

Introduction to language models

Roger Levy
9.19: Computational Psycholinguistics

Which did you hear?

Which did you hear?

Which did you hear?

Eyes awe of an

Which did you hear?

Eyes awe of an

I saw a van

Which did you hear?

Which did you hear?

Which did you hear?

The sail of a boat

Which did you hear?

The sail of a boat

The sale of a boat

Which did you hear?

Which did you hear?

Which did you hear?

I t's not easy to wreck an ice beach

Which did you hear?

It's not easy to wreck an ice beach

It's not easy to wreck a nice beach

Which did you hear?

It's not easy to wreck an ice beach

It's not easy to wreck a nice beach

It's not easy to recognize speech

Which did you hear?

Which did you hear?

A dog's tale

A dog's tail

Shannon's guessing game

START



(Shannon, 1951; Taylor, 1953)

Shannon's guessing game

START



t

(Shannon, 1951; Taylor, 1953)

Shannon's guessing game

START



t

a

Shannon's guessing game

START



t
a
i

Shannon's guessing game

START



t
a
i
z

Shannon's guessing game

START



t
a
i
z
s

Shannon's guessing game

START



t
a
i
z
s
m

(Shannon, 1951; Taylor, 1953)

Shannon's guessing game

START



t
a
i
z
s
m
i

(Shannon, 1951; Taylor, 1953)

Shannon's guessing game

START



t
a
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i
y

(Shannon, 1951; Taylor, 1953)

Shannon's guessing game

START



t

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w

Shannon's guessing game

START



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Shannon's guessing game

START



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Shannon's guessing game

START



w e

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Shannon's guessing game

START



w e

t h r

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w e

t h r

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w

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START



w e u

t h r

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w e u

t h r h

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w e u a r e

t h r h r e n

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w

Shannon's guessing game

START



w e u a r e u

t	h	r	h	r	e	n	i
a	e	t	a			t	
i		u			u	n	
z						g	
s						n	
m						a	
i						j	
y						t	
w						c	

(Shannon, 1951; Taylor, 1953)

Shannon's guessing game

START



w e u a r e e u s

t h r h r e n i

a e t a u t

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s n a

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y c

w s

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w c

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Shannon's guessing game

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t h r h r e n i o e i n g

a e t a u t i

i u n a

z g h

s n e

m a

i j

y t

w c

s

Shannon's guessing game

START



w e u a r e e u s e e i n g u

t h r h r e n i o e i n g u

a e t a u t i n a

i u n a

z g h

s n e

m a

i j

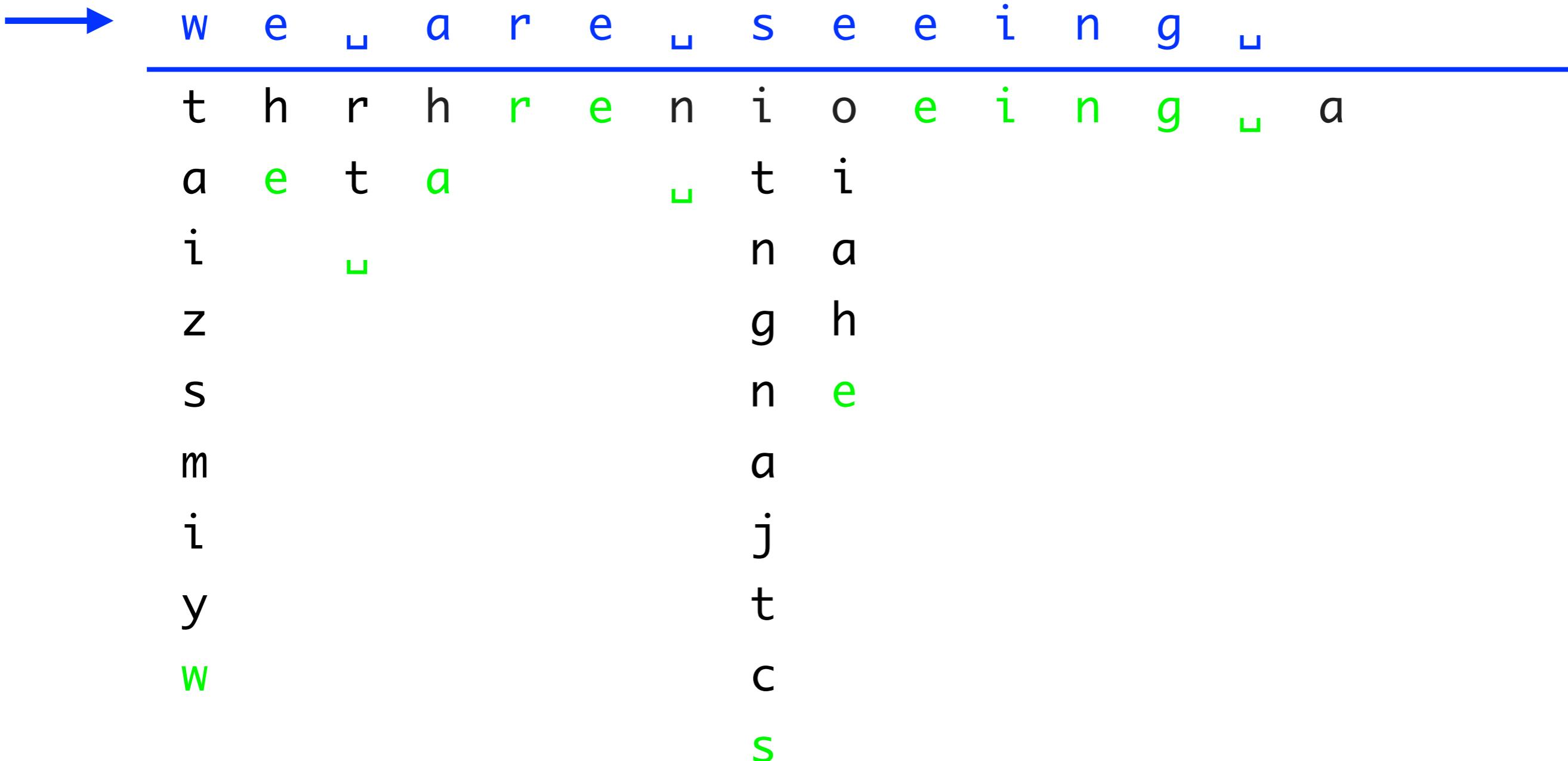
y t

w c

s

Shannon's guessing game

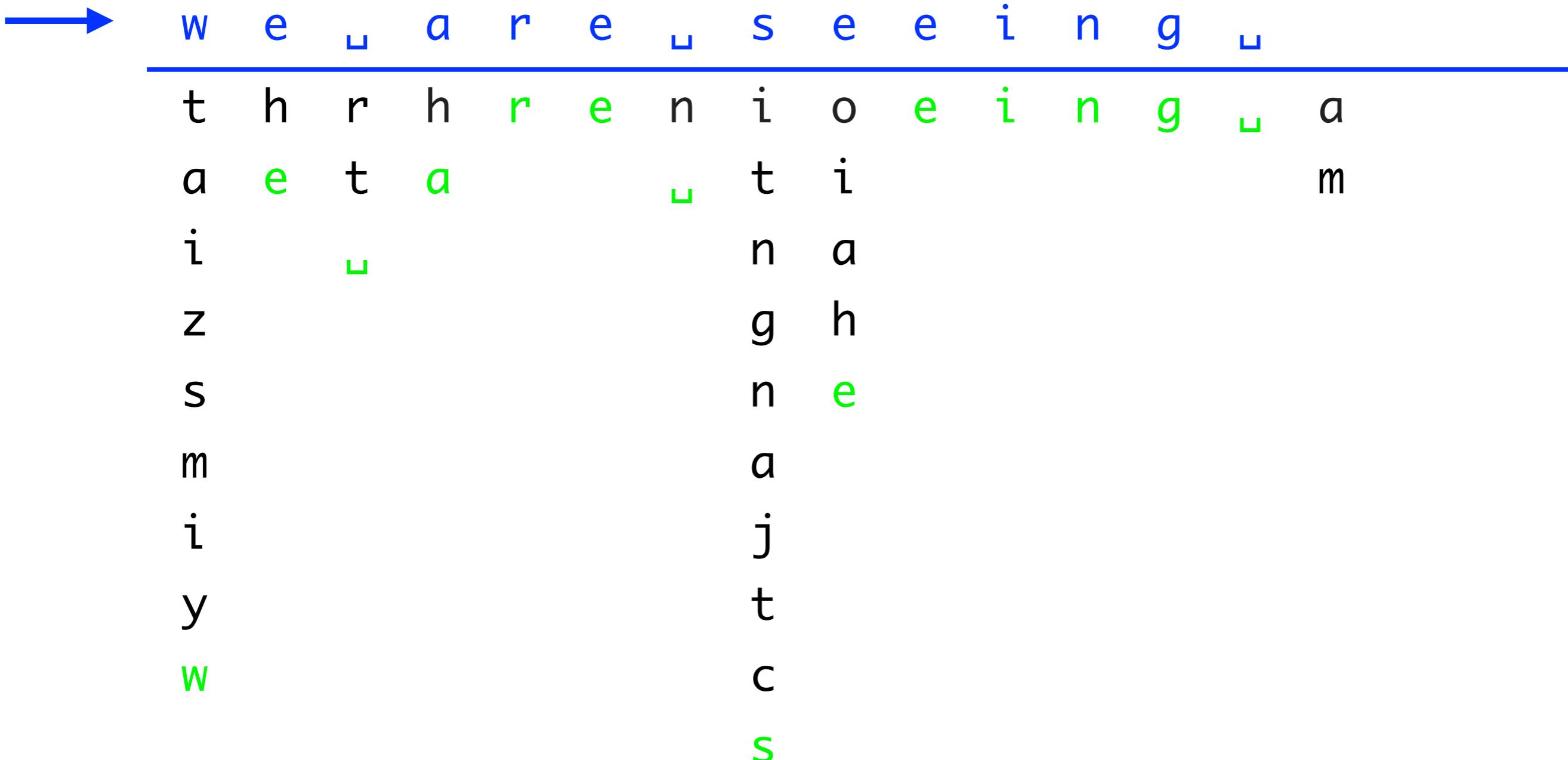
START



(Shannon, 1951; Taylor, 1953)

