Towards an Understanding of the Correlations in Jet Substructure

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Abstract Over the past decade, a large number of jet sub-51 structure observables have been proposed in the literature, 52 and explored at the LHC experiments. Such observables at-53 tempt to utilise the internal structure of jets in order to dis-54 tinguish those initiated by quarks, gluons, or by boosted 55 heavy objects, such as Top quarks and W bosons. This re-56 port, originating from and motivated by the BOOST201357 workshop, presents original particle-level studies that aim to 58 improve our understanding of the relationships between jet 59 substructure observables, their complementarity, and their 60 10 dependence on the underlying jet properties, particularly the 61 11 jet radius R and jet p_T . This is explored in the context of 62 12 quark/gluon discrimination, boosted W-boson tagging and 63 boosted Top quark tagging.

Keywords boosted objects · jet substructure · beyondthe-Standard-Model physics searches · Large Hadron Collider

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1 Introduction

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The center-of-mass energies at the Large Hadron Collider 72 are large compared to the heaviest of known particles, even 73 after accounting for parton density functions. With the start⁷⁴ of the second phase of operation in 2015, the center-of-mass⁷⁵ energy will further increase from 7 TeV in 2010-2011 and 76 8 TeV in 2012 to 13 TeV. Thus, even the heaviest states 77 in the Standard Model (and potentially previously unknown⁷⁸ particles) will often be produced at the LHC with substan-79 tial boosts, leading to a collimation of the decay products. 80 For fully hadronic decays, these heavy particles will not be 81 reconstructed as several jets in the detector, but rather as 82 a single hadronic jet with distinctive internal substructure.83 This realization has led to a new era of sophistication in our84 understanding of both standard Quantum Chromodynamics 85 (QCD) jets, as well as jets containing the decay of a heavy 86 particle, with an array of new jet observables and detec-87 tion techniques introduced and studied to distinguish the two88 types of jets. To allow the efficient propagation of results⁸⁹ from these studies of jet substructure, a series of BOOST90 Workshops have been held on an annual basis: SLAC (2009) [1], yses presented in this report are: B. Cooper, S. D. Ellis, Oxford University (2010) [2], Princeton University (2011) [39, IFIC Valencia (2012) [4], University of Arizona (2013) [5], 93 and, most recently, University College London (2014) [6]. Following each of these meetings, working groups have generated reports highlighting the most interesting new results,94 and often including original particle-level studies. Previous BOOST reports can be found at [7–9].

This report from BOOST 2013 thus views the study and 96 implementation of jet substructure techniques as a fairly ma-97 ture field, and focuses on the question of the correlations98 between the plethora of observables that have been devel-99 oped and employed, and their dependence on the underly 100 ing jet parameters, especially the jet radius R and jet p_T . In new analyses developed for the report, we investigate the separation of a quark signal from a gluon background (q/g)tagging), a W signal from a gluon background (W-tagging) and a top signal from a mixed quark/gluon QCD background (top-tagging). In the case of top-tagging, we also investigate the performance of dedicated top-tagging algorithms, the HepTopTagger [10] and the Johns Hopkins Tagger [11]. We study the degree to which the discriminatory information provided by the observables and taggers overlaps by examining the extent to which the signal-background separation performance increases when two or more variables/taggers are combined in a multivariate analysis. Where possible, we provide a discussion of the physics behind the structure of the correlations and the p_T and R scaling that we observe.

We present the performance of observables in idealized simulations without pile-up and detector resolution effects; the relationship between substructure observables, their correlations, and how these depend on the jet radius R and jet p_T should not be too sensitive to such effects. Conducting studies using idealized simulations allows us to more clearly elucidate the underlying physics behind the observed performance, and also provides benchmarks for the development of techniques to mitigate pile-up and detector effects. A full study of the performance of pile-up and detector mitigation strategies is beyond the scope of the current report, and will be the focus of upcoming studies.

The report is organized as follows: in Sections 2-4, we describe the methods used in carrying out our analysis, with a description of the Monte Carlo event sample generation in Section 2, the jet algorithms, observables and taggers investigated in our report in Section 3, and an overview of the multivariate techniques used to combine multiple observables into single discriminants in Section 4. Our results follow in Sections 5-7, with q/g-tagging studies in Section 5, W-tagging studies in Section 6, and top-tagging studies in Section 7. Finally we offer some summary of the studies and general conclusions in Section 8.

The principal organizers of and contributors to the anal-M. Freytsis, A. Hornig, A. Larkoski, D. Lopez Mateos, B. Shuve, and N. V. Tran.

2 Monte Carlo Samples

Below, we describe the Monte Carlo samples used in the q/gtagging, W-tagging, and top-tagging sections of this report. Note that no pile-up (additional proton-proton interactions beyond the hard scatter) are included in any samples, and there is no attempt to emulate the degradation in angular and p_T resolution that would result when reconstructing the jets inside a real detector; such effects are deferred to future43 study.

2.1 Quark/gluon and W-tagging

Samples were generated at $\sqrt{s}=8$ TeV for QCD dijets, and₄₉ for W^+W^- pairs produced in the decay of a scalar reso₁₅₀ nance. The W bosons are decayed hadronically. The QCD₅₁ events were split into subsamples of gg and $q\bar{q}$ events, allowing for tests of discrimination of hadronic W bosons, quarks, and gluons.

Individual gg and $q\bar{q}$ samples were produced at leading order (LO) using MADGRAPH5 [12], while W^+W^- samples, were generated using the JHU GENERATOR [13–15]. Both, were generated using CTEQ6L1 PDFs [16]. The samples, were produced in exclusive p_T bins of width 100 GeV, with, the slicing parameter chosen to be the of any final state par, in this report were 300-400 GeV, 500-600 GeV and 1.0-1.1, this TeV. The samples were then showered through PYTHIAS, (version 8.176) [17] using the default tune 4C [18]. For each of the various samples (W, q, g) and p_T bins, 500k events were simulated.

2.2 Top-tagging

Samples were generated at $\sqrt{s}=14$ TeV. Standard Model⁶¹ dijet and top pair samples were produced with SHERPA 2.0.0⁶² [19–24], with matrix elements of up to two extra partons⁶³ matched to the shower. The top samples included only hadro100 decays and were generated in exclusive p_T bins of widtl⁶⁵ 100 GeV, taking as slicing parameter the top quark p_T . The⁶⁶ QCD samples were generated with a lower cut on the lead¹⁶⁷ ing parton-level jet p_T , where parton-level jets are clustered⁶⁸ with the anti- k_T algorithm and jet radii of R=0.4, 0.8, 1.2¹⁶⁹ The matching scale is selected to be $Q_{\rm cut}=40, 60, 80$ GeV for the $p_{T\,\rm min}=600, 1000,$ and 1500 GeV bins, respectively. For the top samples, 100k events were generated in each bin, while 200k QCD events were generated in each bin.

3 Jet Algorithms and Substructure Observables

In Sections 3.1, 3.2, 3.3 and 3.4, we describe the various jet algorithms, groomers, taggers and other substructure vari₁₇₁ ables used in these studies. Over the course of our study₁₇₂ we considered a larger set of observables, but for presenta₁₇₃ tion purposes we included only a subset in the final analysis₁₇₄ eliminating redundant observables.

3.1 Jet Clustering Algorithms

Jet clustering: Jets were clustered using sequential jet clustering algorithms [25] implemented in FASTJET 3.0.3. Final state particles i, j are assigned a mutual distance d_{ij} and a distance to the beam, d_{iB} . The particle pair with smallest d_{ij} are recombined and the algorithm repeated until the smallest distance is from a particle i to the beam, d_{iB} , in which case i is set aside and labelled as a jet. The distance metrics are defined as

$$d_{ij} = \min(p_{Ti}^{2\gamma}, p_{Tj}^{2\gamma}) \frac{\Delta R_{ij}^2}{R^2}, \tag{1}$$

$$d_{iB} = p_{Ti}^{2\gamma}, \tag{2}$$

where $\Delta R_{ij}^2 = (\Delta \eta_{ij})^2 + (\Delta \phi_{ij})^2$, with $\Delta \eta_{ij}$ being the separation in pseudorapidity of particles i and j, and $\Delta \phi_{ij}$ being the separation in azimuth. In this analysis, we use the anti- k_T algorithm ($\gamma = -1$) [26], the Cambridge/Aachen (C/A) algorithm ($\gamma = 0$) [27, 28], and the k_T algorithm ($\gamma = 1$) [29, 30], each of which has varying sensitivity to soft radiation in the definition of the jet.

Qjets: We also perform non-deterministic jet clustering [31, 32]. Instead of always clustering the particle pair with smallest distance d_{ij} , the pair selected for combination is chosen probabilistically according to a measure

$$P_{ij} \propto e^{-\alpha (d_{ij} - d_{\min})/d_{\min}},\tag{3}$$

where d_{\min} is the minimum distance for the usual jet clustering algorithm at a particular step. This leads to a different cluster sequence for the jet each time the Qjet algorithm is used, and consequently different substructure properties. The parameter α is called the rigidity and is used to control how sharply peaked the probability distribution is around the usual, deterministic value. The Qjets method uses statistical analysis of the resulting distributions to extract more information from the jet than can be found in the usual cluster sequence.

3.2 Jet Grooming Algorithms

Pruning: Given a jet, re-cluster the constituents using the C/A algorithm. At each step, proceed with the merger as usual unless both

$$\frac{\min(p_{Ti}, p_{Tj})}{p_{Tij}} < z_{\text{cut}} \text{ and } \Delta R_{ij} > \frac{2m_j}{p_{Tj}} R_{\text{cut}}, \tag{4}$$

in which case the merger is vetoed and the softer branch discarded. The default parameters used for pruning [33] in this report are $z_{\rm cut}=0.1$ and $R_{\rm cut}=0.5$, unless otherwise stated. One advantage of pruning is that the thresholds used to veto soft, wide-angle radiation scale with the jet kinematics, and

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so the algorithm is expected to perform comparably over 2007 wide range of momenta.

Trimming: Given a jet, re-cluster the constituents into sub₂₁₀ jets of radius R_{trim} with the k_T algorithm. Discard all subjets₁₁₁ i with

$$p_{Ti} < f_{\text{cut}} p_{TJ}.$$
 (5)

The default parameters used for trimming [34] in this reportance $R_{\text{trim}} = 0.2$ and $f_{\text{cut}} = 0.03$, unless otherwise stated.

Filtering: Given a jet, re-cluster the constituents into subjets of radius $R_{\rm filt}$ with the C/A algorithm. Re-define the jet to consist of only the hardest N subjets, where N is determined by the final state topology and is typically one more than the number of hard prongs in the resonance decay (to include the leading final-state gluon emission) [35]. While we do not independently use filtering, it is an important step of the HEPTopTagger to be defined later.

Soft drop: Given a jet, re-cluster all of the constituents using the C/A algorithm. Iteratively undo the last stage of the C/ A^{27} clustering from j into subjets j_1 , j_2 . If

$$\frac{\min(p_{T1}, p_{T2})}{p_{T1} + p_{T2}} < z_{\text{cut}} \left(\frac{\Delta R_{12}}{R}\right)^{\beta}, \qquad (6)_{231}^{230}$$

discard the softer subjet and repeat. Otherwise, take j to be the final soft-drop jet [36]. Soft drop has two input parameters, the angular exponent β and the soft-drop scale z_{cut}_{235} . In these studies we use the default $z_{\text{cut}} = 0.1$ setting, with $\beta = 2$.

3.3 Jet Tagging Algorithms

Modified Mass Drop Tagger: Given a jet, re-cluster all of the constituents using the C/A algorithm. Iteratively undo the last stage of the C/A clustering from j into subjets j_1 , j_2^{243} with $m_{j_1} > m_{j_2}$. If either

$$m_{j_1} > \mu \, m_j \text{ or } \frac{\min(p_{T1}^2, p_{T2}^2)}{m_j^2} \, \Delta R_{12}^2 < y_{\text{cut}},$$
 (7)

then discard the branch with the smaller transverse $\max_{48} m_T = \sqrt{m_i^2 + p_{Ti}^2}$, and re-define j as the branch with the larger transverse mass. Otherwise, the jet is tagged. If de 250 clustering continues until only one branch remains, the jets is considered to have failed the tagging criteria [37]. In this study we use by default $\mu = 1.0$ (i.e. implement no mass drop criteria) and $y_{\rm cut} = 0.1$. With respect to the singulars parts of the splitting functions, this describes the same algozs rithm as running soft drop with $\beta = 0$.

Johns Hopkins Tagger: Re-cluster the jet using the C/A algorithm. The jet is iteratively de-clustered, and at each step the softer prong is discarded if its p_T is less than $\delta_p p_{Tjet}$. This continues until both prongs are harder than the p_T threshold, both prongs are softer than the p_T threshold, or if they are too close $(|\Delta \eta_{ij}| + |\Delta \phi_{ij}| < \delta_R)$; the jet is rejected if either of the latter conditions apply. If both are harder than the $p_{\rm T}$ threshold, the same procedure is applied to each: this results in 2, 3, or 4 subjets. If there exist 3 or 4 subjets, then the jet is accepted: the top candidate is the sum of the subjets, and W candidate is the pair of subjets closest to the W mass [11]. The output of the tagger is the mass of the top candidate (m_t) , the mass of the W candidate (m_W) , and θ_h , a helicity angle defined as the angle, measured in the rest frame of the W candidate, between the top direction and one of the W decay products. The two free input parameters of the John Hopkins tagger in this study are δ_p and δ_R , defined above, and their values are optimized for different jet kinematics and parameters in Section 7.

HEPTopTagger: Re-cluster the jet using the C/A algorithm. The jet is iteratively de-clustered, and at each step the softer prong is discarded if $m_1/m_{12} > \mu$ (there is not a significant mass drop). Otherwise, both prongs are kept. This continues until a prong has a mass $m_i < m$, at which point it is added to the list of subjets. Filter the jet using $R_{\rm filt} = \min(0.3, \Delta R_{ii})$, keeping the five hardest subjets (where ΔR_{ij} is the distance between the two hardest subjets). Select the three subjets whose invariant mass is closest to m_t [10]. The top candidate is rejected if there are fewer than three subjets or if the top candidate mass exceeds 500 GeV. The output of the tagger is m_t , m_W , and θ_h (as defined in the Johns Hopkins Tagger). The two free input parameters of the HEPTopTagger in this study are m and μ , defined above, and their values are optimized for different jet kinematics and parameters in Section 7.

Top-tagging with Pruning or Trimming: In the studies presented in Section 7 we add a W reconstruction step to the pruning and trimming algorithms, to enable a fairer comparison with the dedicated top tagging algorithms described above. A W candidate is found as follows: if there are two subjets, the highest-mass subjet is the W candidate (because the W prongs end up clustered in the same subjet), and the W candidate mass, m_W , the mass of this subjet; if there are three subjets, the two subjets with the smallest invariant mass comprise the W candidate, and m_W is the invariant mass of this subjet pair. In the case of only one subjet, the top candidate is rejected. The top mass, m_t , is the full mass of the groomed jet.

3.4 Other Jet Substructure Observables

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The jet substructure observables defined in this section are calculated using jet constituents prior to any grooming. This approach has been used in several analyses in the past, for example [38, 39], whilst others have used the approach of only considering the jet constituents that survive the grooming procedure [40]. We expect that, in the absence of pile-up, the difference between these approaches will be small.

Qjet mass volatility: As described above, Qjet algorithms re-cluster the same jet non-deterministically to obtain a collection of interpretations of the jet. For each jet interpretation, the pruned jet mass is computed with the default pruning parameters. The mass volatility, Γ_{Ojet} , is defined as [31]

$$\Gamma_{
m Qjet} = rac{\sqrt{\langle m_J^2
angle - \langle m_J
angle^2}}{\langle m_J
angle},$$
 (8)

where averages are computed over the Qjet interpretations. We use a rigidity parameter of $\alpha=0.1$ (although other stud₂₈₈ ies suggest a smaller value of α may be optimal [31, 32]), and 25 trees per event for all of the studies presented here. ₂₈₉

N-subjettiness: *N*-subjettiness [41] quantifies how well the radiation in the jet is aligned along *N* directions. To compute *N*-subjettiness, $\tau_N^{(\beta)}$, one must first identify *N* axes withing the jet. Then,

$$\tau_N^{\beta} = \frac{1}{d_0} \sum_i p_{Ti} \min\left(\Delta R_{1i}^{\beta}, \dots, \Delta R_{Ni}^{\beta}\right), \tag{9}$$

where distances are between particles i in the jet and the axes,

$$d_0 = \sum_{i} p_{Ti} R^{\beta} \tag{10}^{301}$$

and R is the jet clustering radius. The exponent β is a free parameter. There is also some choice in how the axes used to compute N-subjettiness are determined. The optimal configuration of axes is the one that minimizes N-subjettiness; recently, it was shown that the "winner-take-all" (WTA) axesoc can be easily computed and have superior performance compared to other minimization techniques [42]. We use bothoothe WTA (Section 7) and one-pass k_T optimization axes (Secostions 5 and 6) in our studies.

Often, a powerful discriminant is the ratio,

$$\tau_{N,N-1}^{\beta} \equiv \frac{\tau_N^{\beta}}{\tau_{N-1}^{\beta}}.\tag{11}_{_{_{_{_{_{_{_{_{_{_{1}}}}}}}}}}$$

While this is not an infrared-collinear (IRC) safe observable315 it is calculable [43] and can be made IRC safe with a loose16

lower cut on τ_{N-1} .

