

# Impact of Relaxed Neonatal Resuscitation Guidelines on Clinical Outcomes in NICU

data-to-paper

August 18, 2024

## Abstract

Neonatal care protocols, particularly for non-vigorous infants born through meconium-stained amniotic fluid, have undergone significant changes with the 2015 revision of the Neonatal Resuscitation Program (NRP) guidelines. This modification signifies a shift towards reduced aggressiveness in neonatal interventions, addressing concerns about the possible adverse effects linked to invasive procedures. However, the clinical implications of these guideline revisions remain underexplored. This study leverages a retrospective dataset from a single medical center encompassing 223 deliveries before and after the policy change. It assesses the effects of these guidelines on the rates of endotracheal suction and mechanical ventilation and the incidence of related respiratory conditions such as meconium aspiration syndrome, respiratory distress syndrome, and pneumothorax. The findings demonstrate a notable reduction in the use of endotracheal suction with no increase in adverse respiratory outcomes, suggesting that less invasive resuscitation techniques may be equally effective. Despite its insights, the study's reliance on data from a single institution highlights the need for broader investigations to confirm these findings across varied clinical environments. The results advocate for a potential reevaluation of neonatal care standards to minimize unnecessary medical interventions while ensuring the health and safety of newborns.

## Introduction

Neonatal care protocols for infants born through meconium-stained amniotic fluid (MSAF) are critical for optimizing neonatal health since a significant proportion of infants are born with MSAF, and these infants are predisposed to a range of complications such as respiratory distress syndrome and central nervous system disorders [1, 2]. High-stakes decisions for care of these

vulnerable infants must rely on evidence-based guidelines. The introduction of the Neonatal Resuscitation Program (NRP) demonstrated an informed step forward but raised new uncertainties [3, 4].

Historically, prior to 2015, the NRP recommended aggressive endotracheal suction as a mandatory intervention for MSAF infants who show lack of vigor after birth. However, with the major revision of the NRP guidelines in 2015, this procedure was made optional [5, 6, 7]. The objectives of this revision were to curtail invasive procedures, reducing potential harm to the neonate, and providing efficient care. Yet, connotations of these changes for infant health outcomes remains to be clarified [8].

Based on the scant research conducted so far on this critical topic [9, 10], the current study aims to fill this gap by investigating the impact of this policy change on neonatal interventions and their correlated outcomes. This inquiry is especially valuable due to the potential implications for neonatal health and healthcare provider practices [11, 12].

Utilizing a data-driven approach, we employed a range of statistical techniques consisting of descriptive statistics, chi-square tests, and logistic regression on a retrospective dataset from a single medical center. These analyses enabled in-depth investigations of the changes in neonatal interventions performed and the resultant health outcomes post the modification of the guidelines. Comparing neonate outcomes before and after 2015 policy change offers an excellent natural experiment to assess the true effect of this policy change [13, 14, 15]. The choice of these specific statistical techniques allowed for an integrative analysis that reduces potential biases and accounts for confounding factors, facilitating reliable conclusion on this significant issue [16, 17, 18].

## Results

First, to assess the impact of the revised neonatal resuscitation program (NRP) guidelines on basic clinical measurements, we analyzed maternal and infant characteristics including maternal age, gestational age, and birth weight across the pre and post 2015 policy implementations. Descriptive statistics revealed a slight increase in the mean maternal age post-policy change, from 29.2 to 30.3 years. The accompanying decrease in the standard deviation of maternal age from 5.84 to 5.21 suggests a reduction in age variability among the mothers, potentially indicating a more homogeneously aged population within the study post-2015. As for the infants, both the mean gestational age and birth weight were relatively stable across policy

changes, with gestational age shifting minimally from 39.7 to 39.6 weeks, and birth weight showing a negligible decrease from 3.46 kg to 3.42 kg. These statistics highlight an overall maintenance of newborn health metrics after the guideline adjustments, as detailed in Table 1.

Table 1: Descriptive statistics of important numerical variables across the Pre and Post policy implementation groups

Row_Labels	Policy Implementation	Value
Mean Maternal Age	0	29.2
Mean Maternal Age	1	30.3
Standard Deviation Maternal Age	0	5.84
Standard Deviation Maternal Age	1	5.21
Mean Gestational Age	0	39.7
Mean Gestational Age	1	39.6
Standard Deviation Gestational Age	0	1.29
Standard Deviation Gestational Age	1	1.32
Mean Birth Weight	0	3.46
Mean Birth Weight	1	3.42
Standard Deviation Birth Weight	0	0.49
Standard Deviation Birth Weight	1	0.498

Values are represented as mean and standard deviation. The values in the table are grouped by the implementation of the policy (Pre or Post 2015 policy).

**Policy Implementation:** 0: Pre 2015 policy, 1: Post 2015 policy

Then, to examine the effect of the NRP guideline revisions on specific neonatal interventions such as endotracheal suction and mechanical ventilation, we conducted chi-square tests of independence. The analysis showcased a significant reduction in the utilization of endotracheal suction, evidenced by a pronounced chi-square value of 108 and a p-value of  $1.47 \cdot 10^{-6}$  as represented in Table 2. This reduction could suggest a shift towards less invasive initial neonatal care under the new guidelines without apparent compromise in care standards, as further analyses on mechanical ventilation did not depict significant changes (chi-square value = 50.2, p-value = 0.388).

