

Scientific Justification

Understanding the co-evolution of galaxies and their central supermassive black holes (SMBHs) is integral to modern astrophysics. Determining the physical processes which drive the growth of the main structure of a galaxy and which are tightly coupled to those which govern SMBH growth is a key goal of current observational programs. An increasing body of evidence shows that galaxy growth is mainly dependent on ‘secular processes’ rather than by mergers; Kaviraj et al.^[1], for example, have shown that only 27% of star formation is triggered by major or minor mergers. Therefore if galaxy growth is dominated by secular processes, then it follows that these processes also dominate SMBH growth.

This proposal aims to estimate the amount of material driven to the central regions of a galaxy through secular processes and the amount of energy injected back into the galaxy by active galactic nucleus (AGN) feedback in galaxies whose evolution is dominated by secular processes. We shall do so by measuring the rate of mass loss in AGN outflows from the central region of disk-dominated galaxies. The outflow measurements are enabled with narrow-band imaging of the nearby galaxies in our sample; by combining this measurement with existing data constraining the black hole accretion rate, this will provide a limit on the total inflow rate to the centre of the galaxy. The lack of significant bulge (or pseudo-bulge) in these disk-dominated systems (see Figure 1) indicates a dynamical history which is free of significant mergers therefore we can therefore assume that any inflow is driven solely by secular processes. Much of this inflowing material into the central regions of the galaxy will not be accreted by the black hole, but rather will form an outflow. Such outflows are common in AGN and can be very significant. Bae et al.^[2] show that the flux of material in outflows in twenty nearby AGN is an order of magnitude larger than the rate of accretion for the black hole.

By using a sample of galaxies where we can be sure that secular processes dominate we can, for the first time, understand what the limits to merger-free black hole growth are. We will utilise a well-studied sample of disk-dominated galaxies with unobscured AGN. The sample comprises galaxies in the SDSS spectroscopic sample cross-matched with X-ray survey data to identify AGN^[3,4]. The disk-dominated morphologies were identified by expert review, either of the SDSS imaging, shown in Figure 1, or via an ongoing HST snapshot survey (see example in Figure 2) with broadband imaging using ACS WFC (Prop. ID 14606, PI: Simmons).

The spectra of these systems were kinematically fitted, each with two components identified for the [OIII] 5007Å emission line (see Figure 3 which shows the continuum subtracted spectra along with the fits to the [OIII] 5007Å region). The main emission line is identified from the expected wavelength relative to the emission lines in the rest of the spectrum, and the blueshifted component is attributed to the outflow. Although we know from the spectra that there is *some* outflowing material either within the fibre or along the slit, these do not permit a full measurement of the energy deposited into the galaxy via outflows. We thus propose to observe these outflows using narrow band imaging centred on the blueshifted component of the [OIII] 5007Å emission line. This will allow for measurements of the outflow luminosity which can be used to calculate a gas mass in the outflow following the method outlined in Carniani et al. (2015)^[6]:

$$M_{\text{gas}} = 0.8 \times 10^8 M_{\odot} \left(\frac{C}{10^{[O/H]-[O/H]_{\odot}}} \right) \left(\frac{L_{[\text{OIII}]}}{10^{44} \text{ erg s}^{-1}} \right) \left(\frac{n_e}{500 \text{ cm}^{-3}} \right)^{-1} \quad (1)$$

where n_e is the electron density, $[O/H] - [O/H]_{\odot}$ is the metallicity relative to solar, and $C = \langle n_e \rangle^2 / \langle n_e^2 \rangle$. Here $\langle n_e \rangle^2$ is the volume averaged electron density squared and $\langle n_e^2 \rangle$ is the volume averaged squared electron density. This method requires some simplifying assumptions on the nature of the outflowing gas, particularly on the temperature and density of the gas, however these caveats affect all such measurements in the literature which we intend to use as part of a control sample.

