

人工智能技术与应用

逻辑模型

2019.5.27



Question

If $X_1 + X_2 = 10$ and $X_1 - X_2 = 4$, what is X_1 ?

Some modeling paradigms

State-based models: search problems, MDPs, games

Applications: route finding, game playing, etc.

*Think in terms of **states, actions, and costs***

Variable-based models: CSPs, Bayesian networks

Applications: scheduling, tracking, medical diagnosis, etc.

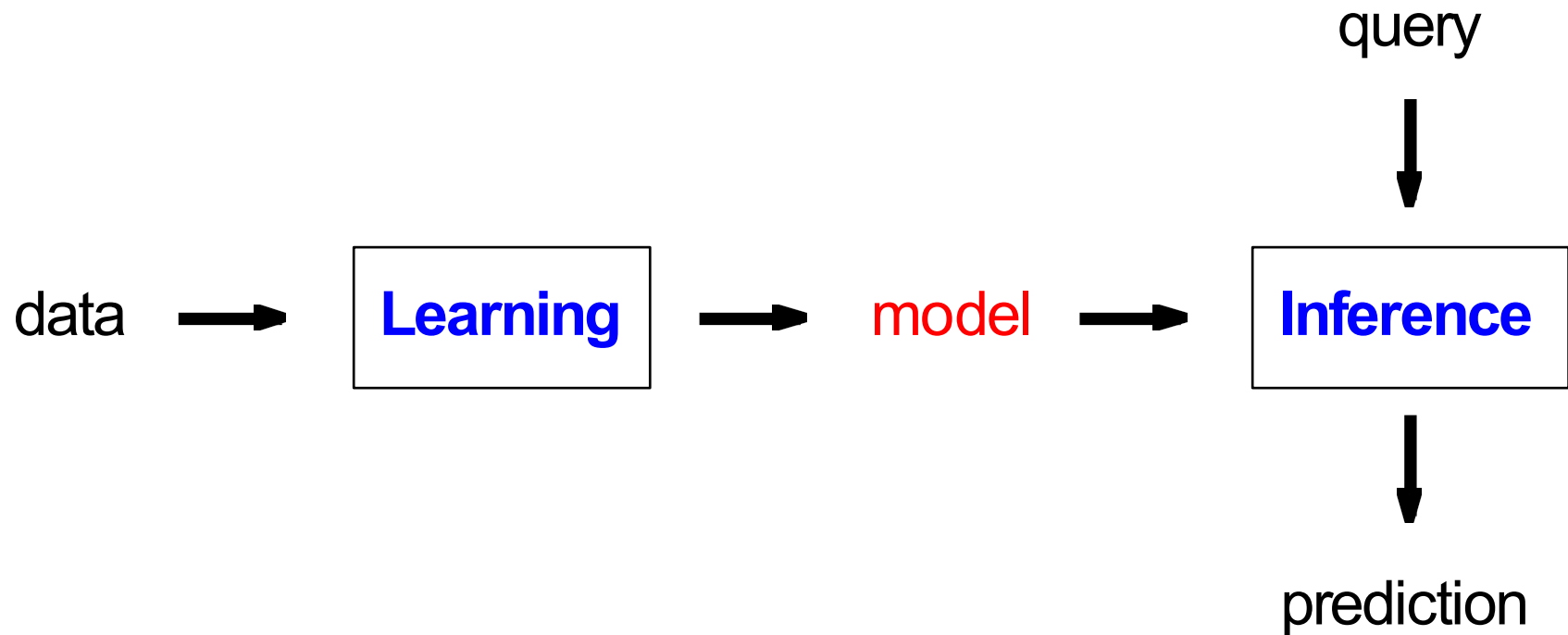
*Think in terms of **variables and factors***

Logic-based models: propositional logic, first-order logic

Applications: theorem proving, verification, reasoning

*Think in terms of **logical formulas and inference rules***

Taking a step back



Examples: search problems, games, neural networks, Bayesian networks

What type of models to use?

A historical note

- Logic was dominant paradigm in AI before 1990s

```

ING/ST
(PUSH WP/2
  (SETN SUBJ *)
  (CJ WP/ST)
  (* IF THE SUBJECT HAS NOT PROPERLY DETERMINED IN A
    PP-ING COMPLEMENT, LOOK FOR IT HERE. *)
)
)))

(SET
  (CAT DET T
    ((GETF POSSPRO
      (* START OF THE WP
        NETWORK.))
      (ADJL ADVS (BUILDO (POSS (WP (PRO *) )))
        (SETNO DET THE
          (* IF THE DETERMINER IS A POSSESSIVE PRONOUN
            (MY, YOUR), CONSTRUCT THE POSSESSIVE MODIFIER AND USE
            'THE' FOR THE DETERMINER)
        )
      )
    )))
  )
  (CJ T2 SP/ART)
  (CAT PP
    (SETN S (BUILDO (PRO *)
      (SETN S (GETF NUMBER)
        (CJ WP/PP)
        (MEM (ANCHOR ST
          (SETN STYPE *)
          (CJ COM/STRUCT
            (* CONSTRUCT THE COMPLEMENT STRUCTURE FOR SENTENCES
              SUCH AS "I DON'T KNOW WHETHER HE LEFT.")
          )
        )
      )
    )
    (* A PRODUCE THAT PICKS UP
      PP MODIFIERS IN SP/HEAD)
  )
)

```

- **Problem 1:** deterministic, didn't handle **uncertainty** (probability addresses this)
- **Problem 2:** rule-based, didn't allow fine tuning from **data** (machine learning addresses this)
- **Strength:** provides **expressiveness** in a compact way

Motivation: smart personal assistant



Motivation: smart personal assistant

Tell information



Ask questions



Use natural language!

Need to:

- Digest **heterogenous** information
- Reason **deeply** with that information



Natural language

Example:

- A **dime** is better than a **nickel**.
- A **nickel** is better than a **penny**.
- Therefore, a **dime** is better than a **penny**.

Example:

- A **penny** is better than **nothing**.
- **Nothing** is better than **world peace**.
- Therefore, a **penny** is better than **world peace**???

Natural language is slippery...

Language

Language *is a mechanism for expression.*

Natural languages (informal):

English: *Two divides even numbers.*

German: *Zwei dividieren geraden zahlen.*

Programming languages (formal):

Python: `def even(x): return x % 2 == 0`

C++: `bool even(int x) {return x % 2 == 0; }`

Logical languages (formal):

First-order-logic: $\forall x. \text{Even}(x) \rightarrow \text{Divides}(x, 2)$

Two goals of a logic language

- **Represent** knowledge about the world



- **Reason** with that knowledge



Ingredients of a logic

Syntax: defines a set of valid **formulas** (Formulas)

Example: $\text{Rain} \wedge \text{Wet}$

Semantics: for each formula, specify a set of **models** (assignments / configurations of the world)

Example:

		Wet	
		0	1
Rain	0		
	1		

Inference rules: given f , what new formulas g can be added that are guaranteed to follow ($\frac{f}{g}$)?

Example: from $\text{Rain} \wedge \text{Wet}$, derive Rain

Syntax versus semantics

Syntax: what are valid expressions in the language?

Semantics: what do these expressions mean?

Different syntax, same semantics(5):

$$2 + 3 \Leftrightarrow 3 + 2$$

Same syntax, different semantics (1 versus 1.5):

