#### Finite State Machines

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- ► The empty string is also a valid regular expression
- Semantics of what each part of a regular expression matches:

```
The empty string A zero-character sequence
Any character from \Sigma
Concatenation: XY

X *

X | Y

()

A zero-character sequence
That character
what X matches followed by what Y matches
0 or more repetitions of X (* is the "Kleene star")
X \circ Y
determine operator precedence
```

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- Conveniences that don't change formal power:
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  - ^ and \$ Anchors requiring certain in-string position for partial matches
  - X+ "Kleene plus", equiv. XX\*: 1+ repetitions of X
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- In this discussion going forward, we cover regexes *without* backreferences.



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u as in"hoop"

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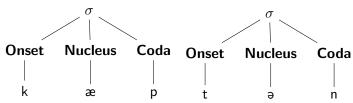
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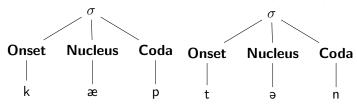
Can you think of similar examples involving English and another language you know?



Basic syllable structure of English, e.g. for captain /kæptən/:

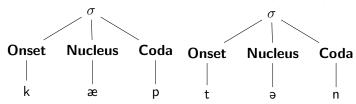


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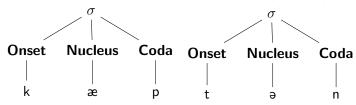
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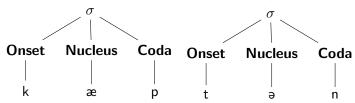
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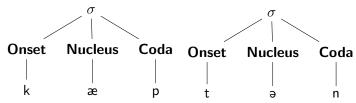
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The only fully acceptable sound that can precede a nasal or obstruent (stops like p, fricatives like z, and affricates like ch /t∫/) is s, e.g.:

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Note that these prohibitions vary in generality!



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- ightharpoonup For any feature, a phoneme's value can be +, -, or unspecified

Feature	+ phonemes	<ul><li>phonemes</li></ul>
Voice	b, d, ð (first sound of the), g, v, z, j,	$t\int ("ch"), f, k, p, s, \int$
	3 (second consonant of <i>measure</i> )	("sh"), t, $\theta$ ("th"), h
Labial	b, p, f, v, m, w	none
Sonorant	l, m, n, ŋ ("ng"), r, w, y	all others
Strident	t∫, j, s, ∫, z, ʒ	d, ð, t, θ, n, l, r
Continuant	ð. f. s. ſ. θ. v. z. ʒ. h	b. tſ. d. g. i. k. p. t

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Labial
              b, p, f, v, m, w
                                                       none
Sonorant I, m, n, n ("ng"), r, w, y
                                                       all others
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                                                       d. ð, t, θ, n, l, r
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We can now write phonotactic prohibitions as little regular expressions:

## Toward a unified phonotactic grammar

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## Toward a unified phonotactic grammar

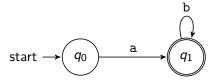
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## Toward a unified phonotactic grammar

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- How can we combine a set of phonotactic constraints into a unified phonotactic grammar?
- A natural way to do this turns out to be through the formalism of finite-state machines

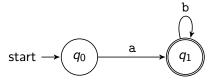
## Finite-state automata: an example

Example finite-state automaton (FSA):



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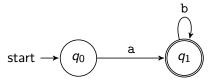
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- Accepts all and only those strings that begin with a and then have nothing but b
- More precisely, it accepts all and only the strings accepted by the regular expression ab\*

# Finite-state automata, formally defined

A finite-state automaton consists of:

▶ A finite set of *N* states  $Q = \{q_0, q_1, \dots, q_{N-1}\}$ , with  $q_0$  the start state;

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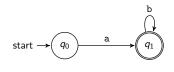
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#### Equivalent specifications of the same FSA



$$egin{aligned} Q &= \{q_0,q_1\} \ \Sigma &= \{\mathtt{a},\mathtt{b}\} \ F &= \{q_1\} \ \Delta &= \{q_0 \overset{\mathtt{a}}{\leadsto} q_1,q_1 \overset{\mathtt{b}}{\leadsto} q_1\} \end{aligned}$$

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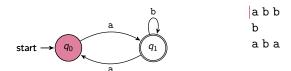
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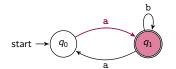
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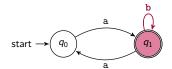
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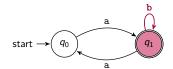
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where  $q^* \in F$  and  $i_1, \ldots, i_N$  are the appropriately sequenced inputs from w.

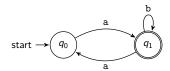


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b
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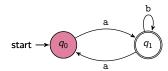
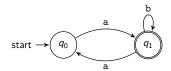


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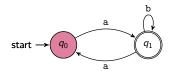
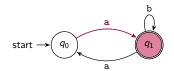


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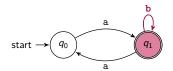


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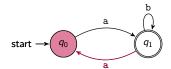


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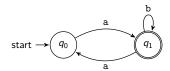
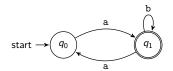


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► For every regex there is an FSA that accepts all and only the strings the regex matches, and vice versa!