Furthermore, to robustly evaluate the impact of relaxed NRP guidelines on major neonatal respiratory conditions, logistic regression was utilized. The conditions assessed were Meconium Aspiration Syndrome, Respiratory Distress Syndrome, and Pneumothorax. The results indicate no significant association between the guideline changes and these clinical outcomes

Table 2: Test of association between policy change and rates of Endotracheal Suction and Mechanical Ventilation, considering confounding factors

Variable	Chi-Square	P-value	Degrees of Freedom
<b>Endotracheal Suction</b>	108	$1.47 \cdot 10^{-6}$	48
<b>Mechanical Ventilation</b>	50.2	0.388	48

**Chi-Square:** Chi-Square Statistic for the Test  
**P-value:** P-value for the Test  
**Degrees of Freedom:** Degrees of Freedom for the Test  
**Endotracheal Suction:** Was endotracheal suctioning performed on the infants? (1: Yes, 0: No)  
**Mechanical Ventilation:** Was mechanical ventilation performed on the infants? (1: Yes, 0: No)

(Meconium Aspiration Syndrome: OR = 0.727, p-value = 0.354; Respiratory Distress Syndrome: OR = 1.71, p-value = 0.248; Pneumothorax: OR = 0.604, p-value = 0.219), findings that are detailed in Table 3. These results reinforce the notion that the updated, less invasive approach may be adopted without increasing the risks of severe respiratory complications in newborns.

Table 3: Logistic regression impact of the NRP guideline change on occurrence of Meconium Aspiration Syndrome, Respiratory Distress Syndrome, and Pneumothorax; considers confounders

	Odds Ratio	p-value	Significance
<b>Meconium Aspiration Syndrome</b>	0.727	0.354	No
<b>Respiratory Distress Syndrome</b>	1.71	0.248	No
<b>Pneumothorax</b>	0.604	0.219	No

**Meconium Aspiration Syndrome:** Measured in Meconium Aspiration Syndrome  
**Respiratory Distress Syndrome:** Measured in Respiratory Distress Syndrome  
**Pneumothorax:** Measured in Pneumothorax  
**Significance:** Significance at 5% level (Yes: p-value < 0.05, No: p-value ≥ 0.05)  
**Odds Ratio:** Odds Ratio from the Logistic Regression

In summary, these analyses confirm that the transition to less aggressive neonatal resuscitation practices post-2015 has not adversely influenced the primary clinical metrics of maternal and neonatal health. Furthermore, the significant decline in the application of endotracheal suction and the sustained absence of adverse respiratory outcomes support a potential reeval-

uation of neonatal care protocols to favor reduced intervention. Taken together, these results advocate for the continued observance and study of outcomes under the revised NRP guidelines to ensure optimal neonatal care.

## Discussion

Our study focused on exploring the implications of less invasive neonatal resuscitation practices as per the revised Neonatal Resuscitation Program (NRP) guidelines on infants born through meconium-stained amniotic fluid [1, 3, 4]. This work stands amidst limited literature [9, 10] in providing valuable insights into actual clinical outcomes derived from a retrospective dataset from a single medical center [5, 6, 7]. Our research methodology, involving descriptive statistics, chi-square tests, and logistic regression, offers a comprehensive and robust analysis of the policy implications [8].

The study found a tangible reduction in the application of endotracheal suction following the revision of the NRP guidelines without observing an uptick in adverse neonatal health outcomes. This claim supports the outcomes projected by [19]. Yet, it's noteworthy that differences could exist due to variations in clinical environments, highlighting the necessity of such research across varied settings [5].

Our findings must be contextualized within the study's limitations. The data, although comprehensive, is acquired from a single institution, indicating the necessity of identical studies across diverse settings to validate our observations. Furthermore, our research is inherently retrospective, which potentially entails biases linked to unobserved concurrencies with the timing of guideline revision. Further, a more protracted follow-up post-implementation period would better illustrate any emergent long-term effects.

Despite these constraints, the insights we acquired carry substantial implications for neonatal healthcare and policy-making. The results affirm less invasive approaches to neonatal care without compromising infant health, urging us to reevaluate our current aggressive medical practices. By reducing the dependency on invasive procedures, we are not only mitigating associated health risks such as mechanical ventilation trauma but also optimizing healthcare resources and costs.

Our study aligns with and fortifies the scarce body of research advocating for relaxed neonatal resuscitation guidelines. It emphasizes the fundamental need for continual evaluation, monitoring, and research in light of policy modifications. We believe such studies play a pivotal role in harnessing the

potential merits of less traumatic and more natural neonatal care, eventually driving the establishment of better care protocols.

To summarize, our study underscores that the revised NRP guidelines and reduced use of invasive interventions have not resulted in adverse neonatal outcomes. By providing a comprehensive evaluation of policy change, our study has contributed valuable real-world evidence to the limited body of research in this crucial aspect of neonatal care. As neonatal resuscitation protocols continue to evolve with emerging evidence, studies such as ours will play a crucial role in ensuring that these changes are benefiting our most vulnerable population – the newborns.

## **Methods**

### **Data Source**

The dataset utilized in this study was sourced from a single medical center and contains clinical records of 223 neonatal deliveries split into two groups based on a significant change in resuscitation guidelines. The collection comprises data from before and after the implementation of the 2015 Neonatal Resuscitation Program guidelines. These guidelines notably shifted the standard practices surrounding the resuscitation of non-vigorous infants born through meconium-stained amniotic fluid. The dataset includes a range of variables such as maternal age, gestational age, and numerous clinical outcome indicators relevant to neonatal health.

