

A photograph of the Archimedes Palimpsest book cover, which is made of dark brown leather and shows significant wear and discoloration.

## Archimedes Palimpsest

- Partial copies of seven treatises by Archimedes
  - copied in 10<sup>th</sup> century CE
  - only copy of "On Floating Bodies" in original Greek
  - only copies of leaves of "Method of Mechanical Theorems" ("the Method") and "Stomachion"
- Overwritten by "Euchologion" (Christian prayer book) in 1229 CE
  - 177 parchment leaves, each 190mm x 150 mm
- + leaves from other manuscripts
  - Parts of two speeches by Hyperides, (5 leaves, discovered 2003)
    - > "Against Diondas" and "Against Timandros"
  - Commentary on Aristotle's "Categories," (6 leaves, discovered 2004)
  - Life of a saint (2-7 leaves, 8/2007)

## Project Goals (from Day #1)

- Apply modern imaging technologies to enhance readability of original text in the *Archimedes Palimpsest*
  - Illumination
  - Cameras
  - Image Processing
  - Image Rendering
- Disseminate results to scholars
- Respond to their feedback

## Original Plan, from Day #1

- Collect digital images at different wavelengths (different colors of illumination)
  - “Spectral Imaging”
- Combine images in computer to enhance readability of original text
  - deterministic: construct same combinations of bands for all leaves
  - statistical: different combinations for each leaf determined by conditions

## Facts of Life:

- Project has spanned a period of relentless and rapid advance of technologies
  - tools for illumination, imaging, processing, and dissemination have changed and been enhanced
    - (some dramatically so)
    - ⇒ rapid obsolescence of imaging tools
- Began with commercially available cameras, illumination, and processing
  - to save time and money
- Evolved towards customized tools: LED illumination and processing software
  - to deal with leaves that did not respond to original tools

## Imaging State of the Art, early 1990s

- little changed from 1930s: (*Scientific Aids for the Study of Manuscripts* by R.B. Haselden, Oxford University Press, 1935)
- Illumination
  - Reflected and transmitted imaging via tungsten incandescent lamps
  - Fluorescence imaging via ultraviolet lamps
  - Oblique lighting for indentations
  - Wratten gel filters for spectral information
- Optics
  - Microscopes
  - Hand magnifiers
- Photography
  - Panchromatic and color films
  - “Standard” cameras and lenses
  - Single copies, replication was difficult and expensive
  - Dissemination via mail/express carrier

## State of the Art, mid-1990s

- First digital cameras
- 1.3-megapixel sensor (Kodak DCS 100)
  - $1320 \times 1035$  pixels, ~ \$20,000 - \$25,000
- Standard Lenses:
  - 60mm Micro-Nikkor (~ \$400, but corrected for visible wavelengths only)
  - 105mm Nikkor telephoto (quartz lenses  $\Rightarrow$  transmits UV)
- Processing Tools:
  - Adobe Photoshop 4, custom software
- Dissemination via floppy disks



## State of the Art, 2001

- 6-megapixel sensor (e.g., Kodak DCS 760)
  - photojournalist's camera
  - $3032 \times 2008$  pixels, ~\$8000
- Standard Lenses
  - for imaging in visible light
- Spectral information obtained by filtering light into camera
  - source of some difficulty
- Processing Tools
  - Adobe Photoshop 6
  - Scientific toolboxes from remote sensing, medical imaging
  - Custom software
- Dissemination via external disk drives over "SneakerNet" (or, more accurately, "FedExNet")



## State of the Art, 2015

- 50-megapixel sensor ( $8304 \times 6220$  pixels)
  - ~ \$20,000
  - Silicon: sensitive from near-ultraviolet to near-infrared
    - $350\text{nm} \leq \lambda \leq 1050\text{nm}$
- Illumination by light-emitting diodes (LEDs)
  - Many narrow wavebands
- Spectral lenses
  - corrected focus over bands from near-UV to near-IR
- Many processing tools now available
  - Photoshop (~\$1K), GIMP (\$0), ENVI (\$7K), ImageJ (\$0)
  - Some campuses have licenses that reduce direct cost
- New Imaging Modalities
  - Reflectance Transformation Imaging (RTI)
  - X-ray fluorescence (XRF) for obscured/faded text on high-value objects
- Dissemination over Internet

## Digital Cameras Used



Kodak DCS-100, 1.25 MP, 1991  
\$20,000 - \$25,000 new



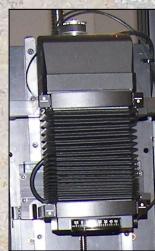
Photometrics SenSys, 1.5 MP, 1998  
\$25,000 new



Kodak DCS-760, 6 MP, 2001  
\$8,000 new



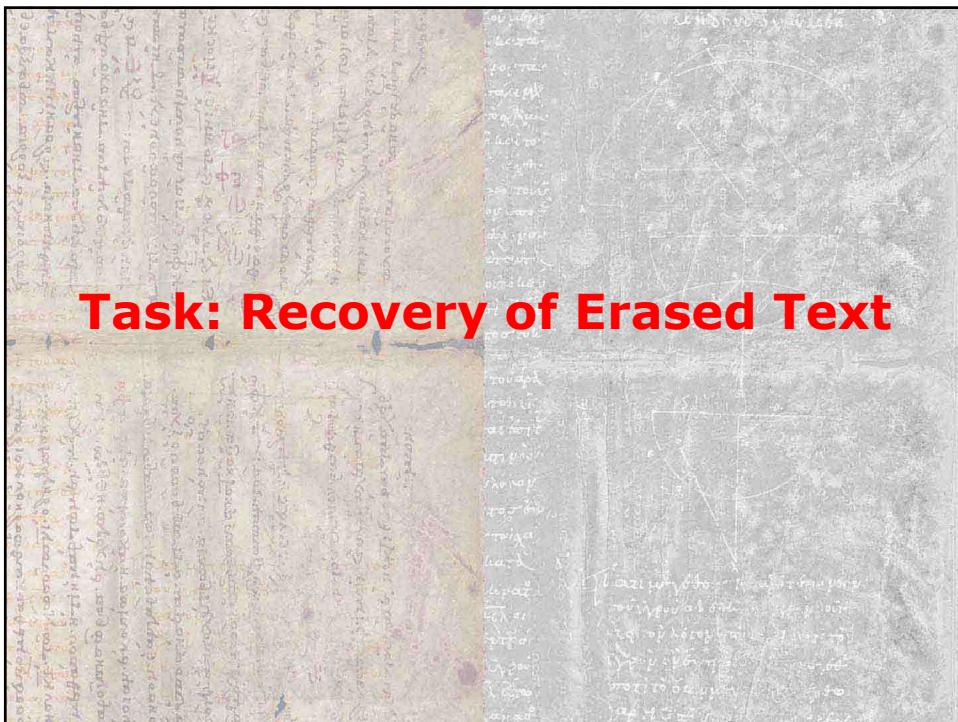
Photometrics Quantix, 6 MP, 2004  
\$25,000 new



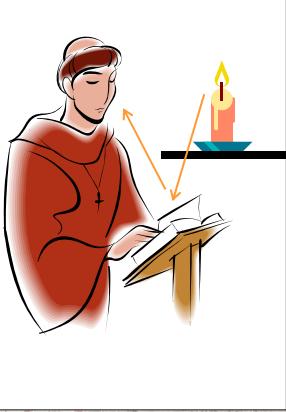
Sinar MP54, 22 MP, 2007  
\$15,000 new



Megavision, Kodak KAF39000 sensor  
39 MP, 2009



## How Do We Read Text?



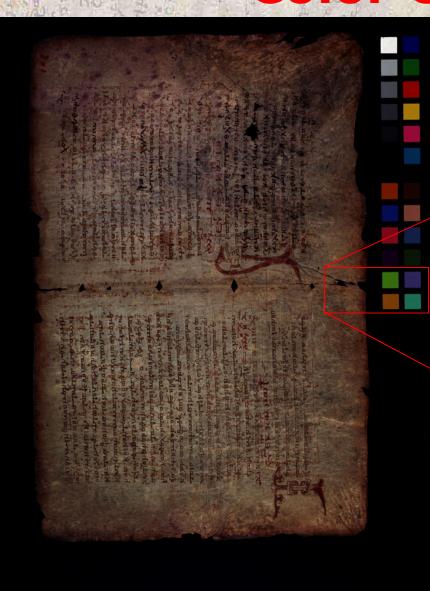
- Information conveyed from page to sensor (e.g., eye or camera) by light waves (electromagnetic radiation)
  - only visible light is “visible!”
  - visible *and* invisible light are measured by silicon sensors in digital cameras
    - a “bug” for snapshots (would add “invisible light” to images)
    - a “feature” for us (“invisible light” conveys useful text information)

## Attributes of Handwritten Text

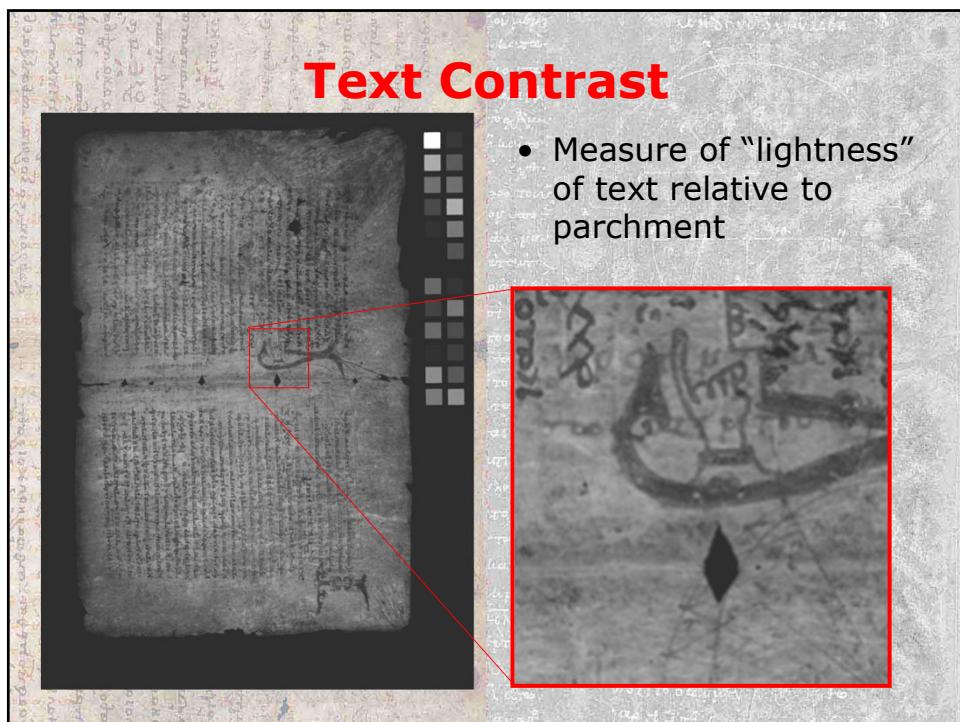
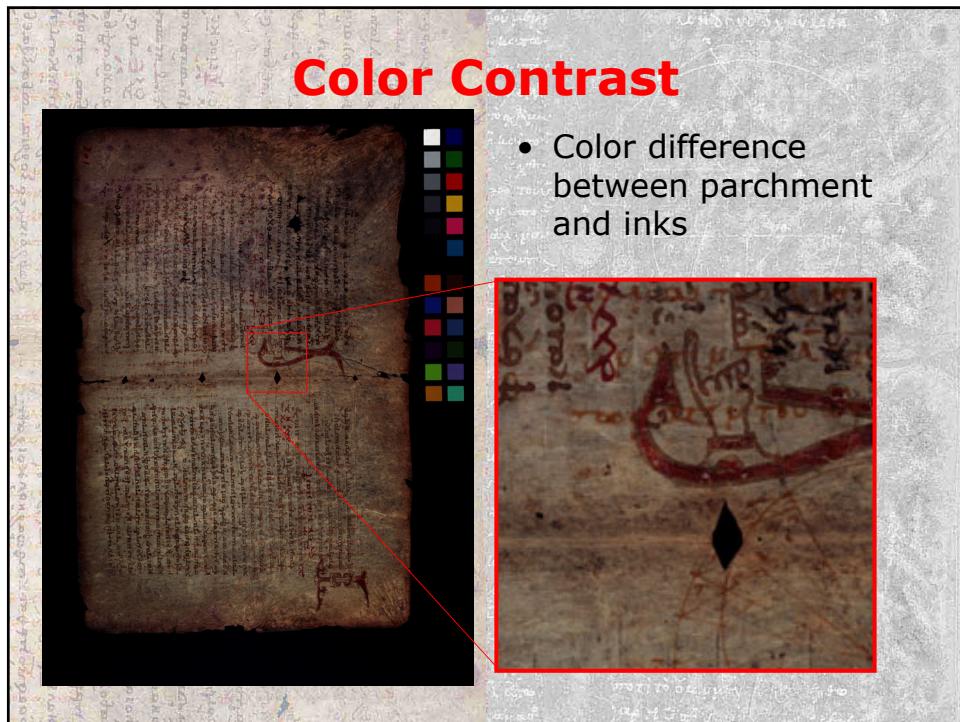
1. Chemical constituents of ink and parchment
  - e.g., iron in ink, calcium in parchment, ...
  - (What we REALLY want to measure to locate ink on page)
  - Constituents are measured by X-ray fluorescence
2. Relative "lightness" of texts and parchment
  - described as "contrast" between ink and leaf
  - determined by material constituents, age, wear and tear, ...
3. Colors of texts and leaf
  - "color contrast"
4. Depressions in parchment, due to:
  - Force of pen nib
  - Excavation of parchment by acid in ink
5. Character "shapes"

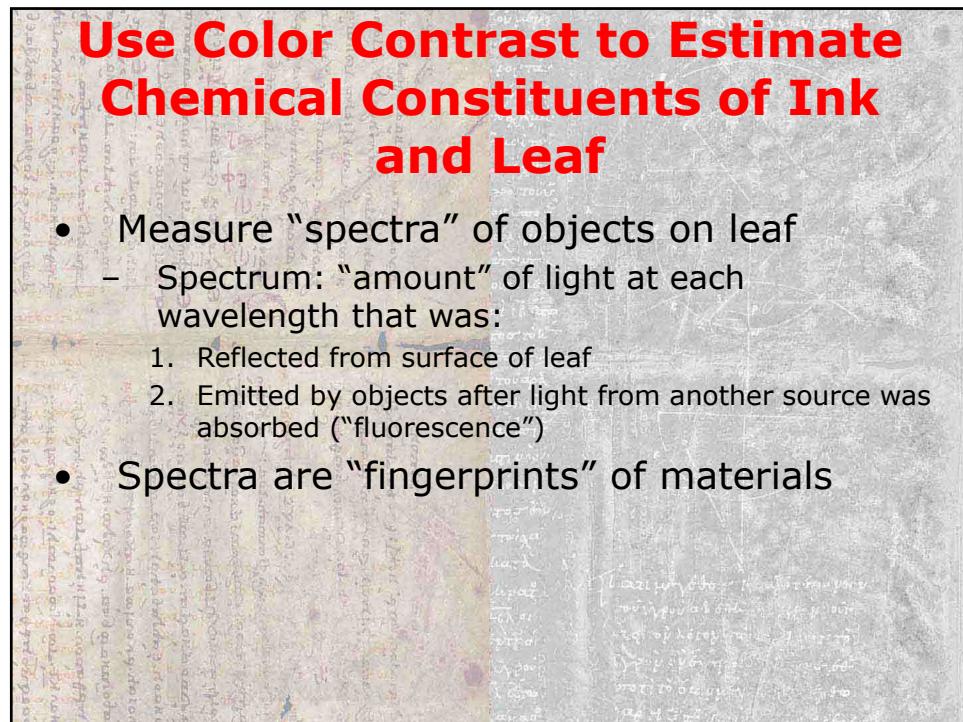
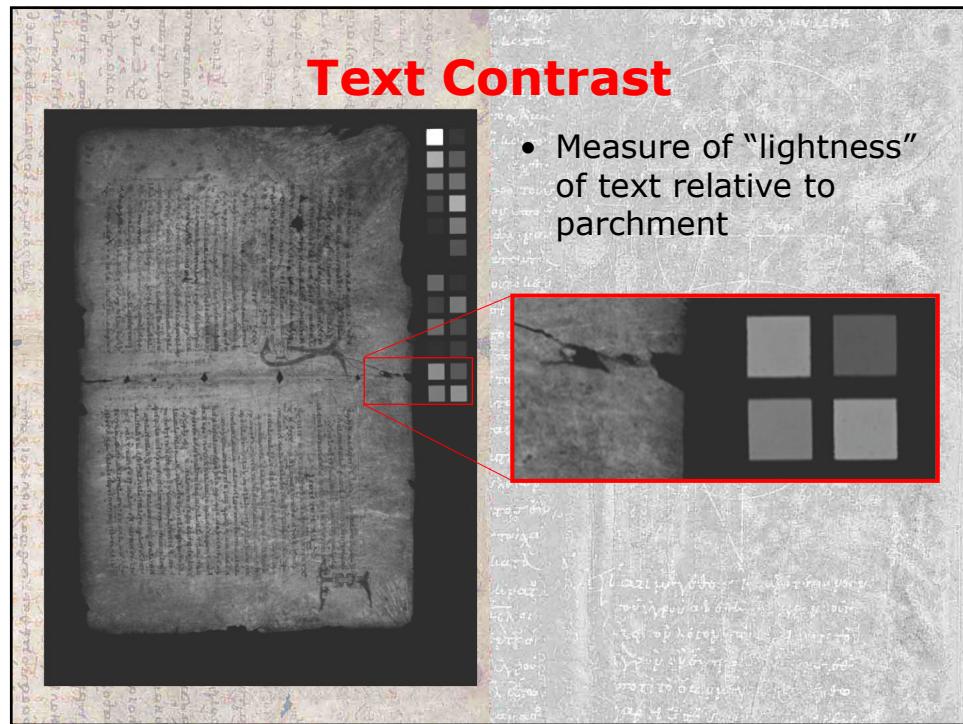
**Each was useful for some text!**

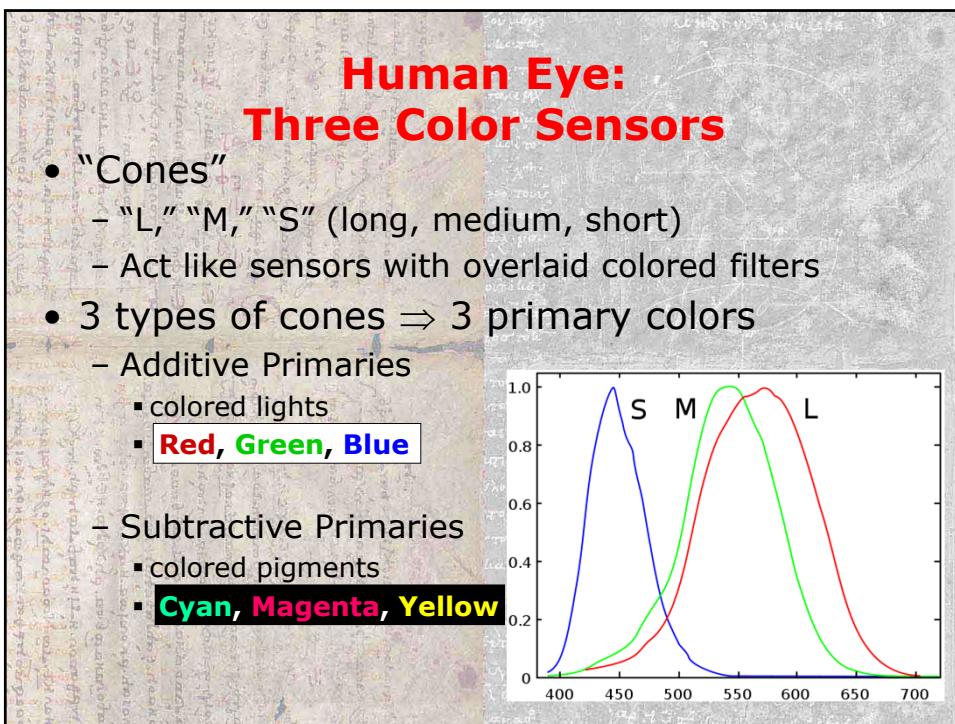
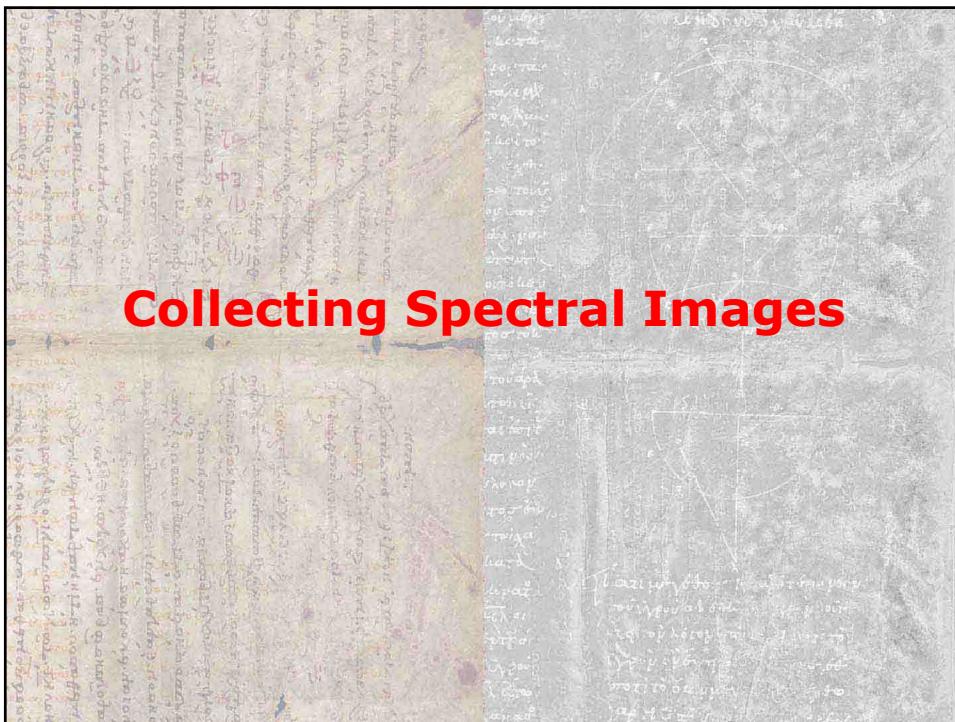
## Color Contrast

