# Measurement of the neutrino magnetic moment at the NOvA experiment

# Technical note

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- 7 Abstract
- 8 This is the abstract

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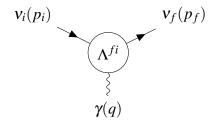


Figure 1: Effective coupling of neutrinos with one photon electromagnetic field.

# 1 Introduction

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(TO DO: Describe the main motivations for the analysis. Briefly mention that there was a previous study by Biao, what were the results there and what limitations (or maybe talk about this in the Experimental overview?))

# 2 Theoretical overview

(TO DO: Re-read the three main theory papers and double check the theoretical overview)

In the Standard Model (SM), neutrinos are massless and electrically neutral particles. However, even in the SM neutrinos can have electromagnetic interaction through loop diagrams involving the charged leptons and the W boson. These interactions are described by the neutrino charge radius, described in section 2.2 [1].

To include neutrino masses required by neutrino oscillations, we must go Beyond the Standard Model (BSM), where neutrinos can acquire other electromagnetic properties [2]. In the most general case, considering interactions with a single photon as shown on Fig.1, neutrino electromagnetic interactions can be described by an *effective* interaction Hamiltonian [2]

$$\mathcal{H}_{em}^{(v)}(x) = \sum_{k,j=1}^{N} \overline{v}_k(x) \Lambda_{\mu}^{kj} v_j(x) A^{\mu}(x). \tag{1}$$

Here  $v_k(x), k \in \{1,...,N\}$  are neutrino fields in the mass basis with N neutrino mass states.  $\Lambda_{\mu}^{kj}$  is a general vertex function and  $A^{\mu}(x)$  is the electromagnetic field.

The vertex function  $\Lambda_{\mu}^{fi}(q)$  is generally a matrix and in the most general case can be written in terms of linearly independent products of Dirac matrices  $(\gamma)$  and only depends on the square of the four momentum of the photon  $(q = p_f - p_i)$ :

$$\Lambda_{\mu}^{fi}(q) = \mathbb{F}_{1}^{fi}(q^{2}) q_{\mu} + \mathbb{F}_{2}^{fi}(q^{2}) q_{\mu} \gamma_{5} + \mathbb{F}_{3}^{fi}(q^{2}) \gamma_{\mu} + \mathbb{F}_{4}^{fi}(q^{2}) \gamma_{\mu} \gamma_{5} + \mathbb{F}_{5}^{fi}(q^{2}) \sigma_{\mu\nu} q^{\nu} + \mathbb{F}_{6}^{fi}(q^{2}) \varepsilon_{\mu\nu\rho\gamma} q^{\nu} \sigma^{\rho\gamma}, \tag{2}$$

where  $\mathbb{F}_{i}^{fi}\left(q^{2}\right)$  are six Lorentz invariant form factors [2].

Applying conditions of hermiticity  $\left(\mathscr{H}_{em}^{(v)\dagger} = \mathscr{H}_{em}^{(v)}\right)$  and of the gauge invariance of the electromagnetic field, we can rewrite the vertex function as

$$\Lambda_{\mu}^{fi}(q) = \left(\gamma_{\mu} - q_{\mu} \not q/q^{2}\right) \left[\mathbb{F}_{Q}^{fi}\left(q^{2}\right) + \mathbb{F}_{A}^{fi}\left(q^{2}\right)q^{2}\gamma_{5}\right] - i\sigma_{\mu\nu}q^{\nu} \left[\mathbb{F}_{M}^{fi}\left(q^{2}\right) + i\mathbb{F}_{E}^{fi}\left(q^{2}\right)\gamma_{5}\right], \quad (3)$$

where  $\mathbb{F}_Q^{fi}$ ,  $\mathbb{F}_M^{fi}$ ,  $\mathbb{F}_E^{fi}$  and  $\mathbb{F}_A^{fi}$  are hermitian matrices representing the charge, dipole magnetic, dipole electric and anapole neutrino form factors. In coupling with a real photon  $(q^2 = 0)$  these become the neutrino charge and magnetic, electric and anapole moments. The neutrino charge radius corresponds to the second term in the expansion of the charge form factor [2].

We can simplify the above expression as [1]

$$\Lambda_{\mu}^{fi}(q) = \gamma_{\mu} \left( Q_{\nu_{fi}} + \frac{q^2}{6} \langle r^2 \rangle_{\nu_{fi}} \right) - i \sigma_{\mu\nu} q^{\nu} \mu_{\nu_{fi}}, \tag{4}$$

where  $Q_{v_{fi}}$ ,  $\langle r^2 \rangle_{v_{fi}}$ , and  $\mu_{v_{fi}}$  are the neutrino charge, effective charge radius (also containing anapole moment), and an effective magnetic moment (also containing electric moment) respectively. This is possible thanks to the proportional effect of the neutrino charge radius and the anapole moment, or the neutrino magnetic and electric moment respectively [2]. These quantities (charge, charge radius and magnetic moment) are the three neutrino electromagnetic properties measured in experiments.

# 2.1 Neutrino electric and magnetic dipole moments

The size and effect of the neutrino electromagnetic properties depends on the specific theory beyond the standard model.

Evaluating the one loop diagrams in the minimal extension of the standard model with three right handed Dirac neutrinos gives us the first approximation of the electric and magnetic moments:

$$\frac{\mu_{kj}^D}{i\varepsilon_{kj}^D} \simeq \frac{3eG_F}{16\sqrt{2}\pi^2} \left( m_k \pm m_j \right) \left( \delta_{kj} - \frac{1}{2} \sum_{l=e,\mu,\tau} U_{lk}^{\star} U_{lj} \frac{m_l^2}{m_W^2} \right), \tag{5}$$

where  $m_k, m_j$  are the neutrino masses and  $m_l$  are the masses of charged leptons which appear in the loop diagrams [2]. e is the electron charge,  $G_F$  is the Fermi coupling constant, and U is the PMNS neutrino oscillation matrix. Higher order electromagnetic corrections were neglected, but those can also have a significant contribution, depending on the theory.

It can be seen that there dirac neutrinos have no diagonal electric moments  $(\varepsilon_{kk}^D=0)$  and their diagonal magnetic moments are approximately

$$\mu_{kk}^D \simeq \frac{3eG_F m_k}{8\sqrt{2}\pi^2} \simeq 3.2 \times 10^{-19} \left(\frac{m_k}{\text{eV}}\right) \mu_B,$$
(6)

where  $\mu_B$  is the Bohr magneton [2].

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The transition magnetic moments are suppressed with respect to the largest of the diagonal magnetic moments by at least a factor of  $10^{-4}$  due to the  $m_W^2$  in the denominator. The transition

electric moments are even smaller due to the mass difference in Eq.5. Therefore an experimental observation of a magnetic moment larger than in Eq.6 would indicate physics beyond the minimally extended standard model [2, 3].

Majorana neutrinos in a minimal extension can be obtained by either adding a  $SU(2)_L$  Higgs triplet, or right handed neutrinos together with a  $SU(2)_L$  Higgs singlet [2]. If we neglect the Feynman diagrams which depend on the model of the scalar sector, the magnetic and electric dipole moments are

$$\mu_{kj}^{M} \simeq -\frac{3ieG_{F}}{16\sqrt{2}\pi^{2}} \left(m_{k} + m_{j}\right) \sum_{l=e,U,\tau} \operatorname{Im}\left[U_{lk}^{\star}U_{lj}\right] \frac{m_{l}^{2}}{m_{W}^{2}},$$
(7)

$$\varepsilon_{kj}^{M} \simeq \frac{3ieG_F}{16\sqrt{2}\pi^2} \left(m_k - m_j\right) \sum_{l=e,\mu,\tau} \operatorname{Re}\left[U_{lk}^{\star} U_{lj}\right] \frac{m_l^2}{m_W^2}. \tag{8}$$

These are difficult to compare to the Dirac case, due to possible presence of Majorana phases in the PMNS matrices, but it is clear that they have the same order of magnitude as Dirac transition dipole moments. However, the neglected model dependent contributions can enhance the transition dipole moments [2].