Shannon's guessing game

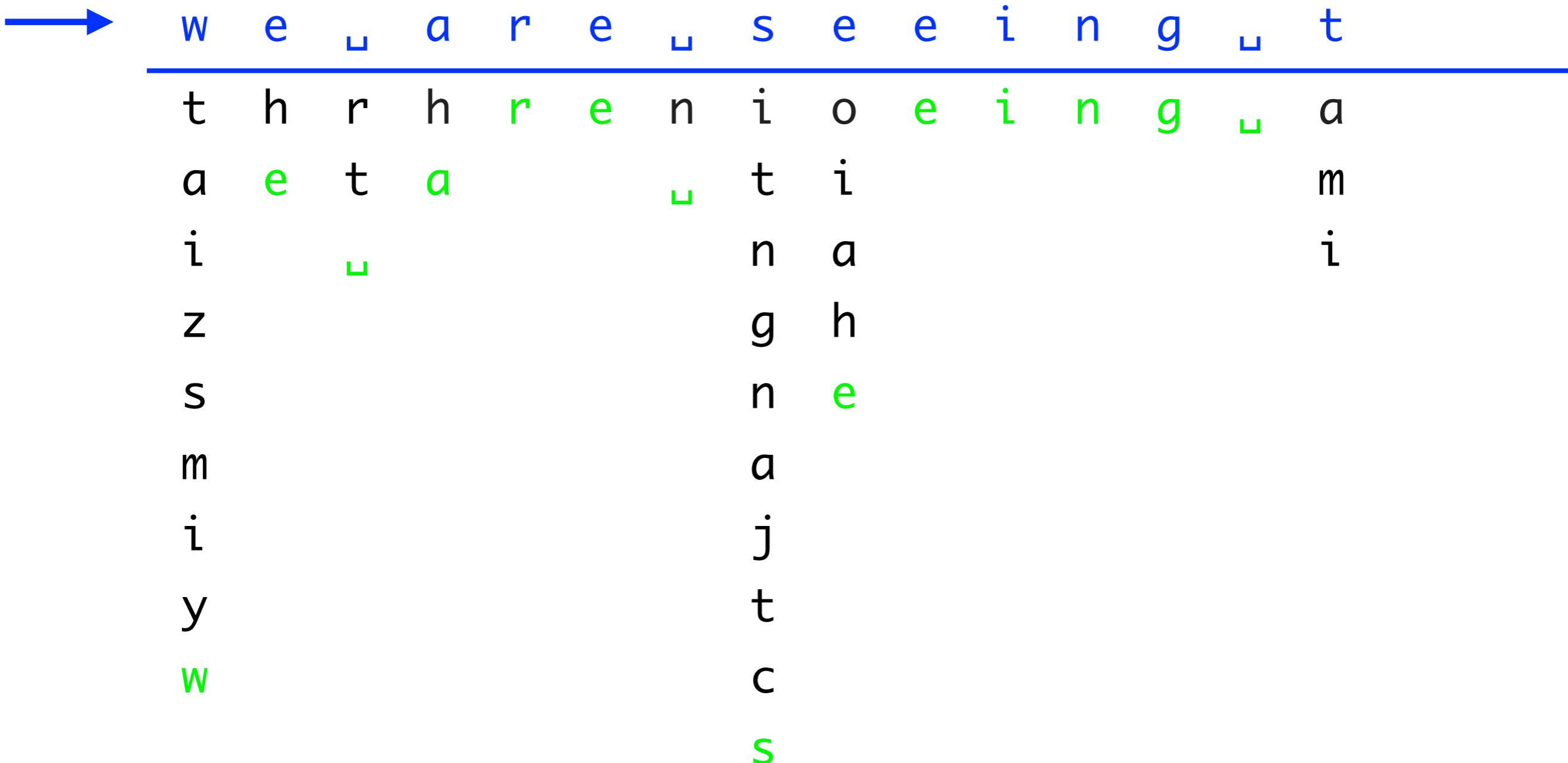
START



(Shannon, 1951; Taylor, 1953)

Shannon's guessing game

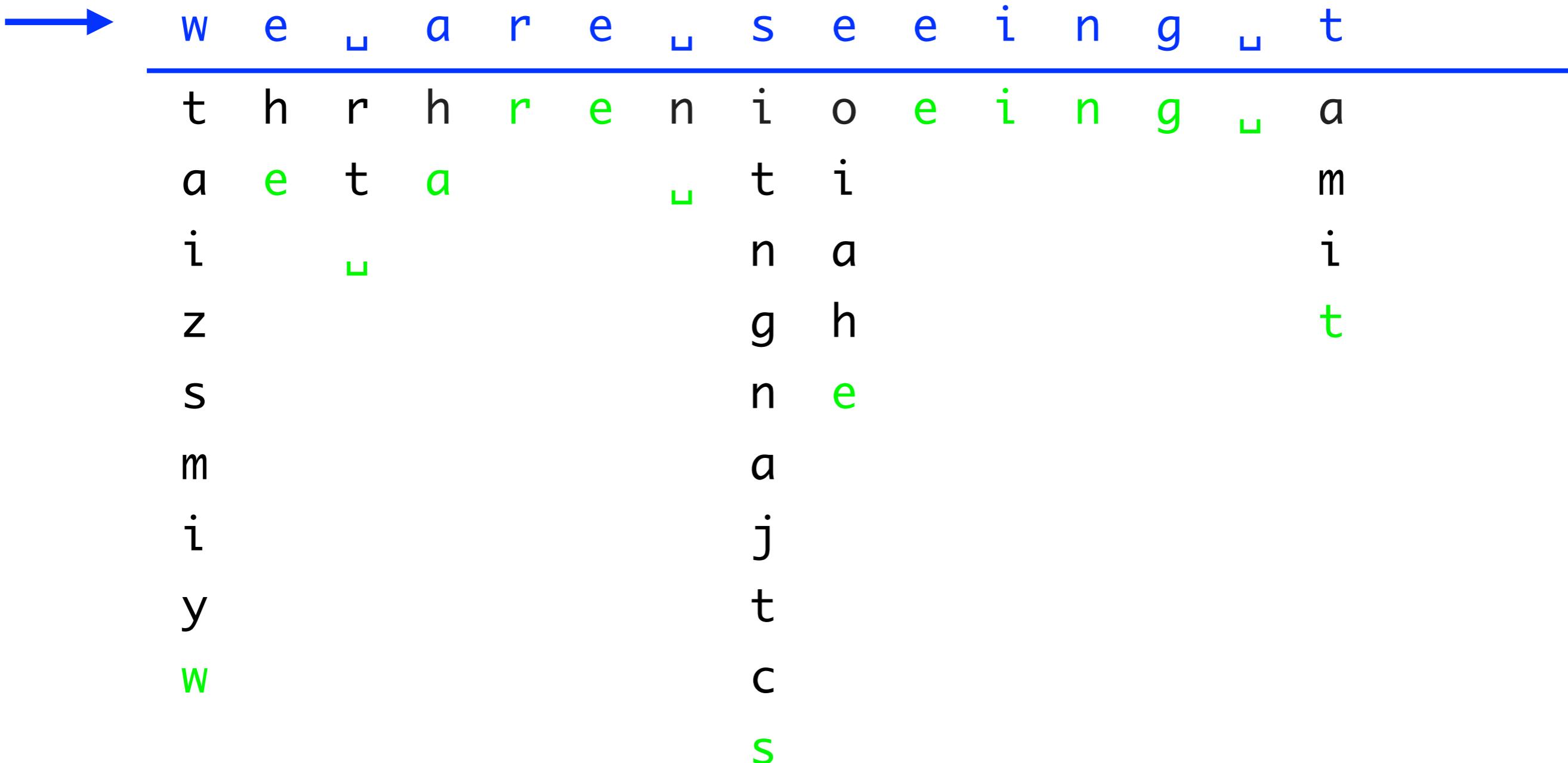
START



(Shannon, 1951; Taylor, 1953)

Shannon's guessing game

START



(Shannon, 1951; Taylor, 1953)

Shannon's guessing game

START



w e u a r e e u s e e i n g u t h

t h r h r e n i o e i n g u a h

a e t a u t i n a m

i u n a i

z g h t

s n e

m a

i j

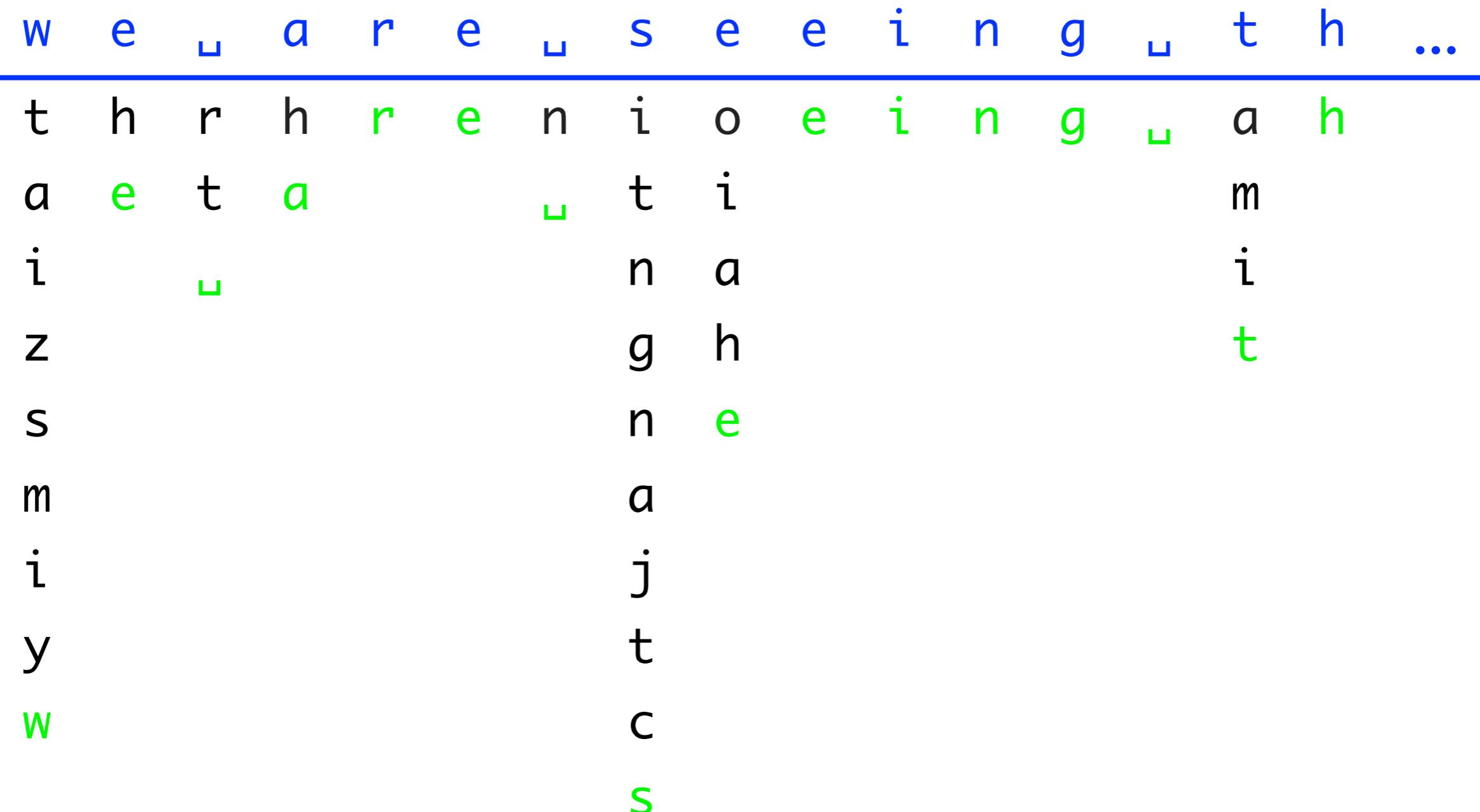
y t

w c

s

Shannon's guessing game

START



(Shannon, 1951; Taylor, 1953)

Radineg scralmbed wrods

Radineg scralmbed wrods

in tehy All btahree. unooncuiscs stay be
mmamals to to sttae for wehlas, need
selep, buscaee long, they cnnaot an too
conoscuis idnncilug but

Radineg scralmbed wrods

in tehy All btahree. unooncuiscs stay be mmamals to to sttae for wehlas, need selep, buscaee long, they cnnaot an too conoscuis idnncilug but

All mmamals selep, idnncilug wehlas, but they cnnaot stay in an unooncuiscs sttae for too long, buscaee tehy need to be conoscuis to btahree.

Applications of language prediction

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- In speech understanding, identify words incrementally!

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Applications of language prediction

- In speech understanding, identify words incrementally!

cap tucked

Applications of language prediction

- In speech understanding, identify words incrementally!

cap tucked

captain

Applications of language prediction

- In speech understanding, identify words incrementally!

cap tucked

captain

- Especially challenging given ***segmentation ambiguity***

Robustness in comprehension

(parsed Switchboard corpus; Gibson et al., 2013)

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Robustness in comprehension

I uh, I found out that my grandmother was one of a twin.

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I uh, I found out that my grandmother was one of a twin.

I

(parsed Switchboard corpus; Gibson et al., 2013)

Robustness in comprehension

I uh, I found out that my grandmother was one of a twin.

I

(parsed Switchboard corpus; Gibson et al., 2013)

Robustness in comprehension

I uh, I found out that my grandmother was one of a twin.

I

a twin

Robustness in comprehension

I uh, I found out that my grandmother was one of a twin.

I

a twin

a pair of twins

Robustness in comprehension

I uh, I found out that my grandmother was one of a twin.

I

a twin

a pair of twins

a set of twins

Robustness in comprehension

I uh, I found out that my grandmother was one of a twin.

