

Section Summary

- Cardinality
- Countable Sets
- Computability

Cardinality

Definition: The *cardinality* of a set A is equal to the cardinality of a set B, denoted

$$/A/ = /B/,$$

if and only if there is a one-to-one correspondence (*i.e.*, a bijection) from A to B.

- If there is a one-to-one function (*i.e.*, an injection) from A to B, the cardinality of A is less than or the same as the cardinality of B and we write $|A| \leq |B|$.
- When $|A| \le |B|$ and A and B have different cardinality, we say that the cardinality of A is less than the cardinality of B and write |A| < |B|.

Cardinality

- **Definition**: A set that is either finite or has the same cardinality as the set of positive integers (**Z**⁺) is called *countable*. A set that is not countable is *uncountable*.
- The set of real numbers \mathbf{R} is an uncountable set.
- When an infinite set is countable (*countably infinite*) its cardinality is \aleph_0 (where \aleph is aleph, the 1st letter of the Hebrew alphabet). We write $|S| = \aleph_0$ and say that S has cardinality "aleph null."

Showing that a Set is Countable

- An infinite set is countable if and only if it is possible to list the elements of the set in a sequence (indexed by the positive integers).
- The reason for this is that a one-to-one correspondence f from the set of positive integers to a set S can be expressed in terms of a sequence $a_1, a_2, ..., a_n, ...$ where $a_1 = f(1), a_2 = f(2), ..., a_n = f(n), ...$

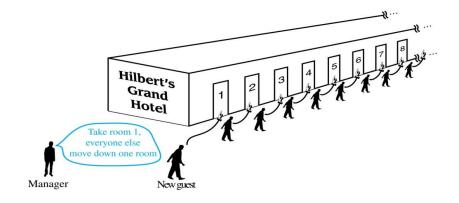
Hilbert's Grand Hotel



David Hilbert

The Grand Hotel (example due to David Hilbert) has countably infinite number of rooms, each occupied by a guest. We can always accommodate a new guest at this hotel. How is this possible?

Explanation: Because the rooms of Grand Hotel are countable, we can list them as Room 1, Room 2, Room 3, and so on. When a new guest arrives, we move the guest in Room 1 to Room 2, the guest in Room 2 to Room 3, and in general the guest in Room n to Room 1, which we assign to the new guest, and all the current guests still have rooms.

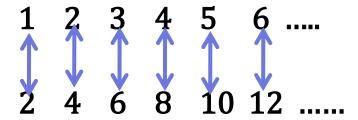


The hotel can also accommodate a countable number of new guests, all the guests on a countable number of buses where each bus contains a countable number of guests (see exercises).

Showing that a Set is Countable

Example 1: Show that the set of positive even integers *E* is countable set.

Solution: Let f(x) = 2x.



Then f is a bijection from \mathbb{N} to E since f is both one-to-one and onto. To show that it is one-to-one, suppose that f(n) = f(m). Then 2n = 2m, and so n = m. To see that it is onto, suppose that t is an even positive integer. Then t = 2k for some positive integer k and f(k) = t.

Showing that a Set is Countable

Example 2: Show that the set of integers **Z** is countable.

Solution: Can list in a sequence:

$$0, 1, -1, 2, -2, 3, -3, \dots$$

Or can define a bijection from N to Z:

- When *n* is even: f(n) = n/2
- When *n* is odd: f(n) = -(n-1)/2

The Positive Rational Numbers are Countable

- **Definition**: A *rational number* can be expressed as the ratio of two integers p and q such that $q \neq 0$.
 - ¾ is a rational number
 - $\sqrt{2}$ is not a rational number.

Example 3: Show that the positive rational numbers are countable.

Solution: The positive rational numbers are countable since they can be arranged in a sequence:

$$r_1, r_2, r_3, \dots$$

The next slide shows how this is done.

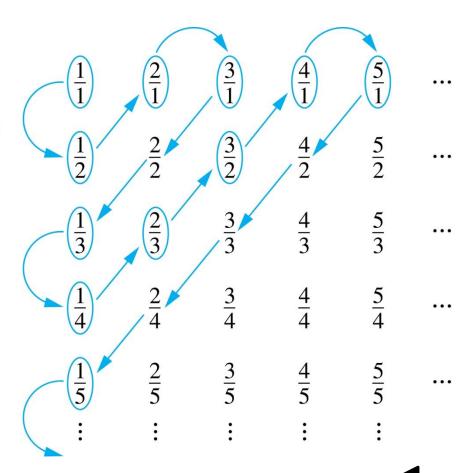
The Positive Rational Numbers are Countable

First row q = 1. Second row q = 2. etc.

