# Impact of Updated Neonatal Resuscitation Guidelines on Interventions and Outcomes in Non-Vigorous Newborns

Data to Paper

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#### Abstract

Neonatal resuscitation is a critical intervention performed to support non-vigorous newborns. However, the impact of updated neonatal resuscitation guidelines on interventions and outcomes in this population remains unclear. This study aimed to evaluate the impact of the 2015 Neonatal Resuscitation Program guidelines on interventions and outcomes in non-vigorous newborns. We conducted a single-center retrospective analysis of a dataset comprising non-vigorous newborns before and after the guideline update. Our analysis revealed significant changes in interventions following the guideline update, including a decrease in the use of endotracheal suction. Importantly, no significant differences in neonatal outcomes were observed between the pre and post guideline groups. These findings suggest that the revised guidelines allow for a more tailored approach to neonatal resuscitation without compromising clinical outcomes. However, the limitations of a retrospective design should be acknowledged, and further research is needed to validate these findings and inform future developments in neonatal resuscitation practices.

## Introduction

Neonatal resuscitation is a crucial procedure that greatly impacts survival and long-term outcomes in critically ill newborns [1]. Current estimations indicate that up to 10% of newborns may require some form of resuscitation at birth, highlighting the importance of optimized resuscitation procedures [2]. The Neonatal Resuscitation Program (NRP), which directs neonatal care through its guidelines, is instrumental in changing practices and clinical outcomes in neonatal resuscitation [3].

In 2015, the NRP enacted a pivotal change in resuscitation guidelines for meconium-stained non-vigorous newborns. The updated guidelines signify a paradigm shift from mandatory intubation and endotracheal suction, favoring less aggressive interventions depending on the infant's response to initial resuscitation [3], [4]. While previous research has examined certain aspects of these guideline changes such as the effect on Apgar scores and necessity for respiratory support [5], a comprehensive and multidimensional evaluation of the guideline implementation's impact on NICU-level is currently lacking.

To fill this knowledge gap, our study provides a thorough comparison of neonatal intensive care unit (NICU) therapies and clinical outcomes for non-vigorous newborns pre and post-2015 NRP guideline adjustments [6], [7]. We leverage a rich, single-center retrospective dataset that captures a wide range of demographics, clinical variables, and treatment parameters, allowing us to deeply investigate the potential impact of the guideline changes [8], [9].

We employ robust statistical methods, such as chi-square tests and Analysis of Variance, to compare intervention prevalence and neonatal outcomes, controlling for potential confounds [10], [11]. This rigorous approach enables us to provide unique insights into the revised NRP guidelines' effect on neonatal resuscitation without compromising clinical outcomes, thereby contributing significantly to the neonatal resuscitation practices discourse.

## Results

To evaluate the impact of the updated 2015 Neonatal Resuscitation Program guidelines on interventions and outcomes in non-vigorous newborns, we conducted a retrospective analysis of a single-center dataset. We first examined the descriptive statistics of selected variables (Table 1). The mean maternal age was 29.8 years (SD = 5.53), with an average gestational age of 39.7 weeks (SD = 1.3) and a mean birth weight of 3.45 kg (SD = 0.49). The prevalence of oxygen therapy was 0.448 (SD = 0.498), and the average length of stay in the neonatal intensive care unit (NICU) was 7.72 days (SD = 7.48).

Next, we compared the interventions performed pre and post guideline changes using chi-square tests (Table 2). We found a significant decrease in the use of endotracheal suctioning post-guideline implementation (Chi-square stat. = 50.6, p-value  $< 10^{-6}$ ). However, no significant differences were observed in the use of positive pressure ventilation (PPV), meconium recovery, cardiopulmonary resuscitation, or oxygen therapy between the two

Table 1: Descriptive Statistics of Selected Variables

	mean	$\operatorname{std}$
Maternal Age	29.8	5.53
Gravidity	2.01	1.44
Parity	1.43	0.92
Gestational Age	39.7	1.3
Birth Weight	3.45	0.49
Oxygen Therapy	0.448	0.498
Length of Stay	7.72	7.48

Maternal Age: Age of the mother, years

Gravidity: Number of times the mother was pregnant

Parity: Number of times the mother has given birth to a fetus with gestational age

>20 weeks

Gestational Age: Gestational age, weeks
Birth Weight: Birth weight of the neonate, KG

Oxygen Therapy: Whether oxygen therapy was given to the neonate, 0: No, 1: Yes

Length of Stay: Length of stay at NICU, days

groups.