I

a twin

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a twin

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The businessman benefited the tax law significantly.

Robustness in comprehension

I uh, I found out that my grandmother was one of a twin.

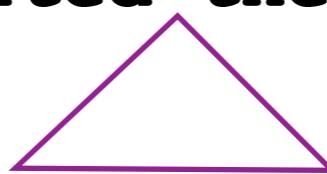
I

a twin

a pair of twins

a set of twins

The businessman benefited the tax law significantly.



Robustness in comprehension

I uh, I found out that my grandmother was one of a twin.

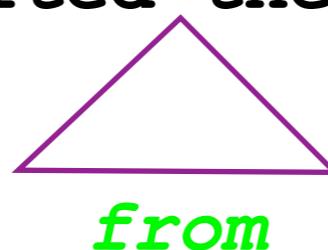
I

a twin

a pair of twins

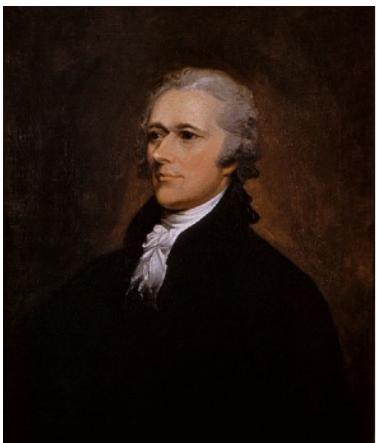
a set of twins

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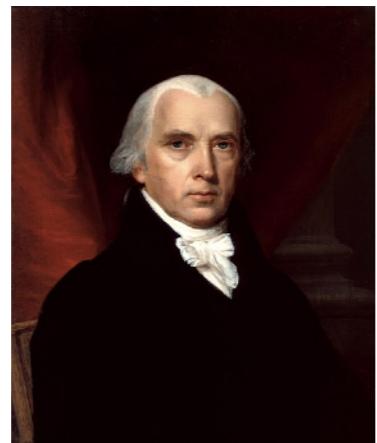


Speaker modeling (e.g., author ID)

- One of the oldest applications of probability in computational linguistics!



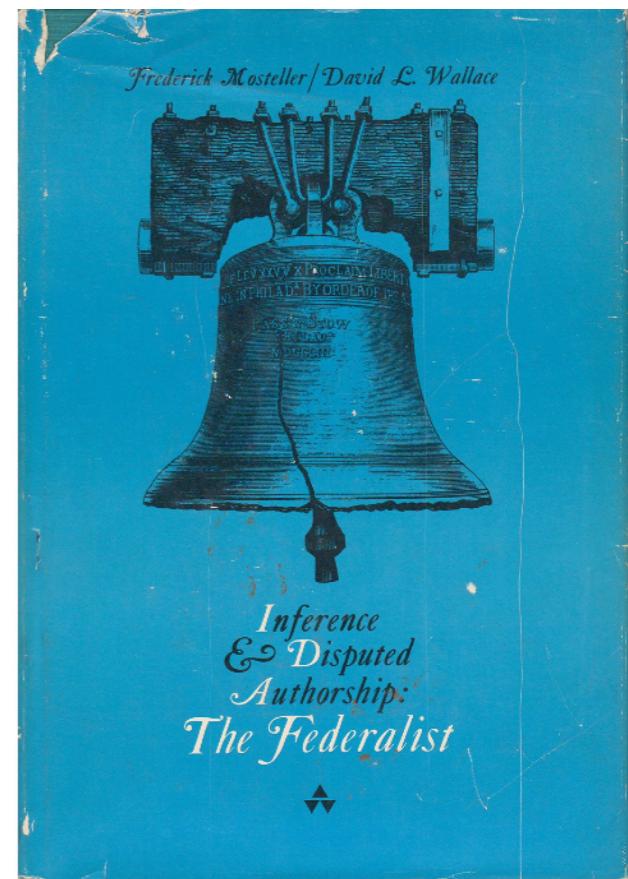
Alexander
Hamilton



James
Madison



John
Jay



As the people are the only legitimate fountain of power, and it is from them that the constitutional charter, under which the several branches of government hold their power, is derived, it seems strictly consonant to the republican theory, to recur to the same original authority, not only whenever it may be necessary to enlarge, diminish, or new-model the powers of the government, but also whenever any one of the departments may commit encroachments on the chartered authorities of the others.

— Federalist 49, Publius

Human comprehension difficulty

Human comprehension difficulty

- Brains are *prediction* engines!

Human comprehension difficulty

- Brains are ***prediction*** engines!
my brother came inside to...

Human comprehension difficulty

- Brains are ***prediction*** engines!
my brother came inside to... chat?

Human comprehension difficulty

- Brains are ***prediction*** engines!

my brother came inside to... chat? wash?

Human comprehension difficulty

- Brains are ***prediction*** engines!

my brother came inside to... chat? wash? get warm?

Human comprehension difficulty

- Brains are ***prediction*** engines!

my brother came inside to... chat? wash? get warm?

the children went outside to...

Human comprehension difficulty

- Brains are ***prediction*** engines!

my brother came inside to... chat? wash? get warm?

the children went outside to... play

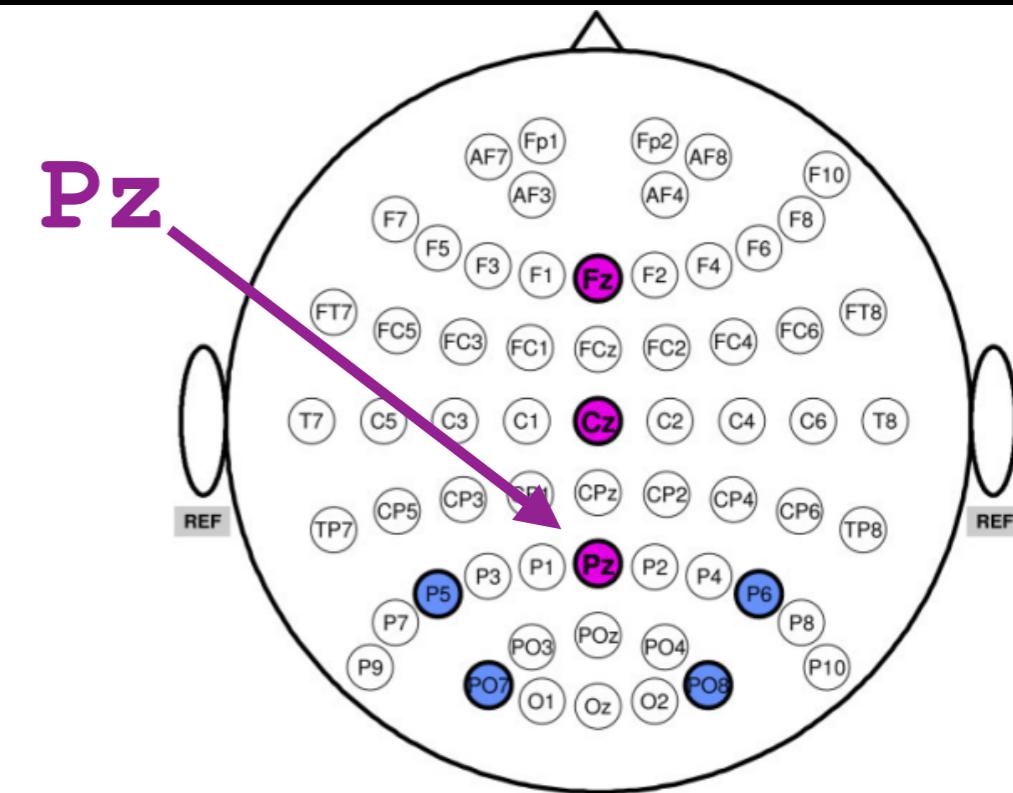
Human comprehension difficulty

- Brains are ***prediction*** engines!
my brother came inside to... chat? wash? get warm?
the children went outside to... play
- Predictable words are read faster (Ehrlich & Rayner, 1981) and have distinctive EEG responses (Kutas & Hillyard 1980)

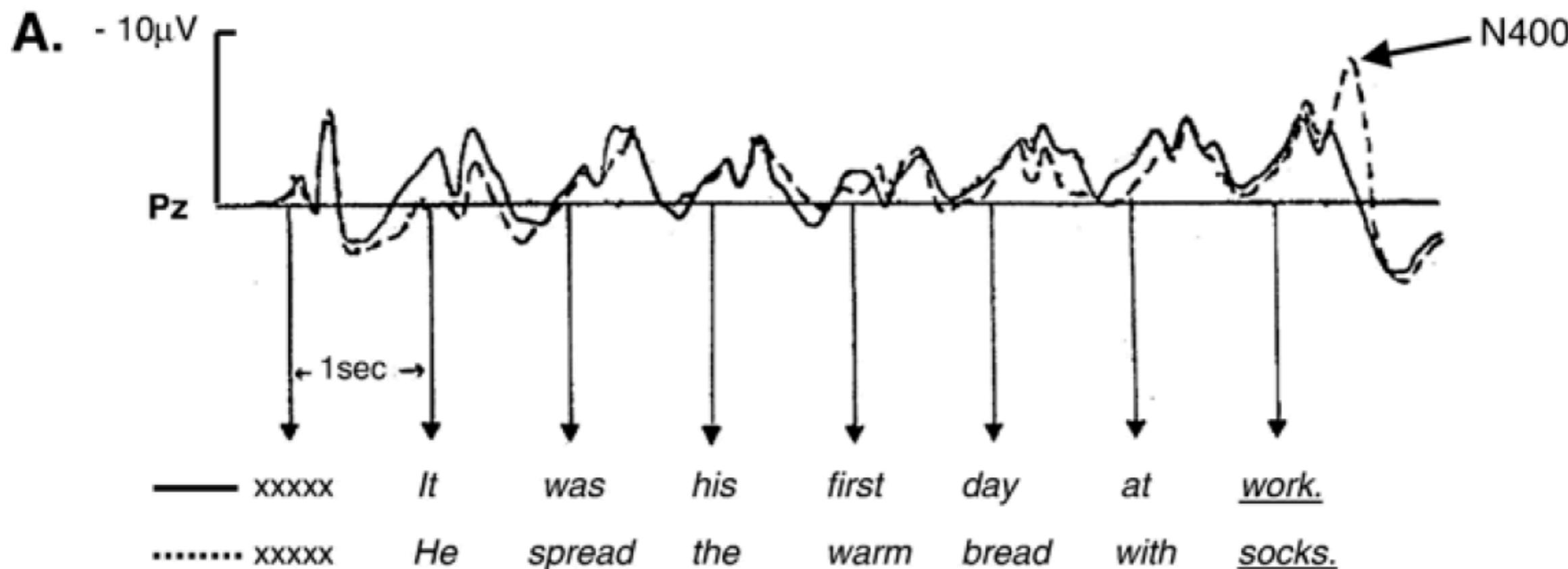
Human comprehension difficulty

- Brains are ***prediction*** engines!
my brother came inside to... chat? wash? get warm?
the children went outside to... play
- Predictable words are read faster (Ehrlich & Rayner, 1981) and have distinctive EEG responses (Kutas & Hillyard 1980)
- The more we expect an event, the easier it is to process

Word responses



Kutas & Hillyard, 1980



Encoding meaning into words

- Relevant for human language production, spoken dialog systems, machine translation, and more!