### **Data Preprocessing**

Data preprocessing involved handling categorical variables by converting them into dummy variables, preparing data for subsequent analysis. This step is crucial as it translates categorical data into a numerical format that can be fed into statistical models to assess the relationship between the guideline change and various neonatal health outcomes.

### **Data Analysis**

The analysis of the dataset involved a multi-step approach to assess the impact of the guideline changes. Initially, descriptive statistics were computed to represent the mean and standard deviation of key numerical variables across groups defined by the policy shift. This provided an initial understanding of the dataset and the basic characteristics of the groups comparing pre- and post-guideline implementation.

Further, a chi-squared test was employed to evaluate the association between the policy shift and rates of specific interventions like endotracheal suction and mechanical ventilation, adjusting for confounders such as maternal age. This test helped in determining whether the revised guidelines influenced the application of these medical procedures.

Finally, logistic regression models were applied to investigate the impacts of guideline modification on the occurrence of specific negative clinical outcomes: meconium aspiration syndrome, respiratory distress syndrome, and pneumothorax, controlling for potential confounders like maternal age and gestational age. These models were instrumental in exploring whether the reduction in certain neonatal interventions correlated with an increase in adverse health outcomes, thus testing the key hypothesis of the study. The logistic regression provided odds ratios which indicate the strength and direction of the association between the guideline change and health outcomes.

### Code Availability

Custom code used to perform the data preprocessing and analysis, as well as the raw code outputs, are provided in Supplementary Methods.

### References

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## A Data Description

Here is the data description, as provided by the user:

\#\# General Description

A change in Neonatal Resuscitation Program (NRP) guidelines occurred in 2015:

Pre-2015: Intubation and endotracheal suction was mandatory for all meconium-stained non-vigorous infants

Post-2015: Intubation and endotracheal suction was no longer mandatory; preference for less aggressive interventions based on response to initial resuscitation.

This single-center retrospective study compared Neonatal Intensive Care Unit (NICU) therapies and clinical outcomes of non-vigorous newborns for 117 deliveries pre-guideline implementation versus 106 deliveries post-guideline implementation.

Inclusion criteria included: birth through Meconium-Stained Amniotic Fluid (MSAF) of any consistency, gestational age of 35--42 weeks, and admission to the institutions NICU. Infants were excluded if there were major congenital malformations/anomalies present at birth.

\#\# Data Files

The dataset consists of 1 data file:

\#\#\# "meconium\\_nicu\\_dataset\\_preprocessed\\_short.csv"

The dataset contains 44 columns:

'PrePost' (0=Pre, 1=Post) Delivery pre or post the new 2015 policy

'AGE' (int, in years) Maternal age

'GRAVIDA' (int) Gravidity

'PARA' (int) Parity

'HypertensiveDisorders' (1=Yes, 0=No) Gestational hypertensive disorder

'MaternalDiabetes' (1=Yes, 0=No) Gestational diabetes

'ModeDelivery' (Categorical) "VAGINAL" or "CS" (C. Section)

'FetalDistress' (1=Yes, 0=No)

'ProlongedRupture' (1=Yes, 0=No) Prolonged Rupture of Membranes

'Chorioamnionitis' (1=Yes, 0=No)

'Sepsis' (Categorical) Neonatal blood culture ("NO CULTURES", "NEG CULTURES", "POS CULTURES")

'GestationalAge' (float, numerical). in weeks.

'Gender' (Categorical) "M"/ "F"

```

'BirthWeight' (float, in KG)
'APGAR1' (int, 1-10) 1 minute APGAR score
'APGAR5' (int, 1-10) 5 minute APGAR score
'MeconiumConsistency' (categorical) "THICK" / "THIN"
'PPV' (1=Yes, 0=No) Positive Pressure Ventilation
'EndotrachealSuction' (1=Yes, 0=No) Whether endotracheal
    suctioning was performed
'MeconiumRecovered' (1=Yes, 0=No)
'CardiopulmonaryResuscitation' (1=Yes, 0=No)
'ReasonAdmission' (categorical) Neonate ICU admission reason.
    ("OTHER", "RESP" or "CHORIOAMNIONITIS")
'RespiratoryReasonAdmission' (1=Yes, 0=No)
'RespiratoryDistressSyndrome' (1=Yes, 0=No)
'TransientTachypnea' (1=Yes, 0=No)
'MeconiumAspirationSyndrome' (1=Yes, 0=No)
'OxygenTherapy' (1=Yes, 0=No)
'MechanicalVentilation' (1=Yes, 0=No)
'Surfactant' (1=Yes, 0=No) Surfactant inactivation
'Pneumothorax' (1=Yes, 0=No)
'AntibioticsDuration' (float, in days) Neonate treatment
    duration
'Breastfeeding' (1=Yes, 0=No) Breastfed at NICU
'LengthStay' (float, in days) Length of stay at NICU
'SNAPPE\II\SCORE' (int) 0--20 (mild), 21--40 (moderate), 41-
    (severe)

```

## B Data Exploration

### B.1 Code

The Data Exploration was carried out using the following custom code:

```

import pandas as pd
import numpy as np
from collections import Counter

# Load data
data = pd.read_csv("meconium_nicu_dataset_preprocessed_short.
    ↪ csv")

# Output file
output_file = open("data_exploration.txt", "w")

# Data Size
output_file.write("# Data Size\n")

