# Distributed Coloration Neighborhood Search

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ABSTRACT. This paper describes and evaluates distributed versions of the impasse class and s-impasse class coloration neighborhood search algorithms. The main result is that maintaining a population of the best colorations seen so far and repeatedly attempting to improve them in parallel is faster and produces better colorations than doing independent concurrent search. The results of experimentation with a variety of graphs are presented to illustrate this result.

#### 1. Introduction

A coloration neighborhood is an implicit mapping  $\mathcal{F}:\Pi\to 2^{\Pi}$ , where  $\Pi$  is the set of all colorations of a graph, G = (V, E). Coloration neighborhood search (CNS) algorithms traverse a neighborhood structure in search of an improved coloration by repeatedly sampling the neighborhood of the current coloration as shown in Figure 1. These algorithms are computation intensive, but usually produce much better colorations than those obtained by doing multiple runs, for the same length of time, using randomized versions of the known polynomial time approximation algorithms. Acceptance of a neighboring coloration is determined using an objective function that prescribes a value to each coloration. Smaller objective function values correspond to better colorations. To avoid local optimum traps, one must allow for the possibility of accepting a neighboring coloration that represents a move contrary to the direction of optimality. A well known and successful mechanism used in simulated annealing involves generating a random number,  $0 \le r < 1$ , and then accepting a disimprovement only if  $r < e^{-\Delta/T}$  where  $\Delta$  is the magnitude of the disimprovement and T is a control parameter called the temperature. Though simulated annealing requires a temperature reduction schedule, previous experience indicates that for some

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FIGURE 1. Generic CNS Algorithm

neighborhoods, equivalent or improved coloring results can be obtained by running at a fixed optimized temperature [8, 9] (though multiple short runs are required to determine this temperature for a class of graphs).

In this paper, the problem of distributing a coloration neighborhood search amongst several processors is examined, where the target system is one that is commonly available—a cluster of workstations. The most obvious approach is to perform concurrent runs of the algorithm given in Figure 1. That is, to concurrently follow independent paths in the neighborhood structure, where each (partial) coloration spawns and is replaced by a single new (partial) coloration. However, when doing multiple runs with a tight target number of allowed colors (using the impasse class neighborhood described below with the Metropolis acceptance criterion and a fixed temperature), it was observed that allowing each search to run for increasing periods of time did not significantly increase the number of successful searches. This indicated that if a search was not successful within a certain time limit, then the remaining time could be better spent by starting a new run. The implication for the design of a distributed coloration neighborhood search algorithm was that improved results might be obtained by maintaining a population of the best partial colorations seen so far. These partial colorations are farmed out to individual processors, which try to improve them within a parameterized number of iterations. That is, rather than starting a completely new search when a partial coloration cannot be improved within the time bound, a search is restarted from one of the existing good solutions in the population. On the other hand, if a partial coloration is improved, then the improvement is inserted into the population and the worse member of the population is removed. Thus, multiple dependent search paths are concurrently explored in the neighborhood structure, where several new paths can be spawned from a single given partial coloration.

The coarse grain parallelism of this distributed design makes it attractive for implementation on a workstation cluster using a manager-worker model. The algorithms tested were implemented in C and SR [1], and distributed runs were performed on five identically configured, 80 MHz, Sun Sparcstation-2 workstations (running almost the speed of a Sparcstation-10). Section 2 describes the neighborhood structures used, the algorithms are presented in Section 3, the experimental results are given in Section 4, and we conclude in Section 5.

### 2. The Coloration Neighborhoods

Modified versions of two coloration neighborhoods proposed in [8, 9] are utilized by the search algorithms. The *impasse class* neighborhood structure is used to try to improve a partial k coloration into a complete k coloration, and the s-chain neighborhood is used to jump to a new impasse class solution when it is determined that the impasse class process has become stuck.

The impasse class neighborhood requires that a target value, k, be provided for the number of colors to be used. A solution of the impasse class neighborhood is a partition of V into k+1 color classes,  $V_0, \ldots, V_k$ , in which all classes except possibly  $V_k$  are proper.  $V_k$  initially contains all vertices (an empty coloration) and the objective is to make  $V_k$  empty (a complete coloration) by doing a sequence of i-swaps.  $V_k$  is called the impasse class and contains vertices that are "at impasse" with the k proper classes. Given impasse vertex  $v \in V_k$  and  $0 \le j < k$ , let

$$U_{v,j} = \{ w \mid w \in V_j \text{ and } (v, w) \in E(G) \}.$$

An i-swap operation involving  $v \in V_k$  and  $V_i$  then performs the following steps:

- (i) remove all  $w \in U_{v,j}$  from  $V_j$  and add them to  $V_k$ , and
- (ii) remove v from  $V_k$  and add it to  $V_i$ .

Rather than minimizing  $|V_k|$ , the objective used was to minimize the value

$$d_k = \sum_{v \in V_k} d(v)$$

where d(v) is the vertex degree of v. This forces vertices of small degree into the impasse class, and these vertices are more easily colored. The Metropolis method is used to search the impasse class neighborhood structure, but with a low fixed temperature and (re)starting from initially good partial colorations. To avoid the performance penalty associated with a low acceptance rate, we refined the impasse class neighborhood to use rejectionless Metropolis move selection [3]. In the refinement, a move is selected with respect to a given temperature T as follows (see Figure 2):

(i) Choose a vertex  $v \in V_k$  uniformly at random, and let

$$\Delta_j = \sum_{w \in U_{v,j}} d(w).$$

- (ii) If  $\Delta_j = 0$  for some j, then insert v into proper class  $V_j$ .
- (iii) Otherwise, perform an *i*-swap with proper class  $V_j$ , where j is selected with probability

$$P_j = rac{e^{-\Delta_j/T}}{e^{-\Delta_0/T} + \cdots + e^{-\Delta_{k-1}/T}}.$$

An additional efficiency gain was obtained by maintaining a cache of  $\Delta_j$  values, one value for each  $(v, V_j)$  pair, and by determining  $e^{-\Delta_j/T}$  by table lookup (since the  $\Delta$ 's are all integer valued and since T is fixed).

```
function i-swap_search(C, T, I, B) returns new_C;
     • T is the temperature.
     • I is the max number of allowed i-swaps.
     • Try to improve d_k = \sum_{v \in V_k} d(v) below B in coloration C.
begin
  while I > 0 and d_k \geq B do begin
     I := I - 1;
     randomly select a v \in V_k;
     if v not in conflict with some proper class V_i then
       move v from V_k to V_j
     else
       perform i-swap with proper class V_i where V_i is
       selected with probability P_j
  end;
  return(C);
end;
```

Figure 2. Performing a sequence of *i*-swaps

The routine given in Figure 2 is the main search component for all the methods presented. It is passed a coloration and a bound B, and performs a sequence of i-swaps to produce a new coloration which it returns. The new coloration is the first one encountered that has  $d_k < B$  (an improvement), or the one that results after I iterations (no improvement).

