

Concurrency and Concurrent Programming

Problem Sheet 2 - Sample Answers

1. This question was relatively straightforward, and most people got it ok.

$$TX = (in1?x \rightarrow mid!1 \rightarrow mid!x \rightarrow TX \\ | in2?x \rightarrow mid!2 \rightarrow mid!x \rightarrow TX)$$
$$RX = (mid?1 \rightarrow mid?x \rightarrow out1!x \rightarrow RX \\ | mid?2 \rightarrow mid?x \rightarrow out2!x \rightarrow RX)$$

2. The solution to this question involves expanding the definitions given in question 1 using the interaction rules. Note that we conceal the mid channel, using the \backslash operator. In doing this, we hide all events which communicate along this channel.

$$(TX \parallel RX) \backslash \{mid\}$$
$$= (in1?x \rightarrow mid!1 \rightarrow mid!x \rightarrow TX \\ | in2?x \rightarrow mid!2 \rightarrow mid!x \rightarrow TX) \parallel (mid?1 \rightarrow mid?x \rightarrow out1!x \rightarrow RX \\ | mid?2 \rightarrow mid?x \rightarrow out2!x \rightarrow RX) \backslash \{mid\}$$
$$= (in1?x \rightarrow (mid!1 \rightarrow mid!x \rightarrow TX) \parallel (mid?1 \rightarrow mid?x \rightarrow out1!x \rightarrow RX \\ | mid?2 \rightarrow mid?x \rightarrow out2!x \rightarrow RX) \backslash \{mid\} \\ | in2?x \rightarrow (mid!2 \rightarrow mid!x \rightarrow TX) \parallel (mid?1 \rightarrow mid?x \rightarrow out1!x \rightarrow RX \\ | mid?2 \rightarrow mid?x \rightarrow out2!x \rightarrow RX)) \backslash \{mid\}$$
$$= (in1?x \rightarrow \cancel{(mid!x \rightarrow TX)} \parallel \cancel{(mid?x \rightarrow out1!x \rightarrow RX)} \\ | in2?x \rightarrow \cancel{(mid!x \rightarrow TX)} \parallel \cancel{(mid?x \rightarrow out2!x \rightarrow RX)}) \backslash \{mid\}$$
$$= (in1?x \rightarrow TX \parallel (out1!x \rightarrow RX) \\ | in2?x \rightarrow TX \parallel (out2!x \rightarrow RX)) \backslash \{mid\}$$

Concealment operator -
just crossed out of the
solution

So the protocol effectively works like two buffers, and the inputs are all sent to the correct outputs.

Note that some people tried to continue this process by simplifying the expression to the following form.

$$(in1?x \rightarrow out1!x \rightarrow TX \parallel RX \\ | in2?x \rightarrow out2!x \rightarrow TX \parallel RX)$$

This is NOT correct, since we must consider the possible events of both processes, and doing so we see that in fact two events are possible, either the output by RX shown above, OR, the next input by process TX.

4. The secret of this question is to come up with a coding for zero and one so that transmission errors can be detected by the RECEIVING process. Any other solution invariably requires either the existence of a safe channel from TX to RX, or can only detect, but not correct the errors. The simplest such coding is:

1 => 1 0
0 => 0 1

A solution to the problem is thus:

TX = in?x -> X

X = mid!x -> mid!(1-x) -> (check?ok -> TX | check?fail -> X)

RX = mid?x -> (mid?(1-x) -> out!x -> check!ok -> RX
| mid?x -> check!fail -> RX)

(Or an equivalent solution using IF statements.)

Some people designed a communication protocol which used the main data channel to send signals "ok" and "fail" to the receiver. This protocol would not work because the ok / fail signals are subject to faulty transmission in the same way as the data.

5. This question required you to give a case analysis based upon the CCP specification given in the answer to question 4.

CASES

(i) $x \neq (1-x)$. (i.e. The case where no 1's are dropped).

TX || RX \{ mid, check }
= (TX || RX) \{ mid, check }
= (in?x -> X) || RX \{ mid, check }
= in?x -> (X || RX) \{ mid, check }
= in?x -> ((check?ok -> TX | check?fail -> X) ||
 (out!x -> check!ok -> RX)) \{ mid, check }
= in?x -> out!x -> ((check?ok -> TX | check?fail -> X) ||
 (check!ok -> RX))
= in?x -> out!x -> (TX || RX) \{ mid, check }

This is a buffer process!

(ii) $x = (1-x)$. (i.e. The case where 1's are dropped).

$$\begin{aligned} &= (TX \parallel RX) \setminus \{mid, check\} \\ &= (in?x \rightarrow X) \parallel RX \setminus \{mid, check\} \\ &= in?x \rightarrow (X \parallel RX) \setminus \{mid, check\} \\ &= in?x \rightarrow ((check?ok \rightarrow TX \mid check?fail \rightarrow X) \parallel \\ &\quad (check!fail \rightarrow RX)) \setminus \{mid, check\} \\ &= in?x \rightarrow (X \parallel RX) \setminus \{mid, check\} \end{aligned}$$

(**) Hence, as long as bits are dropped, we have

$$= in?x \rightarrow (X \parallel RX) \setminus \{mid, check\}$$

and as soon as the bit is preserved, we have

$$= in?x \rightarrow out!x \rightarrow (TX \parallel RX) \setminus \{mid, check\}$$

Hence result.