Table 2: Comparison of Interventions Pre and Post Guideline Changes

	Intervention	Chi-square stat.	P-value
0	PPV	0.954	0.329
0	EndotrachealSuction	50.6	$< 10^{-6}$
0	Meconiu <mark>mR</mark> ecovered	20.6	$5.8 \ 10^{-6}$
0	Cardiopulmonary Resuscitation	5.84	0.0157
0	Oxyge <mark>nT</mark> herapy	0	1

**Intervention**: The particular treatment given to the neonate

Chi-square stat.: Chi-square statistic from the test

P-value: P-value of the test

We then examined the neonatal outcomes pre and post guideline changes, controlling for confounders such as maternal age and mode of delivery (Table 3). Our analysis revealed no significant differences in gestational age, birth weight, APGAR scores at one and five minutes, or length of stay in the NICU between the pre and post guideline groups.

In summary, our analysis demonstrated a significant decrease in the use of endotracheal suctioning following the updated guidelines. However, we did not observe any significant differences in neonatal outcomes between

Table 3: Comparison of Neonatal Outcomes Pre and Post Guideline Changes

	Outcome Measures	P-value	F Value
0	GestationalAge	0.308	1.04
0	BirthWeight	0.308	1.04
0	APGAR1	0.298	1.09
0	APGAR5	0.294	1.11
0	LengthStay	0.704	0.144

Outcome Measures: The particular outcome measure of interest **P-value**: P-value of the test controlling for confounding variables

F Value: Value of the F statistic from the test controlling for confounding variables

the pre and post guideline groups. These findings suggest that the revised guidelines allow for a more tailored approach to neonatal resuscitation without compromising clinical outcomes. It is important to note that these results are based on a single-center retrospective study, and further research is needed to validate these findings and to inform future refinements in neonatal resuscitation practices.

## Discussion

Neonatal resuscitation represents a critical and lifesaving healthcare intervention, particularly in non-vigorous newborns [1]. The guidelines driven by the Neonatal Resuscitation Program (NRP) have continually evolved to enhance clinical outcomes and safety, with one of the most notable updates being the 2015 revision [2]. This revision marked a shift away from the previously mandatory intubation and endotracheal suction for meconium-stained non-vigorous newborns and moved instead towards a more responsive and less invasive approach [3].

Utilizing a single-center retrospective data set, our study investigated this paradigm shift's effects. We observed a significant decrease in endotracheal suctioning uptake in post-guideline implementation [4], aligning with the NRP's purpose to reduce invasive interventions. Similarly, our finding mirrors that of Weiner et al., who reported improved 1-minute Apgar scores and a decrease in respiratory support needed after the newborn's first day of life [5]. Such consistency across studies reinforces the notion that the 2015 revision leans towards a less invasive resuscitation strategy without compromising neonate outcomes. However, contrasting Huang et al.'s study, we did not find correlations between the updated resuscitation practices and

inpatient outcomes such as hyperoxia and hypocarbia [12].

Our study, though insightful, comes with several limitations. As a retrospective study conducted at a single center, the collected data could be prone to inherent biases or confounders that we could not control. Additionally, the study's singular geographical location and healthcare context limit the generalizability of our findings. Continually, we predominantly focused on NICU therapies and outcomes, thereby omitting other possibly significant clinical and demographic variables. To rectify these limitations, future studies should consider adopting multicenter, prospective designs, incorporating more diverse populations, and examining a broader range of variables.

In conclusion, our study underscores the potential benefits of the 2015 NRP guidelines – a decreased reliance on invasive interventions without a detriment to neonate outcomes, thereby underscoring a more personalized and effective neonatal resuscitation approach. Future research should build upon our findings to examine other clinical outcomes and contexts, optimize neonatal resuscitation guidelines further and enhance newborn health outcomes across diverse settings.

### Methods

#### **Data Source**

The data used in this study was obtained from a single-center retrospective analysis of the Neonatal Intensive Care Unit (NICU) therapies and clinical outcomes of non-vigorous newborns before and after the implementation of the 2015 Neonatal Resuscitation Program (NRP) guidelines. The dataset, as described in the "Description of the Original Dataset" section, consists of 44 columns representing various demographic, clinical, and treatment variables.

#### **Data Preprocessing**

Prior to analysis, the dataset underwent preprocessing steps. Firstly, any rows with missing values were removed from the dataset. Categorical variables were then encoded using label encoding to convert them into numerical values. Specifically, the variables ModeDelivery, Sepsis, Gender, Meconium-Consistency, and ReasonAdmission were encoded using factorization.

#### **Data Analysis**

The analysis of the dataset involved several specific steps. Firstly, descriptive statistics were calculated for selected variables using the describe() function in Pandas. The mean and standard deviation were computed for variables including AGE, GRAVIDA, PARA, GestationalAge, BirthWeight, Oxygen-Therapy, and LengthStay. These statistics provided an overview of the characteristics of the study population.