When a sequence of *i*-swaps fails to improve a partial coloration, it is possible to take advantage of the fact that classes  $V_0, \ldots, V_{k-1}$  are all proper and nonempty. We can perform a sequence of nontotal s-chain interchanges on a subset of these classes in order to move to a new solution in the impasse class neighborhood that has the same  $d_k$  value as the old. An s-chain is an ordered (s+1)-tuple,  $(v, W_0, \ldots, W_{s-1})$ , where all the classes,  $W_i$ , are distinct, proper and nonempty, and  $W_0$  contains v. This tuple represents the set of vertices reachable from v in the digraph, D, given by

```
V(D) = W_0 \cup \cdots \cup W_{s-1}

A(D) = \{(u, w) \mid (u, w) \in E(G), u \in W_i \text{ and } w \in W_{(i+1) \bmod s}\}.
```

An s-chain interchange involves reassigning each chain vertex (a vertex reachable from v) in class  $W_i$  to class  $W_{(i+1)\bmod s}$ . An s-chain is said to be total when all vertices in V(D) are reachable from v, since in this case the s-chain interchange is just a relabeling of color classes. For example, in Figure 3 the 3-chain given by  $(v, W_0, W_1, W_2)$  is total while the 3-chain given by  $(w, W_0, W_1, W_2)$  is not total. Several efficiency measures are mentioned in  $[\mathbf{8}, \mathbf{9}]$  for finding nontotal s-chains. Finally, the number of vertices moved by an s-chain interchange is taken to be

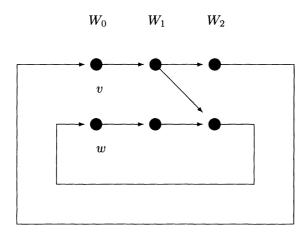


FIGURE 3. Example of 3-chaining

the minimum of the number of vertices reachable from v versus the number of vertices not reachable from v in D. This is because an interchange can also be performed by moving each nonchain vertex in class  $W_i$  to class  $W_{(i-1) \text{mod } s}$ .

## 3. The Search Algorithms

The coloration algorithms all utilize the routine shown in Figure 4. This routine attempts to improve coloration C by performing L alternating sequences of i-swaps and s-chain interchanges. Each i-swap sequence terminates after I consecutive i-swaps have been performed without improving the best solution seen so far. When this occurs, random s-chain interchanges are performed on the current solution until a total of D vertices have been moved (we guard against the unlikely case that all chains are total). The altered solution is then passed back to the i-swap search process, which again tries to improve the solution.

**3.1.** Sequential Algorithms. The CNS routine given in Figure 4 can be used to support several different types of searches. Making I large and setting L=1 results in a search that does a single long sequence of i-swaps. Smaller I and L>1 results in a search that alternates between sequences of i-swaps and sequences of s-chain interchanges. Finally, since the CNS routine returns the best solution found, we have the option of restarting the search anew from this best solution, called a restart. The resulting four combinations are invoked as follows:

no restarts, no chaining:  $C := CNS(C, T, \infty, 0, 1);$ 

This algorithm is a pure *i*-swap search, and is denoted as  $S_0$  (single run, no chaining).

```
function CNS(C, T, I, D, L) returns new_C;
       • C is the coloration to try to improve.
       • T is the temperature.
       • I is the max number of i-swaps/sequence that can be performed.
       • Perform s-chain interchanges until D vertices have been moved.
       • L is the number of i-swap and s-chain sequences to try.
       • d_k = \sum_{v \in V_k} d(v) in the current coloration.
begin
    best_C := C; best_B := d_k;
    while L > 0 do begin
       L := L - 1:
       repeat
           improved := false;
           C := i-swap_search(C, T, I, \text{best\_}B);
           if d_k < \text{best}_B then begin
               if d_k = 0 then return(C);
               best_C := C; best_B := d_k;
               improved := true;
           end:
       until not improved;
       if L > 0 then C := s-chain(C, D);
   end;
   return(best_{-}C);
end;
```

FIGURE 4. Performing sequences of i-swaps and s-chain interchanges

```
no restarts, chaining: C := CNS(C, T, I, D, \infty);
```

This algorithm will be denoted as  $S_1$  (single run, chaining). It alternates between sequences of *i*-swaps and sequences of *s*-chain interchanges and never restarts. We set D = |V| unless otherwise noted.

```
restarts, no chaining: repeat C := CNS(C, T, I, 0, 1) until done;
```

Denoted as  $R_0$  (restarts from best solution only, no chaining), this algorithm restarts the *i*-swap search from the current best solution after an *i*-swap sequence fails to find an improvement.

```
restarts, chaining: repeat C := CNS(C, T, I, D, L) until done;
```

This is algorithm  $R_1$  (restarts from best solution only, chaining). We set D=|V| and L=2 unless otherwise noted. Thus, we do i-swap sequences until no improvement is made, perform an s-chain interchange sequence and then try once more to (further) improve with i-swap sequences. This process is then restarted from the current best solution.

**3.2.** Distributed Algorithms. The distributed search algorithms use a manager-worker model. The manager maintains a pool of the best P colorations found by the workers, and a single search process is associated with each worker. Initially, the pool contains empty colorations. The workers each request a coloration from the manager. When a worker receives a coloration to try to improve, it invokes the CNS search routine of Figure 4 (and so performs a restart on this coloration). If an improved coloration results, then the improvement is passed back to the manager for insertion into the pool. In any case, a new coloration is requested from the manager by the worker after the worker's CNS routine returns. The pool scheme allows for multiple dependent search paths through the neighborhood since several restarts may be performed on the same coloration resulting in several improved colorations. That is, new colorations are generated by selecting a "parent" coloration from the pool and then passing a copy of the parent to the requesting search process. The parent coloration remains in the pool, and if the search process produces an improved "child" coloration, then the child is inserted into the pool. Only the current best P colorations are in the pool (can produce children) and a parent coloration may produce several children before being forced from the pool. There are two versions of the distributed algorithm, since restarts are always performed:

**no chaining:** The workers invoke C := CNS(C, T, I, 0, 1) which does i-swaps till a sequence of I of them have been performed without improvement. This is the distributed counterpart to  $R_0$  and is denoted by  $M_0$  (many parallel restarts from a pool of solutions).

**chaining:** The workers invoke C := CNS(C, T, I, D, L) where D = |V| and L = 2 unless otherwise noted. This is the distributed counterpart to  $R_1$  and is denoted as  $M_1$  (many parallel restarts from a pool of solutions with chaining allowed).

#### Other implementation details are:

- The pool is kept sorted by decreasing  $d_k$  value; the coloration that has the smallest degree sum taken over the impasse class vertices is at the top of the pool. After each insertion of a coloration into the pool, the member with largest  $d_k$  value is removed.
- An attempt is made to avoid inserting a coloration into the pool that is isomorphic to an existing pool coloration. The manager uses a simple  $O(V + k^2 \log k)$  greedy algorithm to check coloration isomorphism—the algorithm is always correct if it answers yes, but may not be correct if it answers no.
- Selection of colorations from the pool proceeds in a round-robin fashion. It is possible that a random or biased selection of pool colorations could give better results, but we were unable to experiment with this idea.
- The workers use their own random number streams by dividing up a random number sequence as described in [6, p. 250]. The period of the random number sequence used is 2<sup>32</sup>, and every 2<sup>20</sup> value was saved in a

static table. The master is given a position in the sequence at run time. The worker's initial seeds are then determined relative to this position (utilizing the static table) so that the sequence is divided evenly amongst the workers.