$$3 / 2 \text{ (Python 2.7)} \not\Leftrightarrow 3 / 2 \text{ (Python3)}$$

Logics

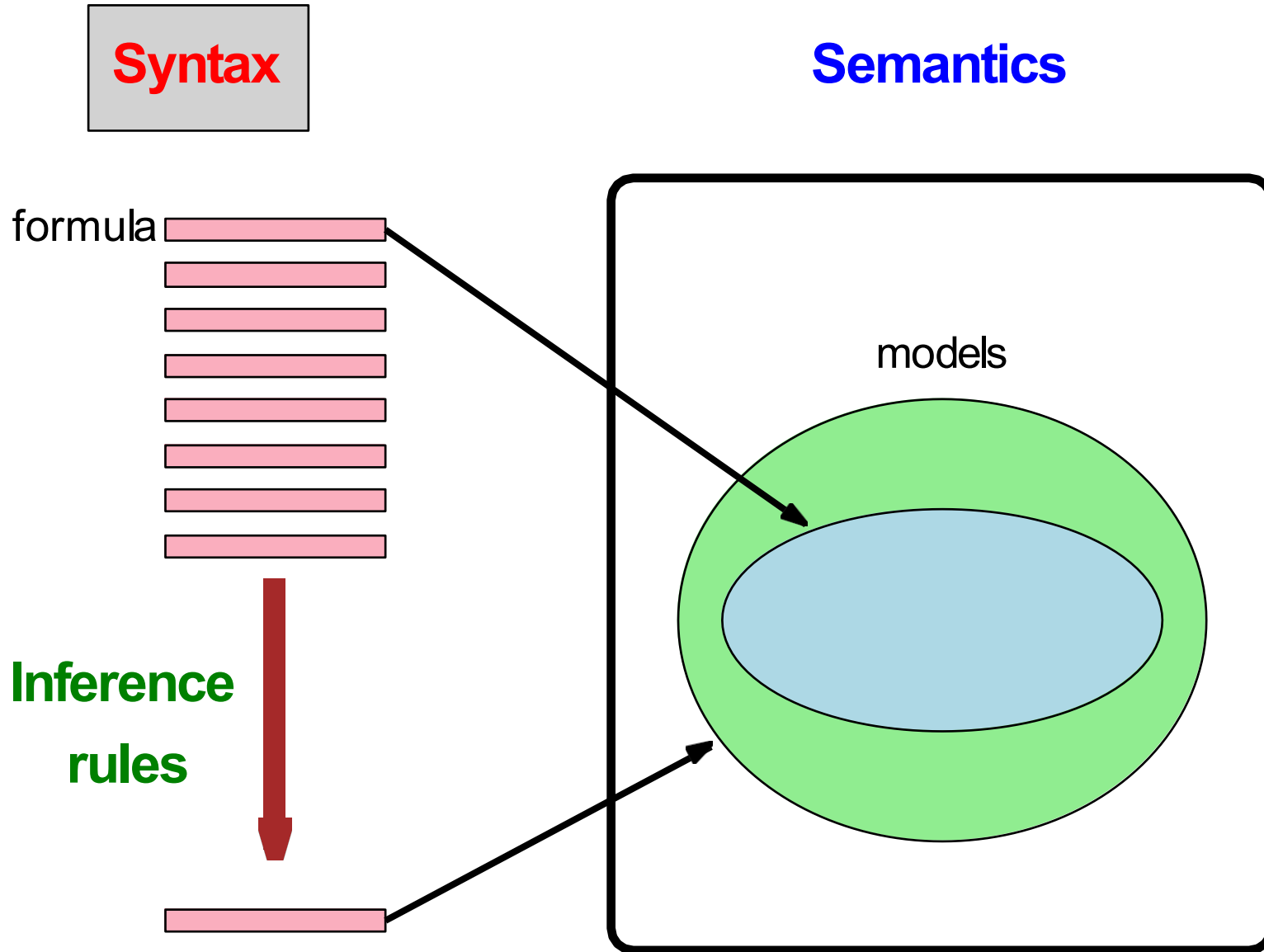
- **Propositional logic with only Horn clauses**
- **Propositional logic**
- Modal logic
- **First-order logic with only Horn clauses**
- **First-order logic**
- Second-order logic
- ...



Key idea: tradeoff

Balance **expressivity** and **computational efficiency**.

Propositional logic



Syntax of propositional logic

Propositional symbols (atomic formulas): A, B, C

Logical connectives: $\neg, \wedge, \vee, \rightarrow, \leftrightarrow$

Build up formulas recursively—if f and g are formulas, so are the following:

- Negation: $\neg f$
- Conjunction: $f \wedge g$
- Disjunction: $f \vee g$
- Implication: $f \rightarrow g$
- Biconditional: $f \leftrightarrow g$

Syntax of propositional logic

- Formula: A
- Formula: $\neg A$
- Formula: $\neg B \rightarrow C$
- Formula: $\neg A \wedge (\neg B \rightarrow C) \vee (\neg B \vee D)$
- Formula: $\neg\neg A$
- Non-formula: $A \neg B$
- Non-formula: $A + B$

Syntax of propositional logic



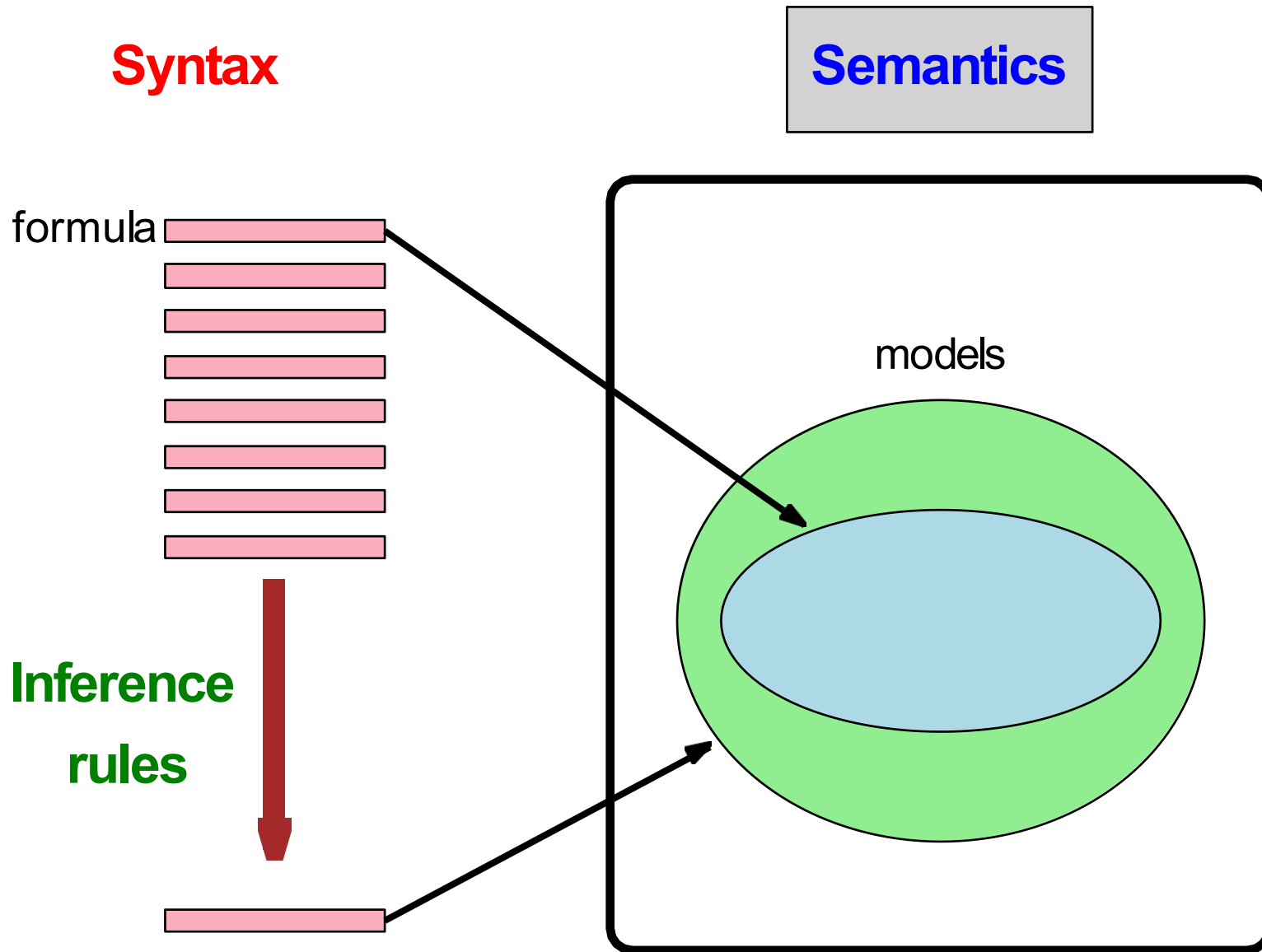
Key idea: syntax provides symbols

Formulas by themselves are just symbols (syntax).
No meaning yet (semantics)!