Concurrency and Concurrent Programming

Problem Sheet 3 - Sample Answers

2. The basic problem here is fairly straightforward. The trick is to devise a pair of user processes which might deadlock, then a simple application of the CSP rules will prove that this deadlock occurs.

$$P = \text{acquire} \rightarrow \mu X. (\text{print?}x \rightarrow X \\ \quad \mid \text{release} \rightarrow P)$$

$$V = \text{acquire} \rightarrow \mu X. (\text{display?}x \rightarrow X \\ \quad \mid \text{move?}x \rightarrow X \\ \quad \mid \text{release} \rightarrow V)$$

$$U1 = p.\text{acquire} \rightarrow v.\text{acquire} \rightarrow S \\ U2 = v.\text{acquire} \rightarrow p.\text{acquire} \rightarrow T$$

$$\begin{aligned} & (p:P \parallel v:V) \parallel (U1 \parallel U2) \\ &= (p.\text{acquire} \rightarrow (p:X \parallel v:V) \parallel (v.\text{acquire} \rightarrow S) \parallel U2 \\ & \quad \parallel v.\text{acquire} \rightarrow (p:P \parallel v:X) \parallel U1 \parallel (p.\text{acquire} \rightarrow T)) \\ &= (p.\text{acquire} \rightarrow v.\text{acquire} \rightarrow (p:X \parallel v:X) \parallel ((v.\text{acquire} \rightarrow S) \parallel (p.\text{acquire} \rightarrow T) \\ & \quad \parallel (S \parallel U2)) \\ & \quad \parallel v.\text{acquire} \rightarrow p.\text{acquire} \rightarrow (p:X \parallel v:X) \parallel ((v.\text{acquire} \rightarrow S) \parallel (p.\text{acquire} \rightarrow T) \\ & \quad \parallel (U1 \parallel T))) \\ &= (p.\text{acquire} \rightarrow v.\text{acquire} \rightarrow \text{STOP} \\ & \quad \parallel v.\text{acquire} \rightarrow p.\text{acquire} \rightarrow \text{STOP}) \end{aligned}$$

This last line is possible since in the first and third line no events are possible, and, as you will recall from the notes, the mere possibility of deadlock ensures failure - the system thus deadlocks.

There are several possible ways to avoid deadlock, all of which place limitations on the way in which resources may be used. Possibilities include not allowing the acquisition of more than one resource, enforcing an ordering on such acquisitions (e.g. printer must be acquired before display unit), or using a resource manager which must be acquired before the individual resources are acquired.

3. The definitions for the transmitter and receiver are the same as in exercises 2. The only new definition is the wire, which most of you did satisfactorily using the non-deterministic or. The full set of definitions is thus:

$$\begin{aligned} TX &= \text{in?}x \rightarrow X \\ X &= \text{left!}x \rightarrow \text{left!}(1-x) \rightarrow \text{check?}y \rightarrow \text{if } y=\text{ok} \text{ then } TX \\ & \quad \text{else } X \end{aligned}$$

$$\begin{aligned} WIRE &= (\text{left?}z \rightarrow \text{if } z=0 \text{ then } \text{right!}0 \rightarrow WIRE \\ & \quad \text{else } (\text{right!}1 \rightarrow WIRE \\ & \quad \parallel \text{right!}0 \rightarrow WIRE)) \end{aligned}$$

$$\begin{aligned} RX &= \text{right?a} \rightarrow \text{right?b} \rightarrow \text{if } a=b \text{ then } \text{check!fail} \rightarrow RX \\ & \quad \text{else } \text{out!a} \rightarrow \text{check!ok} \rightarrow RX \end{aligned}$$

Some of you managed to produce shorter definitions of the wire, of the form:

$$WIRE = (\text{left?}x \rightarrow (\text{right!}x \rightarrow WIRE \parallel \text{right!}0 \rightarrow WIRE))$$

Although this is a valid definition, I don't think it is as good, since it doesn't clearly identify the part representing correct transmission, and the part representing error. Such a specification makes the ensuing proof more difficult. In the next part many people split the proof into several separate cases (zeroes and ones, correct and error transmission). This is sometimes a valid technique, but you must be careful not to lose the formality of the proof by using text to describe how the separate cases satisfy the proof. The interaction (etc) rules of CSP are powerful enough to reason about the whole system at once, and although

this sometimes makes a proof large, at least we can be sure that it is formally proved. The proof below is shown in full. In practice it would be possible to contract some of the steps together

$(TX \parallel WIRE \parallel RX) \backslash \{left, right, check\}$

$TX = (in?x \rightarrow X) \parallel$

$(left?z \rightarrow \text{if } z=0 \text{ then } right!0 \rightarrow WIRE$
 $\text{else } (right!1 \rightarrow WIRE$
 $\parallel right!0 \rightarrow WIRE)) \parallel$

$right?a \rightarrow right?b \rightarrow \text{if } a=b \text{ then } check!fail \rightarrow RX$
 $\text{else } out!a \rightarrow check!ok \rightarrow RX)$

Expansion rule

$= in?x \rightarrow ((left!x \rightarrow left!(1-x) \rightarrow check?y \rightarrow \text{if } y=ok \text{ then } TX$
 $\text{else } X) \parallel$

$(left?z \rightarrow \text{if } z=0 \text{ then } right!0 \rightarrow WIRE$
 $\text{else } (right!1 \rightarrow WIRE$
 $\parallel right!0 \rightarrow WIRE)) \parallel$

$(right?a \rightarrow right?b \rightarrow \text{if } a=b \text{ then } check!fail \rightarrow RX$
 $\text{else } out!a \rightarrow check!ok \rightarrow RX) \backslash \{left, right, check\}$

Expansion rule

(communication of x on the left channel hidden).