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Energy correlation functions: The transverse momentum version of the energy correlation functions are defined as [44]:

$$ECF(N,\beta) = \sum_{i_1 < i_2 < \dots < i_N \in j} \left(\prod_{a=1}^N p_{Ti_a} \right) \left(\prod_{b=1}^{N-1} \prod_{c=b+1}^N \Delta R_{i_b i_c} \right)^{\beta},$$
(12)

where *i* is a particle inside the jet. It is preferable to work in terms of dimensionless quantities, particularly the energy correlation function double ratio:

$$C_N^{\beta} = \frac{\text{ECF}(N+1,\beta) \, \text{ECF}(N-1,\beta)}{\text{ECF}(N,\beta)^2}.$$
 (13)

This observable measures higher-order radiation from leading-order substructure. Note that $C_2^{\beta=0}$ is identical to the variable p_TD introduced by CMS in [45].

4 Multivariate Analysis Techniques

Multivariate techniques are used to combine multiple variables into a single discriminant in an optimal manner. The extent to which the discrimination power increases in a multivariable combination indicates to what extent the discriminatory information in the variables overlaps. There exist alternative strategies for studying correlations in discrimination power, such as "truth matching" [46], but these are not explored here.

In all cases, the multivariate technique used to combine variables is a Boosted Decision Tree (BDT) as implemented in the TMVA package [47]. An example of the BDT settings used in these studies, chosen to reduce the effect of overtraining, is given in [47]. The BDT implementation including gradient boost is used. Additionally, the simulated data were split into training and testing samples and comparisons of the BDT output were compared to ensure that the BDT performance was not affected by overtraining.

5 Quark-Gluon Discrimination

In this section, we examine the differences between quarkand gluon-initiated jets in terms of substructure variables. At a fundamental level, the primary difference between quarkand gluon-initiated jets is the color charge of the initiating parton, typically expressed in terms of the ratio of the corresponding Casimir factors $C_F/C_A = 4/9$. Since the quark has the smaller color charge, it radiates less than a corresponding gluon and the naive expectation is that the resulting quark jet will contain fewer constituents than the corresponding gluon jet. The differing color structure of the two types of jet will

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also be realized in the detailed behavior of their radiations66 patterns. We determine the extent to which the substructure 67 observables capturing these differences are correlated, pro368 viding some theoretical understanding of these variables and their performance. The motivation for these studies arises70 not only from the desire to "tag" a jet as originating from a71 quark or gluon, but also to improve our understanding of the quark and gluon components of the QCD backgrounds rel373 ative to boosted resonances. While recent studies have sug₃₇₄ gested that quark/gluon tagging efficiencies depend highly,75 on the Monte Carlo generator used [48, 49], we are more₃₇₆ interested in understanding the scaling performance with $p_{T_{377}}$ and R, and the correlations between observables, which are $_{78}$ expected to be treated consistently within a single shower, scheme.

Other examples of recent analytic studies of the corre₃₈₁ lations between jet observables relevant to quark jet versus,82 gluon jet discrimination can be found in [43, 46, 50, 51].

5.1 Methodology and Observable Classes

These studies use the qq and gg MC samples described in Section 2. The showered events were clustered with FAST₃₈₉ JET 3.03 using the anti- k_T algorithm with jet radii of $R = _{390}$ $0.4,\,0.8,\,1.2.$ In both signal (quark) and background (gluon)₃₉₁ samples, an upper and lower cut on the leading jet p_T is applied after showering/clustering, to ensure similar p_T spectra for signal and background in each p_T bin. The bins in leading jet p_T that are considered are 300-400 GeV, 500-600 GeV, 1.0-1.1 TeV, for the 300-400 GeV, 500-600 GeV, 1.0-303 1.1 TeV parton p_T slices respectively. Various jet grooming approaches are applied to the jets, as described in $Sec_{\frac{395}{395}}$ tion 3.4. Only leading and subleading jets in each sample are used. The following observables are studied in this section:

- Number of constituents (n_{constits}) in the jet.
- Pruned Qjet mass volatility, Γ_{Qjet} .
- 1-point energy correlation functions, C₁^β with β = 0, 1, 2.
 1-subjettiness, τ₁^β with β = 1, 2. The *N*-subjettiness axes are computed using one-pass k_t axis optimization.
- Ungroomed jet mass, m.

For simplicity, we hereafter refer to quark-initiated jets (gluons initiated jets) as quark jets (gluon jets).

We will demonstrate that, in terms of their jet-by-jet cor₄₀₇ relations and their ability to separate quark jets from gluon gluon jets, the above observables fall into five Classes. The first three observables, n_{constits} , Γ_{Qjet} and $C_1^{\beta=0}$, each constitutes₁₀ a Class of its own (Classes I to III) in the sense that they,11 each carry some independent information about a jet and412 when combined, provide substantially better quark jet and gluon jet separation than any one observable alone. Of the remaining observables, $C_1^{\beta=1}$ and $\tau_1^{\beta=1}$ comprise a single is class (Class IV) because their distributions are similar for a sample of jets, their jet-by-jet values are highly correlated, and they exhibit very similar power to separate quark jets and gluon jets (with very similar dependence on the jet parameters R and p_T); this separation power is not improved when they are combined. The fifth class (Class V) is composed of $C_1^{\beta=2}$, $au_1^{\beta=2}$ and the (ungroomed) jet mass. Again the jet-by-jet correlations are strong (even though the individual observable distributions are somewhat different), the quark versus gluon separation power is very similar (including the R and p_T dependence), and little is achieved by combining more than one of the Class V observables. This class structure is not surprising given that the observables within a class exhibit very similar dependence on the kinematics of the underlying jet constituents. For example, the members of Class V are constructed from of a sum over pairs of constituents using products of the energy of each member of the pair times the angular separation squared for the pair (this is apparent for the ungroomed mass when viewed in terms of a mass-squared with small angular separations). By the same argument, the Class IV and Class V observables will be seen to be more similar than any other pair of classes, differing only in the power (β) of the dependence on the angular separations, which produces small but detectable differences. We will return to a more complete discussion of jet masses in Section 5.4.

5.2 Single Variable Discrimination

In Figure 1 are shown the quark and gluon distributions of different substructure observables in the $p_T = 500 - 600$ GeV bin for R = 0.8 jets. These distributions illustrate some of the distinctions between the Classes made above. The fundamental difference between quarks and gluons, namely their color charge and consequent amount of radiation in the jet, is clearly indicated in Figure 1(a), suggesting that simply counting constituents provides good separation between quark and gluon jets. In fact, among the observables considered, one can see by eye that n_{constits} should provide the highest separation power, i.e., the quark and gluon distributions are most distinct, as was originally noted in [49, 52]. Figure 1 further suggests that $C_1^{\beta=0}$ should provide the next best separation, followed by $C_1^{\beta=1}$, as was also found by the CMS and ATLAS Collaborations [48, 53].

To more quantitatively study the power of each observable as a discriminator for quark/gluon tagging, Receiver Operating Characteristic (ROC) curves are built by scanning each distribution and plotting the background efficiency (to select gluon jets) vs. the signal efficiency (to select quark jets). Figure 2 shows these ROC curves for all of the substructure variables shown in Figure 1 for R = 0.4, 0.8 and 1.2 jets (in the $p_T = 300\text{-}400$ GeV bin). In addition, the ROC



Fig. 1 Comparisons of quark and gluon distributions of different substructure variables, organized by Class, for leading jets in the $p_T = 500 - 600$ GeV bin using the anti- k_T R = 0.8 algorithm. The first three plots are Classes I-III, with Class IV in the second row, and Class V in the third row

curve for a tagger built from a BDT combination of all the variables (see Section 4) is shown. As suggested earlier, $n_{\text{con}9468}$ is the best performing variable for all R values, although $c_1^{\beta=0}$ is not far behind, particularly for $c_1^{\beta=0}$ with the main excep428 tion of $c_1^{\beta=0}$, which shows significantly worse discrimina429 tion (this may be due to our choice of rigidity $c_1^{\beta=0}$), with other studies suggesting that a smaller value, such a 4300 the variables are suggested as $c_1^{\beta=0}$.

 $\alpha=0.01$, produces better results [31, 32]). The combination of all variables shows somewhat better discrimination than any individual observable, and we give a more detailed discussion in Section 5.3 of the correlations between the observables and their impact on the combined discrimination power.

We now examine how the performance of the substructure observables varies with p_T and R. To present the results



Fig. 2 The ROC curve for all single variables considered for quark-gluon discrimination in the p_T 300-400 GeV bin using the anti- k_T R = 0.4 (top-left), 0.8 (top-right) and 1.2 (bottom) algorithm.

in a "digestible" fashion we focus on the gluon jet "rejec457 tion" factor, $1/\epsilon_{\rm bkg}$, for a quark signal efficiency, $\epsilon_{\rm sig}$, of 50%. We can use the values of $1/\epsilon_{\rm bkg}$ generated for the 959 kinematic points introduced above (R=0.4,0.8,1.2 and thas 100 GeV p_T bins with lower limits $p_T=300\,{\rm GeV}$, 500 GeV 961 1000 GeV) to generate surface plots. The surface plots in 1000 GeV ariation with p_T and R for each of the studied single ob 961 servable. The color shading in these plots is defined so that 362 value of $1/\epsilon_{\rm bkg} \simeq 1$ yields the color "violet", while $1/\epsilon_{\rm bkg} \simeq 1$ yields the color "violet", while $1/\epsilon_{\rm bkg} \simeq 1$ tween vary linearly with $\log_{10}(1/\epsilon_{\rm bkg})$.

We organize our results by the classes introduced in the previous subsection:

Class I: The sole constituent of this class is $n_{\rm constits}$. We see⁷¹ in Figure 3(a) that, as expected, the numerically largest re⁴⁷² jection rates occur for this observable, with the rejection fac⁴⁷³ tor ranging from 6 to 11 and varying rather dramatically with⁷⁴ R. As R increases the jet collects more constituents from the⁷⁵ underlying event, which are the same for quark and gluon⁷⁶ jets, and the separation power decreases. At large R, there is⁹⁷⁷ some improvement with increasing p_T due to the enhanced⁷⁸ QCD radiation, which is different for quarks vs. gluons.

Class II: The variable Γ_{Qjet} constitutes this class. Figure 3(b)80 confirms the limited efficacy of this single observable (also

least for our parameter choices) with a rejection rate only in the range 2.5 to 2.8. On the other hand, this observable probes a very different property of jet substructure, *i.e.*, the sensitivity to detailed changes in the grooming procedure, and this difference is suggested by the distinct R and p_T dependence illustrated in Figure 3(b). The rejection rate increases with increasing R and decreasing p_T , since the distinction between quark and gluon jets for this observable arises from the relative importance of the one "hard" gluon emission configuration. The role of this contribution is enhanced for both decreasing p_T and increasing R. This general variation with p_T and R is the opposite of what is exhibited in all of the other single variable plots in Figure 3.

Class III: The only member of this class is $C_1^{\beta=0}$. Figure 3(c) indicates that this observable can itself provide a rejection rate in the range 7.8 to 8.6 (intermediate between the two previous observables), and again with distinct R and p_T dependence. In this case the rejection rate decreases slowly with increasing R, which follows from the fact that $\beta=0$ implies no weighting of ΔR in the definition of $C_1^{\beta=0}$, greatly reducing the angular dependence. The rejection rate peaks at intermediate p_T values, an effect visually enhanced by the limited number of p_T values included.

Class IV: Figures 3(d) and (e) confirm the very similar properties of the observables $C_1^{\beta=1}$ and $\tau_1^{\beta=1}$ (as already sug-



Fig. 3 Surface plots of $1/\varepsilon_{\text{bkg}}$ for all single variables considered for quark-gluon discrimination as functions of R and p_T . The first three plots are Classes I-III, with Class IV in the second row, and Class V in the third row.

gested in Figures 1(d) and (e)). They have essentially identison cal rejection rates (4.1 to 5.4) and identical R and p_T depensor dence (a slow decrease with increasing R and an even slowebox increase with increasing p_T).

Class V: The observables $C_1^{\beta=2}$, $\tau_1^{\beta=2}$, and m have similar rejection rates in the range 3.5 to 5.3, as well as very similar R and R and R dependence (a slow decrease with increasing R and an even slower increase with increasing R).

Arguably, drawing a distinction between the Class IV and Class V observables is a fine point, but the color shading does suggest some distinction from the slightly smaller rejection rate in Class V. Again the strong similarities between the plots within the second and third rows in Figure 3 speaks to the common properties of the observables within the two classes.

In summary, the overall discriminating power between quark and gluon jets tends to decrease with increasing R, ex 514 cept for the Γ_{Oiet} observable, presumably in large part due to 15

the contamination from the underlying event. Since the construction of the $\Gamma_{\rm Qjet}$ observable explicitly involves pruning away the soft, large angle constituents, it is not surprising that it exhibits different R dependence. In general the discriminating power increases slowly and monotonically with p_T (except for the $\Gamma_{\rm Qjet}$ and $C_1^{\beta=0}$ observables). This is presumably due to the overall increase in radiation from high p_T objects, which accentuates the differences in the quark and gluon color charges and providing some increase in discrimination. In the following section, we study the effect of combining multiple observables.

5.3 Combined Performance and Correlations

Combining multiple observables in a BDT can give further improvement over cuts on a single variable. Since the improvement from combining correlated observables is expected to be inferior to that from combining uncorrelated observ-

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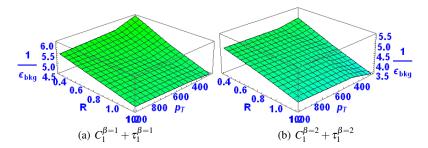


Fig. 4 Surface plots of $1/\epsilon_{bkg}$ for the indicated pairs of variables from (a) Class IV and (b) Class V considered for quark-gluon discrimination as functions of R and p_T .

ables, studying the performance of multivariable combina556 tions gives insight into the correlations between substructure557 variables and the physical features allowing for quark/gluon558 discrimination. Based on our discussion of the correlated559 properties of observables within a single class, we expect560 little improvement in the rejection rate when combining ob561 servables from the same class, and substantial improvement562 when combining observables from different classes. Our clas63 sification of observables for quark/gluon tagging therefore motivates the study of particular combinations of variable564 for use in experimental analyses.

To quantitatively study the improvement obtained from multivariate analyses, we build quark/gluon taggers from every pair-wise combination of variables studied in the previous section; we also compare the pair-wise performance performance with the all-variables combination. To illustrate the results 570 achieved in this way, we use the same 2D surface plots as 571 in Figure 3. Figure 4 shows pair-wise plots for variables in 572 (a) Class IV and (b) Class V, respectively. Comparing to⁵⁷³ the corresponding plots in Figure 3, we see that combin₅₇₄ ing $C_1^{\beta=1}+ au_1^{\beta=1}$ provides a small ($\sim 10\%$) improvement in 75 the rejection rate with essentially no change in the R and p_{7576} dependence, while combining $C_1^{\beta=2} + \tau_1^{\beta=2}$ yields a rejec⁵⁷⁷ tion rate that is essentially identical to the single observable78 rejection rate for all R and p_T values (with a similar con 579 clusion if one of these observables is replaced with the un580 groomed jet mass m). This confirms the expectation that the same mobservables within a single class effectively probe the same82 jet properties.

Next, we consider cross-class pairs of observables in Fig. ure 5, where, except in the one case noted below, we use only a single observable from each class for illustrative purson poses. Since n_{constits} is the best performing single variable, the largest rejection rates are obtained from combining ansother observable with n_{constits} (Figures 5(a) to (e)). In genson eral, the rejection rates are larger for the pair-wise case that for the single variable case. In particular, the pair $n_{\text{constits}} + C_1^{\beta=1}$ in Figure 5(b) yields rejection rates in the range 6.4 to 14.7 with the largest values at small R and large p_T . As expected, the pair $n_{\text{constits}} + \tau_1^{\beta=1}$ in Figure 5(e) yields very

similar rejection rates (6.4 to 15.0), since $C_1^{\beta=1}$ and $\tau_1^{\beta=1}$ are both in Class IV. The other pairings with n_{constits} yield smaller rejection rates and smaller dynamic ranges. The pair $n_{\text{constits}} + C_1^{\beta=0}$ (Figure 5(d)) exhibits the smallest range of rates (8.3 to 11.3), suggesting that the differences between these two observables serve to substantially reduce the R and p_T dependence for the pair. The other pairs shown exhibit similar behavior.

The R and p_T dependence of the pair-wise combinations is generally similar to the single observable with the most dependence on R and p_T . The smallest R and p_T variation always occurs when pairing with $C_1^{\beta=0}$. Changing any of the observables in these pairs with a different observable in the same class $(e.g., C_1^{\beta=2} \text{ for } \tau_1^{\beta=2})$ produces very similar results. Figure 5(k) shows the result of a BDT analysis including all of the current observables with rejection rates in the range 10.5 to 17.1. This is a somewhat narrower range than in Figure 5(b) but with larger maximum values.

Some features are more easily seen with an alternative presentation of the data: we fix R and p_T and simultaneously show the single- and pair-wise observables performance in a single matrix, and these matrices are shown in Figures 6 and 7. The numbers in each cell are the same rejection rate for gluons used earlier, $1/\varepsilon_{bkg}$, with $\varepsilon_{sig} = 50\%$ (quarks). Figure 6 shows the results for $p_T = 1 - 1.1$ TeV and R =0.4, 0.8, 1.2, while Figure 7 is for R = 0.4 and the 3 p_T bins. The single observable rejection rates appear on the diagonal, and the pairwise results are off the diagonal. The largest pair-wise rejection rate, as already suggested by Figure 5(e), appears at large p_T and small R for the pair $n_{\text{constits}} + \tau_1^{\beta=1}$ (with very similar results for $n_{\text{constits}} + C_1^{\beta=1}$). The correlations indicated by the shading 1 should be largely understood as indicating the organization of the observables into the now-familiar classes. The all-observable (BDT) result appears as the number at the lower right in each plot.

