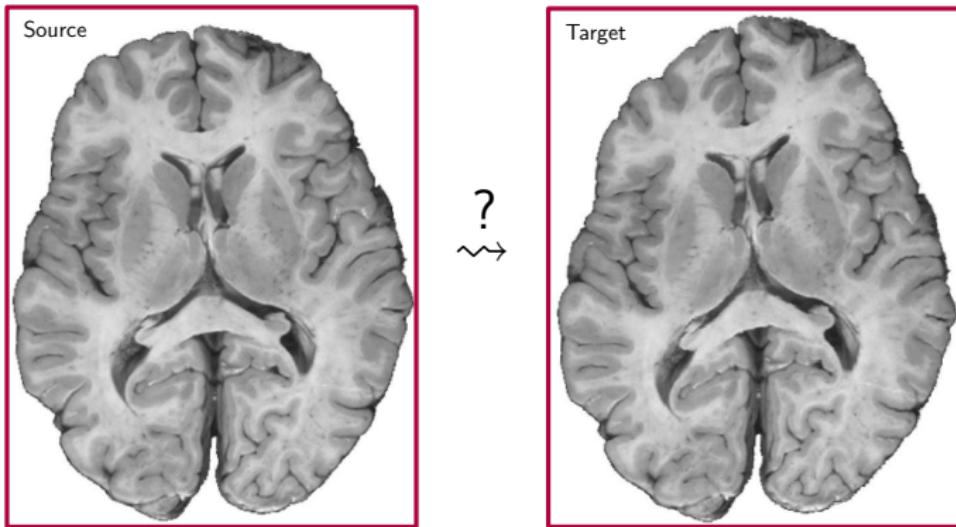


# Image Registration



*Image courtesy of Marc Niethammer*

# Image Registration

## Purpose

### Purpose of registration

Establishing a geometric transformation  $h(\mathbf{x})$  of coordinates  $\mathbf{x}$

$$\mathbf{x}' = h(\mathbf{x}) = \mathbf{x} + \Delta\mathbf{x}$$

relating points in one image to points in another.

# Image Registration

## Review – Transformations (Rigid)

A **rigid transform** is a geometrical transformation that preserves distances (i.e., an isometry)

$$x' = Rx + t$$

where

- $R$  is a rotation matrix
- $x$  is a point in  $\mathbb{R}^2$  or  $\mathbb{R}^3$
- $t$  is a translation vector

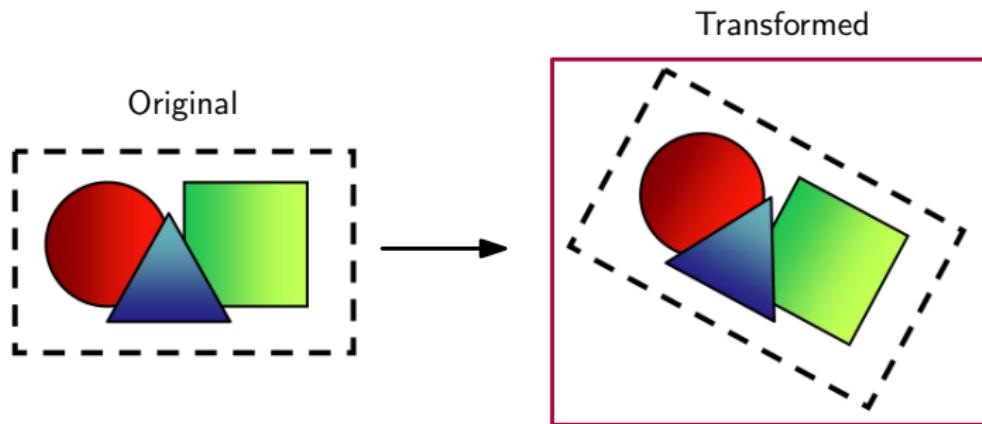
In 3D,  $R$  is a  $3 \times 3$  **orthogonal matrix**, i.e.,

$$R^\top R = RR^\top = I.$$

# Image Registration

## Review – Transformations (Rigid)

This class of matrices includes proper (i.e., determinant +1) and improper rotations. Improper rotations both *reflect* and *rotate*.



# Image Registration

## Review – Transformations (Rigid)

Group structure (of the “special orthogonal group”  $\mathcal{SO}(3)$ ):

$$\mathcal{SO}(3) = \{\mathbf{R} \in \mathbb{R}^{3 \times 3} : \mathbf{R}^\top \mathbf{R} = \mathbf{I}, \det(\mathbf{R}) = +1\}$$

Proper rotations can be parameterized as 3 rotations. Here is one possible way:

$$\mathbf{R} = \begin{pmatrix} \cos \alpha & -\sin \alpha & 0 \\ \sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos \beta & 0 & \sin \beta \\ 0 & 1 & 0 \\ -\sin \beta & 0 & \cos \beta \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \gamma & -\sin \gamma \\ 0 & \sin \gamma & \cos \gamma \end{pmatrix}$$

We have 3 degrees of freedom ( $\alpha, \beta, \gamma$ ) and thus a rigid transform in 3D has **6 free parameters** (i.e., including 3 for translation).

# Image Registration

## Review – Transformations (Rigid)

### Remark

Rigid transformations which *include translation* are *not* linear transforms!

In homogeneous coordinates, though, we can write a rigid transformation as one matrix multiplication

$$\mathbf{T} = \begin{pmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} c_\alpha & -s_\alpha & 0 & 0 \\ s_\alpha & c_\alpha & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_\beta & 0 & s_\beta & 0 \\ 0 & 1 & 0 & 0 \\ -s_\beta & 0 & c_\beta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & c_\gamma & -s_\gamma & 0 \\ 0 & s_\gamma & c_\gamma & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

with  $c_\alpha := \cos \alpha$ ,  $c_\beta := \cos \beta$ ,  $c_\gamma := \cos \gamma$  and  $s_\alpha, s_\beta, s_\gamma$  defined appropriately (compare to previous slide).

# Image Registration

## Review – Transformations (Rigid + Scaling)

The simplest non-rigid transformation is rigid + scaling, i.e.,

$$\mathbf{x}' = \mathbf{RSx} + \mathbf{t}$$

with

$$\mathbf{S} = \begin{pmatrix} r_x & 0 & 0 \\ 0 & r_y & 0 \\ 0 & 0 & r_z \end{pmatrix}$$

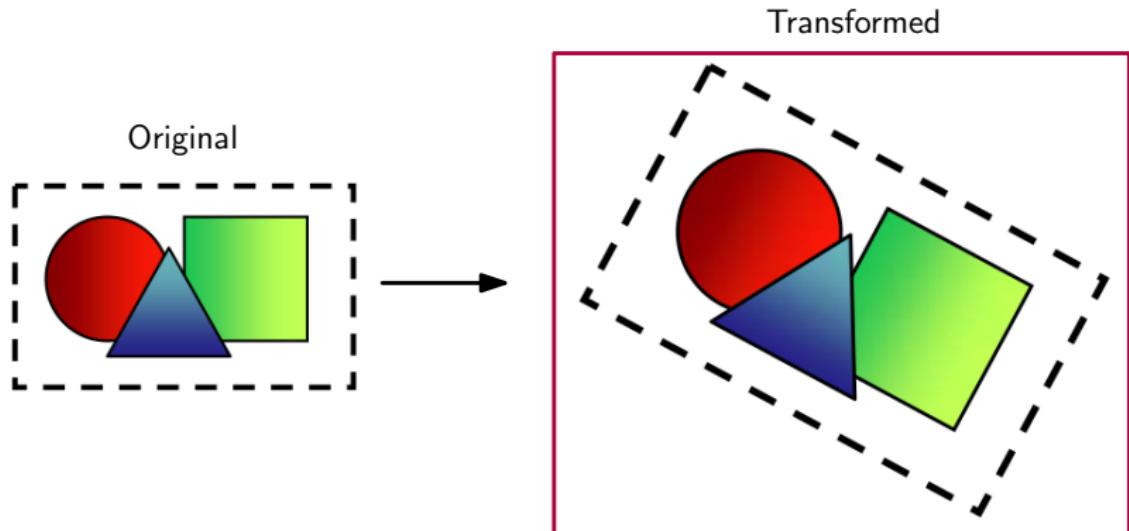
Note that  $\mathbf{x}' = \mathbf{SRx} + \mathbf{t}$  produces a different result. Obviously, distances are no longer preserved!