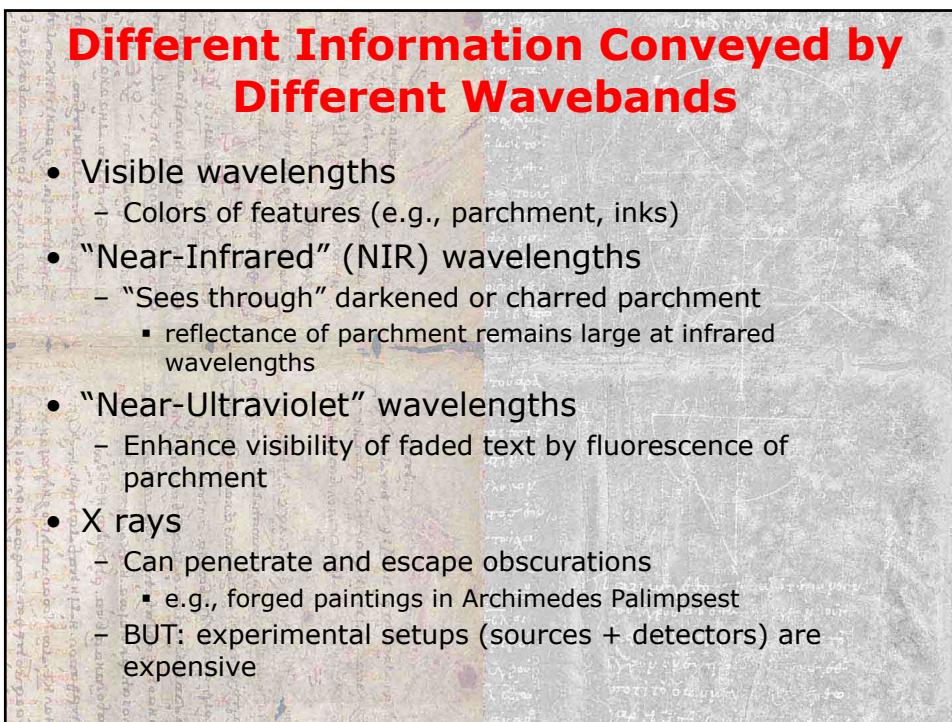
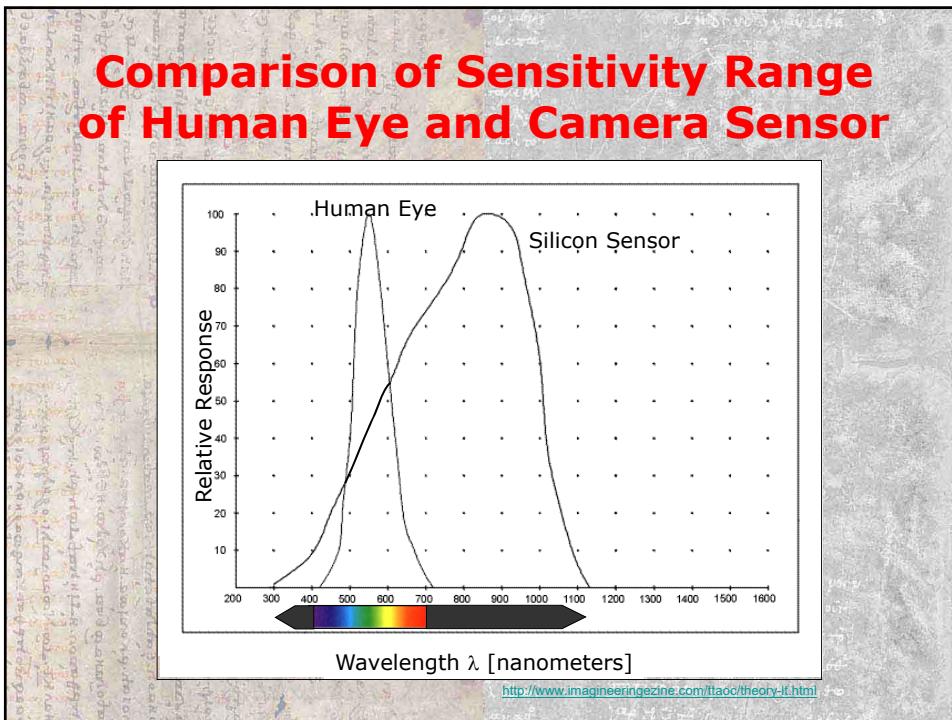


- Color difference between parchment and inks







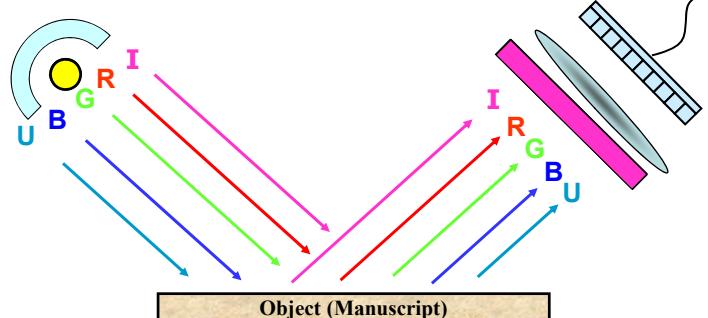


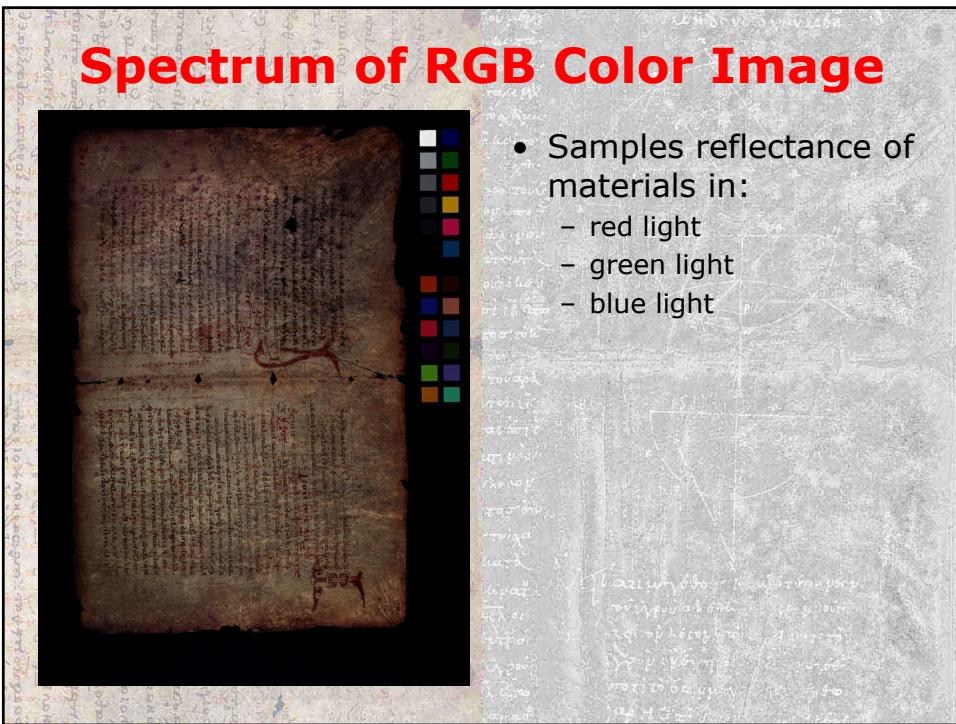
## Reflectance Spectrum

- Percentage of light at each wavelength  $\lambda$  that is *reflected* by material (e.g., inks, parchment)
- Depends on color and “density” of colorant
  - may see background through “sparse” colorant
- May be useful for classifying constituents at each pixel

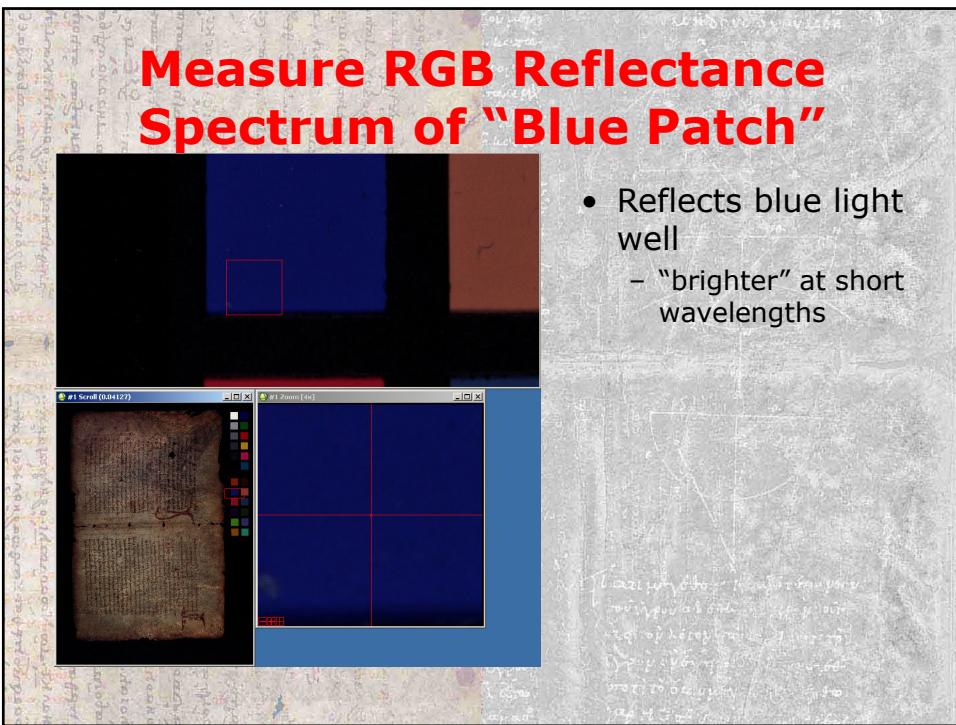
## Spectral Reflectance Imaging of Manuscript

*Broadband Light Source  
(incandescent)*

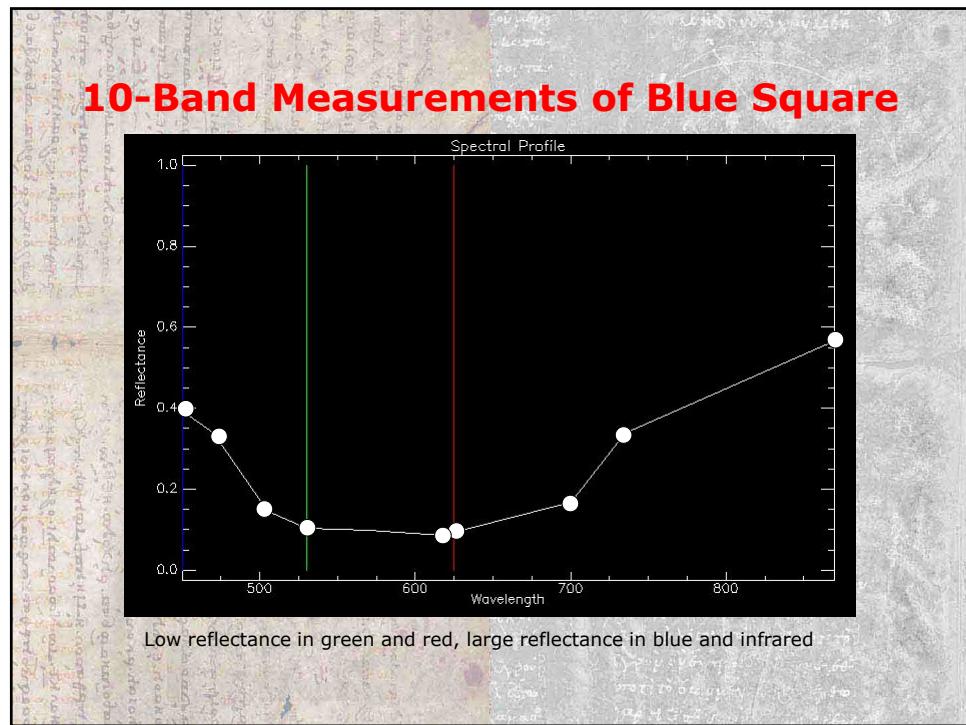
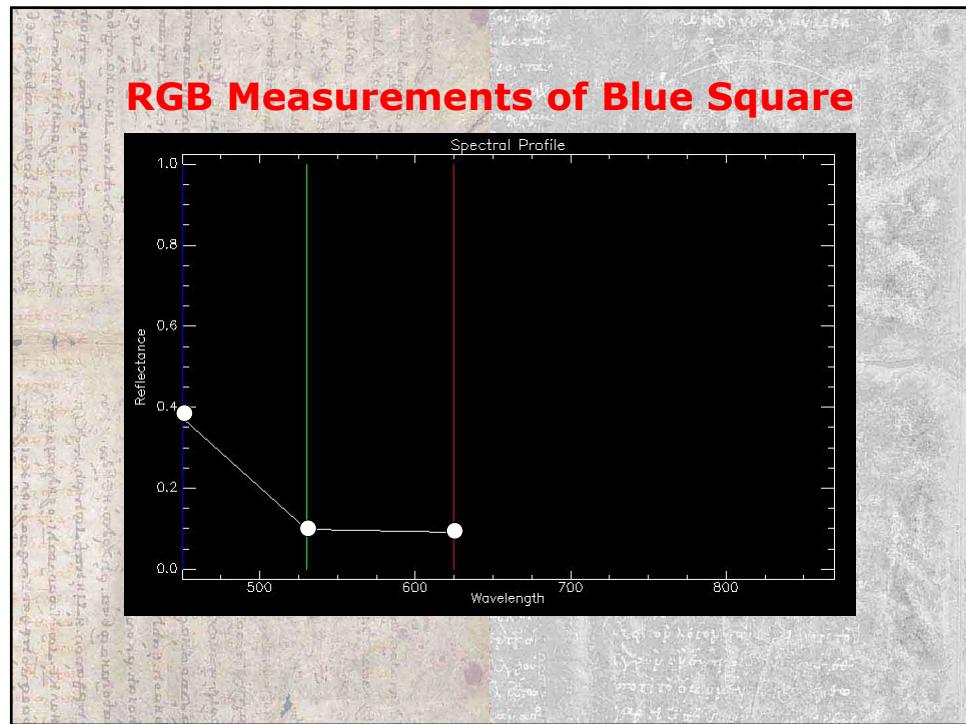


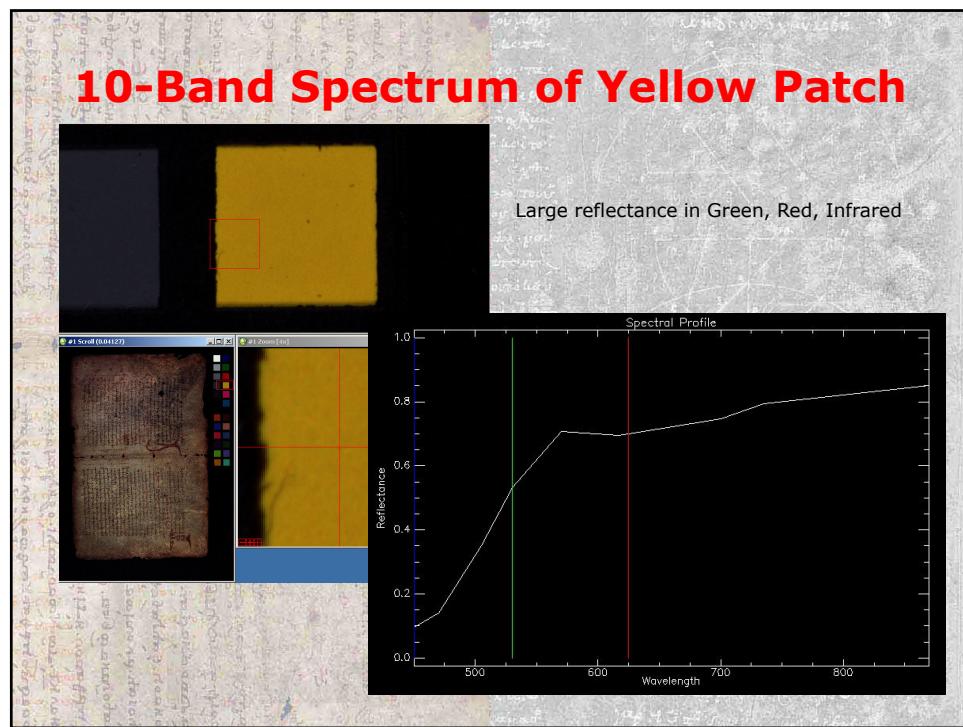
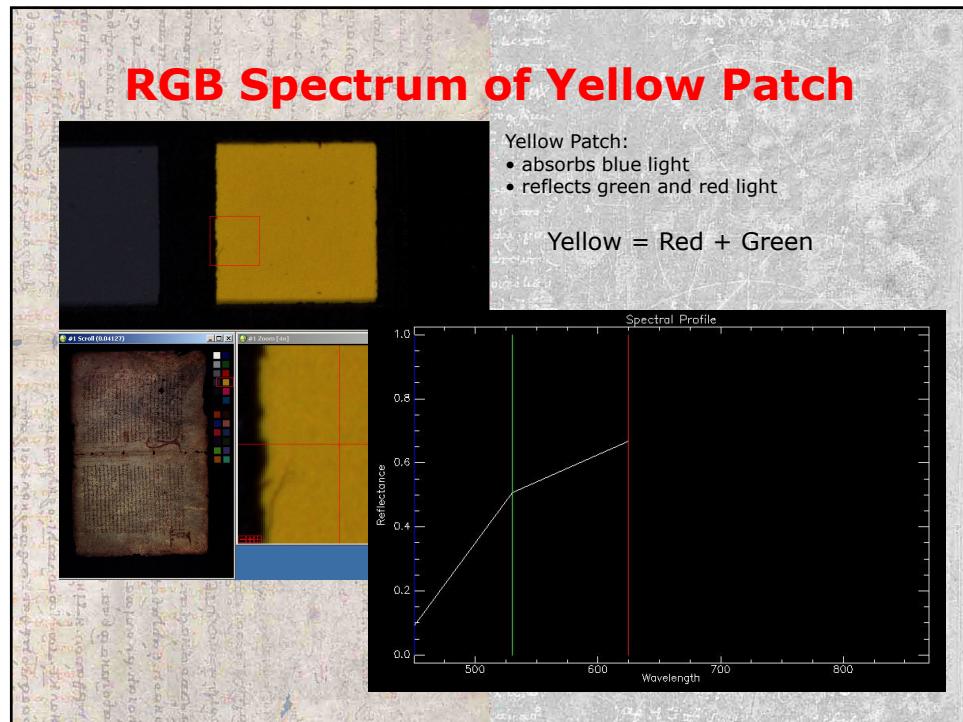


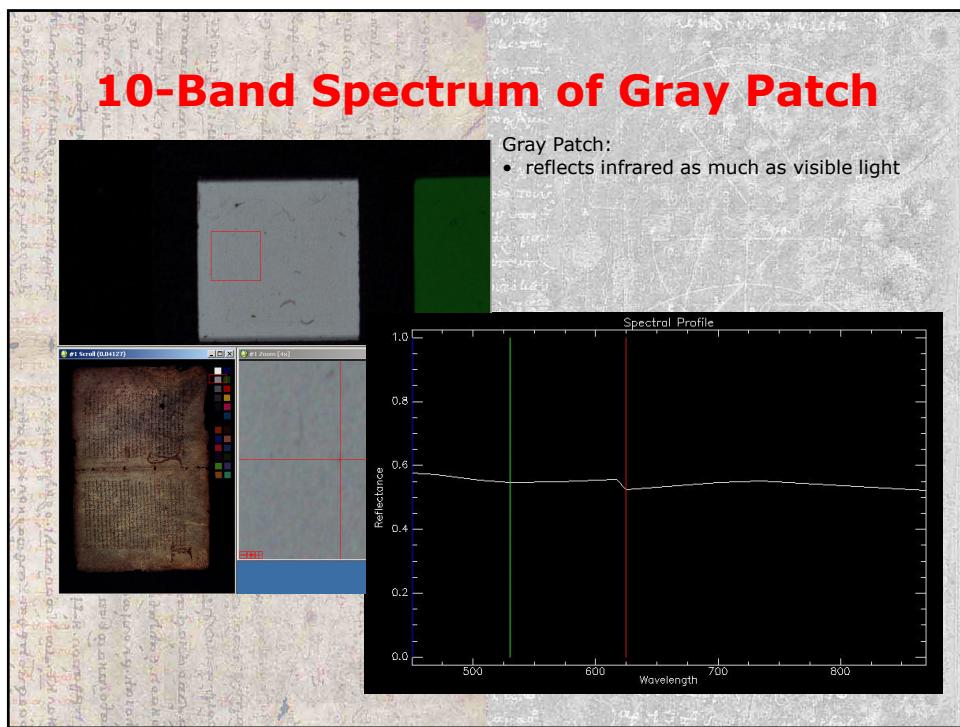
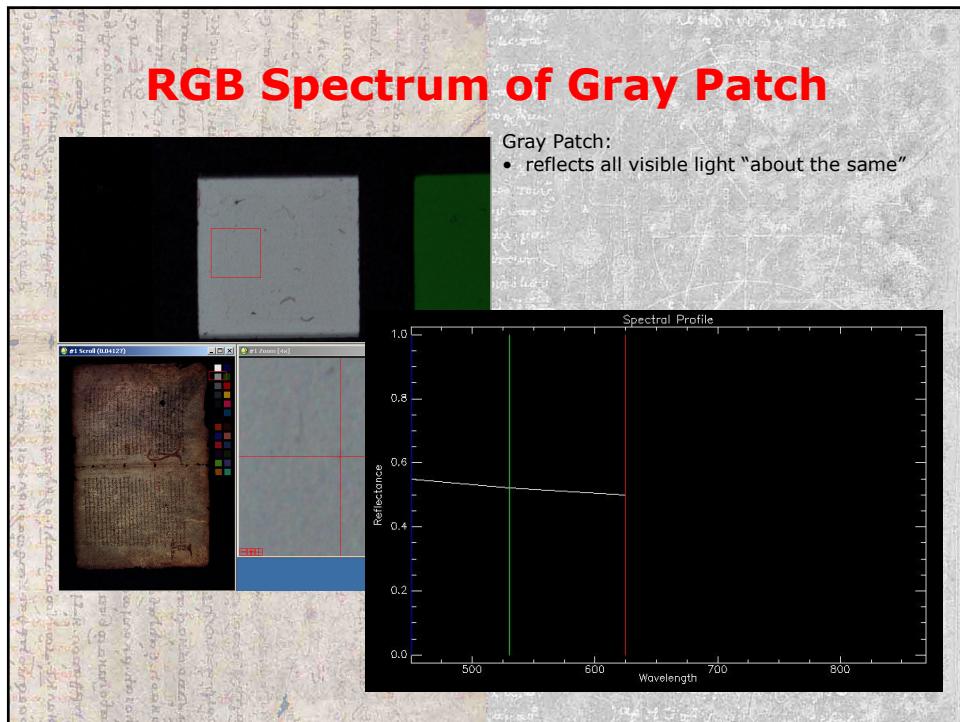
- Samples reflectance of materials in:
  - red light
  - green light
  - blue light