- Color classes are represented as linked lists and the graph is represented with an adjacency matrix. Each worker has its own copy of the graph.
- 3.3. Hybrid XRLF Algorithms. The results of Johnson et al. [4], Bollobás and Thomason [2], and Morgenstern [8, 9] demonstrate that the known coloration neighborhood search methods are outperformed by independent set selection algorithms on random graphs with edge density near 1/2. On these graphs, methods such as XRLF (Johnson et al. [4]) or Bollobás and Thomason's [2] semi-exhaustive search are the most competitive. For example, coloring  $G_{1000.0.5}$  graphs with a tuned distributed CNS search on five Sparcstation-2's for 24 hours can occasionally produce an 88 coloration. Independent set selection methods can consistently achieve colorations in the range of 86 to 87 colors on a single machine in under an hour. However, as noted in [8, 9], coloration neighborhood search is effective in improving the colorings produced by independent set selection methods. A distributed implementation of the XRLF algorithm was used as an alternative method to initially populating the pool (instead of populating with empty colorations). For a given target value k, the workers use distributed XRLF to generate k disjoint, proper classes. All vertices not assigned to a proper class are then placed into the impasse class, and the resulting impasse class neighborhood solution is inserted into the pool. This is repeated until the pool contains the desired number of XRLF generated initial solutions.

## 4. Experimental Results

In addition to the DIMACS benchmark instances, the algorithms were tested on the four 25 chromatic Leighton graphs [7] and the following additional random graphs used in Johnson *et al.* [4] study:  $G_{500,0.1}$ ,  $G_{1000,0.1}$ ,  $G_{500,0.9}$ ,  $G_{1000,0.9}$ , and the B, D, E, and  $F G_{1000,0.5}$  instances.

The time values that are in cpu seconds do not include communication time. Communication time includes the time required to send a copy of the graph to each worker, and could only be measured as a component of wall clock time. Total cpu time is given in seconds, and is the sum of each worker's cpu time and the manager's cpu time. It is an accurate measure of the time that would be required by a sequential simulation of the distributed algorithms. Wall clock time is also given and care was taken to perform the runs when the systems were lightly loaded. However, since the runs were performed in a multi-user environment, wall clock time gives an upper bound on the actual running time required by the distributed algorithms.

In addition to cpu time, the number of color class scans required by the *i*-swap\_search routine is given as a measure of efficiency. Color classes are main-

		(P =	= 1)	P =	= 5	P =	= 15	<i>P</i> =	= 25
I	$ S_1 $	$R_0$	$R_1$	$M_0$	$M_1$	$M_0$	$M_1$	$M_0$	$M_1$
6250	10	0	0	0	0	1	3	1	4
12500	10	3	0	0	2	4	7	5	8
25000	10	1	3	1	7	6	10	10	10
50000	10	5	5	5	9	10	10	10	10
100000	10	5	6	10	10	10	10	10	10
200000	10	8	9	10	10	10	10	10	10

Table 1. Number of successful 28-colorings

tained as linked lists and class lists are scanned to (re)compute a  $\Delta_j$  value (when the value in the cache is incorrect) and to perform an *i*-swap.

The algorithms tested were implemented in C and SR [1]. The distributed runs were performed with five worker processes, each running on a 80 MHz Sun Sparcstation-2 workstation. The manager process shared a machine with a worker process. Because of the coarse grain parallelism of the distributed algorithms, the manager was almost always idle—the manager required on the order of a few seconds of cpu time per worker's hour of cpu time.

4.1. Effects of Varying I and P on a  $G_{250,0.5}$  Graph. The  $G_{250,0.5}$  random graph of the DIMACS benchmark suite (graph DSJC250\_5.col) was used in the Johnson et al. [4] study. Random  $G_{250,0.5}$  graphs have an expected chromatic number of 27. The results of attempting to 28-color this graph are given to illustrate the effects of altering I (largest i-swap sequence allowed) and P (pool size) with respect to a fixed number of colors and temperature. The temperature for all the runs on this graph was fixed at an optimized value of 35 using the technique described in  $\S$  4.2. Algorithm  $S_0$  was run 50 times and successfully 28-colored the graph each time. Algorithms  $R_0$  and  $R_1$  approximate a pool of size 1 since they always restart from the best solution and not from any other solution. Table 1 shows the number of successful 28-colorings out of 10 attempts per I and P value. The  $R_0, R_1, M_0$  and  $M_1$  runs terminated with failure when 64 consecutive restarts were performed without an improvement. Table 1 shows that a smaller pool size requires a larger maximum i-swap sequence size, and that restarting from the best solution only is not effective with the (smaller) values of I that work for the distributed algorithms.

Table 2 shows the efficiency of the algorithms for selected parameter values which exhibited no 28-coloring failures. As I increases, the efficiency of  $S_1$  approaches that of  $S_0$ . With small I,  $S_1$  performs more s-chain searches and interchanges. Both  $M_1$  and  $S_1$  must also flush the  $\Delta_j$  cache after each sequence of s-chain interchanges, which increases the number of class scans. For this graph, there does not appear to be a need to use chaining to escape locally optimal traps, so the increased overhead associated with chaining does not pay for itself. On the other hand, Table 2 shows that a sequential simulation of  $M_0$ 

			total cla	ss scans	total	pu time
	I	P	mean	std dev	mean	$\operatorname{std}\operatorname{dev}$
$S_0$		_	$1.8 \times 10^{8}$	$1.3 \times 10^8$	988	699
$S_1$	6250	_	$7.6 \times 10^{8}$	$5.5  imes 10^8$	4312	3146
	200000		$2.2 \times 10^{8}$	$1.6 \times 10^8$	1201	830
	100000	5	$6.5  imes 10^7$	$3.2 \times 10^{7}$	406	244
	200000	5	$1.1 \times 10^{8}$	$9.7 \times 10^{7}$	652	643
$M_0$	50000	15	$1.0 \times 10^{8}$	$1.8  imes 10^7$	591	137
	200000	15	$1.6 \times 10^{8}$	$1.1 \times 10^8$	878	657
	25000	25	$1.3 \times 10^{8}$	$4.2 \times 10^{7}$	865	366
	100000	5	$1.2 \times 10^{8}$	$5.5  imes 10^7$	675	336
	200000	5	$1.6  imes 10^8$	$1.1 \times 10^8$	919	700
$M_1$	25000	15	$1.0 \times 10^{8}$	$4.0  imes 10^7$	659	313
	200000	15	$3.0 \times 10^{8}$	$2.3  imes 10^8$	1696	1361

Table 2. Successful 28-coloring efficiency

will outperform  $S_0$  over a wide range of parameters. The smallest value of I which exhibits no failures for a given P gives the best results in terms of average speed and stability.

The  $S_0$  runs were performed in 10 groups of 5 runs each to simulate 10 runs of 5 concurrent independent searches of the neighborhood. The running time of each set of concurrent runs is then the minimum time required by each of the 5 independent searches. The mean of the 10 minimum cpu times was 238 seconds, with a standard deviation of 194. Even with this view of the data,  $M_0$  still outperformed  $S_0$ . With I=100000 and P=5, the mean  $M_0$  wall clock time was 113 seconds with a standard deviation of 51. For I=50000 and P=15, the mean  $M_0$  wall clock time was 146 seconds with a standard deviation of 27. Not only is  $M_0$  up to twice as fast as independent, concurrent  $S_0$  search on average, but it is considerably more stable.