Encoding meaning into words

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dog's tail

dog's tale

Encoding meaning into words

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dog' s **tail**

6000 : 1 dog' s **tale**

Encoding meaning into words

- Relevant for human language production, spoken dialog systems, machine translation, and more!

dog's tail

6000:1 dog's tale

tail of a dog

tale of a dog

Encoding meaning into words

- Relevant for human language production, spoken dialog systems, machine translation, and more!

dog's tail

6000:1

dog's tale

tail of a dog

750:1

tale of a dog

Collocationality

- A **collocation** is a word sequence that appears “unusually often”
- Consider the following word pairs in strength of the collocate:

Collocationality

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- Consider the following word pairs in strength of the collocate:

young childhood

early childhood

Collocationality

- A **collocation** is a word sequence that appears “unusually often”
- Consider the following word pairs in strength of the collocate:

young childhood

early childhood

mass destruction

illegal destruction

Collocationality

- A **collocation** is a word sequence that appears “unusually often”
- Consider the following word pairs in strength of the collocate:

young childhood

early childhood

mass destruction

illegal destruction

good cuisine

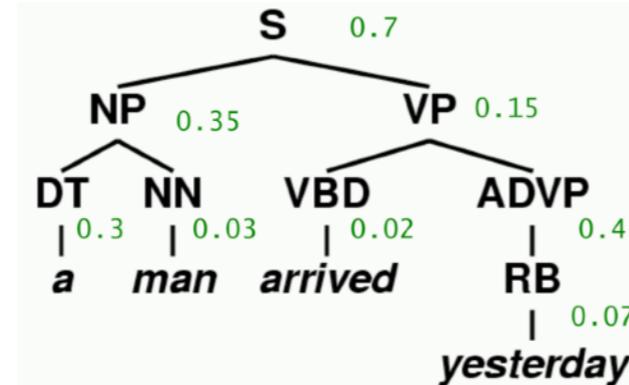
ethnic cuisine

Word sequence frequencies

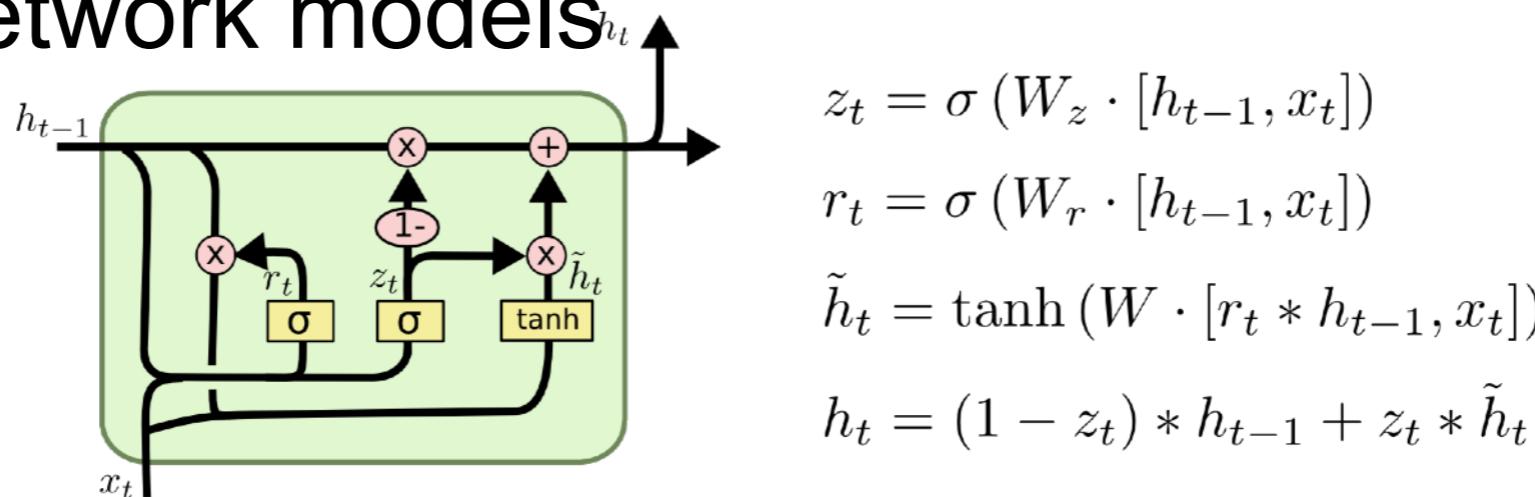


Modeling human knowledge of word sequences

- Many techniques, none perfect!
 - Probabilistic grammars



- Neural network models



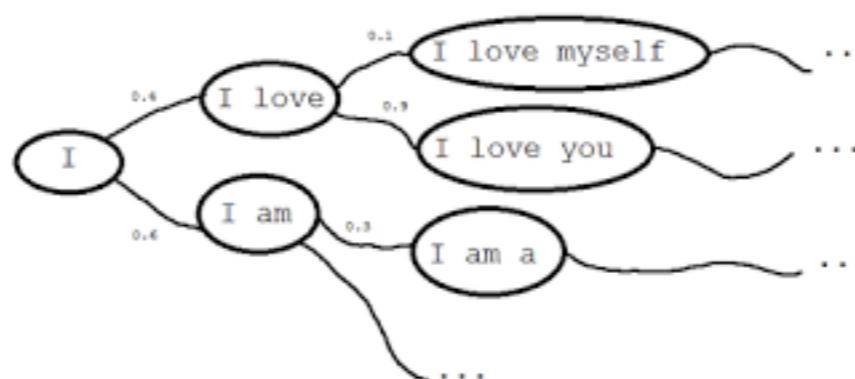
$$z_t = \sigma (W_z \cdot [h_{t-1}, x_t])$$

$$r_t = \sigma (W_r \cdot [h_{t-1}, x_t])$$

$$\tilde{h}_t = \tanh (W \cdot [r_t * h_{t-1}, x_t])$$

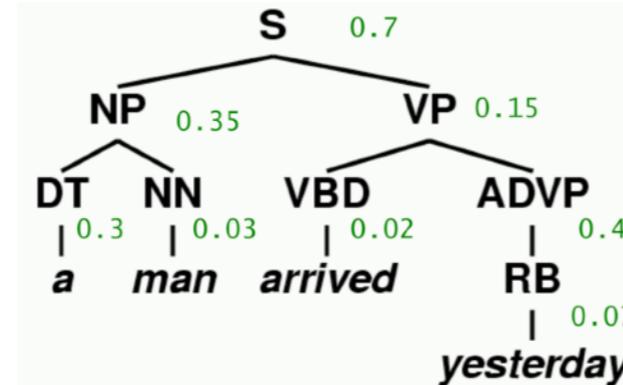
$$h_t = (1 - z_t) * h_{t-1} + z_t * \tilde{h}_t$$

- n -gram models

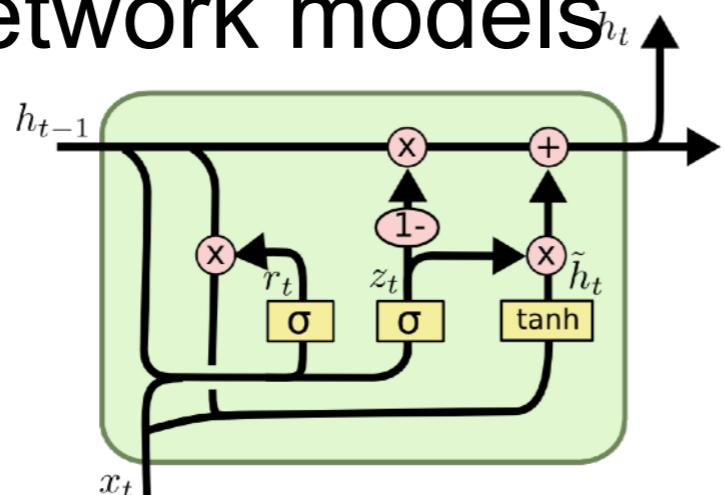


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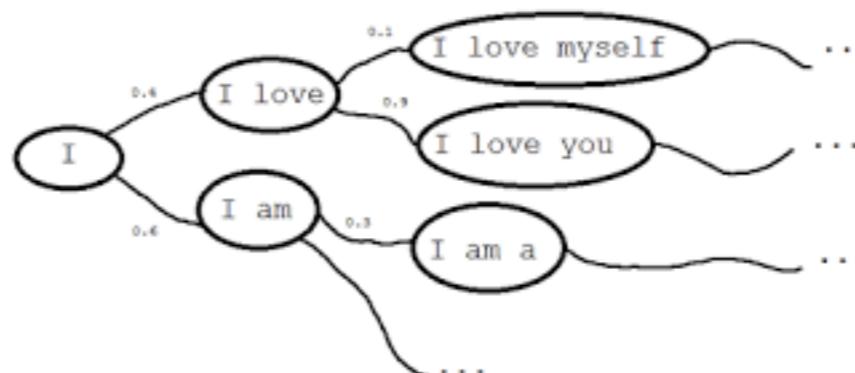
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- n -gram models



Today

n-grams from chain rule decomposition

n-grams from chain rule decomposition

- Probability that next sentence is “dogs chase cats”?

n-grams from chain rule decomposition

- Probability that next sentence is “dogs chase cats”?

$$P(\vec{w} = \$ \text{ dogs chase cats } \$)$$

n-grams from chain rule decomposition

- Probability that next sentence is “dogs chase cats”?

$$P(\vec{w} = \$ \text{ dogs chase cats } \$)$$

- Remember the chain rule!

$$P(x_1, \dots, x_k) = \prod_{i=1}^k P(x_i | x_1, \dots, x_{i-1})$$

n-grams from chain rule decomposition

- Probability that next sentence is “dogs chase cats”?

$$P(\vec{w} = \$ \text{ dogs chase cats } \$)$$

- Remember the chain rule!

$$P(x_1, \dots, x_k) = \prod_{i=1}^k P(x_i | x_1, \dots, x_{i-1})$$

- Applying this to our sentence we get

$$\begin{aligned} P(\vec{w} = \$ \text{ dogs chase cats } \$) &= P(\$ | \$ \text{ dogs chase cats}) \times \\ &\quad P(\text{cats} | \$ \text{ dogs chase}) \times \\ &\quad P(\text{chase} | \$ \text{ dogs}) \times \\ &\quad P(\text{dogs} | \$) \end{aligned}$$

n-grams from chain rule decomposition

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- Simplify—e.g., assume $w_i \perp w_{1\dots i-2} | w_{i-1}$ to give us

$$P(\$ \text{ dogs chase cats } \$) \approx P(\$ | \text{cats}) P(\text{cats} | \text{chase}) P(\text{chase} | \text{dogs}) P(\text{dogs} | \$)$$

n -grams from chain rule decomposition

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- MARKOV ASSUMPTION, giving a **2-gram (bigram)** model

n-gram approximations of Shakespeare

1 gram	<p>–To him swallowed confess hear both. Which. Of save on trail for are ay device and rote life have</p> <p>–Hill he late speaks; or! a more to leg less first you enter</p>
2 gram	<p>–Why dost stand forth thy canopy, forsooth; he is this palpable hit the King Henry. Live king. Follow.</p> <p>–What means, sir. I confess she? then all sorts, he is trim, captain.</p>
3 gram	<p>–Fly, and will rid me these news of price. Therefore the sadness of parting, as they say, 'tis done.</p> <p>–This shall forbid it should be branded, if renown made it empty.</p>
4 gram	<p>–King Henry. What! I will go seek the traitor Gloucester. Exeunt some of the watch. A great banquet serv'd in;</p> <p>–It cannot be but so.</p>

n-gram approximations of the Wall Street Journal

1
gram

Months the my and issue of year foreign new exchange's september were recession exchange new endorsed a acquire to six executives

2
gram

Last December through the way to preserve the Hudson corporation N. B. E. C. Taylor would seem to complete the major central planners one point five percent of U. S. E. has already old M. X. corporation of living on information such as more frequently fishing to keep her

3
gram

They also point to ninety nine point six billion dollars from two hundred four oh six three percent of the rates of interest stores as Mexico and Brazil on market conditions

Maximum likelihood n -gram estimation

- **General scenario:**

- You want to estimate conditional probabilities $P(Y|X)$
- You have training data consisting of some $\langle X, Y \rangle$ -pairs
- You have chosen a “model class” (a PARAMETERIZED FAMILY of probability distributions)

- **Bigram estimation:**

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- You have some sentences
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Maximum likelihood n -gram estimation

- **General scenario:**

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```

(repeat slide from lecture 3)