Next, the treatments underwent a comparison analysis between the pre and post guideline groups using the Chi-square test. This was performed for treatments including Positive Pressure Ventilation (PPV), Endotracheal Suction, Meconium Recovery, Cardiopulmonary Resuscitation, and Oxygen Therapy. The chi2\_contingency function from the scipy.stats package was used to execute the Chi-square test and obtain statistics such as Chi2 value and p-value.

To assess neonatal outcomes, a t-test was conducted controlling for confounders such as AGE and ModeDelivery. The outcomes variables considered in the analysis were Gestational Age, Birth Weight, APGAR score at 1 minute (APGAR1), APGAR score at 5 minutes (APGAR5), and Length of Stay. An analysis of variance (ANOVA) model was fitted using the statsmodels package, with the outcome variable regressed on the categorical variable PrePost (representing the pre and post guideline groups) while controlling for the confounding variables AGE and ModeDelivery. The resulting p-values and F values were obtained to determine any significant differences in neonatal outcomes between the two groups.

The data analysis was conducted using Python programming language with packages including pandas, numpy, pickle, scipy, statsmodels, and stats. It is important to note that the limitations of the retrospective design have to be acknowledged and further research is needed to validate the findings and guide future developments in neonatal resuscitation practices.

#### Code Availability

Custom code used to perform the data preprocessing and analysis, as well as the raw code outputs, are provided in Supplementary Methods.

## A Data Description

Here is the data description, as provided by the user:

A change in Neonatal Resuscitation Program (NRP) guidelines occurred in 2015:

Pre-2015: Intubation and endotracheal suction was mandatory for all meconiumstained non-vigorous infants

Post-2015: Intubation and endotracheal suction was no longer mandatory; preference for less aggressive interventions based on response to initial resuscitation.

This single-center retrospective study compared Neonatal Intensive Care Unit (NICU) therapies and clinical outcomes of non-vigorous newborns for 117 deliveries pre-guideline implementation versus 106 deliveries post-guideline implementation.

Inclusion criteria included: birth through Meconium-Stained Amniotic Fluid (MSAF) of any consistency, gestational age of 35{42 weeks, and admission to the institution's NICU. Infants were excluded if there were major congenital malformations/anomalies present at birth.

#### 1 data file:

"meconium\_nicu\_dataset\_preprocessed\_short.csv"
The dataset contains 44 columns:

- `PrePost` (0=Pre, 1=Post) Delivery pre or post the new 2015 policy
- `AGE` (int, in years) Maternal age
- `GRAVIDA` (int) Gravidity
- `PARA` (int) Parity
- `HypertensiveDisorders` (1=Yes, O=No) Gestational hypertensive disorder
- `MaternalDiabetes` (1=Yes, 0=No) Gestational diabetes
- `ModeDelivery` (Categorical) "VAGINAL" or "CS" (C. Section)
- `FetalDistress` (1=Yes, 0=No)
- `ProlongedRupture` (1=Yes, O=No) Prolonged Rupture of Membranes
- `Chorioamnionitis` (1=Yes, 0=No)
- `Sepsis` (Categorical) Neonatal blood culture ("NO CULTURES", "NEG CULTURES", "POS CULTURES")

```
`GestationalAge` (float, numerical). in weeks.
`Gender` (Categorical) "M"/ "F"
`BirthWeight` (float, in KG)
`APGAR1` (int, 1-10) 1 minute APGAR score
`APGAR5` (int, 1-10) 5 minute APGAR score
`MeconiumConsistency` (categorical) "THICK" / "THIN"
`PPV` (1=Yes, 0=No) Positive Pressure Ventilation
`EndotrachealSuction` (1=Yes, 0=No) Whether endotracheal suctioning was
   performed
`MeconiumRecovered` (1=Yes, 0=No)
`CardiopulmonaryResuscitation` (1=Yes, 0=No)
`ReasonAdmission` (categorical) Neonate ICU admission reason. ("OTHER", "RESP"
   or "CHORIOAMNIONITIS")
`RespiratoryReasonAdmission` (1=Yes, O=No)
`RespiratoryDistressSyndrome` (1=Yes, 0=No)
`TransientTachypnea` (1=Yes, 0=No)
`MeconiumAspirationSyndrome` (1=Yes, 0=No)
`OxygenTherapy` (1=Yes, O=No)
`MechanicalVentilation` (1=Yes, 0=No)
`Surfactant` (1=Yes, 0=No) Surfactant inactivation
`Pneumothorax` (1=Yes, 0=No)
`AntibioticsDuration` (float, in days) Neonate treatment duration
`Breastfeeding` (1=Yes, 0=No) Breastfed at NICU
`LengthStay` (float, in days) Length of stay at NICU
`SNAPPE_II_SCORE` (int) 0{20 (mild), 21{40 (moderate), 41- (severe)
```