¹The connection between the value of the rejection rate and the shading color in Figures 6 and 7 is the same as that in Figures 3 to 5.



Fig. 5 Surface plots of $1/\epsilon_{\rm bkg}$ for the indicated pairs of variables from different classes considered for quark-gluon discrimination as functions of R and p_T .

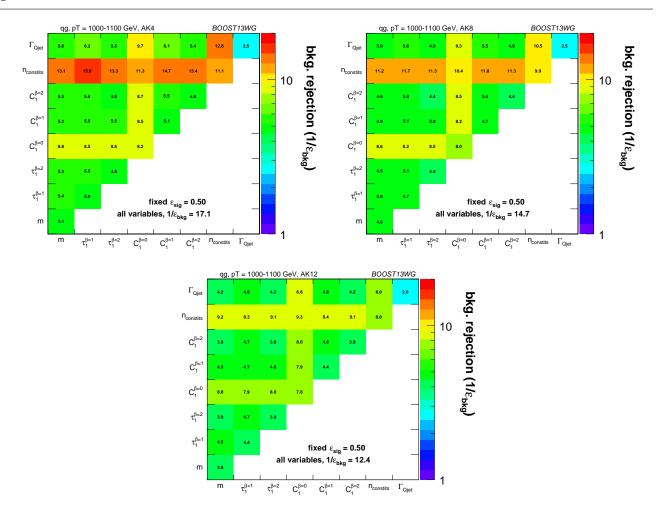


Fig. 6 Gluon rejection defined as $1/\varepsilon_{\text{gluon}}$ when using each 2-variable combination as a tagger with 50% acceptance for quark jets. Results are shown for jets with $p_T = 1 - 1.1$ TeV and for (top left) R = 0.4; (top right) R = 0.8; (bottom) R = 1.2. The rejection obtained with a tagger that uses all variables is also shown in the plots.

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5.4 QCD Jet Masses

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To close the discussion of q/g-tagging, we provide some insight into the behavior of the masses of QCD jets initiated by both kinds of partons, with and without grooming. Recall that, in practice, an identified jet is simply a list of constituents, *i.e.*, final state particles. To the extent that the masses of these individual constituents can be neglected (due to the constituents being relativistic), each constituent has a "well- defined" 4-momentum from its energy and direction. It follows that the 4-momentum of the jet is simply the sum of the 4-momenta of the constituents and its square is the jet mass squared. Simply on dimensional grounds, we know that jet mass must have an overall linear scaling with p_T , with the remaining p_T dependence arising predominantly from the running of the coupling, $\alpha_s(p_T)$. The R dependence is also crudely linear as the jet mass scales approximately with the largest angular opening between any 2^{260} constituents, which is set by R.

To demonstrate this universal behavior for jet mass, we first note that if we consider the mass distributions for many kinematic points (various values of R and p_T), we observe considerable variation in behaviour. This variation, however, can largely be removed by plotting versus the scaled variable $m/p_T/R$. The mass distributions for quark and gluon jets versus $m/p_T/R$ for all of our kinematic points are shown in Figure 8, where we use a logarithmic scale on the y-axis to clearly exhibit the behavior of these distributions over a large dynamic range. We observe that the distributions for the different kinematic points do approximately scale as expected, i.e., the simple arguments above capture most of the variation with R and p_T . We will consider shortly an explanation of the residual non-scaling. A more rigorous quantitative understanding of jet mass distributions requires allorders calculations in QCD, which have been performed for ungroomed jet mass spectra at high logarithmic accuracy, both in the context of direct QCD resummation [54, 55] and Soft Collinear Effective Theory [56, 57].

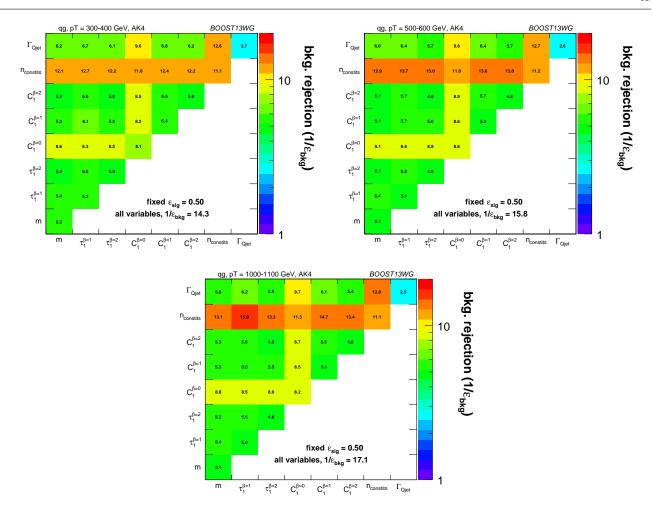


Fig. 7 Gluon rejection defined as $1/\varepsilon_{\text{gluon}}$ when using each 2-variable combination as a tagger with 50% acceptance for quark jets. Results are shown for R=0.4 jets with (top left) $p_T=300-400$ GeV, (top right) $p_T=500-600$ GeV and (bottom) $p_T=1-1.1$ TeV. The rejection obtained with a tagger that uses all variables is also shown in the plots.

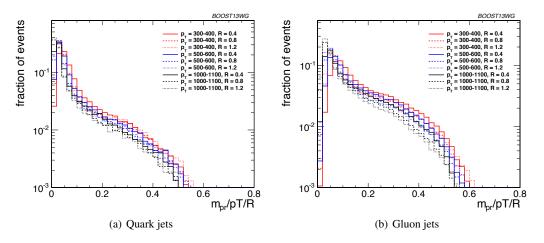


Fig. 8 Comparisons of quark and gluon ungroomed mass distributions versus the scaled variable $m/p_T/R$.

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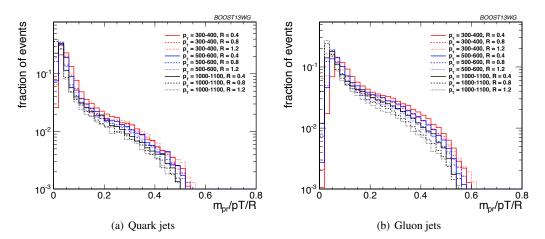


Fig. 9 Comparisons of quark and gluon pruned mass distributions versus the scaled variable $m_{\rm pr}/p_T/R$.

Several features of Figure 8 can be easily understood662 The distributions all cut off rapidly for $m/p_T/R > 0.5$, which is understood as the precise limit (maximum mass) for a664 jet composed of just 2 constituents. As expected from the 65 soft and collinear singularities in QCD, the mass distribu666 tion peaks at small mass values. The actual peak is "pushed"667 away from the origin by the so-called Sudakov form fac 668 tor. Summing the corresponding logarithmic structure (sin 669 gular in both p_T and angle) to all orders in perturbation the 670ory yields a distribution that is highly damped as the mass₇₁ vanishes. In words, there is precisely zero probability that at 22 color parton emits no radiation (and the resulting jet has zero-73 mass). Above the Sudakov-suppressed part of phase space 674 there are two structures in the distribution: the "shoulder"675 and the "peak". The large mass shoulder $(0.3 < m/p_T/R < 676)$ 0.5) is driven largely by the presence of a single large an₆₇₇ gle, energetic emission in the underlying QCD shower, i.e. 678 this regime is quite well described by low-order perturba679 tion theory² In contrast, we can think of the peak region as corresponding to multiple soft emissions. This simple, necessarily approximate picture provides an understanding of 882 the bulk of the differences between the quark and gluon jets as mass distributions. Since the probability of the single large angle, energetic emission is proportional to the color charge $_{\bf \delta 65}$ the gluon distribution should be enhanced in this region by $_{686}$ a factor of about $C_A/C_F = 9/4$, consistent with what is ob_587 served in Figure 8. Similarly the exponent in the Sudakov, damping factor for the gluon jet mass distribution is enhanced by the same factor, leading to a peak "pushed" further from the origin. Therefore, compared to a quark jet, the gluon jet mass distribution exhibits a larger average jet mass with a larger relative contribution arising from the perturbative shoulder region and a small mass peak that is further from the origin.

Together with the fact that the number of constituents in the jet is also larger (on average) for the gluon jet simply because a gluon will radiate more than a quark, these features explain much of what we observed earlier in terms of the effectiveness of the various observables to separate quark jets from gluons jets. They also give us insight into the difference in the distributions for the observable Γ_{Oiet} . Since the shoulder is dominated by a single large angle, hard emission, it is minimally impacted by pruning, which is designed to remove the large angle, soft constituents (as shown in more detail below). Thus, jets in the shoulder exhibit small volatility and they are a larger component in the gluon jet distribution. Hence gluon jets, on average, have smaller values of Γ_{Qjet} than quark jets as in Figure 1(b). Further, this feature of gluon jets is distinct from the fact that there are more constituents, explaining why Γ_{Qjet} and n_{constits} supply largely independent information for distinguishing quark and gluon jets.

To illustrate some of these points in more detail, Figure 9 exhibits the same jet mass distributions *after pruning* [33, 58]. Removing the large angle, soft constituents moves the peak in both of the distributions from $m/p_T/R \sim 0.1-0.2$ to the region around $m/p_T/R \sim 0.05$. This explains why pruning works to reduce the QCD background when looking for a signal in a specific jet mass bin. The shoulder feature at higher mass is much more apparent after pruning, as is the larger shoulder for the gluon jets. A quantitative (all-orders) understanding of groomed mass distributions is also possible. For instance, resummation of the pruned mass distribution was achieved in [37, 59]. Figure 9 serves to confirm the physical understanding of the relative behavior of $\Gamma_{\rm Qjet}$ for quark and gluon jets.

Our final topic in this section is the residual R and p_T dependence exhibited in Figures 8 and 9, which indicates a deviation from the naive linear scaling that has been removed by using the scaled variable $m/p_T/R$. A helpful, in-

²The shoulder label will become more clear when examining groomed jet mass distributions.

tuitively simple, if admittedly imprecise, model of a jet is 51 to separate the constituents of the jet into "hard" (with p_T '\$52 that are of order the jet p_T) versus "soft" (with p_T 's small smal and fixed compared to the jet p_T), and "large" angle (with p_T) an angular separation from the jet direction of order R) versus "small" angle (with an angular separation from the jet direction smaller than and not scaling with R) components.⁷⁵⁵ As described above the Sudakov damping factor excludes constituents that are very soft or very small angle (or both).756 In this simple picture perturbative large angle, hard con-757 stituents appear rarely, but, as described above, they charac⁷⁵⁸ terize the large mass jets that appear in the "shoulder" of the 759 jet mass distribution where the mass scales approximately 760 linearly with the jet p_T and with R. The hard, small angle f^{61} constituents are somewhat more numerous and contribute to⁷⁶² a jet mass that does not scale with R. The soft constituents⁷⁶³ are much more numerous (becoming more numerous with 164 increasing jet p_T) and contribute to a jet mass that scales⁷⁶⁵ like $\sqrt{p_{T,jet}}$. The small angle, soft constituents contribute to⁷⁶⁶ a jet mass that does not scale with R, while the large angle,767 soft constituents do contribute to a jet mass that scales like R⁶⁸ and grow in number approximately linearly in R (i.e., with 769 the area of the annulus at the outer edge of the jet). This⁷⁰ simple picture allows at least a qualitative explanation of the71 behavior observed in Figures 8 and 9.

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As already suggested, the residual p_T dependence carifold be understood as arising primarily from the slow decrease⁷⁴ of the strong coupling $\alpha_s(p_T)$ as p_T increases. This leads to⁷⁷⁵ a corresponding decrease in the (largely perturbative) shoul⁷⁷⁶ der regime for both distributions at higher p_T , i.e., a decreas e^{77} in the number of hard, large angle constituents. At the same⁷⁷⁸ time, and for the same reason, the Sudakov damping is less⁷⁹ strong with increasing p_T and the peak moves in towards⁸⁰ the origin. While the number of soft constituents increases⁸¹ with increasing jet p_T , their contributions to the scaled jet⁸² mass distribution shift to smaller values of m/p_T (decreas⁻⁷⁸³ ing approximately like $1/\sqrt{p_T}$). Thus the overall impact of $\mathbf{f^{84}}$ increasing p_T for both distributions is a (gradual) shift to^{85} smaller values of $m/p_T/R$. This is just what is observed in ⁷⁸⁶ Figures 8 and 9, although the numerical size of the effect is 787 reduced in the pruned case.

The residual R dependence is somewhat more complicated. The perturbative large angle, hard constituent contri₇₈₉ bution largely scales in the variable $m/p_T/R$, which is why we see little residual R dependence in either figure at higher masses $(m/p_T/R > 0.4)$. The contribution of the small angles constituents (hard and soft) contribute at fixed m and thus shift to the left versus the scaled variable as R increases This presumably explains the small shifts in this direction at small mass observed in both figures. The large angle, soften constituents contribute to mass values that scale like R, and 30 as noted above, tend to increase in number as R increases of R increases R increase R increases R increase R increases R increases R increases R increases R

a scaled jet mass distribution that shifts to the right with increasing R and presumably explain the behavior at small p_T in Figure 8. Since pruning largely removes this contribution, we observe no such behavior in Figure 9.

5.5 Conclusions

In Section 5 we have seen that a variety of jet observables provide information about the jet that can be employed to effectively separate quark-initiated from gluon-initiated jets. Further, when used in combination, these observables can provide superior separation. Since the improvement depends on the correlation between observables, we use the multivariable performance to separate the observables into different classes, with each class containing highly correlated observables. We saw that the best performing single observable is simply the number of constituents in the jet, n_{constits} , while the largest further improvement comes from combining with $C_1^{\beta=1}$ (or $\tau_1^{\beta=1}$), but the smallest R and p_T dependence arises from combining with $C_1^{\beta=0}$. On the other hand, some of the commonly used observables are highly correlated and do not provide extra information and enhanced tagging when used together. In addition to demonstrating these correlations, we have provided a discussion of the physics behind the structure of the correlation. Using the jet mass as an example, we have given arguments to explicitly explain the differences between jet observables initiated by each type of parton.

Finally, we remind the reader that the numerical results were derived for a particular color configuration (qq and gg events), in a particular implementation of the parton shower and hadronization. Color connections in more complex event configurations, or different Monte Carlo programs, may well exhibit somewhat different efficiencies and rejection factors. The value of our results is that they indicate a subset of variables expected to be rich in information about the partonic origin of final-state jets. These variables can be expected to act as valuable discriminants in searches for new physics, and could also be used to define model-independent final-state measurements which would nevertheless be sensitive to the short-distance physics of quark and gluon production.

6 Boosted W-Tagging

In this section, we study the discrimination of a boosted, hadronically decaying W boson (signal) against a gluoninitiated jet background, comparing the performance of various groomed jet masses and substructure variables. A range of different distance parameters for the anti- k_T jet algorithm are explored, in a range of different leading jet p_T bins. This allows us to determine the performance of observables as a function of jet radius and jet boost, and to see where different approaches may break down. The groomed mass and

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844 845 substructure variables are then combined in a BDT as de⁸⁴⁷ scribed in Section 4, and the performance of the resulting⁸⁴⁸ BDT discriminant explored through ROC curves to under⁸⁴⁹ stand the degree to which variables are correlated, and how⁸⁵⁰ this changes with jet boost and jet radius.

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6.1 Methodology

These studies use the *WW* samples as signal and the dije⁸⁵ *gg* as background, described previously in Section 2. Whils⁶⁵ only gluonic backgrounds are explored here, the conclusions⁸⁵⁷ regarding the dependence of the performance and correla⁸⁵⁸ tions on the jet boost and radius are not expected to be sub⁸⁵⁹ stantially different for quark backgrounds; we will see tha⁶⁰ the differences in the substructure properties of quark- and⁶¹ gluon-initiated jets, explored in the last section, are signifi⁸⁶² cantly smaller than the differences between *W*-initiated and⁶³ gluon-initiated jets.

As in the q/g tagging studies, the showered events were clustered with FASTJET 3.03 using the anti- k_T algorithm with jet radii of R=0.4,0.8,1.2. In both signal and back ground samples, an upper and lower cut on the leading jeth p_T is applied after showering/clustering, to ensure similate p_T spectra for signal and background in each p_T bin. The bins in leading jet p_T that are considered are 300-400 GeV, 500-600 GeV, 1.0-1.1 TeV, for the 300-400 GeV, 500-600 GeV, 1.0-1.1 TeV parton p_T slices respectively. The jets then have various grooming algorithms applied and substructure observables reconstructed as described in Section 3.4. The substructure observables studied in this section are:

- Ungroomed, trimmed (m_{trim}) , and pruned (m_{prun}) jet masses.
- Mass output from the modified mass drop tagger $(m_{\text{mmdt}})_{\text{279}}$
- Soft drop mass with $\beta = 2 (m_{sd})$.
- 2-point energy correlation function ratio $C_2^{\beta=1}$ (we also studied $\beta=2$ but do not show its results because it showed poor discrimination power).
- N-subjettiness ratio τ_2/τ_1 with $\beta=1$ ($\tau_{21}^{\beta=1}$) and with axes computed using one-pass k_t axis optimization (we also studied $\beta=2$ but did not show its results because it showed poor discrimination power).
- Pruned Qjet mass volatility, Γ_{Qjet} .

6.2 Single Variable Performance

In this section we explore the performance of the various groomed jet mass and substructure variables in separating signal from background. Since we have not attempted to op 100 timise the grooming parameter settings of each grooming algorithm, we do not place much emphasis here on the rel 100 ative performance of the groomed masses, but instead con 100 centrate on how their performance changes depending on the 100 kinematic bin and jet radius considered.