In case scaling is isotropic (i.e., equal in each dimension), we speak of a **similarity transform**, i.e.,  $\mathbf{x}' = s\mathbf{Rx} + \mathbf{t}$ .

# Image Registration

Review – Transformations (Rigid + Scaling)

Illustration of a **similarity transform**:



# Image Registration

## Review – Transformations (Affine)

Scaling transforms are special cases of the more general class of affine transforms

$$\mathbf{x}' = \mathbf{A}\mathbf{x} + \mathbf{t}$$

where there is no restriction on the elements of  $\mathbf{A}$ . Affine transforms preserve

- straight lines (and hence, the planarity of surfaces)
- parallelism

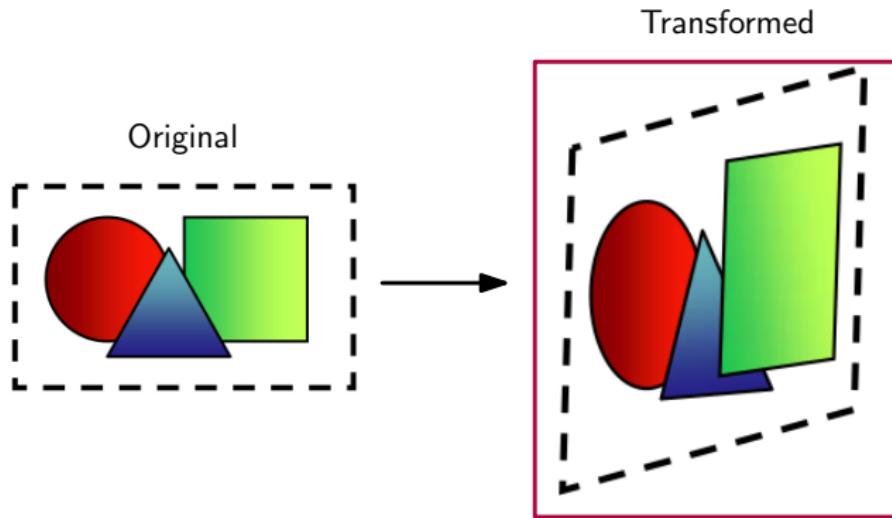
In homogeneous coordinates, we have

$$\mathbf{T} = \begin{pmatrix} a_{11} & a_{12} & a_{13} & t_x \\ a_{21} & a_{22} & a_{23} & t_y \\ a_{31} & a_{32} & a_{33} & t_z \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

# Image Registration

Review – Transformations (Affine)

Illustration of an **affine transform**:



# Image Registration

## Review – Transformations (Projective)

A projective transform can be written as

$$\mathbf{x}' = \frac{\mathbf{Ax} + \mathbf{t}}{\langle \mathbf{p}, \mathbf{x} \rangle + \alpha}$$

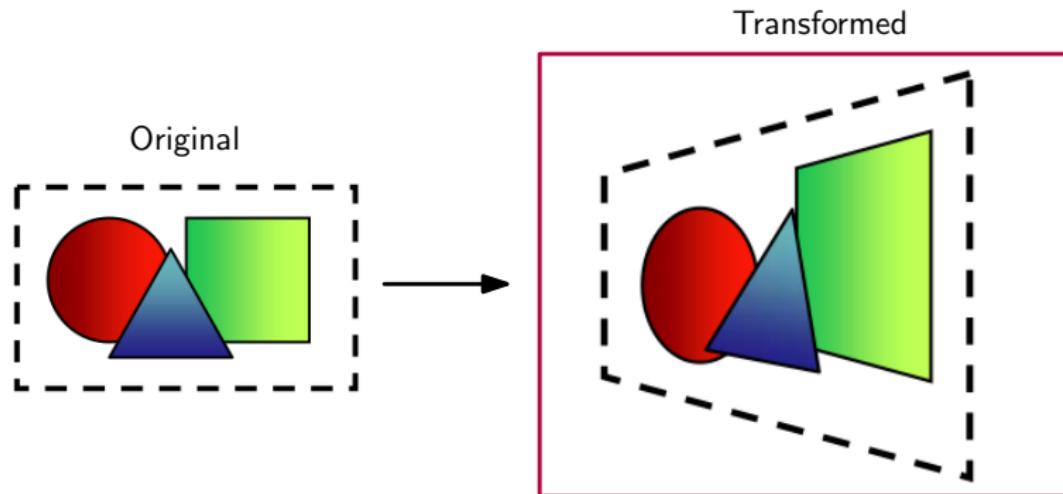
or, in homogeneous coordinates, as

$$\mathbf{T} = \begin{pmatrix} a_{11} & a_{12} & a_{13} & t_x \\ a_{21} & a_{22} & a_{23} & t_y \\ a_{31} & a_{32} & a_{33} & t_z \\ p_1 & p_2 & p_3 & \alpha \end{pmatrix}$$

# Image Registration

Review – Transformations (Projective)

Illustration of a **projective transform**:



Only straight lines (and planarity of surfaces) are preserved!

# Image Registration

## Review – Transformations (Perspective)

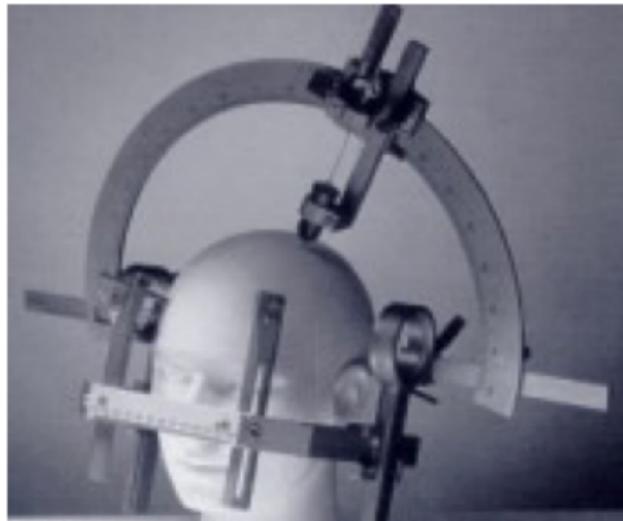
We *do not* cover perspective transforms here (special case of projective transforms of the previous slide).

However, images obtained from X-Ray projection, or microscopy are all two-dimensional views of three-dimensional objects.

Each of these modalities produces a perspective transformation.

# Image Registration

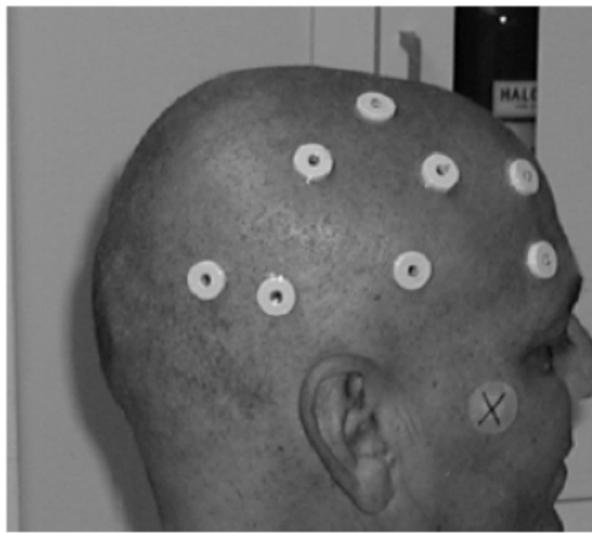
## Basics – Extrinsic registration



Stereotactic frame (e.g., used in neurosurgery)

# Image Registration

## Basics – Extrinsic registration



Markers (e.g., invasive bone fiducials, or non-invasive skin fiducials)

# Image Registration

## Basics – Extrinsic registration

In either case, we obtain **3D point sets** for registration!