$= in?x \rightarrow ((left!(1-x) \rightarrow check?y \rightarrow \text{if } y=ok \text{ then } TX$
 $\text{else } X) \parallel$

$(\text{if } x=0 \text{ then } right!0 \rightarrow WIRE$
 $\text{else } (right!1 \rightarrow WIRE$
 $\parallel right!0 \rightarrow WIRE)) \parallel$

$(right?a \rightarrow right?b \rightarrow \text{if } a=b \text{ then } check!fail \rightarrow RX$
 $\text{else } out!a \rightarrow check!ok \rightarrow RX) \backslash \{left, right, check\}$

x takes values in { 1, 0 } hence

$= (in?0 \rightarrow ((left!1 \rightarrow check?y \rightarrow \text{if } y=ok \text{ then } TX$
 $\text{else } X) \parallel$

$(right!0 \rightarrow WIRE) \parallel$

$(right?a \rightarrow right?b \rightarrow \text{if } a=b \text{ then } check!fail \rightarrow RX$
 $\text{else } out!a \rightarrow check!ok \rightarrow RX))$

$\parallel in?1 \rightarrow ((left!0 \rightarrow check?y \rightarrow \text{if } y=ok \text{ then } TX$
 $\text{else } X) \parallel$

$(right!1 \rightarrow WIRE$
 $\parallel right!0 \rightarrow WIRE) \parallel$

$(right?a \rightarrow right?b \rightarrow \text{if } a=b \text{ then } check!fail \rightarrow RX$
 $\text{else } out!a \rightarrow check!ok \rightarrow RX) \backslash \{left, right, check\}$

Expansion rule + property of non-deterministic OR
(communication along the right channel hidden).

= (in?0 -> ((left!1 -> check?y -> if y=ok then TX
else X) ||

WIRE ||

(right?b -> if 0=b then check!fail -> RX
else out!a -> check!ok -> RX))

! in?1 -> ((left!0 -> check?y -> if y=ok then TX
else X) ||

WIRE ||

((right?b -> if 1=b then check!fail -> RX
else out!a -> check!ok -> RX)
|| (right?b -> if 0=b then check!fail -> RX
else out!a -> check!ok -> RX))\{left,right,check}))

Expansion rule
(communication along left channel hidden).

= (in?0 -> ((check?y -> if y=ok then TX
else X) ||

(right!1 -> WIRE
|| right!0 -> WIRE) ||

(right?b -> if 0=b then check!fail -> RX
else out!a -> check!ok -> RX))

! in?1 -> ((check?y -> if y=ok then TX
else X) ||

(right!0 -> WIRE) ||

((right?b -> if 1=b then check!fail -> RX
else out!a -> check!ok -> RX)
|| (right?b -> if 0=b then check!fail -> RX
else out!a -> check!ok -> RX))\{left,right,check}))

Expansion rule.
(communication along the right channel hidden).

= (in?0 -> ((check?y -> if y=ok then TX
else X) ||

WIRE ||

(check!fail -> RX
|| out!a -> check!ok -> RX))

! in?1 -> ((check?y -> if y=ok then TX
else X) ||

WIRE ||

((out!a -> check!ok -> RX)
|| (check!fail -> RX))\{left,right,check}))

Expansion rule

(communication along the chack channel hidden).

$$= (\text{in?0} \rightarrow (\text{out!0} \rightarrow (\text{check?ok} \rightarrow \text{TX}) \parallel (\text{WIRE}) \parallel (\text{check!ok} \rightarrow \text{RX})) \\ \parallel \text{X} \parallel \text{WIRE} \parallel \text{RX})$$

$$\mid \text{in?1} \rightarrow (\text{out!1} \rightarrow (\text{check?ok} \rightarrow \text{TX} \parallel (\text{WIRE}) \parallel (\text{check!ok} \rightarrow \text{RX})) \\ \parallel \text{X} \parallel \text{WIRE} \parallel \text{RX}) \backslash \{ \text{left, right, check} \})$$

$$= (\text{in?0} \rightarrow (\text{out!0} \rightarrow \text{TX} \parallel \text{WIRE} \parallel \text{RX}) \\ \parallel \text{X} \parallel \text{WIRE} \parallel \text{RX})$$

$$\mid \text{in?1} \rightarrow (\text{out!1} \rightarrow \text{TX} \parallel \text{WIRE} \parallel \text{RX}) \\ \parallel \text{X} \parallel \text{WIRE} \parallel \text{RX}) \backslash \{ \text{left, right, check} \})$$

$$= \text{in?x} \rightarrow (\text{out!x} \rightarrow \text{TX} \parallel \text{WIRE} \parallel \text{RX}) \\ \parallel \text{X} \parallel \text{WIRE} \parallel \text{RX}) \backslash \{ \text{left, right, check} \})$$

$$= \text{in?x} \rightarrow \text{out!x} \rightarrow \text{TX} \parallel \text{WIRE} \parallel \text{RX} \backslash \{ \text{left, right, check} \})$$

This last line is reached eventually, since the previous equation represents an infinite set of identical choices.

PJA and PJW 19-11-90

Concurrency and Concurrent Programming Problem Sheet 4 - Sample Answers

1. There were two possible solutions to this question, depending upon whether or not you realised that there was an error in the given definition of process P. The line $Y2:=Y2-1$, should have read $Y1:=Y1-1$.