Maximum likelihood n -gram estimation

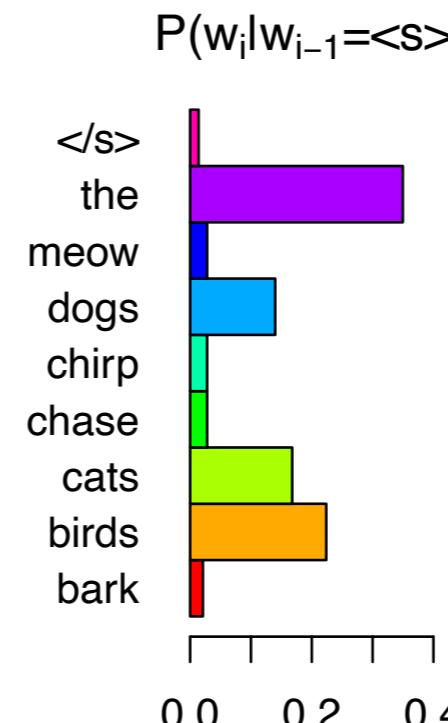
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Maximum likelihood n -gram estimation

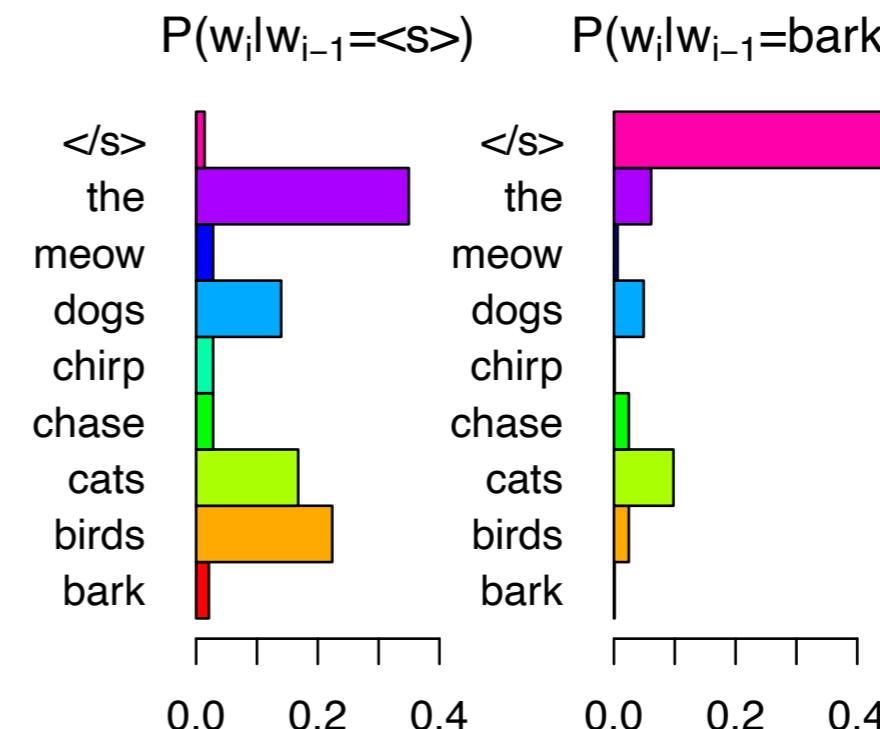
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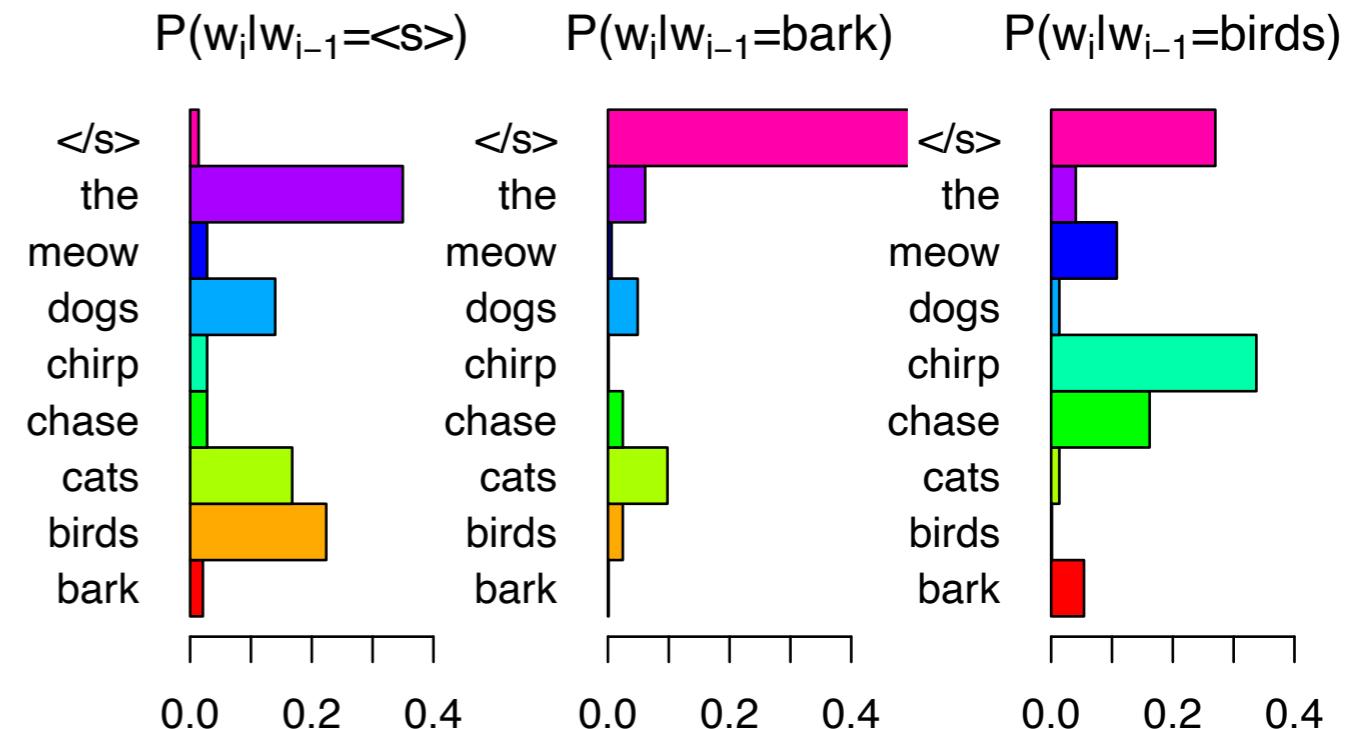
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Maximum likelihood n -gram estimation

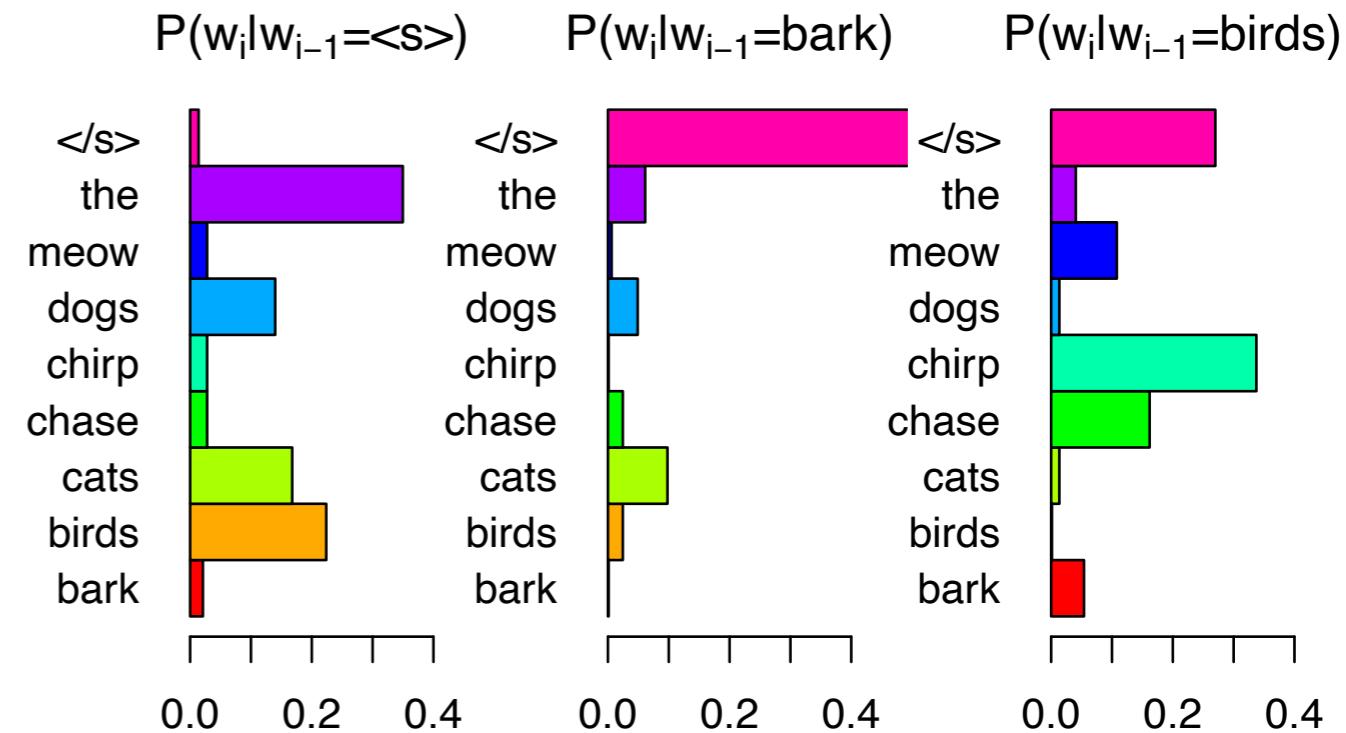
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(repeat slide from lecture 3)

and so forth...

Maximum likelihood estimation

```
<s> dogs chase cats </s>
<s> dogs bark </s>
<s> cats meow </s>
<s> dogs chase birds </s>
<s> cats chase birds </s>
<s> dogs chase the cats </s>
<s> the birds chirp </s>
```

Maximum likelihood estimation

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<s> dogs chase cats </s>
<s> dogs bark </s>
<s> cats meow </s>
<s> dogs chase birds </s>
<s> cats chase birds </s>
<s> dogs chase the cats </s>
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```

- Consider each multinomial parameter

Maximum likelihood estimation

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<s> dogs chase cats </s>
<s> dogs bark </s>
<s> cats meow </s>
<s> dogs chase birds </s>
<s> cats chase birds </s>
<s> dogs chase the cats </s>
<s> the birds chirp </s>
```

- Consider each multinomial parameter
 - e.g., let us call p the value of $P(w_i=\text{bark}|w_{i-1}=\text{dogs})$

Maximum likelihood estimation

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<s> dogs chase cats </s>
<s> dogs bark </s>
<s> cats meow </s>
<s> dogs chase birds </s>
<s> cats chase birds </s>
<s> dogs chase the cats </s>
<s> the birds chirp </s>
```

$c(w_{i-1}=\text{dogs}, w_i=\text{chase})$	= 3
$c(w_{i-1}=\text{dogs}, w_i=\text{bark})$	= 1
$c(w_{i-1}=\text{dogs})$	= 4

- Consider each multinomial parameter
 - e.g., let us call p the value of $P(w_i=\text{bark}|w_{i-1}=\text{dogs})$