Figure 10 compares the signal and background in terms of the different groomed masses explored for the anti- k_T R = 0.8 algorithm in the $p_T = 500\text{-}600$ GeV bin. One can clearly see that, in terms of separating signal and background, the groomed masses are significantly more performant than the ungroomed anti- k_T R = 0.8 mass. Using the same jet radius and p_T bin, Figure 11 compares signal and background for the different substructure variables studied.

Figures 12, 13 and 14 show the single variable ROC curves for various p_T bins and values of R. The single-variable performance is also compared to the ROC curve for a BDT combination of all the variables (labelled "allvars"). In all cases, the "allvars" option is significantly more performant than any of the individual single variables considered, indicating that there is considerable complementarity between the variables, and this is explored further in Section 6.3.

In Figures 15, 16 and 17 the same information is shown in a format that more readily allows for a quantitative comparison of performance for different R and p_T ; matrices are presented which give the background rejection for a signal efficiency of 70% for single variable cuts, as well as two- and three-variable BDT combinations. The results are shown separately for each p_T bin and jet radius considered. Most relevant for our immediate discussion, the diagonal entries of these plots show the background rejections for a single-variable BDT using the labelled observable, and can thus be examined to get a quantitative measure of the individual single variable performance, and to study how this changes with jet radius and momenta. The off-diagonal entries give the performance when two variables (shown on the x-axis and on the y-axis, respectively) are combined in a BDT. The final column of these plots shows the background of $m_{sd}^{\beta=2}+C_2^{\beta=1}+X$. These results will be discussed later in Section 6.3.3.

In general, the most performant single variables are the groomed masses. However, in certain kinematic bins and for certain jet radii, $C_2^{\beta=1}$ has a background rejection that is comparable to or better than the groomed masses.

We first examine the variation of performance with jet p_T . By comparing Figures 15(a), 16(a) and 17(b), we can see how the background rejection performance varies with increased momenta whilst keeping the jet radius fixed to R = 0.8. Similarly, by comparing Figures 15(b), 16(b) and 17(c) we can see how performance evolves with p_T for R = 1.2. For both R = 0.8 and R = 1.2 the background rejection power of the groomed masses increases with increasing p_T , with a factor 1.5-2.5 increase in rejection in going from the 300-400 GeV to 1.0-1.1 TeV bins. In Figure 18 we show the $m_{\rm sd}$ and $m_{\rm prun}$ groomed masses for signal and background in the $p_T = 300$ -400 and $p_T = 1.0$ -1.1 TeV bins for R = 1.2 jets. Two effects result in the improved performance of the groomed mass at high p_T . Firstly, as is evident from the

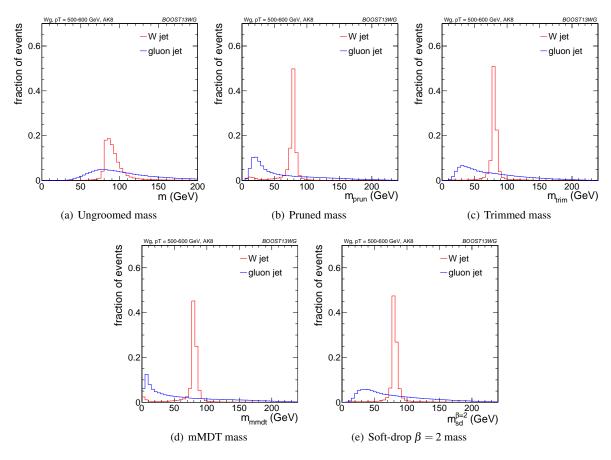


Fig. 10 Leading jet mass distributions in the gg background and WW signal samples in the $p_T = 500\text{-}600$ GeV bin using the anti- k_T R = 0.8 algorithm.

figure, the resolution of the signal peak after grooming im₉₂₂ proves, because the groomer finds it easier to pick out th₆₂₃ hard signal component of the jet against the softer compo₉₂₄ nents of the underlying event when the signal is boosted₉₂₅ Secondly, it follows from Figure 9 and the discussion in Sec₉₂₆ tion 5.4 that, for increasing p_T , the perturbative shoulder of₂₂₇ the gluon distribution decreases in size, and thus there is a_{228} slight decrease (or at least no increase) of the background₂₂₉ contamination in the signal mass region (m/ p_T /R \sim 0.5).

However, one can see from the Figures 15(b), 16(b) and 17(c) that the $C_2^{\beta=1}$, $\Gamma_{\rm Qjet}$ and $\tau_{21}^{\beta=1}$ substructure variables behave somewhat differently. The background rejection power of the $\Gamma_{\rm Qjet}$ and $\tau_{21}^{\beta=1}$ variables both decrease with increasing ρ_{1} , by up to a factor two in going from the 300-400 GeV to 1.0-1.1 TeV bins. Conversely the rejection power of $C_2^{\beta=1}$ dramatically increases with increasing ρ_{T} for ρ_{1} for ρ_{2} for ρ_{3} does not improve with ρ_{T} for the larger jet radius ρ_{1} distributions for signal and background in the ρ_{1} 300-400 GeV and ρ_{2} signal and background in the ρ_{2} 300-400 GeV and ρ_{3} signal and background in the ρ_{2} 300-400 GeV and ρ_{3} signal peak results in moving from lower to higher ρ_{2} bins, the signal peak results mains fairly unchanged, whereas the background peak shifts 150 distributions for ρ_{2} mains fairly unchanged, whereas the background peak shifts 150 distributions for ρ_{3} mains fairly unchanged, whereas the background peak shifts 150 distributions for ρ_{3} mains fairly unchanged, whereas the background peak shifts 150 distributions for ρ_{3} mains fairly unchanged, whereas the background peak shifts 150 distributions for ρ_{3} mains fairly unchanged.

to smaller $au_{21}^{\beta=1}$ values, reducing the discriminating power of the variable. This is expected, since jet substructure methods explicitly relying on the identification of hard prongs would expect to work best at low p_T , where the prongs would tend to be more separated. However, $C_2^{\beta=1}$ does not rely on the explicit identification of subjets, and one can see from Figure 19 that the discrimination power visibly increases with increasing p_T . This is in line with the observation in [44] that $C_2^{\beta=1}$ performs best when m/p_T is small.

We now compare the performance of different jet radius parameters in the same p_T bin by comparing the individual sub-figures of Figures 15, 16 and 17. To within $\sim 25\%$, the background rejection power of the groomed masses remains constant with respect to the jet radius. Figure 20 shows how the groomed mass changes for varying jet radius in the p_T = 1.0-1.1 TeV bin. One can see that the signal mass peak remains unaffected by the increased radius, as expected, since grooming removes the soft contamination which could otherwise increase the mass of the jet as the radius increased. The gluon background in the signal mass region also remains largely unaffected, as follows from Figure 9 and the discussion in Section 5.4, where it is shown that there is very



Fig. 11 Leading jet substructure variable distributions in the gg background and WW signal samples in the $p_T = 500$ -600 GeV bin using the anti- k_T R = 0.8 algorithm.



Fig. 12 ROC curves for single variables considered for W tagging in the $p_T = 300\text{-}400$ GeV bin using the anti- k_T R = 0.8 algorithm and R = 1.2 algorithm, along with a BDT combination of all variables ("allvars").



Fig. 13 ROC curves for single variables considered for W tagging in the $p_T = 500\text{-}600$ GeV bin using the anti- k_T R = 0.8 algorithm and R = 1.2 algorithm, along with a BDT combination of all variables ("allvars")



Fig. 14 ROC curves for single variables considered for W tagging in the $p_T = 1.0$ -1.1 TeV bin using the anti- $k_T R = 0.4$ algorithm, anti- $k_T R = 0.8$ algorithm and R = 1.2 algorithm, along with a BDT combination of all variables ("allvars")

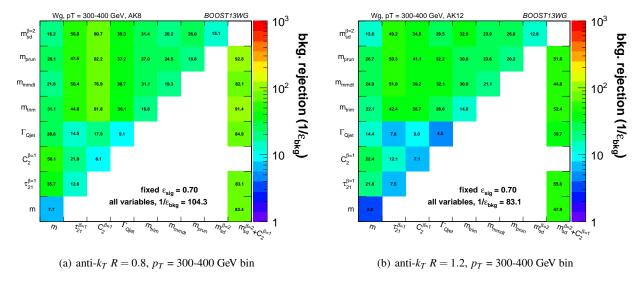


Fig. 15 The background rejection for a fixed signal efficiency (70%) of each BDT combination of each pair of variables considered, in the $p_T = 300\text{-}400$ GeV bin using the anti- k_T R = 0.8 algorithm and R = 1.2 algorithm. Also shown is the background rejection for three-variable combinations involving $m_{sd}^{\beta=2} + C_2^{\beta=1}$, and for a BDT combination of all of the variables considered.

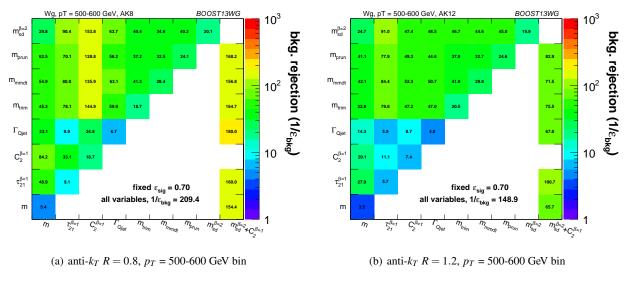


Fig. 16 The background rejection for a fixed signal efficiency (70%) of each BDT combination of each pair of variables considered, in the $p_T = 500\text{-}600$ GeV bin using the anti- k_T R = 0.8 algorithm and R = 1.2 algorithm. Also shown is the background rejection for three-variable combinations involving $m_{sd}^{\beta=2} + C_2^{\beta=1}$, and for a BDT combination of all of the variables considered.

little dependence of the groomed gluon mass distribution oness R in the signal region $(m/p_T/R \sim 0.5)$.

However, we again see rather different behaviour versus R for the substructure variables. In all p_T bins considered, the most performant substructure variable, $C_2^{\beta=1}$, performs best for an anti- k_T distance parameter of R=0.8. The performance of this variable is dramatically worse for the larger jet radius of R=1.2 (a factor seven worse background rejection in the $p_T=1.0$ -1.1 TeV bin), and substantially worse for R=0.4. For the other jet substructure variables considered, Γ_{Ojet} and $\tau_{21}^{\beta=1}$, their background rejection power also

reduces for larger jet radius, but not to the same extent. Figure 21 shows the $\tau_{21}^{\beta=1}$ and $C_2^{\beta=1}$ distributions for signal and background in the $p_T=1.0$ -1.1 TeV bin for R=0.8 and R=1.2 jet radii. For the larger jet radius, the $C_2^{\beta=1}$ distribution of both signal and background gets wider, and consequently the discrimination power decreases. For $\tau_{21}^{\beta=1}$ there is comparatively little change in the distributions with increasing jet radius. The increased sensitivity of C_2 to soft wide angle radiation in comparison to τ_{21} is a known feature of this variable [44], and a useful feature in discriminating coloured versus colour singlet jets. However, at very large

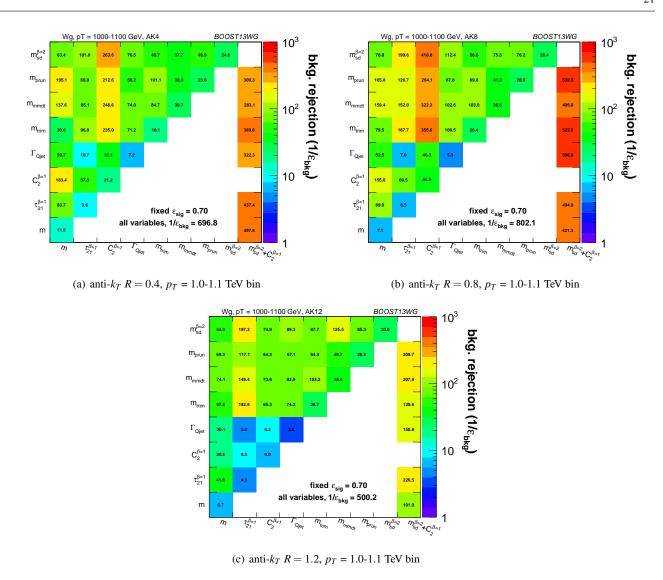


Fig. 17 The background rejection for a fixed signal efficiency (70%) of each BDT combination of each pair of variables considered, in the p_T = 1.0-1.1 TeV bin using the anti- k_T R = 0.4, R = 0.8 and R = 1.2 algorithm. Also shown is the background rejection for three-variable combinations involving $m_{sd}^{\beta=2} + C_2^{\beta=1}$, and for a BDT combination of all of the variables considered.

jet radii ($R \sim 1.2$), this feature becomes disadvantageous₉₇₀ the jet can pick up a significant amount of initial state obso other uncorrelated radiation, and C_2 is more sensitive to thiss1 than is τ_{21} . This uncorrelated radiation has no (or very little)₈₂ dependence on whether the jet is W- or gluon-initiated, and so sensitivity to this radiation means that the discrimination₈₄ power will decrease.

6.3 Combined Performance

Studying the improvement in performance (or lack thereof)⁹⁸⁹ when combining single variables into a multivariate analy⁹⁹⁰ sis gives insight into the correlations among jet observables.⁹⁹¹ The off-diagonal entries in Figures 15, 16 and 17 can be used⁹⁹² to compare the performance of different BDT two-variable⁹⁹³

combinations, and see how this varies as a function of p_T and R. By comparing the background rejection achieved for the two-variable combinations to the background rejection of the "all variables" BDT, one can also understand how discrimination can be improved by adding further variables to the two-variable BDTs.

In general the most powerful two-variable combinations involve a groomed mass and a non-mass substructure variable ($C_2^{\beta=1}$, $\Gamma_{\rm Qjet}$ or $\tau_{21}^{\beta=1}$). Two-variable combinations of the substructure variables are not as powerful in comparison. Which particular mass + substructure variable combination is the most powerful depends strongly on the p_T and R of the jet, as discussed in the sections to follow.

There is also modest improvement in the background rejection when different groomed masses are combined, in-

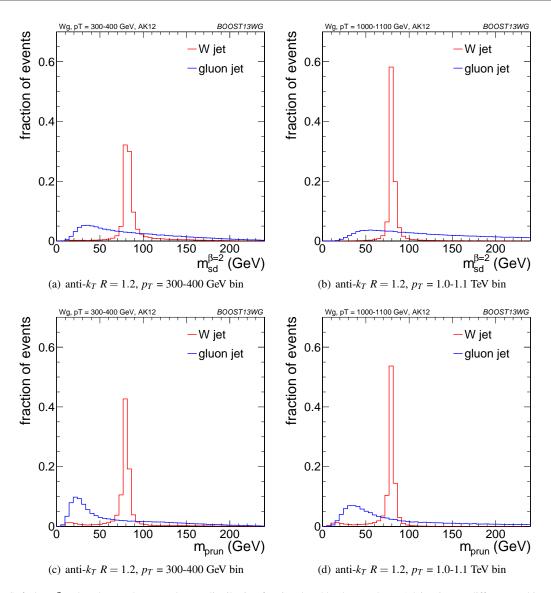


Fig. 18 The Soft-drop $\beta = 2$ and pruned groomed mass distribution for signal and background R = 1.2 jets in two different p_T bins.

dicating that there is complementary information betweenoss the different groomed masses. In addition, there is an improvement in the background rejection when the groomedoo masses are combined with the ungroomed mass, indicating that grooming removes some useful discriminatory information from the jet. These observations are explored further in the section below.

Generally, the R=0.8 jets offer the best two-variable combined performance in all p_T bins explored here. This ig17 despite the fact that in the highest $p_T=1.0\text{-}1.1$ TeV bin the average separation of the quarks from the W decay is much smaller than 0.8, and well within 0.4. This conclusion could of course be susceptible to pile-up, which is not considered in this study.

6.3.1 Mass + Substructure Performance

As already noted, the largest background rejection at 70% signal efficiency are in general achieved using those two-variable BDT combinations which involve a groomed mass and a non-mass substructure variable. We now investigate the p_T and R dependence of the performance of these combinations.

For both R = 0.8 and R = 1.2 jets, the rejection power of these two-variable combinations increases substantially with increasing p_T , at least within the p_T range considered here.

For a jet radius of R = 0.8, across the full p_T range considered, the groomed mass + substructure variable combinations with the largest background rejection are those which involve $C_2^{\beta=1}$. For example, in combination with $m_{\rm sd}$, this

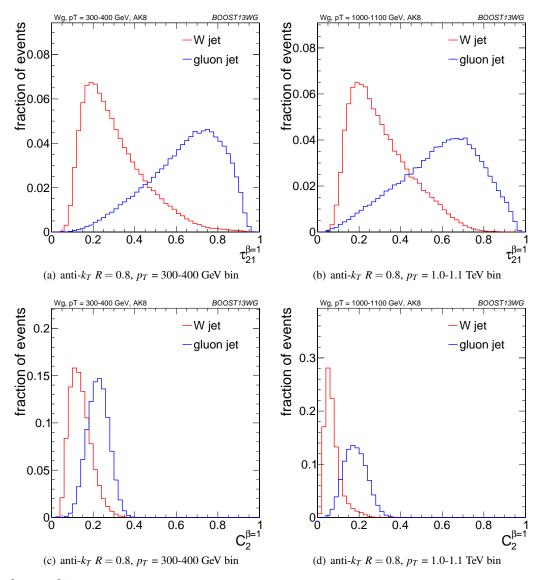


Fig. 19 The $\tau_{21}^{\beta=1}$ and $C_2^{\beta=1}$ distributions for signal and background R=0.8 jets in two different p_T bins.

produces a five-, eight- and fifteen-fold increase in backo38 ground rejection compared to using the groomed mass alone039 In Figure 22, the low degree of correlation between $m_{\rm sd}$ vers $_{\rm th}$ $C_2^{\beta=1}$ that leads to these large improvements in background rejection can be seen. What little correlation exists is rathe $_{\rm th}$ non-linear in nature, changing from a negative to a positive of correlation as a function of the groomed mass, something which helps to improve the background rejection in the region of the W mass peak.