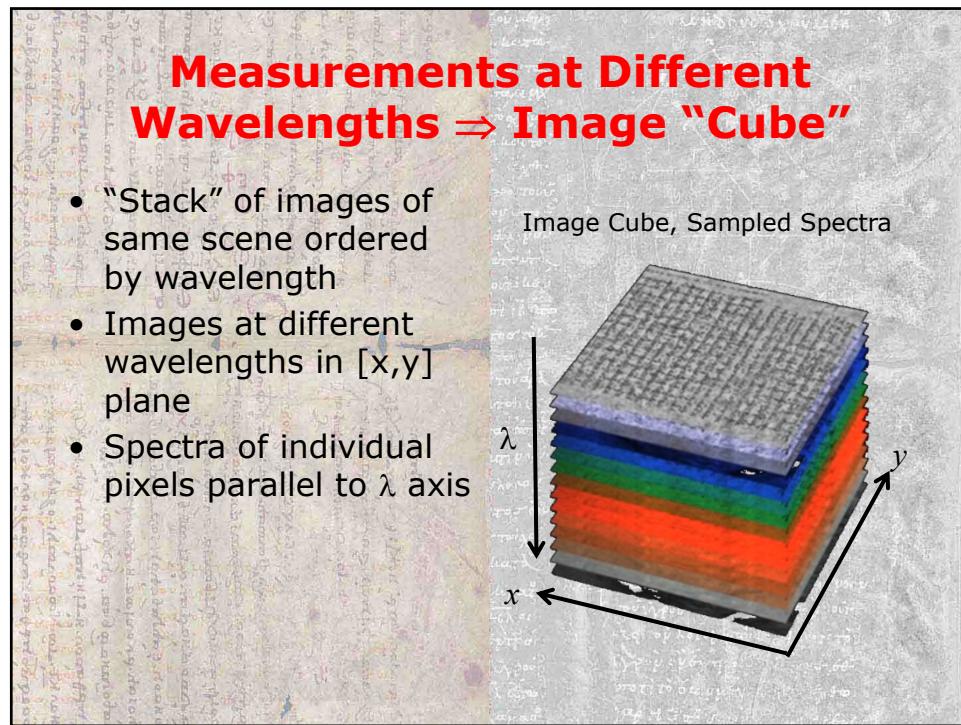
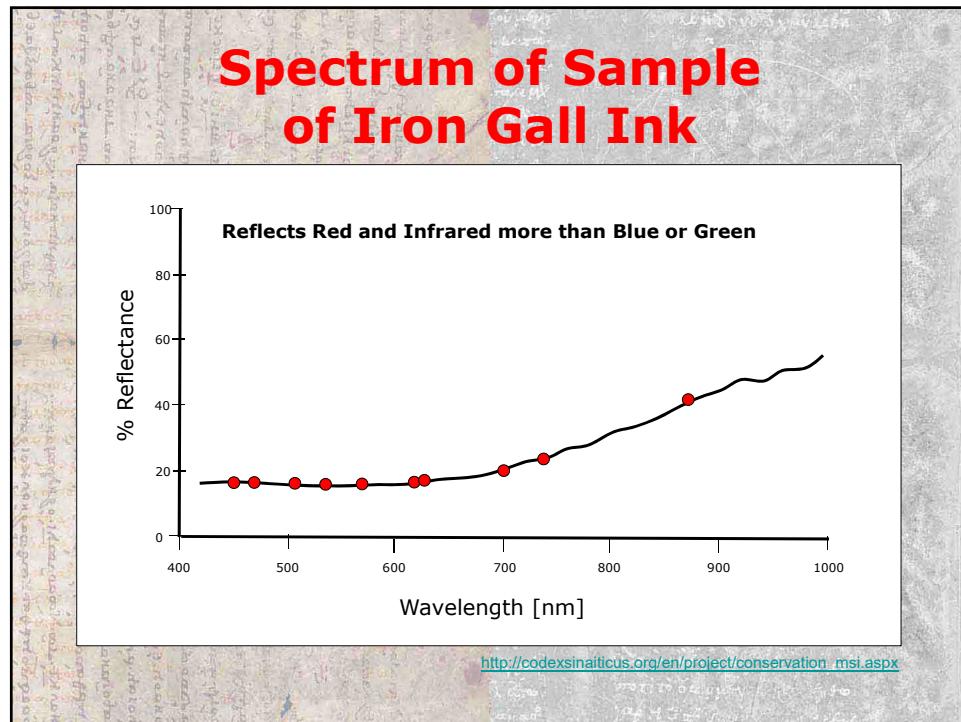


- Reflects blue light well
  - “brighter” at short wavelengths





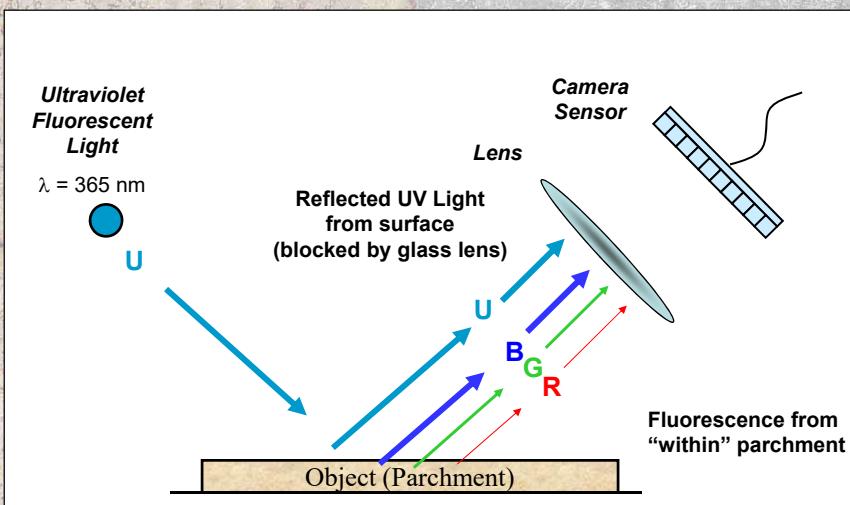


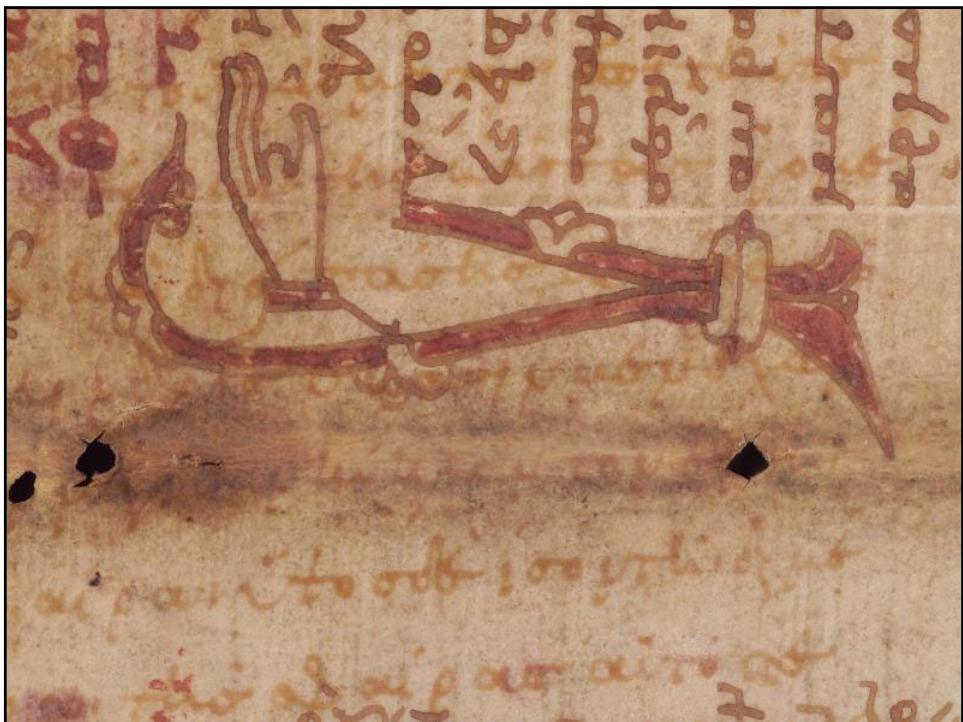


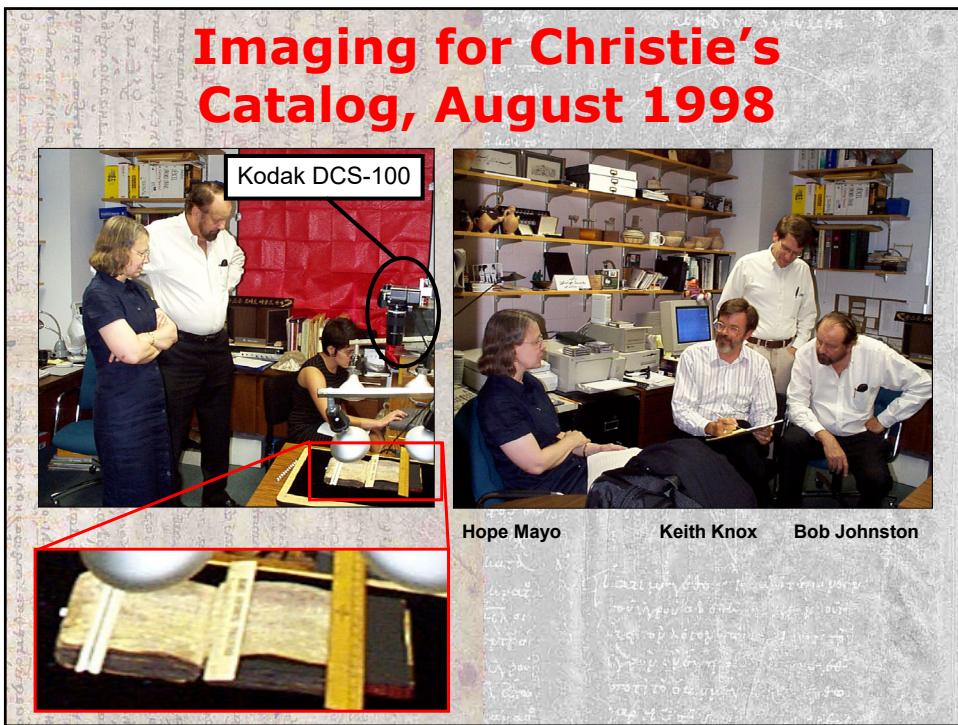
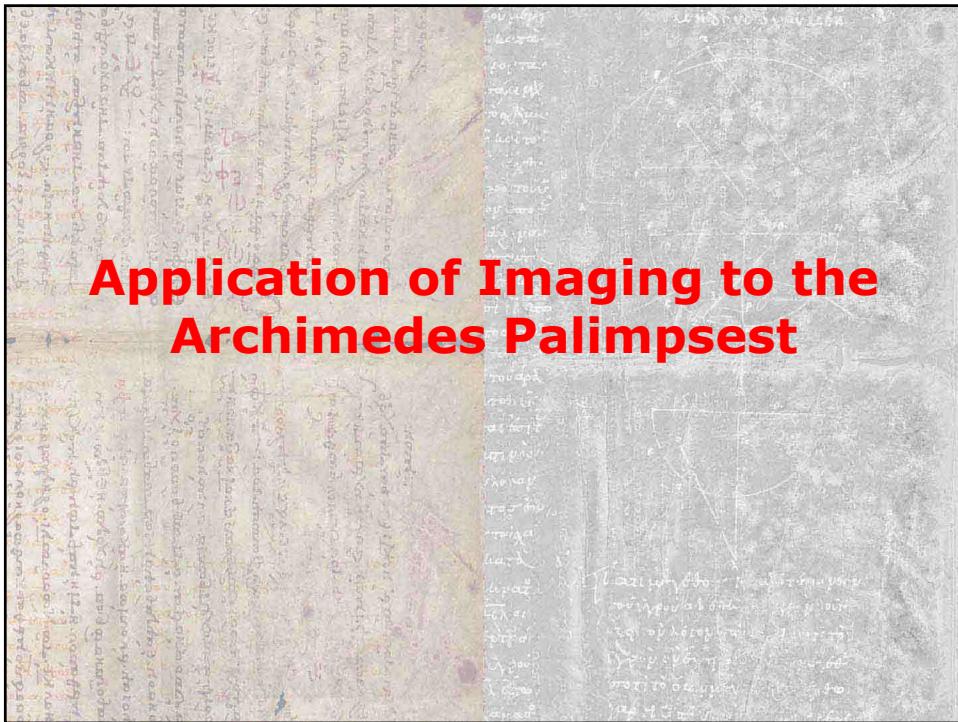
## "Fluorescence" Imaging

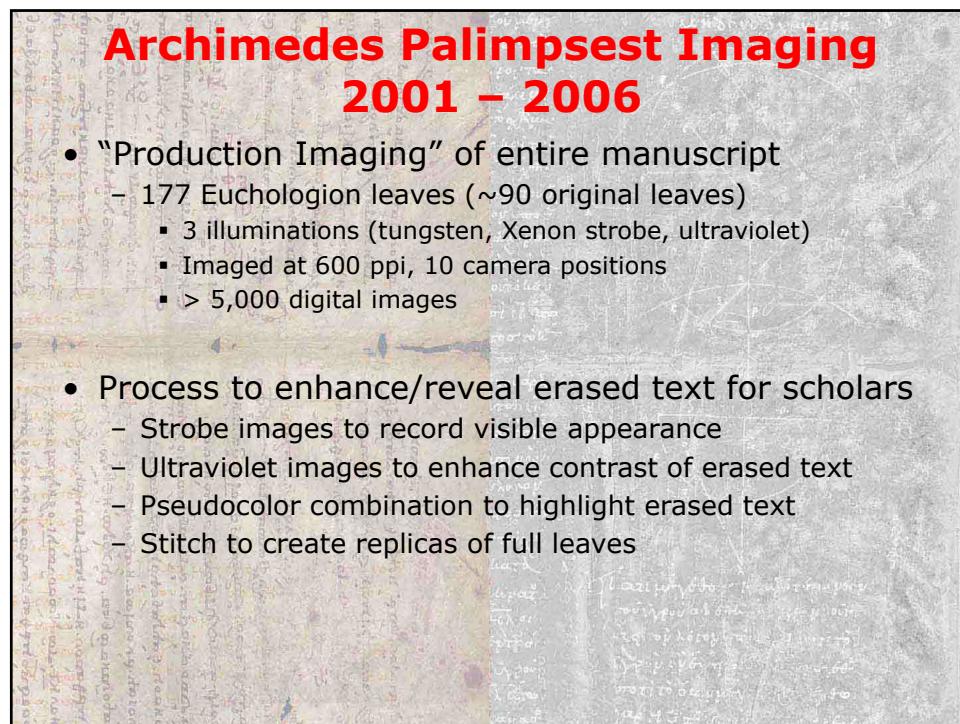
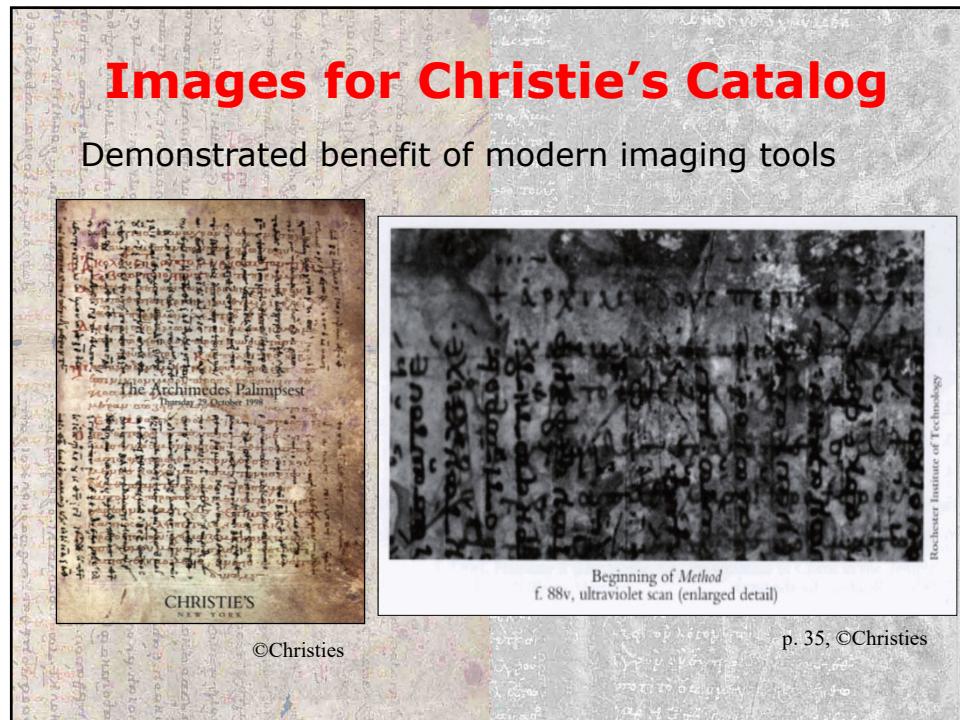
- Fundamentally different from reflectance imaging
- $\lambda$  of incident light from source is "short" (often UV, can be X rays)
- Light is *absorbed* by object and *reemitted* with longer  $\lambda \Rightarrow$  less energy
  - incident ultraviolet light  $\Rightarrow$  emission from parchment dominated by blue
  - incident X rays  $\Rightarrow$  emits lower-energy X rays
- UV fluorescence has been used for many years to enhance faded text
  - Parchment/paper "glows" beneath absorbing ink

## Fluorescence Imaging



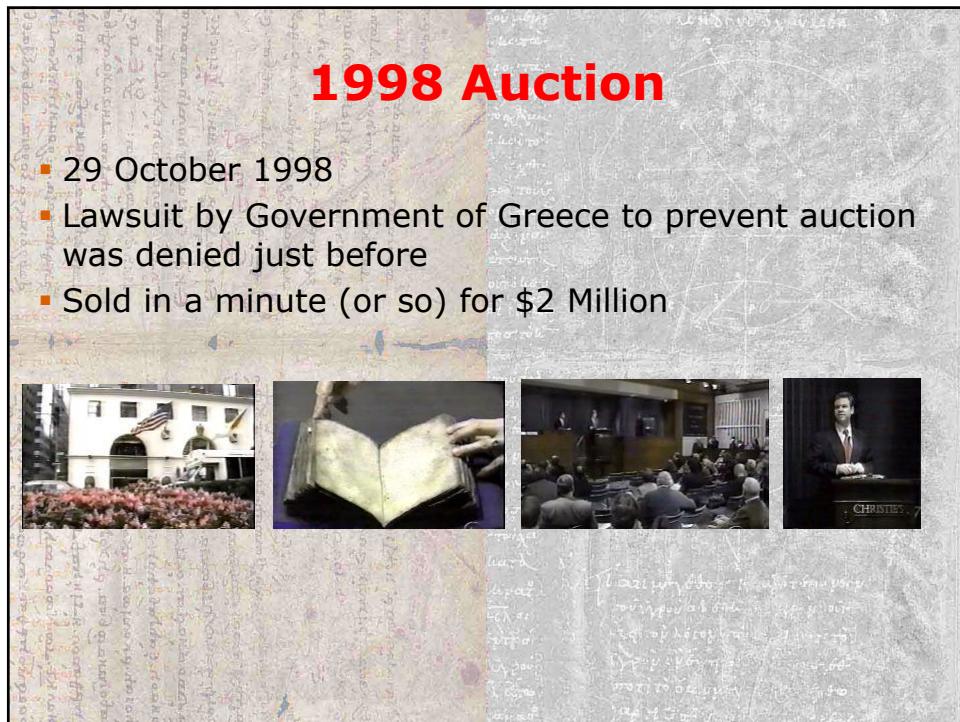




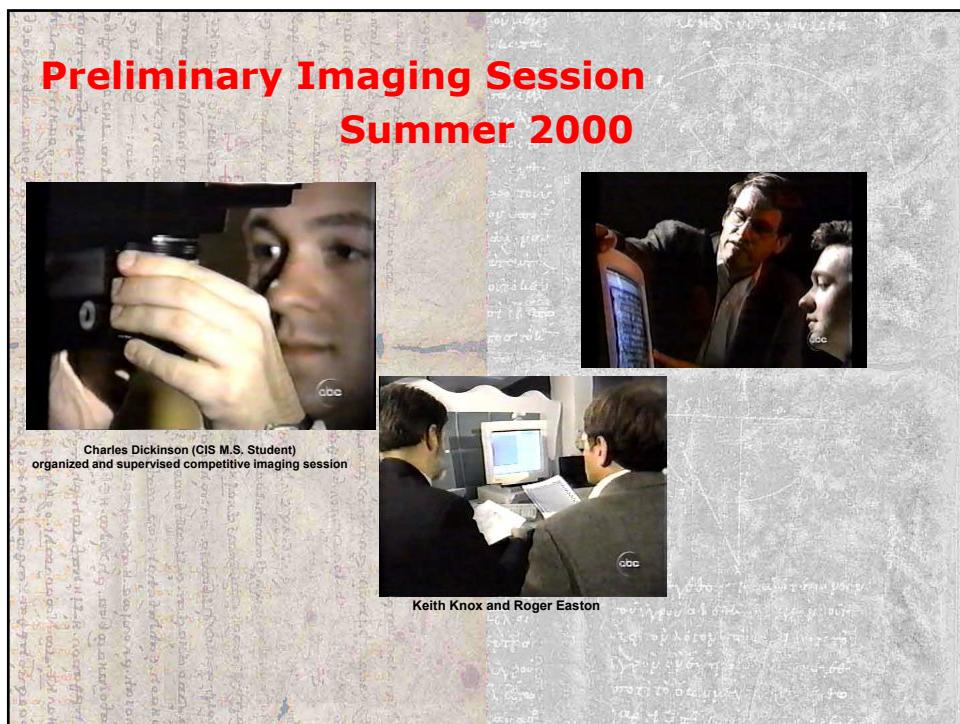


## 1998 Auction

- 29 October 1998
- Lawsuit by Government of Greece to prevent auction was denied just before
- Sold in a minute (or so) for \$2 Million