First the solution to the corrected algorithm

<pre> P start: if y1 = (n-k) goto end; a.acquire ; y3 := y3 * y1 ; a.release ; y1 := y1 - 1 ; goto start ; end: halt </pre>	<pre> Q start: if y2 = k goto end ; y2 := y2 + 1 ; loop until y1 + y2 =< n ; a.acquire ; y3 := y3 / y2 ; a.release ; goto start ; end: halt </pre>
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Notes

The semaphores are used to enforce mutual exclusion around the commands which update $y3$. This is necessary in order to prevent the "lost update problem" (interference).

Some people also put references to $y1$ inside semaphores as well, because both P and Q use it. However, this is not useful, since only one process (process P) can ever **change** it. The fact that Q could be reading $y1$ while P is changing it, does not cause interference (Assuming that the physical read and write events are atomic). The only possible problem that can occur, is that the value read by Q is out of date, by the time the instruction is complete. However, in this particular algorithm, this is not a problem.

Some people used a semaphore to encapsulated the whole of the loop (in process Q). However, this would have the effect of preventing the termination of the loop, since $y1$ could never be decremented.

Now the solution to the uncorrected algorithm

<pre> P start: if y1 = (n-k) goto end; a.acquire ; y3 := y3 * y1 ; a.release ; b.acquire ; y2 := y2 - 1 ; b.release ; goto start ; end: halt </pre>	<pre> Q start: if y2 = k goto end ; b.acquire ; y2 := y2 + 1 ; b.release ; loop until y1 + y2 =< n ; a.acquire ; y3 := y3 / y2 ; a.release ; goto start ; end: halt </pre>
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Notes

Some people used the same semaphore to enforce mutual exclusion on both $y3$ and $y2$. Although this is not wrong, it does unnecessarily reduce parallelism. For example when P is writing to $y3$, Q would be excluded from writing to $y2$, even though there is no possibility of interference. The above solution uses two semaphores, to overcome this.

2. There were several solutions to this question, the best of which used three parallel processes :
a card reader ,
a filter for to deal with '*'s, and
a printer.

```

READER = READER ;

READER i = innewcard -> READCHAR i

READCHAR i = read i ?char -> filt!char -> if i<80 then READCHAR (i+1)
                                     else filt!' ' -> outcard -> READER

FILTER = filt?ch -> if ch='*' then FILTER2
                  else print!ch -> FILTER

FILTER2 = filt?ch -> if ch='*' then print!'^' -> FILTER
              else print!'*' -> print!ch -> FILTER

PRINTER = PRINTER ;

PRINTER i = print?x -> if x = eof then END i
                  else output i !x -> if i<125 then PRINTER (i+1)
                                     else newline -> PRINTER i

END i = output i!' ' -> if i<125 then END i+1
                  else PRINTER

CONWAYPROBLEM = (READER || FILTER || PRINTER)

```

3. This question, was a little unclear. Most people just quoted the appropriate trace, when what was actually required was a derivation of the trace, using proof by induction.

Theorem:

$$traces(SEM) = \left\{ s \mid s \leq (<acquire, release>)^* \right\}$$

(where the trace definition is a regular expression)

Proof:

By definition

$$traces(\mu X \cdot F(X)) = \bigcup_{n \geq 0} traces(F^n(STOP))$$

Base Case

$$F^0(STOP) = <> = (<acquire, release>)^0$$

Induction Case

assume

$$traces(F^n(STOP)) = \left\{ s \mid s \leq (<acquire, release>)^n \right\}$$

Inductive Step

$$traces(F^{n+1}(STOP)) = traces(acquire \rightarrow release \rightarrow F^n(STOP))$$

$$traces(F^{n+1}(STOP)) = \left\{ \langle acquire \rangle^t \mid t \in traces(release \rightarrow F^n(STOP)) \right\} \cup \{ \langle \rangle \}$$

$$traces(F^{n+1}(STOP)) = \left\{ \langle acquire \rangle^t \mid t \leq \left[\langle release \rangle^s \mid s \leq (\langle acquire, release \rangle^n) \right] \right\} \cup \{ \langle \rangle \}$$

$s \in traces(F^n(STOP))$ } EOR

$$= \left\{ s \mid s \leq (\langle acquire, release \rangle^{n+1}) \right\}$$

And hence by induction the theorem is proved.

4. This question is more complex than most of you thought, since you must solve the recursive equation to produce an explicit definition of the process trace.

The '+' and '*' operators are not standard to CSP. The + operator should be read as OR, and * should be read as meaning n for all n ≥ 0.

Theorem:

$$traces(GR) = \left\{ s \mid s \leq (\langle heathrow \rangle + \langle victoria \rangle + \langle in\ 10p, euston \rangle)^* \right\}$$

(where the trace definition is a regular expression)

Proof:

By definition

$$traces(\mu X \cdot F(X)) = \bigcup_{n \geq 0} traces(F^n(STOP))$$

Base Case

$$F^0(STOP) = \langle \rangle = (\langle heathrow \rangle + \langle victoria \rangle + \langle in\ 10p, euston \rangle)^0$$

Induction Case

assume

$$traces(F^n(STOP)) = \left\{ s \mid s \leq (\langle heathrow \rangle + \langle victoria \rangle + \langle in\ 10p, euston \rangle)^n \right\}$$