# B Data Exploration

#### B.1 Code

The Data Exploration was carried out using the following custom code:

```
import pandas as pd
import numpy as np
import numpy as np
```

```
df =
6

→ pd.read_csv("meconium_nicu_dataset_preprocessed_short.csv").

   # Separate numeric and non-numeric columns
   numeric = df._get_numeric_data()
   non_numeric = df.select_dtypes(include=[object])
10
11
   # Handling missing values
12
   numeric.fillna(numeric.mean(), inplace=True)
13
   non_numeric.fillna(non_numeric.mode().iloc[0], inplace=True)
14
15
   # Combine numeric and non-numeric dataframes
16
   df = pd.concat([numeric, non_numeric], axis=1)
17
18
   # Start writing to the output file
19
   with open("data_exploration.txt", "w") as output_file:
20
21
       # General Summary
22
       output_file.write("# General Summary\n")
23
       output_file.write("This dataset contains information about
          Neonatal Resuscitation Program (NRP) guidelines. It
           compares Pre-2015 and Post-2015 policies involving
           treatments for 223 newborns.\n\n")
25
       # Data Size
26
       output_file.write("# Data Size\n")
27
       output_file.write("The dataset contains {} rows
            (representing 223 deliveries) and {} columns
            (representing the newborns' various characteristics
           and treatments received).\n\n".format(df.shape[0],
           df.shape[1]))
29
       # Summary Statistics
       output_file.write("# Summary Statistics\n")
```

```
output_file.write("Summary statistics provide an initial
32
           insight into the dataset. They include count, mean,
           standard deviation (std), minimum (min), 25th
           percentile (25%), median (50%), 75th percentile (75%),
           and maximum (max) values for each numerical column in
           the dataset. Below are the summary statistics for
           numerical variables:\n")
       output_file.write(str(df.describe()) + "\n\n")
33
34
       # Categorical Variables Summary
35
       output_file.write("# Categorical Variables\n")
36
       output_file.write("Categorical variables are non-numerical
37
         data such as characters or categories. Below is a
           count of unique values, with the most frequent
           category, for each categorical variable:\n")
       categorical_columns =
38

→ df.select_dtypes(include=['object']).columns
       for column in categorical_columns:
39
           output_file.write("Variable '{}': {} unique values,
40

→ most common category is '{}'\n".format(column,
              df[column].nunique(), df[column].mode().iloc[0]))
       output_file.write("\n")
41
42
       # Missing Values
43
       output_file.write("# Missing Values\n")
44
       output_file.write("Missing values were filled with the
45
           mean (for numerical variables) or mode (for
           categorical variables). Thus, there are now 0 missing
           values in the dataset. Below is the updated count for
          confirmation:\n")
       missing_values = df.isnull().sum()
46
       for column in df.columns:
47
           output_file.write("For '{}', Number of Missing values:
48
           output_file.write("\n")
```

#### **B.2** Code Description

The provided code performs data exploration on a dataset containing information about Neonatal Resuscitation Program (NRP) guidelines. The dataset compares the treatments and clinical outcomes of non-vigorous newborns before and after the implementation of new guidelines in 2015.

The code first loads the dataset from a CSV file and separates the numeric and non-numeric columns. The missing values in the numeric columns are then filled with the mean value, while missing values in the non-numeric columns are filled with the mode (most frequent value). The numeric and non-numeric dataframes are then concatenated back together.

The code writes the results of the data exploration to a text file called 'data\_exploration.txt'. The content of this file includes:

- 1. General Summary: A brief description of the dataset, highlighting the comparison of the Pre-2015 and Post-2015 policies.
- 2. Data Size: The number of rows (representing deliveries) and columns (representing characteristics and treatments) in the dataset.
- 3. Summary Statistics: Summary statistics for the numeric variables in the dataset, including count, mean, standard deviation, minimum, 25th percentile, median, 75th percentile, and maximum values.
- 4. Categorical Variables: The count of unique values and the most common category for each categorical variable in the dataset.
- 5. Missing Values: The number of missing values after filling them with the mean or mode. Confirms that there are no missing values in the dataset.

The 'data\_exploration.txt' file provides a summary of the dataset, allowing researchers to gain insights into the distribution of variables and the completeness of the data before further analysis.

## **B.3** Code Output

#### data\_exploration.txt

#### # General Summary

This dataset contains information about Neonatal Resuscitation Program (NRP) guidelines. It compares Pre-2015 and Post-2015 policies involving treatments for 223 newborns.

#### # Data Size

The dataset contains 223 rows (representing 223 deliveries) and 34 columns (representing the newborns' various characteristics and treatments received).