### Fiducials

Points that can be reliably identified (i.e., clearly discernible features) a-priori are called *fiducial markers*, or simply *fiducials*.

Our focus is on 3D-3D intensity-based registration!

# Image Registration

Intensity-based 3D-3D image registration

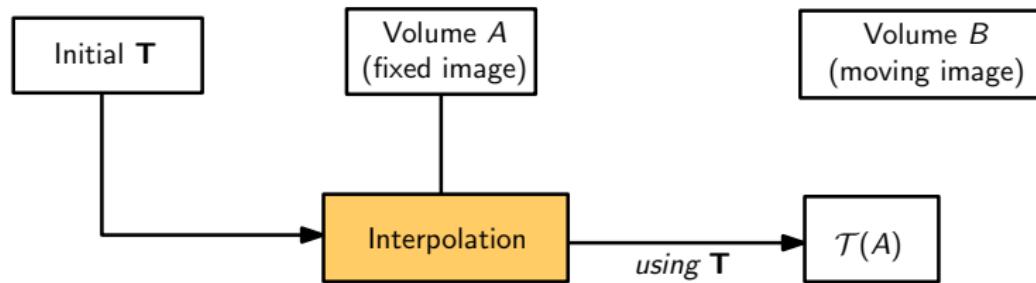
Initial  $T$

Volume A  
(fixed image)

Volume B  
(moving image)