Inductive Step

$$\begin{aligned} traces(F^{n+1}(STOP)) &= traces(heathrow \rightarrow F^n(STOP) \\ &\quad \mid victoria \rightarrow F^n(STOP) \\ &\quad \mid in\ 10p \rightarrow euston \rightarrow F^n(STOP)) \end{aligned}$$

$$\begin{aligned} traces(F^{n+1}(STOP)) &= \left\{ \langle heathrow \rangle^s \mid s \in traces(F^n(STOP)) \right\} \\ &\cup \left\{ \langle victoria \rangle^s \mid s \in traces(F^n(STOP)) \right\} \\ &\cup \left\{ \langle in\ 10p \rangle^s \mid s \in traces(euston \rightarrow F^n(STOP)) \right\} \end{aligned}$$

$$\cup \{ \langle \rangle \}$$

$$\begin{aligned} \text{traces}(F^{n+1}(\text{STOP})) &= \left\{ \langle \text{heathrow} \rangle \hat{s} \mid s \leq (\langle \text{heathrow} \rangle + \langle \text{victoria} \rangle + \langle \text{in } 10p, \text{euston} \rangle)^n \right\} \\ &\cup \left\{ \langle \text{victoria} \rangle \hat{s} \mid s \leq (\langle \text{heathrow} \rangle + \langle \text{victoria} \rangle + \langle \text{in } 10p, \text{euston} \rangle)^n \right\} \\ &\cup \left\{ \langle \text{in } 10p \rangle \hat{t} \mid t \leq \left[\langle \text{euston} \rangle \hat{s} \mid s \leq (\langle \text{heathrow} \rangle + \langle \text{victoria} \rangle + \langle \text{in } 10p, \text{euston} \rangle)^n \right] \right\} \\ &\cup \{ \langle \rangle \} \\ &= \left\{ s \mid s \leq (\langle \text{heathrow} \rangle + \langle \text{victoria} \rangle + \langle \text{in } 10p, \text{euston} \rangle)^{n+1} \right\} \end{aligned}$$

And hence by induction the theorem is proved.

PJA and PJW 28-11-90

Concurrency and Concurrent Programming Problem Sheet 5 - Sample Answers

1. This question turned out to be slightly more difficult than it was intended to be, and only a few people got it correct.

$$\text{COPY} = (\text{in} \rightarrow \text{out} \rightarrow \text{COPY} \mid \text{off} \rightarrow \text{STOP})$$

Theorem:

$$\text{traces}(F^n(\text{STOP})) = \left\{ s \mid s \leq \langle \text{in}, \text{out} \rangle^m \wedge \langle \text{off} \rangle \wedge 0 \leq m \leq n \right\} \\ \cup \left\{ t \mid t \leq \langle \text{in}, \text{out} \rangle^n \right\}$$

Proof: By definition

$$\text{COPY} = F(\text{COPY}) \quad \text{where } F(\text{COPY}) = (\text{in} \rightarrow \text{out} \rightarrow \text{COPY} \mid \text{off} \rightarrow \text{STOP})$$

$$\text{traces}(\text{COPY}) = \bigcup_{n \geq 0} \text{traces}(F^n(\text{STOP}))$$

Base Case: ($n = 0$)

$$F^0(\text{STOP}) = \left\{ \langle \rangle \right\} = \left\{ t \mid t \leq \langle \text{in}, \text{out} \rangle^0 \right\}$$

Inductive Case

$$\text{traces}(F^{n+1}(\text{STOP})) = \text{traces}(\text{in} \rightarrow \text{out} \rightarrow F^n(\text{STOP}) \mid \text{off} \rightarrow \text{STOP})$$

$$= \left\{ \langle \rangle \right\} \\ \cup \left\{ \langle \text{in} \rangle \hat{t} \mid t \in \text{traces}(\text{out} \rightarrow F^n(\text{STOP})) \right\} \\ \cup \left\{ \langle \text{off} \rangle \hat{t} \mid t \in \text{traces}(\text{STOP}) \right\}$$

$$= \left\{ \langle \rangle \right\} \\ \cup \left\{ t \mid t \leq \langle \text{in}, \text{out} \rangle \hat{s} \mid s \in \text{traces}(F^n(\text{STOP})) \right\} \\ \cup \left\{ \langle \text{off} \rangle \right\}$$

$$= \left\{ t \mid t \leq \langle \text{in}, \text{out} \rangle \hat{s} \left[s \leq \langle \text{in}, \text{out} \rangle^m \wedge \langle \text{off} \rangle \wedge 0 \leq m < n \cup t' \mid t' \leq \langle \text{in}, \text{out} \rangle^n \right] \right\} \\ \cup \left\{ \langle \text{off} \rangle \right\}$$

$$\begin{aligned}
 &= \left\{ t \mid t \leq \langle in, out \rangle^{m+1} \wedge \langle off \rangle \wedge 1 \leq m+1 \leq n+1 \right\} \\
 &\cup \left\{ t' \mid t' \leq \langle in, out \rangle^{n+1} \right\} \\
 &\cup \left\{ \langle off \rangle \right\} \\
 \\
 &= \left\{ s \mid s \leq \langle in, out \rangle^{m+1} \wedge \langle off \rangle \wedge 0 \leq m+1 \leq n+1 \right\} \\
 &\cup \left\{ t' \mid t' \leq \langle in, out \rangle^{n+1} \right\}
 \end{aligned}$$

2. Only a few people recognised the connection between this question and question 1. i.e. process R1 is actually the same as the COPY process in question 1.

$$R = a \rightarrow R1$$

$$R1 = (g \rightarrow d \rightarrow R1 \mid r \rightarrow R)$$

$$R = F(R)$$

$$R1 = G(R1)$$

$$F(R) = (a \rightarrow R1)$$

$$G(R1) = (g \rightarrow d \rightarrow r1 \mid r \rightarrow R)$$

First consider the inductive proof of function F.

