarrow A_1 \Longrightarrow \ldots \Longrightarrow A_n \Longrightarrow B$$

by rule induction on  $I \dots$ : In the context of case R

In a proof of

$$I \ldots \Longrightarrow A_1 \Longrightarrow \ldots \Longrightarrow A_n \Longrightarrow B$$

by rule induction on  $I \dots$ :

In the context of

case R

we have

*R.IH* the induction hypotheses

In a proof of

$$I \ldots \Longrightarrow A_1 \Longrightarrow \ldots \Longrightarrow A_n \Longrightarrow B$$

by rule induction on  $I \dots$ :

In the context of

case R

we have

*R.IH* the induction hypotheses

R.hyps the assumptions of rule R

In a proof of

$$I \ldots \Longrightarrow A_1 \Longrightarrow \ldots \Longrightarrow A_n \Longrightarrow B$$

by rule induction on  $I \dots$ :
In the context of

case R

we have

*R.IH* the induction hypotheses

R.hyps the assumptions of rule R

R.prems the premises  $A_i$ 

In a proof of

$$I \ldots \Longrightarrow A_1 \Longrightarrow \ldots \Longrightarrow A_n \Longrightarrow B$$

by rule induction on  $I \dots$ : In the context of

case R

we have

*R.IH* the induction hypotheses

R.hyps the assumptions of rule R

R.prems the premises  $A_i$ 

R R.IH + R.hyps + R.prems

Proof by Cases and Induction Rule Induction
Rule Inversion

```
inductive ev :: nat \Rightarrow bool where ev0: ev \mid 0 \mid evSS: ev \mid n \implies ev(Suc(Suc \mid n))
```

What can we deduce from ev n?

```
inductive ev :: nat \Rightarrow bool where ev0: ev \mid 0 \mid evSS: ev \mid n \implies ev(Suc(Suc \mid n))
```

What can we deduce from  $ev \ n$ ? That it was proved by either ev0 or evSS!

```
inductive ev :: nat \Rightarrow bool where ev0: ev 0 \mid evSS: ev n \Longrightarrow ev(Suc(Suc n))
```

What can we deduce from ev n? That it was proved by either ev0 or evSS!

$$ev \ n \Longrightarrow n = 0 \lor (\exists k. \ n = Suc \ (Suc \ k) \land ev \ k)$$

```
inductive ev :: nat \Rightarrow bool where ev0: ev 0 \mid evSS: ev n \Longrightarrow ev(Suc(Suc n))
```

What can we deduce from  $ev \ n$ ? That it was proved by either ev0 or evSS!

$$ev \ n \Longrightarrow n = 0 \lor (\exists k. \ n = Suc \ (Suc \ k) \land ev \ k)$$

Rule inversion = case distinction over rules

# Isar\_Induction\_Demo.thy

Rule inversion

# Rule inversion template

```
from 'ev n' have P
proof cases
 case ev0
                            n=0
 show ?thesis ...
next
 case (evSS k)
                             n = Suc (Suc k), ev k
 show ?thesis ....
ged
```

# Rule inversion template

```
from 'ev n' have P
proof cases
 case ev0
                            n=0
 show ?thesis ...
next
 case (evSS k)
                             n = Suc (Suc k), ev k
 show ?thesis ....
ged
```

Impossible cases disappear automatically