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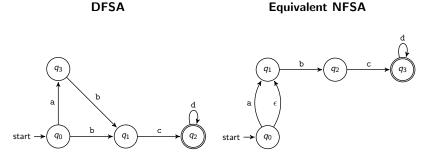
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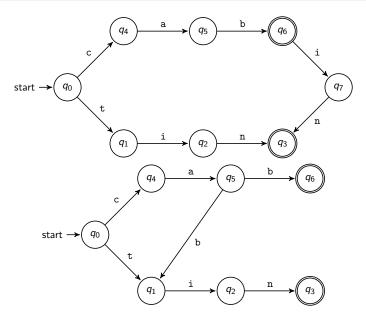
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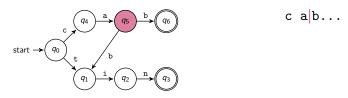
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# Another equivalent DFSA/NFSA pair

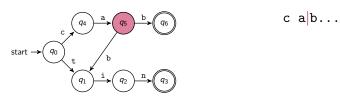


#### Checking string acceptance/rejection in an NFSA



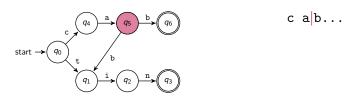
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- Above, there are two possible outward transitions in  $q_5$  for input symbol b.
- ► Algorithmic options:
  - ▶ **Backup:** whenever we encounter a choicepoint, generate a list of transition options and mark our position. Try one. If we fail, go back to the last choicepoint and try the next option on the list. If we run out of options, then the string is rejected.
  - **Lookahead:** look forward in the string to guide choice.
  - ▶ Parallelism: Instead of maintaining and updating a single state, build a set of possible states for each string position.



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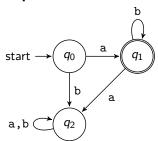
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#### **Equivalent total FSA**



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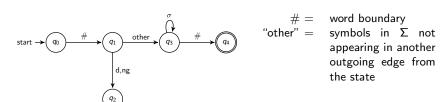
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- Broad goal: as we accumulate grammar fragments for a language, we should obtain an increasingly close approximation of the true characterization of the language and its structure

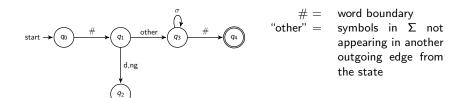
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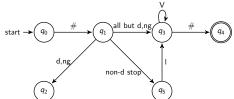
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► Add constraint 2: Word-initially, CC sequences must be stop+liquid(=I).



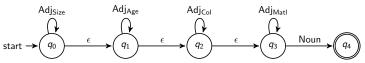
Consider the following English adjective classes:

Class	Examples
Size	big, short, wide, heavy, voluminous
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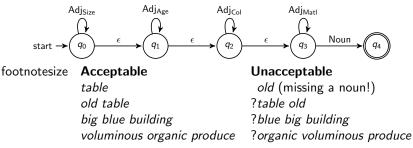
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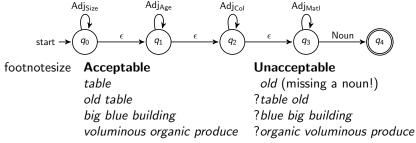
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Note: the fuller picture is more complicated! For example, contrastive stress can help bring an adjective leftward (e.g., "the WOODEN old door, not the STONE one"). But this simple description captures some major trends.

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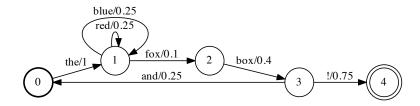
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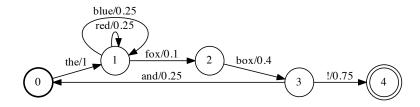
#### Looking ahead:

- Mechanisms to combine finite-state grammar fragments into a single unified fragment
- ▶ What parts of natural language structure can and cannot be captured by these formalisms?

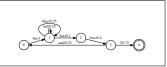
► An example of a WFSA:



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► A useful exercise: write regular expressions that are equivalent to each of the automata on this page, and on the previous page



A WEIGHTED FINITE-STATE AUTOMATON (WFSA) consists of a tuple (Q, V, S, R) such that:

- ▶ Q is a finite set of STATES  $q_0q_1 \dots q_N$ , with  $q_0$  the designated START STATE;
- Σ is a finite set of terminal symbols;
- ▶  $F \subseteq Q$  is the set of FINAL STATES;
- ▶  $\Delta$  is a finite set of TRANSITIONS each of the form  $q \stackrel{i}{\leadsto} q'$ , meaning that "if you are in state q and see symbol i you can consume it and move to state q'";
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- ▶  $w_{1...N} \in \Sigma^N$  is ACCEPTED or RECOGNIZED by an automaton iff there is a PATH of transitions  $\underset{1...N}{\leadsto}$  to a final state  $q^* \in F$  such that

$$q_0 \overset{w_1}{\underset{1}{\leadsto}} \overset{w_2}{\underset{2}{\leadsto}} \cdots \overset{w_{N-1}}{\underset{N-1}{\leadsto}} \overset{w_N}{\underset{N}{\leadsto}} q^*$$

► The WEIGHT of such a path → is the product of the weights of each of the transitions, together with the weight of the final state:

$$P(q_0 \underset{1}{\overset{w_1}{\longrightarrow}} \underset{2}{\overset{w_2}{\longrightarrow}} \dots \underset{N-1}{\overset{w_{N-1}}{\longrightarrow}} \underset{N}{\overset{w_N}{\longrightarrow}} q^*) = \rho(q^*) \prod_{i=1}^N \lambda(\underset{i}{\longleftrightarrow})$$
 (1)