It is possible [3] to obtain a "natural" upper limits on the size of neutrino magnetic moment by calculating its contribution to the neutrino mass by standard model radiative corrections. For Dirac neutrinos, the radiative correction induced by neutrino magnetic moment, generated at an energy scale  $\Lambda$ , to the neutrino mass is generically

$$m_V^D \sim \frac{\mu_V^D}{3 \times 10^{-15} \mu_B} [\Lambda (\text{TeV})]^2 \text{ eV}.$$
 (9)

So for  $\Lambda \simeq 1$ TeV (TO DO: figure out what exactly does this energy scale actually relate to and explain it here?) and  $m_V \lesssim 0.3$ eV the limit becomes  $\mu_V^D \lesssim 10^{-15} \mu_B$ . This applies only if the new physics is well above the electroweak scale ( $\Lambda_{EW} \sim 100$ GeV). It is possible to get Dirac neutrino magnetic moment higher than this limit, for example in frameworks of minimal super-symmetric standard model, by adding more Higgs doublets, or by considering large extra dimensions [2].

A similar limit for Majorana neutrino magnetic moment would be less stringent due to the antisymmetry of the Majorana neutrino magnetic moment form factors. Considering  $m_V \lesssim 0.3 \text{eV}$ , the limit can be expressed as

$$\mu_{\tau\mu}, \mu_{\tau e} \lesssim 10^{-9} \left[ \Lambda(\text{TeV}) \right]^{-2} \tag{10}$$

$$\mu_{\mu e} \lesssim 3 \times 10^{-7} \left[ \Lambda \left( \text{TeV} \right) \right]^{-2} \tag{11}$$

which is shown in the flavour basis [3]. This expression relates to the framework used previously as

$$\mu_{ij} = \sum_{\alpha\beta} \mu_{\alpha\beta} U_{\alpha i}^{\dagger} U_{\beta j}, \quad \alpha, \beta \in \{e, \mu, \tau\}.$$
(12)

These considerations imply, that if a magnetic moment  $\mu \gtrsim 10^{-15} \mu_B$  would be measured, it is more plausible that neutrinos are Majorana fermions and that the scale of lepton violation would be well below the conventional see-saw scale [3] (TO DO: double check this claim).

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#### 2.1.1 Effective neutrino magnetic moment

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Since experiments detect neutrino flavour states, not the mass states, what we measure in experiments is an effective "flavour" magnetic moment  $\mu_{eff}$ .  $\mu_{eff}$  is influenced by mixing of the neutrino magnetic moments (and electric moments) expressed in the mass basis (as described above) and neutrino oscillations. In the ultra-relativistic limit, the (anti)neutrino effective magnetic moment is

$$\mu_{\nu_l}^2(L, E_{\nu}) = \sum_{j} \left| \sum_{k} U_{lk}^{\star} e^{\mp i\Delta m_{kj}^2 L/2E_{\nu}} \left( \mu_{jk} - i\varepsilon_{jk} \right) \right|^2, \tag{13}$$

where L is the distance the neutrino travelled,  $E_V$  is the neutrino energy and  $\delta m^2$  is the neutrino mass squared difference [2]. The minus sign in the exponent is for neutrinos and the plus sign for antineutrinos, therefore the only difference is in the phase induced by neutrino oscillations.

For experiments with baselines short enough that neutrino oscillations would not have time to develop  $(\Delta m^2 L/2E_V \ll \sim 1)$ , such as the NOvA Near Detector, the effective magnetic moment can be expressed as

$$\mu_{\nu_l}^2 = \mu_{\overline{\nu}_l}^2 \simeq \sum_{j} \left| \sum_{k} U_{lk}^{\star} \left( \mu_{jk} - i \varepsilon_{jk} \right) \right|^2 = \left[ U \left( \mu^2 + \varepsilon^2 \right) U^{\dagger} + 2 \operatorname{Im} \left( U \mu \varepsilon U^{\dagger} \right) \right]_{ll'}, \tag{14}$$

which is independent of the neutrino energy and of the source to detector distance.

It is important to mention, that since the effective magnetic moment depends on the flavour of the studied neutrino, it is different (but related) for neutrino experiment studying neutrinos from different sources. Additionally some experiments, namely solar neutrino experiments, need to include matter effects on the neutrino oscillations. Therefore the reports on the value (or upper limit) of the effective neutrino magnetic moment are not directly comparable between different types of neutrino experiments. Theorists publish papers trying to extrapolate the measured effective magnetic moments to each neutrino flavour, but necessarily apply assumptions that might not hold in all BSM theories.

# 2.2 Other neutrino electromagnetic properties

(TO DO: This section is not finished, most of this text is just copied from some theory papers for now)

Neutrino electric charge is heavily constraint by the measurements on the neutrality of matter (since generally neutrinos having an electric charge would also mean that neutrons have charge which would affect all heavier nuclei). It is also constrained by the SN1987A, since neutrino having an effective charge would lengthen its path through the extragalactic magnetic fields and would arrive on earth later. It can also be obtained from nu-on-e scatter from the relationship between neutrino millicharge and magnetic moment. [nuElmagInt2015.pdf - sec. VIIA]

The neutrino charge radius is determined by the second term in the expansion of the neutrino charge form factor and can be interpreted using the Fourier transform of a spherically symmetric

charge distribution. It can also be negative since the charge density is not a positively defined quantity. In the SM the charge radius has the form of (possible other definitions exist)

$$\langle r_{\nu_l}^2 \rangle_{\text{SM}} = \frac{G_{\text{F}}}{4\sqrt{2}\pi^2} \left[ 3 - 2\log\left(\frac{m_l^2}{m_W^2}\right) \right]. \tag{15}$$

This corresponds to  $\langle r_{\nu_{\mu}}^2 \rangle_{SM} = 2.4 \times 10^{-33} \text{ cm}^2$  and similar scale for other neutrino flavours. [nuElmagInt2015.pdf - sec. VIIB]

[nuElmagInt2015.pdf - sec. VIIB] The effect of the neutrino charge radius on the neutrino-on-electron scattering cross section is through the following shift of the vector coupling constant (Grau and Grifols, 1986; Degrassi, Sirlin, and VMarciano, 1989; Vogel and Engel, 1989; Hagiwara et al., 1994):

$$g_V^{\nu_l} \to g_V^{\nu_l} + \frac{2}{3} m_W^2 \langle r_{\nu_l}^2 \rangle \sin^2 \theta_W$$
 (16)

[nuElmagInt2015.pdf - sec. VIIB] The current experimental limits for muon neutrinos are from (TO DO: *check the current exp. limits*) Hirsch, Nardi, and Restrepo (2003) who obtained the following 90% C.L. bounds on  $\langle r_{\nu_{\mu}}^2 \rangle$  from a reanalysis of CHARM-II (Vilain et al., 1995) and CCFR (McFarland et al.,1998) data:

$$-0.52 \times 10^{-32} < \langle r_{\nu_{\mu}}^2 \rangle < 0.68 \times 10^{-32} \text{ cm}^2$$
 (17)

In the Standard Model, the neutrino anapole moment is somehow coupled with the neutrino charge radii and is functionally identical. the phenomenology of neutrino anapole moments is similar to that of neutrino charge radii. Hence, the limits on the neutrino charge radii discussed in Sec. VII.B also apply to the neutrino anapole moments multiplied by 6. in the standard model the neutrino charge radius and the anapole moment are not defined separately and one can interpret arbitrarily the charge form factor as a charge radius or as an anapole moment. Therefore, the standard model values for the neutrino charge radii in Eqs. (7.35)–(7.38) can be interpreted also as values of the corresponding neutrino anapole moments. [nuElmagInt2015.pdf - sec. VIIC]

It is possible to consider the toroidal dipole moment as a characteristic of the neutrino which is more convenient and transparent than the anapole moment for the description of T-invariant interactions with nonconservation of the P and C symmetries. the toroidal and anapole moments coincide in the static limit when the masses of the initial and final neutrino states are equal to each other. The toroidal (anapole) interactions of a Majorana as well as a Dirac neutrino are expected to contribute to the total cross section of neutrino elastic scattering off electrons, quarks, and nuclei. Because of the fact that the toroidal (anapole) interactions contribute to the helicity preserving part of the scattering of neutrinos on electrons, quarks, and nuclei, its contributions to cross sections are similar to those of the neutrino charge radius. In principle, these contributions can be probed and information about toroidal moments can be extracted in low-energy scattering experiments in the future. Different effects of the neutrino toroidal moment are discussed by Ginzburg and Tsytovich (1985), Bukina, Dubovik, and Kuznetsov (1998a, 1998b), and Dubovik and Kuznetsov (1998). In particular, it has been shown that the neutrino toroidal electromagnetic interactions can produce Cherenkov radiation of neutrinos propagating in a medium. [nuElmagInt2015.pdf - sec. VIIC]

# 2.3 Measuring neutrino magnetic moment

The most sensitive method to measure neutrino magnetic moment is the low energy elastic scattering of (anti)neutrinos on electrons [2]. The diagram for this interaction is shown on Fig.2 showing the two observables, the recoil electron's kinetic energy  $(T_e = E_{e'} - m_e)$  and the recoil angle with respect to the incoming neutrino beam  $(\theta)$ .