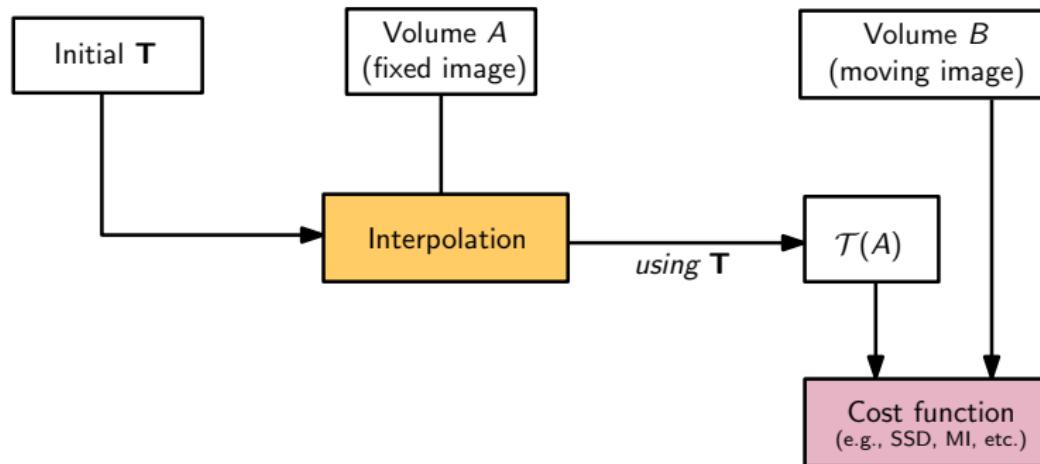
# Image Registration

Intensity-based 3D-3D image registration



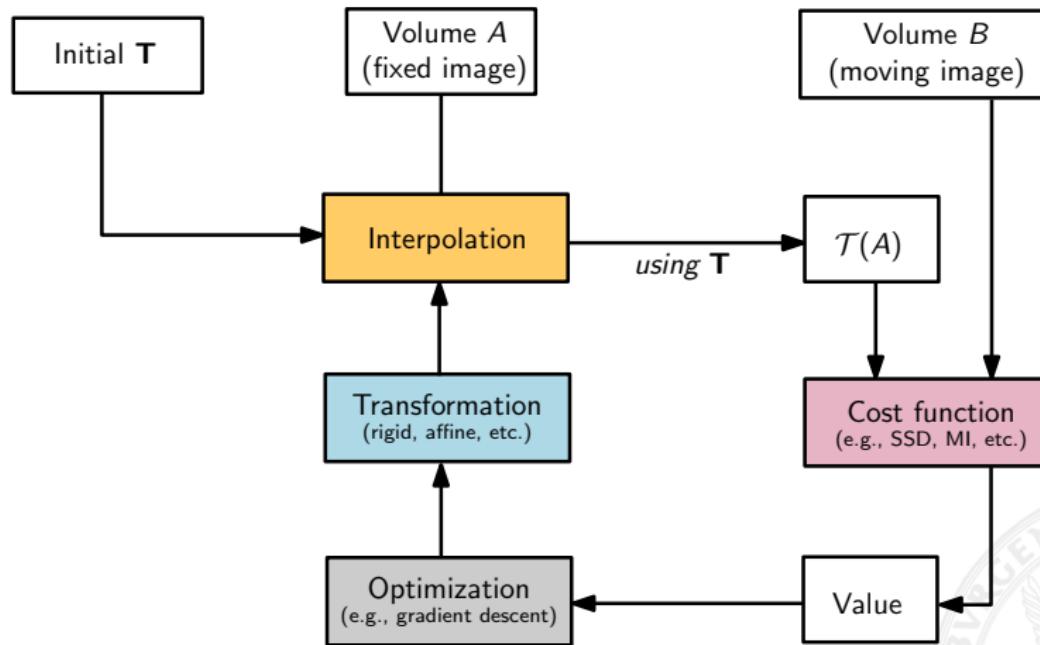
# Image Registration

Intensity-based 3D-3D image registration



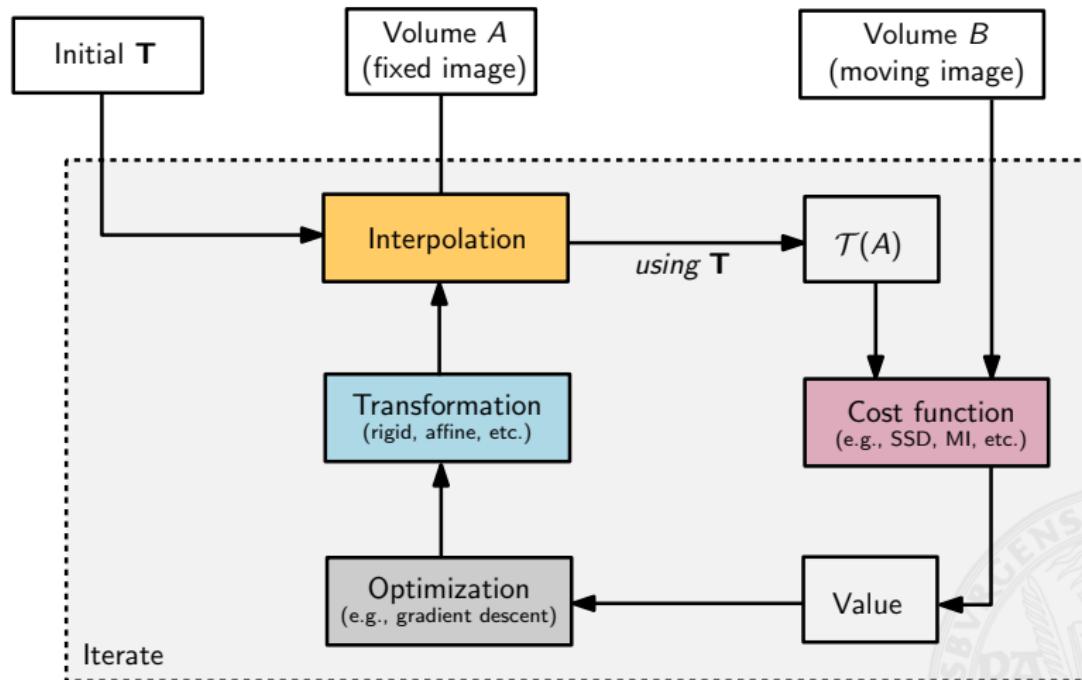
# Image Registration

Intensity-based 3D-3D image registration



# Image Registration

Intensity-based 3D-3D image registration



# Image Registration

Intensity-based 3D-3D image registration

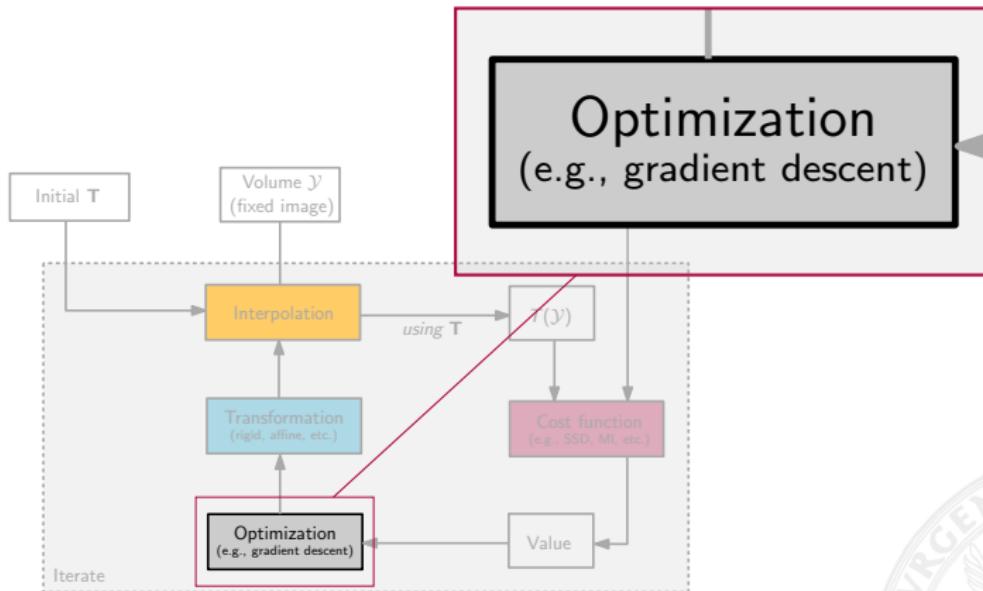
## Intensity-based registration

Intensity-based registration involves calculating a transformation between two images using the pixel or voxel values alone.

The transform is computed by iteratively optimizing a cost function between two images, computed from all voxels.