Combining this measurement of the gas mass with the velocity of the outflow measured from the spectra and the spatial extent of the outflow will allow us to measure the mass loss rate due to the outflow. This measurement will hence allow us to compute the amount of energy involved in AGN feedback in pure disk systems, as well as to derive a limit on the inflow rate powered by secular processes in each of these systems. The results for this particular disk-dominated sample will be compared with the more typical systems of Bae et al.,^[2] which have morphologies indicative of an evolutionary history containing (at least) minor mergers. If the inflow rate for the two sets of galaxies is similar, the obvious conclusion is that secular processes fuel black hole growth and outflows regardless of morphology. This result would support work by Simmons et al.^[3] and Simmons, Smethurst & Lintott^[4] who find a relation between black hole and total stellar mass which is shared between both disk-dominated and bulge-dominated systems (see Figure 4). On the other hand, if the inflow rates derived for these disk-dominated systems are significantly less than that in the control systems of Bae et al.,^[2] then for the first time we will have properly constrained the relative contributions to present-day black hole growth from secular processes and mergers. These observations are thus likely to produce significant insight to a major problem in the study of galaxy evolution.

Figures and References

- [1] Kaviraj et al. 2013, MNRAS, 429, 40
- [2] Bae et al. 2017, ApJ, 837, 91
- [3] Simmons et al. 2013, MNRAS, 429, 2199
- [4] Simmons, Smethurst & Lintott, 2017, MNRAS, 470, 1559
- [5] Haring & Rix, 2004, ApJ, 604, 89
- [6] Carniani et al. 2015, A&A, 580, 102



Figure 1: SDSS ugriz postage stamp images of the 20 disk-dominated galaxies in this proposal sample. In all the images, the bright central point source of the AGN is clearly visible.

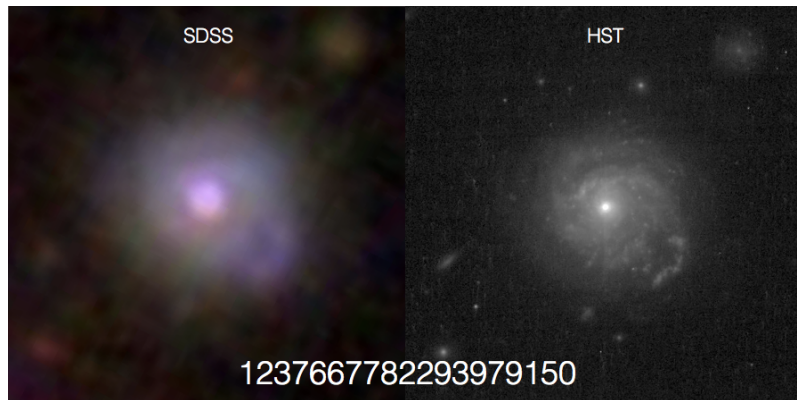


Figure 2: SDSS ugriz postage stamp image of galaxy 1237667782293979150 (left) in comparison with HST ADS WHT image (right), which confirms its disk-dominated morphology.