Maximum likelihood estimation

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<s> dogs chase cats </s>
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<s> cats chase birds </s>
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$c(w_{i-1}=\text{dogs}, w_i=\text{chase})$	= 3
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- Consider each multinomial parameter
 - e.g., let us call p the value of $P(w_i=\text{bark}|w_{i-1}=\text{dogs})$

w_{i-1}	w_i
dogs	chase
dogs	bark
dogs	chase
dogs	chase

Maximum likelihood estimation

```
<s> dogs chase cats </s>
<s> dogs bark </s>
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- Consider each multinomial parameter
 - e.g., let us call p the value of $P(w_i=\text{bark}|w_{i-1}=\text{dogs})$
 - So the value of $P(w_i \neq \text{bark}|w_{i-1}=\text{dogs})$ is $1-p$

w_{i-1}	w_i
dogs	chase
dogs	bark
dogs	chase
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Maximum likelihood estimation

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<s> dogs chase cats </s>
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 - So the value of $P(w_i \neq \text{bark}|w_{i-1}=\text{dogs})$ is $1-p$
 - Likelihood for the part of the data where $w_{i-1}=\text{dogs}$:

w_{i-1}	w_i
dogs	chase
dogs	bark
dogs	chase
dogs	chase

Maximum likelihood estimation

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dogs	chase