Not surprisingly, this example indicates that for a given P, the best  $M_0$  and  $M_1$  results are obtained by making I as small as possible while still avoiding failures. On the other hand, if I is too large then the number of failures does not increase; only the running time and variance increases.

**4.2.** Effects of Varying T and k on  $G_{500,p}$  Graphs. The  $G_{500,0.1}$ ,  $G_{500,0.5}$ , and  $G_{500,0.9}$  random graphs are all from the Johnson  $et\ al.\ [4]$  study and have expected chromatic numbers of 11, 46, and 122 respectively. The  $G_{500,0.5}$  graph is part of the DIMACS benchmark suite and is identified as graph DSJC500\_5.col. These graphs are used to illustrate a procedure for determining an optimized temperature, T, and a coloration target value, k. Since rejectionless Metropolis move selection [3] was applied, T was not determined by specifying an acceptance rate (see Laarhoven [5, p. 32]). Our best results were obtained using a

k	T	k	T	k	T	k	T	k	T
$\geq 65$	2	62	14	59	24	56	30	53	54
64	4	61	16	58	26	55	38	52	64
63	4	60	24	57	28	54	50		

Table 3. Determining a crude k and T for  $G_{500,0.5}$ 

temperature that corresponds to an extremely low acceptance rate under normal Metropolis move selection. This temperature was determined by a procedure that is based on the following heuristics.

HEURISTIC 4.1. Let  $k_0 < k_1$ , and  $C_k$  be the empty impasse class solution with k proper classes. For reasonable values of I and T, on average, the impasse class neighborhood colorations produced by  $CNS(C_{k_0}, T, I, 0, 1)$  and  $CNS(C_{k_1}, T, I, 0, 1)$  have  $d_{k_0} \ge d_{k_1}$ .

Reasonable values of I and T means that T should be small enough and I large enough so that the impasse class size,  $d_k$ , reaches quasi-equilibrium [5, p. 30]. This heuristic is intuitive since  $C_{k_1}$  has at least one more proper class than  $C_{k_0}$ . Thus, for fixed I and T, the quasi-equilibrium colorations produced from  $C_{k_1}$  should have fewer vertices in the impasse class than the ones produced from  $C_{k_0}$ . Heuristic 4.2 follows from Heuristic 4.1, and it means that the optimal temperature range for a large enough k contains the optimal temperature range for targets smaller than k.

HEURISTIC 4.2. Let  $L_k < T < H_k$  be the temperature range such that, on average,  $CNS(C_k, T, I, 0, 1)$  produces impasse class neighborhood solutions with  $d_k \leq b$  for a fixed I and fixed bound b. Then on average, for  $k_0 < k_1$ ,  $L_{k_1} \leq L_{k_0}$  and  $H_{k_0} \leq H_{k_1}$ .

The procedure for determining a target k and associated optimized T proceeds in two parts. The first part is concerned with quickly getting crude estimates. Set  $I \approx 10^5$  and start with T=2 (this worked well for the graphs we tested). The initial k should be large enough so that k-colorations are "easily" obtained. Such an initial k can be determined by using the greedy coloring algorithm if little is known about the graph. Raise T by increments of t until  $\mathrm{CNS}(C_k,T,I,0,1)$  consistently produces complete k-colorations (b=0), then using this value of T, decrease k by 1 and repeat. Table 3 shows the estimates obtained with t=2 and I=100000 on the  $G_{500,0.5}$  graph. For each value of k, the T given is the smallest one for which  $\mathrm{CNS}(C_k,T,I,0,1)$  produced 10 complete k-colorations out of 10 attempts. Eventually, k will become so small that complete colorations are not produced. This condition is identifiable when increasing T results in  $\mathrm{CNS}$  producing colorations whose average  $d_k$  also increases (as illustrated in Table 4 when T increases beyond 64).

T	60	62	64	66	68	70
k = 51	609	285	242	453	1148	1540
k = 50	1792	1966	1904	4353	4211	5405
k = 49	5691	5732	7429	7962	8530	8921

Table 4.  $G_{500.0.5}$   $d_k$  chart for optimizing T

	Average $d_k$ over 10 runs per $T$ and $k$										
		$I = 10^5$			$I = 10^6$						
T	k = 130	k = 131	k = 132	k = 128	k = 129	k = 130					
60	661.2	131.8	75.7	266.5	218.3	0.0					
76	397.3	130.9	0.0	176.4	44.6	0.0					
86	353.1	0.0	0.0	1023.3	44.2	0.0					
96	1617.7	581.5	0.0	3145.5	1468.9	176.0					
	Ave	rage cpu t	ime over 1	0 runs per	T and $k$						
76	38.1	22.2	12.9	279.6	108.0	40.2					
86	33.4	26.6	13.4	373.7	148.0	57.4					
96	46.3	37.2	20.1	340.2	410.8	196.3					

Table 5.  $G_{500,0.9}$   $d_k$  chart

Table 4 contains the average  $d_k$  value over 10 CNS runs per T and k value, with I=100000. This table represents the effort needed for the second part of the temperature selection procedure. For values of k just below the estimated target k determined in first part, tally the average  $d_k$  values produced by  $\mathrm{CNS}(C_k,T,I,0,1)$ . Use values of T centered on the estimated target temperature. Then when increasing T,  $d_k$  will undergo a relatively large jump. The optimized temperature for these values of k and the given k is one that is slightly smaller than the temperature at which the jump occurs. Thus, Table 4 shows that T=63 should be used to 49-color the  $G_{500,0.5}$  graph with k=100000. Tables 5, 6, and 7 also illustrate the temperature setting procedure and the relatively large jump that k=100000 illustrate the temperature setting k=100000. Table 7 for k=12 as k=100000 goes from 22 to 24, in Table 6 for k=100000 for k=100000 goes from 64 to 68, and for several values of k=100000 in Table 5. Additionally, the following trends have been observed and are indicated by these tables:

- (i) Smaller values of I result in larger target k values.
- (ii) Small I and large t values give a quick estimate of k and T. These estimates can then be improved by increasing I and decreasing t.
- (iii) The closer k is to the chromatic number of the graph, the more exact T must be. A larger temperature increment, t, was used when determining the temperature for dense graphs than was used for the sparse graphs. This is because we were able to color closer to the expected chromatic number of the sparse graphs than that of the dense graphs.