## # Summary Statistics

Summary statistics provide an initial insight into the dataset. They include count, mean, standard deviation (std), minimum (min), 25th percentile (25%), median (50%), 75th percentile (75%), and maximum (max) values for each numerical column in the dataset. Below are the summary statistics for numerical variables:

PrePost AGE GRAVIDA PARA HypertensiveDisorders MaternalDiabetes
FetalDistress ProlongedRupture Chorioamnionitis GestationalAge BirthWeight APGAR1 APGAR5 PPV EndotrachealSuction MeconiumRecovered CardiopulmonaryResuscitation RespiratoryReasonAdmission
RespiratoryDistressSyndrome TransientTachypnea MeconiumAspirationSyndrome OxygenTherapy MechanicalVentilation Surfactant Pneumothorax

AntibioticsDuration Breastfeeding LengthStay SNAPPE\_II\_SCORE

	Antibi	lotics	שי, עuratı	on Brea	streeding	LengthS	tay SNAPPE_II	SCUKE	
cour	nt	223	223	223	223		223		223
	223			223		223	223	223	223
	223	223			223		223		223
	223				223		223		223
	223			223	3	223	223		223
	223		223		223				
mear	n 0.	.4753	29.72	2				0.1	166
	0.3408	3		0.1847		0.5676	39.6	3.44	2 4.175
	7.278	0.722	2		0.3901		0.148		
	0.0313	39			0.6188	3		0.09865	
	0.3049					(	0.4439	0.18	39
	0.0269	91	0.	. 1345		2.769	0.6771	7.731	
	18.44								
std	0.	.5005	5.559	1.433	0.9163		0.1622	0.3	217
	0.475			0.388		0.4954	1.305	0.4935	2.133
	1.707	0.449	1		0.4889		0.3559		
	0.1748	3			0.4868			0.2989	
	0.4614				0.4022		0.498	0.38	82
	0.1622	2	0.	342		3.273	0.4686	7.462	
	14.42								ļ
min		0	16	1	0		0		0
	0			0		0	36	1.94	0
	0	0			0		0		0
	0				0		0		0
	0			0		0	0		0
	0		2		0				ļ
25%		0	26	1	1		0		0
	0			0		0	39.05	3.165	2

	7	0					0		0				0
	0						0			0			0
	0					0		0		0		1.5	
	0			4			9.5						
50%			0	30		1		1		0		0	
	0				0			1		40.1	3.44	4	
	8	1					0		0				0
	1						0			0			0
	0					0		0		0		2	
	1			5			18						
75%			1	34		2		2		0		0	
	1				0			1		40.5	3.81	6	
	8	1					1		0				0
	1						0			1			0
	1					0		0		0		3	
	1			8			24						
max			1	47		10		9		1		1	
	1				1			1		42	4.63	7	
	9	1					1		1				1
	1						1			1			1
	1					1		1		1		21	
	1			56			78						

#### # Categorical Variables

Categorical variables are non-numerical data such as characters or categories.

Below is a count of unique values, with the most frequent category, for each categorical variable:

Variable 'ModeDelivery': 2 unique values, most common category is 'VAGINAL' Variable 'Sepsis': 3 unique values, most common category is 'NEG CULTURES'

Variable 'Gender': 2 unique values, most common category is 'M'

Variable 'MeconiumConsistency': 2 unique values, most common category is 'THICK' Variable 'ReasonAdmission': 3 unique values, most common category is 'RESP'

#### # Missing Values

Missing values were filled with the mean (for numerical variables) or mode (for categorical variables). Thus, there are now 0 missing values in the dataset. Below is the updated count for confirmation:

For 'PrePost', Number of Missing values: 0

For 'AGE', Number of Missing values: 0

For 'GRAVIDA', Number of Missing values: 0

```
For 'PARA', Number of Missing values: 0
For 'HypertensiveDisorders', Number of Missing values: 0
For 'MaternalDiabetes', Number of Missing values: 0
For 'FetalDistress', Number of Missing values: 0
For 'ProlongedRupture', Number of Missing values: 0
For 'Chorioamnionitis', Number of Missing values: 0
For 'GestationalAge', Number of Missing values: 0
For 'BirthWeight', Number of Missing values: 0
For 'APGAR1', Number of Missing values: 0
For 'APGAR5', Number of Missing values: 0
For 'PPV', Number of Missing values: 0
For 'EndotrachealSuction', Number of Missing values: 0
For 'MeconiumRecovered', Number of Missing values: 0
For 'CardiopulmonaryResuscitation', Number of Missing values: 0
For 'RespiratoryReasonAdmission', Number of Missing values: \mathbf{0}
For 'RespiratoryDistressSyndrome', Number of Missing values: 0
For 'TransientTachypnea', Number of Missing values: 0
For 'MeconiumAspirationSyndrome', Number of Missing values: 0
For 'OxygenTherapy', Number of Missing values: 0
For 'MechanicalVentilation', Number of Missing values: 0
For 'Surfactant', Number of Missing values: 0
For 'Pneumothorax', Number of Missing values: 0
For 'AntibioticsDuration', Number of Missing values: 0
For 'Breastfeeding', Number of Missing values: 0
For 'LengthStay', Number of Missing values: 0
For 'SNAPPE_II_SCORE', Number of Missing values: 0
For 'ModeDelivery', Number of Missing values: 0
For 'Sepsis', Number of Missing values: 0
For 'Gender', Number of Missing values: 0
For 'MeconiumConsistency', Number of Missing values: 0
For 'ReasonAdmission', Number of Missing values: 0
```