## Preliminary Imaging Session Summer 2000



Charles Dickinson (CIS M.S. Student)  
organized and supervised competitive imaging session

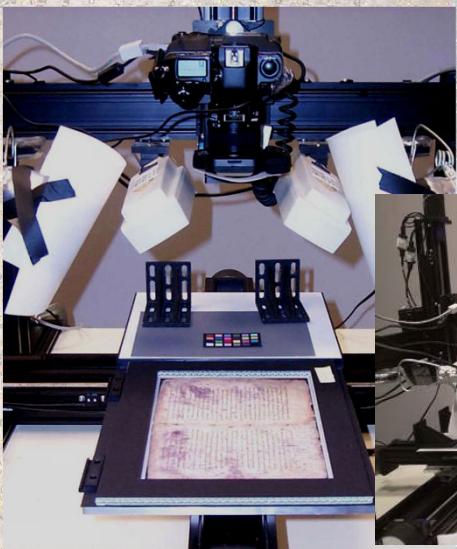
Keith Knox and Roger Easton

## Camera for “Production” Imaging

- Kodak DCS 760
  - Photojournalists’ digital camera
  - Color filter array
  - $3032 \times 2008$  pixels  $\Rightarrow$  6 megapixels
  - sensitive from blue to near IR
    - $400\text{nm} \leq \lambda \leq 1000\text{nm}$
- State of the Art in 2001,  $\sim \$7000$ 
  - Comparable camera  $\leq \$1000$  now
- Each bifolio imaged in 10 sections and digitally stitched
  - 3 illuminations (tungsten, UV, Xenon strobe)
  - Stitched images  $\sim 5000 \times 7000$  pixels
  - final resolution  $\sim 600$  dpi ( $25 \text{ mm}^{-1}$ )




## “Production Imaging” (2001 – 2006)



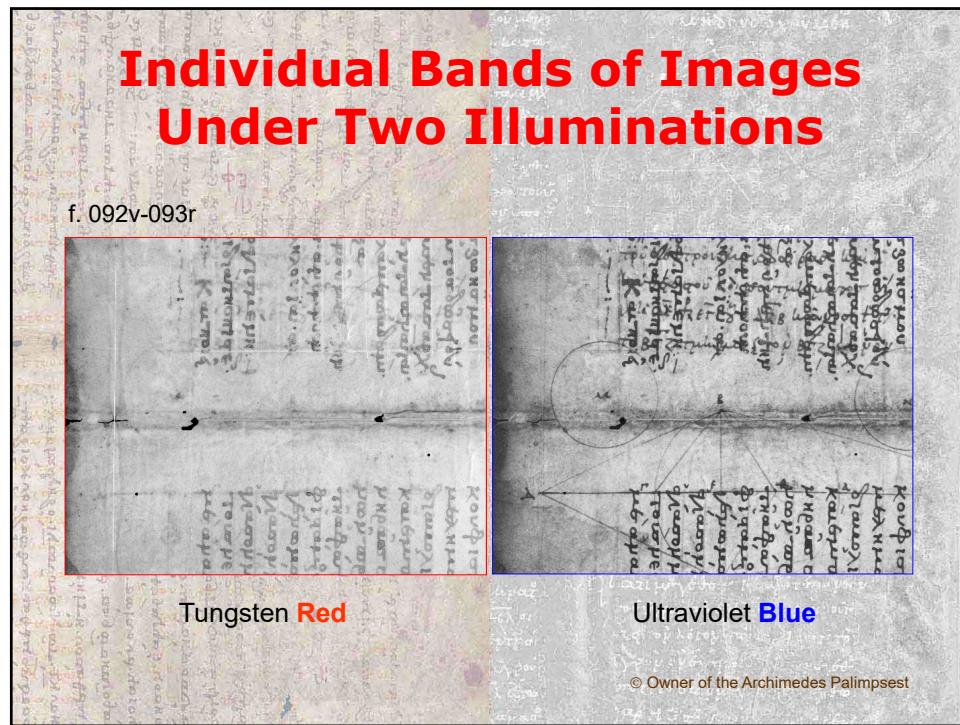
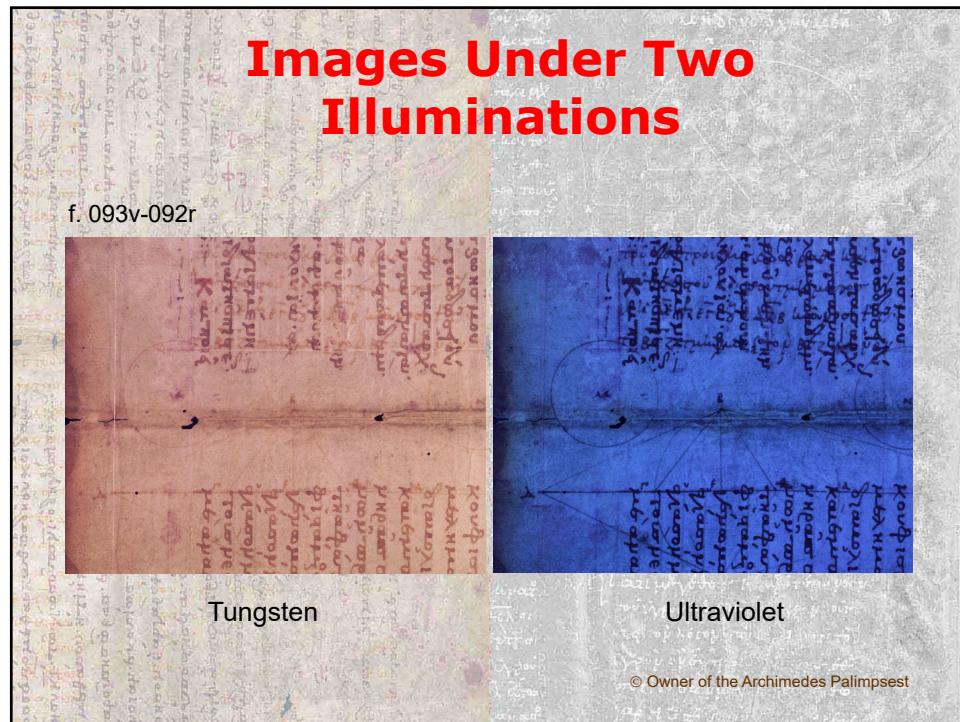

- Computer-controlled RGB digital camera and XYZ table
- Custom black mattes
  - minimize “show through”

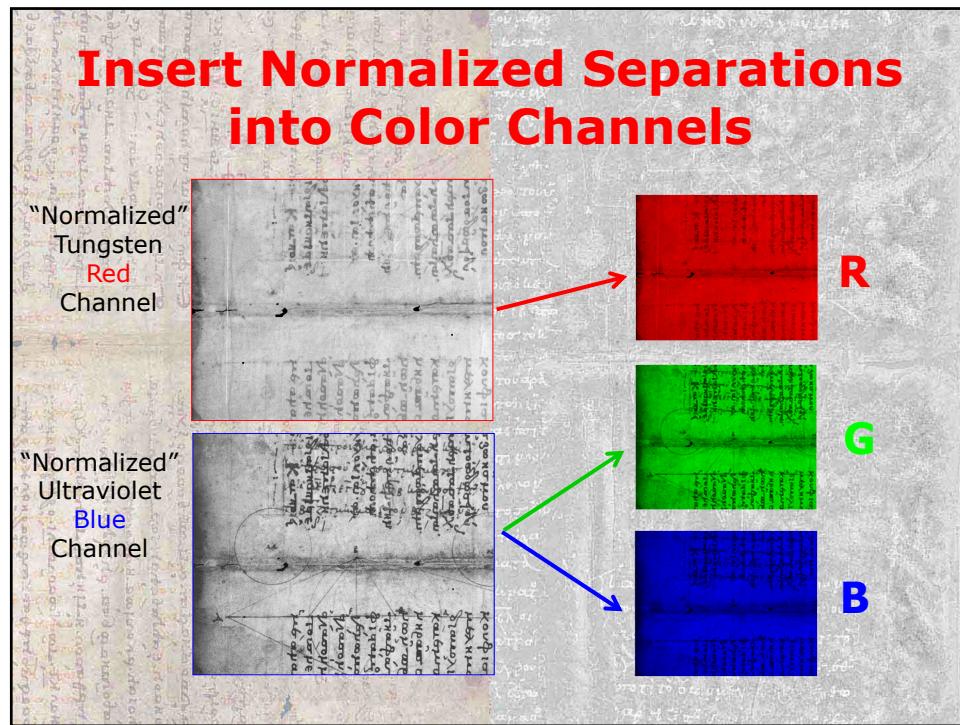
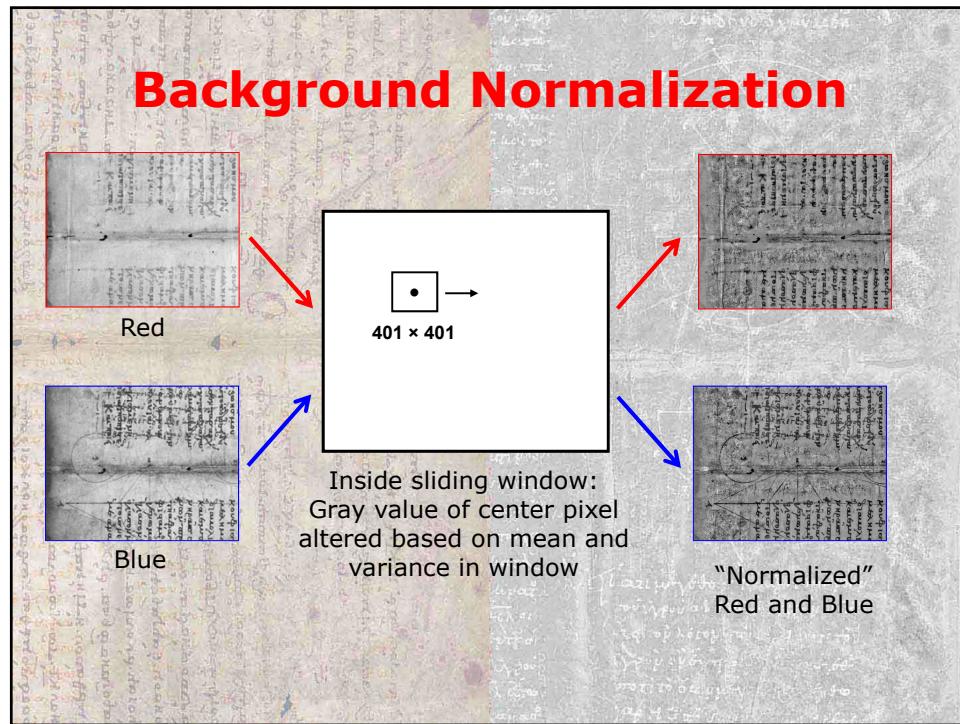
## Combine Reflectance and Fluorescence Images

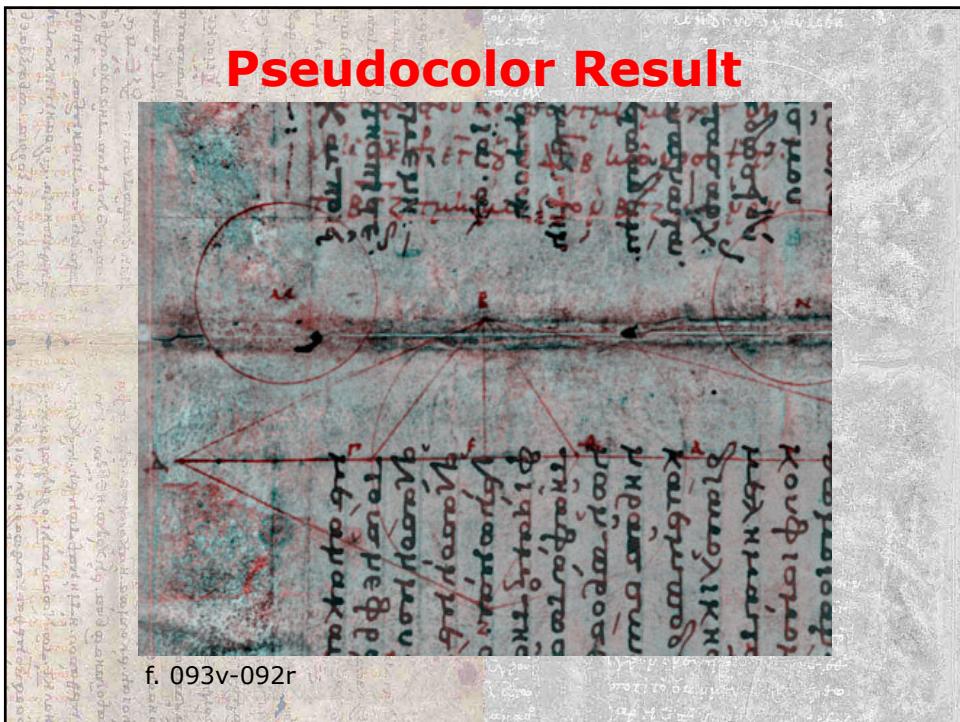
- Observations:
  - Archimedes text has faded and appears “reddish” under white light
  - Archimedes text appears “black” or “gray” under ultraviolet light
  - Euchologion text is “black” or “gray” under both illuminations
- Strategy to enhance undertext:
  - Combine images taken under two illuminations
    - Low-wattage tungsten
    - Ultraviolet

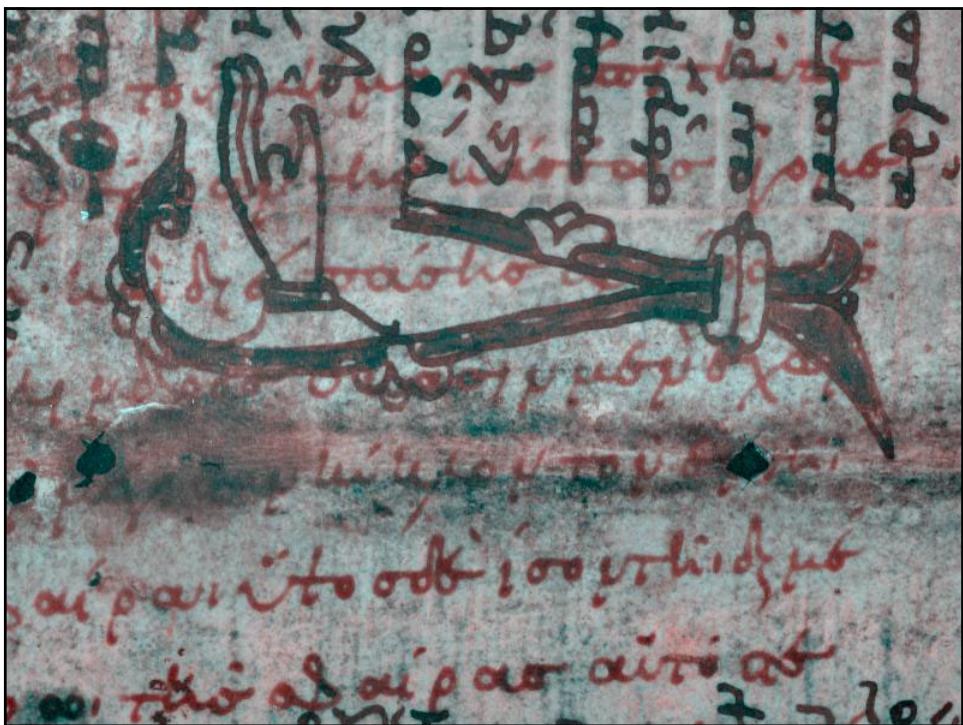
## Processing Algorithm

- Readily automated
- “Fast”
- Combination of two image bands:
  1. Red channel of image under visible light
    - erased undertext “disappeared” into parchment
    - “neutral” overtext readily visible
  2. Blue channel of image under ultraviolet light
    - enhanced visibility of both undertext and overtext









## Another Example

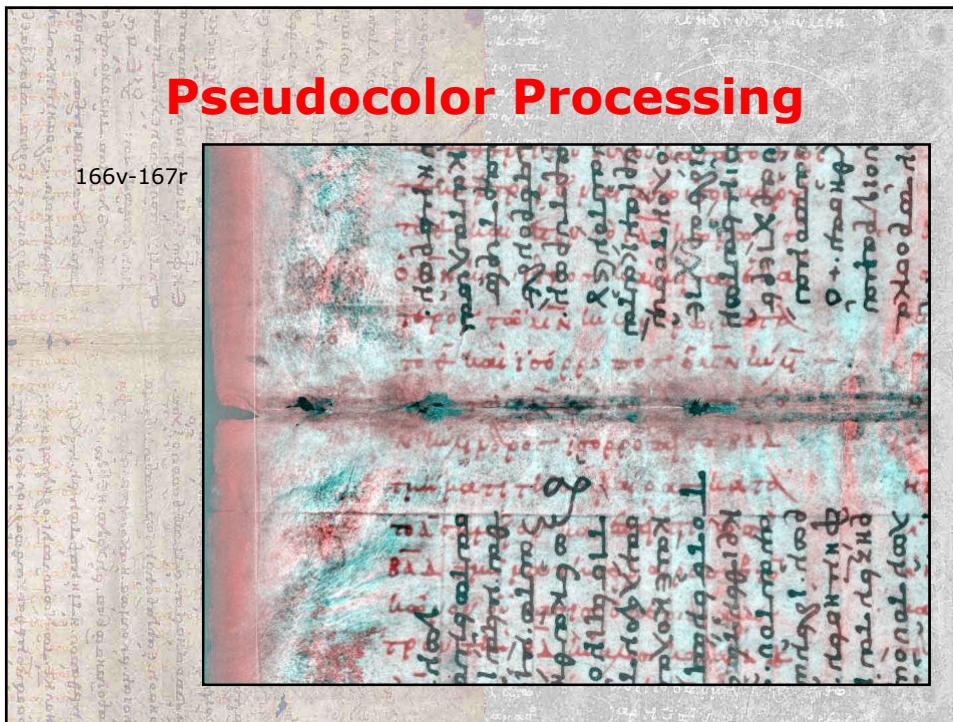
f. 048r



## Example of Text in Gutter

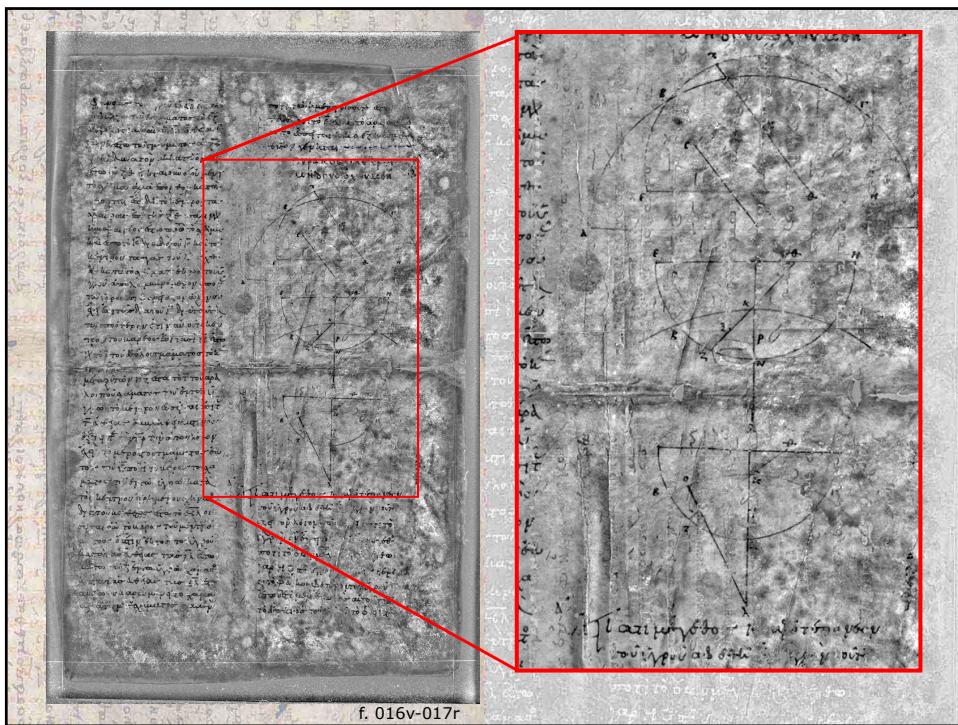
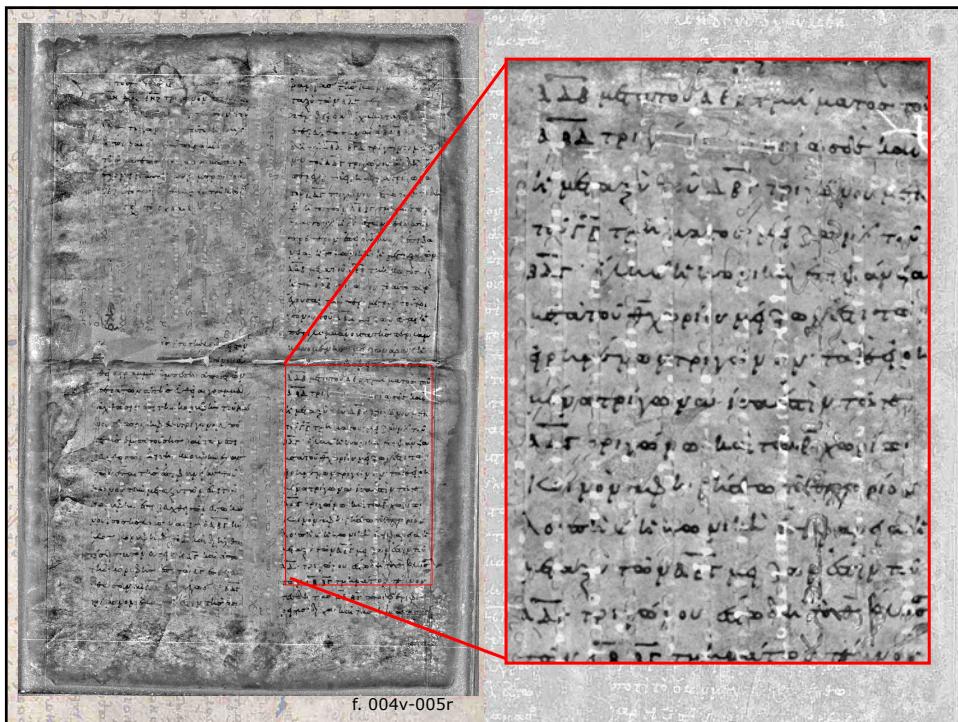
166v-167r