0 32 H 0020	0 37 H 0025	% 0 48 H 0030	0 49 H 0031	1 0 50 H 0032	2 0 51 H 0033	3 0 52 H 0034	4 0 53 H 0035	5 0 54 H 0036	6 0 55 H 0037	7
	‰	Δ	▽	▽	▽	▷	▷	➤	◁	
0 56 H 0038	8 0 57 H 0039	9 0 58 H 0040	A 0 59 H 0041	B 0 60 H 0042	C 0 61 H 0043	D 0 62 H 0044	E 0 63 H 0045	F 0 64 H 0046	G 0 65 H 0047	H 0 66 H 0048
◁	◀	└	└	└	└	└	└	└	└	└
0 73 H 0049	I 0 74 H 0050	J 0 75 H 0051	K 0 76 H 0052	L 0 77 H 0053	M 0 78 H 0054	N 0 79 H 0055	O 0 80 H 0056	P 0 81 H 0057	Q 0 82 H 0058	R 0 83 H 0059
◻	◻	◻	◻	◻	◻	◻	◻	◻	◻	◻
0 84 H 0060	S 0 85 H 0061	T 0 86 H 0062	U 0 87 H 0063	V 0 88 H 0064	W 0 89 H 0065	X 0 90 H 0066	Y 0 91 H 0067	Z 0 92 H 0068	a 0 93 H 0069	b 0 94 H 0070
▽	▽	➤	➤	◀	◀	◀	◀	◀	└	└
0 95 H 0071	c 0 96 H 0072	d 0 97 H 0073	e 0 98 H 0074	f 0 99 H 0075	g 0 100 H 0076	h 0 101 H 0077	i 0 102 H 0078	j 0 103 H 0079	k 0 104 H 0080	l 0 105 H 0081
└	└	└	└	└	└	└	└	└	└	└
0 106 H 0082	m 0 107 H 0083	n 0 108 H 0084	o 0 109 H 0085	p 0 110 H 0086	q 0 111 H 0087	r 0 112 H 0088	s 0 113 H 0089	t 0 114 H 0090	u 0 115 H 0091	v 0 116 H 0092
└	└	└	└	└	└	└	└	└	└	└
0 117 H 0093	w 0 118 H 0094	x 0 119 H 0095	y 0 120 H 0096	z 0 121 H 0097						
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Propositional logic



Model



Definition: model

A **model** w in propositional logic is an **assignment** of truth values to propositional symbols.

Example:

- 3 propositional symbols: A, B, C
- $2^3 = 8$ possible models w :

$\{A : 0, B : 0, C : 0\}$

$\{A : 0, B : 0, C : 1\}$

$\{A : 0, B : 1, C : 0\}$

$\{A : 0, B : 1, C : 1\}$

$\{A : 1, B : 0, C : 0\}$

$\{A : 1, B : 0, C : 1\}$

$\{A : 1, B : 1, C : 0\}$

$\{A : 1, B : 1, C : 1\}$

Interpretation function



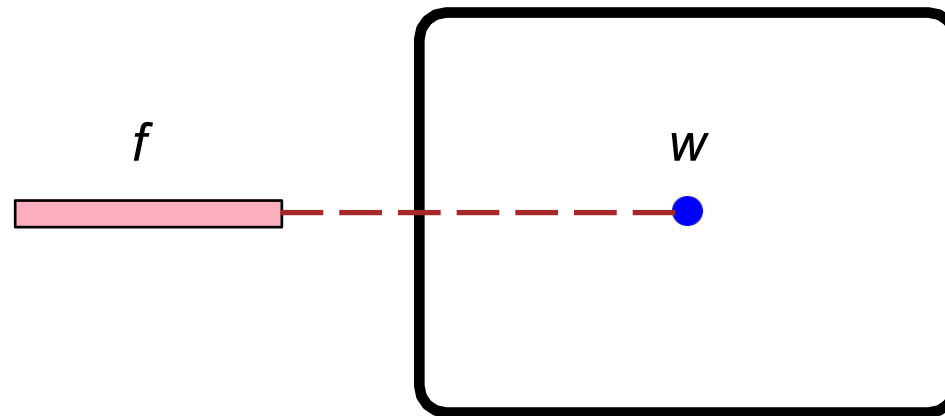
Definition: interpretation function

Let f be a formula.

Let w be a model.

An **interpretation function** $I(f, w)$ returns:

- true (1) (say that w satisfies f)
- false (0) (say that w does not satisfy f)



Interpretation function: definition

Base case:

- For a propositional symbol p (e.g., A, B, C): $I(p, w) = w(p)$

Recursive case:

- For any two formulas f and g , define:

$I(f, w)$	$I(g, w)$	$I(\neg f, w)$	$I(f \wedge g, w)$	$I(f \vee g, w)$	$I(f \rightarrow g, w)$	$I(f \leftrightarrow g, w)$
0	0	1	0	0	1	1
0	1	1	0	1	1	0
1	0	0	0	1	0	0
1	1	0	1	1	1	1

Interpretation function: example



Example: interpretation function

Formula: $f = (\neg A \wedge B) \leftrightarrow C$

Model: $w = \{A : 1, B : 1, C : 0\}$

Interpretation:

$$I((\neg A \wedge B) \leftrightarrow C, w) = 1$$

$$I(\neg A \wedge B, w) = 0$$

$$I(C, w) = 0$$

$$I(\neg A, w) = 0$$

$$I(B, w) = 1$$

$$I(A, w) = 1$$

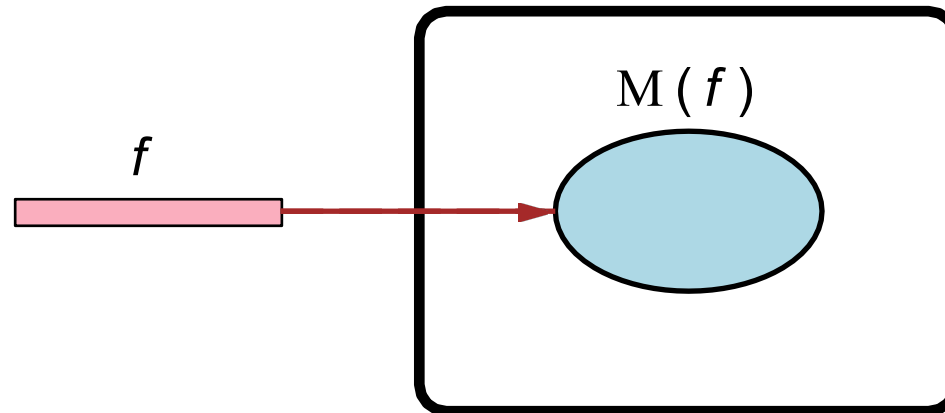
Formula represents a set of models

So far: each formula f and model w has an interpretation $I(f, w) \in \{0, 1\}$



Definition: models

Let $M(f)$ be the set of **models** w for which $I(f, w) = 1$.



Models: example

Formula:

$$f = \text{Rain} \vee \text{Wet}$$

Models:

$M(f) =$

		Wet	
		0	1
Rain	0		
	1		



Key idea: compact representation

A **formula** *compactly* represents a set of **models**.

Knowledge base



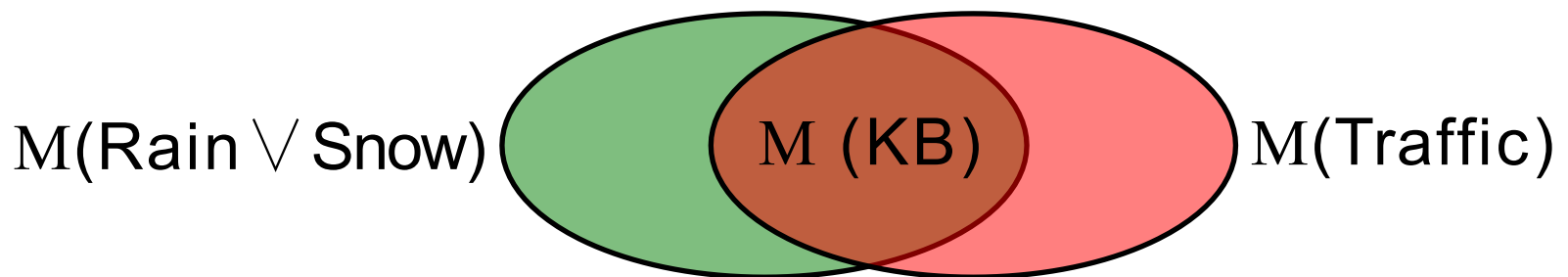
Definition: Knowledge base

A **knowledge base** KB is a set of formulas representing their conjunction / intersection:

$$M(KB) = \bigcap_{f \in KB} M(f).$$

Intuition: KB specifies constraints on the world. $M(KB)$ is the set of all worlds satisfying those constraints.

Let $KB = \{\text{Rain} \vee \text{Snow}, \text{Traffic}\}$.