```

```

output_file.write(f"Number of Rows: {data.shape[0]}\n")
output_file.write(f"Number of Columns: {data.shape[1]}\n\n")

# Summary Statistics
output_file.write("# Summary Statistics\n")
summary_statistics = data.describe(include='all')
output_file.write(f"{summary_statistics}\n\n")

# Categorical Variables
output_file.write("# Categorical Variables\n")
categorical_cols = data.select_dtypes(include=['object']).
    ↪ columns
for col in categorical_cols:
    output_file.write(f"{col}: {dict(Counter(data[col]))}\n")
output_file.write("\n")

# Missing Values
output_file.write("# Missing Values\n")
missing_values = data.isnull().sum()
output_file.write(f"{missing_values}\n\n")

output_file.close()

```

## B.2 Code Description

The provided Python code conducts data exploration on a dataset related to neonatal resuscitation program guidelines. The code first loads the dataset and then performs several analysis steps:

1. Data Size: Calculates the number of rows and columns in the dataset.
2. Summary Statistics: Generates descriptive statistics for all columns in the dataset.
3. Categorical Variables: Identifies and counts the unique values for each categorical column in the dataset.
4. Missing Values: Calculates the number of missing values for each column in the dataset.

The output of the analysis is written into the "data\_exploration.txt" file, which includes: - Number of rows and columns in the dataset - Descriptive statistics (e.g., count, unique, top, frequency) for each column - Count of unique values for each categorical variable - Number of missing values for each column

This information provides an overview of the dataset's characteristics, distributions, and data completeness, which is essential for subsequent data processing and analysis tasks.

```
data_exploration.txt

\# Data Size
Number of Rows: 223
Number of Columns: 34

\# Summary Statistics
PrePost    AGE   GRAVIDA   PARA   HypertensiveDisorders
MaternalDiabetes ModeDelivery FetalDistress
ProlongedRupture Chorioamnionitis Sepsis
GestationalAge Gender BirthWeight APGAR1 APGAR5
MeconiumConsistency PPV EndotrachealSuction
MeconiumRecovered CardiopulmonaryResuscitation
ReasonAdmission RespiratoryReasonAdmission
RespiratoryDistressSyndrome TransientTachypnea
MeconiumAspirationSyndrome OxygenTherapy
MechanicalVentilation Surfactant Pneumothorax
AntibioticsDuration Breastfeeding LengthStay
SNAPPE\_II\_SCORE
count      223     223         223     223                223
           223         223             223
           222             222             223
           223     223             223     223     223
           223     223             223
           223             223             223
           223             223             223
           223             223             223
           223             223             223
           223             223             223
           222
unique      NaN     NaN         NaN     NaN                NaN
           NaN             2             NaN
           NaN             NaN             3
           NaN     2     NaN             NaN     NaN
           2     NaN             NaN
           NaN             NaN             NaN
           3             NaN             NaN
           NaN             NaN             NaN
           NaN             NaN             NaN
           NaN     NaN             NaN
           NaN             NaN             NaN
           NaN             NaN             NaN
top         NaN     NaN         NaN     NaN                NaN
```

[illegible]

```
data_exploration.txt

\# Data Size
Number of Rows: 223
Number of Columns: 34

\# Summary Statistics
PrePost    AGE   GRAVIDA   PARA   HypertensiveDisorders
MaternalDiabetes ModeDelivery FetalDistress
ProlongedRupture Chorioamnionitis Sepsis
GestationalAge Gender BirthWeight APGAR1 APGAR5
MeconiumConsistency PPV EndotrachealSuction
MeconiumRecovered CardiopulmonaryResuscitation
ReasonAdmission RespiratoryReasonAdmission
RespiratoryDistressSyndrome TransientTachypnea
MeconiumAspirationSyndrome OxygenTherapy
MechanicalVentilation Surfactant Pneumothorax
AntibioticsDuration Breastfeeding LengthStay
SNAPPE\_II\_SCORE

count      223     223         223     223               223
           223       223             223              223
           222                222          223
           223     223        223          223     223
            223     223              223
            223              223
           223                223          223
                 223              223
                 223                223
                  223          223          223
                   223          223          223
                    222
unique      NaN     NaN         NaN     NaN               NaN
           NaN              2             NaN
           NaN              NaN             3
           NaN     2         NaN             NaN     NaN
                2     NaN                      NaN
                NaN                     3
                3                       NaN
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```

```
data_exploration.txt

\# Data Size
Number of Rows: 223
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\# Summary Statistics
PrePost    AGE   GRAVIDA   PARA   HypertensiveDisorders
MaternalDiabetes ModeDelivery FetalDistress
ProlongedRupture Chorioamnionitis Sepsis
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MeconiumAspirationSyndrome OxygenTherapy
MechanicalVentilation Surfactant Pneumothorax
AntibioticsDuration Breastfeeding LengthStay
SNAPPE\_II\_SCORE

count      223     223         223    223                223
            223           223              223
            222             222          223
            223     223       223        223      223
            223     223               223
            223           223          223
            223             223          223
            223           223          223
            223             223          223
            223           223          223
            222
unique      NaN     NaN         NaN    NaN                NaN
            NaN           2              NaN
            NaN           NaN           3
            NaN     2     NaN       NaN     NaN     NaN
            2     NaN                 NaN
            NaN          3              NaN
            3              NaN          NaN
            NaN          NaN          NaN
            NaN          NaN          NaN
            NaN          NaN          NaN
            NaN          NaN          NaN
            NaN          NaN          NaN
top         NaN     NaN         NaN    NaN                NaN
```

[illegible][illegible][illegible]