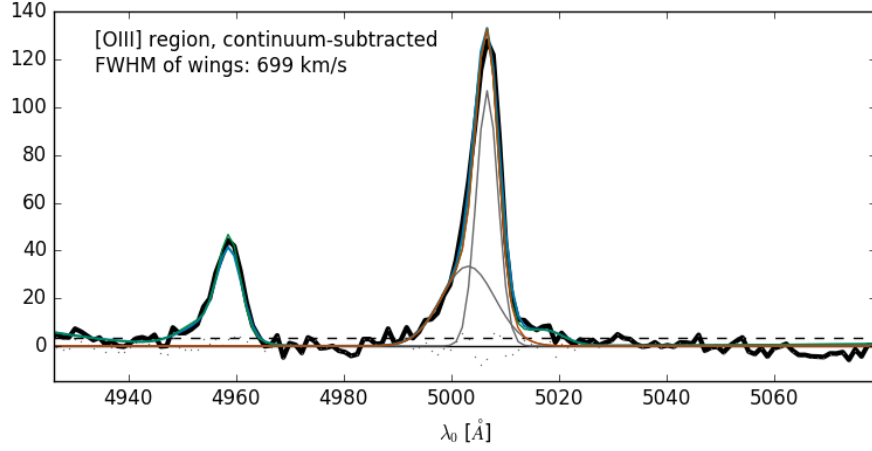


Figure 3: Example continuum subtracted SDSS spectra (black) around the rest frame [OIII] 5007Å region for one of our proposed targets with ID 1237661966353104933. Also shown is the best fit to the continuum subtracted spectrum (turquoise), the best fit to the OIII region (orange) which is made up of a narrow line emission and a broadened outflow (both in grey). The FWHM of the broadened outflow is estimated at 699 km s⁻¹.

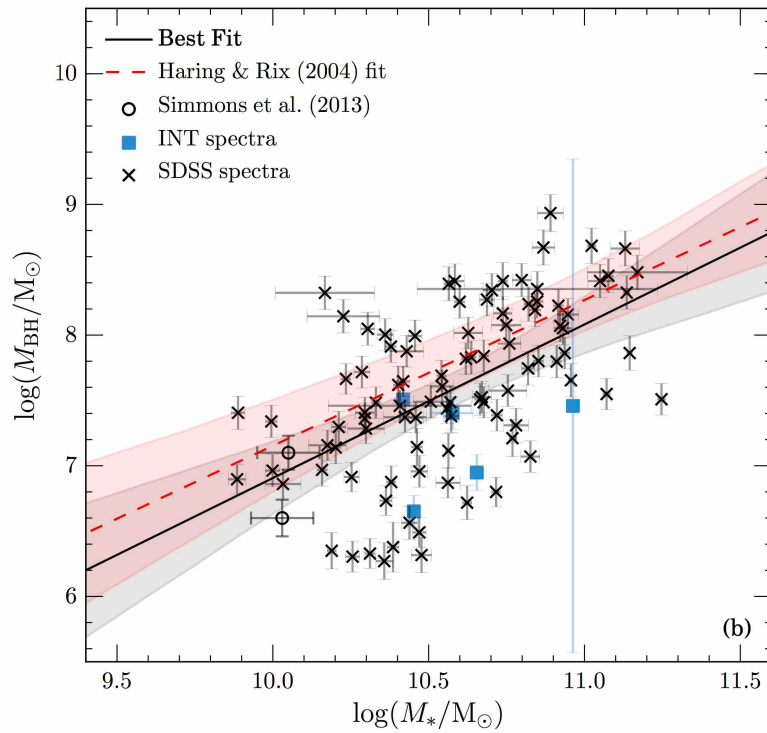


Figure 4: Black hole mass versus total stellar mass^[4] derived from SDSS spectra (black crosses) and from INT spectra (blue squares; semester 2014A) for our entire disk-dominated sample. The six galaxies in this proposal are highlighted by the green circles. The best-fit line to the disk-dominated sample is shown in black (solid line), with the relation for early-types from Haring & Rix^[5] shown in red (dashed line). The relations are consistent for populations of disk-dominated and early-type galaxies, suggesting secular processes must fuel black hole growth regardless of morphology.

Supplementary Observations Required from Other Observatories

No additional observations are required from other observatories.

Technical Remarks

This is a proposal to study twenty disk-dominated AGN host galaxies using narrow-band imaging to observe outflows in [OIII] 5007Å with Kast on the Shane 3-m. This proposal is informed by conversation with UCO/Lick support astronomers and telescope operators. The availability of a variety of narrow-band filters makes Lick an optimal facility for imaging the outflows of relatively nearby AGN. We had originally considered applying for time on the Nickel, but conversations with Mt. Hamilton staff encouraged us to consider using Kast as an imager with the narrow-band filters currently housed at the 3-m. However, we are very open to further discussions if some other setup would make best use of the facilities.