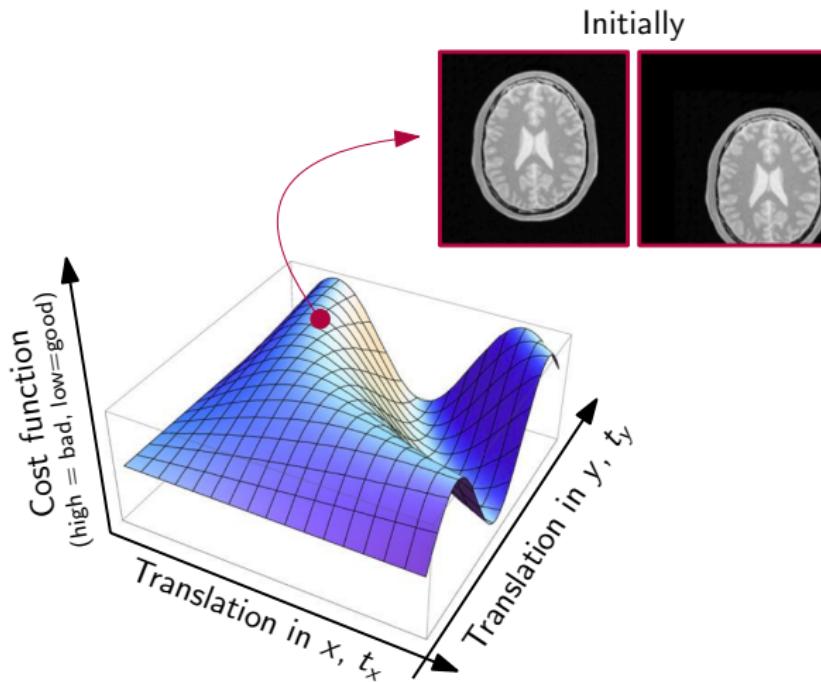
# Image Registration

## Overview – Components (Optimization)



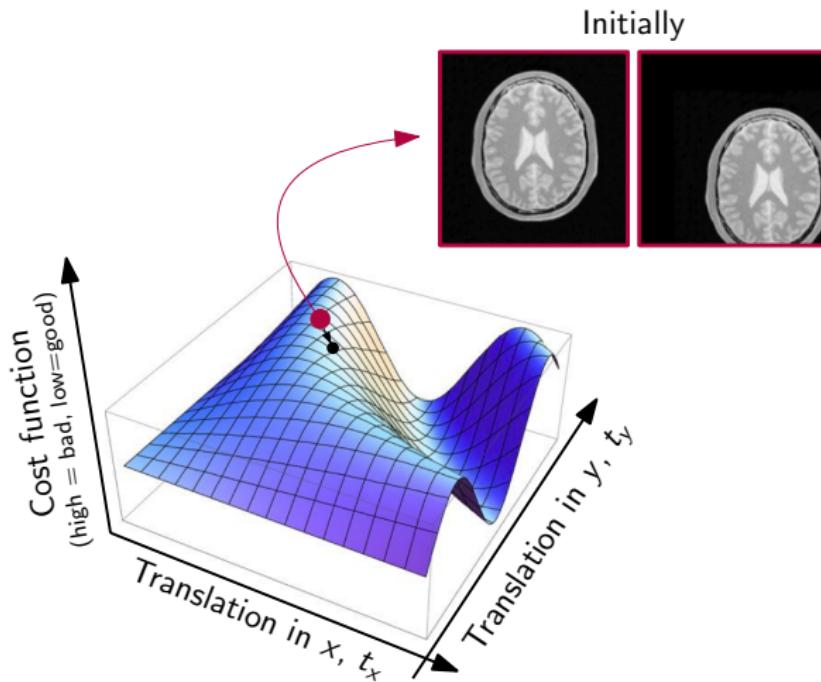
# Image Registration

Optimization – Illustration of the principle



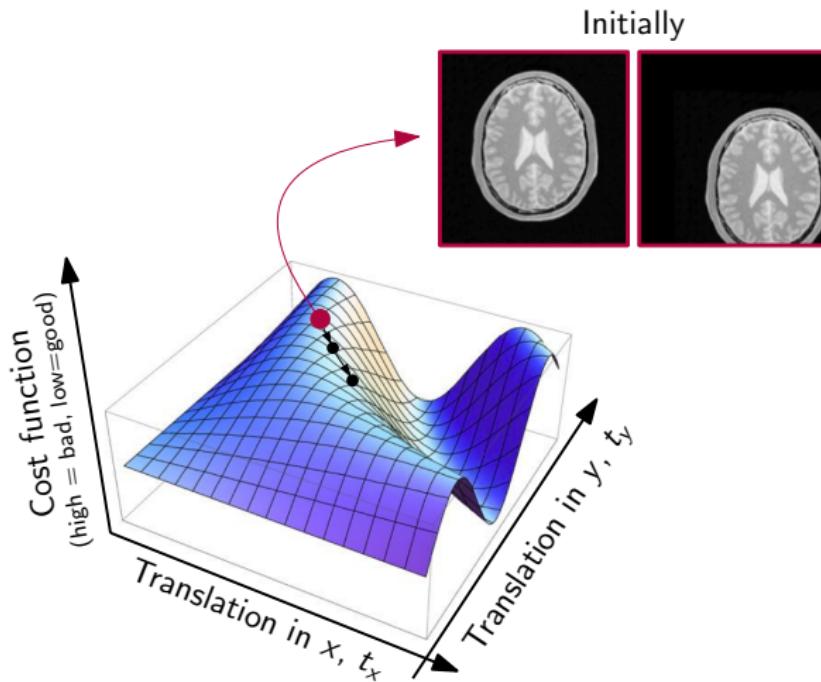
# Image Registration

Optimization – Illustration of the principle



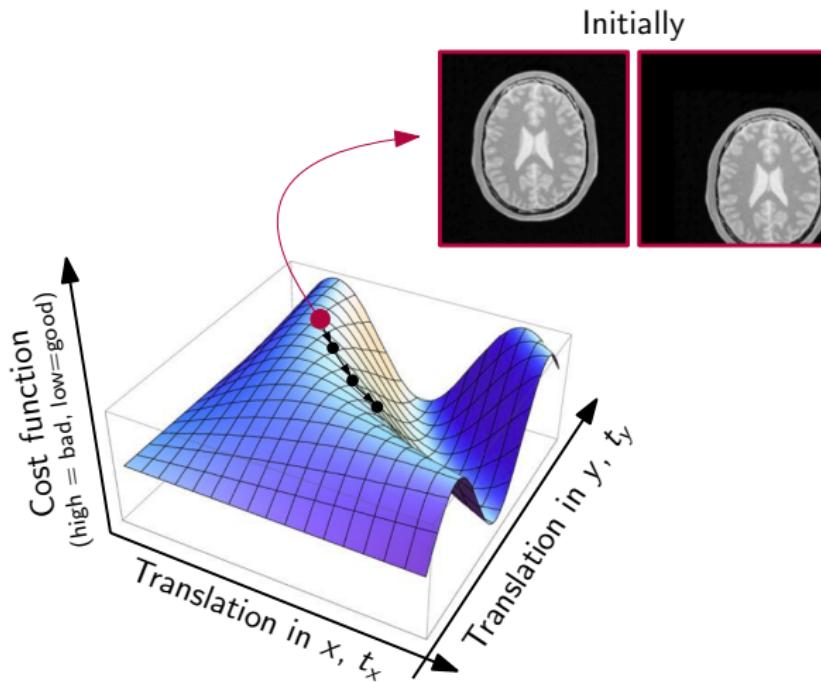
# Image Registration

Optimization – Illustration of the principle



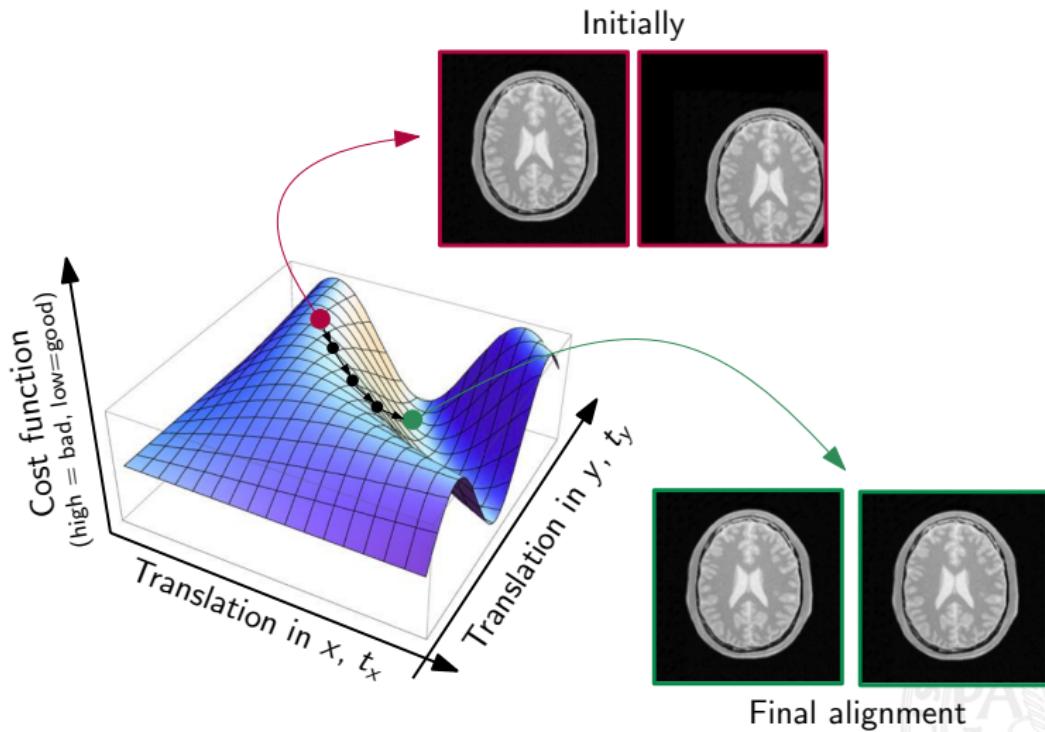
# Image Registration

Optimization – Illustration of the principle



# Image Registration

Optimization – Illustration of the principle



# Image Registration

## Notation

$x$	Voxel coordinate
$F(x)$	Fixed image (voxel value at $x$ )
$M(x)$	Moving image (voxel value at $x$ )
$\mathcal{T}(x, p)$	Transformation function (with parameter $p$ )
$\mathcal{C}(p)$	Cost function between $F(x)$ and $M(\mathcal{T}(x, p))$

Caution: Many different types of notation in the literature!

## Our objective

Find  $p$  that minimizes  $\mathcal{C}(p)$ , i.e.,

$$\hat{p} = \arg \min_p \mathcal{C}(p)$$

By minimizing cost, we *maximize similarity*.

# Image Registration

A more general view

We aim for an iterative parameter update of the form

$$\mathbf{p}^{(n+1)} = \mathbf{p}^{(n)} + a_n \mathbf{d}^{(n)}$$

where  $a_n$  is the **step size** and  $\mathbf{d}^{(n)}$  is the **search direction**. In our previous example,  $\mathbf{p} = [p_1, p_2] = [t_x, t_y]$ .

When using **gradient descent**, the search direction is

$$\mathbf{d}^{(n)} = \frac{\partial \mathcal{C}}{\partial \mathbf{p}}(\mathbf{p}^{(n)}) = \mathbf{g}^{(n)} \Rightarrow \mathbf{p}^{(n+1)} = \underbrace{\mathbf{p}^{(n)} - a_n \mathbf{g}^{(n)}}_{\text{in the direction of the negative gradient}}$$

This will obviously depend on the choice of cost function, but illustrates the principle (here,  $\mathbf{g}$  denotes the gradient).