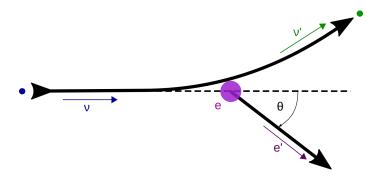


Figure 2: Neutrino-on-electron elastic scattering diagram

From simple  $2 \rightarrow 2$  kinematics we can calculate

$$(P_{\nu} - P_{e'})^2 = (P_{\nu'} - P_e)^2, \tag{18}$$

$$m_{\nu}^2 + m_e^2 - 2E_{\nu}E_{e'} + 2E_{\nu}p_{e'}\cos\theta = m_{\nu}^2 + m_e^2 - 2E_{\nu'}m_e.$$
 (19)

Using the energy conservation

$$E_{\nu} + m_e = E_{\nu'} + E_{e'} = E_{\nu'} + T_e + m_e \Rightarrow E_{\nu'} = E_{\nu} - T_e$$
 (20)

we get

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$$E_{\nu}p_{e'}\cos\theta = E_{\nu}E_{e'} - E_{\nu'}m_e = E_{\nu}(T_e + m_e) - (E_{\nu} - T_e)m_e = T_e(E_{\nu} + m_e),$$
 (21)

$$\cos \theta = \frac{E_{\nu} + m_e}{E_{\nu}} \sqrt{\frac{T_e^2}{E_{\nu'}^2 - m_e^2}} = \frac{E_{\nu} + m_e}{E_{\nu}} \sqrt{\frac{T_e^2}{T_e^2 + 2T_e m_e}}.$$
 (22)

And finally we get

$$\cos\theta = \frac{E_V + m_e}{E_V} \sqrt{\frac{T_e}{T_e + 2m_e}}.$$
 (23)

Electron's kinetic energy is kinematically constrained by the energy conservation as

$$T_e \le \frac{2E_V^2}{2E_V + m_e}.\tag{24}$$

Considering  $E_{\nu} \sim \text{GeV}$ , we can approximate  $\frac{m_e^2}{E_{\nu}^2} \to 0$  and from Fig.3 we can see that we can approximate all recoil angles to be very small, therefore  $\theta^2 \cong (1 - \cos^2 \theta)$ . Using Eq.23 we get

$$T_e \theta^2 \cong T_e \left( 1 - \left( \frac{E_V + m_e}{E_V} \right)^2 \frac{T_e}{T_e + 2m_e} \right) = T_e \left( 1 - \left( 1 + \frac{2m_e}{E_V} \right) \frac{T_e}{T_e + 2m_e} \right),$$
 (25)

therefore

$$T_e \theta^2 \cong \frac{2m_e T_e}{T_e + 2m_e} \left( 1 - \frac{T_e}{E_V} \right) = 2m_e \left( \frac{1}{1 + \frac{2m - e}{T_e}} \right) \left( 1 - \frac{T_e}{E_V} \right), \tag{26}$$

and finally

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$$T_e \theta^2 \cong 2m_e \left( 1 - \frac{T_e}{E_V} \right) < 2m_e. \tag{27}$$

This is a strong limit that clearly distinguishes the neutrino-on-electron elastic scattering events from other similar interaction involving single electron (mainly the  $v_e$  Charged Current interaction).

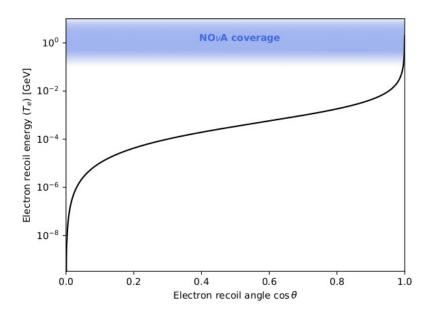


Figure 3: Relation between the recoil electron's kinetic energy and angle for neutrino-on-electron elastic scattering. The coverage of the NOvA detectors for measuring the electron recoil energy is shown in blue. Only very forwards electron's are recorded in NOvA.

#### 50 2.3.1 Neutrino magnetic moment cross section

In the ultrarelativistic limit, the neutrino magnetic moment changes the neutrino helicity, turning active neutrinos into sterile (TO DO: *this is a very strong statement and it probably need a bit more backing up*). Since the SM weak interaction conserves helicity we can simply add the two contribution to the neutrino-on-electron cross section incoherently [2]:

$$\frac{d\sigma_{v_l e^-}}{dT_e} = \left(\frac{d\sigma_{v_l e^-}}{dT_e}\right)_{SM} + \left(\frac{d\sigma_{v_l e^-}}{dT_e}\right)_{MAG}.$$
 (28)

The standard model contribution can be expressed as [2]:

$$\left(\frac{d\sigma_{v_l e^-}}{dT_e}\right)_{\text{SM}} = \frac{G_F^2 m_e}{2\pi} \left\{ \left(g_V^{v_l} + g_A^{v_l}\right)^2 + \left(g_V^{v_l} - g_A^{v_l}\right)^2 \left(1 - \frac{T_e}{E_V}\right)^2 + \left(\left(g_A^{v_l}\right)^2 - \left(g_V^{v_l}\right)^2\right) \frac{m_e T_e}{E_V^2} \right\}, \tag{29}$$

where the coupling constants  $g_V$  and  $g_A$  are different for different neutrino flavours and for antineutrinos. Their values are:

$$g_V^{\nu_e} = 2\sin^2\theta_W + 1/2,$$
  $g_A^{\nu_e} = 1/2,$  (30)

$$g_V^{\nu_e} = 2\sin^2\theta_W + 1/2,$$
  $g_A^{\nu_e} = 1/2,$  (30)  
 $g_V^{\nu_{\mu,\tau}} = 2\sin^2\theta_W - 1/2,$   $g_A^{\nu_{\mu,\tau}} = -1/2.$  (31)

For antineutrinos  $g_A \rightarrow -g_A$ .

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The neutrino magnetic moment contribution is (TO DO: include derivation from [4]) [2]:

$$\left(\frac{d\sigma_{v_l e^-}}{dT_e}\right)_{\text{MAG}} = \frac{\pi\alpha^2}{m_e^2} \left(\frac{1}{T_e} - \frac{1}{E_v}\right) \left(\frac{\mu_{v_l}}{\mu_B}\right)^2, \tag{32}$$

where  $\alpha$  is the fine structure constant.

Comparison of the Standard Model and the neutrino magnetic moment cross sections is shown on Fig.4. Whereas the SM cross section is flat with  $T_e \to 0$ , the vMM cross section keeps increasing to infinity. However, this reach is limited by the experimental capabilities of detecting such low energetic neutrinos. Possible NOvA coverage is shown in a shaded blue and it is uncertain we could actually reach as low as 100 MeV.

(TO DO: Reference the colours on the figures to the origins of the values (LSND and Biao))

As can be seen on Fig.4 and Fig.5, the magnetic moment contribution exceeds the standard model contribution for low enough  $T_e$ . This can be approximated as [2]:

$$T_e \lesssim \frac{\pi^2 \alpha^2}{G_F^2 m_e^3} \left(\frac{\mu_V}{\mu_B}\right)^2 \simeq 2.9 \times 10^{19} \left(\frac{\mu_V}{\mu_B}\right)^2 [\text{MeV}],$$
 (33)

which does not depend on the neutrino energy and makes experiments sensitive to lower energetic electrons more sensitive to the neutrino magnetic moment. This is especially true for the 160 recent dark matter experiments which put stringent limits on the solar neutrino effective magnetic 161 moment, as described in the following section. 162

#### **Neutrino on nucleus scattering**

(TO DO: not sure this is actually needed, but there are a few papers on this topic so might be worth mentioning it here. Although i don't think NOvA would be able to use this ever) 165

#### 2.3.3 Cosmological effects

(TO DO: This is an unfinished section and most of this text is just copied from some references. I find it interesting and would like to include some information on this, but haven't had time yet)

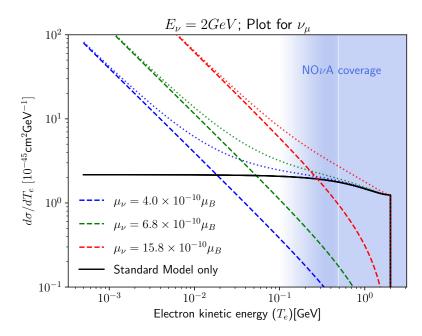


Figure 4: Comparison of the neutrino magnetic moment (coloured) and Standard Model (black) cross sections for the neutrino-on-electron elastic scattering. Different colours depict different values of the neutrino magnetic moment. Dashed lines are the individual cross sections and dotted lines are the added total cross section with the standard model contribution. NOvA coverage of electron recoil energies is shown in shaded blue.