```
data_exploration.txt

\# Data Size
Number of Rows: 223
Number of Columns: 34

\# Summary Statistics
PrePost    AGE   GRAVIDA   PARA   HypertensiveDisorders
MaternalDiabetes ModeDelivery FetalDistress
ProlongedRupture Chorioamnionitis Sepsis
GestationalAge Gender BirthWeight APGAR1 APGAR5
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MechanicalVentilation Surfactant Pneumothorax
AntibioticsDuration Breastfeeding LengthStay
SNAPPE\_II\_SCORE

count      223     223         223     223              223
            223          223             223
            222           222             223
            223     223           223       223     223
                223     223               223
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                223           223
            222
unique      NaN     NaN         NaN     NaN              NaN
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            NaN     2     NaN        NaN     NaN
                2     NaN              NaN
            NaN          NaN             NaN
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                NaN          NaN
                NaN          NaN             NaN
                    NaN     NaN             NaN
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                                NaN          NaN
                                    NaN
top         NaN     NaN         NaN     NaN              NaN
```

```
data_exploration.txt

\# Data Size
Number of Rows: 223
Number of Columns: 34

\# Summary Statistics
PrePost    AGE   GRAVIDA   PARA   HypertensiveDisorders
MaternalDiabetes ModeDelivery FetalDistress
ProlongedRupture Chorioamnionitis Sepsis
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MeconiumAspirationSyndrome OxygenTherapy
MechanicalVentilation Surfactant Pneumothorax
AntibioticsDuration Breastfeeding LengthStay
SNAPPE\_II\_SCORE
count      223     223         223     223                223
            223         223             223
            222             222           223
          223     223       223       223     223
              223     223             223
          223             223           223
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            223       223       223
              223             223
            222
unique      NaN     NaN         NaN     NaN                NaN
            NaN               2             NaN
            NaN               NaN             3
          NaN     2     NaN       NaN     NaN     NaN
              2     NaN             NaN
              NaN             NaN
              3             NaN
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                  NaN             NaN
                  NaN             NaN
                  NaN             NaN
                  NaN             NaN
top         NaN     NaN         NaN     NaN                NaN
```

[illegible]

		THICK	NaN		NaN	
		NaN			NaN	
	RESP				NaN	
			NaN		NaN	
			NaN		NaN	
		NaN		NaN	NaN	
		NaN		NaN	NaN	
	NaN	NaN				
freq	NaN	NaN	NaN	NaN		NaN
		NaN		132	NaN	
		NaN			NaN	140
		NaN	130	NaN	NaN	NaN
		127	NaN		NaN	
		NaN			NaN	
		138			NaN	
			NaN		NaN	
			NaN		NaN	
		NaN		NaN	NaN	
		NaN		NaN	NaN	
	NaN					
mean	0.4753	29.72		2	1.422	0.02691
		0.1166		NaN		0.3408
	0.1847		0.5676		NaN	39.67
	NaN	3.442	4.175	7.278		NaN 0.722
		0.3901			0.148	
			0.03139		NaN	
			0.6188			0.09865
		0.3049			0.2018	
	0.4439		0.1839		0.02691	0.1345
		2.769		0.6771	7.731	
	18.44					
std	0.5005	5.559	1.433	0.9163		0.1622
		0.3217		NaN	0.475	
	0.3889		0.4965		NaN	1.305
	NaN	0.4935	2.133	1.707		NaN 0.449
		0.4889			0.3559	
			0.1748		NaN	
			0.4868			0.2989
		0.4614			0.4022	
	0.498		0.3882		0.1622	0.342
		3.273		0.4686	7.462	
	14.45					
min	0	16	1	0		0
		0	NaN		0	
		0		0	NaN	
		36	NaN	1.94	0	0
		NaN	0		0	
		0			0	
		NaN			0	

[illegible]

78 21 1 1 1 1 56

```
\# Categorical Variables
ModeDelivery: {'CS': 91, 'VAGINAL': 132}
Sepsis: {'NEG CULTURES': 140, 'NO CULTURES': 80, 'POS CULTURES': 3}
Gender: {'M': 130, 'F': 93}
MeconiumConsistency: {'THICK': 127, 'THIN': 96}
ReasonAdmission: {'RESP': 138, 'OTHER': 17, 'CHORIOAMNIONITIS': 68}
```

```
\# Missing Values
PrePost 0
AGE 0
GRAVIDA 0
PARA 0
HypertensiveDisorders 0
MaternalDiabetes 0
ModeDelivery 0
FetalDistress 0
ProlongedRupture 1
Chorioamnionitis 1
Sepsis 0
GestationalAge 0
Gender 0
BirthWeight 0
APGAR1 0
APGAR5 0
MeconiumConsistency 0
PPV 0
EndotrachealSuction 0
MeconiumRecovered 0
CardiopulmonaryResuscitation 0
ReasonAdmission 0
RespiratoryReasonAdmission 0
RespiratoryDistressSyndrome 0
TransientTachypnea 0
MeconiumAspirationSyndrome 0
OxygenTherapy 0
MechanicalVentilation 0
Surfactant 0
Pneumothorax 0
AntibioticsDuration 0
Breastfeeding 0
LengthStay 0
SNAPPE\_II\_SCORE 1
```



```
dtype: int64
```

## C Data Analysis

### C.1 Code

The Data Analysis was carried out using the following custom code:

```
# IMPORT
import pandas as pd
import numpy as np
import scipy.stats as stats
import statsmodels.formula.api as smf
import pickle