 However, when we switch to a jet radius of R=1.2 the picture for $C_2^{\beta=1}$ combinations changes dramatically. These become significantly less powerful, and the most powerful variable in groomed mass combinations becomes $\tau_{21}^{\beta=1}$ for all jet p_T considered. Figure 23 shows the correlation between $m_{sd}^{\beta=2}$ and $C_2^{\beta=1}$ in the $p_T=1.0$ - 1.2 TeV bin for the

various jet radii considered. Figure 24 is the equivalent set of distributions for $m_{sd}^{\beta=2}$ and $\tau_{21}^{\beta=1}$. One can see from Figure 23 that, due to the sensitivity of the observable to to soft, wideangle radiation, as the jet radius increases $C_2^{\beta=1}$ increases and becomes more and more smeared out for both signal and background, leading to worse discrimination power. This does not happen to the same extent for $\tau_{21}^{\beta=1}$. We can see from Figure 24 that the negative correlation between $m_{sd}^{\beta=2}$ and $\tau_{21}^{\beta=1}$ that is clearly visible for R=0.4 decreases for larger jet radius, such that the groomed mass and substructure variable are far less correlated and $\tau_{21}^{\beta=1}$ offers improved discrimination within a $m_{sd}^{\beta=2}$ mass window.

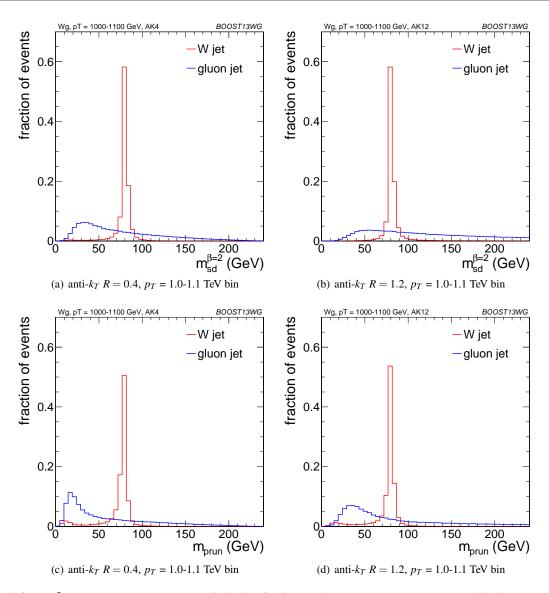


Fig. 20 The Soft-drop $\beta = 2$ and pruned groomed mass distribution for signal and background R = 0.4 and R = 1.2 jets in the $p_T = 1.0$ -1.1 TeV bin.

6.3.2 Mass + Mass Performance

The different groomed masses and the ungroomed mass are 1067 of course not fully correlated, and thus one can always see some kind of improvement in the background rejection when two different mass variables are combined in the BDT. How-1070 ever, in some cases the improvement can be dramatic, particularly at higher p_T , and particularly for combinations with the ungroomed mass. For example, in Figure 17 we can see that in the p_T =1.0-1.1 TeV bin, the combination of pruned mass with ungroomed mass produces a greater than eight-1014 fold improvement in the background rejection for R = 0.4 jets, a greater than five-fold improvement for R = 0.8 jets, and a factor ~ 2 improvement for R = 1.2 jets. A similar behaviour can be seen for mMDT mass. In Figures 25, 26

and 27, we show the 2-D correlation plots of the pruned mass versus the ungroomed mass separately for the WW signal and gg background samples in the p_T = 1.0-1.1 TeV bin, for the various jet radii considered. For comparison, the correlation of the trimmed mass with the ungroomed mass, a combination that does not improve on the single mass as dramatically, is shown. In all cases one can see that there is a much smaller degree of correlation between the pruned mass and the ungroomed mass in the backgrounds sample than for the trimmed mass and the ungroomed mass. This is most obvious in Figure 25, where the high degree of correlation between the trimmed and ungroomed mass is expected, since with the parameters used (in particular $R_{\text{trim}} = 0.2$) we cannot expect trimming to have a significant impact on an R = 0.4 jet. The reduced correlation with ungroomed mass

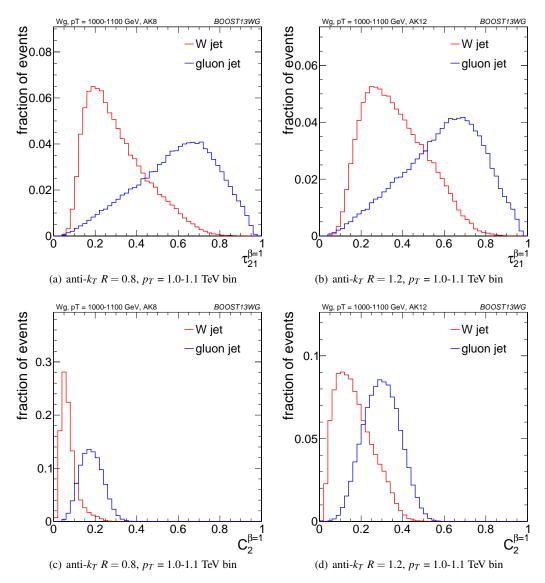


Fig. 21 The $\tau_{21}^{\beta=1}$ and $C_2^{\beta=1}$ distributions for signal and background R=0.8 and R=1.2 jets in the $p_T=1.0$ -1.1 TeV bin.

for pruning in the background means that, once we have required that the pruned mass is consistent with a W (i.e. ~ 80 GeV), a relatively large difference between signal and background in the ungroomed mass still remains, and can be exploited to improve the background rejection further In other words, many of the background events which passon the pruned mass requirement do so because they are shifted to lower mass (to be within a signal mass window) by the grooming, but these events still have the property that the groom ing. A requirement on the groomed mass alone does not a exploit this property. Of course, the impact of pile-up, not considered in this study, could limit the degree to which the ungroomed mass could be used to improve discrimination in this way.

6.3.3 "All Variables" Performance

Figures 15, 16 and 17 report the background rejection achieved by a combination of all the variables considered into a single BDT discriminant. In all cases, the rejection power of this "all variables" BDT is significantly larger than the best two-variable combination. This indicates that, beyond the best two-variable combination, there is still significant complementary information available in the remaining observables to improve the discrimination of signal and background. How much complementary information is available appears to be p_T dependent. In the lower $p_T = 300\text{-}400$ and 500-600 GeV bins, the background rejection of the "all variables" combination is a factor ~ 1.5 greater than the best two-variable combination, but in the highest p_T bin it is a factor ~ 2.5 greater.



Fig. 22 2-D histograms of $m_{sd}^{\beta=2}$ versus $C_2^{\beta=1}$ distributions for R=0.8 jets in the various p_T bins considered, shown separately for signal and background.



Fig. 23 2-D histograms of $m_{sd}^{\beta=2}$ versus $C_2^{\beta=1}$ for R=0.4,0.8 and 1.2 jets in the $p_T=1.0$ -1.1 TeV bin, shown separately for signal and background.



Fig. 24 2-D histograms of $m_{sd}^{\beta=2}$ versus $\tau_{21}^{\beta=1}$ for R=0.4,0.8 and 1.2 jets in the $p_T=1.0$ -1.1 TeV bin, shown separately for signal and background.

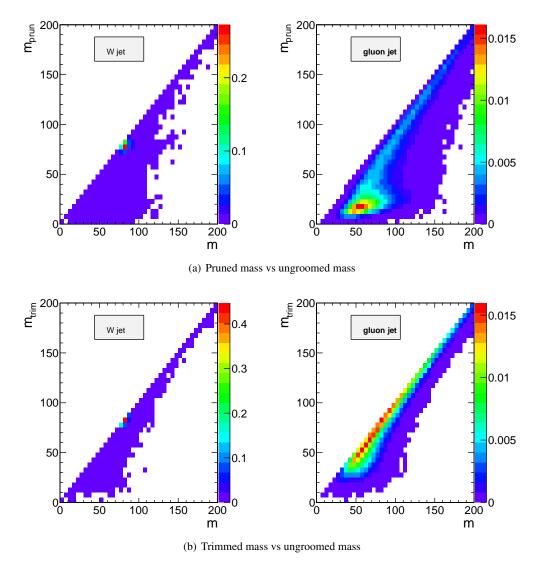


Fig. 25 2-D histograms of groomed mass versus ungroomed mass in the $p_T = 1.0$ -1.1 TeV bin using the anti- $k_T R = 0.4$ algorithm, shown separately for signal and background.

The final column in Figures 15, 16 and 17 allows us ta_{25} further explore the all variables performance relative to tha_{26} pair-wise performance. It shows the background rejection pair-wise performance. It shows the background rejection for three-variable BDT combinations of $m_{\rm sd}^{\beta=2}+C_2^{\beta=1}+X_{128}$ where X is the variable on the y-axis. For jets with $R=0.4_{29}$ and R=0.8, the combination $m_{\rm sd}^{\beta=2}+C_2^{\beta=1}$ is (at least close to) the best performant two-variable combination in every p_{13} is superseded by $p_{21}^{\beta=1}$ in performance, as discussed earlier. Thus, in considering the three-variable combination results it is simplest to focus on the p_{21} and p_{22} and p_{23} are the p_{23} we see that, for the lower p_{21} and p_{22} and p_{23} and p_{23} bins, adding the third variable to the best two-variable combination brings us to within p_{23} of the "all variables" background rejection. However, in the highest p_{21} and p_{22} bin, whilst adding the third variable does improve p_{23}

the performance considerably, we are still $\sim 40\%$ from the observed "all variables" background rejection, and clearly adding a fourth or maybe even fifth variable would bring considerable gains. In terms of which variable offers the best improvement when added to the $m_{\rm sd}^{\beta=2}+C_2^{\beta=1}$ combination, it is hard to see an obvious pattern; the best third variable changes depending on the p_T and R considered.

It appears that there is a rich and complex structure in terms of the degree to which the discriminatory information provided by the set of variables considered overlaps, with the degree of overlap apparently decreasing at higher p_T . This suggests that in all p_T ranges, but especially at higher p_T , there are substantial performance gains to be made by designing a more complex multivariate W tagger.

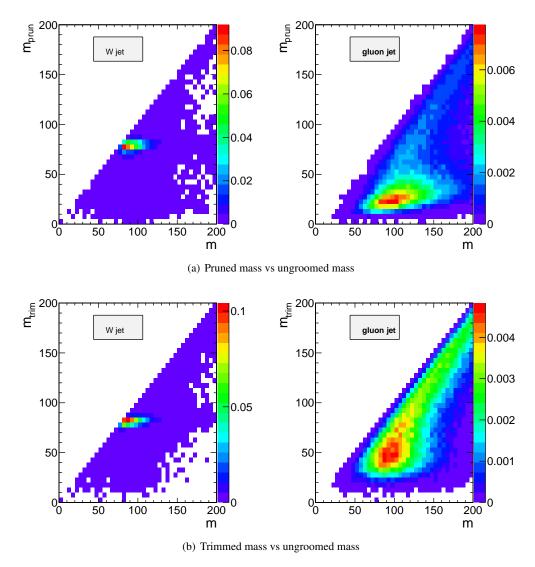


Fig. 26 2-D histograms of groomed mass versus ungroomed mass in the $p_T = 1.0$ -1.1 TeV bin using the anti- $k_T R = 0.8$ algorithm, shown separately for signal and background.

6.4 Conclusions

We have studied the performance, in terms of the separatioh of a hadronically decaying W boson from a gluon-initiated jet background, of a number of groomed jet masses, subject structure variables, and BDT combinations of the above. We have used this to gain insight into how the discriminatory in formation contained in the variables overlaps, and how this complementarity between the variables changes with jet p_{flo2} and anti- k_T distance parameter R.

In terms of the performance of individual variables, wtil find that, in agreement with other studies [40], the groometting masses generally perform best, with a background rejectiotting power that increases with larger p_T , but which is more contistent with respect to changes in R. We have explained that dependence of the groomed mass performance on p_T and p_T

R using the understanding of the QCD mass distribution developed in Section 5.4. Conversely, the performance of other substructure variables, such as $C_2^{\beta=1}$ and $\tau_{21}^{\beta=1}$, is more susceptible to changes in radius, with background rejection power decreasing with increasing R. This is due to the inherent sensitivity of these observables to soft, wide angle radiation.

The best two-variable performance is obtained by combining a groomed mass with a substructure variable. Which particular substructure variable works best in combination strongly depends on $p_{\rm T}$ and R. $C_2^{\beta=1}$ offers significant complementarity to groomed mass at smaller R, owing to the small degree of correlation between the variables. However, the sensitivity of $C_2^{\beta=1}$ to soft, wide-angle radiation leads to worse discrimination power at large R, where $\tau_{21}^{\beta=1}$ performs better in combination. Our studies also demonstrate the po-

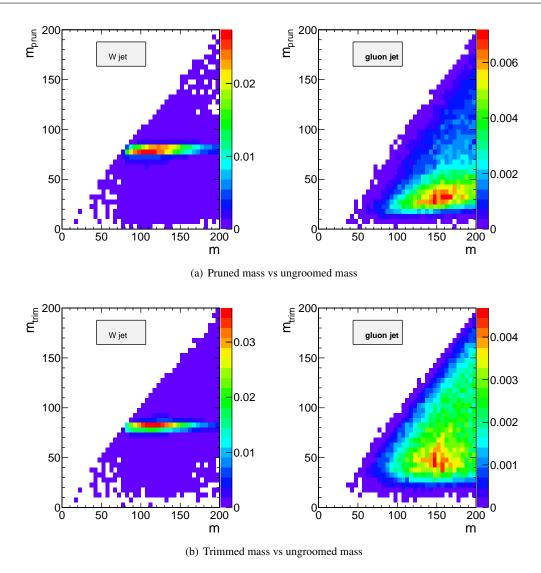


Fig. 27 2-D histograms of groomed mass versus ungroomed mass in the $p_T = 1.0$ -1.1 TeV bin using the anti- $k_T R = 1.2$ algorithm, shown separately for signal and background.

tential for enhancing discrimination by combining groomed₈₄ and ungroomed mass information, although the use of un₁₈₅ groomed mass in this may be limited in practice by the pres₁₈₆ ence of pile-up that is not considered in these studies.

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By examining the performance of a BDT combinations of all variables considered, it is clear that there are poten-150 tially substantial performance gains to be made by designing 00 a more complex multivariate W tagger, especially at higher p_T .

kinematic differences between top and QCD jets, top taggers are typically complex, with a couple of input parameters necessary for any given algorithm. We study the variation in performance of top tagging techniques with respect to jet p_T and R, re-optimizing the tagger inputs for each kinematic range and jet radius considered. We also investigate the effects of combining dedicated top tagging algorithms with other jet substructure variables, giving insight into the correlations among top-tagging variables³.

7 Top Tagging

In this section, we investigate the identification of boosted top quarks using jet substructure. Boosted top quarks result in large-radius jets with complex substructure, containing a b-subjet and a boosted W. As a consequence of the many

³During the completion of this work, Ref. [60] appeared which also proposed combining top tagging algorithms with other jet substructure observables using the specific example of the HEPTopTagger.

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7.1 Methodology

We use the top quark MC samples for each bin described. in Section 2.2. The analysis relies on FASTJET 3.0.3 for jetar clustering and calculation of jet substructure variables. Jets48 are clustered using the anti- k_T algorithm, and only the lead₂₄₉ ing jet is used in each analysis. To ensure similar p_T spectr₂₅₀ in each bin an upper and lower p_T cut are applied to each₅₁ sample after jet clustering. The bins in leading jet p_T for top tagging are 600-700 GeV, 1-1.1 TeV, and 1.5-1.6 TeV. Jets are clustered with radii R = 0.4, 0.8, and 1.2; R = 0.4 jets are only studied in the 1.5-1.6 TeV bin because the top de- 1254 cay products are all contained within an R=0.4 jet for top quarks with this boost.

We study a number of top-tagging strategies, which canso be divided into two distinct categories. In the first category 58 are dedicated top-tagging algorithms, which aim to directly reconstruct the top and W candidates in the top decay. In particular, we study:

- 1. HEPTopTagger
- 2. Johns Hopkins Tagger (JH)
- 3. Trimming with W-identification
- 4. Pruning with W-identification

as described in Section 3.3. In the case of the HepTopTaggeP64 and JH tagger, the algorithms produce three output variables65 (m_t, m_W) and helicity angle) that can be used to discriminate 66 top jets from QCD. Both taggers also explicitly rejects jets⁶⁷ that do not meet basic selection criteria. The trimming ant es pruning algorithms as used here produce two outputs, m_t anteres m_W , and the grooming algorithms may not return a top car 1270 didate if a suitable W candidate cannot be found (i.e. if thereon is only reconstructed subjet). All of the above taggers ant 272 groomers incorporate a step to remove contributions from 1773 the underlying event and other soft radiation to the recon1274 structed m_t and m_W .