# Image Registration

Other choices for the search direction

Method	Search direction	Type
Gradient descent	$\mathbf{d}^{(n)} = -\mathbf{g}^{(n)}$	—
Newton	$\mathbf{d}^{(n)} = -[\mathbf{H}^{(n)}]^{-1}\mathbf{g}^{(n)}$	Smart
“quasi” Newton	$\mathbf{d}^{(n)} = -\mathbf{B}^{(n)}\mathbf{g}^{(n)}$	Smart
Stochastic gradient descent	$\mathbf{d}^{(n)} \approx -\mathbf{g}^{(n)}$	Cheap

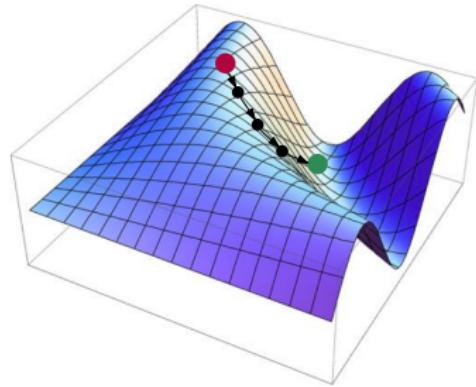
$\mathbf{H}^{(n)}$  ... Hessian matrix, evaluated at  $\mathbf{p}^{(n)}$

$\mathbf{B}^{(n)}$  ... Approximation to the Hessian

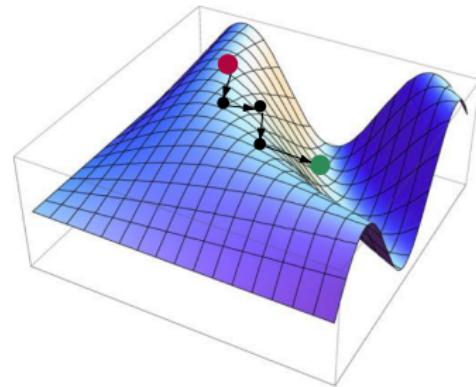
# Image Registration

Smart vs. cheap steps

Smart steps



Cheap steps



# Image Registration

Rigid body registration – Example using Gauss-Newton

Let  $b_j(\mathbf{p})$  be the **sum-of-squared-difference (SSD)**, capturing the difference between the moving and fixed image at the  $j$ -th voxel.

Our objective is

$$\min_{\mathbf{p}} \mathcal{C}(\mathbf{p}) = \min_{\mathbf{p}} \sum_j b_j(\mathbf{p})^2$$

This sum-of-squared residuals can be iteratively minimized using the **Gauss-Newton** algorithm as

$$\mathbf{p}^{(n+1)} = \mathbf{p}^{(n)} - \underbrace{(\mathbf{A}^\top \mathbf{A})^{-1} \mathbf{A}^\top \mathbf{b}}_t$$

where (for the update at iteration  $n + 1$ ), we have

$$[\mathbf{A}]_{ij} = \frac{\partial b_i}{\partial p_j}(\mathbf{p}^{(n)})$$

# Image Registration

A more general view

The iterative Gauss-Newton algorithm, with initial estimate  $\mathbf{p}^{(0)}$  (close to optimum), stops when the SSD is no longer decreased.

Since,  $N$  (number of voxel) is typically  $\gg P$  (number of parameters),  $(\mathbf{A}^\top \mathbf{A})^{-1}$  is uniquely invertible.

It is important to note that there is *no guarantee for convergence* (not even locally) and we might get stuck in *local minima*.

# Image Registration

## Step size

Remember:

$$\mathbf{p}^{(n+1)} = \mathbf{p}^{(n)} + a_n \mathbf{d}^{(n)}$$

Some choices of how to select the step size  $a_n$ :

1. Constant:

$$a_n = a$$

2. Slowly decaying:

$$a_n = f(n) = \frac{a}{(A + n)^a}$$

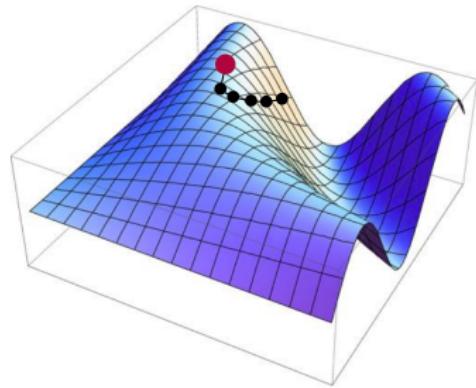
3. Exact “line search”:

$$a_n = \arg \min_a \mathcal{C}(\mathbf{p}^{(n)} + a \mathbf{d}^{(n)})$$

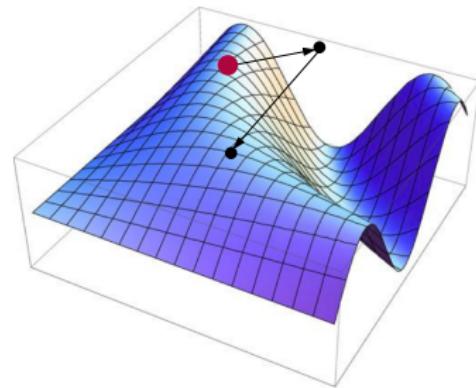
# Image Registration

## Impact of the step size

Too small

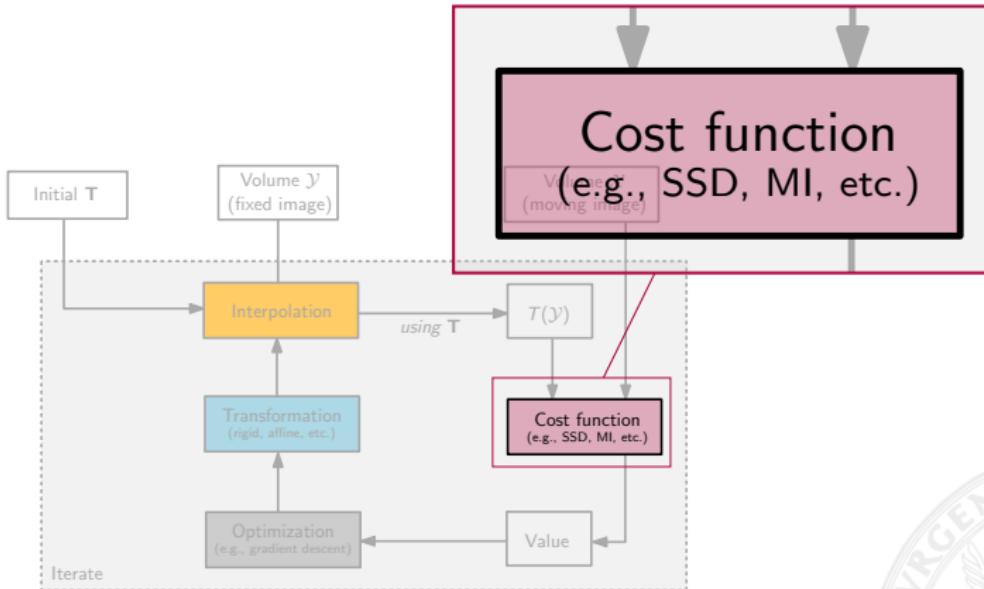


Too large



# Image Registration

## Overview – Cost functions



# Image Registration

## Cost functions

Let  $A(i)$  and  $B(i)$  be the  $i$ -th voxel in images  $A$  and  $B$  and  $B'(i)$  be the same voxel in the transformed image  $B'$ .

### Sum-of-Squared/Absolute-Differences (SSD/SAD)

$$\text{SSD}(A, B') = \frac{1}{N} \sum_i (A(i) - B'(i))^2, \quad \forall i \in A \cap B'$$

$$\text{SAD}(A, B') = \frac{1}{N} \sum_i |A(i) - B'(i)|, \quad \forall i \in A \cap B'$$

SSD and SAD are two standard choices in image registration and perform well when both images are from the *same modality*.