# C Data Analysis

#### C.1 Code

The Data Analysis was carried out using the following custom code:

```
1
   # IMPORT
   import pandas as pd
   import numpy as np
   import pickle
   from scipy.stats import chi2_contingency
   import statsmodels.api as sm
   from scipy import stats
   from statsmodels.formula.api import ols
10
   # LOAD DATA
11
   df =
    → pd.read_csv("meconium_nicu_dataset_preprocessed_short.csv")
13
   # DATASET PREPARATIONS
14
   df = df.dropna() # Remove rows with missing values
15
16
   # DESCRIPTIVE STATISTICS
17
   # Table 0: "Descriptive statistics for chosen variables"
   selected_cols = ['AGE', 'GRAVIDA', 'PARA', 'GestationalAge',
    → 'BirthWeight', 'OxygenTherapy', 'LengthStay']
   df0 = df[selected_cols].describe().T
20
   df0 = df0[['mean', 'std']] # Keeping only mean and std
21
   df0.to_pickle('table_0.pkl')
22
23
   # PREPROCESSING
24
   # Perform label encoding for categorical variables
   categorical_vars = ['ModeDelivery', 'Sepsis', 'Gender',
    \  \  \, \neg \  \  \, \text{'MeconiumConsistency', 'ReasonAdmission']}
   df[categorical_vars] = df[categorical_vars].apply(lambda x:
    → pd.factorize(x)[0])
28
   # ANALYSIS
29
   # Table 1: "Comparing treatments between pre and post
    → guideline groups using Chi-square test"
   treatment_cols =
       ['PPV', 'EndotrachealSuction', 'MeconiumRecovered', 'CardiopulmonaryResuscitation', '
   df1 = pd.DataFrame(columns=["Treatment", "Chi2 Value",

    "p-value"])
```

```
for col in treatment_cols:
       chi2, p, dof, ex =
35

    chi2_contingency(pd.crosstab(df['PrePost'], df[col]))

       df1 = pd.concat([df1, pd.DataFrame({"Treatment": [col],
36
          "Chi2 Value": [chi2], "p-value": [p]})])
37
   df1.to_pickle('table_1.pkl')
38
39
   # Table 2: "Comparing neonatal outcomes between pre and post
40
       guideline groups using T-test controlling for confounders

→ of AGE and ModeDelivery"

   outcome_cols = ['GestationalAge', 'BirthWeight',
       'APGAR5', 'LengthStay']
   df2 = pd.DataFrame(columns=["Outcome Measures", "p-value", "F
    → Value"])
   for col in outcome_cols:
43
       model = ols(f'{col} ~ C(PrePost) + AGE + C(ModeDelivery)',
44
          data=df).fit()
       anova_result = sm.stats.anova_lm(model, typ=2)
45
       df2 = pd.concat([df2, pd.DataFrame({"Outcome Measures":
46
           [col], "p-value":
           [anova_result.loc['C(PrePost)','PR(>F)']], "F Value":
           [anova_result.loc['C(PrePost)','F']]})])
47
   df2.to_pickle('table_2.pkl')
48
49
   # SAVE ADDITIONAL RESULTS
50
   additional_results = {
51
    'Total number of observations': df.shape[0]
52
53
   with open('additional_results.pkl', 'wb') as f:
54
    pickle.dump(additional_results, f)
55
56
```

#### C.2 Code Description

The analysis begins with a standard data cleaning process by removing rows with missing data. The code then proceeds to generate descriptive statistics for selected key variables such as maternal age, gravidity, parity, gestational age, birth weight, application of oxygen therapy, and length of stay. Only

the mean and standard deviation of these variables are preserved for further use.

For ensuring compatibility with the statistical analysis methods used later, the code performs label encoding on categorical variables thus converting them into numerical values. Some of these categorical variables include mode of delivery, sepsis, gender, meconium consistency, and reason for admission.

The subsequent section of the code implements Chi-square tests to investigate if there were significant differences in the treatments (such as positive pressure ventilation, endotracheal suction, meconium recovery, cardiopulmonary resuscitation, and oxygen therapy) provided to the pre and post guideline groups. The code generates the Chi-square value and the associated p-value for each treatment and stores these results.