Knowledge base: example

$M(\text{Rain})$

		Wet	
		0	1
Rain	0		
	1		

$M(\text{Rain} \rightarrow \text{Wet})$

		Wet	
		0	1
Rain	0		
	1		

Intersection:

$M(\{\text{Rain}, \text{Rain} \rightarrow \text{Wet}\})$

		Wet	
		0	1
Rain	0		
	1		

Adding to the knowledge base

Adding more formulas to the knowledge base:

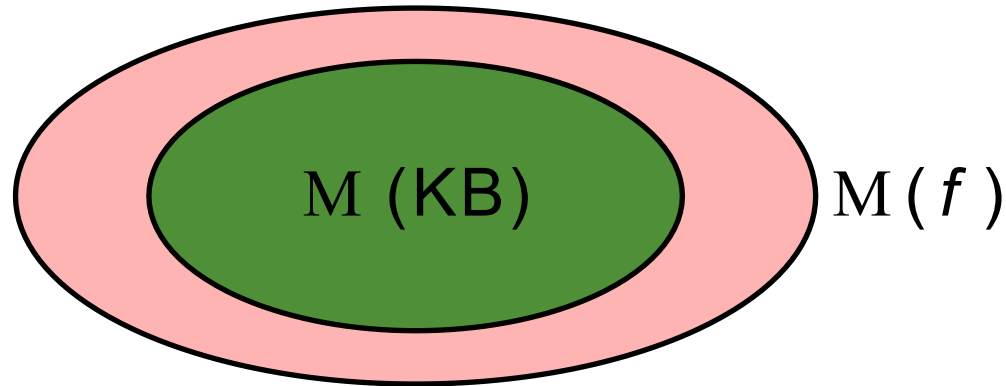
$$\text{KB} \longrightarrow \text{KB} \cup \{f\}$$

Shrinks the set of models:

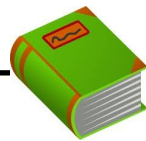
$$\text{M}(\text{KB}) \longrightarrow \text{M}(\text{KB}) \cap \text{M}(f)$$

How much does $\text{M}(\text{KB})$ shrink?

Entailment (蕴涵)



Intuition: f added no information/constraints (it was already known).

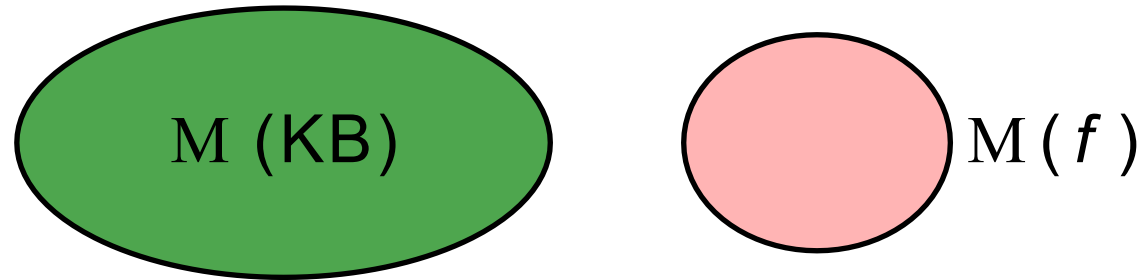


Definition: entailment

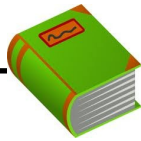
KB entails f (written $KB \models f$) iff
 $M(f) \supseteq M(KB)$.

Example: $\text{Rain} \wedge \text{Snow} \models \text{Snow}$

Contradiction



Intuition: f contradicts what we know (captured in KB).

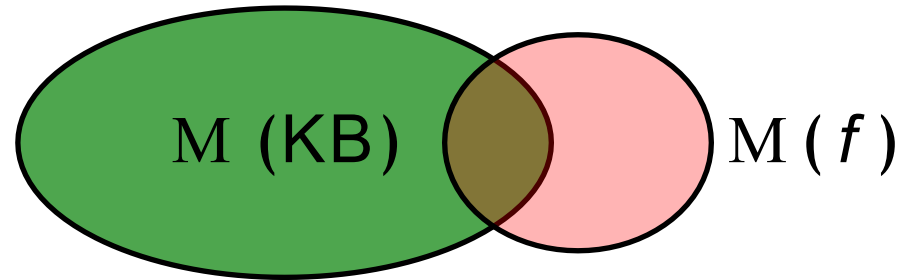


Definition: contradiction

KB contradicts f iff $M(KB) \cap M(f) = \emptyset$.

Example: $\text{Rain} \wedge \text{Snow}$ contradicts $\neg \text{Snow}$

Contingency



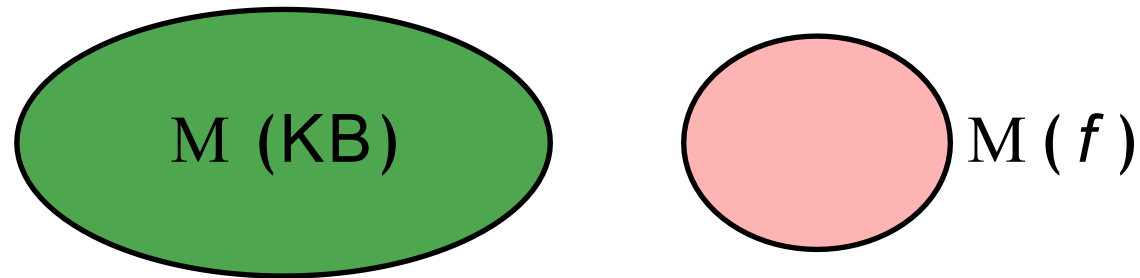
Intuition: f adds non-trivial information to KB

$$\emptyset \subset M(KB) \cap M(f) \subset M(KB)$$

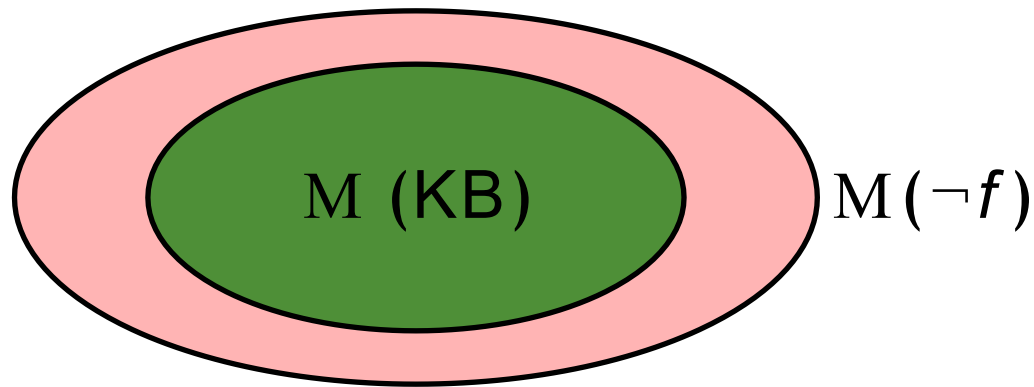
Example: Rain and Snow

Contradiction and entailment

Contradiction:



Entailment:



Proposition: contradiction and entailment

KB contradicts f iff KB entails $\neg f$.

Tell operation

$\text{Tell}[f] \longrightarrow \text{KB} \longrightarrow ?$

Tell: *It is raining.*

$\text{Tell}[\text{Rain}]$

Possible responses:

- **Already knew that:** $\text{entailment}(\text{KB} \models f)$
- **Don't believe that:** $\text{contradiction}(\text{KB} \models \neg f)$
- **Learned something new (update KB):** contingent

Ask operation

$\text{Ask}[f] \longrightarrow \text{KB} \longrightarrow ?$

Ask: *Is it raining?*

$\text{Ask}[\text{Rain}]$

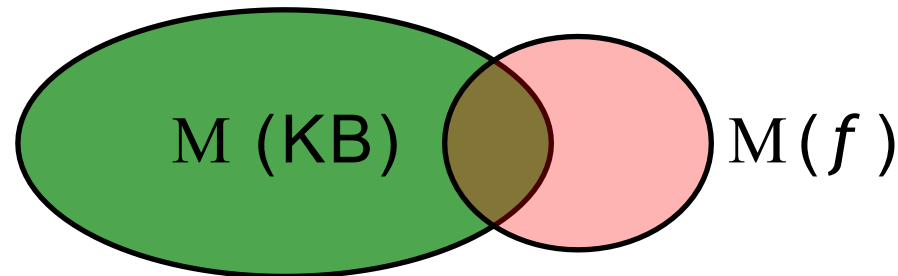
Possible responses:

- **Yes:** entailment ($\text{KB} \models f$)
- **No:** contradiction ($\text{KB} \models \neg f$)
- **I don't know:** contingent