Constructing the List

First list p/q with p + q = 2. Next list p/q with p + q = 3 Terms not circled are not listed because they repeat previously listed terms

And so on.



Strings

Example 4: Show that the set of finite strings S over a finite alphabet A is countably infinite.

Assume an alphabetical ordering of symbols in A

Solution: Show that the strings can be listed in a sequence. First list

- 1. All the strings of length 0 in alphabetical order.
- 2. Then all the strings of length 1 in lexicographic (as in a dictionary) order.
- 3. Then all the strings of length 2 in lexicographic order.
- 4. And so on.

This implies a bijection from N to S and hence it is a countably infinite set.

The set of all Java programs is countable.

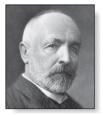
Example 5: Show that the set of all Java programs is countable.

Solution: Let S be the set of strings constructed from the characters which can appear in a Java program. Use the ordering from the previous example. Take each string in turn:

- Feed the string into a Java compiler. (A Java compiler will determine if the input program is a syntactically correct Java program.)
- If the compiler says YES, this is a syntactically correct Java program, we add the program to the list.
- We move on to the next string.

In this way we construct an implied bijection from **N** to the set of Java programs. Hence, the set of Java programs is countable.

The Real Numbers are Uncountable



Georg Cantor (1845-1918)

Example: Show that the set of real numbers is uncountable.

Solution: The method is called the Cantor diagnalization argument, and is a proof by contradiction.

- 1. Suppose **R** is countable. Then the real numbers between 0 and 1 are also countable (any subset of a countable set is countable an exercise in the text).
- 2. The real numbers between 0 and 1 can be listed in order r_1 , r_2 , r_3 ,...
- 3. Let the decimal representation of this listing be

$$r_1 = 0.d_{11}d_{12}d_{13}d_{14}d_{15}d_{16} \dots$$

$$r_2 = 0.d_{21}d_{22}d_{23}d_{24}d_{25}d_{26} \dots$$

$$r_3 = 0.d_{31}d_{32}d_{33}d_{34}d_{35}d_{36} \dots$$

$$\vdots$$

- 4. Form a new real number with the decimal expansion $r = .r_1r_2r_3r_4...$ where $r_i = 3$ if $d_{ii} \neq 3$ and $r_i = 4$ if $d_{ii} = 3$
- 5. r is not equal to any of the r_1 , r_2 , r_3 ,... Because it differs from r_i in its ith position after the decimal point. Therefore there is a real number between 0 and 1 that is not on the list since every real number has a unique decimal expansion. Hence, all the real numbers between 0 and 1 cannot be listed, so the set of real numbers between 0 and 1 is uncountable.
- 6. Since a set with an uncountable subset is uncountable (an exercise), the set of real numbers is uncountable.

Computability (Optional)

- **Definition**: We say that a function is **computable** if there is a computer program in some programming language that finds the values of this function. If a function is not computable we say it is **uncomputable**.
- There are uncomputable functions. We have shown that the set of Java programs is countable. Exercise 38 in the text shows that there are uncountably many different functions from a particular countably infinite set (i.e., the positive integers) to itself. Therefore (Exercise 39) there must be uncomputable functions.

Query???



$$\sqrt{1+\sqrt{2+\sqrt{3+\sqrt{4....}}}}$$

$$\exists_{x \in \Re} \exists_{y \in \Re} (x = y) = ?$$

$$\sum_{x=I}^{\infty} x = ?$$

$$\forall_{x}(\Re/x) = ?$$



$$\sum_{x=1}^{\infty} \frac{1}{x} = ?$$

$$\exists_{x \in \Re} \exists_{y \in \Re} (x = y) = ?$$

$$\sqrt{1+\sqrt{2+\sqrt{3+\sqrt{4....}}}} = ?$$
 $1-1+1-1+1....=?$

$$1-1+1-1+1....=2$$

$$\sum_{x=1}^{\infty} \frac{1}{x} = ?$$