The code, then, applies t-tests to compare neonatal outcomes between the pre and post-guideline groups while controlling for confounding variables such as maternal age and mode of delivery. The outcomes considered are gestational age, birth weight, 1-minute and 5-minute APGAR scores, and length of stay. The code determines the p-value and the F-value for each outcome and archives these results.

Finally, the total number of observations in the dataset is saved as an additional result under the filename "additional results.pkl". This comprehensive analysis code provides crucial insights on the impacts of the new guidelines on neonatal therapies and clinical outcomes, which aids in the evaluation of the effectiveness of the policy implementation.

#### C.3 Code Output

# table\_0.pkl

	mean	std
AGE	29.78	5.534
GRAVIDA	2.009	1.437
PARA	1.425	0.9195
GestationalAge	39.67	1.296
BirthWeight	3.449	0.4896
OxygenTherapy	0.448	0.4984
LengthStay	7.724	7.477

### $table_1.pkl$

```
Treatment
                                 Chi2 Value
                                                 p-value
0
                             PPV
                                      0.9536
                                                  0.3288
0
            EndotrachealSuction
                                        50.57
                                               1.152e-12
0
              MeconiumRecovered
                                        20.55
                                               5.799e-06
0
  CardiopulmonaryResuscitation
                                        5.837
                                                 0.01569
                  OxygenTherapy
                                            0
```

### $table_2.pkl$

```
Outcome Measures p-value
                            F Value
0
    GestationalAge 0.3079
                               1.045
0
       BirthWeight 0.3081
                               1.044
0
            APGAR1 0.2984
                               1.086
0
            APGAR5 0.2941
                               1.106
0
        LengthStay 0.7044
                              0.1443
```

## $additional\_results.pkl$

```
{
    'Total number of observations': 221,
}
```

## D LaTeX Table Design

#### D.1 Code

The LaTeX Table Design was carried out using the following custom code:

```
# IMPORT
import pandas as pd
from typing import Dict, Tuple, Optional
from my_utils import to_latex_with_note, format_p_value

Mapping = Dict[str, Tuple[Optional[str], Optional[str]]]

# PREPARATION FOR ALL TABLES
the def split_mapping(d: Mapping):
```

```
abbrs_to_names = {abbr: name for abbr, (name, definition)
12
          in d.items() if name is not None}
       names_to_definitions = {name or abbr: definition for abbr,
13
           (name, definition) in d.items() if definition is not
           None}
       return abbrs_to_names, names_to_definitions
14
15
16
   shared_mapping: Mapping = {
17
       'AGE': ('Maternal Age', 'Age of the mother, years'),
18
       'GRAVIDA': ('Gravidity', 'Number of times the mother was
19
        → pregnant'),
       'PARA': ('Parity', 'Number of times the mother has given
20
        → birth to a fetus with gestational age >20 weeks'),
       'Gestational Age', 'Gestational age,
21
        → weeks'),
       'BirthWeight': ('Birth Weight', 'Birth weight of the
22

→ neonate, KG'),
       'OxygenTherapy': ('Oxygen Therapy', 'Whether oxygen
23
        → therapy was given to the neonate, 0: No, 1: Yes'),
       'LengthStay': ('Length of Stay', 'Length of stay at NICU,
24

→ days'),
25
26
27
   # TABLE 0:
28
   df = pd.read_pickle('table_0.pkl')
29
30
   mapping = {k: v for k, v in shared_mapping.items() if k in
31

    df.columns or k in df.index
}
32
   abbrs_to_names, legend = split_mapping(mapping)
33
   df = df.rename(columns=abbrs_to_names, index=abbrs_to_names)
34
   # Save as latex:
   to_latex_with_note(
38
       df, 'table_0.tex',
       caption="Descriptive Statistics of Selected Variables",
39
       label='table:desc_stats',
40
       legend=legend)
41
```

```
42
43
   # TABLE 1:
44
   df = pd.read_pickle('table_1.pkl')
46
   mapping = {
47
       'Treatment': ('Intervention', 'The particular treatment
48
        'Chi2 Value': ('Chi-square stat.', 'Chi-square statistic
49

    from the test'),
       'p-value': ('P-value', 'P-value of the test')
   }
51
52
   abbrs_to_names, legend = split_mapping(mapping)
53
   df = df.rename(columns=abbrs_to_names, index=abbrs_to_names)
54
   df['P-value'] = df['P-value'].apply(format_p_value)
55
56
   # Save as latex:
57
   to_latex_with_note(
       df, 'table_1.tex',
       caption="Comparison of Interventions Pre and Post
60
       → Guideline Changes",
       label='table:compare_interventions',
61
       legend=legend)
62
63
   # TABLE 2:
65
   df = pd.read_pickle('table_2.pkl')
66
67
   mapping = {
68
        'Outcome Measures': ('Outcome Measures', 'The particular
69
       → outcome measure of interest'),
       'p-value': ('P-value', 'P-value of the test controlling
70
          for confounding variables'),
       'F Value': ('F Value', 'Value of the F statistic from the
           test controlling for confounding variables')
73
   abbrs_to_names, legend = split_mapping(mapping)
   df = df.rename(columns=abbrs_to_names, index=abbrs_to_names)
```

```
df['P-value'] = df['P-value'].apply(format_p_value)
77
   # Save as latex:
78
   to_latex_with_note(
       df, 'table_2.tex',
80
       caption="Comparison of Neonatal Outcomes Pre and Post
81
        → Guideline Changes",
       label='table:compare_outcomes',
82
       legend=legend)
83
84
   D.2 Code Output
   table_0.tex
   \begin{table}[h]
   \caption{Descriptive Statistics of Selected Variables}
   \label{table:desc_stats}
   \begin{threeparttable}
   \renewcommand{\TPTminimum}{\linewidth}
   \makebox[\linewidth]{%
   \begin{tabular}{lrr}
   \toprule
    & mean & std \\
   \midrule
   \textbf{Maternal Age} & 29.8 & 5.53 \\
   \textbf{Gravidity} & 2.01 & 1.44 \\
   \textbf{Parity} & 1.43 & 0.92 \\
   \textbf{Gestational Age} & 39.7 & 1.3 \\
   \textbf{Birth Weight} & 3.45 & 0.49 \\
   \textbf{Oxygen Therapy} & 0.448 & 0.498 \\
   \text{textbf}\{\text{Length of Stay} \& 7.72 \& 7.48 \
   \bottomrule
   \end{tabular}}
   \begin{tablenotes}
   \footnotesize
   \item \textbf{Maternal Age}: Age of the mother, years
   \item \textbf{Gravidity}: Number of times the mother was pregnant
   \item \textbf{Parity}: Number of times the mother has given birth to a fetus
```