Digression: probabilistic generalization

Bayesian network: distribution over assignments (models)

w	$P(W = w)$
$\{A: 0, B: 0, C: 0\}$	0.3
$\{A: 0, B: 0, C: 1\}$	0.1
...	...



$$P(f \mid KB) = \frac{\sum_{w \in M(KB \cup \{f\})} P(W = w)}{\sum_{w \in M(KB)} P(W = w)}$$



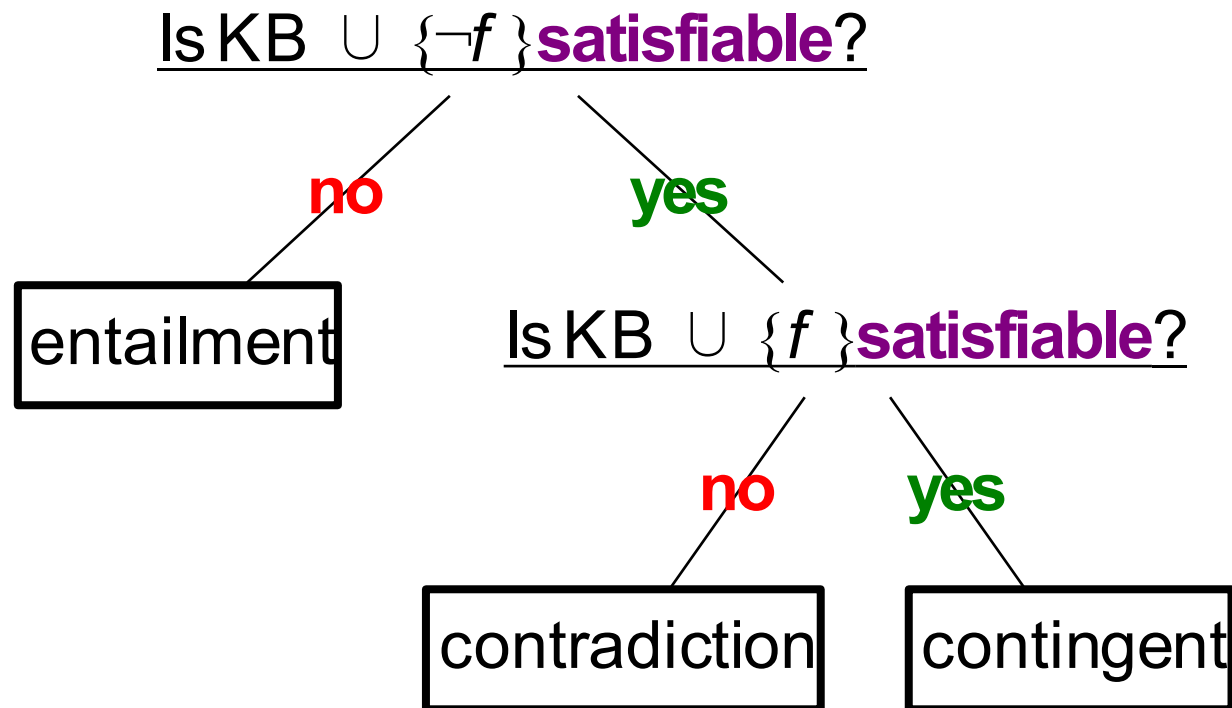
Satisfiability



Definition: satisfiability

A knowledge base KB is **satisfiable** if $M(KB) \neq \emptyset$

Reduce Ask[f] and Tell[f] to satisfiability:



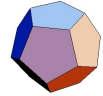
Model checking

Checking satisfiability (SAT) in propositional logic is special case of solving CSPs!

Mapping:

propositional symbol	\Rightarrow	variable
formula	\Rightarrow	constraint
model	\Leftarrow	assignment

Model checking



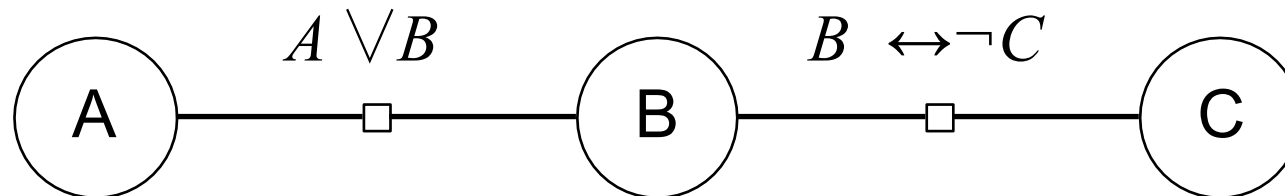
Example: model checking

$$KB = \{A \vee B, B \leftrightarrow \neg C\}$$

Propositional symbols (CSP variables):

$$\{A, B, C\}$$

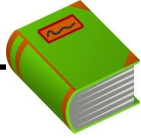
CSP:



Consistent assignment (satisfying model):

$$\{A : 1, B : 0, C : 1\}$$

Model checking



Definition: model checking

Input: knowledge base KB

Output: exists satisfying model ($M(KB) \neq \emptyset$)?

Popular algorithms:

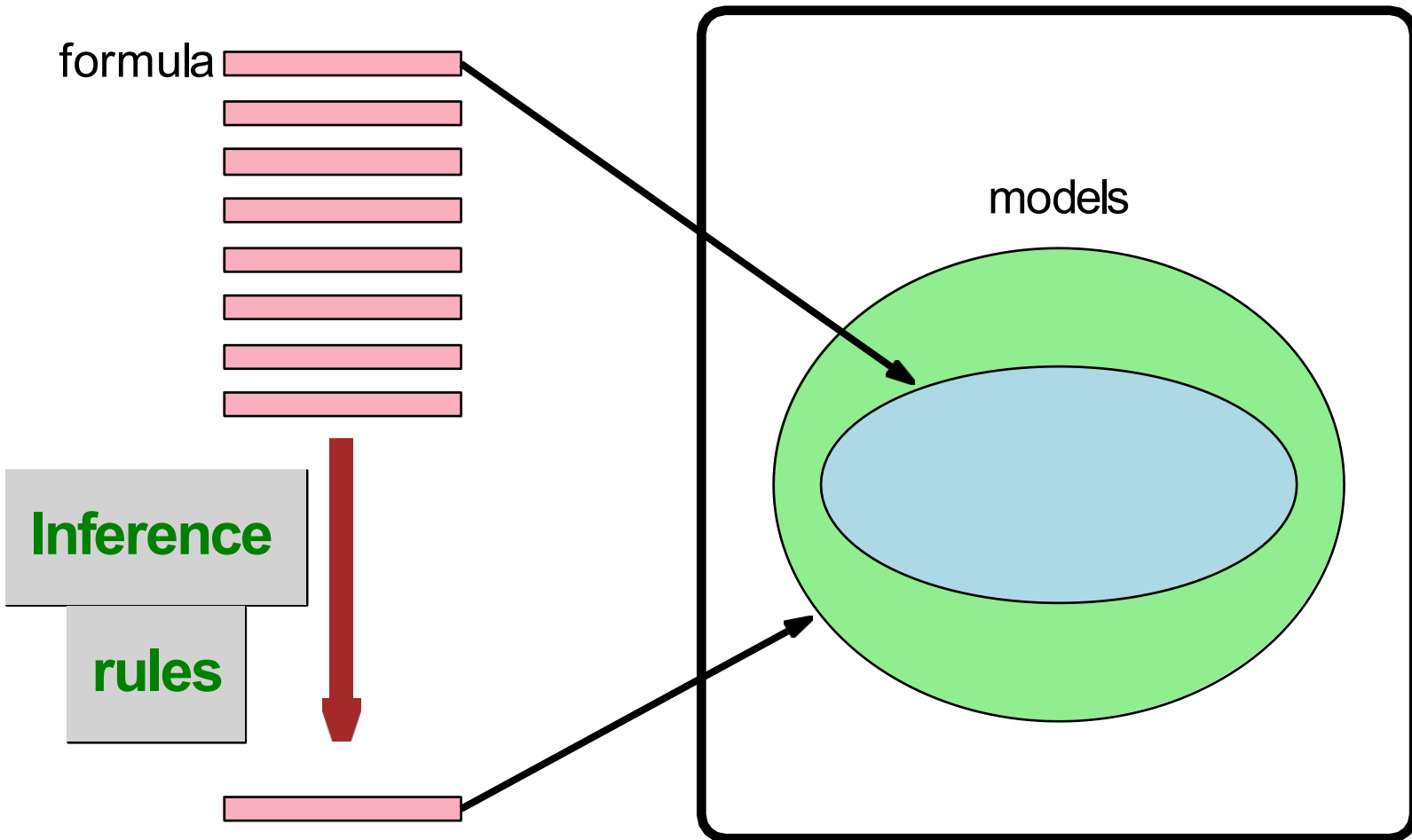
- DPLL (backtracking search + pruning)
- WalkSat (randomized local search)

Next: Can we exploit the fact that factors are formulas?