	$\mathbf{A}\mathbf{v}$	${ m erage} \; d_k$	over 10 r	${ m uns} \ { m per} \ {\it T}$	and $k$					
		$I = 10^4$		$I = 10^6$						
T	k = 53	k = 54	k = 55	k = 50	k = 51	k = 52				
56	415.3	92.1	24.8	477.4	171.9	45.9				
60	503.6	0.0	0.0	380.5	24.4	0.0				
64	259.7	0.0	0.0	531.4	0.0	0.0				
68	93.2	46.2	0.0	1690.1	0.0	0.0				
72	1050.8	269.8	0.0	3797.6	432.1	0.0				
76	1064.0	221.2	0.0	6197.7	2663.6	125.6				
	Averag	ge cpu tir	me over 1	0 runs pe	er T and	k				
56	4.3	2.6	1.7	180.9	133.3	63.4				
64	3.4	2.5	1.3	307.1	51.7	14.4				
76	5.5	3.8	2.1	446.0	315.9	175.4				

Table 6.  $G_{500,0.5}$   $d_k$  chart

	Average $d_k$ over 10 runs per $T$ and $k$										
		$I = 10^4$		$I = 10^6$							
$\mid T \mid$	k = 12	k = 13	k = 14	k = 12	k = 13	k = 14					
10	810.9	152.4	4.8	436.9	3.6	0.0					
18	605.6	4.6	0.0	164.1	0.0	0.0					
20	841.7	0.0	0.0	108.5	0.0	0.0					
22	1424.7	42.1	0.0	260.9	0.0	0.0					
24	2256.8	73.6	0.0	1143.1	0.0	0.0					
30	3301.9	1408.3	39.1	2875.7	556.8	0.0					
	Averag	ge cpu tii	me over 1	0 runs pe	er T and	$\overline{k}$					
10	4.3	2.0	0.4	195.6	26.1	0.4					
20	4.9	1.5	0.3	215.4	1.6	0.3					
30	2.9	3.0	1.3	215.1	273.0	1.3					

TABLE 7.  $G_{500,0.1}$   $d_k$  chart

T	$S_0$	$S_1$	$R_0$	$R_1$	$M_0$	$M_1$
20	3	1	0	0	3	8
22	2	0	0	0	8	10
24	0	0	0	0	1	3

Table 8. Number of successful 12-colorings of  $G_{500,0.1}$ 

		total cpu time		wall cl	ock time	total class scans		
T	49-col	mean	$\operatorname{std}$ dev	mean	std dev	mean	std dev	
61	8	24097	7831	5643	1923	$3.3 \times 10^{9}$	$6.6  imes 10^8$	
63	10	17852	6031	3724	1201	$3.1 \times 10^{9}$	$9.7 \times 10^{8}$	
65	9	28283	12004	6730	3458	$4.9  imes 10^9$	$1.7 \times 10^9$	

Table 9. 49-coloring  $G_{500,0.5}$  with  $M_0$ 

- **4.3.**  $G_{n,p}$  **Graph Results.** The results of coloring random graphs of the following types are presented:  $G_{500,0.1}$ ,  $G_{500,0.5}$ ,  $G_{500,0.9}$ ,  $G_{1000,0.1}$ ,  $G_{1000,0.5}$ ,  $G_{1000,0.9}$ , and  $G_{2000,0.5}$ . The  $G_{n,0.5}$  graphs are part of the DIMACS benchmark suite, and all but the  $G_{2000,0.5}$  graph are taken from the Johnson *et al.* [4] study. A pool size of P=15 was used for all the  $M_0$  and  $M_1$  runs. The  $S_1$ ,  $R_1$ , and  $M_1$  runs all used D=|V| and L=2.  $R_0$ ,  $R_1$ ,  $M_0$  and  $M_1$  all terminated with failure when 64 consecutive restarts were attempted without any improvement.  $S_0$  and  $S_1$  terminated with failure when the number of class scans exceeded a bound.
- 4.3.1.  $G_{500,0.1}$  coloring results. This graph has an expected chromatic number of 11. As indicated by Table 7, 13-colorings are easily obtainable, and the optimal temperature for k=12 is in the range  $20 \le T < 24$  for  $10^4 \le I \le 10^6$ . Table 8 gives the number of successful 12-colorings obtained out of 10 attempts per temperature and method with  $I=10^5$ .  $S_0$  and  $S_1$  failed when more than  $4\times 10^9$  class scans were performed, which corresponded to 10 hours of cpu time. The 10 successful  $M_1$  runs at T=22 had the following statistics: the mean total cpu time was 5452 seconds with a standard deviation of 3087, the mean wall clock time was 1153 seconds with a standard deviation of 624, and the mean number of color class scans was  $5.4\times 10^8$  with a standard deviation of  $2.9\times 10^8$ .
- 4.3.2.  $G_{500,0.5}$  coloring results. This graph has an expected chromatic number of 46. As indicated by Table 6, 51-colorings are easily obtainable, and the optimal temperature for k=50 is in the range  $60 \le T < 68$  for  $10^4 \le I \le 10^6$ . In fact with T=65 and  $I=10^5$ , each of the methods successfully 50-colored the graph 10 times out of 10 attempts. There was no speed advantage in using the distributed methods to 50-color the graph. In the 49-col column, Table 9 gives the number of successful 49-colorings produced by  $M_0$  out of 10 attempts per temperature with  $I=10^5$ . The cpu times and class scans are over the successful

$ \mid T \mid $	$S_0$	$S_1$	$R_0$	$R_1$	$M_0$	$M_1$
75	7	4	8	7	10	10
80	4	3	6	6	10	10
85	0	0	1	3	10	10

Table 10. Number of successful 127-colorings of  $G_{500,0.9}$ 

		total c	pu time	wall cl	ock time	total class scans		
	T	mean	std dev	mean	std dev	mean	$\operatorname{std}$ dev	
$R_0$	75	2248	1048	2279	1043	$2.7  imes 10^8$	$1.4 \times 10^7$	
$S_0$	75	5399	4333	5473	4364	$7.1  imes 10^8$	$5.8  imes 10^8$	
	75	9071	1482	2024	274	$1.2  imes 10^9$	$1.8 \times 10^8$	
$M_0$	80	12578	5866	2734	1170	$1.8 \times 10^{9}$	$8.1 \times 10^{8}$	
	85	20826	7359	4265	1257	$3.1 \times 10^9$	$9.7  imes 10^8$	

Table 11. 127-coloring  $G_{500,0.9}$  with  $M_0$ ,  $S_0$  and  $R_0$ 

runs, while the wall clock values are taken over all runs. As for the  $G_{250.5}$  graph of § 4.1, there was no advantage to performing chaining with  $M_1$ .  $S_0$  and  $S_1$  were each run 5 times for 37 hours and 58 hours of cpu time respectively without successfully 49-coloring the graph (with T=63).  $R_0$  and  $R_1$  were each run 10 times, and each run terminated with failure after 64 consecutive restarts occurred without any improvement.