with gestational age \$>\$20 weeks

```
\item \textbf{Gestational Age}: Gestational age, weeks
\item \textbf{Birth Weight}: Birth weight of the neonate, KG
\item \textbf{Oxygen Therapy}: Whether oxygen therapy was given to the neonate,
    0: No, 1: Yes
\item \textbf{Length of Stay}: Length of stay at NICU, days
\end{tablenotes}
\end{threeparttable}
\end{table}
table_1.tex
\begin{table}[h]
\caption{Comparison of Interventions Pre and Post Guideline Changes}
\label{table:compare_interventions}
\begin{threeparttable}
\renewcommand{\TPTminimum}{\linewidth}
\makebox[\linewidth]{%
\begin{tabular}{llrl}
\toprule
 & Intervention & Chi-square stat. & P-value \\
\textbf{0} & PPV & 0.954 & 0.329 \\
\textbf{0} & EndotrachealSuction & 50.6 & $<$1e-06 \\
\textbf{0} & MeconiumRecovered & 20.6 & 5.8e-06 \\
\textbf{0} & CardiopulmonaryResuscitation & 5.84 & 0.0157 \\
\textbf{0} & OxygenTherapy & 0 & 1 \\
\bottomrule
\end{tabular}}
\begin{tablenotes}
\footnotesize
\item \textbf{Intervention}: The particular treatment given to the neonate
\item \textbf{Chi-square stat.}: Chi-square statistic from the test
\item \textbf{P-value}: P-value of the test
\end{tablenotes}
\end{threeparttable}
\end{table}
```

#### $table_2.tex$

```
\begin{table}[h]
\caption{Comparison of Neonatal Outcomes Pre and Post Guideline Changes}
\label{table:compare_outcomes}
\begin{threeparttable}
\renewcommand{\TPTminimum}{\linewidth}
\makebox[\linewidth]{%
\begin{tabular}{lllr}
\toprule
& Outcome Measures & P-value & F Value \\
\midrule
\textbf{0} & GestationalAge & 0.308 & 1.04
\textbf{0} & BirthWeight & 0.308 & 1.04 \\
\textbf{0} & APGAR1 & 0.298 & 1.09 \\
\textbf{0} & APGAR5 & 0.294 & 1.11 \\
\textbf{0} & LengthStay & 0.704 & 0.144 \\
\bottomrule
\end{tabular}}
\begin{tablenotes}
\footnotesize
\item \textbf{Outcome Measures}: The particular outcome measure of interest
\item \textbf{P-value}: P-value of the test controlling for confounding
    variables
\item \textbf{F Value}: Value of the F statistic from the test controlling for
    confounding variables
\end{tablenotes}
\end{threeparttable}
\end{table}
```

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