**Alternative Rendering:  
Difference of Pseudocolor Channels**

- Compute difference of pseudocolor channels:  
 $(\text{visible}/\text{red}) - (\text{UV}/\text{blue}) = \text{output gray}$
- Overtext and parchment exhibit same levels in both UV/blue and visible/red
  - subtract to “0” (dark pixels)
  - Undertext is brighter in visible/red
    - subtracts to “positive” numbers (bright pixels)
- Overtext “disappears” from difference image
- Thought to be easier to read at some locations
  - Good indication of locations of undertext
- Requested by the late Bob Sharples, UCL
  - hence given the nickname “sharpies”



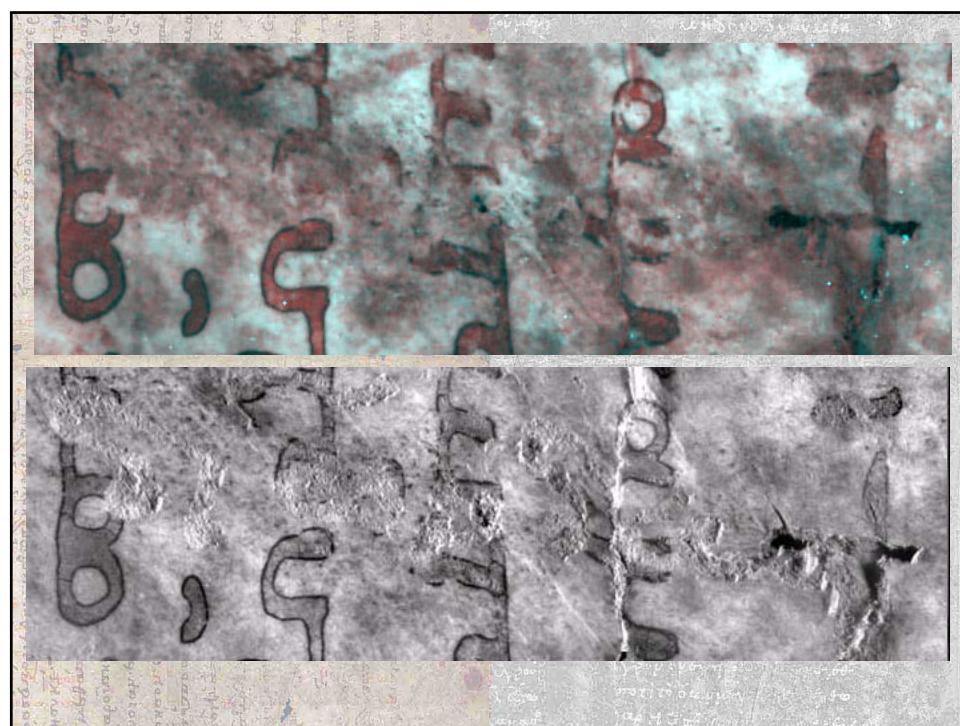
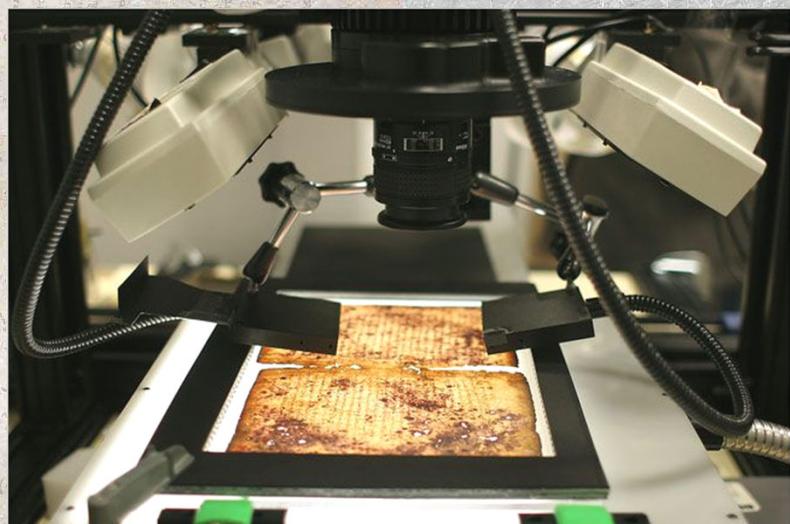
## Assessment of “Production” Imaging

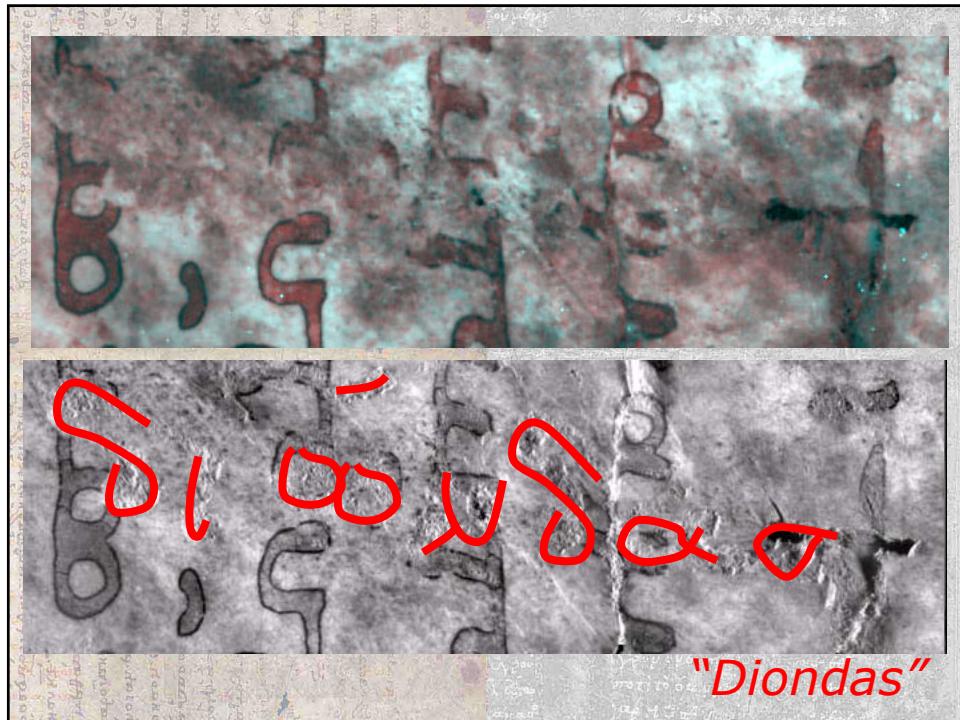
- Enhanced readability of ~80% of text
- Little (if any) help on some leaves
  - painted icons and colophon of *Euchologion*
- 2004 Conference to hear suggestions
  - X-Ray Fluorescence (XRF)
  - Character recognition: Derek Walvoord (Ph.D.)
  - High-resolution multispectral (“*El Greco*”)
- Other methods developed during imaging
  - raking illumination, 11/2006, Jud Herrman
- New multispectral system, August 2007
  - Large-format digital camera
  - LED illumination

## Jud Herrman’s 2006 Observation

- Could read “vanished” text with low-angle (“raking”) illumination
- Imaging of impressions in parchment
  - erosion of parchment by acid in ink

**Raking-Incidence Illumination  
November 2006**

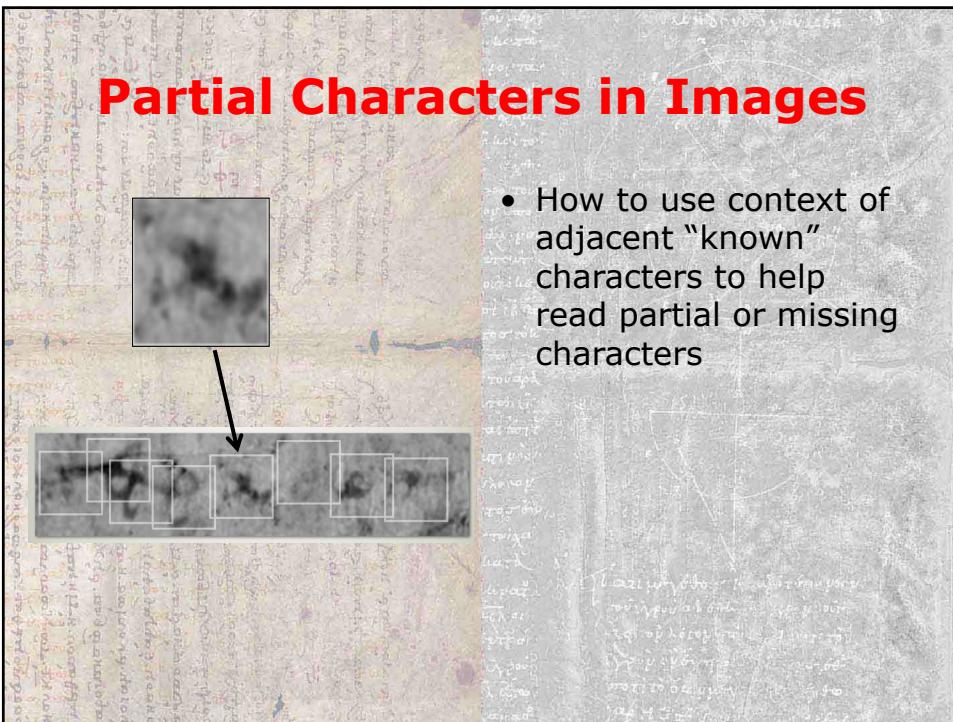




**Character and Word Recognition Tool**  
based on character “shapes”  
and vocabulary  
Derek Walvoord, Ph.D., 2008

This is a screenshot of a software application titled "Character and Word Recognition Tool". The title is displayed prominently at the top center in large red font. Below the title, a subtitle in red font reads "based on character ‘shapes’ and vocabulary". At the bottom of the window, the name "Derek Walvoord, Ph.D., 2008" is visible. The background of the application shows a grid of small, colorful characters, likely representing the training or processing data used by the tool.

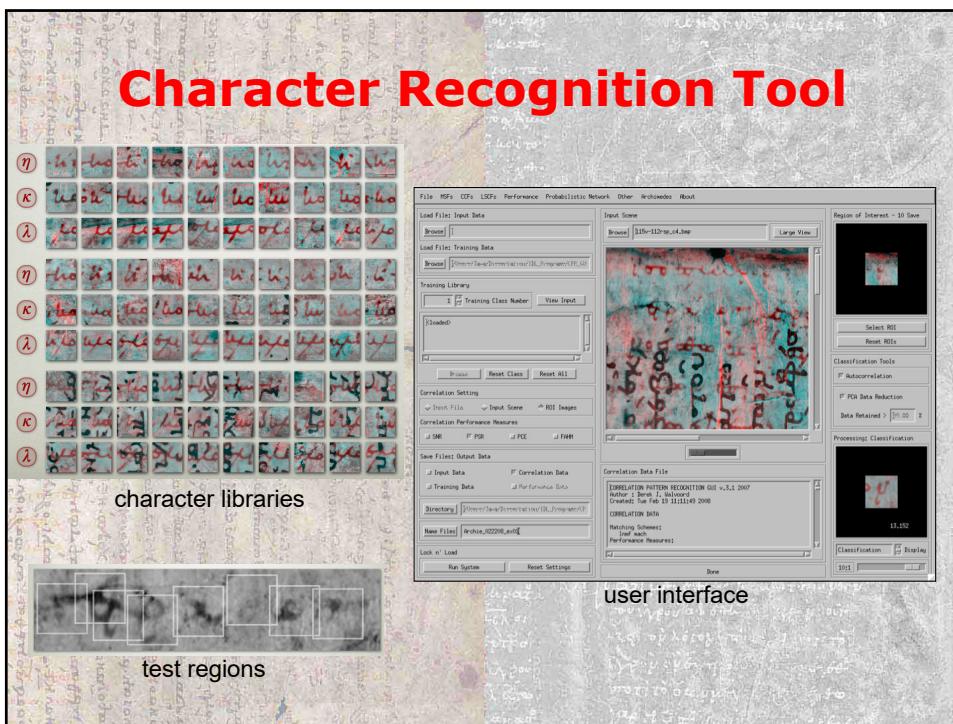
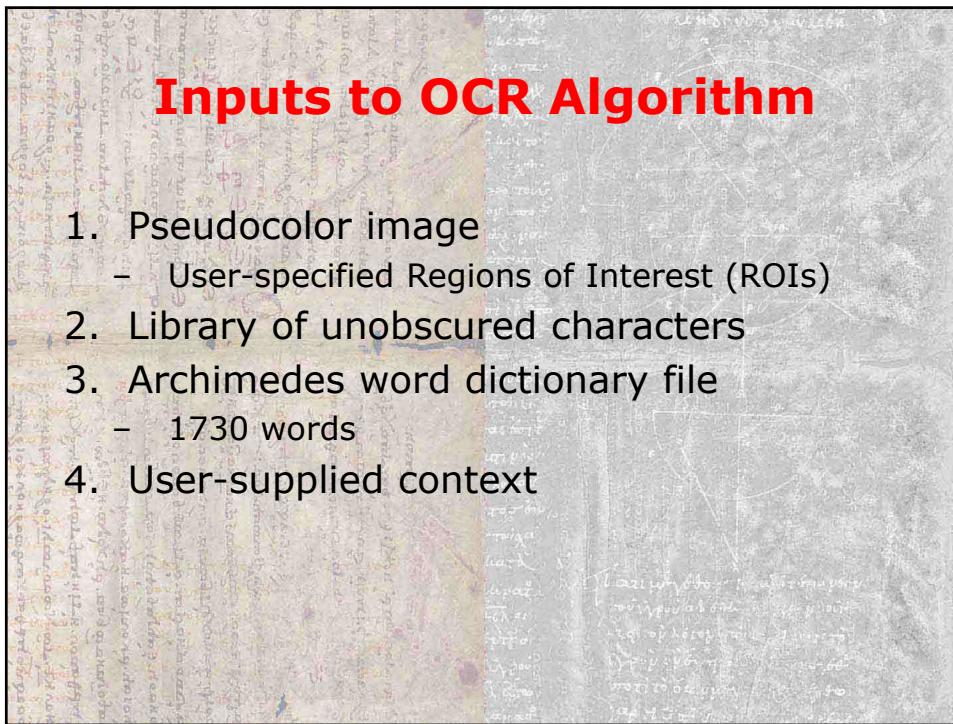
## Partial Characters in Images

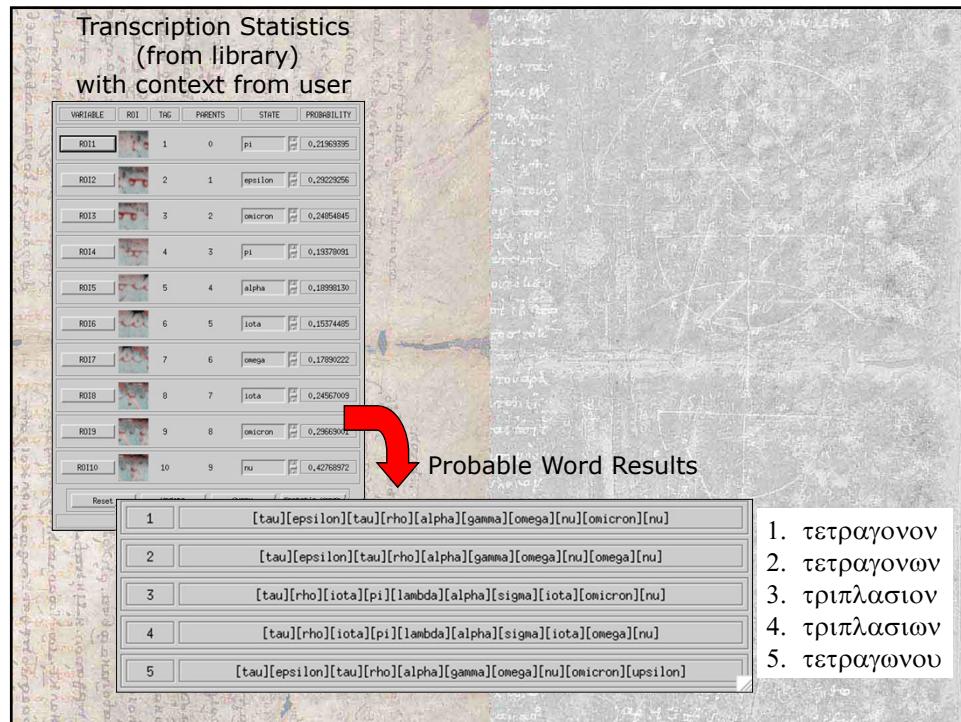


- How to use context of adjacent “known” characters to help read partial or missing characters

## “Optical” Character/Word Recognition

- Analyze images to help scholars identify “partial” or faded characters and words
- Based on:
  1. Image Statistics
    - Correlation pattern recognition
  2. Transcription Statistics
    - Probability distribution of words
  3. Context
    - User-supplied input

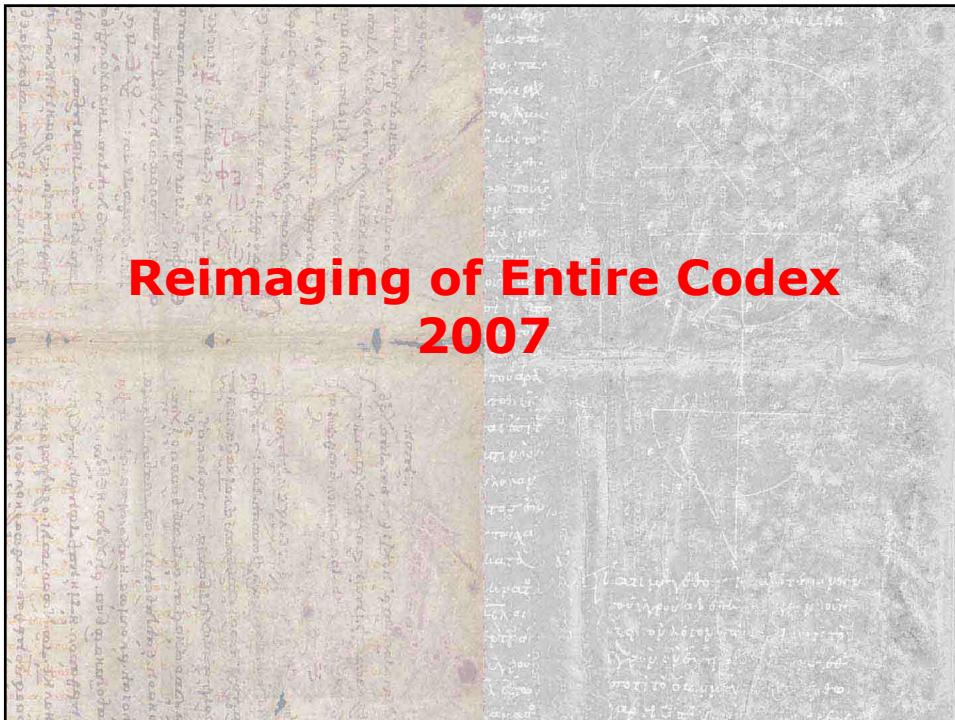




## Reviel Netz's Assessment

"I was much surprised when the machine actually did paleographic work - we gradually moved to more and more difficult areas until we looked at fragmentary words for which I had guesses, no more, at 158-159. **The machine reached my guesses independently.**  
... This means either that I think like the machine and that we both can be outperformed by better paleographers, or that the machine is in fact making informed paleographic judgments."