Propositional logic

Syntax

Semantics



Inference rules

Example of making an inference:

It is raining. (Rain)

If it is raining, then it is wet. ($\text{Rain} \rightarrow \text{Wet}$)

Therefore, it is wet. (Wet)

$$\frac{\text{Rain}, \quad \text{Rain} \rightarrow \text{Wet}}{\text{Wet}} \quad \begin{array}{l} \text{(premises)} \\ \text{(conclusion)} \end{array}$$



Definition: Modus ponens inference rule

For any propositional symbols p and q :

$$\frac{p, \quad p \rightarrow q}{q}$$

Inference framework



Definition: inference rule

If f_1, \dots, f_k, g are formulas, then the following is an **inference rule**:

$$\frac{f_1, \dots, f_k}{g}$$



Key idea: inference rules

Rules operate directly on **syntax**, not on **semantics**.

Inference algorithm



Algorithm: forward inference

Input: set of inference rules Rules.

Repeat until no changes to KB:

Choose set of formulas $f_1, \dots, f_k \in \text{KB}$

If matching rule $\frac{f_1, \dots, f_k}{g}$ exists:

Add g to KB.



Definition: derivation

KB **derives/proves** f ($\text{KB} \vdash f$) iff f eventually gets added to KB.

Inference example



Example: Modus ponens inference

Starting point:

$KB = \{\text{Rain}, \text{Rain} \rightarrow \text{Wet}, \text{Wet} \rightarrow \text{Slippery}\}$

Apply modus ponens to Rain and $\text{Rain} \rightarrow \text{Wet}$:

$KB = \{\text{Rain}, \text{Rain} \rightarrow \text{Wet}, \text{Wet} \rightarrow \text{Slippery}, \text{Wet}\}$

Apply modus ponens to Wet and $\text{Wet} \rightarrow \text{Slippery}$:

$KB = \{\text{Rain}, \text{Rain} \rightarrow \text{Wet}, \text{Wet} \rightarrow \text{Slippery}, \text{Wet}, \text{Slippery}\}$

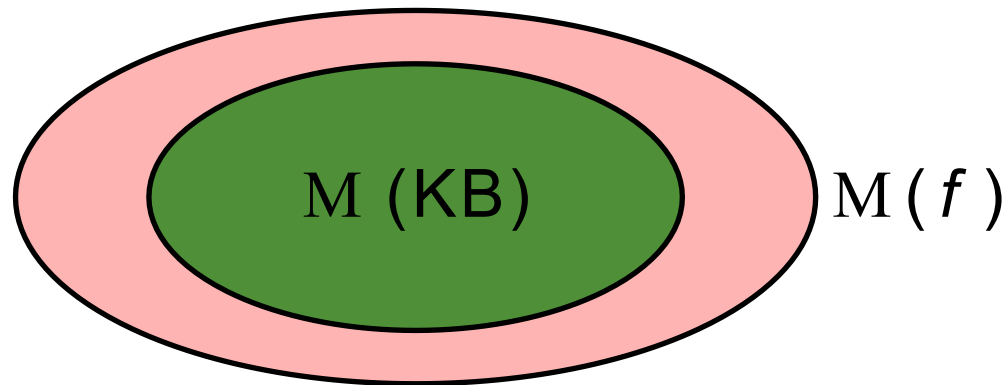
Converged.

Can't derive some formulas: $\neg \text{Wet}$, $\text{Rain} \rightarrow \text{Slippery}$

Desiderata for inference rules

Semantics

Interpretation defines **entailed/true** formulas: $KB \models f$:



Syntax:

Inference rules **derive** formulas: $KB \vdash f$

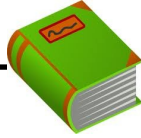
How does $\{f : KB \models f\}$ relate to $\{f : KB \vdash f\}$?

Truth



$$\{f : \text{KB} \models f\}$$

Soundness



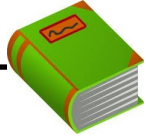
Definition: soundness

A set of inference rules *Rules* is sound if:

$$\{f : \text{KB} \vdash f\} \subseteq \{f : \text{KB} \models f\}$$



Completeness



Definition: completeness

A set of inference rules Rules is complete if:

$$\{f : \text{KB} \vdash f\} \supseteq \{f : \text{KB} \models f\}$$



Soundness and completeness

The truth, the whole truth, and nothing but the truth.

- **Soundness:** nothing but the truth
- **Completeness:** whole truth

Soundness: example

Is $\frac{\text{Rain}, \text{Rain} \rightarrow \text{Wet}}{\text{Wet}}$ (Modus ponens) sound?

$$M(\text{Rain}) \cap M(\text{Rain} \rightarrow \text{Wet}) \subseteq? M(\text{Wet})$$

		Wet	
		0	1
Rain	0		
	1		

		Wet 0 1	
		0	1
Rain	0		
	1		

		Wet	
		0	1
Rain	0		
	1		

Sound!

Soundness: example

Is $\frac{\text{Wet, Rain} \rightarrow \text{Wet}}{\text{Rain}}$ sound?

$$M(\text{Wet}) \cap M(\text{Rain} \rightarrow \text{Wet}) \subseteq? M(\text{Rain})$$

		Wet	
		0	1
Rain	0		
	1		

		Wet 0 1	
		0	1
Rain	0		
	1		

		Wet	
		0	1
Rain	0		
	1		

Unsound!

Completeness: example

Recall completeness: inference rules derive all entailed formulas (f such that $KB \models f$)



Example: Modus ponens is incomplete

Setup:

$KB = \{\text{Rain}, \text{Rain} \vee \text{Snow} \rightarrow \text{Wet}\}$

$f = \text{Wet}$

Rules = $\left\{ \frac{f, f \rightarrow g}{g} \right\}$ (Modus ponens)

Semantically: $KB \models f$ (f is entailed).

Syntactically: $KB \not\vdash f$ (can't derive f).

Incomplete!

Fixing completeness

Option 1: Restrict the allowed set of formulas

propositional logic



propositional logic with only Horn clauses

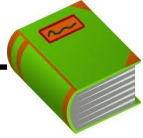
Option 2: Use more powerful inference rules

Modus ponens



resolution

Definite clauses



Definition: Definite clause

A **definite clause** has the following form:

$$(p_1 \wedge \cdots \wedge p_k) \rightarrow q$$

where p_1, \dots, p_k, q are propositional symbols.

Intuition: if p_1, \dots, p_k hold, then q holds.

Example: $(\text{Rain} \wedge \text{Snow}) \rightarrow \text{Traffic}$

Example: Traffic

Non-example: $\text{Rain} \wedge \text{Snow}$ **Non-**

example: $\neg \text{Traffic}$

Non-example: $(\text{Rain} \wedge \text{Snow}) \rightarrow (\text{Traffic} \vee \text{Peaceful})$

Horn clauses



Definition: Horn clause

A **Horn clause** is either:

- a definite clause $(p_1 \wedge \cdots \wedge p_k \rightarrow q)$
- a goal clause $(p_1 \wedge \cdots \wedge p_k \rightarrow \text{false})$

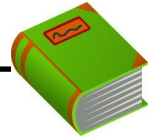
Example (definite): $(\text{Rain} \wedge \text{Snow}) \rightarrow \text{Traffic}$

Example (goal): $\text{Traffic} \wedge \text{Accident} \rightarrow \text{false}$

equivalent: $\neg(\text{Traffic} \wedge \text{Accident})$

Modus ponens

Inference rule:



Definition: Modus ponens

$$\frac{p_1, \quad \dots, \quad p_k, \quad (p_1 \wedge \dots \wedge p_k) \rightarrow q}{q}$$

Example:



Example: Modus ponens

$$\frac{\text{Wet}, \quad \text{Weekday}, \quad \text{Wet} \wedge \text{Weekday} \rightarrow \text{Traffic}}{\text{Traffic}}$$

Completeness of modus ponens



Theorem: Modus ponens on Horn clauses

Modus ponens is **complete** with respect to Horn clauses:

- Suppose KB contains only Horn clauses and p is an entailed propositional symbol.
- Then applying modus ponens will derive p .