- 4.3.3.  $G_{500,0.9}$  coloring results. This graph has an expected chromatic number of 122. As indicated by Table 5, 130-colorings are easily obtainable, and the optimal temperature for k < 130 is roughly in the range  $75 \le T \le 85$  for  $10^5 \le I \le 10^6$ . Table 10 gives the number of successful 127-colorings obtained out of 10 attempts per temperature and method with  $I = 5 \times 10^5$ .  $S_0$  and  $S_1$  failed when more than  $4 \times 10^9$  class scans were performed. Table 11 contains the running times and class scans needed by  $M_0$  to 127-color the graph. For T = 75, the successful  $S_0$  and  $R_0$  run statistics are also included in the table. With T = 75 and  $I = 10^6$ ,  $M_0$  successfully 126-colored this graph 4 times out of 10 attempts. The successful runs required an average of 159872 seconds of total cpu time with a standard deviation of 81915, and the average wall clock time over all 10 runs was 36008 seconds with a standard deviation of 12207.
- 4.3.4.  $G_{1000,0.1}$  coloring results. The  $G_{1000,0.1}$  graph has an expected chromatic number of 19. All the methods can quickly 21 color this graph (the longest run required 420 seconds cpu time) with  $I=10^5$  and  $31 \le T \le 38$ . The optimal temperature for k=20 and  $10^5 \le I \le 10^6$  is T=35. All attempts to 20 color this graph were unsuccessful. We attempted 5  $M_1$  runs with P=60,  $I=10^6$  and T=35, each for over 48 hours of wall clock time. The best result was 5 vertices left in the impasse class when the run was terminated.

	total c	pu time	wall cl	ock time	total class scans		
k	mean	std dev	mean	std dev	mean	std dev	
230	3971	608	929	132	$4.5  imes 10^8$	$6.4 \times 10^7$	
228	8870	1700	1933	329	$9.0  imes 10^8$	$1.6 \times 10^8$	
226	65774	10135	13531	2053	$6.9  imes 10^9$	$6.3  imes 10^9$	

Table 12. Coloring  $G_{1000,0.9}$  with  $M_0$ 

- 4.3.5.  $G_{1000,0.5}$  coloring results. The  $G_{1000,0.5}$  graph has an expected chromatic number of 80. All methods can consistently 91 color this graph with T=120 and  $I=10^5$ . The sequential methods start to fail when 90 colorings are attempted, and only the distributed methods were successful in 89 coloring the graph.  $M_0$  required an average of 91336 seconds total cpu time (standard deviation of 3184) over 10 successful runs to 89 color the graph.  $M_0$  was successful in one run out of 10 attempts to prescribe an 88 coloration, each run requiring over 24 hours of wall clock time. All the k=89 and k=88  $M_0$  runs used T=120 and  $I=10^6$ .
- 4.3.6.  $G_{1000,0.9}$  coloring results. This graph has an expected chromatic number of 217. For 226  $\leq k \leq$  230 and  $10^5 \leq I \leq 10^6$ , T=140 is an optimized temperature. Table 12 gives the  $M_0$  coloring results with T=140 and  $I=10^5$  for k=226, k=228 and k=230.  $M_0$  was successful 10 times out of 10 attempts for each value of k.
- 4.3.7.  $G_{2000,0.5}$  coloring results. This graph has an expected chromatic number of 142. For  $10^5 \le I \le 10^6$  and  $k \approx 165$ , T = 220 is roughly optimal. Since the trend indicated from the runs on the smaller  $G_{n,0.5}$  graphs is that  $M_0$  has the best performance, only  $M_0$  was tested on this graph (with I = 250000).  $M_0$  required an average of 973 seconds wall clock time to 167 color the graph and an average of 6750 seconds wall clock time to prescribe a 165 coloring. All averages were over 5 runs.
- 4.4. XRLF Hybrid Results. A distributed implementation of Johnson's XRLF algorithm [4], denoted by dXRLF, was used to generate a pool population of good initial solutions. Then  $M_0$  was applied to these colorations to obtain solutions that are better than  $M_0$  or XRLF can produce individually. The resulting combination is denoted as  $M_0/\text{dXRLF}$ . The dXRLF parameters (setlim, candnum, and trialnum) have exactly the same meanings as they do in [4]. Johnson et al. fixed setlim and candnum to 63 and 50 respectively and varied trialnum. In this study, both candnum and trialnum are varied and setlim remains fixed at 63. The dXRLF implementation divides trialnum iterations evenly amongst the workers and does not perform an exhaustive exact-coloring search of the final 70 vertices (as is done by Johnson et al. [4]).

				mean to	tal cpu time	wall clock time		
k	trialnum	candnum	$\overline{ V_k }$	dXRLF	$M_0/{ m dXRLF}$	mean	$\operatorname{std} \operatorname{dev}$	
	100	50	8.66	603	710	195	47	
49	50	100	8.23	1152	1207	304	16	
48	300	250	11.44	11812	37259	8144	2373	

Table 13. Coloring  $G_{500,0.5}$  with  $M_0/\text{dXRLF}$ 

Graph $B$			$\operatorname{Graph} C$			Graph $D$			
	wall time		wall time				wall time		
$ V_k $	dXRLF	$M_0$	$ V_k $	dXRLF	$M_0$	$ V_k $	dXRLF	$M_0$	
7	379	238	9	377	61	7	382	27	
8	381	1257	9	380	948	9	410	643	
9	385	123	10	372	1232	10	370	1652	
9	416	19	12	366	2133	10	381	(1741)	
10	419	1600	12	376	14	11	476	(4488)	

Table 14. 85-coloring  $G_{1000,0.5}$  with  $M_0/\text{dXRLF}$ 

- 4.4.1.  $G_{500,0.5}$  coloring results. In [4], XRLF with a final exact-coloring search produces 50 colorations of this graph. dXRLF requires  $\geq 51$  colors over a wide range of parameters. Table 13 contains the results of applying the  $M_0/\mathrm{dXRLF}$  hybrid algorithm to this graph. Each k=49 row contains the statistics of 10 successful runs out of 10 attempts. Out of 10 attempts to 48-color the graph, 8 were successful. The cpu time statistics given are over the 8 successful runs, and the remaining statistics (including wall clock time) are over all 10 runs.  $M_0$  ran with T=63 and P=15 in all cases. The 49-coloring runs populated the pool with 3 dXRLF solutions and then ran  $M_0$  with I=250000. The 48-coloring runs populated the pool with 10 dXRLF solutions and then ran  $M_0$  in finding 49-colorings for this graph. The 48-colorings were possible only after experimentation showed that  $M_0$  was most effective in improving on dXRLF solutions when candnum was increased. We were unable to 48-color this graph when leaving candnum fixed at 50 even though trialnum was raised as high as 2500.
- 4.4.2.  $G_{1000,0.5}$  coloring results. In [4], XRLF with a final exact-coloring found 86 colorations on the C graph (the DIMACS benchmark  $G_{1000,0.5}$  graph), while dXRLF required  $\geq 88$  colors over 5 trials. Also reported in [4], XRLF used either 85 or 86 colors for the other four  $G_{1000,0.5}$  graphs that were tested. On these four graphs, dXRLF needed  $87 \leq k \leq 89$  colors over 5 trials per graph. Table 14 indicates the effort needed to 85-color the B, C, and D graphs using  $M_0/\text{dXRLF}$ . The pool was populated with a single dXRLF solution, and  $M_0$  was run with T=120, I=150000 and P=15. The dXRLF parameters used were the same as the XRLF parameters given in [4] (a setlim of 63, a candnum

		mean to	tal cpu time	wall clock time		
graph	$ \overline{ V_k } $	$\mid \mathrm{dXRLF} \mid M_0/\mathrm{dXRLF} \mid \mid$		mean	$\operatorname{std}\operatorname{dev}$	
B	8.90	33605	36196	8078	1129	
C	10.18	32935	85658	18235	12529	
D	10.86	32990	132352	27706	13777	
E	10.40	32930	70558	15117	11507	
$\overline{F}$	8.94	33315	34118	7459	702	

Table 15. 84-coloring  $G_{1000,0.5}$  with  $M_0/\text{dXRLF}$ 

of 50, and a trialnum of 1260). On the D instance,  $M_0$  twice failed to improve the dXRLF solution to a complete 85-coloring; the times for these failed runs are given in ()'s. Table 15 shows the effort required to 84-color all 5 graphs using  $M_0/\text{dXRLF}$ . The statistics are taken over 10 successful runs out of 10 attempts per graph. The pool was populated with 5 dXRLF solutions generated with a setlim of 63, a candnum of 500, and a trialnum of 300. Again, dXRLF experimentation indicated that an increased candnum was more important than a large trialnum for the hybrid algorithm.  $M_0$  was applied with T=120,  $I=10^6$ , and P=15.