21 September 2006



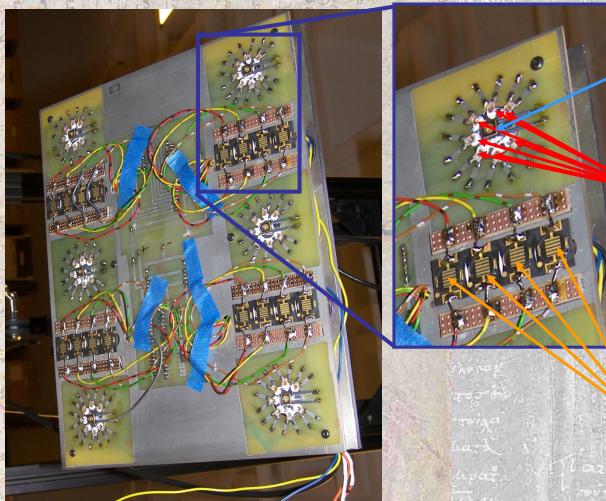
## 2007 Spectral Imaging

- Advances in camera technology
  - Sensors then available up to 39 megapixels (now 50+ MP)
- Illumination from light-emitting diodes (LEDs)
- Combine higher-resolution camera + LEDs to obtain registered spectral images at more wavelengths
- Goal: to produce better output images from combinations of spectral images

## Illumination by Light-Emitting Diodes (LEDs)

- Generate light from electronic transitions
  - not from heat
  - less potential for damage to parchment
- Electrically efficient
  - most electrical energy converted to light
- Inherently “Narrowband”
  - Measure reflectance over narrow range of wavelengths
  - $\Delta\lambda \sim 25 - 40 \text{ nm}$
- Available in wide range of spectral bands, with more being added

## 2007 LED Illumination Panel

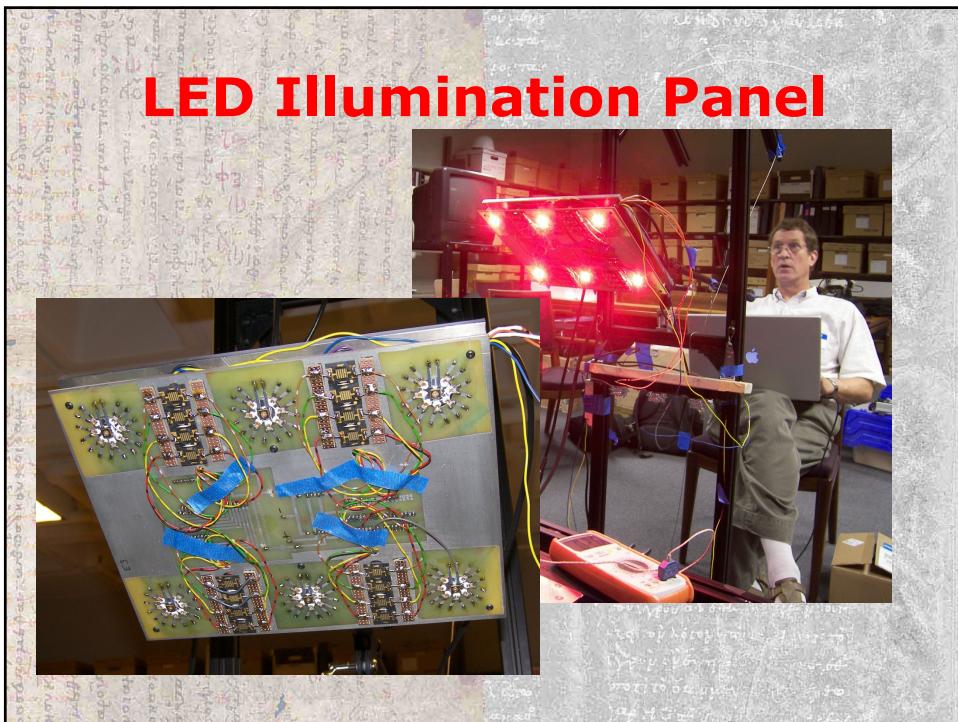


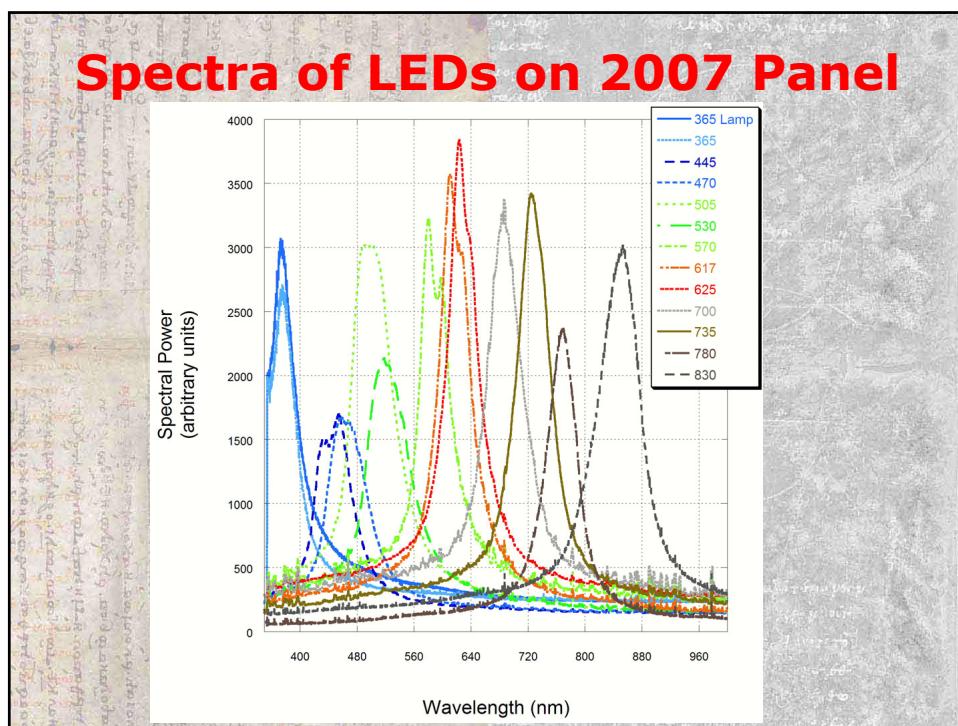
Two Panels, each w/ six banks of 12 visible and UV LEDs + two banks of 4 IR LEDs

UV LED  
•  $\lambda_0 = 365 \text{ nm}$

7 visible LEDs  
• 445 nm  
• 470 nm  
• 505 nm  
• 530 nm  
• 570 nm  
• 617 nm  
• 625 nm

4 Infrared LEDs  
• 700 nm  
• 735 nm  
• 780 nm  
• 870 nm

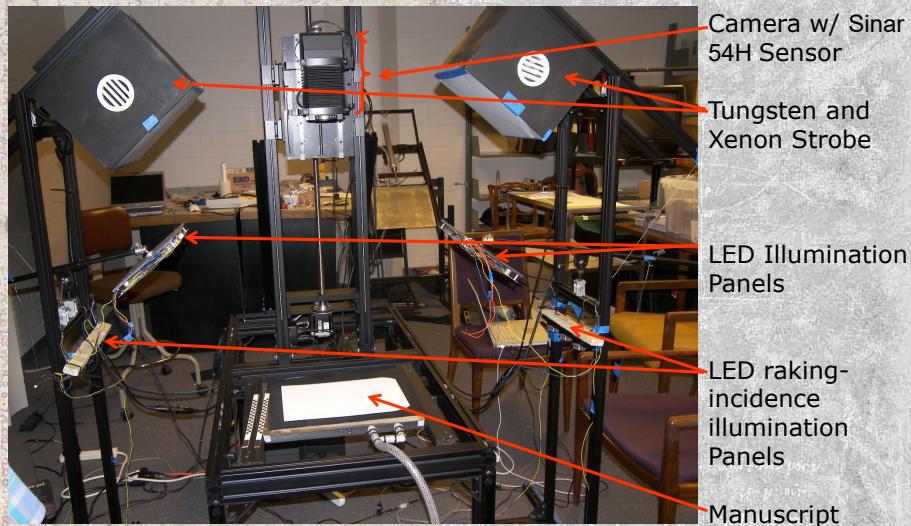


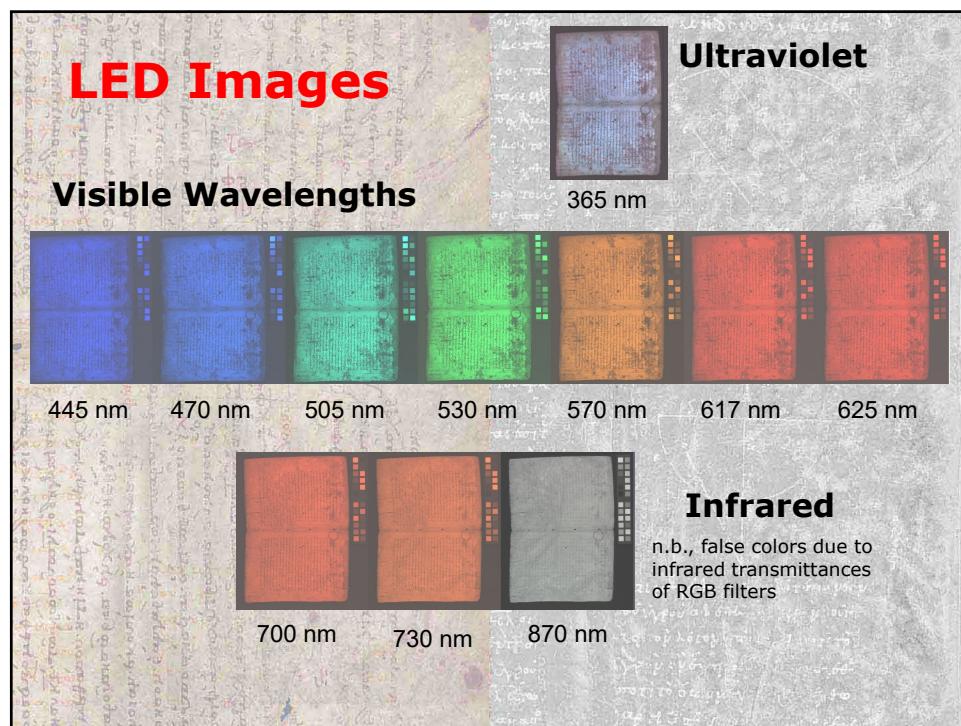


## High-Resolution Digital Camera

- Planned: monochrome sensor
  - $4992 \times 6668$  pixels  $\sim 32$  Megapixels
  - failed to arrive in time
- Fallback: Sinar 54H digital sensor
  - $4080 \times 5440$  pixels  $\sim 22$  Megapixels
  - Cooled to reduce thermal noise
  - RGB array  $\Rightarrow$  color sensor
    - Not desired (considered to be a “bug”)
    - Proved to be serendipitous (a “feature”); essential for the *Aristotle Commentary*
  - Half-pixel translations  $\Rightarrow 88$  MP

## 2007 Spectral Imaging System





## Unexpected Result

- Undertext on unidentified leaves appears “bright” on darker parchment at  $\lambda = 870\text{nm}$ 
  - Fluorescence?

## Second Surprising Result on New Images of “Aristotle” Treatise

**Sent:** Saturday, June 11, 2005,  
2:24 PM

“Dear Will,

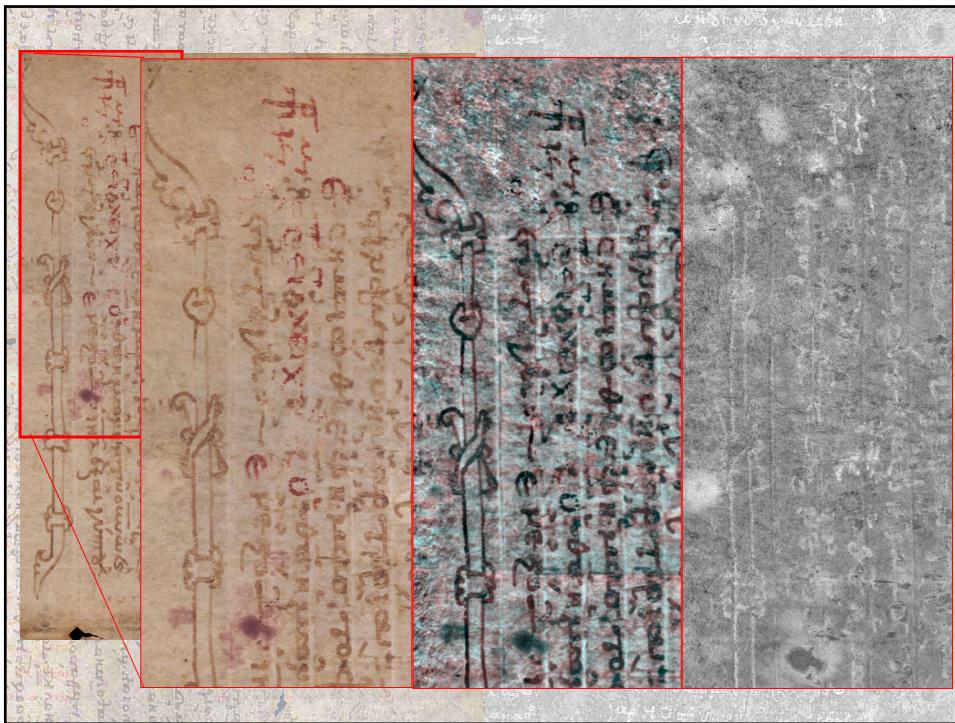
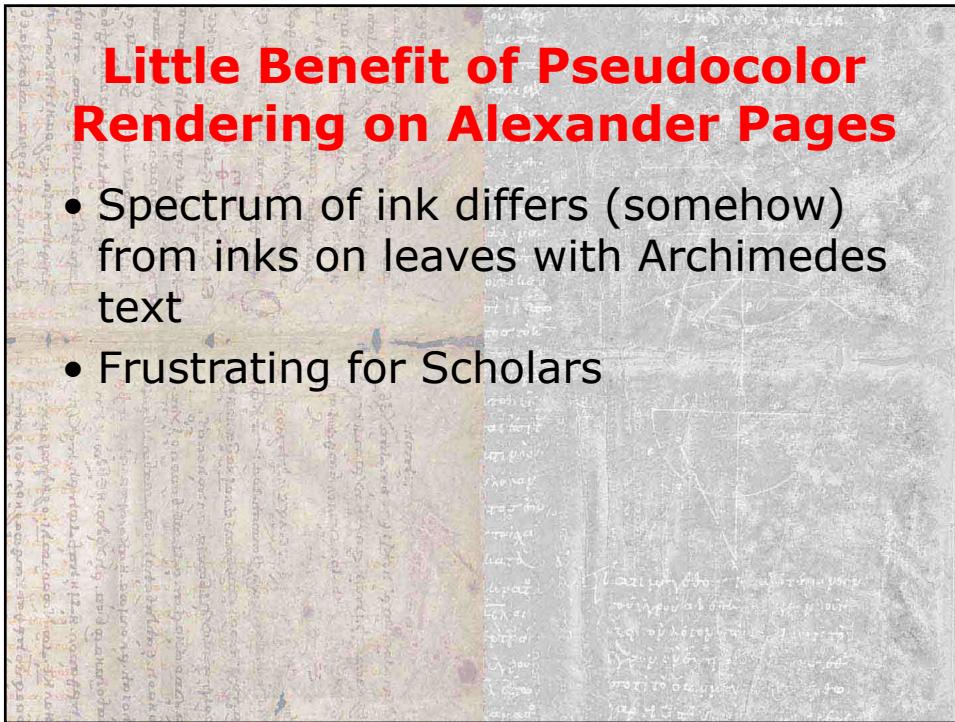
“Excellent news. The hard-drive and photos came safely this week. A first glance suggests that there is no more Hyperides, but several leaves of the philosophical text, on one of which I read the name Aristotle clearly enough.

All the best,  
Nigel

Gutter of 080v-073r

## Little Benefit of Pseudocolor Rendering on Alexander Pages

- Spectrum of ink differs (somehow) from inks on leaves with Archimedes text
- Frustrating for Scholars



## Will Noel's 2008 Christmas Challenge

12:13 PM EST, 13 December 2008

Guys

On December 6th, Nigel Wilson, Bob Sharpley, Marwan Rashed, and Natalie met in London to have a further "group" crack at the Alexander text, and amazingly, made really exciting progress. They think about ten further meetings and they will have a text that is worth publishing - and that it will be important. This is cool.

As you know, this is a really tricky philological task, and **any help you can give to them as they work by processing the images further might just pay huge dividends.**

If your idea of a fun vacation is to play with tricky images (and I know it is), then **the bit of Alexander to try to make more legible is the bottom third of 120r-121v, and all of 120v-121r.** The philosophers are next meeting on January 31.

First contact person for Alexander is Bob Sharpley at UCL, whom I copy on this email. I know that he would be delighted to inspect any images that you wouyld be kind enough to generate, and that he would share them with all those who work on this text.

With warmest regards for the holiday season.

Will.

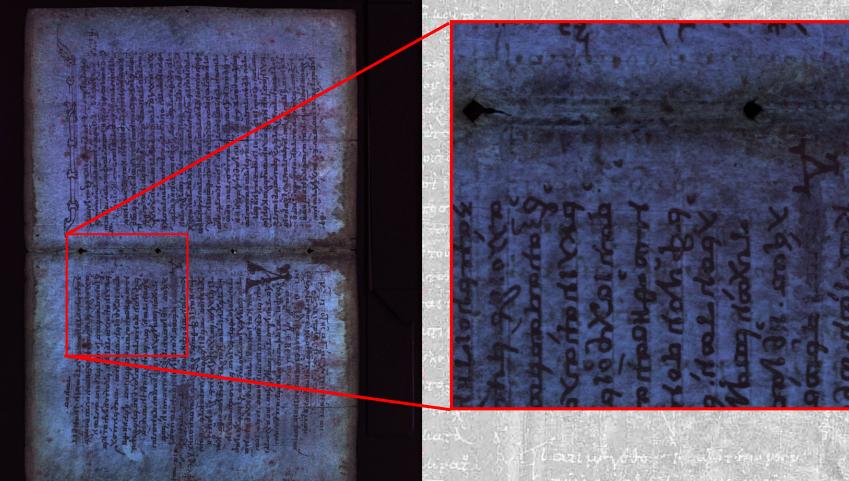
## Response to Challenge

- Principal Component Analysis (PCA) of spectral images
  - For N-band image, PCA calculates an equivalent set of N orthogonal bands
    - each is weighted sum of N original bands
    - arranged in order of decreasing image variance

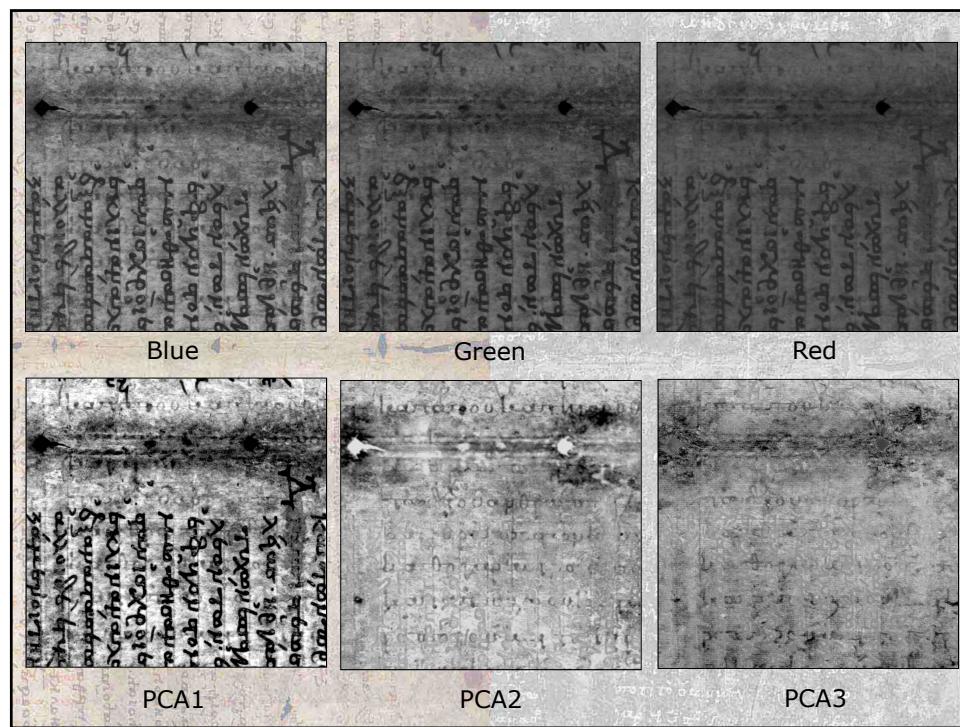
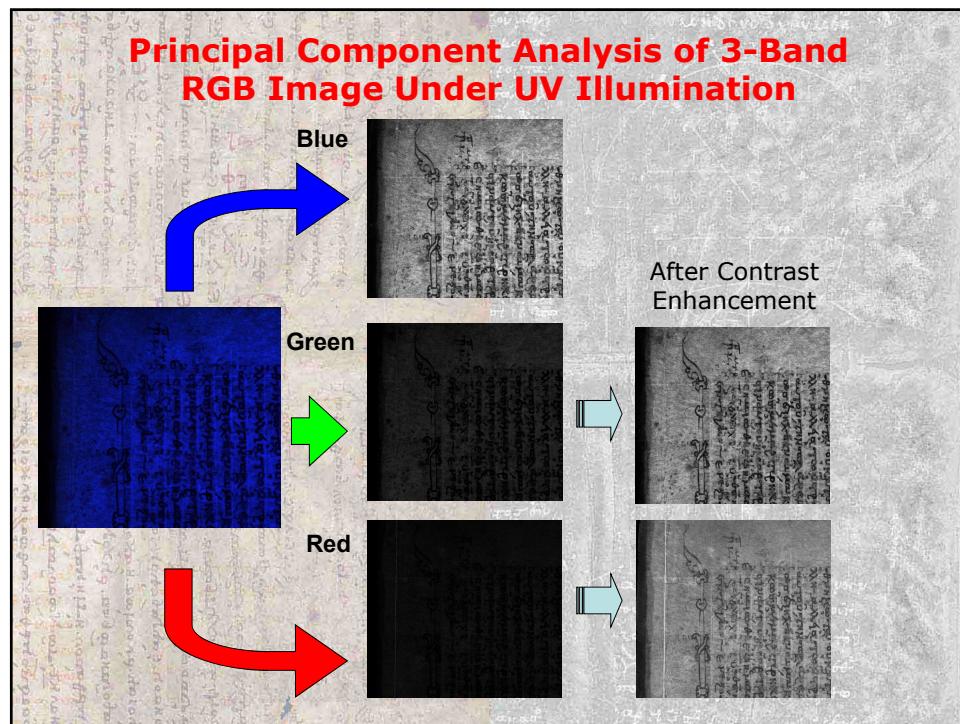
## Principle of Principal Component Analysis of N-Band Spectral Image

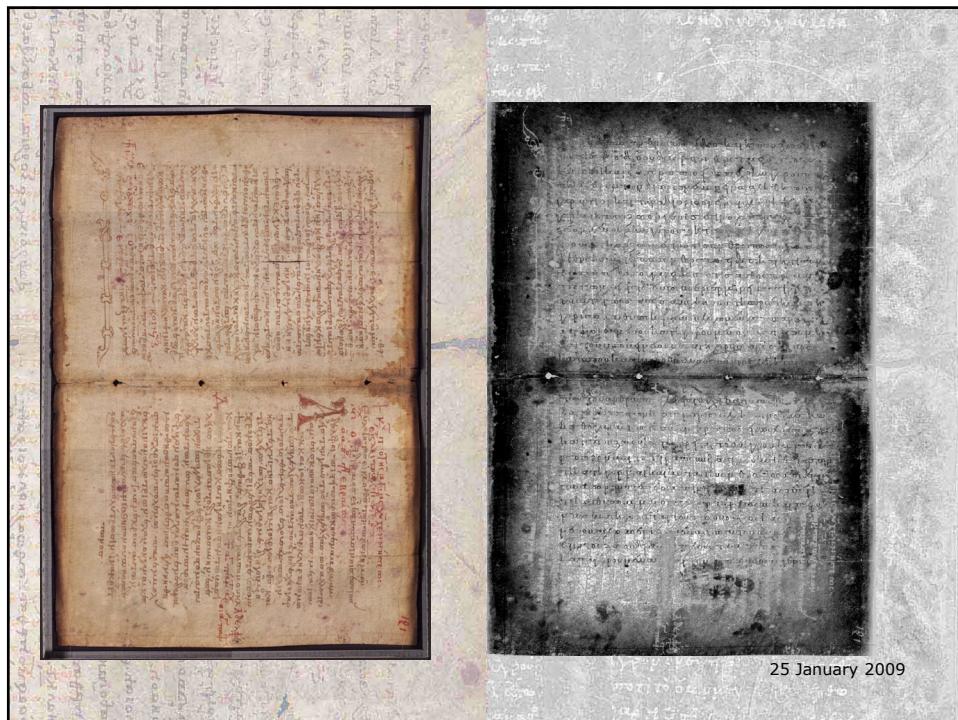
- Find combinations of the original image bands ordered by “variance” of image data
  - 1<sup>st</sup> Principal Component (PC1) exhibits largest possible variance of image data
  - Axis of PC2 is “orthogonal” (perpendicular) to PC1 and exhibits next largest variance
  - Axis of PC3 is orthogonal to PC1 AND PC2
  - Repeat to construct N principal component images

## RGB Fluorescence Image under UV



f. 120v-121r





## Response from Scholars

Dear Will,

I got out the provisional transcription that the team had produced so far and began to check it against the image. **It is clear that the new picture is a real step forward, and someone deserves to be congratulated. I was able to make several alterations in the space of about half an hour! So let's have some more leaves done the same way....**

...