$$traces(R) = \bigcup_{n \geq 0} traces(F^n(STOP))$$

Inductive assumption

$$\begin{aligned}
 traces(F^n(STOP)) = & \left\{ t \mid t \leq (\langle a \rangle^k)^n \wedge k \in \bigcup_{p \geq 0} \{s \mid s \leq \langle g, d \rangle^q \wedge \langle r \rangle \wedge 0 \leq q < p\} \right\} \\
 & \cup \left\{ t \mid (t \leq (\langle a \rangle^k)^m \wedge \langle a \rangle^j) \wedge 0 \leq m < n \wedge j \in \bigcup_{p \geq 0} \{s \mid s \leq \langle g, d \rangle^p\} \right\}
 \end{aligned}$$

Base Case

$$\begin{aligned}
 traces(F^0(STOP)) &= \left\{ \langle \rangle \right\} \\
 &= \left\{ t \mid t \leq (\langle a \rangle^k)^0 \wedge k \in \bigcup_{p \geq 0} \{s \mid s \leq \langle g, d \rangle^q \wedge \langle r \rangle \wedge 0 \leq q < p\} \right\}
 \end{aligned}$$

Inductive Step

$$traces(F^{n+1}(STOP)) = traces(a \rightarrow R1)$$

$$= \left\{ \langle \rangle \right\}$$

$$\cup \left\{ \langle a \rangle \right\} \\ \cup \left\{ \langle a \rangle^i \mid i \in \text{traces}(R1) \right\}$$

Now since R1 is essentially the same as the COPY process from Question 1, traces (R1) is the same as traces (COPY) except with events in, out and off replaced by g, d and r respectively. Hence :

$$\begin{aligned} &= \left\{ \langle \rangle \right\} \cup \left\{ \langle a \rangle \right\} \cup \left\{ \langle a \rangle^i \right. \\ &\quad \left. \wedge i \in \bigcup_{p \geq 0} \left[\{s \mid s \leq \langle g, d \rangle^q \langle r \rangle^i y \mid y \in \text{traces}(F^n(\text{STOP})) \wedge 0 \leq q < p\} \cup \{w \mid w \leq \langle g, d \rangle^p\} \right] \right\} \\ &= \left\{ \langle \rangle \right\} \cup \left\{ \langle a \rangle \right\} \cup \left\{ \langle a \rangle^i \right. \\ &\quad \wedge i \in \bigcup_{p \geq 0} \left[\left[\{s \mid s \leq \langle g, d \rangle^q \langle r \rangle^i y \mid y \leq (\langle a \rangle^k)^n \right. \right. \\ &\quad \quad \wedge k \in \bigcup_{p' \geq 0} \{s' \mid s' \leq \langle g, d \rangle^{q'} \langle r \rangle^i \wedge 0 \leq q' < p'\} \wedge 0 \leq q < p\} \cup \{w \mid w \leq \langle g, d \rangle^p\} \\ &\quad \quad \cup \left[\{s \mid s \leq \langle g, d \rangle^q \langle r \rangle^i y \mid y \leq (\langle a \rangle^k)^m \wedge \langle a \rangle^j \wedge 0 \leq m < n \right. \\ &\quad \quad \quad \left. \wedge j \in \bigcup_{p' \geq 0} \{s' \mid s' \leq \langle g, d \rangle^{p'}\} \wedge 0 \leq q < p\} \cup \{w \mid w \leq \langle g, d \rangle^p\} \right] \right] \left. \right\} \\ \text{traces}(F^{n+1}(\text{STOP})) &= \left\{ i \mid i \leq (\langle a \rangle^k)^{n+1} \wedge k \in \bigcup_{p \geq 0} \{s \mid s \leq \langle g, d \rangle^q \langle r \rangle^i \wedge 0 \leq q < p\} \right\} \\ &\cup \left\{ i \mid (i \leq (\langle a \rangle^k)^{m+1} \wedge \langle a \rangle^j) \wedge 0 \leq m+1 < n+1 \wedge j \in \bigcup_{p \geq 0} \{s \mid s \leq \langle g, d \rangle^p\} \right\} \end{aligned}$$

Hence result proved.

4. This question is simpler, and most of you who tried it got the answer out ok.

$$\alpha GR = \alpha TM$$

From question one we know the traces for GR, and by similar reasoning can get the traces for TM.

$$\begin{aligned} \text{traces}(GR) &= \left\{ s \mid s \leq (\langle \text{heathrow} \rangle + \langle \text{victoria} \rangle + \langle \text{in } 10p, \text{euston} \rangle)^* \right\} \\ \text{traces}(TM) &= \left\{ s \mid s \leq (\langle \text{in } 50p, \text{heathrow} \rangle + \langle \text{in } 20p, \text{victoria} \rangle + \langle \text{in } 10p, \text{euston} \rangle)^* \right\} \end{aligned}$$

Now, because the alphabets are equal, we know that:

$$\begin{aligned} \text{traces}(GR \parallel TM) &= \text{traces}(GR) \cap \text{traces}(TM) \\ &= \left\{ s \mid \begin{aligned} &s \leq (\langle \text{heathrow} \rangle + \langle \text{victoria} \rangle + \langle \text{in } 10p, \text{euston} \rangle)^* \wedge \\ &s \leq (\langle \text{in } 50p, \text{heathrow} \rangle + \langle \text{in } 20p, \text{victoria} \rangle + \langle \text{in } 10p, \text{euston} \rangle)^* \end{aligned} \right\} \\ &= \left\{ s \mid s \leq \langle \text{in } 10p, \text{euston} \rangle^* \right\} \end{aligned}$$

Which we obtain by combining the two regular expressions into one representing the intersection of the individual expressions. But we also know, by the same reasoning as used in question 1, that

$$\begin{aligned} \text{traces}(\mu X \cdot (\text{in } 10p \rightarrow \text{euston} \rightarrow X)) \\ = \left\{ s \mid s \leq \text{in } 10p, \text{euston} >^* \right\} \end{aligned}$$

And hence the required result is proved.