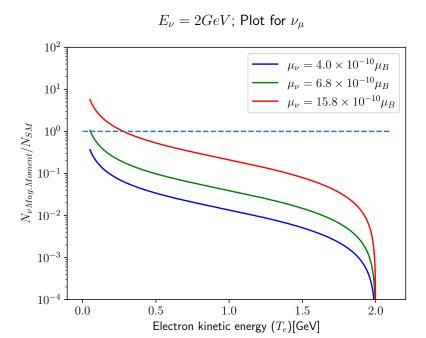


Figure 5: Ratio of the netrino magnetic moment cross section to the standard model cross section for the neutrino-on-electron elastic scattering. Different colours depict different effective muon neutrino magnetic moment values.

[NuMMBasicsAndAstro\_2022.pdf] One of the most important astrophysical consequences of neutrino non-zero effective magnetic moments is the neutrino helicity change  $v_l \rightarrow v_R$  with the appearance of nearly sterile right-handed neutrinos  $v_R$ . In general, this phenomena can proceed in three different mechanisms:

- 1. the helicity change in the neutrino magnetic moment scattering on electrons (or protons and neutrons),
  - 2. the neutrino spin and spin-flavour precession in an external magnetic field, and
- 3. the neutrino spin and spin-flavour precession in the transversally moving matter currents or in the transversally polarized matter at rest

For completeness note that the important astrophysical consequence of nonzero neutrino millicharges is the neutrino deviation from the rectilinear trajectory. (TO DO: find out if this is the same thing that IceCube describes in their paper)

# **3** Experimental overview

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(TO DO: Create a story for the experimental overview. Point out what is the hole in the current knowledge that NOvA can fill up)

# 3.1 Direct muon (anti)neutrino magnetic moment measurements

#### 185 3.1.1 NOvA (Biao's thesis)

•  $v_{\mu}$  only

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- Only comparing total event counts 25 events observed and 23.78 expected
- Put an upper limit (90% C.L.) of  $\mu_{\nu_{\mu}} < 1.58 \times 10^{-9} \mu_{B}$  with 10.9% systematic uncertainty on the standard model background
- Used  $3.62 \times 10^{20}$  POT of data (6.74  $\times$   $10^{23}$  POT for MC) with  $T\theta^2 < 0.003$  GeV  $\times$  Rad<sup>2</sup>, 0.3 < T < 0.9 GeV
- Systematic uncertainties estimated with their own studies, instead of using NOvA systematics
- 194 12.5% uncertainty on the vMM (for  $\mu_V = 10^{-9} \mu_B$ ) and 10.9% for the total SM back-195 ground
  - Mainly "Low T cutoff" (6.8% and 4.6%),  $T\theta^2$  cut (7.1% and 6.9%), Birks B modelling (4.6% and 1.8%) and Parent  $\pi^+$  in FLUKA (5.9% for both)
    - DOI:10.2172/1418139

#### 199 3.1.2 MiniBooNE

- $v_{\mu}$  only
- Observed excess of events (seems a bit too high)
- Thesis

# 203 3.1.3 E734 at the Alternating Gradient Synchrotron (AGS) of the Brookhaven National Laboratory

- Both  $v_{\mu}$  and  $\overline{v}_{\mu}$
- $\mu_{\nu_u} < 8.5 \times 10^{-10} \mu_B$
- DOI:10.1103/PhysRevD.41.3297

#### 208 3.1.4 LSND

# 209 3.2 Direct electron (anti)neutrino magnetic moment measurements

# 210 3.3 Solar neutrino magnetic moment measurements

#### 211 **3.3.1 XENONnT**

First results published in arXiv:2207.11330[5] on 22 July 2022.

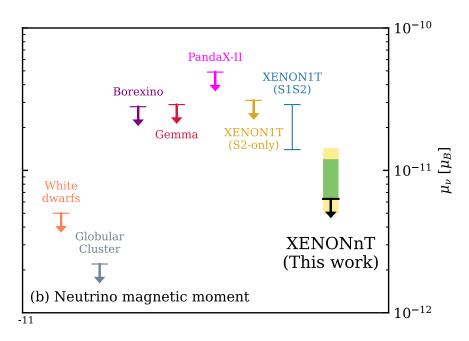


Figure 6: 90% C.L. upper limit on solar neutrinos with an enhanced magnetic moment.

- 5.9 tonne dual-phase liquid xenon TPC dark matter detector
- Region Of Interest is (1,140) keV
- Very low background (5 times lower than XENON1T)
- Tritium excluded as the potential background (also in XENON1T)
- No excess found XENON1T excess excluded with  $4\sigma$
- The 90% C.L. upper limit on solar neutrinos with an "enhanced" magnetic moment is  $\mu_{V_{sol}} < 6.3 \times 10^{-12} \mu_B$ , the strongest non-astronomical limit so far (see fig.6)

Amir Khan used[6] XENONnT's results and derived limits on electromagnetic properties for the three SM neutrino flavours (see fig.7). For  $\nu_{\mu}$  they

#### 222 3.3.2 XENON1T

#### 223 **3.3.3 BOREXINO**

Should be  $\mu_{V_e} < 2.8 \times 10^{-11} \mu_B$  [BorexinoLimit2017.pdf]

#### 225 **3.3.4 GEMMA**

Should be  $\mu_{VEff} < 2.9 \times 10^{-11} \mu_B$ . [GemmaLimits2013.pdf]

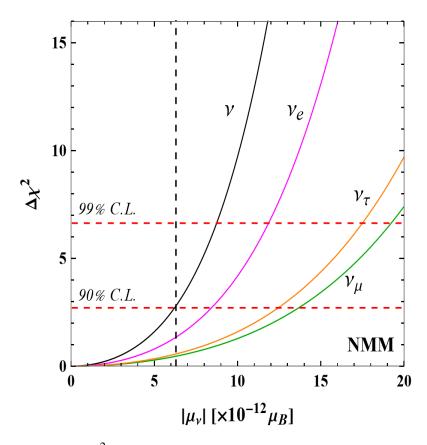


Figure 7: One-dimensional  $\Delta\chi^2$  distribution with 90% and 99% C.L. boundaries of neutrino magnetic moments. The distribution in black corresponds to the effective flavor independent magnetic moment

#### 3.4 Other

#### 3.4.1 LHC Forward Physics Facilities

- Preliminary sensitivity studies for future experiments (namely for FLArE and FASERv2)
- LHC's Forward Physics Facilities study high energy (TeV) neutrinos of all flavours from the ATLAS interaction point.
  - Large opportunity to study tau neutrinos in more detail

# 3.5 Astrophysics

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- 234 [NuMMBasicsAndAstro\_2022.pdf] Neutrino electromagnetic processes that could be studied/observed in astrophysics
  - Neutrino radiative decay
    - Decay of heavier neutrino flavour into a lighter neutrino and a photon
    - "The neutrino radiative decay has been constrained from the absence of decay photons in studies of the solar, supernova and reactor (anti)neutrino fluxes, as well as of the spectral distortions of the cosmic microwave background radiation."
    - Less stringent than the plasmon decay into a nu-antinu pairs
  - Plasmon decay to neutrino-antineutrino pair
    - "For constraining neutrino electromagnetic properties, and obtaining upper bounds on neutrino magnetic moments in particular, the most interesting process is the plasmon decay into a neutrino-antineutrino pair [11]"
    - Plasmon decay frees the energy from the stars plasma in form of neutrinos that escape and therefore speeds up the star cooling
    - "observed properties of globular cluster stars provides new upper bounds on the effective neutrino magnetic moment  $\mu_{ef} \leq (1.2-2.6) \times 10^{-12} \mu_B$  that is valid for both cases of Dirac and Majorana neutrinos."
  - Transition of neutrino helicities  $v_L \rightarrow v_R$  from active to sterile neutrinos
    - Supernovas would cool much faster not observed for 1987A by Kamioka II and IMB, constraining Dirac neutrino mag. moment

# 4 Analysis overview

(TO DO: Describe the motivations for this analysis) What are we trying to achieve? Are we aiming for purity of the final sample or efficiency of the selection? We are trying to see the low energy excess of very forward neutrino-on-electron events. We can either do this via a counting experiment (possibly with various control samples/regions to control backgrounds and systematics), or with a fit to the energy spectrum of well-selected neutrino-on-electron events.