dogs	chase

$$p(1 - p)^3$$

Maximum likelihood estimation

w_{i-1}	w_i
dogs	chase
dogs	bark
dogs	chase
dogs	chase

Maximum likelihood estimation

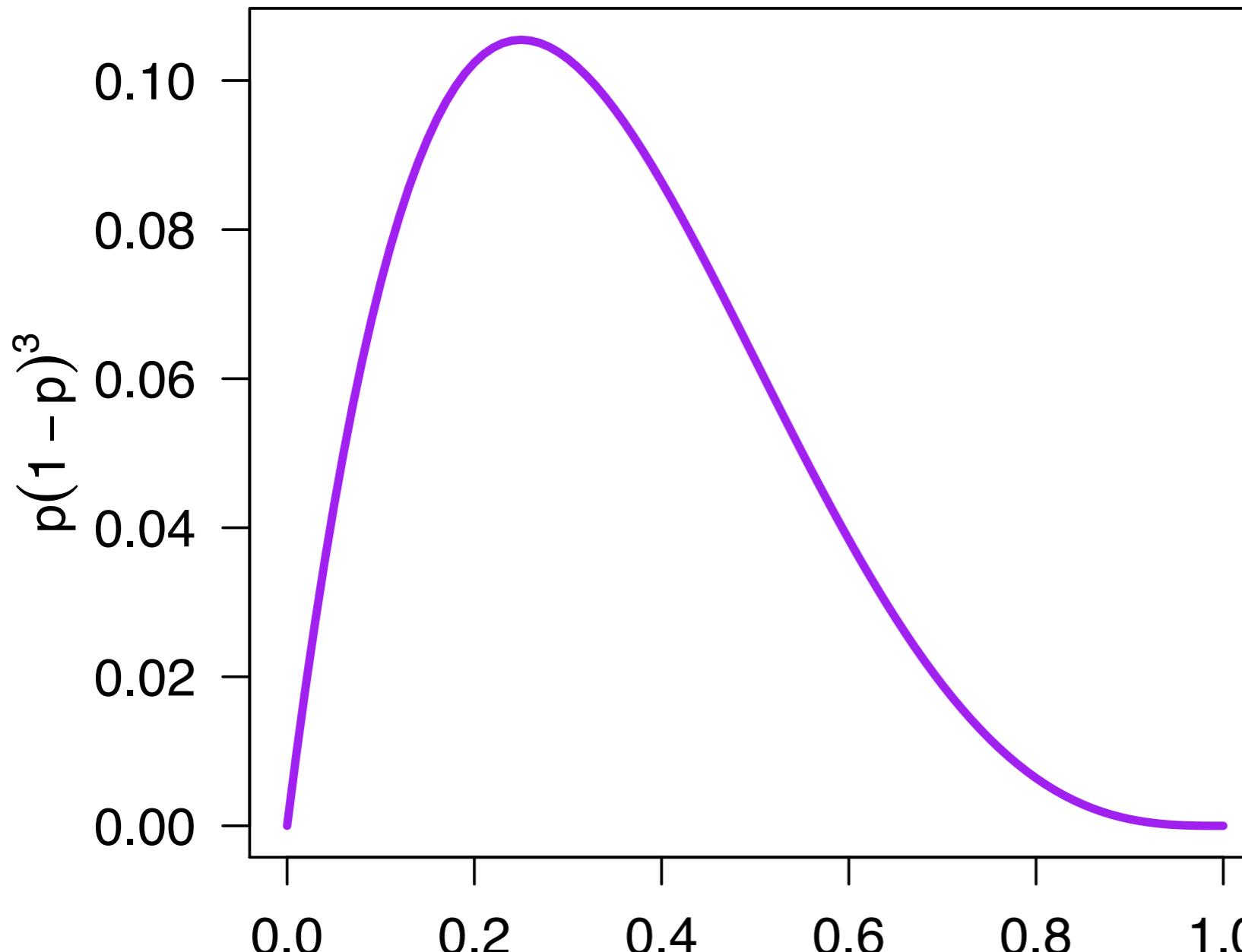
- p refers to the value of $P(w_i=\text{bark}|w_{i-1}=\text{dogs})$
- Likelihood for that part of data where $w_{i-1}=\text{dogs}$:

w_{i-1}	w_i
dogs	chase
dogs	bark
dogs	chase
dogs	chase

Maximum likelihood estimation

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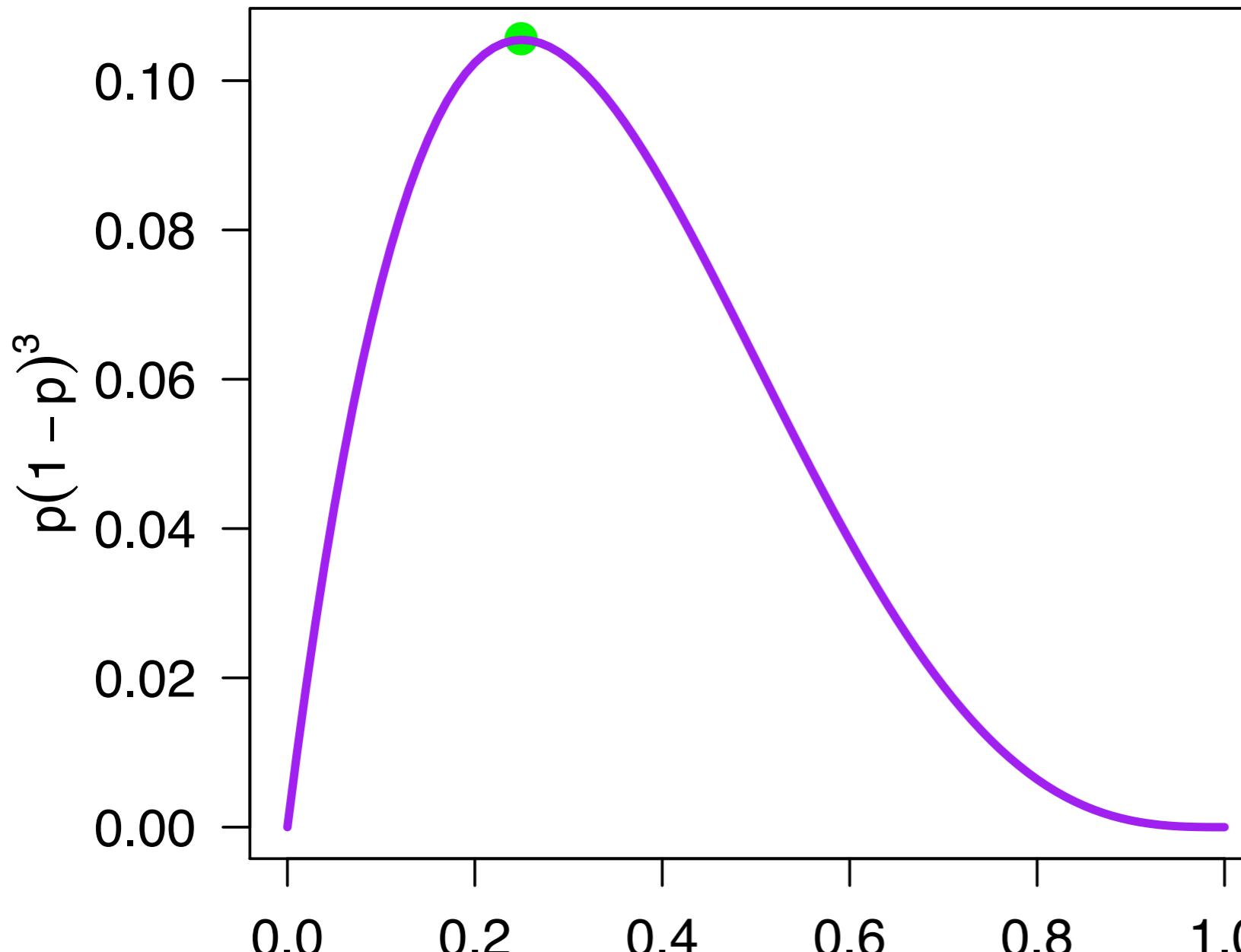
w_{i-1}	w_i
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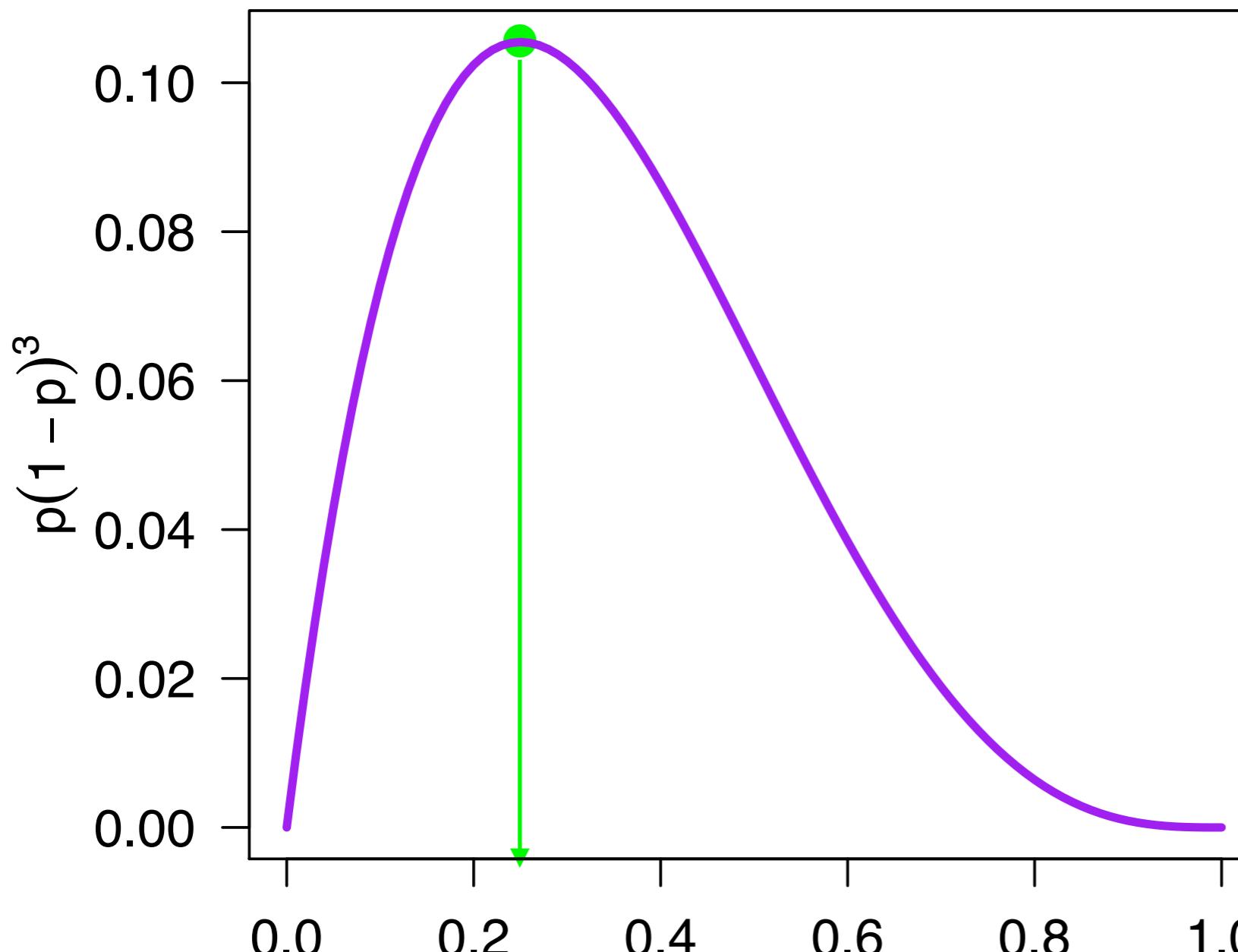
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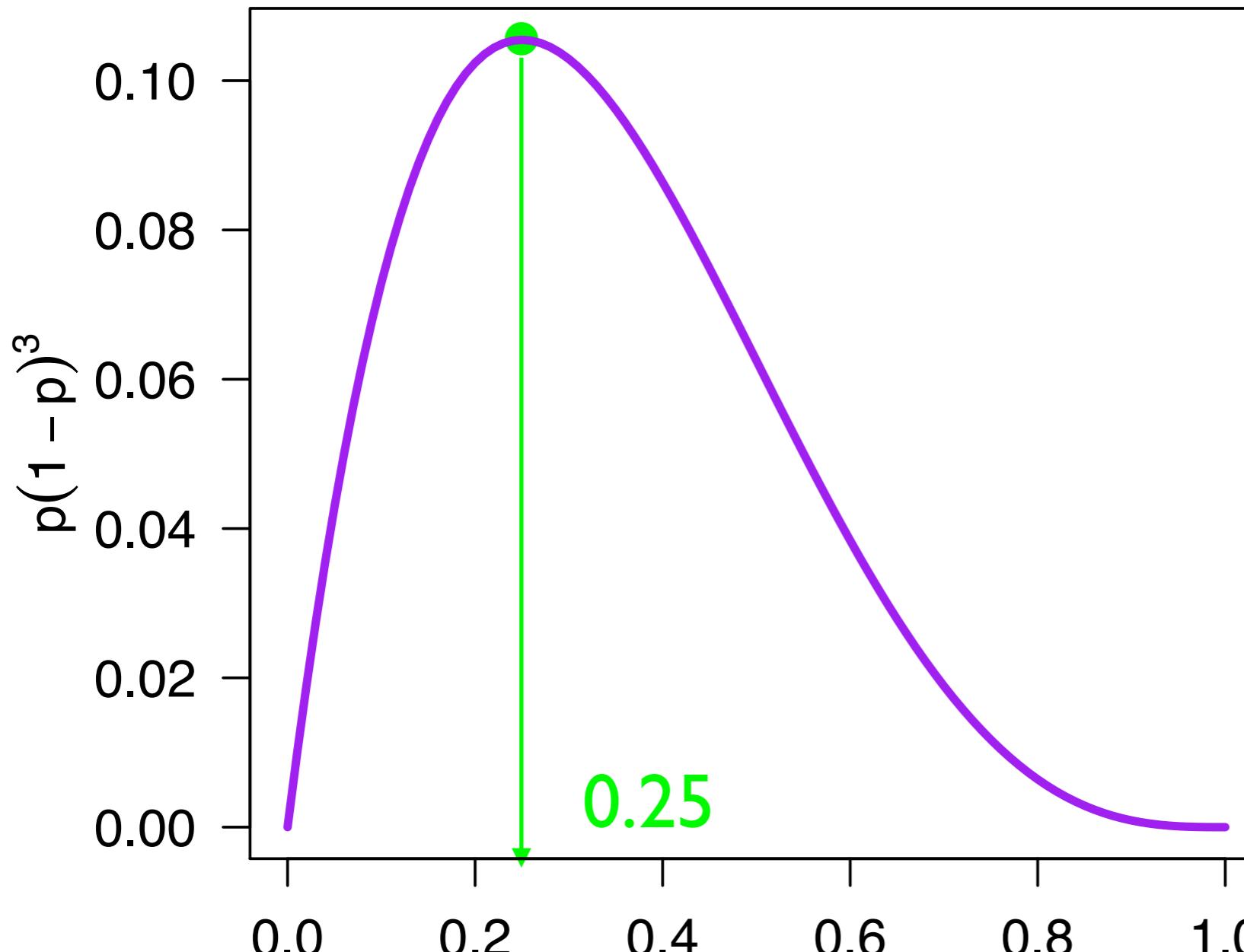
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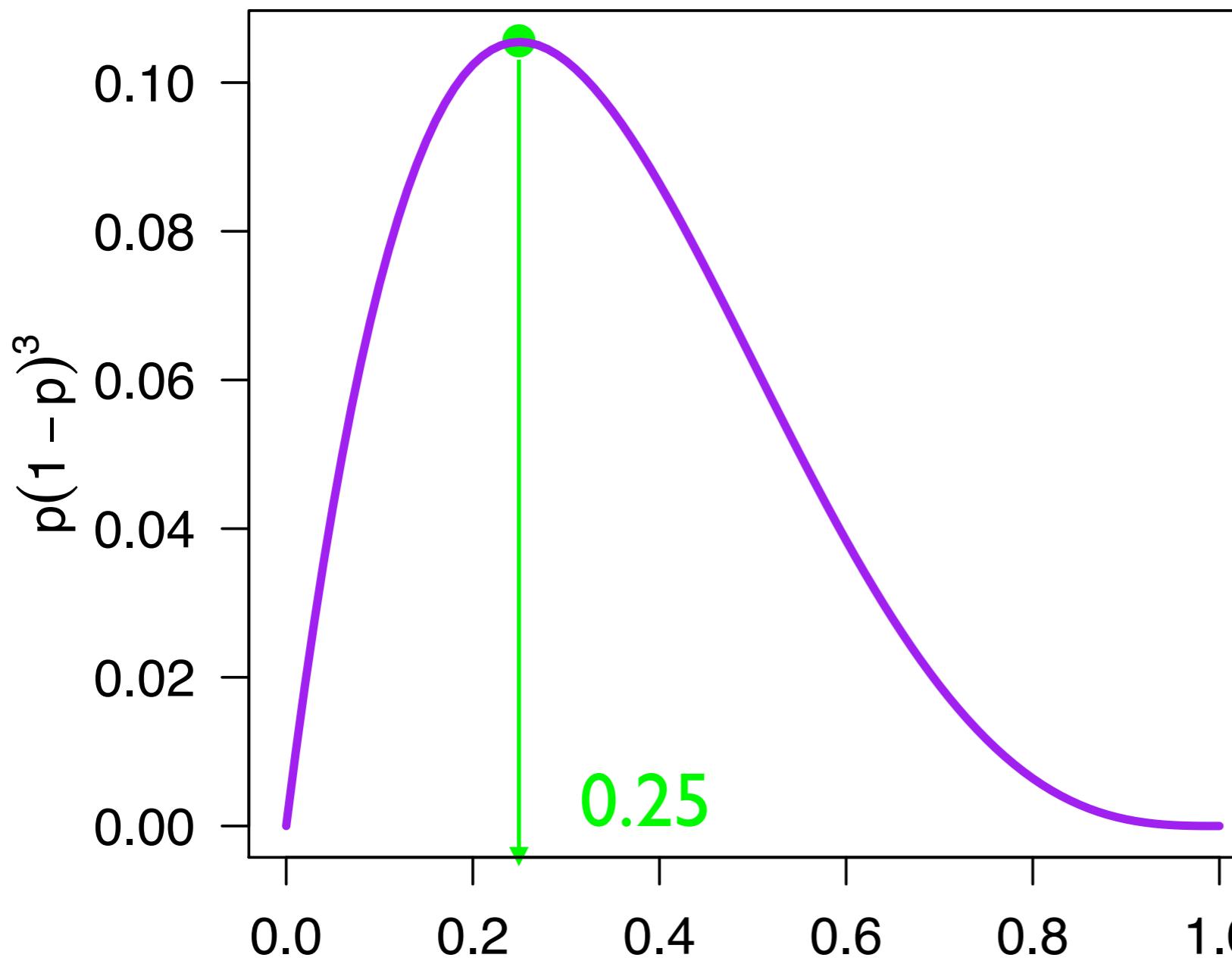
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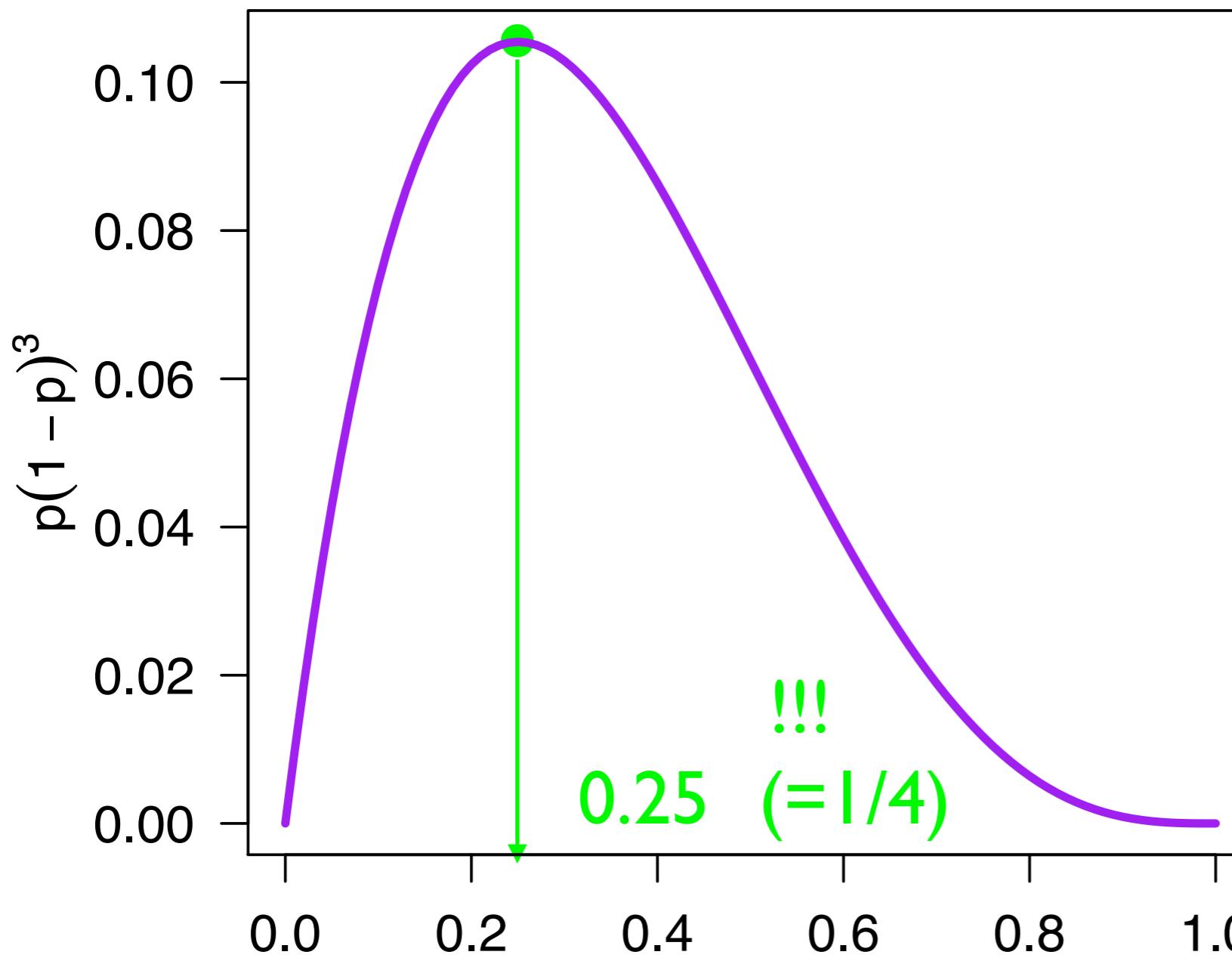


This is choosing the
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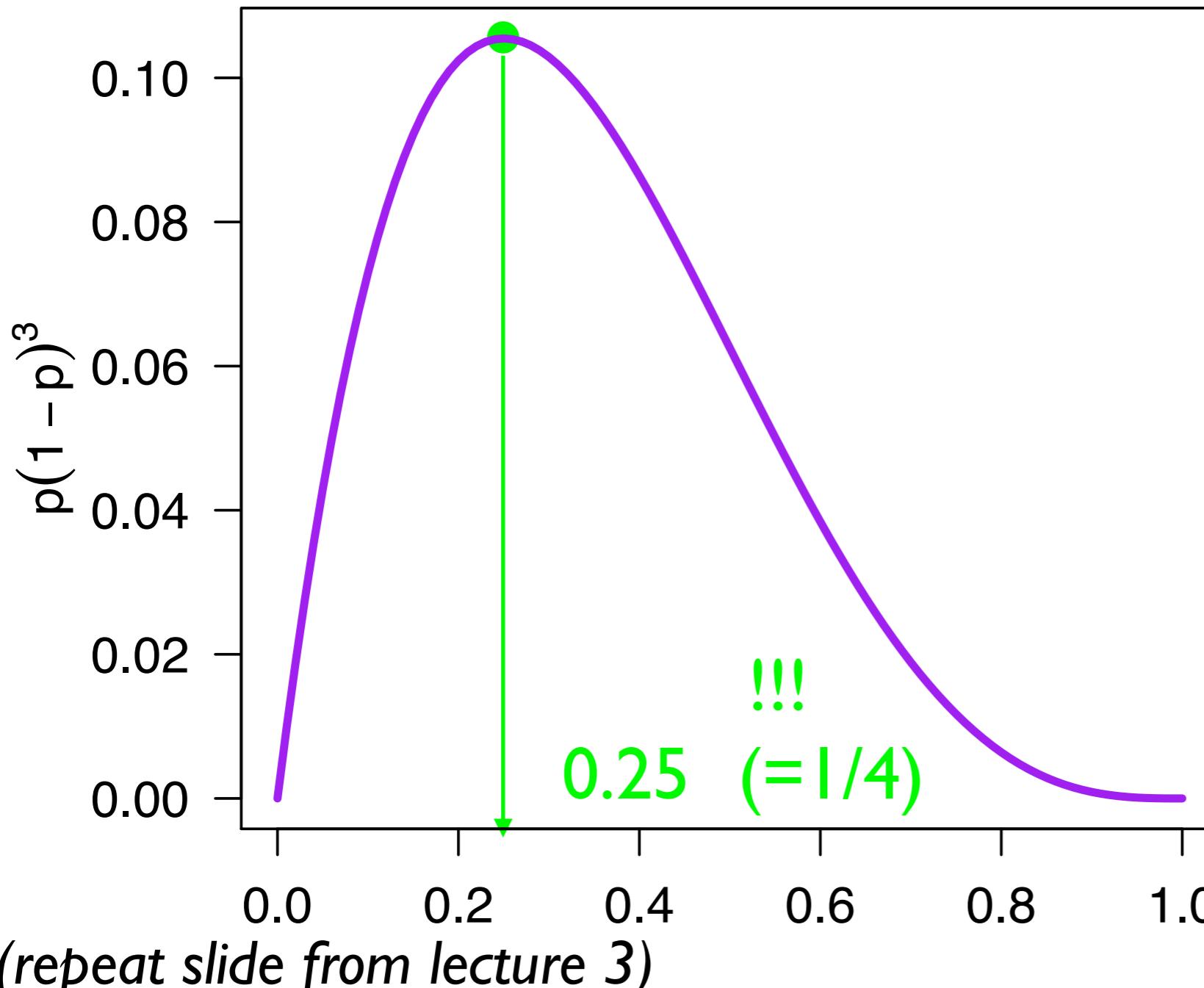


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This is choosing the
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The **MLE** also turns
out to be the *relative*
frequency estimate
(**RFE**)

Why smooth n -gram models?

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Training data (bigram-counts representation):