All the best,  
Nigel  
Oxford, University, 29 December 2008

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Dear all,

**it appeared clearly in the last days that the new images on the website are incomparably better than everything we had until now. Contrarily to my first impression, it is somewhat miraculous to be now able to read portions which seemed lost for ever.** I thus pray those of you who have some influence on Will to ask for images of this type for the rest of Alexander's commentary.  
best,

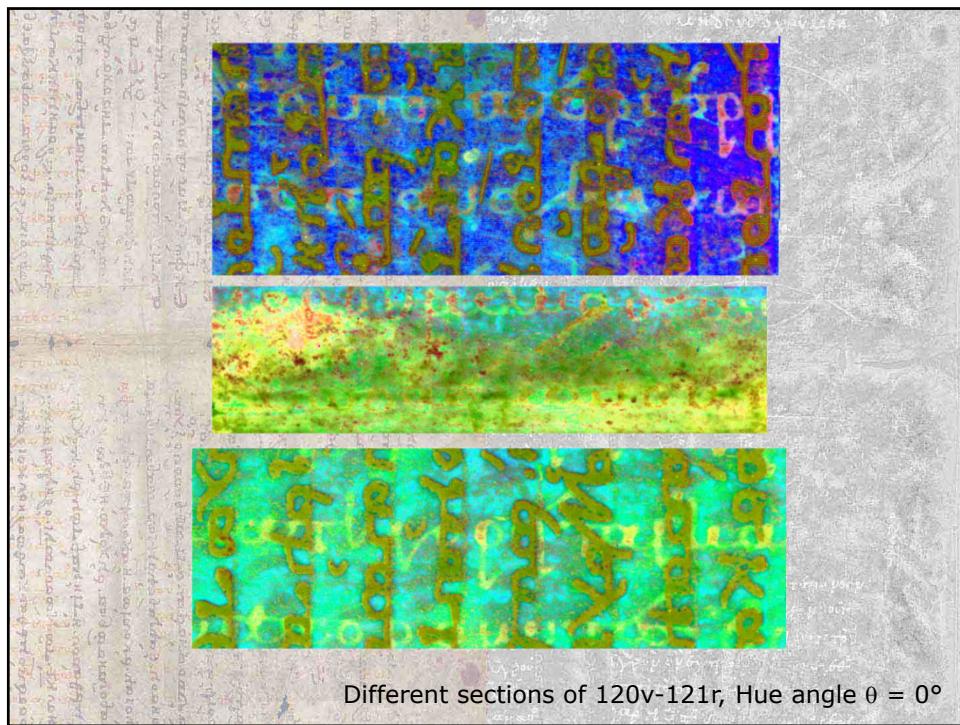
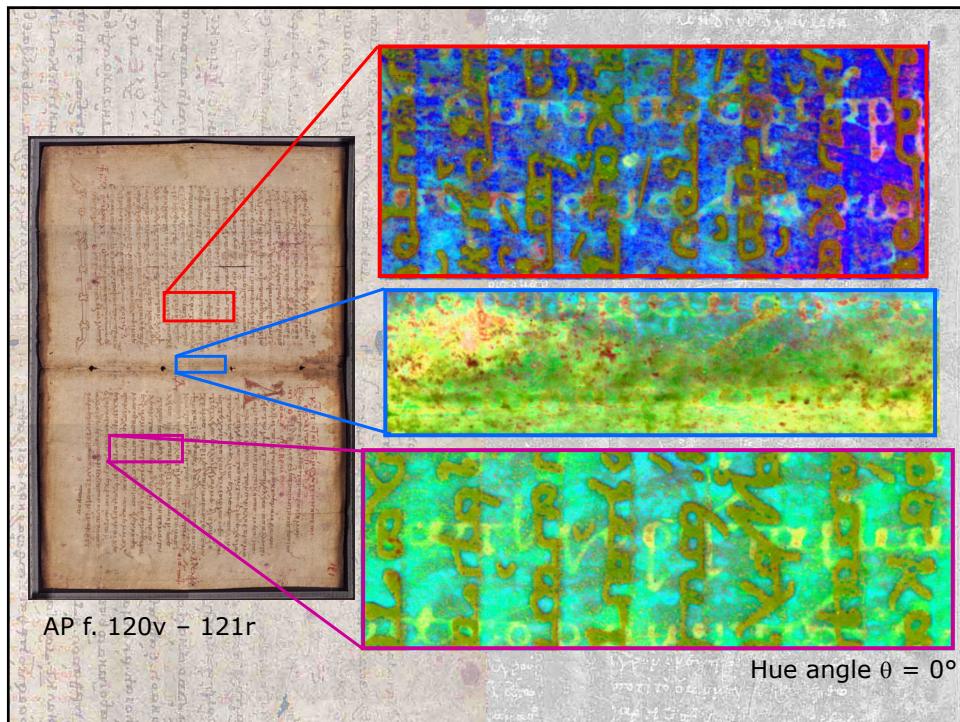
Marwan Rashed  
Professor, École Normale Supérieure, Paris, 27 January 2009

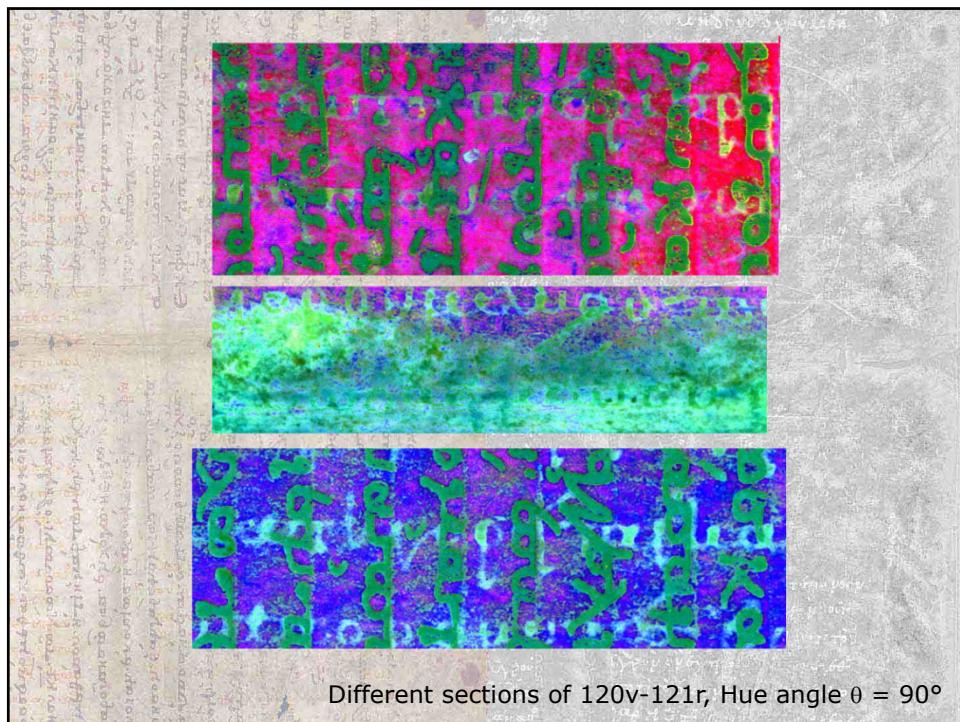
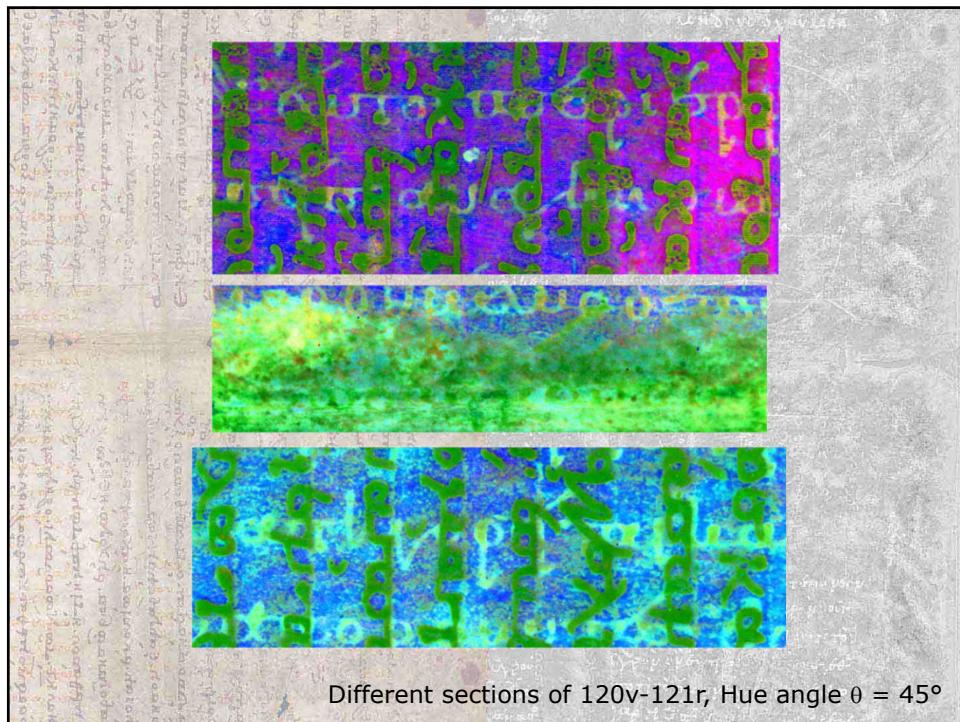
## Subsequent Observation

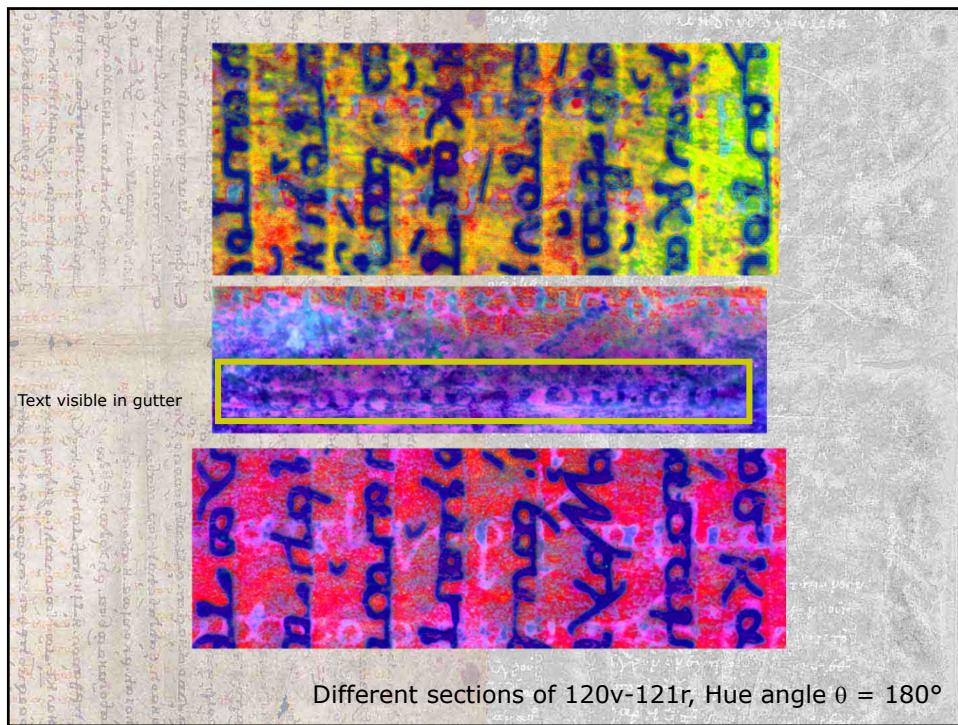
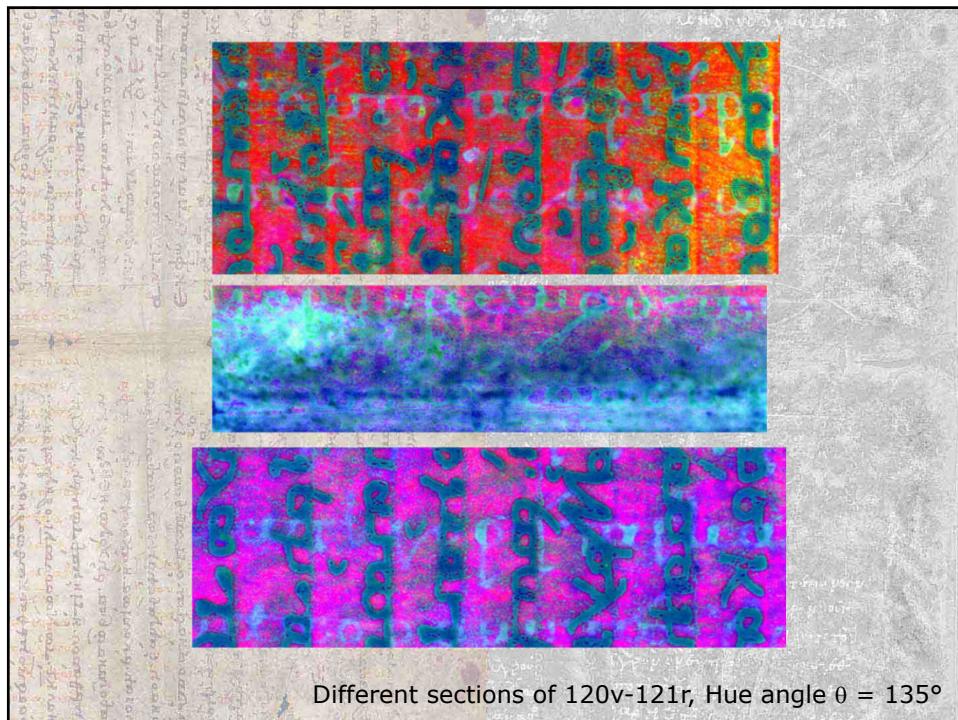
- PCA Band 1 is dominated by blue fluorescence emission
- ⇒ Bands 2 and 3 must be dominated by combinations of green and red emission
- Undertext appears at different locations on leaf in PC2 and PC3
- ⇒ **Optimum rendering may be different at different locations**

## Pseudocolor Rendering of 3-Band PCA Images

- Dynamic rendering of pseudocolor mapping of 3-band PCA
  - PC1 → Red
  - PC2 → Green
  - PC3 → Blue
- Text on leaf appears with different color renderings at different locations
- Rotate hue angle to “optimize” rendering for different locations
  - (and perhaps for different viewers)

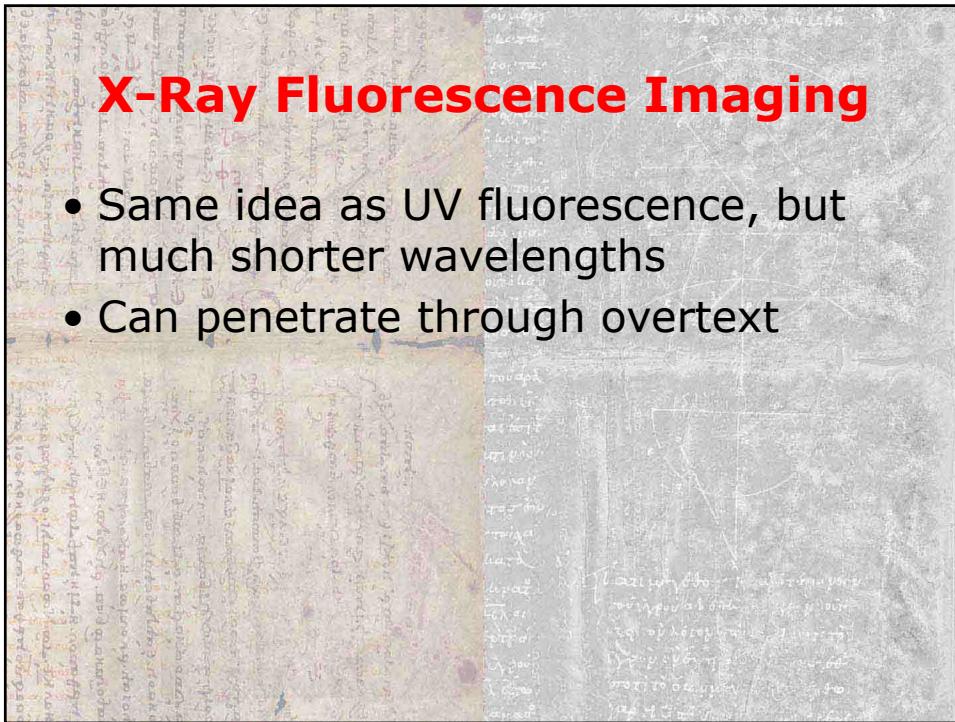




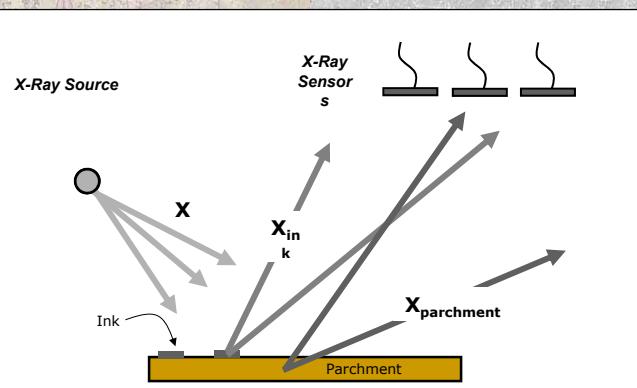


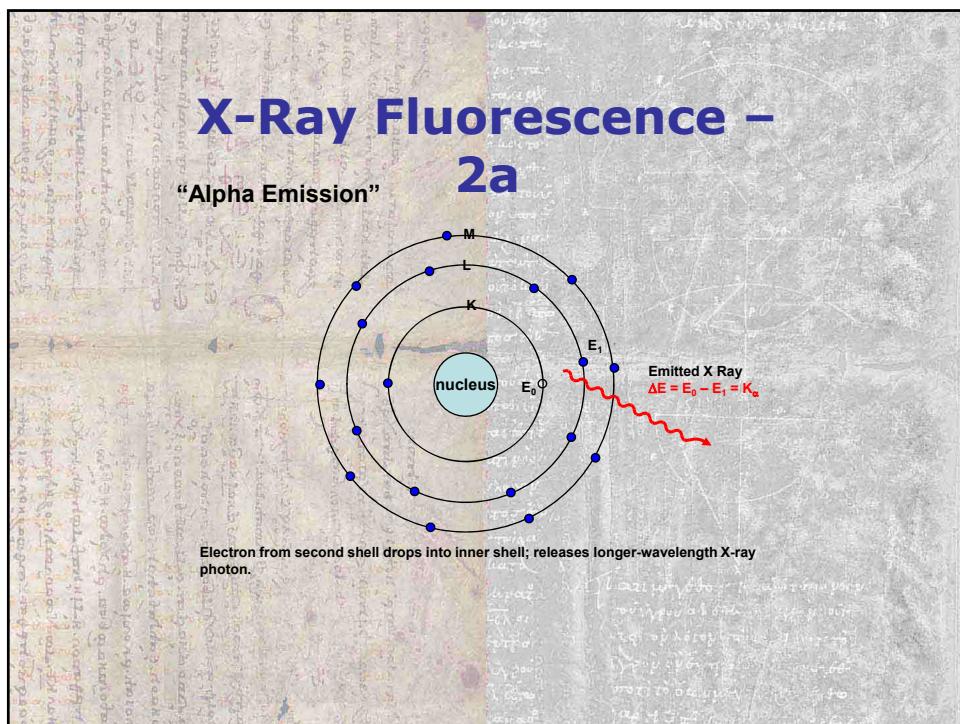
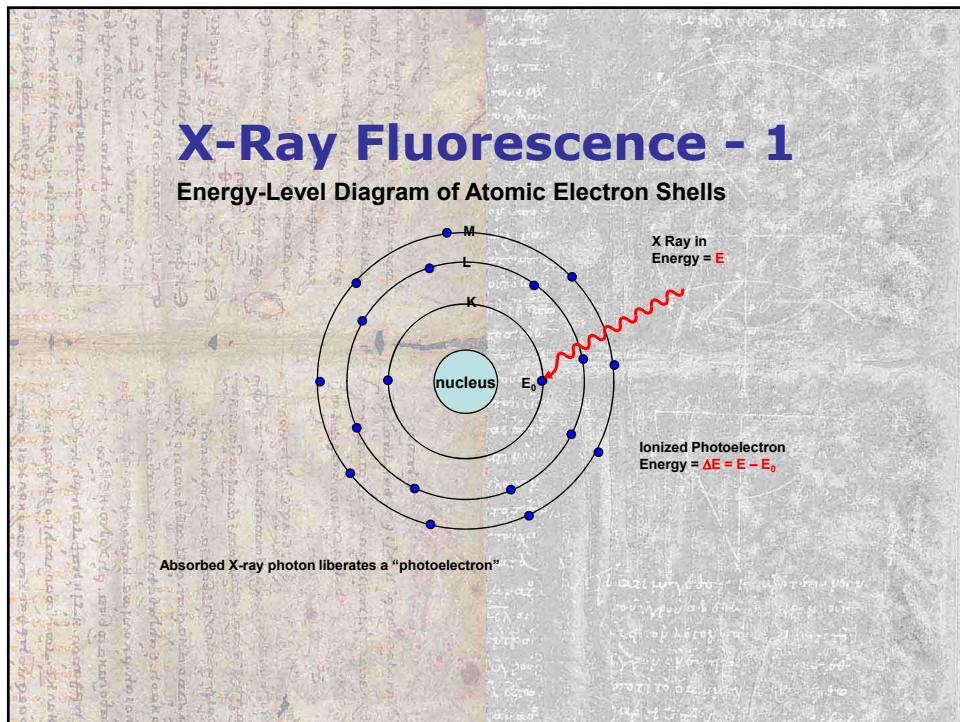
## X-Ray Fluorescence Imaging