5. This question is quite straightforward, just requiring an application of Scott induction to consider the different traces of the process. In order to prove the property, what we really need to prove is the following.

For the process:

$$\begin{aligned} VM = \mu X \cdot (\text{coin} \rightarrow \text{choc} \rightarrow X \\ \mid \text{choc} \rightarrow \text{coin} \rightarrow X) \end{aligned}$$

We must prove the property **P**:

$$\begin{aligned} P(tr) &= \left[0 \leq (\text{tr} \downarrow \text{coin} + 1) - (\text{tr} \downarrow \text{choc}) \leq 2 \right] \\ &= \left[-1 \leq (\text{tr} \downarrow \text{coin}) - (\text{tr} \downarrow \text{choc}) \leq 1 \right] \end{aligned}$$

For all traces of the process, i.e. that $VM \text{ sat } P$. We prove this using Scott induction by showing that $STOP \text{ sat } P$ and then that

$$\#X \text{ sat } P \Rightarrow F(X) \text{ sat } P\#$$

We first prove the base case, (using $\text{traces}(STOP) = \{ \langle \rangle \}$):

$$\begin{aligned} P(\langle \rangle) &= (-1 \leq \langle \rangle \downarrow \text{coin} - \langle \rangle \downarrow \text{choc} \leq 1) \\ &= (-1 \leq 0 \leq 1) = \text{true} \end{aligned}$$

For the step case, we assume

$$\#X \text{ sat } P\#$$

and attempt to prove

$$\#F(X) \text{ sat } P\#. \text{First we}$$

must derive the possible traces of $F(X)$, and then must prove that the property holds for each such trace.

$$\begin{aligned} \text{traces}(F(X)) &= \text{traces}(\text{coin} \rightarrow \text{choc} \rightarrow X \\ &\quad \mid \text{choc} \rightarrow \text{coin} \rightarrow X) \\ &= \left\{ \langle \rangle \right\} \\ &\quad \cup \left\{ \langle \text{coin} \rangle^s \mid s \in \text{traces}(\text{choc} \rightarrow X) \right\} \\ &\quad \cup \left\{ \langle \text{choc} \rangle^s \mid s \in \text{traces}(\text{coin} \rightarrow X) \right\} \\ &= \left\{ \langle \rangle \right\} \\ &\quad \cup \left\{ \langle \text{coin} \rangle \right\} \\ &\quad \cup \left\{ \langle \text{coin}, \text{choc} \rangle^s \mid s \in \text{traces}(X) \right\} \\ &\quad \cup \left\{ \langle \text{choc} \rangle \right\} \end{aligned}$$

$$\cup \left\{ \langle choc, coin \rangle^{\wedge s} \mid s \in \text{entraces}(X) \right\}$$

In order to complete the induction we must prove that the property holds for each element of these sets.

$$P(\langle \rangle) = \text{true}$$

$$P(\langle coin \rangle) = \left[-1 \leq (1-0) \leq 1 \right] = \text{true}$$

$$P(\langle choc \rangle) = \left[-1 \leq (0-1) \leq 1 \right] = \text{true}$$

$$P(\langle coin, choc \rangle^{\wedge s}) = \left[-1 \leq (1-1) + (\downarrow s_{coin} - \downarrow s_{choc}) \leq 1 \right] = \text{true}$$

(Since the assumption guarantees that $\#P(s)\#$ is true)

$$P(\langle choc, coin \rangle^{\wedge s}) = \left[-1 \leq (1-1) + (\downarrow s_{choc} - \downarrow s_{coin}) \leq 1 \right] = \text{true}$$

And hence by induction, the property is satisfied.

PJA and PJW 28-11-90

Concurrency and Concurrent Programming Problem Sheet 6 - Sample Answers

1. Almost none of you got this question right, forgetting, (as you did before), that in order to obtain an expression for the traces of a recursive process, we must solve a recursive equation. If we do not do this, then result we have is merely an implicit definition of the traces of the process.

Theorem:

$$traces(T) = \left\{ s \mid s \leq (<in\ 1?x, mid!1, mid!x> + <in\ 2?x, mid!2, mid!x>)^* \right\}$$

Proof is by Scott induction:

Base case.

$$traces(STOP) = \langle \rangle = (<in\ 1?x, mid!1, mid!x> + <in\ 2?x, mid!2, mid!x>)^0$$

Inductive case, assuming:

$$traces(X) = \left\{ s \mid s \leq (<in\ 1?x, mid!1, mid!x> + <in\ 2?x, mid!2, mid!x>)^n \right\}$$