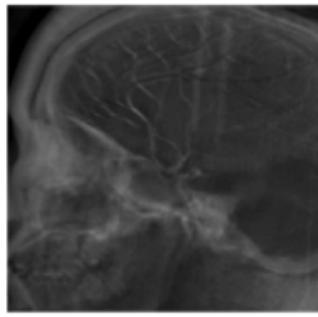
# Image Registration

Cost functions – SSD/SAD

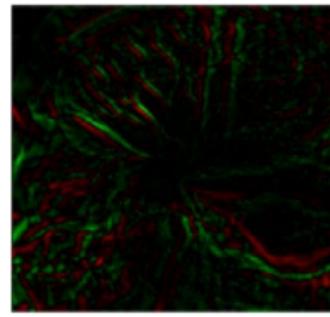
Good example for using SSD: same image, only misalignment



–



=



# Image Registration

Cost functions – SSD/SAD

Bad example for using SSD: same image, but contrast change!



We get high values in the difference image which can cause problems during optimization and lead to bad registration results.

# Image Registration

Cost functions – Correlation coefficient (CC)

## Correlation coefficient (CC)

$$CC(A, B') = \frac{\sum_i [A(i) - \bar{A}][B'(i) - \bar{B}']}{\sum_i [A(i) - \bar{A}]^2 \sum_i [B'(i) - \bar{B}']^2}, \quad \forall i \in A \cap B'$$

The CC expresses the linear relationship between voxel values in each image volume.

# Image Registration

Cost functions – Information-theoretic approaches (JE, MI)

Given two images  $A$  and  $B$  (as before), with intensity ranges normalized to  $[0, 1]$ , the **joint histogram**  $J$  is computed as

$$\underbrace{J(A, B)[i, j]}_{\text{between } A, B \text{ at } (i, j)} = \sum_{x \in A} \delta(x)$$

with

$$\delta(x) = \begin{cases} 1, & \text{if } A(x) \in \left[ \frac{i}{B_A}, \frac{i+1}{B_A} \right] \text{ and } B(x) \in \left[ \frac{j}{B_B}, \frac{j+1}{B_B} \right] \\ 0, & \text{otherwise} \end{cases}$$

where  $B_A$  and  $B_B$  are the bins of the (marginal) histograms of  $A$  and  $B$  and  $A(x)$  denotes the intensity value of  $A$  at  $x$ .

# Image Registration

## Cost functions – Information-theoretic approaches

A lot of research has been devoted to devise similarity measures based on the joint histogram of two images.

**Why?** The observed change in the histogram (with respect to the transform) is qualitatively similar for many modalities.

### Motivating observation

The estimated probability density is “clustered” in case of good alignment, but diffuses in case of misregistration.

# Image Registration

## Cost functions – Information-theoretic approaches

We can use  $J(A, B')$  as an estimator for the joint probability density (PDF) of  $A$  and  $B'$  and estimate the *joint entropy* from it.

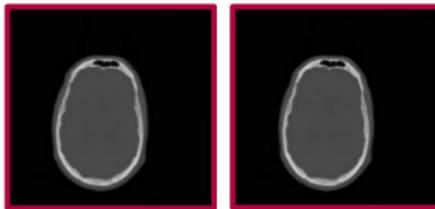
The **joint entropy (JE)**, given our joint histogram, is defined as

$$JE(A, B') = - \sum_{i,j} J(A, B')[i, j] \log J(A, B')[i, j]$$

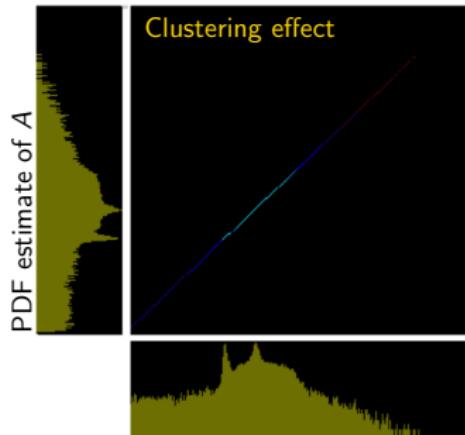
# Image Registration

Cost functions – Information-theoretic approaches

Identical images



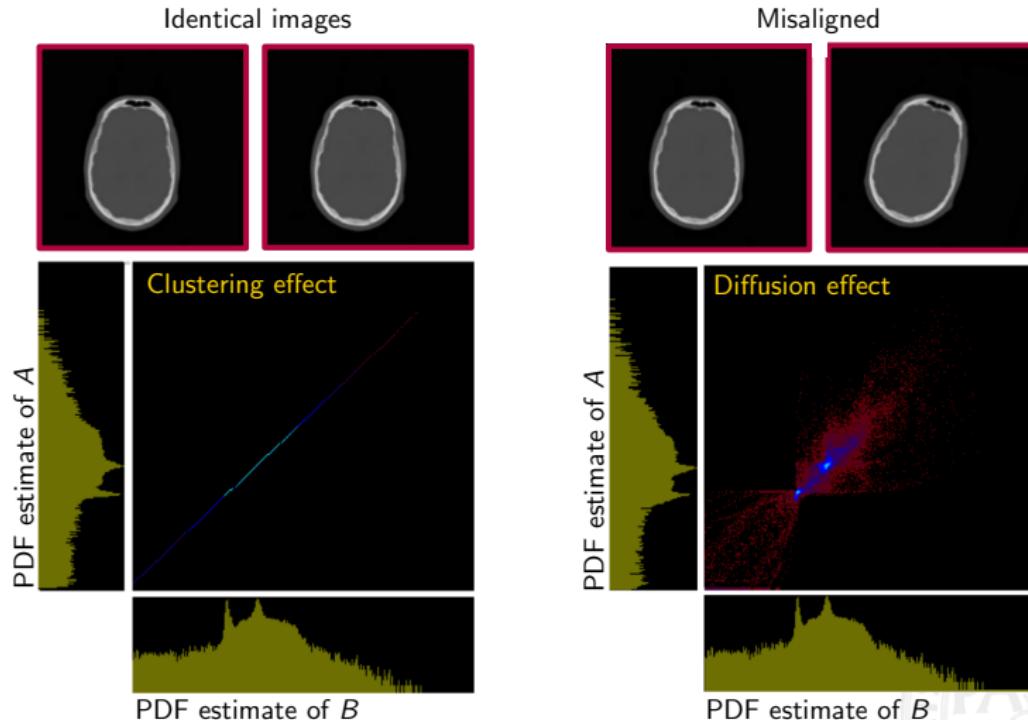
Clustering effect



PDF estimate of  $B$

# Image Registration

Cost functions – Information-theoretic approaches



# Image Registration

## Cost functions – Information-theoretic approaches

We could try to minimize the joint entropy, BUT the PDF is only defined on the *region of overlap* between both images.

The change in overlap, as  $\mathcal{T}$  changes, can “mask” the clustering effect and cause problems.

# Image Registration

Cost functions – Information-theoretic approaches

Better strategy: Maximization of mutual information (MI)

$$\text{MI}(A, B') = H(A) + H(B') - JE(A, B')$$

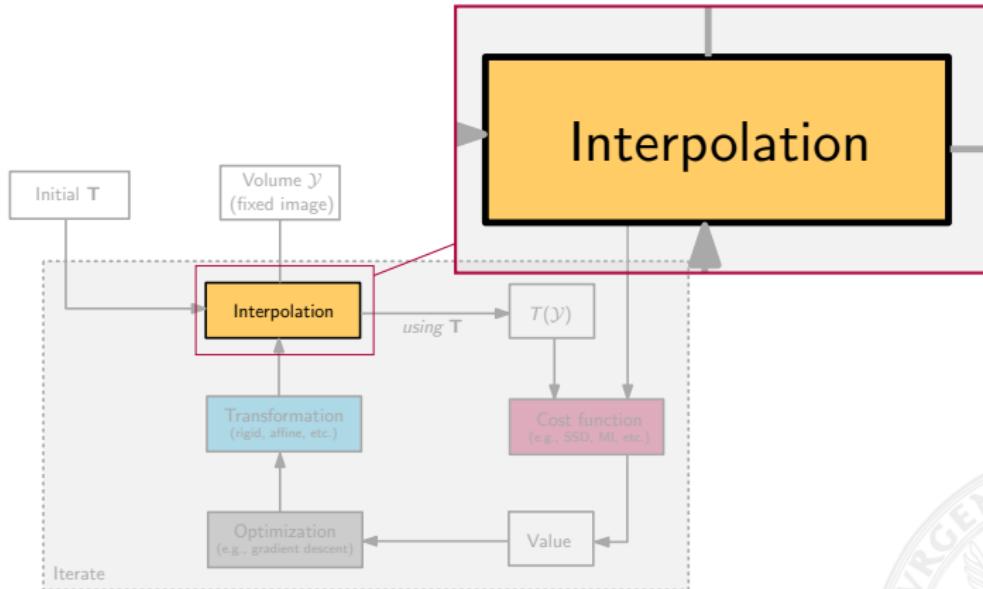
where  $H(A)$ ,  $H(B')$  are the marginal histograms of  $A$  and  $B'$ .