In the second category are individual jet substructure76 variables that are sensitive to the radiation pattern within the777 jet, which we refer to as "jet-shape variables". While the?78 most sensitive top-tagging variables are typically sensitive?99 to three-pronged radiation, we also consider variables ser 1280 sitive to two-pronged radiation in the limit where the W is 81 very boosted and its subjets overlap. The variables we con 1282 sider are:

- The ungroomed jet mass. *N*-subjettiness ratios $\tau_{21}^{\beta=1}$ and $\tau_{32}^{\beta=1}$, using the "winnertakes-all" axes definition.
- 2-point energy correlation function ratios $C_2^{\beta=1}$ and $C_3^{\beta=\frac{1}{288}}$
- The pruned Qjet mass volatility, Γ_{Qjet} .

Several of these variables were also considered earlier form q/g-tagging and W-tagging.

To study the correlations amongst the above substructure 92 variables and tagging algorithms, we combine the relevantes tagger output variables and/or jet shapes into a BDT, as described in Section 4. Additionally, because each tagger has two input parameters, we scan over reasonable values of the input parameters to determine the optimal value that gives the largest background rejection for each top tagging signal efficiency. This allows a direct comparison of the optimized version of each tagger. The input parameter values scanned for the various algorithms are:

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- HEPTopTagger: m ∈ [30, 100] GeV, \mu ∈ [0.5, 1]
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- **JH Tagger:** δ_p ∈ [0.02, 0.15], δ_R ∈ [0.07, 0.2]
- **Trimming:** $f_{\text{cut}} \in [0.02, 0.14], R_{\text{trim}} \in [0.1, 0.5]$
- **Pruning:** $z_{\text{cut}} \in [0.02, 0.14], R_{\text{cut}} \in [0.1, 0.6]$

We also investigate the degradation in performance of the top-tagging variables when moving away from the optimal parameter choice.

7.2 Single Variable Performance

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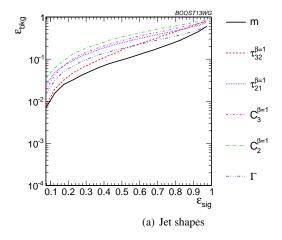
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We begin by investigating the behaviour of individual jet substructure variables. Because of the rich, three-pronged structure of the top decay, it is expected that combinations of masses and jet shapes will far outperform single variables in identifying boosted tops. However, a study of the toptagging performance of single variables facilitates a direct comparison with the W tagging results in Section 6, and also allows a straightforward examination of the performance of each variable for different p_T and jet radius.

Top-tagging performance is quantified using ROC curves. Figure 28 shows the ROC curves for each of the top-tagging variables, with the bare (ungroomed) jet mass also plotted for comparison. The jet-shape variables all perform substantially worse than jet mass; this is in contrast with W tagging, for which several variables are competitive with or perform better than jet mass (see, for example, Figures 16(a), 17(a) and 17(b)). To understand why this is the case, consider N-subjettiness: the W is two-pronged and the top is three-pronged, and so we expect τ_{21} and τ_{32} to be the bestperformant N-subjettiness ratio, respectively. However, a cut to select small values of τ_{21} necessarily also selects for jets with large τ_1 , which is strongly correlated with jet mass, up to Sudakov-suppressed contributions. Therefore, τ_{21} combines both mass and shape information to some extent. By contrast, and as is clear in Figure 28(a), the best shape for top tagging is τ_{32} , which contains no information on the jet mass. It is therefore unsurprising that the shapes most useful for top tagging are less sensitive to the jet mass, and underperform relative to the corresponding variables for W tagging.

Of the two top-tagging algorithms, it is apparent from Figure 28 that the Johns Hopkins tagger out-performs the HEPTopTagger in terms of its background rejection at fixed signal efficiency for both the top and W candidate masses;



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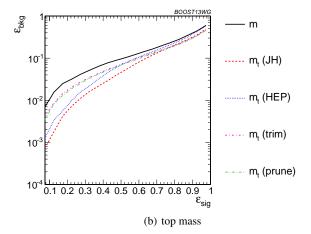


Fig. 28 Comparison of single-variable top-tagging performance in the $p_T = 1 - 1.1$ GeV bin using the anti- k_T , R=0.8 algorithm.

this is expected, as the HEPTopTagger was designed to rasso construct moderate- p_T top jets in ttH events (for a proposed 31 high- p_T variant of the HEPTopTagger, see [61]). In Fig. 332 ure 29, we show the histograms for the top mass output from 33 the JH and HEPTopTagger for different R in the $p_T = 1.5_{334}$ 1.6 TeV bin, and in Figure 30 for different p_T at $R = 0.8_{335}$ optimized at a signal efficiency of 30%. A particular feature, of the HepTopTagger algorithm is that, after the jet is fil 337 tered to select the five hardest subjets, the three subjets are 388 chosen which most closely reconstruct the top mass. This 30 requirement tends to shape a peak in the QCD background around m_t for the HEPTopTagger, as can be seen from Fig. 341 ures 29(d) and 30(d); this is the likely reason for the better performance of the JH tagger, which has no such require ment. It has been suggested [62] that performance in the HEPTopTagger may be improved by selecting the three subjets reconstructing the top only among those that pass the W_{1346} mass constraints, which somewhat reduces the shaping of the background. The discrepancy between the JH and HEP_{1348} TopTaggers is more pronounced at higher p_T and larger jet radius (see Figures 32 and 35).

We also see in Figure 28(b) that the top mass from the JH tagger and the HEPTopTagger has superior performances relative to either of the grooming algorithms; this is becauses the pruning and trimming algorithms do not have inherents W-identification steps and are not optimized for this puties pose. Indeed, because of the lack of a W-identification steps grooming algorithms are forced to strike a balance between under-grooming the jet, which broadens the signal peak dues to underlying event contamination and features a larger backs ground rate, and over-grooming the jet, which occasionally throws out the b-jet and preserves only the W components inside the jet. We demonstrate this effect in Figures 29 and 30, showing that with 30% signal efficiency, the optimal petisos formance of the tagger over-grooms a substantial fraction 0.664 the jets ($\sim 20 - 30\%$), leading to a spurious second peak 0.0564

 m_W . This effect is more pronounced at large R and p_T , since more aggressive grooming is required in these limits to combat the increased contamination from underlying event and QCD radiation.

In Figures 31 and 32 we directly compare ROC curves for jet-shape variable performance and top-mass performance, respectively, in three different p_T bins whilst keeping the jet radius fixed at R = 0.8. The input parameters of the taggers, groomers and shape variables are separately optimized in each p_T bin. One can see from Figure 31 that the tagging performance of jet shapes do not change substantially with p_T . The variables $au_{32}^{\beta=1}$ and $\Gamma_{\rm Qjet}$ have the most variation and tend to degrade with higher p_T , as can be seen in Figure 33. This was also observed in the W-tagging studies in Section 6, and makes sense, as higher- p_T QCD jets have more, harder emissions within the jet, giving rise to substructure that fakes the signal. By contrast, from Figure 32 we can see that most of the top-mass variables have superior performance at higher p_T , due to the radiation from the top quark becoming more collimated. The notable exception is the HEPTopTagger, which degrades at higher p_T , likely in part due to the background-shaping effects studied above.

In Figures 34 and 35 we directly compare ROC curves for jet-shape variable performance and top-mass performance, respectively, for three different jet radii within the p_T = 1.5-1.6 TeV bin. Again, the input parameters of the taggers, groomers and shape variables are separately optimized for each jet radius. We can see from these figures that most of the top-tagging variables, both shape and reconstructed top mass, perform best for smaller radius, as was generally observed in the case of W-tagging in Section 6. This is likely because, at such high p_T , most of the radiation from the top quark is confined within R = 0.4, and having a larger jet radius makes the variable more susceptible to contamination from the underlying event and other uncorrelated radiation. In Figure 36, we compare the individual top signal and QCD

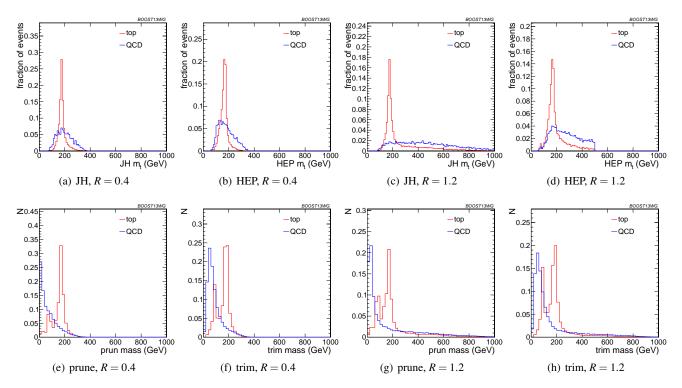


Fig. 29 Comparison of top mass reconstruction with the Johns Hopkins (JH), HEPTopTaggers (HEP), pruning, and trimming at different R using the anti- k_T algorithm in the $p_T = 1.5$ -1.6 TeV bin. Each histogram is shown for the working point optimized for best performance with m_t in the 0.3-0.35 signal efficiency bin, and is normalized to the fraction of events passing the tagger. In this and subsequent plots, the HEPTopTagger distribution cuts off at 500 GeV because the tagger fails to tag jets with a larger mass.

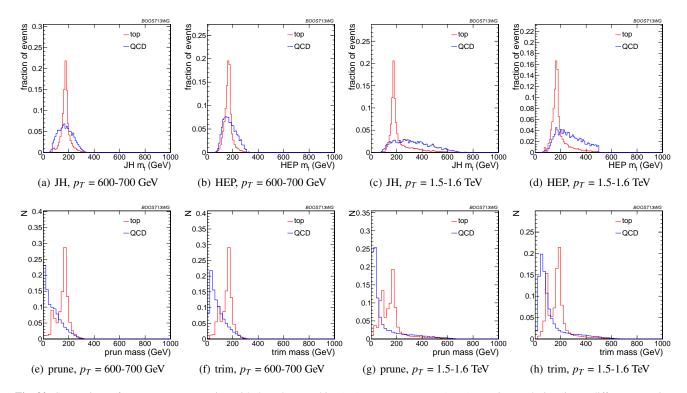


Fig. 30 Comparison of top mass reconstruction with the Johns Hopkins (JH), HEPTopTaggers (HEP), pruning, and trimming at different p_T using the anti- k_T algorithm, R = 0.8. Each histogram is shown for the working point optimized for best performance with m_t in the 0.3-0.35 signal efficiency bin, and is normalized to the fraction of events passing the tagger.

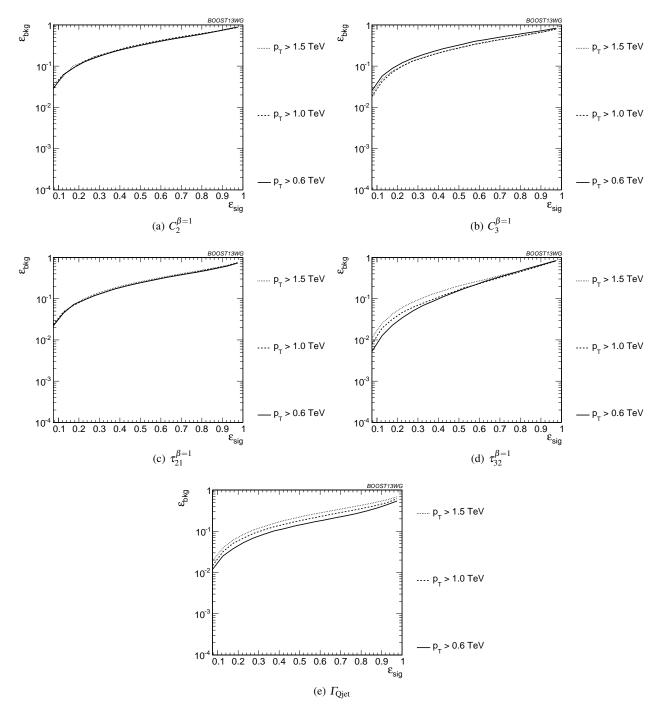


Fig. 31 Comparison of individual jet shape performance at different p_T using the anti- $k_T R = 0.8$ algorithm.

background distributions for each shape variable considered in the $p_T=1.5$ -1.6 TeV bin for the various jet radii. The distributions for both signal and background broaden with for increasing R, degrading the discriminating power. For $C_2^{\beta=1}$ and $C_3^{\beta=1}$, the background distributions are shifted upward as well. Therefore, the discriminating power generally gets worse with increasing R. The main exception is for $C_3^{\beta=1}$ which performs optimally at R=0.8; in this case, the sig $\frac{1378}{3379}$

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nal and background coincidentally happen to have the same distribution around R=0.4, and so R=0.8 gives better discrimination.

7.3 Performance of Multivariable Combinations

We now consider various BDT combinations of the single variables considered in the last section, using the techniques

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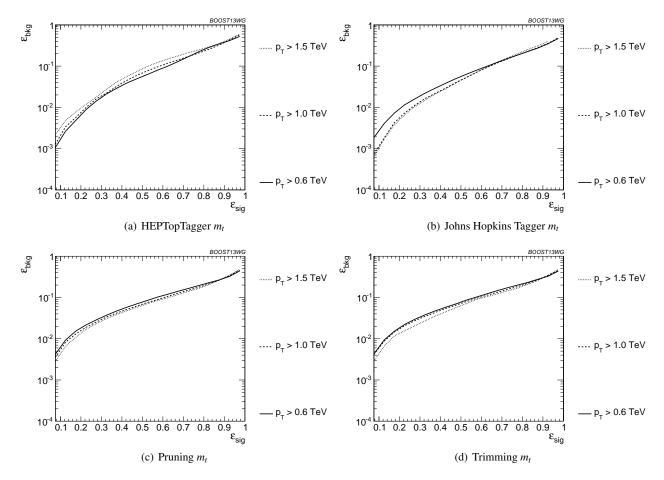


Fig. 32 Comparison of top mass performance of different taggers at different p_T using the anti- k_T R=0.8 algorithm.

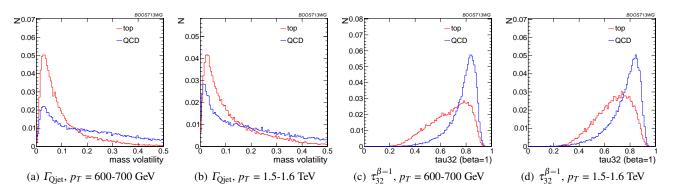


Fig. 33 Comparison of Γ_{Qjet} and $\tau_{32}^{\beta=1}$ at R=0.8 and different values of the p_T . These shape variables are the most sensitive to varying p_T .

described in Section 4. In particular, we consider the perisson formance of individual taggers such as the JH tagger and HEPTopTagger, which output information about the top and W candidate masses and the helicity angle; for each tagger 302 all three output variables are combined in a BDT. For trim 303 ming and pruning, the output candidate m_W and m_t are composed bined in a BDT. Finally, we consider the combination of the 1305 full set of outputs of each of the above taggers/groomers with the shape variables, as well also a combination of the 2307

outputs of the HEPTopTagger and JH tagger. This allows us to determine the degree of complementary information in taggers/groomers and shape variables, as well as between the top tagging algorithms themselves. For all variables with tuneable input parameters, we scan and optimize over realistic values of such parameters, as described in Section 7.1.

In Figure 37, we directly compare the performance of the HEPTopTagger, the JH tagger, trimming, and pruning, in the $p_T = 1 - 1.1$ TeV bin with R = 0.8, where both m_t and

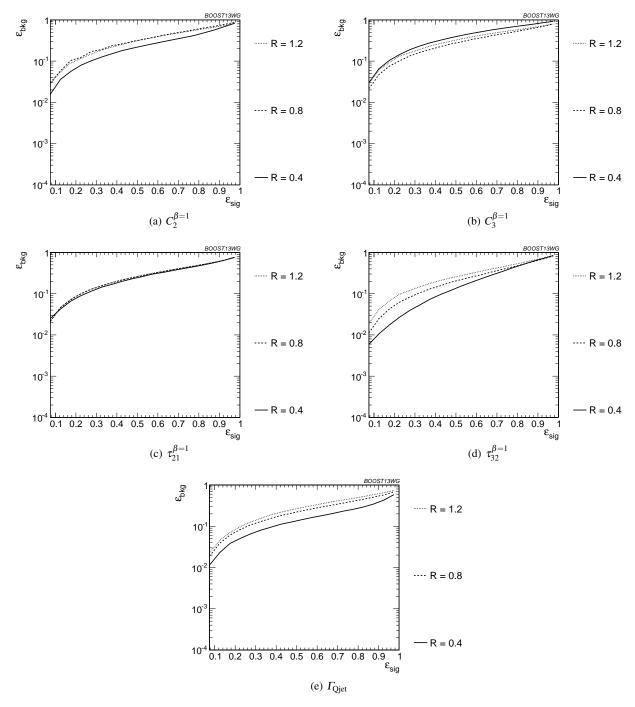


Fig. 34 Comparison of individual jet shape performance at different R in the $p_T = 1.5$ -1.6 TeV bin.

m_W are used in the groomers. Generally, we find that prun₄₀₆ ing, which does not naturally incorporate subjets into th₄₀₆ algorithm, does not perform as well as the others. Interest₄₀₈ ingly, trimming, which does include a subjet-identification₄₀₉ step, performs comparably to the HEPTopTagger over much₄₁₀ of the range, possibly due to the background-shaping ob₄₁₁ served in Section 7.2. By contrast, the JH tagger outperform₄₁₂ the other algorithms. To determine whether there is comple₄₁₃

mentary information in the mass outputs from different top taggers, we also consider in Figure 37 a multivariable combination of all of the JH and HEPTopTagger outputs. The maximum efficiency of the combined JH and HEPTopTaggers is limited, as some fraction of signal events inevitably fails either one or other of the taggers. We do see a 20-50% improvement in performance when combining all outputs, which suggests that the different algorithms used to identify

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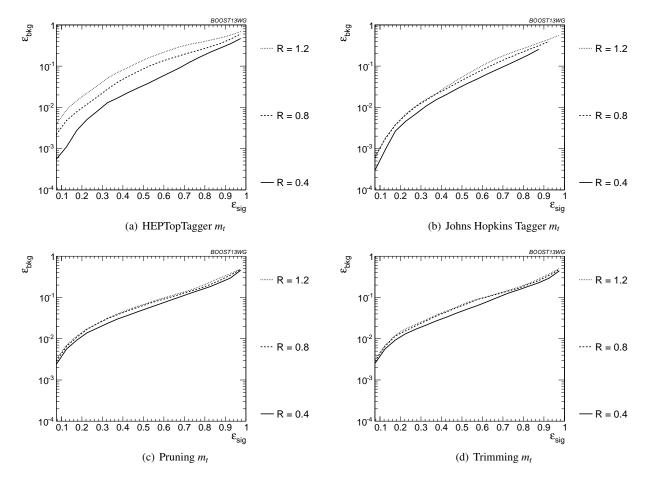


Fig. 35 Comparison of top mass performance of different taggers at different R in the $p_T = 1.5 \cdot 1.6$ TeV bin.

the top and W for different taggers contains complementary information.