We are trying to select nu-on-e events with low electron recoil energies.

(TO DO: Briefly introduce what are we going to do with these events after the selection (fit-ting)) Are we just going to compare the event counts of signal and background (and possibly correct the background based on some other "sideband" selection?), or are we doing a fit to some spectra - either electron energy, angle or ETh2. - We do not know yet...

(TO DO: Describe what I'm talking about in this section (datasets, weights, selection, resolution, fitting framework).)

(TO DO: Describe already here that we're dividing the signal/background into four due to ...) Here on forward I'm going to describe the differences between these (definitions, weights, signal def, systematics. What is the same: event selection and binning. They're joint together in the fitting framework, where the  $v_e$ CC MEC and the other backgrounds are simply summed together and scaled together. The v-on-e background (also called the irreducible background by the LDM analysis) is treated/scaled separately.

(TO DO: Say here already that this is the same/similar events that are studied by the ND beam constraint and the LDM analyses)

(TO DO: Should I describe the NOvA Near Detector here? Specifically its capabilities for detecting electrons?)

(TO DO: List things that could be improved for this analysis over the presented technical note. Namely revisiting the event selection specifically for the neutrino magnetic moment events, especially the energy cut. Also including the anti-neutrino events and doing a joint fit.)

#### 4.1 Datasets and Event Reconstruction details

For this analysis we are using near detector CAF samples with the standard Production 5.1 reconstruction.

The SAMWEB definition for the data sample is:

```
prod_caf_R20-11-25-prod5.1reco.l_nd_numi_fhc_full_v1_goodruns.
```

We are following the standard data blinding procedure and have not looked at any data events until the analysis passes the full collaboration review. The Near Detector group has validated (TO DO: Figure out where did Yiwen and Wenjie actually look at the data) using this data sample.

The exposure of the data sample is approximately  $1.3848600 \times 10^{21}$  POT. This is the exposure we use for all the following studies shown in this technical note. The Prod5.1 ND data sample contains data from run 10391 in epoch1a (2014-08-22 21:08:40) until run 14010 in epoch 11a (2021-02.03.15:48:21) (from the period and epoch period with page https://edoxys.freel.gov/redming/projections/

02-03 15:48:21) (from the period and epoch naming wiki page https://cdcvs.fnal.gov/redmine/projects/novaart/wik

(TO DO: Briefly describe the MC details. Versions of the individual simulation software) To tackle the low number of v-on-e and  $v_e$ CC MEC events in the nominal simulation sample we are using a suit of nominal and enhanced simulation samples for four different signal and background components. Each one contains its nominal sample and special systematically shifted samples for the detector systematics. The use of the samples is summarised in table 6 and described in detail below.

The GENIE tune is GENIE N1810j 02 11a (from the Prod5.Frankenstein docdb: 53360). The Genie release used for this production is R20-08-06-prod5.1genie.h, which has GENIE version V3.0.6 [7]

Also prod5.1 uses Geant4 v4.10.4.p02 [8]

We use the standard NOvA simulation (reference NOvA 3fl paper DOI: 10.1103/PhysRevD.106.032004)

Describe how did we deal with the GENIE skew fix. It was the GSF weights that forces "us" to treat the nueCC MEC background differently than the other background. As I understand it, the GSF is applied simply as the new weight. No change to the systematics is required.

Reference: A. Mislivic, "Genie skew reweight validation." NOvA Internal Document, DocDB: 553811 [from antinueCC IncXSec docdb:53691] "Final state kinematics were predicted by the N1810j 00 000 tune, but total cross section were generated with the intended N1810j 0211a179 tune, which differed in RES and DIS rates tuned to external data. Properly correcting the skew180 would require all simulation to be regenerated, so a temporary solution developed by the NOvA181 Cross-section Tuning Group involves reweighing production 5.1 events to the default N1810j 00 000.182 An additional modification to the GENIE MEC contribution are applied to better agree with NOvA183 ND data.

MC includes simulation in the rock surrounding the ND

(TO DO: Describe here that we're using the nominal ND MC sample for signal utilizing the simple relationship between the Standard Model cross section and the neutrino magnetic moment cross section (ref. theory))

The signal of the neutrino magnetic moment analysis is just a re-weighted signal of the *v*-on-e analysis from the near detector group. We are using the same event selection as the near detector group.

(TO DO: Say already here that the POT inside the enhanced MC samples are not properly accounted for in CAFAna (Loader issue) and so the event counts need to be adjusted post-hoc)

Table 1: Overview of the simulation samples corresponding to different signal and background components.

Signal Enhanced v-on-e sample v-on-e background Enhanced v-on-e sample

 $v_e$ CC MEC background Enhanced  $v_e$ CC MEC sample

Other background Nominal ND CAF sample

#### Enhanced $\nu$ -on-e sample

(TO DO: *Describe the nuone sample*) Created by Wenjie Wu (was it just him or also Yiwen?) to do ... and fully described in the technote [9]. Using the overlayed and filematched samples for consistency.

(TO DO: Find a reference and reasoning for why Wenjie hasn't created the other systematics samples) We only have the selected few systematics definitions because ...

(TO DO: Describe the differences)

- Missing cross section parameters unable to use cross section weights or so
- Special mode for nu-on-e elastic scattering 10005

The list of the nu-on-e sample definitions is in table 2.

Table 2: SAMWEB definitions for the enhanced  $\nu$ -on-e samples.

#### **Nominal:**

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prod\_caf\_R20-11-25-prod5.1reco.g\_nd\_genie\_N1810j0211a\_nonswap\_fhc\_nova\_v08
\_full\_v1\_nuone\_overlay

#### **Systematically shifted samples:**

```
prod_caf_R20-11-25-prod5.1reco.g_nd_genie_N1810j0211a_nonswap_fhc_nova_v08
_full_calibup_v1_nuone_overlay

prod_caf_R20-11-25-prod5_1reco_g_nd_genie_N1810j0211a_nonswap_fhc_nova_v08
```

prod\_caf\_R20-11-25-prod5.1reco.g\_nd\_genie\_N1810j0211a\_nonswap\_fhc\_nova\_v08
\_full\_calibdown\_v1\_nuone\_overlay

 $\label{lem:caf_R20-11-25-prod5.1} prod\_caf\_R20-11-25-prod5.1\\ reco.g\_nd\_genie\_N1810j0211a\_nonswap\_fhc\_nova\_v08\_full\_ckvup\_v1\_nuone\_overlay$ 

prod\_caf\_R20-11-25-prod5.1reco.g\_nd\_genie\_N1810j0211a\_nonswap\_fhc\_nova\_v08
\_full\_ckvdown\_v1\_nuone\_overlay

prod\_caf\_R20-11-25-prod5.1reco.g\_nd\_genie\_N1810j0211a\_nonswap\_fhc\_nova\_v08
\_full\_lightlevelup\_v1\_nuone\_overlay

prod\_caf\_R20-11-25-prod5.1reco.g\_nd\_genie\_N1810j0211a\_nonswap\_fhc\_nova\_v08
\_full\_lightleveldown\_v1\_nuone\_overlay

#### 4 Enhanced ν<sub>e</sub>CC MEC sample

Created by Yiwen Xiao [9] to tackle the low statistics of the  $v_e$ CC MEC background events and subsequently large and unphysical cross section weights.