Upshot:

$KB \models p$ (entailment) is the same as $KB \vdash p$ (derivation)!

Answering questions

KB

Rain Weekday
Rain \rightarrow Wet
Wet \wedge Weekday \rightarrow Traffic
Traffic \wedge Careless \rightarrow Accident

 **Definition: Modus ponens**

$$\frac{p_1, \dots, p_k, (p_1 \wedge \dots \wedge p_k) \rightarrow q}{q}$$

Query: Ask[Traffic]

"Yes" subproblem: $\text{KB} \models \text{Traffic}$

Equivalent: KB contradicts $\neg \text{Traffic}$

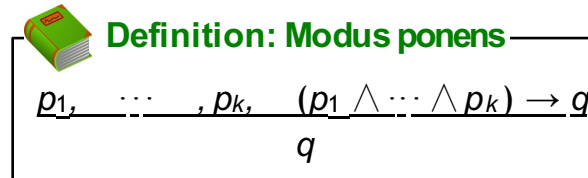
Equivalent: $\text{KB} \cup \{\text{Traffic} \rightarrow \text{false}\} \vdash \text{false}?$

"No" subproblem: $\text{KB} \models \neg \text{Traffic}$

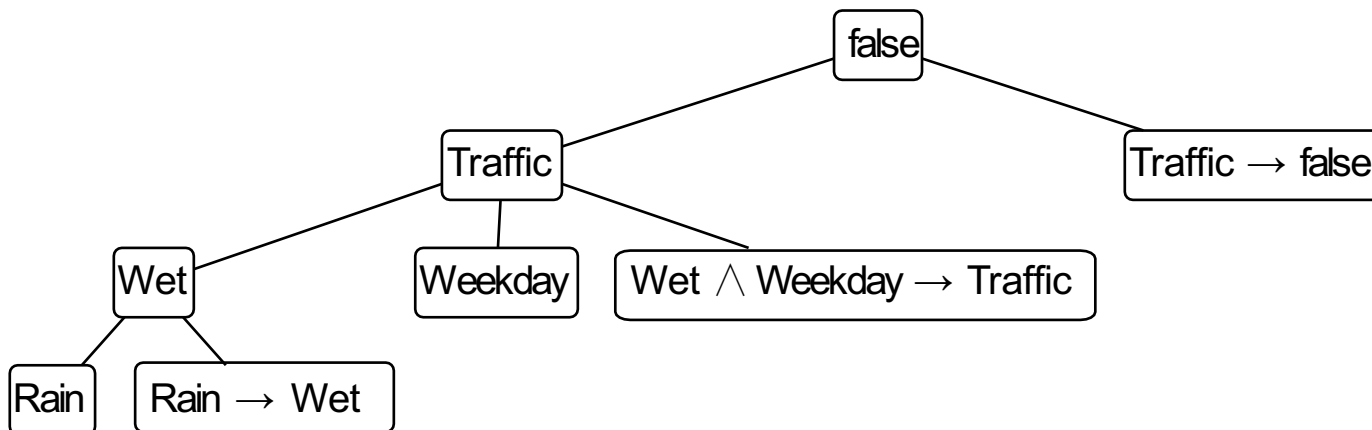
Equivalent: $\text{KB} \vdash \neg \text{Traffic}$ — impossible!

"Yes" subproblem

KB
Rain
Weekday
Rain \rightarrow Wet
Wet \wedge Weekday \rightarrow Traffic
Traffic \wedge Careless \rightarrow Accident



Question: $\text{KB} \cup \{\text{Traffic} \rightarrow \text{false}\} \vdash \text{false}$?



Approaches

Formulas allowed	Inference rule	Complete?
Propositional logic (only Horn clauses)	modus ponens	yes
Propositional logic	modus ponens	no
Propositional logic	resolution	yes

Horn clauses and disjunction

Written with implication

$$A \rightarrow C$$

$$A \wedge B \rightarrow C$$

Written with disjunction

$$\neg A \vee C$$

$$\neg A \vee \neg B \vee C$$

- **Literal:** either p or $\neg p$, where p is a propositional symbol
- **Clause:** disjunction of literals
- **Horn clauses:** at most one positive literal

Modus ponens (rewritten):

$$\frac{A, \neg A \vee C}{C}$$

- Intuition: cancel out A and $\neg A$

Resolution [Robinson, 1965]

General clauses have any number of literals:

$$\neg A \vee B \vee \neg C \vee D \vee \neg E \vee F$$



Example: resolution inference rule

$$\frac{\text{Rain} \vee \text{Snow}, \quad \neg \text{Snow} \vee \text{Traffic}}{\text{Rain} \vee \text{Traffic}}$$



Definition: resolution inference rule

$$\frac{f_1 \vee \cdots \vee f_n \vee p, \quad \neg p \vee g_1 \vee \cdots \vee g_m}{f_1 \vee \cdots \vee f_n \vee g_1 \vee \cdots \vee g_m}$$

Soundness of resolution

$$\frac{\text{Rain} \vee \text{Snow}, \quad \neg \text{Snow} \vee \text{Traffic}}{\text{Rain} \vee \text{Traffic}} \quad (\text{resolution rule})$$

$$M(\text{Rain} \vee \text{Snow}) \cap M(\neg \text{Snow} \vee \text{Traffic}) \subseteq ? M(\text{Rain} \vee \text{Traffic})$$

		Snow	
		0	1
Rain, Traffic	0,0		
	0,1		
	1,0		
	1,1		

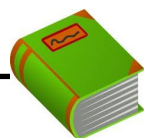
		Snow	
		0	1
Rain, Traffic	0,0		
	0,1		
	1,0		
	1,1		

		Snow	
		0	1
Rain, Traffic	0,0		
	0,1		
	1,0		
	1,1		

Sound!

Conjunctive normal form

So far: resolution only works on clauses...but that's enough!



Definition: conjunctive normal form (CNF)

A **CNF formula** is a conjunction of clauses.

Example: $(A \vee B \vee \neg C) \wedge (\neg B \vee D)$

Equivalent: knowledge base where each formula is a clause



Proposition: conversion to CNF

Every formula f in propositional logic can be converted into an equivalent CNF formula f' :

$$M(f) = M(f')$$

Conversion to CNF: example

Initial formula:

$$(\text{Summer} \rightarrow \text{Snow}) \rightarrow \text{Bizzare}$$

Remove implication (\rightarrow):

$$\neg(\neg\text{Summer} \vee \text{Snow}) \vee \text{Bizzare}$$

Push negation (\neg) inwards (de Morgan):

$$(\neg\neg\text{Summer} \wedge \neg\text{Snow}) \vee \text{Bizzare}$$

Remove double negation:

$$(\text{Summer} \wedge \neg\text{Snow}) \vee \text{Bizzare}$$

Distribute \vee over \wedge :

$$(\text{Summer} \vee \text{Bizzare}) \wedge (\neg\text{Snow} \vee \text{Bizzare})$$

Conversion to CNF: general

Conversion rules:

- Eliminate \leftrightarrow : $\frac{f \leftrightarrow g}{(f \rightarrow g) \wedge (g \rightarrow f)}$
- Eliminate \rightarrow : $\frac{f \rightarrow g}{\neg f \vee g}$
- Move \neg inwards: $\frac{\neg(f \wedge g)}{\neg f \vee \neg g}$
- Move \neg inwards: $\frac{\neg(f \vee g)}{\neg f \wedge \neg g}$
- Eliminate double negation: $\frac{\neg \neg f}{f}$
- Distribute \vee over \wedge : $\frac{f \vee (g \wedge h)}{(f \vee g) \wedge (f \vee h)}$

Resolution algorithm

Recall: entailment and contradiction \Leftrightarrow satisfiability

$KB \models f \quad \longleftrightarrow \quad KB \cup \{ \neg f \} \text{ is unsatisfiable}$

$KB \models \neg f \quad \longleftrightarrow \quad KB \cup \{ f \} \text{ is unsatisfiable}$



Algorithm: resolution-based inference

- Convert all formulas into **CNF**.
- Repeatedly apply **resolution** rule.
- Return unsatisfiable iff derive false.