In Figure 38 we present the results for multivariable combinations of the top tagger outputs with and without shaped and variables. We see that, for both the HEPTopTagger and the JH tagger, the shape variables contain additional informa-1441 tion uncorrelated with the masses and helicity angle, and 1442 give on average a factor 2-3 improvement in signal discrimi¹⁴⁴³ nation. We see that, when combined with the tagger outputs, both the energy correlation functions $C_2 + C_3$ and the N_-^{1445} subjettiness ratios $au_{21} + au_{32}$ give comparable performance, while $\Gamma_{\rm Qjet}$ is slightly worse; this is unsurprising, as Qjets ac-447 cesses shape information in a more indirect way from other $^{1448}\!\!$ shape variables. Combining all shape variables with a single 449 top tagger provides even greater enhancement in discrimina-1450 tion power. We directly compare the performance of the J_{H}^{451} and HEPTopTaggers in Figure 38(c). Combining the taggers with shape information nearly erases the difference between 53 the tagging methods observed in Figure 37; this indicates 54 that combining the shape information with the HEPTopTaga55 ger identifies the differences between signal and background 56 missed by the tagger alone. This also suggests that furthers improvement to discriminating power may be minimal, as various multivariable combinations converge to within a factor of 20% or so.

In Figure 39 we present the results for multivariable combinations of groomer outputs with and without shape variables. As with the tagging algorithms, combinations of groomers with shape variables improves their discriminating power; combinations with $\tau_{32} + \tau_{21}$ perform comparably to those with $C_3 + C_2$, and both of these are superior to combinations with the mass volatility, $\Gamma_{\rm Qjet}$. Substantial further improvement is possible by combining the groomers with all shape variables. Not surprisingly, the taggers that lag behind in performance enjoy the largest gain in signal-background discrimination with the addition of shape variables. Once again, in Figure 39(c), we find that the differences between pruning and trimming are erased when combined with shape information.

Finally, in Figure 40, we compare the performance of each of the tagger/groomers when their outputs are combined with all of the shape variables considered. One can see that the discrepancies between the performance of the different taggers/groomers all but vanishes, suggesting perhaps

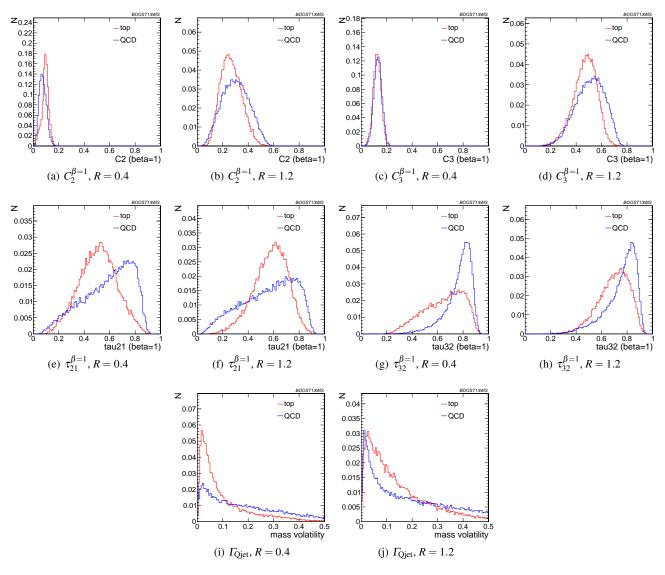


Fig. 36 Comparison of various shape variables in the $p_T = 1.5-1.6$ TeV bin and different values of the anti- k_T radius R.

that we are here utilising all available signal-background₇₄ discrmination information, and that this is the optimal top₇₅ tagging performance that could be achieved in these conditions.

Up to this point, we have considered only the combined multivariable performance in the $p_T=1.0$ -1.1 TeV bin with performance in the $p_T=1.0$ -1.1 TeV bin with performance in the $p_T=1.0$ -1.1 TeV bin with performance in the performance in the performance in the performance of tagger outputs, with and without shape variables, at different p_T . The taggers are optimized over all input parameters for each choice of p_T and signal efficiency. As with single-variable study, we consider anti- k_T jets clustered with $k_T=0.8$ and compare the outcomes in the $k_T=0.8$ of $k_T=0.8$ and compare the outcomes in the $k_T=0.8$ bins. The comparison of the taggers/groomers is shown in Figure 41. The parison of the taggers/groomers is shown in Figure 41. The performance in the performance

this suggests that the p_T behaviour of the taggers is dominated by the top-mass reconstruction. As before, the HEP-TopTagger performance degrades slightly with increased p_T due to the background shaping effect, while the JH tagger and groomers modestly improve in performance.

In Figure 42, we show the p_T -dependence of BDT combinations of the JH tagger output combined with shape variables. We find that the curves look nearly identical: the p_T dependence is again dominated by the top-mass reconstruction, and combining the tagger outputs with different shape variables does not substantially change this behavior. The same holds true for trimming and pruning. By contrast, HEP-TopTagger ROC curves, shown in Figure 43, do change somewhat when combined with different shape variables; due to the suboptimal performance of the HEPTopTagger at high p_T , we find that combining the HEPTopTagger with $C_3^{\beta=1}$,

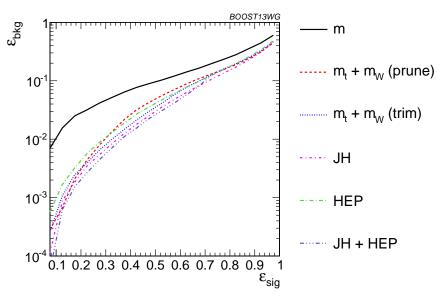


Fig. 37 The performance of the various taggers in the $p_T = 1 - 1.1$ TeV bin using the anti- k_T R=0.8 algorithm. For the groomers a BDT combination of the reconstructed m_t and m_W are used. Also shown is a multivariable combination of all of the JH and HEPTopTagger outputs. The ungroomed mass performance is shown for comparison.

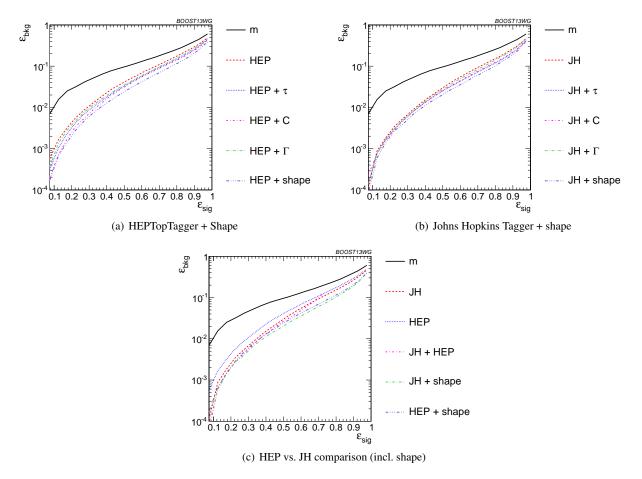


Fig. 38 The performance of BDT combinations of the JH and HepTopTagger outputs with various shape variables in the $p_T = 1 - 1.1$ TeV bin using the anti- k_T R = 0.8 algorithm. Taggers are combined with the following shape variables: $\tau_{21}^{\beta=1} + \tau_{32}^{\beta=1}$, $C_2^{\beta=1} + C_3^{\beta=1}$, Γ_{Qjet} , and all of the above (denoted "shape").

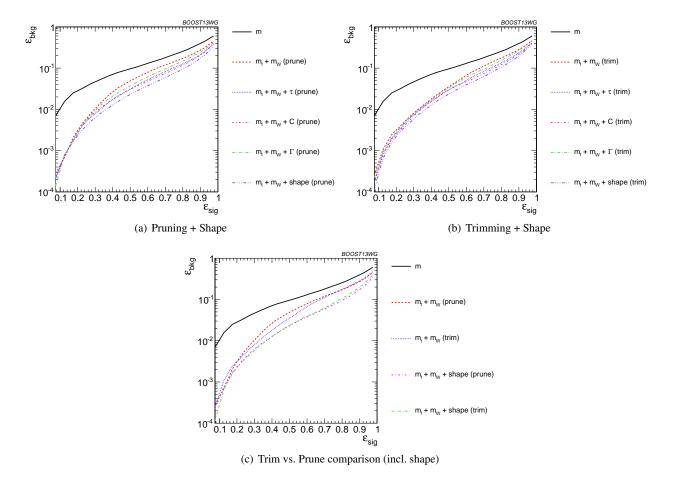


Fig. 39 The performance of the BDT combinations of the trimming and pruning outputs with various shape variables in the $p_T = 1 - 1.1$ TeV bin using the anti- k_T R = 0.8 algorithm. Groomer mass outputs are combined with the following shape variables: $\tau_{21}^{\beta=1} + \tau_{32}^{\beta=1}$, $C_2^{\beta=1} + C_3^{\beta=1}$, Γ_{Qjet} , and all of the above (denoted "shape").

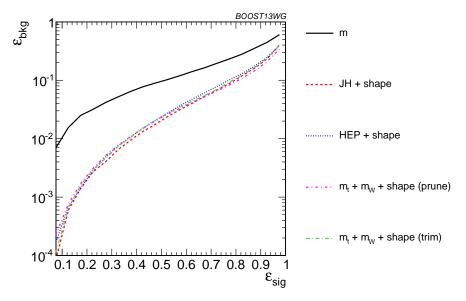


Fig. 40 Comparison of the performance of the BDT combinations of all the groomer/tagger outputs with all the available shape variables in the $p_T=1-1.1$ TeV bin using the anti- k_T R=0.8 algorithm. Tagger/groomer outputs are combined with all of the following shape variables: $\tau_{21}^{\beta=1} + \tau_{32}^{\beta=1}$, $C_2^{\beta=1} + C_3^{\beta=1}$, T_{Qjet} .

which in Figure 31(b) is seen to have some modest improve₅₄₁ ment at high p_T , can improve its performance. Combining₄₂ the HEPTopTagger with multiple shape variables gives the₄₃ maximum improvement in performance at high p_T relatives₄₄ to at low p_T .

In Figure 44 we compare the BDT combinations of tag_{547} ger outputs, with and without shape variables, at differen t_{448} jet radius R in the $p_T = 1.5$ -1.6 TeV bin. The taggers are op_{549} timized over all input parameters for each choice of R and t_{550} signal efficiency. We find that, for all taggers and groomer t_{551} the performance is always best at small R; the choice op_{52} t_{53} such high t_{57} , but is small enough to suppress contamination t_{554} from additional radiation. This is not altered when the tag_{555} gers are combined with shape variables. For example, in t_{558} ure 45 is shown the dependence on t_{559} of the JH tagger where t_{559} combined with shape variables, where one can see that t_{559} t_{559} holds true for the HEPTopTagger, trimming, and pruning.

7.4 Performance at Sub-Optimal Working Points

Up until now, we have re-optimized our tagger and groome^{F64} parameters for each p_T , R, and signal efficiency workin^{§65} point. In reality, experiments will choose a finite set of work⁵⁶⁶ ing points to use. When this is taken into account, how wilf⁶⁷ the top-tagging performance compare to the optimal result⁵⁶⁸ already shown? To address this concern, we replicate out⁶⁶⁹ analyses, but optimize the top taggers only for a single p_1 ⁶⁷⁰ bin, single jet radius R, or single signal efficiency, and subse⁶⁷¹ quently apply the same parameters to other scenarios. This⁷² allows us to determine the extent to which re-optimization⁶⁷³ is necessary to maintain the high signal-to-background dis⁶⁷⁴ crimination power seen in the top-tagging algorithms with studied. In this section, we focus on the taggers and groome⁶⁸⁷⁸ and their combination with shape variables, as the shap⁶⁷⁷ variables alone typically do not have any input parameters⁶⁷⁸ to optimize.

Optimizing at a single p_T : We show in Figure 46 the persson formance of the reconstructed top mass for the $p_T = 0.6$ -0.481 TeV and $p_T = 1.0$ -1.1 TeV bins, with all input parameters92 optimized to the $p_T = 1.5$ -1.6 TeV bin (and R = 0.8 through-833 out). This is normalized to the performance using the opti-844 mized tagger inputs at each p_T . The performance degrada-835 tion is at the level of 20-30% (at maximum 50%) when the high- p_T optimized inputs are used at other momenta, with 1837 trimming and the Johns Hopkins tagger degrading the most 1838 The jagged behaviour of the points is due to the finite results olution of the scan. We also observe a particular effect also sociated with using suboptimal taggers: since taggers some 1931 times fail to return a top candidate, parameters optimized 1949 for a particular signal efficiency $\varepsilon_{\rm sig}$ at $p_T = 1.5$ -1.6 TeV-93

may not return enough signal candidates to reach the same efficiency at a different p_T . Consequently, no point appears for that p_T value. This is not often a practical concern, as the largest gains in signal discrimination and significance are for smaller values of $\varepsilon_{\rm sig}$, but it may be an important effect to consider when selecting benchmark tagger parameters and signal efficiencies.

The degradation in performance is more pronounced for the BDT combinations of the full tagger outputs, shown in Figure 47. This is true particularly at very low signal efficiency, where the optimization of inputs picks out a cut on the tail of some distribution that depends precisely on the p_T/R of the jet. Once again, trimming and the Johns Hopkins tagger degrade more markedly. Similar behavior holds for the BDT combinations of tagger outputs plus all shape variables.

Optimizing at a single R: In Figure 48, we show the performance of the reconstructed top mass for R = 0.4 and 0.8, with all input parameters optimized to R = 1.2 TeV bin (and $p_T = 1.5$ -1.6 TeV throughout). This is normalized to the performance using the optimized tagger inputs at each R. While the performance of each variable degrades at small $\varepsilon_{\rm sig}$ compared to the optimized search, the HEPTopTagger fares the worst. It is not surprising that a tagger whose top mass reconstruction is susceptible to background-shaping at large R and p_T would require a more careful optimization of parameters to obtain the best performance.

The same holds true for the BDT combinations of the full tagger outputs, shown in Figure 49. The performance for the sub-optimal taggers is still within an O(1) factor of the optimized performance, and the HEPTopTagger performs better with the combination of all of its outputs relative to the performance with just m_t . The same behaviour holds for the BDT combinations of tagger outputs and shape variables.

Optimizing at a single efficiency: The strongest assumption we have made so far is that the taggers can be re-optimized for each signal efficiency point. This is useful for making a direct comparison of the power of different top-tagging algorithms, but is not particularly practical for LHC analyses. We now consider the scenario in which the tagger inputs are optimized once, in the $\varepsilon_{\rm sig} = 0.3\text{-}0.35$ bin, and then used for all signal efficiencies. We do this in the $p_T = 1.0\text{-}1.1$ TeV bin and with R = 0.8.

The performance of each tagger, normalized to its performance optimized in each signal efficiency bin, is shown in Figure 50 for cuts on the top mass and W mass, and in Figure 51 for BDT combinations of tagger outputs and shape variables. In both plots, it is apparent that optimizing the taggers in the $\varepsilon_{\rm sig} = 0.3$ -0.35 efficiency bin gives comparable performance over efficiencies ranging from 0.2-0.5, al-

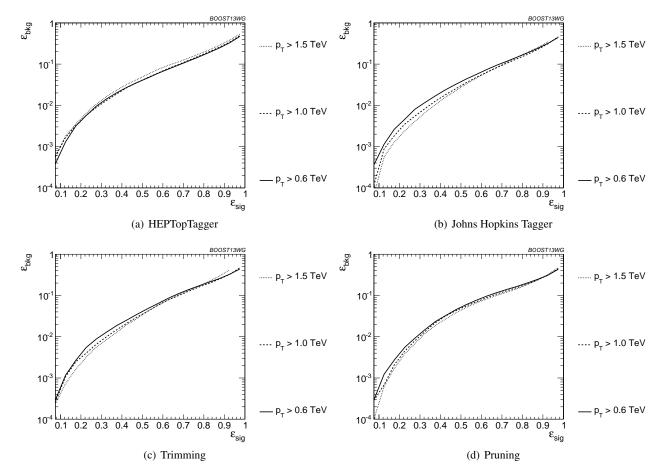


Fig. 41 Comparison at different p_T of the performance of various top tagging/grooming algorithms using the anti- k_T R = 0.8 algorithm. For each tagger/groomer, all output variables are combined in a BDT.

though performance degrades at substantially different sig_{613} nal efficiencies. Pruning appears to give especially $robus_{614}$ signal-background discrimination without re-optimization₆₁₅ most likely due to the fact that there are no absolute dis_{616} tance or p_T scales that appear in the algorithm. Figures 50_{617} and 51 suggest that, while optimization at all signal efficien₆₁₈ cies is a useful tool for comparing different algorithms, is_{19} is not crucial to achieve good top-tagging performance is_{20} experiments.