(TO DO: *List the limitations of the sample in the q3-q0 parameter space*)

#### **Nominal:**

YiwenXiao\_NueCCMEC\_Single\_NJobs7500\_CAF\_NonSwap

#### **Systematically shifted samples:**

YiwenXiao\_NueCCMEC\_Single\_NJobs7500\_CAF\_NonSwap\_CalibUp

YiwenXiao\_NueCCMEC\_Single\_NJobs7500\_CAF\_NonSwap\_CalibDown

YiwenXiao\_NueCCMEC\_Single\_NJobs7500\_CAF\_NonSwap\_CkvUp

YiwenXiao\_NueCCMEC\_Single\_NJobs7500\_CAF\_NonSwap\_CkvDown

YiwenXiao\_NueCCMEC\_Single\_NJobs7500\_CAF\_NonSwap\_LLUp

YiwenXiao\_NueCCMEC\_Single\_NJobs7500\_CAF\_NonSwap\_LLDown

YiwenXiao\_NueCCMEC\_Single\_NJobs7500\_CAF\_NonSwap\_Aging

YiwenXiao\_NueCCMEC\_Single\_NJobs7500\_CAF\_NonSwap\_CalibShape

YiwenXiao\_NueCCMEC\_Single\_NJobs7500\_CAF\_NonSwap\_MCNP

#### Near Detector filematched CAF sample

(TO DO: describe all the ND nominal CAF samples)

The nominal ND MC includes 4x data POT. The systematics are file-matched to remove any statistical bias

# 2 4.2 Analysis weights

343 (TO DO: Describe why do we use weights) What are the weights we are using and why?

To correct for known deficiencies in simulation of neutrino flux or cross sections we apply weights calculated for each event.

Table 5 shows what CAFAna weights are used to simulate what signal/background sample.

#### 47 PPFX weight

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ana::kPPFXFluxCVWgt [10] (TO DO: What does this do (one sentence ish).) Maybe cite Leo's thesis? Or paper? L. Aliaga, "Neutrino Flux Prediction for the NuMI Beamline." PhD Thesis, FERMILAB-1081 THESIS-2016-03

#### 351 Prod5.1 GSF XSec weight

ana::kXSecCVWgt2020GSFProd51 (TO DO: Find the reference: possibly Maria's docdb:53336 together with the official 2020 XSec tuning technote docdb:43962.)

#### **Nominal:**

prod\_caf\_R20-11-25-prod5.1reco.a\_nd\_genie\_N1810j0211a\_nonswap\_fhc\_nova\_v08
\_full\_v1

#### **Systematically shifted samples:**

prod\_caf\_R20-11-25-prod5.1reco.e\_nd\_genie\_N1810j0211a\_nonswap\_fhc\_nova\_v08
\_full\_calibup\_v1\_batch2

prod\_caf\_R20-11-25-prod5.1reco.e\_nd\_genie\_N1810j0211a\_nonswap\_fhc\_nova\_v08
\_full\_calibdown\_v1\_batch2

prod\_caf\_R20-11-25-prod5.1reco.f\_nd\_genie\_N1810j0211a\_nonswap\_fhc\_nova\_v08
\_full\_ckvup\_v1\_batch2

prod\_caf\_R20-11-25-prod5.1reco.f\_nd\_genie\_N1810j0211a\_nonswap\_fhc\_nova\_v08
\_full\_ckvdown\_v1\_batch2

prod\_caf\_R20-11-25-prod5.1reco.g\_nd\_genie\_N1810j0211a\_nonswap\_fhc\_nova\_v08
\_full\_lightlevelup\_v1\_batch2

prod\_caf\_R20-11-25-prod5.1reco.g\_nd\_genie\_N1810j0211a\_nonswap\_fhc\_nova\_v08
\_full\_lightlevelup\_v1\_batch2

prod\_caf\_R20-11-25-prod5.1reco.f\_nd\_genie\_N1810j0211a\_nonswap\_fhc\_nova\_v08
\_full\_detectorageing\_v1\_batch2

 $\label{lem:caf_R20-11-25-prod5.1} $$ prod_caf_R20-11-25-prod5.1reco.f_nd_genie_N1810j0211a_nonswap_fhc_nova_v08_full_calibshape_v1_batch2$ 

 $\label{lem:caf_R20-11-25-prod5.1} $$\operatorname{prod_caf_R20-11-25-prod5.1}$ reco.g_nd_genie_N1810j0211a_nonswap_fhc_nova_v08_full_mcnp_v1_batch2$ 

Table 5: Overview of CAFAna weights applied to each analysis sample.

Signal Flux and neutrino magnetic moment weights

*v*-on-e background Flux and radiative correction weights

 $v_e$ CC MEC background Flux and cross section weights Other background Flux and cross section weights

NOvAReweight reference: J. Wolcott, "NOvARwgt software." https://github.com/novaexperiment/NOvARwg public.

(TO DO: Briefly describe what does this do. Also mention Yiwen's talk/technote about the large XSec weights that made her create an enhanced nueCC MEC sample.)

We are only using the for the background since we assume that the cross section for the signal is perfect. Also there are not weights for this kind of interaction.

#### Radiative correction weight

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(TO DO: Why are we doing this? (reference Yiwen's talk/technote).)

Mention here where did I get the original GENIE cross section from (reference Yiwen's talk or technote, plus the original paper that was used). nu-on-e technote[9]

(TO DO: Write out the actual version of the weight. Including the original and the corrected XSec constants)

MINERvA paper: https://journals.aps.org/prd/pdf/10.1103/PhysRevD.100.092001

Say that we are not using the third part of the correction because it is tiny and it makes no difference. (tried and tested)

(TO DO: correct the equation) Calculated as

$$weight_{\text{Radiative Corr.}} = \frac{d\sigma_{v-on-e}}{dy} \bigg|_{\text{Radiative Corr.}} / \frac{d\sigma_{v-on-e}}{dy} \bigg|_{\text{GENIE 3}}; y = \frac{E_e - m_e}{E_v}$$
(34)

#### 4.2.1 Neutrino magnetic moment signal as a weight

370 (TO DO: What does this do and why does it work? Reference the theory part as to why is the 371 magnetic moment signal simply a rescaling of the GENIE cross section.)

Using the same tree-level cross section from GENIE as in the rad. corr. weight.

(TO DO: Write the name of the weight in CAFAna/nuone namespace and where it is located) (TO DO: correct the equation) Calculated as

$$weight_{V \text{ Mag. Moment}} = \frac{d\sigma_{V-on-e}}{dy} \bigg|_{V \text{ Mag. Moment}} / \frac{d\sigma_{V-on-e}}{dy} \bigg|_{GENIE 3}; y = \frac{E_e - m_e}{E_V}$$
(35)

#### 4.3 Event selection

We are trying to select low energy neutrino-on-electron events, which are characterised by a single very forward going electron shower. Since these are the same events as are used in the Near Detector group's analysis to constraint the neutrino beam prediction with a neutrino-on-electron events [9], we have taken taken their event selection without changes. This is to save time and analysis efforts, but also to get a first good estimation of NOvA's capabilities to constraint (or measure) neutrino magnetic moment. Almost the same selection is also used in the Light Dark Matter analysis [11], only without the final  $E\theta^2$  cut.

We explain the motivation behind each cut of the event selection and discuss their effect on the neutrino magnetic moment events below. We also consider possible improvements to the event selection for a future (re-)analysis.

The code to make plots and analyse the event selection is located in the NuMagMomentAna/NuMMAnalysis/EventSelection directory. The signal definition is in NuoneCuts.h and the cut values in the EventCVNCuts.h/cxx scripts from the NuMagMomentAna/Cuts directory.

#### 388 Signal definition

To define the signal and background samples we use the true information listed in table 6. The neutrino magnetic moment signal definition is the same as the neutrino-on-electron background with the neutrino magnetic moment weight applied, as explained in sec.4.2.

The signal definitions use the kMode variable instead of the kIntType, which is now deprecated (ref.: various Slack conversations). Mode 10005 denotes only the v-on-e events and is only available for the enhanced v-on-e samples, while mode 5 denotes all electron scattering events, including inverse muon decay interactions. That is why we had to add a requirement of an electron in the final state. Mode 10 denotes all Meson Exchange Current (MEC) events.

We are using the ND group's [9] signal definition including a requirement for the true vertex to lie within their fiducial volume (defined below). This is the cut name NDNuoneFiducial. This is in contrast with the LDM analysis [11], which uses the ana::kVtxIsContained cut instead, which has a looser boundary. This choice has only a negligible effect on the final number of selected events and only affects the selection efficiency.

Table 6: Overview of signal and background definitions.