 $4.4.3.~G_{2000,0.5}$  coloring results. Using a setlim of 63, a candnum of 1000, and a trialnum of 250, dXRLF was able to find a 152 coloration 8 times in 10 attempts, requiring an average of 40874 seconds of total cpu time. The  $M_0/\mathrm{dXRLF}$  approach was able to find a 150 coloration 10 times out of 10 attempts when the pool was populated with a single dXRLF solution (using the same parameters as the  $k=152~\mathrm{XRLF}$  runs).  $M_0$  was applied with T=220,~I=500000 and p=15. These runs required a mean total cpu time of 41087 seconds with a standard deviation of 309. The mean wall clock time was 9461 seconds with a standard deviation of 621. Using these same parameters,  $M_0/\mathrm{dXRLF}$  was unsuccessful in trying to 148-color this graph in 10 attempts.

**4.5.** Structured Graph Results. All the Leighton graphs [7] except for the the c and d 25-colorable and 15-colorable ones have proven to be extremely easy to color optimally by a wide variety of methods. The results of coloring the hard Leighton graphs are given in Tables 16 and 17. Generally, the methods without chaining could not perform nearly as well as those that used chaining. The 25-col and 15-col columns give the number of successful coloring out of 10 attempted. Methods not listed were unsuccessful more than 50% of the time. All runs used T=22 and  $I=10^5$ .

The flat graphs in the DIMACS benchmark suite represent an anomaly in that both restarts and chaining are completely ineffective. Method  $S_0$  exhibited the best performance by far. The flat300\_20\_0.col and flat300\_26\_0.col graphs are optimally colored in less than a minute over a wide range of temperatures  $(20 \le T \le 45)$ . The flat1000\_50\_0.col and flat1000\_60\_0.col graphs were

		total	pu time	wall cl	ock time	total class scans				
	25-col	mean	std dev	mean	$\operatorname{std} \operatorname{dev}$	mean	$\operatorname{std} \operatorname{dev}$			
	Graph 1e450_25c									
$S_0$	8	2821	1521			$3.7 \times 10^{8}$	$1.8 \times 10^{8}$			
$S_1$	10	5035	2981			$6.9  imes 10^8$	$4.2 \times 10^8$			
$M_0$	6	2612	1177	802	354	$3.4 \times 10^{8}$	$1.4 \times 10^{8}$			
$M_1$	10	3435	2023	780	427	$4.5 \times 10^8$	$2.3  imes 10^8$			
			Graj	ph 1e45	0_25d					
$S_0$	10	5971	4295			$8.3 \times 10^{8}$	$6.1 \times 10^{8}$			
$S_1$	10	4472	3230			$6.1 \times 10^{8}$	$4.4 \times 10^{8}$			
$R_1$	6	1628	1387			$1.9 \times 10^{8}$	$1.6  imes 10^8$			
$M_0$	7	2133	2284	783	645	$2.9  imes 10^8$	$2.8  imes 10^8$			
$M_1$	10	3810	1205	854	256	$5.1 \times 10^{8}$	$1.4 \times 10^{8}$			

TABLE 16. Coloring the 25-chromatic Leighton Graphs

L	total cpu time			wall cl	ock time	total class scans				
	15-col	mean	$\operatorname{std} \operatorname{dev}$	mean	$\operatorname{std}\operatorname{dev}$	mean	$\operatorname{std}\operatorname{dev}$			
	Graph le450_15c									
$S_1$	10	126	99			$1.1 \times 10^{7}$	$7.9 \times 10^{6}$			
$R_1$	10	258	221			$2.3 \times 10^{7}$	$2.1  imes 10^7$			
$M_0$	9	443	426	171	183	$4.6 \times 10^{7}$	$4.3 \times 10^{7}$			
$M_1$	10	522	482	142	103	$5.2 \times 10^{7}$	$4.7 \times 10^7$			
			Graj	ph 1e45	0_ <b>15</b> d					
$S_1$	10	80	34			$7.0 \times 10^{6}$	$3.2 \times 10^{6}$			
$R_1$	10	104	36			$9.7  imes 10^6$	$3.9  imes 10^6$			
$M_0$	6	1029	877	344	192	$9.9 \times 10^{7}$	$8.1 \times 10^{7}$			
$M_1$	10	566	365	151	77	$5.7  imes 10^7$	$3.7 \times 10^7$			

TABLE 17. Coloring the 15-chromatic Leighton Graphs

optimally colored in under a minute of cpu time with T=120. What is of prime importance is that I be relatively large when compared to the values of I used for other graphs of this size. If  $I<10^6$  then all coloring attempts failed. With large  $I>10^6$ , the coloring was always found in the first i-swap sequence. Though there was little hope of optimally coloring the flat1000\_76\_0.col graph, attempts (unsuccessful) were made to optimally color the flat300\_28\_0.col graph with four 36 hour runs.

 $M_0$  was able to 101-color the latin\_square\_10.col graph in 194 seconds, 100-color it in 539 seconds, and 99-color it in 1107 seconds. The temperature used was T=280 and iswap sequence size was  $I=10^5$ . Other temperatures in the range  $240 \le T \le 320$  were equally effective. In order to 98-color this graph, an anomaly was observed similar to the flat graphs. It was necessary to use  $I=5\times 10^6$  in order for  $M_0$  to produce 10 98-colorations out of 10 attempts. The mean total cpu time was 11223 seconds with a standard deviation of 6073 seconds. The mean wall clock time was 3122 seconds with a standard deviation of 1283 seconds.

The geometric graphs used in [4] were all colored with  $I=10^5$ . The  $U_{500,0.5}$  graph could be 124-colored in under a minute, and 123-colored in just under 3 minutes using  $S_0$  with T=20. The  $U_{500,0.1}$  graph required a few seconds to 12-color using  $S_0$  with T=20, and an 85-coloration was obtained for the  $\overline{U}_{500,0.1}$  graph in under a minute also using  $S_0$ , but with a temperature of 200.

### 5. Commentary

The main result of this study is that doing repeated, limited searches for improvements from a collection of the best colorations seen so far can be more effective than doing independent concurrent searches. We have also given an effective implementation for searching the impasse class neighborhood using rejection free Metropolis move selection.

The poor performance of the  $R_0$  and  $R_1$  methods shows that the good performance of the  $M_0$  and  $M_1$  methods depends very much on maintaining a pool of colorations; i.e., doing repeated restarts from the same coloration often results in the search becoming trapped.  $S_0$  and  $S_1$  did not exhibit this behavior and appeared to be able to move out of local traps when given enough time. When a pool of colorations is maintained, those that represent locally optimal traps (cannot be easily improved within the *i*-swap sequence length) get eliminated from the pool to be replaced by colorations that are more easily improved. Thus, the rate of coloration improvement is as big a factor as is the magnitude of coloration improvement. When we traced the lineage of the colorations after a run, very seldom was more than a single family alive. Though we have not been able to investigate this in any detail, we conjecture that those colorations that are most easily able to produce new improved colorations early in the run are the ones that will produce a complete coloration the fastest.