We must prove the inductive step:

$$\begin{aligned} traces(F(X)) &= traces(in\ 1?x \rightarrow mid!1 \rightarrow mid!x \rightarrow X \\ &\quad \mid in\ 2?x \rightarrow mid!2 \rightarrow mid!x \rightarrow X) \\ traces(F(X)) &= \left\{ <in\ 1?x> \hat{s} \mid s \in traces(mid!1 \rightarrow mid!x \rightarrow X) \right\} \\ &\quad \cup \left\{ <in\ 2?x> \hat{s} \mid s \in traces(mid!2 \rightarrow mid!x \rightarrow X) \right\} \\ &\quad \cup \left\{ \langle \rangle \right\} \\ &= \left\{ <in\ 1?x, mid!1> \hat{s} \mid s \in traces(mid!x \rightarrow X) \right\} \\ &\quad \cup \left\{ <in\ 2?x, mid!2> \hat{s} \mid s \in traces(mid!x \rightarrow X) \right\} \\ &\quad \cup \left\{ \langle \rangle \right\} \\ &= \left\{ <in\ 1?x, mid!1, mid!x> \hat{s} \mid s \in traces(X) \right\} \\ &\quad \cup \left\{ <in\ 2?x, mid!2, mid!x> \hat{s} \mid s \in traces(X) \right\} \\ &\quad \cup \left\{ \langle \rangle \right\} \\ &= \left\{ s \mid s \leq (<in\ 1?x, mid!1, mid!x> + <in\ 2?x, mid!2, mid!x>)^{n+1} \right\} \end{aligned}$$

By using the inductive assumption, and combining the two cases, and hence the theorem is proved.

Similarly for the receiver process, we can derive:

$$traces(T) = \left\{ s \mid s \leq (<mid?1, mid?x, out!1!x> + <mid?2, mid?x, out!2!x>)^* \right\}$$

Having proved the traces for T and R, it is possible to define the traces for (T||R) by using the rule provided (pg 72, section 2.3.3 L1). Hence :

$$traces(T || R) = \left\{ s \mid (s \upharpoonright \alpha T) \in traces(T) \wedge (s \upharpoonright \alpha R) \in traces(R) \wedge s \in (\alpha T \cup \alpha R)^* \right\}$$

However, because we want to hide all events in $\alpha \text{ mid } T$ we must modify this law slightly as follows:

$$\begin{aligned} & traces\left[(T || R) \setminus \alpha \text{ mid } T\right] \\ &= traces\left[(T \setminus \alpha \text{ mid } T) || (R \setminus \alpha \text{ mid } T)\right] \\ &= \left\{ s \mid \begin{aligned} & (s \upharpoonright (\alpha T - \alpha \text{ mid } T)) \in traces(T) \\ & \wedge (s \upharpoonright (\alpha R - \alpha \text{ mid } T)) \in traces(R) \\ & \wedge s \in ((\alpha T - \alpha \text{ mid } T) \cup (\alpha R - \alpha \text{ mid } T))^* \end{aligned} \right\} \\ &= \left\{ s \mid \begin{aligned} & (s \upharpoonright \{in\ 1?x, in\ 2?x\}) \in traces(T) \\ & \wedge (s \upharpoonright \{out\ 1!x, out\ 2!x\}) \in traces(R) \\ & \wedge s \in (\{in\ 1?x, in\ 2?x\} \cup \{out\ 1!x, out\ 2!x\})^* \end{aligned} \right\} \end{aligned}$$

3. In this question some of you failed to realise that the proof required involves the actual values transmitted down the channels, rather than just the number of values. Given this information the proof follows through fairly simply.

Again using Scott induction, we must prove the property for the base and step cases. For the base case we must prove the property for STOP.

$$\begin{aligned} & traces(STOP) = \left\{ \langle \rangle \right\} \\ & P(\langle \rangle) = \left[\langle \rangle \downarrow_{right} \leq^1 double^*(\langle \rangle \downarrow_{left}) \right] \\ &= \left[\langle \rangle \leq^1 \langle \rangle \right] \equiv true \end{aligned}$$

For the step case, we assume the property holds for X, and attempt to prove it for F(X).

$$traces(F(X)) = \left\{ \langle \rangle \right\}$$

$$\begin{aligned} & \cup \left\{ \langle \text{left?}x \rangle \right\} \\ & \cup \left\{ \langle \text{left?}x \rightarrow \text{right}!(2x) \rangle \right\} \\ & \cup \left\{ \langle \text{left?}x \rightarrow \text{right}!(2x) \rangle \wedge s \mid s \leq \text{traces}(X) \right\} \end{aligned}$$

To complete the induction we must prove that the property holds for each of these possible traces.

$$P(\langle \rangle) = \text{true}$$

$$P(\langle \text{left?}x \rangle) = \left[\langle \rangle \leq^1 \text{double}^* \langle x \rangle \right] \equiv \left[\langle \rangle \leq^1 \langle 2x \rangle \right] \equiv \text{true}$$

$$P(\langle \text{left?}x \rightarrow \text{right}!(2x) \rangle) = \left[\langle 2x \rangle \leq^1 \text{double}^* \langle x \rangle \right] \equiv \left[\langle 2x \rangle \leq^1 \langle 2x \rangle \right] \equiv \text{true}$$

$$\begin{aligned} P(\langle \text{left?}x \rightarrow \text{right}!(2x) \rangle \wedge s) &= \left[\langle 2x \rangle \wedge (s \downarrow \text{right}) \leq^1 \text{double}^* (\langle x \rangle \wedge (s \downarrow \text{left})) \right] \\ &= \left[\langle 2x \rangle \wedge (s \downarrow \text{right}) \leq^1 \langle x \rangle \wedge (\text{double}^* (s \downarrow \text{left})) \right] \\ &\equiv \left[s \downarrow \text{right} \leq^1 \text{double}^* (s \downarrow \text{left}) \right] \equiv \text{true} \end{aligned}$$

From the inductive assumption.