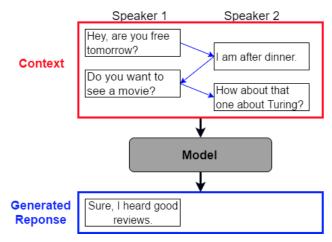
## Policy Gradient for Generative Dialogue Models

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COMP 767 - Final Project Presentation April 13th

### Dialogue Generation



- We can measure the quality of a response using ADEM
  - A Dialogue Evaluation Model (R. Lowe, M. Noseworthy, I.V. Serban, N. Angelard-Gontier, Y. Bengio, and J. Pineau)

## Dialogue Generation

- Goal: Train a model to maximize the ADEM score
- We will use the policy-gradient framework from RL
  - State  $(s_t)$ : What has been generated up to this point  $\hat{Y}_{1,...,t-1}$  given a context c
  - Action  $(a_t)$ : Emit a token  $\hat{v}_t$  in the generated response  $\hat{Y}$  given a context c
  - Policy  $(\pi)$ : The HRED <sup>2</sup> model (softmax over the vocab)
  - Return (R): The ADEM score for a generated response
    - Rewards are 0 except for the final step.
    - Reward part of sentences with ADEM might gives us a very bad signal
  - Work inspired by "An Actor-Critic Algorithm for Sequence Prediction"
    (D. Bahdanau et al., 2017)
- Data-set used: On-line Tweets (~700,000 conversations)

 $<sup>^1\</sup>mbox{We}$  use BPE (sub-word level) tokens to reduce the size of the action space from  $^{\sim}20\mbox{k}$  to  $^{\sim}5\mbox{k}$ 

<sup>&</sup>lt;sup>2</sup>I.V. Serban et al. (2016)

#### Actor Network

c\_1,1

**Objective**:  $J_{actor} = \sum_{t=1}^{T} \log p(\hat{y}_t | \hat{Y}_{1,...,t-1}) \hat{Q}$ GENERATED RESPONSE: Ŷ **REINFORCE** w Baseline:  $\hat{Q} = R_t - V(\hat{Y}_{1-t-1}, Y)$  $\hat{y}_{-3,1}$  $\hat{y}_{3}, N3$ Actor-Critic:  $\hat{Q} = \hat{Q}(\hat{y}_t | \hat{Y}_{1-t-1}, Y)$ LSTM  $\hat{y}_{3,1}$ encoding of context encoding utterance 1 encoding utterance 2

c\_2,1



c\_2,N2

c\_1,N1

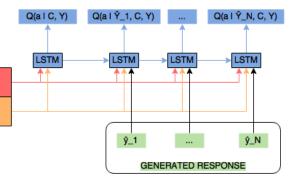
CONTEXT: C

#### Critic Network

TD Targets: 
$$q_t = R(\hat{Y}_{1,...,t}) - \bar{R} + \sum_{a \in Vocab} P(a|\hat{Y}_{1,...,t}) * \hat{Q}(a|\hat{Y}_{1,...,t})$$

**Objective**: 
$$J_{critic} = \sum_{t=1}^{T} (q_t - \hat{Q}(\hat{y}_t | \hat{Y}_{1,...,t-1}))^2 + \lambda C_t$$

**Regularization**: 
$$C_t = \sum_{a \in Vocab} \hat{Q}(a|\hat{Y}_{1,...,t-1}) - \bar{\hat{Q}}(.|\hat{Y}_{1,...,t-1})$$



CONTEXT ENCODING (from previous encoder)

TRUE RESPONSE ENCODING (from previous encoder)

# Challenges

### Large Action Space

- Critic target  $q_t$  uses  $(R_t \bar{R})$  to reduce variance in the reward
- $J_{critic} = \sum_{t=1}^{T} \text{squared error loss} + \lambda C_t$  to penalize variance in the critic values  $\hat{Q}(a|\hat{Y}_{1,...,t-1})$
- Pretrain the actor with ML objective:  $J_{actor} = \sum_{t=1}^{T} \log p(\hat{y}_t | Y_{1,\dots,t-1})$
- Pretrain the critic with samples from the pretrained actor

### Sparse Reward Signal

#### Things to try:

- Use ADEM to score sub-parts of generated response? May be really bad, takes more time.
- Monte Carlo roll-outs from each time steps to have a full sentence before sending it to ADEM? Very time consuming!