The most critical parameters are the temperature and *i*-swap sequence length.

Setting I to be larger than necessary seems to only affect running time and does not hurt a method's ability to find a k-coloration. A graph that has little variation in vertex degree, such as the flat graphs or the latin square graph, require a large value for I relative to the value used for other graphs of the same size. This may be because the  $\Delta_j$  values are all very close in such graphs and so move selection approaches random permutation. The fact that the same performance is obtained for these graphs across a wide range of temperatures is evidence for this conjecture.

As k approaches the chromatic number of the graph, the range of values that can be used for T narrows. The procedure used to determine T and k for a family of graphs can double or even triple the coloring time of the first graph from the family. However, when large amounts of cpu time are available, the methods presented appear to be competitive when compared to the results given in [2, 4, 8, 9] and other studies.

Finally, in order to obtain good performance across the entire test bed, we found it necessary to incorporate the XRLF [4] algorithm to give us good initial colorations on large  $G_{n,0.5}$  graphs. Perhaps the ultimate test of a coloring algorithm is its performance when passed a graph of unknown origin. An algorithm that combines several coloring techniques can outperform its components and may be able to pass this test. We believe that using a coloration pool with *i*-swaps, *s*-chaining and XRLF represents a step in this direction. Future work will involve self-configuration techniques and will likely use the survival-extinction mechanism of the coloration pool.

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## Second DIMACS Challenge

Coloring Benchmark Results

#### GENERAL INFORMATION

Author: Craig Morgenstern

Title: Distributed Coloration Neighborhood Search Name of Algorithms: Denoted as  $M_0$ ,  $S_0$  and  $S_1$ .

Description of Algorithm: Rejectionless Metropolis move selection.

Type of Machine: Five identically configured 80 MHz Sun Sparcstation-2's

Compiler and flags used: gcc -0 and sr -0.

#### MACHINE BENCHMARKS

User time for instances:

r100.5	r200.5	r300.5	r400.5	r500.5
0.04	0.86	7.75	49.41	189.26

### ALGORITHM BENCHMARKS

Authors' Comments: The  $M_0$  distributed algorithm takes a target number of color classes, k, and maintains a pool of the best partial k-colorations seen so far. The coloring processes try to improve pool colorations to a complete k-coloration by doing sequences of what are called i-swap operations. A sequence is terminated when I consecutive i-swaps are performed without any improvement being found. The  $S_0$  algorithm is a sequential coloring process that does an "unbounded" sequence of i-swaps (I is usually very large).  $S_1$  is a sequential coloring algorithm that interleaves a small sequence of random s-chain interchanges between an unlimited number of "bounded" i-swap sequences. The coloring processes do rejectionless Metropolis move selection and run with with a fixed (optimized) temperature, T.  $M_0$  used a pool of size 15 for all its runs. The data given is for the smallest values of k for which no coloring failures occurred in any of the runs. The number of runs performed per graph is given in column n, and the standard deviation for the total cpu times is given in column s.

The  $M_0$  times reported are total cpu seconds, which is the sum of the cpu time taken by each of the five worker coloring processes and the manager process. This is an accurate measure of the time that would be required by a sequential simulation of  $M_0$ . Because of the coarse grain parallelism of coloring processes,  $M_0$  communication time was negligible. The hidden cost not reflected by these times is the effort required to find the values for k, I and T. This hidden cost doubles or even triples the actual coloring time. On the other hand, this cost is removed for a class of graphs once the parameters have been determined. Some graphs are more easily colored by other sequential and distributed versions of  $M_0$ , and better results are possible if optimized values for pool size and i-swap sequence length are used. Much better results are obtained on the DSJC500.5, DSJC1000.5, and C2000.5 graphs by using  $M_0$  to improve on colorations produced by the XRLF independent set selection method.

Results on Benchmark Instances

Instance			Time (total cpu seconds)					Parameters		
Name	Alg	n	min	avg	s	max	k	I	T	
DSJC125.5	$M_0$	10	1	14	12	32	17	2.5e3	20	
DSJC250.5	$M_0$	10	386	591	137	838	28	5.0e4	35	
DSJC500.5	$M_0$	10	10156	17852	6031	28878	49	5.0e5	63	
DSJC1000.5	$M_0$	10	87887	91336	3184	94764	89	1.0e6	120	
C2000.5	$M_0$	5	17893	31036	10461	43535	165	2.5e5	220	
C4000.5		0								
R125.1	$M_0$	10	< 1	< 1	< 1	< 1	5	1.0e5	20	
R125.1c	$M_0$	10	< 1	< 1	< 1	< 1	46	1.0e5	20	
R125.5	$M_0$	10	< 1	< 1	< 1	< 1	36	1.0e5	20	
R250.1	$M_0$	10	< 1	< 1	< 1	< 1	8	1.0e5	10	
R250.1c	$M_0$	10	< 1	1	1	3	64	1.0e5	75	
R250.5	$M_0$	10	26	181	202	509	65	1.0e5	15	
DSJR500.1	$M_0$	10	< 1	< 1	< 1	< 1	12	1.0e5	15	
DSJR500.1c	$M_0$	10	9	130	123	294	85	1.0e5	200	
DSJR500.5	$M_0$	10	24	386	397	967	123	1.0e5	20	
R1000.1	$M_0$	10	9	18	7	25	20	1.0e5	20	
R1000.1c	$M_0$	10	825	1240	549	2143	98	1.0e5	500	
R1000.5	$M_0$	10	430	2078	1158	3130	241	1.0e5	40	
$flat300_{-}20$	$S_0$	5	< 1	< 1	< 1	< 1	20	$\infty$	35	
$flat300_{-}26$	$S_0$	5	14	22	10	40	26	$\infty$	35	
flat300_28	$S_0$	5	640	4214	2893	8012	31	$\infty$	35	
$flat1000_{-}50$	$S_0$	5	< 1	< 1	< 1	< 1	50	$\infty$	120	
$flat1000_{-}60$	$S_0$	5	< 1	< 1	< 1	< 1	60	$\infty$	120	
$flat1000_{-}76$	$S_0$	5	2146	24291	19484	47380	89	$\infty$	120	
$latin\_sqr\_10$	$M_0$	10	1050	11223	6073	19707	98	5.0e6	280	
le450_15a	$S_1$	10	< 1	< 1	< 1	< 1	5	1.0e5	22	
$le450_{-}15b$	$S_1$	10	< 1	< 1	< 1	< 1	5	1.0e5	22	
le450_15c	$S_1$	10	27	126	99	280	15	1.0e5	22	
$le450_{-}15d$	$S_1$	10	43	80	34	127	15	1.0e5	22	
mulsol.i.1	$S_0$	5	< 1	< 1	< 1	< 1	20	1.0e5	49	
school1	$S_0$	5	< 1	< 1	< 1	< 1	20	1.0e5	20	
$school1\_nsh$	$S_0$	5	< 1	< 1	< 1	< 1	20	1.0e5	20	

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