```
Context the, events: cats: 1 birds: 1
Context meow, events: </s>: 1
Context birds, events: chirp: 1 </s>: 2
Context chirp, events: </s>: 1
Context cats, events: meow: 1 </s>: 2 chase: 1
Context bark, events: </s>: 1
Context </s>, events: the: 1 cats: 2 dogs: 4
Context dogs, events: bark: 1 chase: 3
Context chase, events: the: 1 cats: 1 birds: 2
```

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Held-out data:

```
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Held-out data:

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Maximum-likelihood estimation gives *no* generalization to unseen events in the n -gram representation

Idea 1: additive smoothing

- Add a “pseudo”-count to each $\langle \text{context}, \text{event} \rangle$ pair

w_{-1}	w_i	Count
dogs	$\langle /s \rangle$	0
dogs	bark	1
dogs	birds	0
dogs	chase	3
dogs	dogs	0
dogs	the	0

Idea 1: additive smoothing

- Add a “pseudo”-count to each $\langle \text{context}, \text{event} \rangle$ pair

$$\widehat{P}_{\text{Laplace}}(w_i | w_{i-n+1} \dots w_{i-1}) = \frac{\text{Count}(w_{i-n+1} \dots w_{i-1} w_i) + 1}{\text{Count}(w_{i-n+1} \dots w_{i-1}) + V}$$

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dogs	</s>	0	1
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dogs	chase	3	4
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bark	</s>	1	
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bark	birds	0	1
bark	chase	0	1
bark	dogs	0	1
bark	the	0	1

$$\hat{P}_{MLE}(</s>|bark) = 1$$



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dogs	the	0	1
bark	</s>	1	2
bark	bark	0	1
bark	birds	0	1
bark	chase	0	1
bark	dogs	0	1
bark	the	0	1

$$\hat{P}_{MLE}(</s>|bark) = 1$$

$$\hat{P}_{Laplace}(</s>|bark) = \frac{1}{6}$$

Idea 1: additive smoothing

- Add a “pseudo”-count to each $\langle \text{context}, \text{event} \rangle$ pair

$$\hat{P}_{\text{Laplace}}(w_i | w_{i-n+1} \dots w_{i-1}) = \frac{\text{Count}(w_{i-n+1} \dots w_{i-1} w_i) + 1}{\text{Count}(w_{i-n+1} \dots w_{i-1}) + V} \quad \text{← vocabulary size}$$

w_{-1}	w_i	Count	Add-one count
dogs	</s>	0	1
dogs	bark	1	2
dogs	birds	0	1
dogs	chase	3	4
dogs	dogs	0	1
dogs	the	0	1
bark	</s>	1	2
bark	bark	0	1
bark	birds	0	1
bark	chase	0	1
bark	dogs	0	1
bark	the	0	1

$$\hat{P}_{MLE}(</s>|bark) = 1$$

$$\hat{P}_{Laplace}(</s>|bark) = \frac{1}{6}$$

- Too much added probability mass for rare (i.e., typical) contexts!

Generalized additive smoothing

- We can also add less than 1 to each count

$$\hat{P}_{\text{Laplace}}(w_i | w_{i-n+1} \dots w_{i-1}) = \frac{\text{Count}(w_{i-n+1} \dots w_{i-1} w_i) + \lambda}{\text{Count}(w_{i-n+1} \dots w_{i-1}) + \lambda V}$$

- But this doesn't turn out to do so great in practice, either (we'll see in practicum)
- Fundamental issue: we should make different generalizations about:
 - different contexts;
 - and different events.
- Additive smoothing accomplishes neither of these

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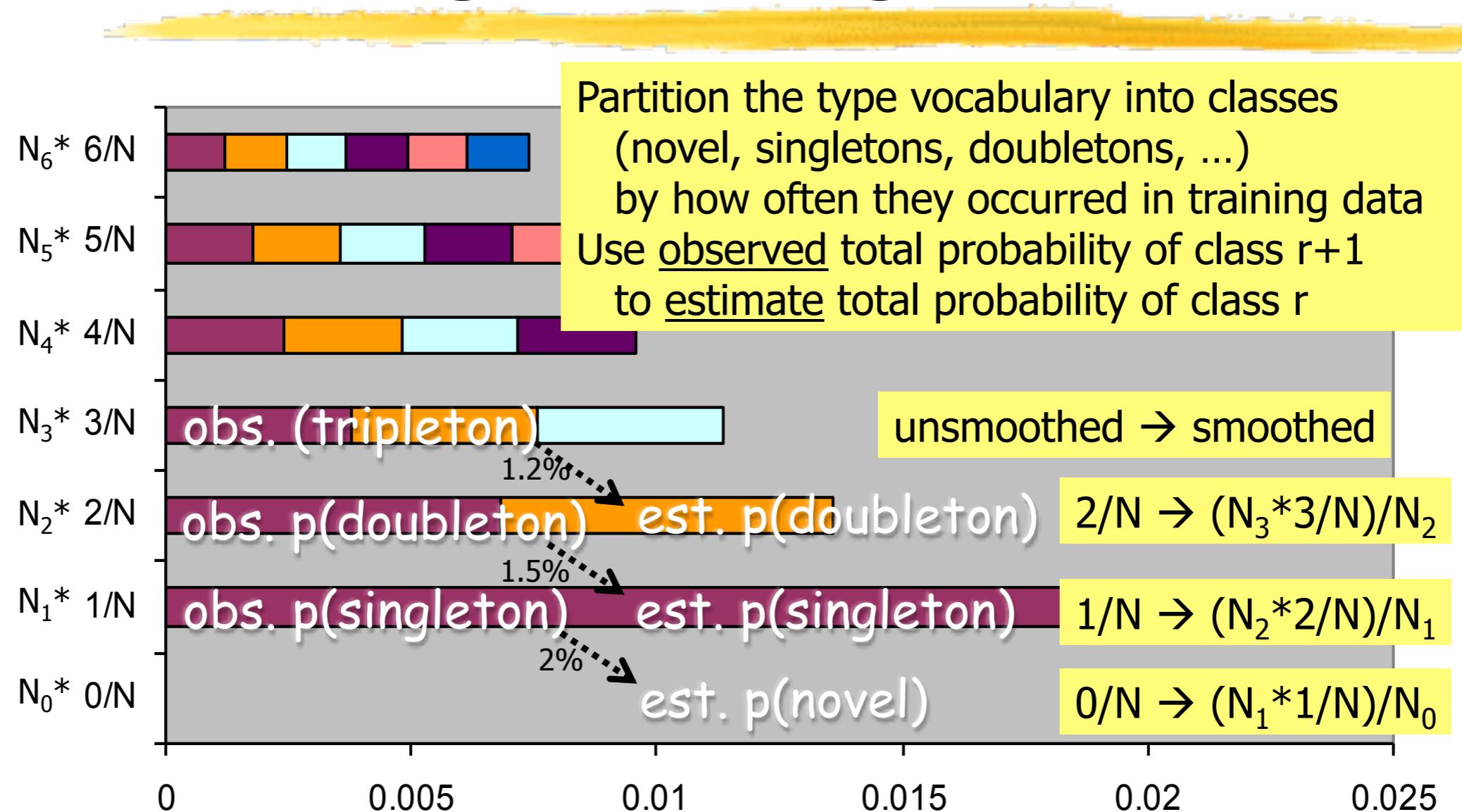
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- And we can extend this approach to higher-order n -grams

Idea 3: Leveraging a context's type diversity

- The more rare events a context has, the more new events we should expect!

Good-Turing Smoothing Idea



Idea 4: leveraging an event's context diversity

I can't see without my reading

Define the **continuation probability** of a word as the number of <context,word> pairs it completes

$$P_{CONTINUATION}(w) = \frac{|\{w_{i-1} : c(w_{i-1}, w) > 0\}|}{|\{(w_{j-1}, w_j) : c(w_{j-1}, w_j) > 0\}|}$$

(example courtesy Dan Jurafsky)

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Kneser-Ney smoothing

$$P_{KN}(w_i \mid w_{i-1}) = \frac{\max(c(w_{i-1}, w_i) - d, 0)}{c(w_{i-1})} + \lambda(w_{i-1}) P_{CONTINUATION}(w_i)$$

$$\lambda(w_{i-1}) = \frac{d}{c(w_{i-1})} \left| \{w : c(w_{i-1}, w) > 0\} \right|$$

Ideas we haven't implemented yet

- **Generalizing across contexts or events** in terms of their similarity to one another
- **Varying the window of context** that we consider
- Representing “proximity” to the event **in non-linear terms**