Any permutation on  $A$ ,  $B'$  voxel values does *not* affect the measure  
(this is a great property for multimodal registration)!

Maximization of mutual information is the de-facto standard in  
image registration today.

# Image Registration

## Overview – Interpolation



# Image Registration

## Interpolation – Pushing vs. pulling

An image transformation is typically implemented as a *pulling operation* in practice.

### Pulling

Pixel values are pulled from the original image into new location.

vs.

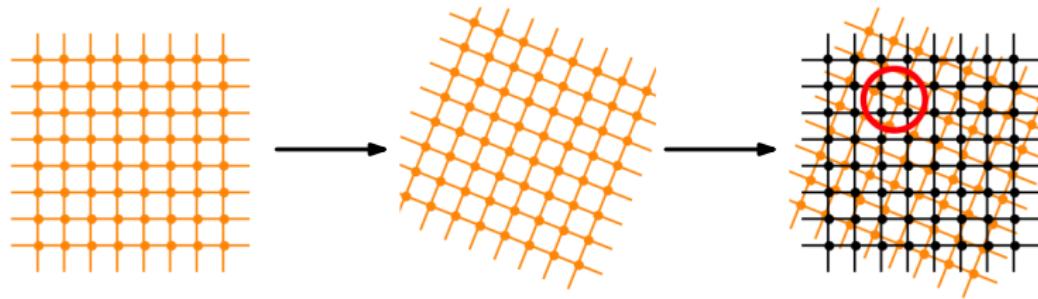
### Pushing

Pixels in the original image are pushed into new location.

# Image Registration

Interpolation – Pushing vs. pulling

For each voxel in the transformed image, get the value in the original image (will most likely not be on grid → interpolate).

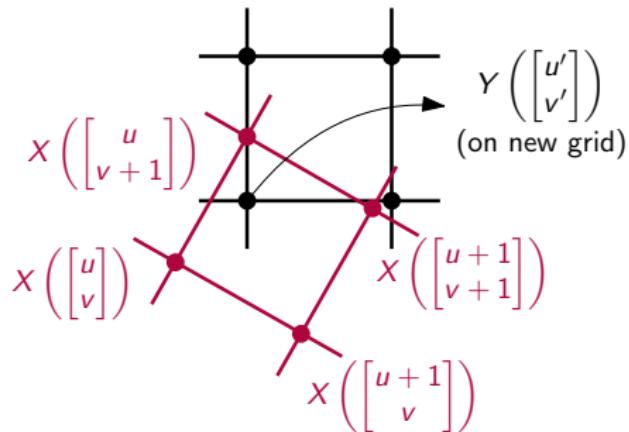


*From left to right: Image  $X$ ,  $\mathcal{T}(X, \mathbf{p})$ ,  $Y = \mathcal{T}(X, \mathbf{p})$*

# Image Registration

Interpolation – Pushing vs. pulling

Detail: Interpolation, in the “backwards” direction, using pulling:



$$\begin{pmatrix} x \\ y \end{pmatrix} = \mathcal{T}^{-1} \left( \begin{bmatrix} u' \\ v' \end{bmatrix}, \mathbf{p} \right)$$

On the new grid, for  $[u', v']^\top$ , we get  $[x, y]^\top$  in the original image and then interpolate.

# Image Registration

Interpolation – Pushing vs. pulling

A simple interpolation strategy is **nearest neighbor** interpolation:

$$Y \left( \begin{bmatrix} u' \\ v' \end{bmatrix} \right) = X \left( \begin{bmatrix} \text{round}(x) \\ \text{round}(y) \end{bmatrix} \right)$$

Other choices are bilinear interpolation, or polynomial interpolation for instance.

# Image Registration

Conventions (in ITK, used in many state-of-the-art approaches)

## Moving vs. fixed image / Physical space

The moving image is expected to be resampled on the grid of the fixed image. Registration is done in *physical space*.

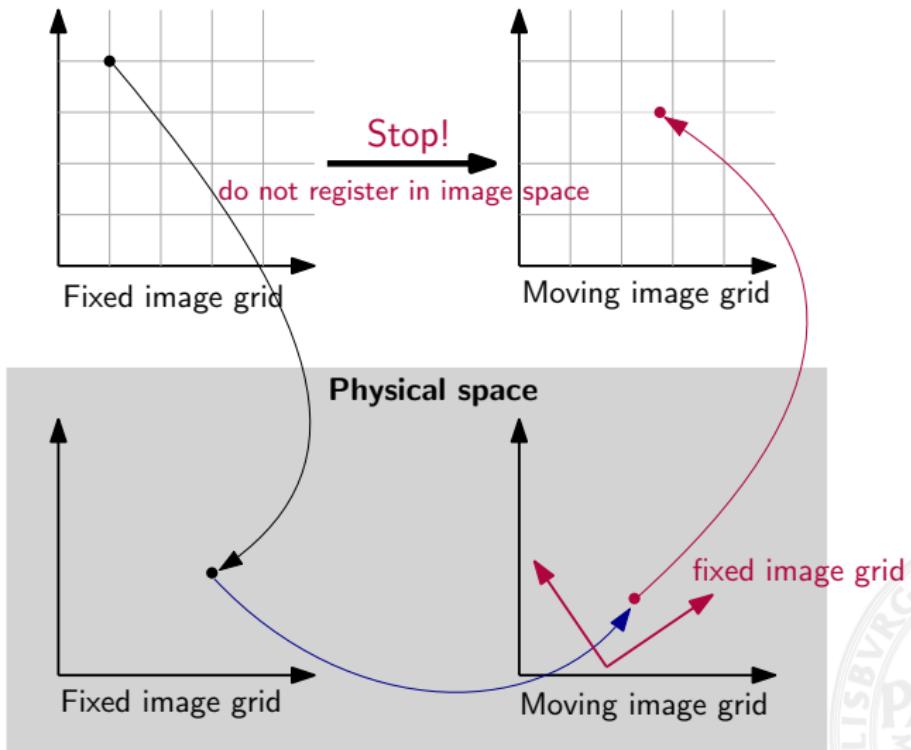
### Strategy:

1. Go through every pixel in fixed image
2. Compute intensity that should be assigned to that pixel from the moving image (via pulling)

The optimized transform maps points in the **physical space** of the fixed image to points in the **physical space** of the moving image.

# Image Registration

Conventions (in ITK, used in many state-of-the-art approaches)



# Image Registration

## Resources

Here are three popular (open-source) registration packages (with good documentation and examples):

NiftyReg

ANTs

3DSlicer

FSL Brain Extraction Tool (BET)

Brain MR data (for fun) can be downloaded from the *OASIS Brain database* [here](#).

One of the standard brain MR atlases (i.e., an average brain with segmented regions), the *ICBM 152 atlas*, can be found online at [here](#).