Signal kMode== 10005 && NDNuoneFiducial v-on-e background kMode== 10005 && NDNuoneFiducial  $v_e$ CC MEC background !(kMode== 5 && kElInFinState && NDNuoneFiducial) && (kIsCC && kIsNue && kMode == 10)

Other background !(kMode== 5 && kElInFinState && NDNuoneFiducial) &&

 $!(\mathtt{kIsCC}~\&\&~\mathtt{kIsNue}~\&\&~\mathtt{kMode} == 10)$ 

#### 2 Pre-selection

The pre-selection cuts have been kept from the  $v_e$ CC analysis with loosened cut values (TO DO: find a reference for this analysis). Pre-selection cuts include basic quality cuts (TO DO: describe the basic quality cuts that are implied from the preselection cuts). They also remove the obvious vCC interactions by requiring that the length of the longest prong is < 800 cm, number of planes crossed by the longest prong is < 120, and the summed number of cells for all prongs in the slice is < 600. In pre-selection we also include a cut on the time difference between the mean times of the "current" slice and of the slice closest in time, which should be > 25 ns. This ensures that ... (TO DO: describe why do we need the closest slice cut with reference to Yiwen's talk and technote).

#### Fiducial and containment cuts

(TO DO: Describe what does the fiducial cut do) We require that the reconstructed vertex is contained within the following volume:  $-185 < Vtx_X < 175, -175 < Vtx_Y < 175, 95 < Vtx_Z < 174 1095 cm.$ 

To ensure all the energy is contained within the detector and to remove events originating 415 outside of the detector (rock muons), we require that the extreme positions of hits for all prongs 416 in the slice are within the following volume:  $-190 < \min_X, \max_X < 180, -180 < \min_Y, \max_Y < 180, -180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 < 180 <$ 417  $190, 105 < \min_{Z}, \max_{Z} < 1275 \text{ cm}$ 

#### Single particle requirement 419

To selection events with a single particle we require that the fraction of energy contained in the 420 most energetic shower is > 0.8, that the summed energy of all cells (above threshold and within  $\pm 8$ 421 planes from the vertex) outside of the most energetic shower is < 0.02 GeV, and that the distance between the vertex and the start of the primary shower is < 20 cm. 423

#### Shower energy cut 424

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(TO DO: discuss the energy cut, should this be removed? What is the effect on the event count? 425 Why was this included in the first place (the identifiers are not as strong for lowere energies - is 426 this true though? - also there are further unexplored backgrounds that would need to be further studied and explore. Maybe depends on where would we move the cut...)) The calorimetric energy 428 of the primary shower is required to be within  $0.5 < E_{cal} < 5$  GeV. 429

#### **Event classifiers** 430

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We are using two event classifiers based on convolution neural network that were developed specif-431 ically to identify v-on-e interactions. The first one (NuoneID) is trained to select v-on-e events and 432 the second one (Epi0ID) is trained on the events passing the NuoneID to reject the  $\pi^0$  background. 433 Our selection requires that NuoneID> 0.73 and that Epi0ID> 0.92.

(TO DO: reference theory for the kinematics of nuone scattering) We require that the product of reconstructed energy of the primary shower and the square of its angle from the Z axis is  $E_{cal}\theta^2 < 0.005 \text{ GeV} \times \text{rad}^2$ .

(TO DO: Add plots of distributions of the event selection variables with two columns. LHS shows no cuts applied and RHS shows all previous cuts applied)

Using the many plots below that show the effect of each of the cuts on the signal and all background events. (For signal we are showing NuMM=...)

(TO DO: Describe the cutflow tables below) The final event count and efficiency of each of the cuts is shown on the table 7. Table 8 shows the dissemination of background into the individual components.

(TO DO: Add a discussion of possible improvements on the event selection on its limitations - mostly for the analysis review committee) From here we can see that ... Maybe what can be improved is... This can likely be improved upon by specifically selection low energy events and removing the cut on the reconstructed shower energy.

# 4.4 Resolution and binning

(TO DO: *Add the energy resolution and binning plots*) The electron energy and angle distributions and resolutions. Are we going to fit in E, Th, or ETh2? Is there something else?

Show plots of Reco V True for both energy and angle. (Should I show it with or without the energy cut?). Also show the resolution plots.

# 4.5 Systematic uncertainties

(TO DO: Describe the main systematic uncertainties. Add plots showing their effect on the NuMM events. Possibly with different event selection variables as X axes. Also show the final table with the percentages summed) Plots showing combined uncertainties for signal and backgrounds. Maybe also some interpolations. Table of systematic uncertainties on the event count.

#### **Normalization systematics**

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(TO DO: Describe the normalization systematics (or just remove this if not using them in the end)
Should we include normalization systematics? Would that make any difference? There's a POT scaling uncertainty which is very small (find out exactly how small).

Table 7.	Event	selection	cutflow	table
Table 1.	LVCIII	SCICCIOII	CulliOw	taine

Selection	v Mag.	Momen	t signal	v-on-e l		und	Othe	r backg	round
Selection	$N_{sig}$	$oldsymbol{arepsilon}^{N-1}$	$oldsymbol{arepsilon}\left(\% ight)$	$N_{IBkg}$	$oldsymbol{arepsilon}^{N-1}$	$oldsymbol{arepsilon}(\%)$	$N_{Bkg}$	$oldsymbol{arepsilon}^{N-1}$	$oldsymbol{arepsilon}\left(\% ight)$
No Cut	269.77	100	100	$3.43 \times 10^{3}$	100	100	$2.96 \times 10^{8}$	100	100
Vtx Is Valid	180.58	66.94	66.94	$3.33 \times 10^3$	96.94	96.94	$2.34 \times 10^{8}$	79.09	79.09
N Prongs	174.69	96.74	64.76	$3.23 \times 10^3$	96.99	94.02	$8.66 \times 10^7$	37.00	29.27
Png Length	174.67	99.99	64.75	$3.22 \times 10^3$	99.64	93.68	$7.67 \times 10^7$	88.56	25.92
N Planes	174.67	100	64.75	$3.22 \times 10^3$	99.98	93.67	$7.67 \times 10^7$	99.98	25.92
N Cells	174.67	100	64.75	$3.22 \times 10^3$	99.98	93.65	$7.42 \times 10^7$	96.78	25.08
Closest Slc	169.82	97.22	62.95	$3.14 \times 10^3$	97.54	91.35	$6.95 \times 10^7$	93.68	23.49
Fiducial	167.72	98.76	62.17	$3.09 \times 10^3$	98.41	89.89	$3.59 \times 10^7$	51.71	12.15
Cont.	159.37	95.02	59.08	$2.48 \times 10^3$	80.43	72.30	$1.38 \times 10^{7}$	38.35	4.66
ShwE Frac.	150.37	94.35	55.74	$2.42 \times 10^3$	97.59	70.56	$8.82 \times 10^6$	63.97	2.98
Vtx E	142.29	94.63	52.74	$2.18 \times 10^3$	90.16	63.62	$4.15 \times 10^6$	47.07	1.40
Shw Gap	137.96	96.96	51.14	$2.09 \times 10^3$	95.58	60.80	$3.25 \times 10^6$	78.34	1.10
Shw E	37.13	26.92	13.76	$1.36 \times 10^3$	65.10	39.58	$6.25 \times 10^5$	19.21	0.21
Nuoneid	29.48	79.39	10.93	940.21	69.18	27.38	$2.42 \times 10^4$	3.88	$8.19 \times 10^{-3}$
Epi0id	22.51	76.35	8.34	749.93	79.76	21.84	$1.47 \times 10^4$	60.75	$4.97 \times 10^{-3}$
$E\theta^2$	19.74	87.73	7.32	675.02	90.01	19.66	84.15	0.57	$2.84 \times 10^{-5}$
$E\theta^2$ (sb)	2.74	-	1.01	74.30	-	2.16	$1.01 \times 10^3$	-	$3.43 \times 10^{-4}$
No ShwE	37.62	-	13.94	782.67	-	22.79	238.79	-	8.07E-05

In the fitting experiment normalization uncertainties would probably not make any difference whatsoever, but in the counting experiment they might be important?

#### Neutrino flux systematics

(TO DO: Describe the flux uncertainties. Describe the PCA. Describe the difference between what the ND group is doing and what we're doing) Using the PCA vs using the PPFX universes+beam transport separately. Plots of energy showing shifts for signal and backgrounds separately (TO DO: understand differences with ND and 3F methods)

This is mainly a normalization. Discuss how to use the fact that  $\nu$ -on-e events can be used (and are used) to constraint the beam uncertainty. Would the counting experiment still be valid then? Maybe if we made another sideband sample...