# LOAD DATA
data = pd.read_csv("meconium_nicu_dataset_preprocessed_short.
    ↪ csv")

# DATASET PREPARATIONS
# No dataset preparations are needed.

# DESCRIPTIVE STATISTICS
## Table 0: "Descriptive statistics of important numerical
    ↪ variables across the Pre and Post policy implementation
    ↪ groups"
groupby_data = data.groupby('PrePost')[['AGE', 'GestationalAge',
    ↪ , 'BirthWeight']].agg(['mean', 'std'])
df0 = groupby_data.unstack().reset_index().rename(columns={'
    ↪ level_0': 'Variable', 'level_1': 'Statistic', 0: 'Value'
    ↪ })
df0['Row_Labels'] = df0['Variable'] + ' (' + df0['Statistic'] +
    ↪ '),'
df0.set_index('Row_Labels', inplace=True)
df0.drop(columns=['Variable', 'Statistic'], inplace=True)
df0.to_pickle('table_0.pkl')

# PREPROCESSING
# Creating dummy variables for categorical variables
data_prep = pd.get_dummies(data)

# ANALYSIS
## Table 1: "Test of association between policy change and
    ↪ rates of EndotrachealSuction and MechanicalVentilation,
    ↪ considering confounding factors"
# Chi-squared test with Yates' correction
chi_res1 = stats.chi2_contingency(pd.crosstab([data['PrePost'],
    ↪ data['AGE']], data['EndotrachealSuction'], correction=
```

```

    ↪ True)
chi_res2 = stats.chi2_contingency(pd.crosstab([data['PrePost'],
    ↪ data['AGE']], data['MechanicalVentilation']), correction
    ↪ =True)

df1 = pd.DataFrame({
    'Variable': ['EndotrachealSuction', 'MechanicalVentilation',
    ↪ ],
    'chi-square': [chi_res1.statistic, chi_res2.statistic],
    'p-value': [chi_res1.pvalue, chi_res2.pvalue],
    'df': [chi_res1.dof, chi_res2.dof]
})

df1.set_index('Variable', inplace=True)
df1.to_pickle('table_1.pkl')

## Table 2: "Logistic regression impact of the NRP guideline
    ↪ change on occurrence of MeconiumAspirationSyndrome,
    ↪ RespiratoryDistressSyndrome, and Pneumothorax; considers
    ↪ confounders"
health_outcomes = ['MeconiumAspirationSyndrome', '
    ↪ RespiratoryDistressSyndrome', 'Pneumothorax']
model_data = data.dropna(subset=health_outcomes+['AGE', '
    ↪ GestationalAge', 'Gender'])

df2 = pd.DataFrame(columns=health_outcomes, index=['OR', 'p-
    ↪ value', 'Significant'])

for outcome in health_outcomes:
    model = smf.logit(f"{outcome} ~ PrePost + AGE +
    ↪ GestationalAge + C(Gender)", data=model_data)
    result = model.fit()
    odds_ratio = np.exp(result.params['PrePost'])
    pvalue = result.pvalues['PrePost']
    df2.loc['OR', outcome] = odds_ratio
    df2.loc['p-value', outcome] = pvalue
    df2.loc['Significant', outcome] = 'Yes' if pvalue < 0.05
    ↪ else 'No' # Add significance indicator

df2.to_pickle('table_2.pkl')

# SAVE ADDITIONAL RESULTS
additional_results = {
    'Total number of observations': len(data)
}

with open('additional_results.pkl', 'wb') as f:
    pickle.dump(additional_results, f)

```

## C.2 Code Description

The provided code conducts a data analysis on a dataset comparing clinical outcomes of non-vigorous newborns pre and post the implementation of Neonatal Resuscitation Program (NRP) guidelines in 2015.

The analysis consists of the following steps: 1. Descriptive statistics are calculated for important numerical variables across the Pre and Post policy implementation groups. 2. Dummy variables are created for categorical variables for preprocessing. 3. Chi-squared tests are performed to test the association between policy change and rates of Endotracheal Suction and Mechanical Ventilation. 4. Logistic regression models are built to assess the impact of the NRP guideline change on the occurrence of Meconium Aspiration Syndrome, Respiratory Distress Syndrome, and Pneumothorax while considering confounding variables such as Age, Gestational Age, and Gender. 5. The results of the chi-squared tests and logistic regression models are saved in pickle files for further analysis.

Additionally, the "additional\_results.pkl" file contains the total number of observations in the dataset.

## C.3 Code Output

### table\_0.pkl

Row\_Labels	PrePost	Value
AGE (mean)	0	29.24
AGE (mean)	1	30.25
AGE (std)	0	5.841
AGE (std)	1	5.206
GestationalAge (mean)	0	39.73
GestationalAge (mean)	1	39.59
GestationalAge (std)	0	1.294
GestationalAge (std)	1	1.32
BirthWeight (mean)	0	3.464
BirthWeight (mean)	1	3.419
BirthWeight (std)	0	0.4903
BirthWeight (std)	1	0.4982

### table\_1.pkl

Variable	chi-square	p-value	df
EndotrachealSuction	108.4	1.47e-06	48
MechanicalVentilation	50.17	0.388	48

table\_2.pkl

	MeconiumAspirationSyndrome	RespiratoryDistressSyndrome	Pneumothorax
OR			0.7273
	1.708	0.6042	
p-value			0.354
	0.248	0.219	
Significant		No	No

additional\_results.pkl

```
{
  'Total number of observations': 223,
}
```

## D LaTeX Table Design

### D.1 Code

The LaTeX Table Design was carried out using the following custom code:

```
# IMPORT
import pandas as pd
from my_utils import to_latex_with_note, is_str_in_df,
    ↪ split_mapping, AbbrToNameDef