From the SDSS spectra, we have the observed wavelength of the [OIII] 5007Å component for all 101 galaxies in our full disk-dominated AGN host sample (shown in Figure 3). This gives redshifted wavelengths in the range of 5165 – 6231 Å for the full sample. This allows us to choose from the Lick narrow-band filter database the filter which results in the highest transmission at the observed wavelength. Of all the galaxies in our disk-dominated sample, 24 have broadened [OIII] 5007Å outflows which are observable in a filter with $40 \leq \text{FWHM} [\text{\AA}] \leq 65$ listed in the Mount Hamilton filter database. 20 of these galaxies then had RA and Dec co-ordinates appropriate for the 2018A semester and are listed in the Target Source List. We have chosen to use such narrow filters (rather than those in the database with $\text{FWHM} \sim 250\text{\AA}$) in order to isolate the [OIII] 5007Å emission and reduce contamination from nearby [FEII], [NI] and $\text{H}\beta$ emission.

We have chosen appropriate narrow band filters to observe the continuum of each galaxy away from the [OIII] 5007Å emission by ensuring that there is no overlap between the wavelength ranges of the chosen filters. Continuum measurements are required to isolate the flux purely in [OIII] 5007Å emission, from the continuum at that wavelength. The [OIII] 5007Å emission of the outflow will be isolated from the centrally concentrated [OIII] 5007Å emission of the nucleus spatially in the resulting narrow-band images. Given these constraints, in total we will require the use of 12 filters in the Mount Hamilton filter database, centred on the wavelengths [Å]: 5156, 5199, 5232, 5265, 5298, 5332, 5382, 5434, 5503, 5610, 5682, 5719.

Given the energy per [OIII] 5007Å photon, the throughput of each of the chosen narrow-band filters at the observed [OIII] wavelength and assuming negligible sky background noise on dark sky nights with respect to the read noise of the Hamamatsu CCD on the red side, we calculated the exposure times required to reach a $\text{S/N} \sim 3$ per pixel for both the [OIII] 5007Å and continuum for each source. Given this requirement the maximum combined on-source time is 178.3 minutes (3.0 hours), assuming a seeing of 1" and an airmass of 1.5. The total combined on-source time is 653.1 minutes (10.9 hours).

Using this information along with a consideration of target planning and using reasonable estimates of overheads, including dithering, slewing, acquisition and weather losses (we have assumed 50%) we estimate that we can observe all targets in 2.84 nights (assuming an average night length of 8 dark hours). We are therefore requesting 3 nights of dark skies in March/April of the 2018A semester. Observations will also be possible split across dark sky nights in February/March and April/May. If no weather losses are encountered the 3 nights awarded will still be used to full effect by integrating longer on each source in order to improve the SNR/pixel in each narrow band image.

Since there is space for only 4 filters in the filter wheel, we shall schedule our observations so

that we can maximise the number of galaxies observed each night with only 4 filters. We would require these filters to be changed after each nights observing. On the first night, those filters centred on the wavelengths [Å]: [5156, 5199, 5232, 5503], on the second night: [5265, 5298, 5332, 5610], and on the third night: [5382, 5434, 5682, 5719].

Path to Science

Given the uniqueness of these sources as AGN hosted in disk-dominated galaxies yet with clear signs of outflows, these targets are publishable in comparison with more “typical” examples of AGN outflows. Following reduction of the data, we will use standard techniques to measure luminosity and subtract the continuum in order to use the method described above to estimate the mass outflowing and place limits on inflowing mass. The next steps after the publication of the first paper depend somewhat on the results; it may be that the diversity of morphologies and luminosities lead us to propose for additional observations of the sample, but even should this not be the case there is a clear path to at least 1 publication with this data set.