#### 473 Detector systematics

(TO DO: *Make plots of energy showing shifts for signal and backgrounds separately*) Reference for the Prod5.1 detector systematics is docdb 53225

#### 476 Cross section systematics

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(TO DO: *Describe the XSec systs*) Only for the non nu-on-e background. Assuming the nu-on-e events (including the signal events) are precisely known.

Plots of energy showing shifts for signal and backgrounds separately

# 4.6 Fitting framework

(TO DO: Describe the fitting framework, ideally with an example plot, maybe with some arrows)
How does the fitting framework work? It's based on the framework developed by Mu Wei for the
Light Dark Matter analysis (ref.) which was developed together (is this fair?). Basic description of
the framework.

Also this framework is used for both LDM and NuMM together. It is trivial to simply switch between including the NuMM or LDM in it. This was done to save space in creating predictions since our backgrounds are exactly the same (or at least they should be...). Theoretically this could be separated into two difference frameworks.

- <NDPredictionSingleElectron> Prediction class which holds the LDM as a special 2-D spectrum (not used for NuMM), and NuMM, v-on-e background, v<sub>e</sub>CC MEC background and other background as simple 1-D spectra. Also scaling each spectra by...
- <NDPredictionSystSingleElectron> class derived from PredictionInterp that takes in the NDPredictionSingleElectron and applies systematic shifts to it. Includes the interpolation/extrapolation between the systematic shifts.
- FitVariables and what do they do

• Fitter which does exactly what... What are the parameters of the fit? What are the results/outputs?

# **5** Results / Fake data studies

- [to do]: talk about the shape-only versus normalisation-only strategies for the differential versus single-bin analyses.
  - I think it might be a good idea to talk about this already in the analysis overview section.
    - I need to also discuss fake data studies. Should I talk about this now? Probably

# 5.1 Counting experiment

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Single bin analysis - total numbers of signal and backgrounds predicted. Possible background corrections and its effect on the background uncertainties.

# 506 5.2 Binned experiment

Plots showing delta chi2 for stats and systs separately. Plot showing the chi2 with limits. Maybe talk about using different binning and variables and the effect.

Also need to somehow quantify the various fitter settings, like different seeding values, different range of profiling. Should I talk about Feldman-Cousins?

#### 511 5.2.1 Sensitivities and limits

# 512 6 Conclusion

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(TO DO: Report the limit with its uncertainty)
(TO DO: Very briefly discuss differences with current world limit and how the techniques
differ)
(TO DO: Very briefly summarise expectations for future measurements.)
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Figure 8: Event selection cutflow table for background components

Colontion	Ve	veCC MEC		_	'eCC Oth	er		$v_{\mu}$ CC			SC			Other	
Selection	N	$\epsilon^{N-1}$	(%) 3	N	$\epsilon^{N-1}$		N	$\epsilon^{N-1}$	$\epsilon$ (%)	N	$\epsilon^{N-1}$	\(\sigma\)(%)	N	$\epsilon^{N-1}$	$\epsilon$ (%)
No Cut	$3.50 \times 10^4$	100	100	$3.23{\times}10^{6}$	100	100	$2.24 \times 10^{8}$	100	100	$3.40 \times 10^{7}$	100.	100	$3.49{\times}10^{7}$	100	100
Vtx Is Valid	$3.27 \times 10^4$	93.58	93.58	$2.62 \times 10^{6}$	81.14	81.14	$1.99 \times 10^{8}$	89.02	89.02	$2.57 \times 10^{7}$	75.55	75.55	$6.53 \times 10^{6}$	18.70	18.70
N Prongs	$2.74 \times 10^4$	83.76	78.39	$1.39 \times 10^{6}$	53.05	43.05	$6.75 \times 10^{7}$	33.89	30.17	$1.51 \times 10^{7}$	58.57	44.25	$2.65 \times 10^{6}$	40.51	7.58
Png Length	$2.73 \times 10^4$	62.66	78.22	$1.37 \times 10^{6}$	98.53	42.42	$5.77 \times 10^{7}$	85.56	25.81	$1.49 \times 10^{7}$	20.66	43.84	$2.64 \times 10^{6}$	78.66	7.57
N Planes	$2.73 \times 10^4$	66.66	78.22	$1.37 \times 10^{6}$	66.66	42.41	$5.77 \times 10^{7}$	86.66	25.81	$1.49 \times 10^{7}$	100	43.84	$2.64 \times 10^{6}$	100	7.57
N Cells	$2.73 \times 10^4$	66.66	78.21	$1.28 \times 10^{6}$	93.49	39.65	$5.59 \times 10^{7}$	96.82	24.98	$1.44 \times 10^{7}$	96.34	42.24	$2.64 \times 10^{6}$	100	7.57
Closest Slc	$2.73 \times 10^4$	62.66	78.05	$1.21 \times 10^{6}$	94.25	37.37	$5.33 \times 10^{7}$	95.40	23.84	$1.35 \times 10^{7}$	94.17	39.77	$1.43 \times 10^{6}$	54.22	4.10
Fiducial	$1.39 \times 10^4$	51.12	39.90	$6.30 \times 10^{5}$	52.10	19.47	$2.60 \times 10^{7}$	48.77	11.62	$8.25 \times 10^{6}$	60.09	24.26	$1.05 \times 10^{6}$	73.53	3.02
Cont.	$9.32 \times 10^{3}$	66.82	26.66	$2.63 \times 10^{5}$	41.72	8.12	$7.64{\times}10^{6}$	29.38	3.42	$4.96 \times 10^{6}$	60.15	14.59	$9.12 \times 10^{5}$	86.62	2.61
ShwE Frac.	$9.20 \times 10^{3}$	98.70	26.32	$1.95 \times 10^{5}$	74.39	6.04	$4.82{\times}10^{6}$	63.10	2.15	$2.97 \times 10^{6}$	59.78	8.72	$8.28 \times 10^{5}$	90.81	2.37
Vtx E	$5.92 \times 10^{3}$	64.33	16.93	$6.05 \times 10^4$	30.96	1.87	$1.97{\times}10^{6}$	40.79	0.88	$1.36 \times 10^{6}$	45.75	3.99	$7.62 \times 10^{5}$	92.03	2.18
Shw Gap	$5.50 \times 10^{3}$	92.91	15.73	$4.62 \times 10^4$	76.40	1.43	$1.58 \times 10^{6}$	80.18	0.70	$1.06 \times 10^{6}$	77.78	3.10	$5.69 \times 10^{5}$	74.61	1.63
Shw E	$3.62 \times 10^{3}$	65.81	10.35	$1.12 \times 10^4$	24.15	0.35	$4.38 \times 10^{5}$	27.80	0.20	$1.71 \times 10^{5}$	16.15	0.50	$1.28 \times 10^{3}$	0.23	$3.68 \times 10^{-3}$
Nuoneid	$1.40 \times 10^{3}$	38.63	4.00	$2.11 \times 10^{3}$	18.89	0.065	$1.17 \times 10^4$	5.66	$5.21 \times 10^{-3}$	$8.99 \times 10^{3}$	5.27	0.026	66.43	5.17	$1.90 \times 10^{-4}$
Epi0id	$1.14 \times 10^{3}$	81.78	3.27	$1.61 \times 10^{3}$	76.40	0.050	$7.17{\times}10^{3}$	61.52	$3.20{ imes}10^{-3}$	$4.76 \times 10^{3}$	52.94	0.014	29.47	44.36	$8.44 \times 10^{-5}$
$E\theta^2$	15.13	1.32	0.043	39.00	2.42	$1.21 \times 10^{-3}$	8.62	0.12	$3.85 \times 10^{-6}$	20.91	0.44	$6.15 \times 10^{-5}$	0.50	1.69	$1.43 \times 10^{-6}$
$E\theta^2$ (sb)	386.16		1.10	306.55		$9.48 \times 10^{-3}$	165.59	1	$7.40 \times 10^{-5}$	149.93	ı	$4.41 \times 10^{-4}$	6.24	ı	$1.79 \times 10^{-5}$
No ShwE	15.54		0.044	69.61		$2.15 \times 10^{-3}$	68.48		$3.06 \times 10^{-5}$	75.67		$2.22 \times 10^{-4}$	9.49		2.72E-05