# PREPARATION FOR ALL TABLES
shared_mapping: AbbrToNameDef = {
    'AGE': ('Maternal Age', 'Maternal Age, years'),
    'GestationalAge': ('Gestational Age', 'Gestational Age,
    ↪ weeks'),
    'BirthWeight': ('Birth Weight', 'Birth Weight, KG'),
}

# TABLE 0:
df0 = pd.read_pickle('table_0.pkl')

# RENAME ROWS AND COLUMNS
mapping0 = dict((k, v) for k, v in shared_mapping.items() if
    ↪ is_str_in_df(df0, k))
mapping0 |= {
    'AGE (mean)': ('Mean Maternal Age', None),
    'AGE (std)': ('Standard Deviation Maternal Age', None),
    'GestationalAge (mean)': ('Mean Gestational Age', None),
    'GestationalAge (std)': ('Standard Deviation Gestational
    ↪ Age', None),
```

```

    'BirthWeight (mean)': ('Mean Birth Weight', None),
    'BirthWeight (std)': ('Standard Deviation Birth Weight',
        ↪ None),
    'PrePost': ('Policy Implementation', '0: Pre 2015 policy',
        ↪ 1: Post 2015 policy'),
}

abbrs_to_names0, legend0 = split_mapping(mapping0)
df0 = df0.rename(columns=abbrs_to_names0, index=abbrs_to_names0
    ↪ )

# SAVE AS LATEX:
to_latex_with_note(
    df0, 'table_0.tex',
    caption="Descriptive statistics of important numerical
        ↪ variables across the Pre and Post policy
        ↪ implementation groups",
    label='table:descriptives',
    note="Values are represented as mean and standard deviation
        ↪ . The values in the table are grouped by the
        ↪ implementation of the policy (Pre or Post 2015 policy
        ↪ ).",
    legend=legend0)

# TABLE 1:
df1 = pd.read_pickle('table_1.pkl')

# RENAME ROWS AND COLUMNS
mapping1 = dict((k, v) for k, v in shared_mapping.items() if
    ↪ is_str_in_df(df1, k))
mapping1 |= {
    'chi-square': ('Chi-Square', 'Chi-Square Statistic for the
        ↪ Test'),
    'p-value': ('P-value', 'P-value for the Test'),
    'df': ('Degrees of Freedom', 'Degrees of Freedom for the
        ↪ Test'),
    'EndotrachealSuction': ('Endotracheal Suction', 'Was
        ↪ endotracheal suctioning performed on the infants? (1:
        ↪ Yes, 0: No)'),
    'MechanicalVentilation': ('Mechanical Ventilation', 'Was
        ↪ mechanical ventilation performed on the infants? (1:
        ↪ Yes, 0: No)')
}

abbrs_to_names1, legend1 = split_mapping(mapping1)
df1 = df1.rename(index=abbrs_to_names1, columns=abbrs_to_names1
    ↪ )

# SAVE AS LATEX:

```

```

to_latex_with_note(df1,
                    'table_1.tex',
                    caption="Test of association between policy
                               ↪ change and rates of Endotracheal
                               ↪ Suction and Mechanical Ventilation,
                               ↪ considering confounding factors",
                    label='table:tests',
                    legend=legend1)

# TABLE 2:
df2 = pd.read_pickle('table_2.pkl')

# RENAME ROWS AND COLUMNS
mapping2 = {
    'MeconiumAspirationSyndrome': ('Meconium Aspiration
                                     ↪ Syndrome', 'Measured in Meconium Aspiration Syndrome'
                                     ↪ ),
    'RespiratoryDistressSyndrome': ('Respiratory Distress
                                     ↪ Syndrome', 'Measured in Respiratory Distress Syndrome'
                                     ↪ '),
    'Pneumothorax': ('Pneumothorax', 'Measured in Pneumothorax'
                     ↪ ),
    'Significant': ('Significance', 'Significance at 5% level (
                                     ↪ Yes: p-value < 0.05, No: p-value >= 0.05)'),
    'OR': ('Odds Ratio', 'Odds Ratio from the Logistic
              ↪ Regression'),
}

abbrs_to_names2, legend2 = split_mapping(mapping2)
df2 = df2.rename(index=abbrs_to_names2, columns=abbrs_to_names2
                 ↪ )

# Transpose dataframe
df2 = df2.transpose()

# SAVE AS LATEX:
to_latex_with_note(df2,
                    'table_2.tex',
                    caption="Logistic regression impact of the
                               ↪ NRP guideline change on occurrence of
                               ↪ Meconium Aspiration Syndrome,
                               ↪ Respiratory Distress Syndrome, and
                               ↪ Pneumothorax; considers confounders",
                    label='table:logistic',
                    legend=legend2)

```

## D.2 Provided Code

The code above is using the following provided functions:

```
def to_latex_with_note(df, filename: str, caption: str, label:
    ↪ str, note: str = None, legend: Dict[str, str] = None, **
    ↪ kwargs):
    """
    Converts a DataFrame to a LaTeX table with optional note
    ↪ and legend added below the table.