Status of Previously Approved 3-m Programs

This is a sub-sample from a larger sample observed over the past several semesters at Lick. These AGN hosted in disk-dominated galaxies are a rich sample of interesting sources; the primary driver for the science was the measurement of black hole masses for comparison to bulge- and galaxy stellar masses, as part of an ongoing program including multi-wavelength SDSS and *HST* imaging. The secondary purpose for observing these with the Shane/KAST setup is to investigate the star formation properties of these disk galaxies. Both programs are ongoing; the *HST* program has just completed, the Lick data is being reduced as it comes in (we still have further nights scheduled in 2017B) and a paper is in progress. The outflows in the sample were a serendipitous discovery while measuring black hole masses, and led to this proposal.

Backup Observing Program

We can observe the same targets even in poor seeing, as the narrow wavelength bands mean we are less likely to be contaminated by the central AGN [OIII] 5007Å luminosity even if the PSF extends over more pixels than is ideal. If there are wind restrictions or similar, we can focus on the subset of targets that are within the restricted cone. If there is an issue with the filter wheel or the non-standard setup we have proposed, we can observe our targets in the more standard spectrographic setup, with a slit pattern designed to approximate 2-D coverage of the targets. While this is not ideal from a data reduction standpoint, its availability as a backup program means we are robust to many potential failure modes.

Target Source List

SDSS ID	RA	Dec	Outflow surface brightness [mag arcsec ⁻²]	Total exposure time [s]	Outflow narrow band filter λ [Å]	Continuum narrow band filter λ [Å]
1237663655882064127	112.861	45.372	26.53	47	5434	5719
1237663531870191740	123.350	54.377	29.12	190	5232	5503
1237658424619696216	141.839	6.166	26.49	18	5382	5682
1237660669817061494	153.161	10.289	27.88	64	5382	5682
1237662195054673929	158.661	39.641	28.33	91	5232	5503
1237667911661649935	171.515	24.554	28.96	165	5382	5682
1237667486470176778	171.904	24.823	31.91	2217	5298	5610
1237661966353104933	175.014	41.251	30.84	934	5382	5682
1237667782293979150	175.317	21.939	27.36	36	5332	5610
1237651736294195257	180.887	2.493	29.02	184	5382	5682
1237654879118557355	197.013	3.854	28.29	94	5382	5682
1237661967971385403	198.715	42.305	28.45	102	5382	5682
1237665548886081560	205.986	25.647	29.53	278	5434	5719
1237661852549709862	206.110	44.272	33.19	7731	5265	5610
1237654880205209621	226.486	3.707	30.10	499	5199	5503
1237659149386973357	226.969	51.853	32.67	5023	5382	5682
1237662662680051805	239.578	25.857	27.05	29	5382	5682
1237662504293892158	239.790	35.030	31.85	9734	5199	5503
1237659324953460779	242.028	42.683	33.49	10698	5434	5719
1237662500012949661	252.763	26.296	30.86	1051	5434	5719

Abstract

We request the use of the Kast spectrograph as an imager to obtain narrow band images of [OIII] 5007Å in 20 disk-dominated galaxies hosting luminous, unobscured AGN with spectrally confirmed outflows. These massive galaxies' formation histories are dominated by secular processes and thus provide a unique opportunity to study how inflows from secular processes fuel significant growth of black holes in the absence of significant mergers or other processes which build substantial bulges. We propose to constrain this contribution from secular processes by using the luminosity of the [OIII] 5007Å outflow in the narrow band images to measure the rate of mass loss in outflows from the central AGN of these disk-dominated galaxies. By combining these measurements with existing data constraining the black hole accretion rates, this will provide a limit on the total inflow rate to the centre of these galaxies. For the first time we will have properly constrained the relative contribution to present-day black hole growth from secular processes rather than from mergers. These observations are thus likely to produce significant insight to a major problem in the study of galaxy evolution.