

*Heaven's light is our guide"*

**Rajshahi University of Engineering & Technology**  
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Network Security

Course No. : 305

Chapter 6: Advance Counting Technique

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## 6.4 Generating Functions

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## + Use of Generating Function:

- ✓ To represent sequences efficiently by coding the terms of a sequence as coefficients of powers of a variable  $x$  in a formal power series.
- ✓ Used to solve many types of counting problems
- ✓ Used to solve recurrence relations
- ✓ Used to prove combinatorial identities
- ✓ A helpful tool for studying many properties of sequences

## + Definition 1:

The generating function for the sequence  $a_0, a_1, \dots, a_n, \dots$  of real numbers is the infinite series:

$$G(x) = a_0 + a_1 x + \dots + a_k x^k + \dots = \sum_{k=0}^{\infty} a_k x^k.$$

- ❖ **Remark:** The generating function for  $\{a_k\}$  given in Definition 1 is sometimes called the ordinary generating function of  $\{a_k\}$  to distinguish it from other types of generating functions for this sequence.

- + **Example 1:** The generating functions for the sequences  $\{a_k\}$  with  $a_k = 3$ ,  $a_k = k + 1$ , and  $a_k = 2^k$  are  $\sum_{k=0}^{\infty} 3x^k$ ,  $\sum_{k=0}^{\infty} (k+1)x^k$  and  $\sum_{k=0}^{\infty} 2^k x^k$

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✚ **Example 2:** What is the generating function for the sequence 1, 1, 1, 1, 1, 1?

**Solution:** The generating function of 1, 1, 1, 1, 1, 1 is

$$\begin{aligned} G(x) &= 1 + x + x^2 + x^3 + x^4 + x^5. \\ &= (x^6 - 1) / (x - 1) \end{aligned}$$

### Useful Facts about Power Series

- ✓ When generating functions are used to solve counting problems, they are usually considered to be *formal power series*.
- ✓ Convergence Problems are ignored.
- ✓ A function has a unique power series around  $x = 0$  will be important.

✚ **Example 4:** The function  $f(x) = 1/(1-x)$  is the generating function of the series: 1,1,1,... because

$$1/(1-x) = 1 + x + x^2 + \dots \quad \text{for } |x| < 1.$$

✚ **Example 5:** The function  $f(x) = 1/(1-ax)$  is the generation function of the series: 1, a, a<sup>2</sup>, a<sup>3</sup>,... because

$$1/(1-ax) = 1 + ax + a^2x^2 + \dots$$

when  $|ax| < 1$ , or equivalently, for  $|x| < 1/|a|$  for  $a \neq 0$ .

## 6.4 Generating Functions

**Theorem 1:** Let  $f(x) = \sum_{k=0}^{\infty} a_k x^k$  and  $g(x) = \sum_{k=0}^{\infty} b_k x^k$ . Then  
 $f(x) + g(x) = \sum_{k=0}^{\infty} (a_k + b_k) x^k$  and  $f(x)g(x) = \sum_{k=0}^{\infty} \left( \sum_{j=0}^k a_j b_{k-j} \right) x^k$  and.

It's valid only for power series that converge in an interval.

**EXAMPLE 6:** Let  $f(x) = 1/(1-x)^2$ . Use Example 4 to find the coefficients  $a_0, a_1, a_2, \dots$  in the expansion  $f(x) = \sum_{k=0}^{\infty} a_k x^k$

**Solution:** From Example 4 we see that

$$1/(1-x) = 1 + x + x^2 + \dots$$

Hence, from Theorem 1, we have

$$1/(1-x)^2 = \sum_{k=0}^{\infty} \left( \sum_{j=0}^k 1 \right) x^k = \sum_{k=0}^{\infty} (k+1) x^k$$

**Definition 2:**

Let  $u$  be a real number and  $k$  a nonnegative integer. Then the extended binomial coefficient  $(u, k)$  is defined by  $(u, k) = u(u-1)\dots(u-k+1)/k!$  if  $k > 0$ , or 1 if  $k = 0$ .

**EXAMPLE 7:** Find the values of the extended binomial coefficients  $(-2, 3)$  and  $(1/2, 3)$ .

**Solution:** Taking  $u = -2$  &  $k = 3$  in Definition 2 gives us  $(-2, 3) = \frac{(-2)(-3)(-4)}{3!} = -4$ .

Similarly, taking  $u = 1/2$  and  $k = 3$  gives us  $(1/2, 3) = \frac{(1/2)(1/2-1)(1/2-2)}{3!} = -\frac{1}{16}$

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### **Theorem 2: (The Extended Binomial Theorem)**

Let  $x$  be a real number with  $|x| < 1$  and let  $u$  be a real number. Then

$$(1+x)^u = \sum_{k=0}^{\infty} \binom{u}{k} x^k.$$