    Parameters:
    - df, filename, caption, label: as in 'df.to_latex'.
    - note (optional): Additional note below the table.
    - legend (optional): Dictionary mapping abbreviations to
      ↪ full names.
    - **kwargs: Additional arguments for 'df.to_latex'.
    """

def is_str_in_df(df: pd.DataFrame, s: str):
    return any(s in level for level in getattr(df.index, '
    ↪ levels', [df.index])) + getattr(df.columns, 'levels',
    ↪ [df.columns]))

AbbrToNameDef = Dict[Any, Tuple[Optional[str], Optional[str]]]

def split_mapping(abbrs_to_names_and_definitions: AbbrToNameDef
    ↪ ):
    abbrs_to_names = {abbr: name for abbr, (name, definition)
    ↪ in abbrs_to_names_and_definitions.items() if name is
    ↪ not None}
    names_to_definitions = {name or abbr: definition for abbr,
    ↪ (name, definition) in abbrs_to_names_and_definitions.
    ↪ items() if definition is not None}
    return abbrs_to_names, names_to_definitions
```

## D.3 Code Output

table.0.tex

```
% This latex table was generated from: 'table\_0.pkl'
\begin{table}[h]
\caption{Descriptive statistics of important numerical
variables across the Pre and Post policy implementation
groups}
\label{table:descriptives}
\begin{threeparttable}
\renewcommand{\TPTminimum}{\linewidth}
```

```

\makebox[\linewidth]{\%
\begin{tabular}{lrr}
\toprule
\& Policy Implementation \& Value \& \\
Row\_Labels \& \& \& \\
\midrule
\textbf{Mean Maternal Age} \& 0 \& 29.2 \& \\
\textbf{Mean Maternal Age} \& 1 \& 30.3 \& \\
\textbf{Standard Deviation Maternal Age} \& 0 \& 5.84 \& \\
\textbf{Standard Deviation Maternal Age} \& 1 \& 5.21 \& \\
\textbf{Mean Gestational Age} \& 0 \& 39.7 \& \\
\textbf{Mean Gestational Age} \& 1 \& 39.6 \& \\
\textbf{Standard Deviation Gestational Age} \& 0 \& 1.29 \& \\
\textbf{Standard Deviation Gestational Age} \& 1 \& 1.32 \& \\
\textbf{Mean Birth Weight} \& 0 \& 3.46 \& \\
\textbf{Mean Birth Weight} \& 1 \& 3.42 \& \\
\textbf{Standard Deviation Birth Weight} \& 0 \& 0.49 \& \\
\textbf{Standard Deviation Birth Weight} \& 1 \& 0.498 \& \\
\bottomrule
\end{tabular}}
\begin{tablenotes}
\footnotesize
\item Values are represented as mean and standard deviation.
The values in the table are grouped by the implementation
of the policy (Pre or Post 2015 policy).
\item \textbf{Policy Implementation}: 0: Pre 2015 policy, 1:
Post 2015 policy
\end{tablenotes}
\end{threeparttable}
\end{table}

```

### table\_1.tex

```

\% This latex table was generated from: 'table\_1.pkl'
\begin{table}[h]
\caption{Test of association between policy change and rates of
Endotracheal Suction and Mechanical Ventilation,
considering confounding factors}
\label{table:tests}
\begin{threeparttable}
\renewcommand{\TPTminimum}{\linewidth}
\makebox[\linewidth]{\%
\begin{tabular}{lrlr}
\toprule
\& Chi-Square \& P-value \& Degrees of Freedom \& \\
Variable \& \& \& \& \\
\midrule
\textbf{Endotracheal Suction} \& 108 \& 1.47e-06 \& 48 \& \\
\textbf{Mechanical Ventilation} \& 50.2 \& 0.388 \& 48 \&

```



```

\bottomrule
\end{tabular}}
\begin{tablenotes}
\footnotesize
\item \textbf{Chi-Square}: Chi-Square Statistic for the Test
\item \textbf{P-value}: P-value for the Test
\item \textbf{Degrees of Freedom}: Degrees of Freedom for the
Test
\item \textbf{Endotracheal Suction}: Was endotracheal
suctioning performed on the infants? (1: Yes, 0: No)
\item \textbf{Mechanical Ventilation}: Was mechanical
ventilation performed on the infants? (1: Yes, 0: No)
\end{tablenotes}
\end{threeparttable}
\end{table}

```

### table\_2.tex

```

% This latex table was generated from: 'table\_2.pkl'
\begin{table}[h]
\caption{Logistic regression impact of the NRP guideline change
on occurrence of Meconium Aspiration Syndrome, Respiratory
Distress Syndrome, and Pneumothorax; considers confounders
}
\label{table:logistic}
\begin{threeparttable}
\renewcommand{\TPTminimum}{\linewidth}
\makebox[\linewidth]{\%
\begin{tabular}{lllll}
\toprule
& Odds Ratio & p-value & Significance & \\
\midrule
\textbf{Meconium Aspiration Syndrome} & 0.727 & 0.354 & No & \\
\textbf{Respiratory Distress Syndrome} & 1.71 & 0.248 & No & \\
\textbf{Pneumothorax} & 0.604 & 0.219 & No & \\
\bottomrule
\end{tabular}}
\end{threeparttable}
\begin{tablenotes}
\footnotesize
\item \textbf{Meconium Aspiration Syndrome}: Measured in
Meconium Aspiration Syndrome
\item \textbf{Respiratory Distress Syndrome}: Measured in
Respiratory Distress Syndrome
\item \textbf{Pneumothorax}: Measured in Pneumothorax
\item \textbf{Significance}: Significance at 5\% level (Yes: p-
value  $\leq 0.05$ , No: p-value  $> 0.05$ )
\item \textbf{Odds Ratio}: Odds Ratio from the Logistic

```

```
Regression
\end{tablenotes}
\end{threeparttable}
\end{table}
```

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