7.5 Conclusions

We have studied the performance of various jet substructurad20 variables, groomed masses, and top taggers to study the per627 formance of top tagging with different p_T and jet radius pa628 rameters. At each p_T , R, and signal efficiency working point629 we optimize the parameters for those variables with tune630 able inputs. Overall, we have found that these techniques631 individually and in combination, continue to perform well632 at high p_T , at least at the particle-level, which is important633 for future LHC running. In general, the John Hopkins tagger634

performs best, while jet grooming algorithms under-perform relative to the best top taggers due to the lack of an optimized W-identification step. Tagger performance can be improved by a further factor of 2-4 through combination with jet substructure variables such as τ_{32} , C_3 , and $\Gamma_{\rm Ojet}$. When combined with jet substructure variables, the performance of various groomers and taggers becomes very comparable, suggesting that, taken together, the variables studied are sensitive to nearly all of the physical differences between top and QCD jets at particle-level. A small improvement is also found by combining the Johns Hopkins and HEPTopTaggers, indicating that different taggers are not fully correlated. The degree to which these findings continue to hold under more realistic pile-up and detector configurations is, however, not addressed in this analysis and left to future study.

Comparing results at different p_T and R, top-tagging performance is generally better at smaller R due to less contamination from uncorrelated radiation. Similarly, most variables perform better at larger p_T due to the higher degree of collimation of radiation. Some variables fare worse at higher p_T , such as the N-subjettiness ratio τ_{32} and the Qjet mass volatility $\Gamma_{\rm Qjet}$, as higher- p_T QCD jets have more and harder

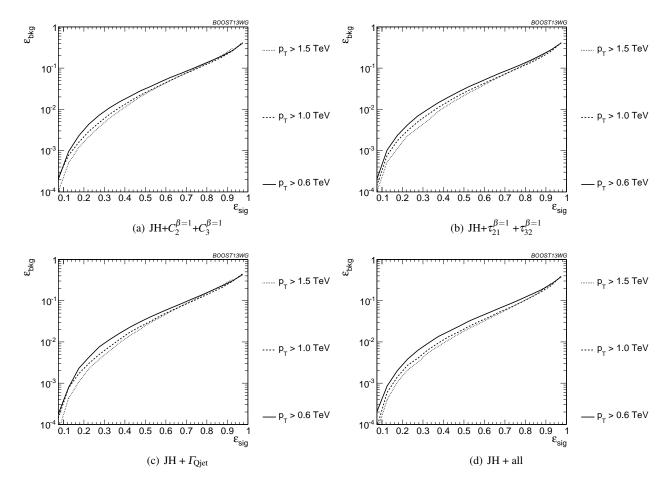


Fig. 42 Comparison at different p_T of the performance of the JH tagger using the anti- k_T R = 0.8 algorithm, where all tagger output variables are combined in a BDT with various shape variables.

emissions that fake the top-jet substructure. The HEPTop₀₅₅ Tagger is also worse at high p_T due to the tendency of th₀₅₆ tagger to shape backgrounds around the top mass. This is unsurprising, given that the HepTopTagger was specifically designed for a lower p_T range than that considered here. The p_T - and R-dependence of the multivariable combinations in dominated by the p_T - and R-dependence of the top mass reconstruction component of the tagger/groomer.

Finally, we consider the performance of various taggquest and jet substructure variable combinations under the more realistic assumption that the input parameters are only opega timized at a single p_T , R, or signal efficiency, and then the same inputs are used at other working points. Remarkably the performance of all variables is typically within a factor of 2 of the fully optimized inputs, suggesting that while opega timization can lead to substantial gains in performance, the general behavior found in the fully optimized analyses exists tends to more general applications of each variable. In particular, the performance of pruning typically varies the least when comparing sub-optimal working points to the fully opega?

timized tagger due to the scale-invariant nature of the pruning algorithm.

8 Summary & Conclusions

Furthering our understanding of jet substructure is crucial to enhancing the prospects for the discovery of new physical processes at Run II of the LHC. In this report we have studied the performance of jet substructure techniques over a wide range of kinematic regimes that will be encountered in Run II of the LHC. The performance of observables and their correlations have been studied by combining the variables into Boosted Decision Tree (BDT) discriminants, and comparing the background rejection power of this discriminant to the rejection power achieved by the individual variables. The performance of "all variables" BDT discriminants has also been investigated, to understand the potential of the "ultimate" tagger where "all" available particle-level information (at least, all of that provided by the variables considered) is used.

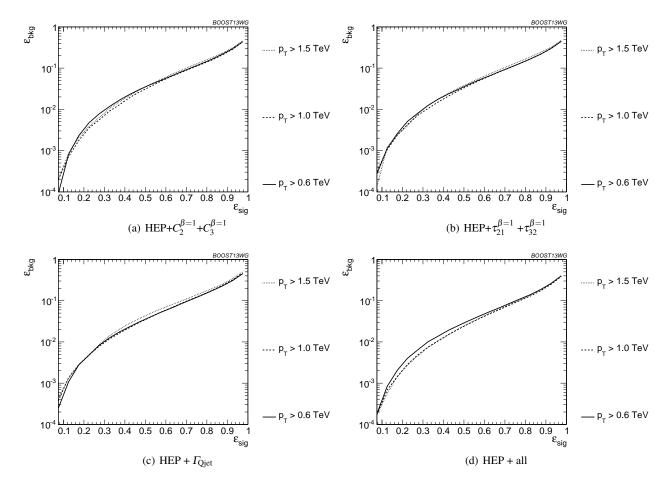


Fig. 43 Comparison at different p_T of the performance of the HEPTopTagger using the anti- k_T R = 0.8 algorith, where all tagger output variables are combined in a BDT with various shape variables.

We focused on the discrimination of quark jets from gluona jets, and the discrimination of boosted W bosons and topos quarks from the QCD backgrounds. For each, we have iden 696 tified the best-performing jet substructure observables, bother individually and in combination with other observables. In 198 doing so, we have also provided a physical picture of whater certain sets of observables are (un)correlated. Additionally700 we have investigated how the performance of jet substruction ture observables varies with R and p_T , identifying observator ables that are particularly robust against or susceptible toos these changes. In the case of q/g tagging, it seems that the oa ideal performance can be nearly achieved by combining theos most powerful discriminant, the number of constituents of 2006 jet, with just one other variable, $C_1^{\beta=1}$ (or $\tau_1^{\beta=1}$). Many of theory other variables considered are highly correlated and provideos little additional discrimination. For both top and W tagging709 the groomed mass is a very important discriminating vari-710 able, but one that can be substantially improved in combina711 tion with other variables. There is clearly a rich and complex 12 relationship between the variables considered for W and to \mathfrak{P}^{13} tagging, and the performance and correlations between these

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variables can change considerably with changing jet p_T and R. In the case of W tagging, even after combining groomed mass with two other substructure observables, we are still some way short of the ultimate tagger performance, indicating the complexity of the information available, and the complementarity between the observables considered. In the case of top tagging, we have shown that the performance of both the John Hopkins and HEPTopTagger can be improved when their outputs are combined with substructure observables such as τ_{32} and C_3 , and that the performance of a discriminant built from groomed mass information plus substructure observables is very comparable to the performance of the taggers. We have optimized the top taggers for particular values of p_T , R, and signal efficiency, and studied their performance at other working points. We have found that the performance of observables remains within a factor of two of the optimized value, suggesting that the performance of jet substructure observables is not significantly degraded when tagger parameters are only optimized for a few select benchmark points.

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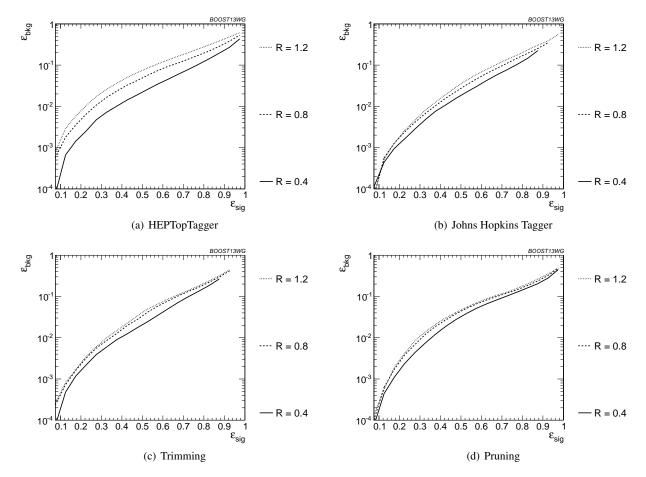


Fig. 44 Comparison at different radii of the performance of various top tagging/grooming algorithms with $p_T = 1.5$ -1.6 TeV. For each tagger/groomer, all output variables are combined in a BDT.

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Our analyses were performed with ideal detector and 1 33 pile-up conditions in order to most clearly elucidate the underlying physical scaling with p_T and R. At higher boost 1 34 detector resolution effects will become more important, and 1 35 with the higher pile-up expected at Run II of the LHC, pile 1 36 up mitigation will be crucial for future jet substructure stud 1 37 ies. Future studies will be needed to determine which of the observables we have studied are most robust against pile-up and detector effects, and our analyses suggest particularly 1 40 useful combinations of observables to consider in such stud 1 41 ies.

At the new energy frontier of Run II of the LHC, boosted¹/₂6 jet substructure techniques will be more central to our searches for new physics than ever before. By achieving a deeper un⁷/₂8 derstanding of the underlying structure of quark, gluon, W¹/₂9 and top-initiated jets, as well as the relations between ob²/₂50 servables sensitive to their respective structures, it is hoped¹/₂51 that more sophisticated taggers can be commissioned that²/₂52 will maximally extend the reach for new physics.

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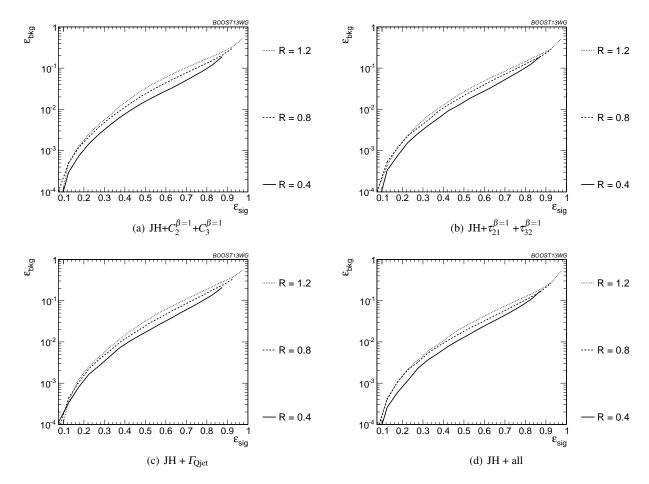


Fig. 45 Comparison at different radii of the performance of the JH tagger in the p_T = 1.5-1.6 TeV bin, where all tagger output variables are combined in a BDT with various shape variables

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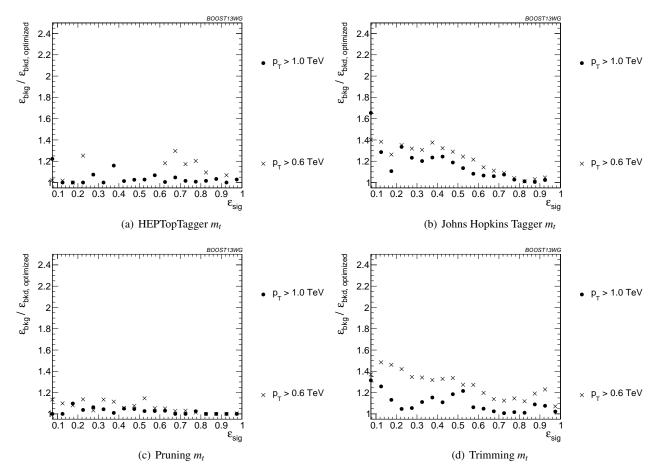


Fig. 46 Comparison of the top mass performance of different taggers at different p_T using the anti- $k_T R = 0.8$ algorithm. The tagger inputs are set to the optimum value for $p_T = 1.5$ -1.6 TeV, and the performance is normalized to the performance using the optimized tagger inputs at each p_T .

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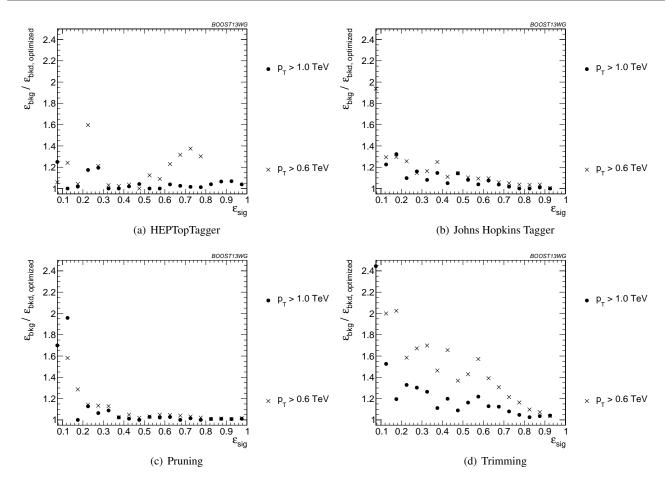


Fig. 47 Comparison of tagger performance at different p_T using the anti- $k_T R = 0.8$ algorithm. For each tagger/groomer, all output variables are combined in a BDT, and the tagger inputs are set to the optimum value for $p_T = 1.5$ -1.6 TeV. The performance is normalized to the performance using the optimized tagger inputs at each p_T .

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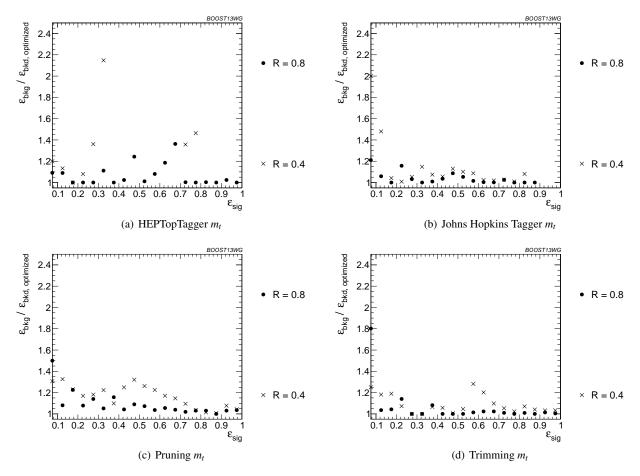


Fig. 48 Comparison of the top mass performance of different taggers at different R in the $p_T = 1.5$ -1.6 TeV bin. The tagger inputs are set to the optimum value for R = 1.2, and the performance is normalized to the performance using the optimized tagger inputs at each R.

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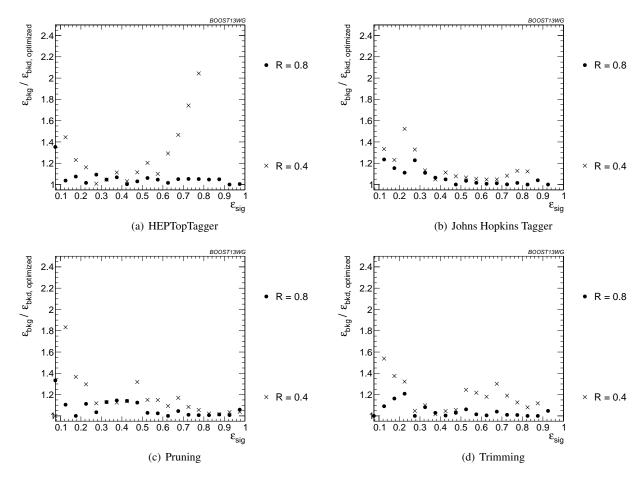


Fig. 49 Comparison of tagger performance at different R in $p_T = 1.5$ -1.6 TeV bin. For each tagger/groomer, all output variables are combined in a BDT, and the tagger inputs are set to the optimum value for R = 1.2, and the performance is normalized to the performance using the optimized tagger inputs at each R.

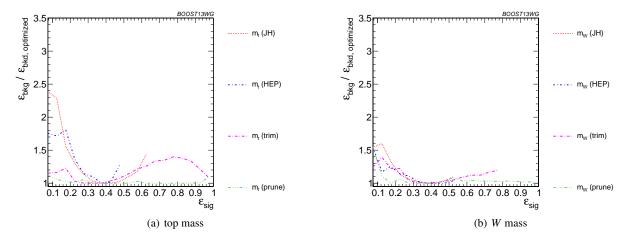


Fig. 50 Comparison of single-variable top-tagging performance in the $p_T = 1 - 1.1$ GeV bin using the anti- k_T , R = 0.8 algorithm. The inputs for each tagger are optimized for the $\varepsilon_{\rm sig} = 0.3 - 0.35$ bin, and the performance is normalized to the performance using the optimized tagger inputs at each $\varepsilon_{\rm sig}$.



Fig. 51 The BDT combinations in the $p_T=1-1.1$ TeV bin using the anti- k_T R=0.8 algorithm. Taggers are combined with the following shape variables: $\tau_{21}^{\beta=1}+\tau_{32}^{\beta=1}$, $C_2^{\beta=1}+C_3^{\beta=1}$, $\Gamma_{\rm Qjet}$, and all of the above (denoted "shape"). The inputs for each tagger are optimized for the $\varepsilon_{\rm sig}=0.3-0.35$ bin, and the performance is normalized to the performance using the optimized tagger inputs at each $\varepsilon_{\rm sig}$.