Resolution: example

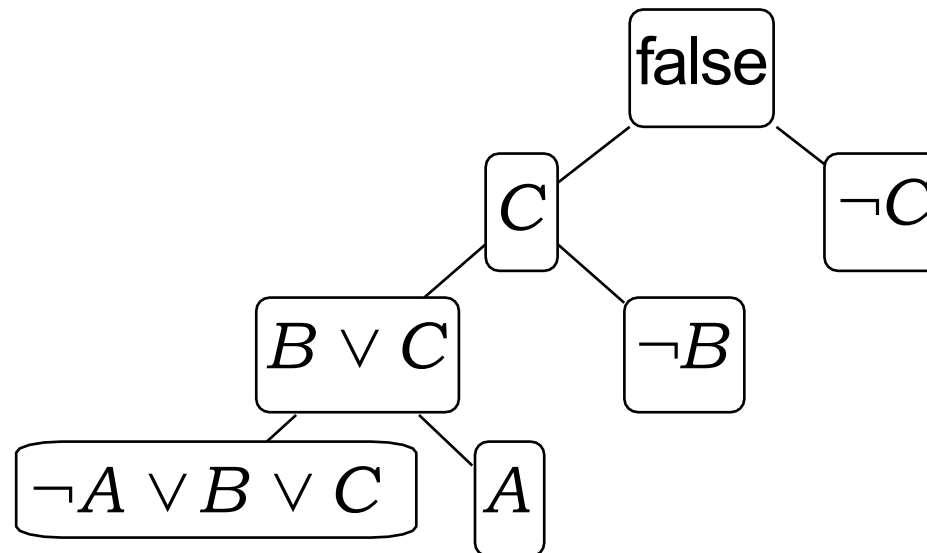
Knowledge base (is it satisfiable?): KB

$$= \{A \rightarrow (B \vee C), A, \neg B, \neg C\}$$

Convert to CNF:

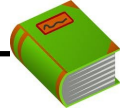
$$\text{KB} = \{\neg A \vee B \vee C, A, \neg B, \neg C\}$$

Repeatedly apply **resolution** rule:



Unsatisfiable!

Time complexity



Definition: modus ponens inference rule

$$\frac{p_1, \quad \dots, \quad p_k, \quad (p_1 \wedge \dots \wedge p_k) \rightarrow q}{q}$$

- Each rule application adds clause with **one** propositional symbol
 \Rightarrow linear time



Definition: resolution inference rule

$$\frac{f_1 \vee \dots \vee f_n \vee p, \quad \neg p \vee g_1 \vee \dots \vee g_m}{f_1 \vee \dots \vee f_n \vee g_1 \vee \dots \vee g_m}$$

- Each rule application adds clause with **many** propositional symbols
 \Rightarrow exponential time



Summary

Horn clauses

any clauses

modus ponens

resolution

linear time

exponential time

less expressive

more expressive

Limitations of propositional logic

Alice and Bob both know arithmetic.

AliceKnowsArithmetic \wedge BobKnowsArithmetic

All students know arithmetic.

AliceIsStudent \rightarrow AliceKnowsArithmetic

BobIsStudent \rightarrow BobKnowsArithmetic

...

Every even integer greater than 2 is the sum of two primes.

???

Limitations of propositional logic

All students know arithmetic.

AlicsStudent \rightarrow AliceKnowsArithmetic

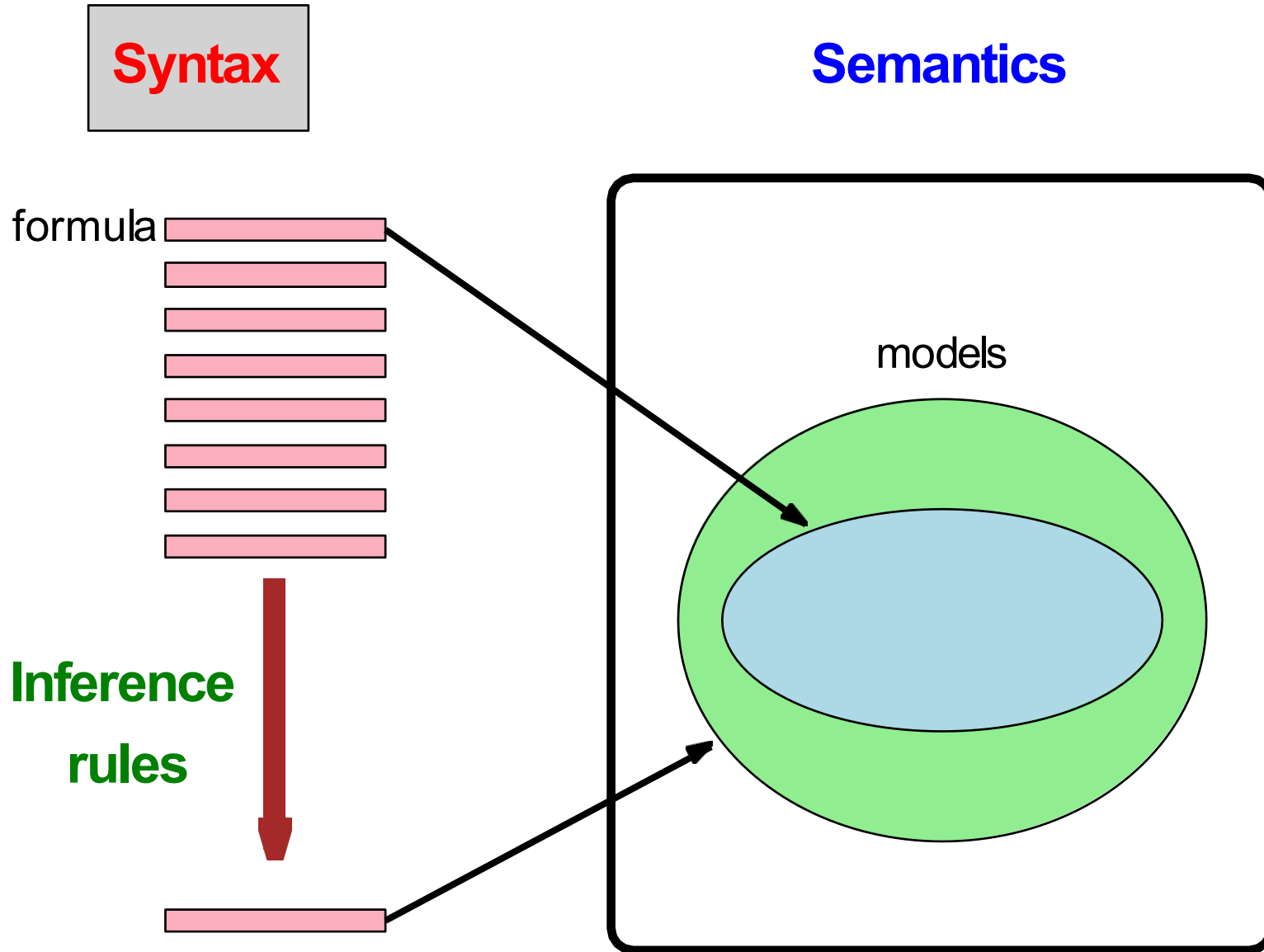
BobIsStudent \rightarrow BobKnowsArithmetic

...

Propositional logic is very clunky. What's missing?

- **Objects and relations:** propositions (e.g., AliceKnowsArithmetic) have more internal structure (alice, Knows, arithmetic)
- **Quantifiers and variables:** *all* is a quantifier which applies to each person, don't want to enumerate them all...

First-order logic



First-order logic: examples

Alice and Bob both know arithmetic.

$\text{Knows}(\text{alice}, \text{arithmetic}) \wedge \text{Knows}(\text{bob}, \text{arithmetic})$

All students know arithmetic.

$\forall x \text{Student}(x) \rightarrow \text{Knows}(x, \text{arithmetic})$

Syntax of first-order logic

Terms (refer to objects):

- Constant symbol (e.g., arithmetic)
- Variable (e.g., x)
- Function of terms (e.g., $\text{Sum}(3, x)$)

Formulas (refer to truth values):

- Atomic formulas (atoms): predicate applied to terms (e.g., $\text{Knows}(x, \text{arithmetic})$)
- Connectives applied to formulas (e.g., $\text{Student}(x) \rightarrow \text{Knows}(x, \text{arithmetic})$)
- Quantifiers applied to formulas (e.g., $\forall x \text{Student}(x) \rightarrow \text{Knows}(x, \text{arithmetic})$)

Quantifiers

Universal quantification (\forall):

Think conjunction: $\forall x P(x)$ is like $P(A) \wedge P(B) \wedge \dots$

Existential quantification (\exists):

Think disjunction: $\exists x P(x)$ is like $P(A) \vee P(B) \vee \dots$

Some properties:

- $\neg \forall x P(x)$ equivalent to $\exists x \neg P(x)$
- $\forall x \exists y \text{Knows}(x, y)$ different from $\exists y \forall x \text{Knows}(x, y)$