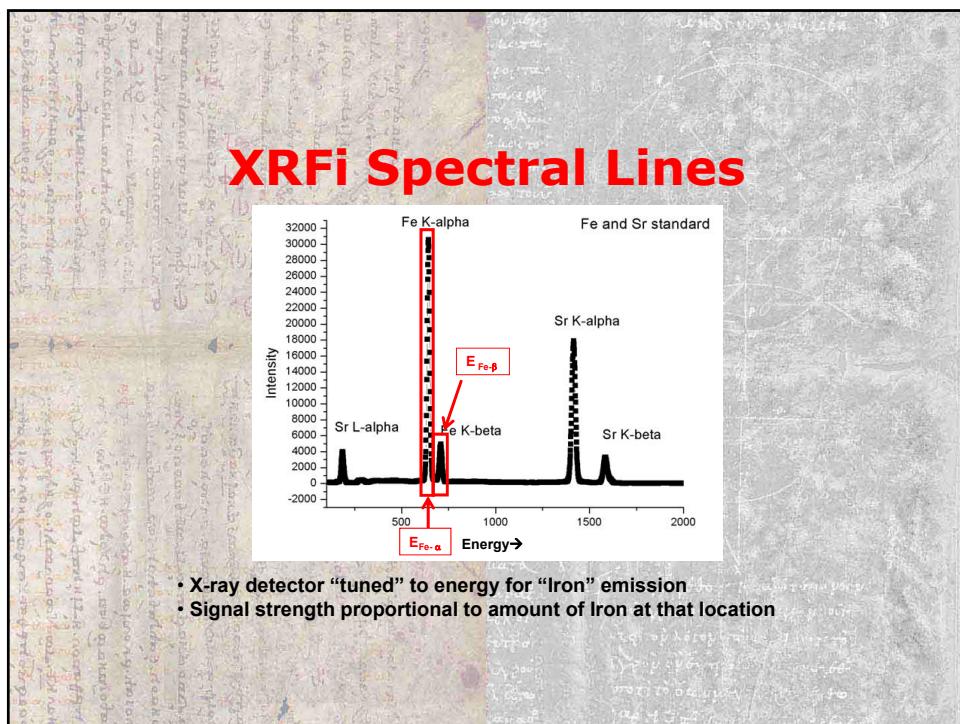
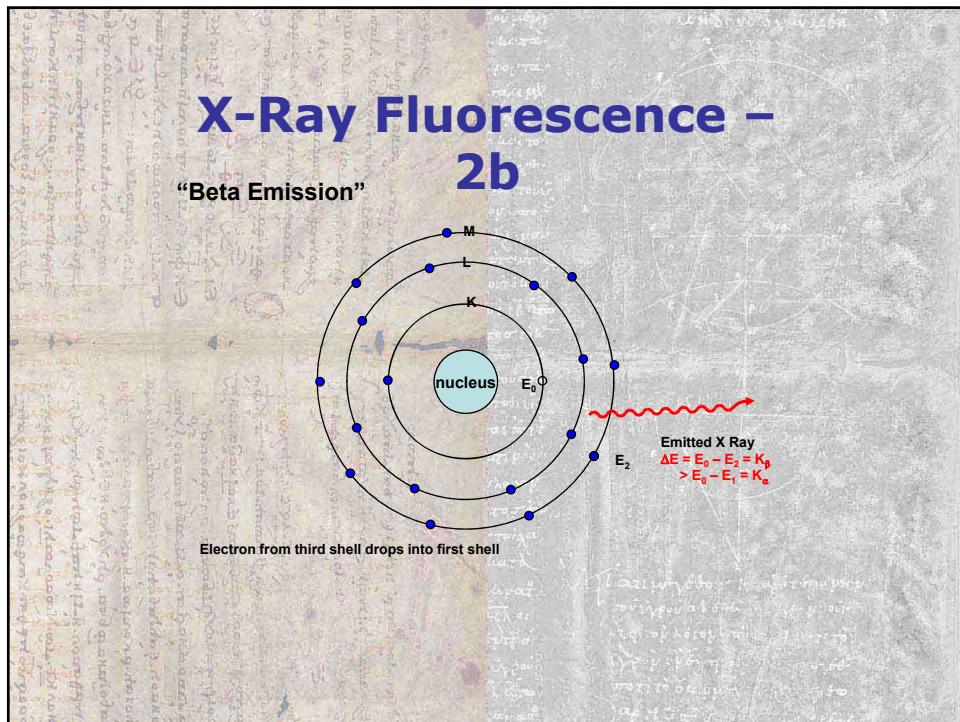
- Same idea as UV fluorescence, but much shorter wavelengths
- Can penetrate through overtext

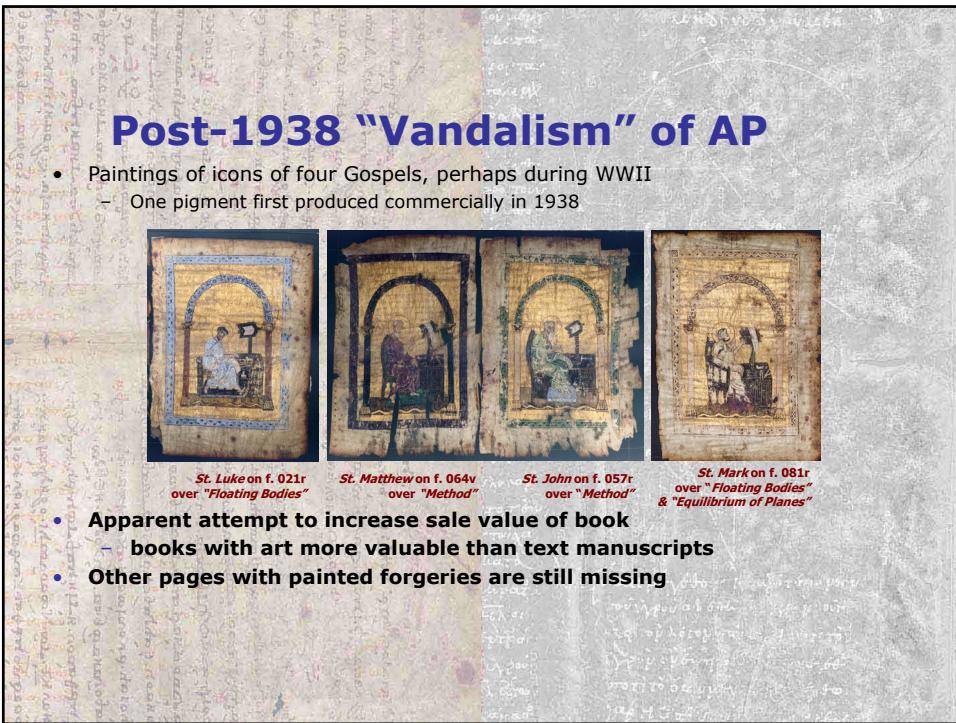
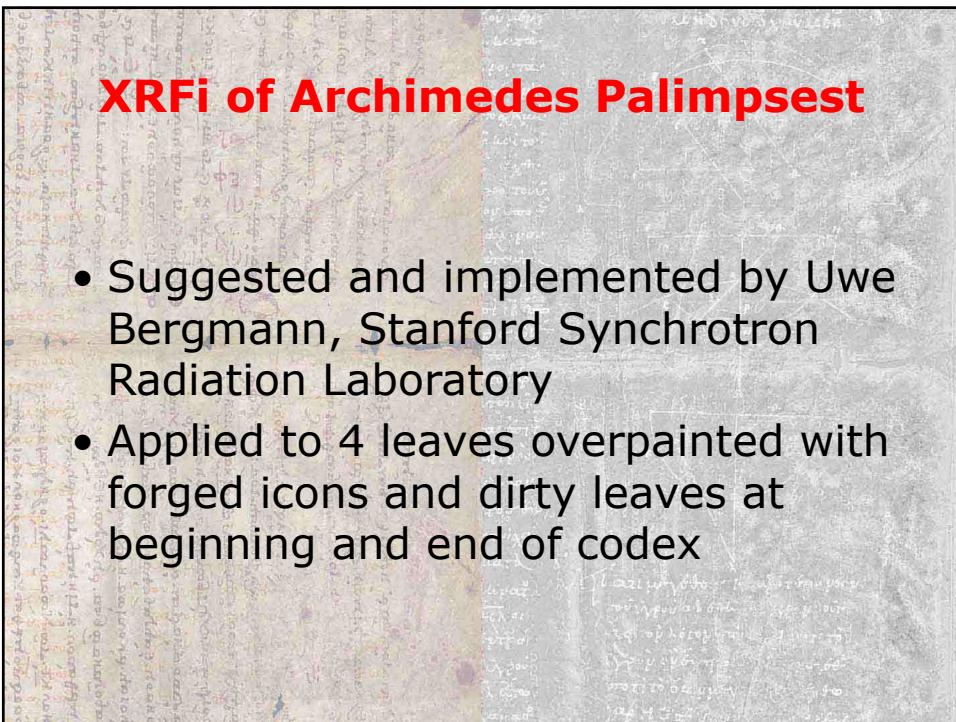


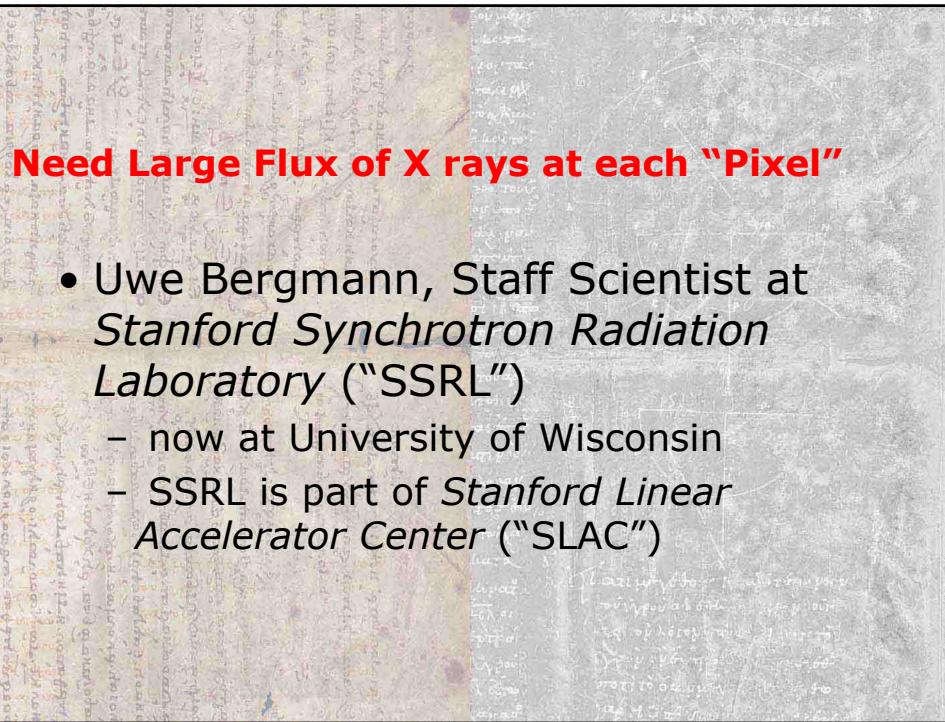
## X-Ray Fluorescence Imaging



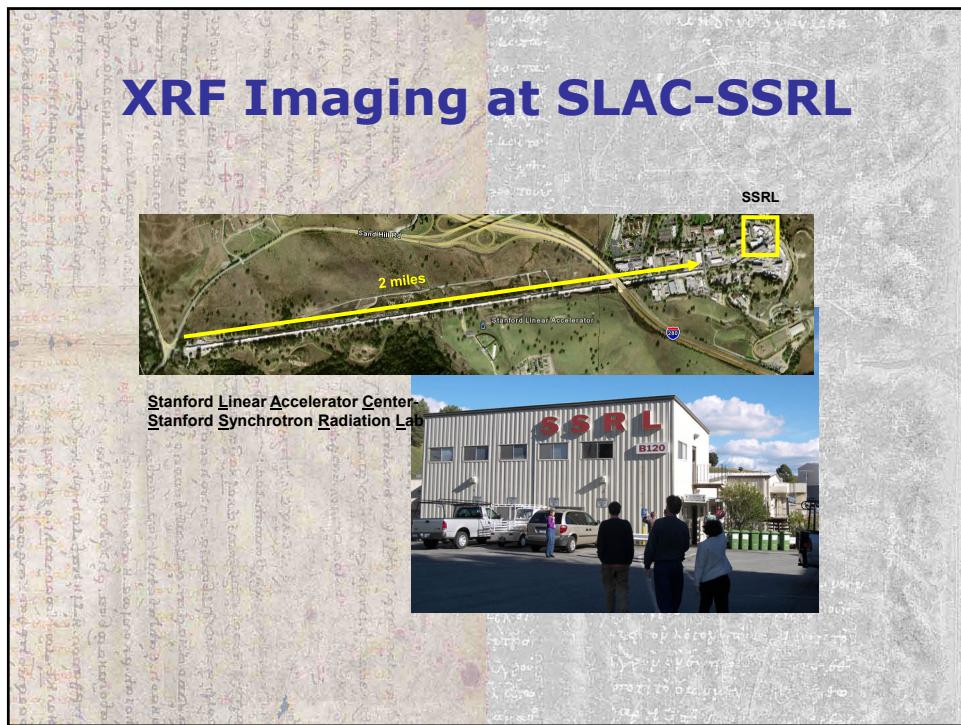


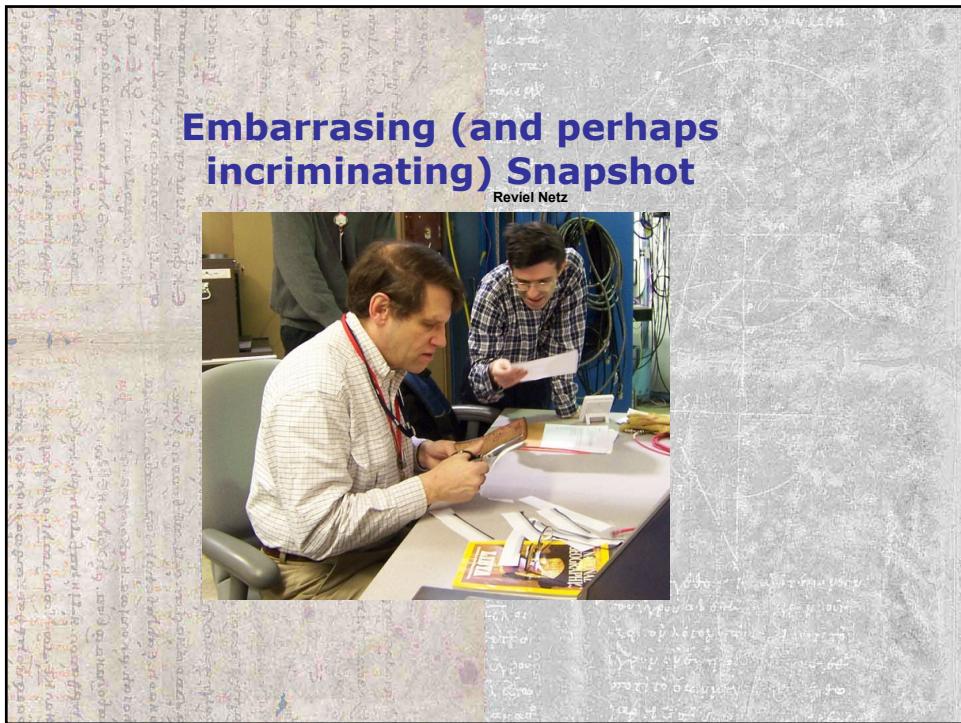
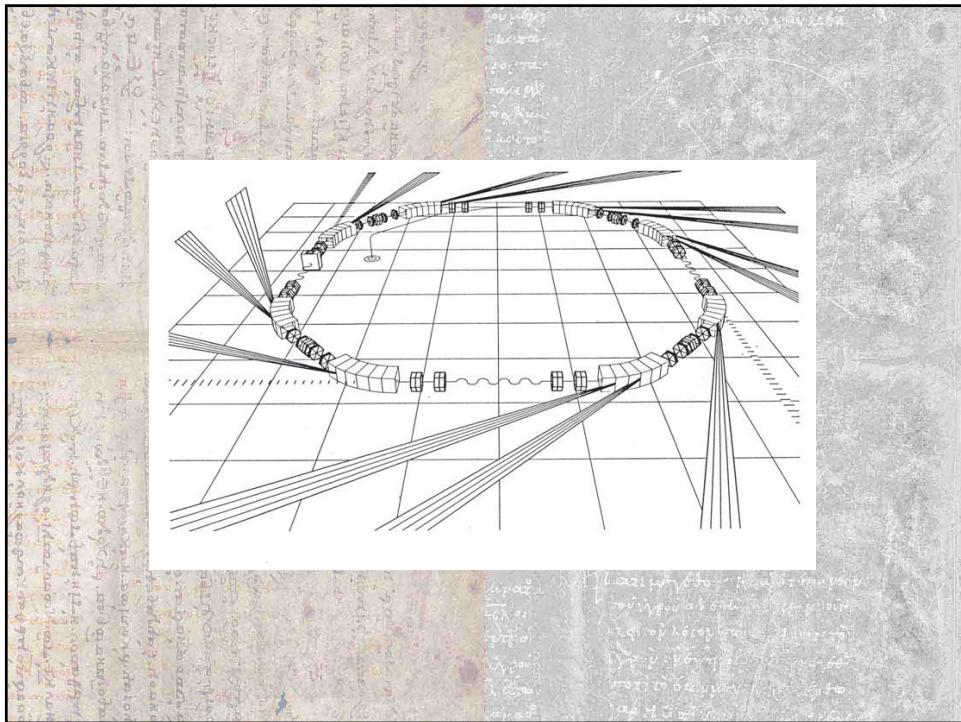


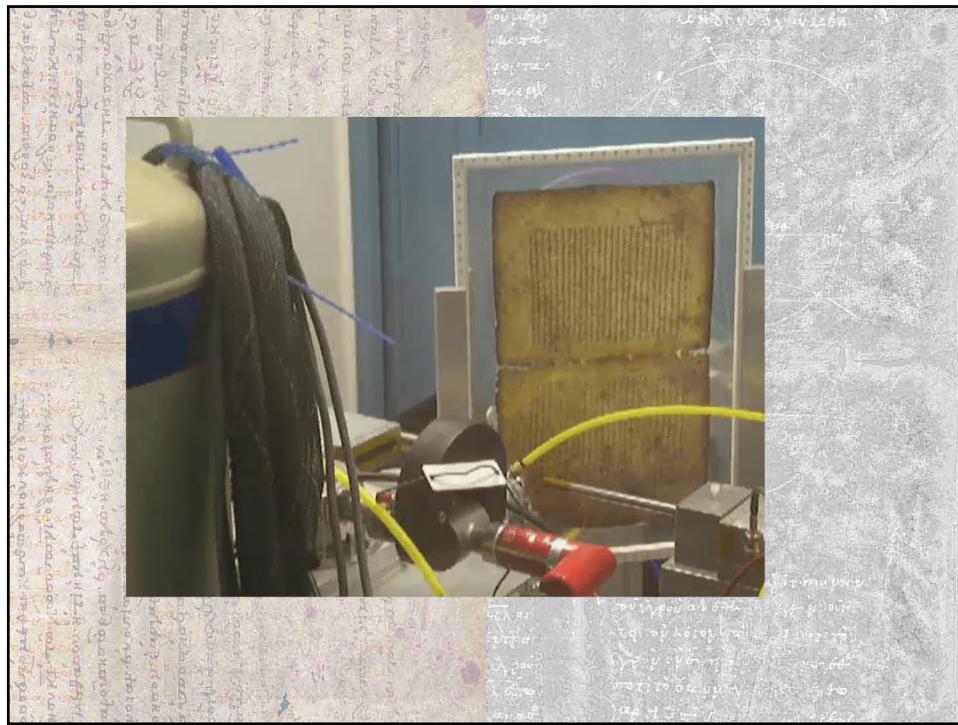
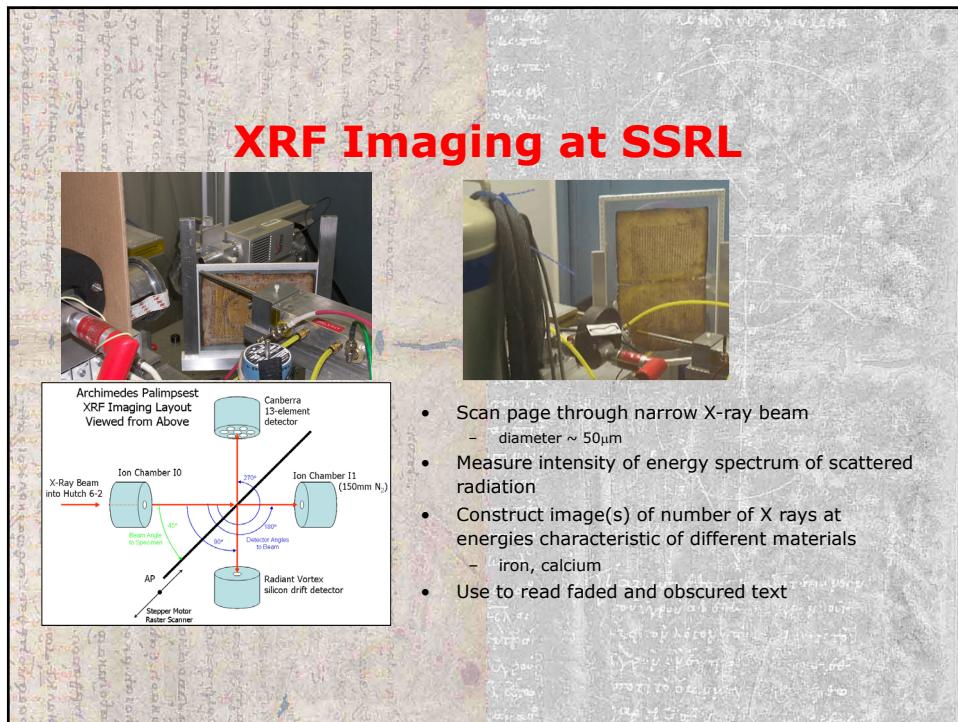


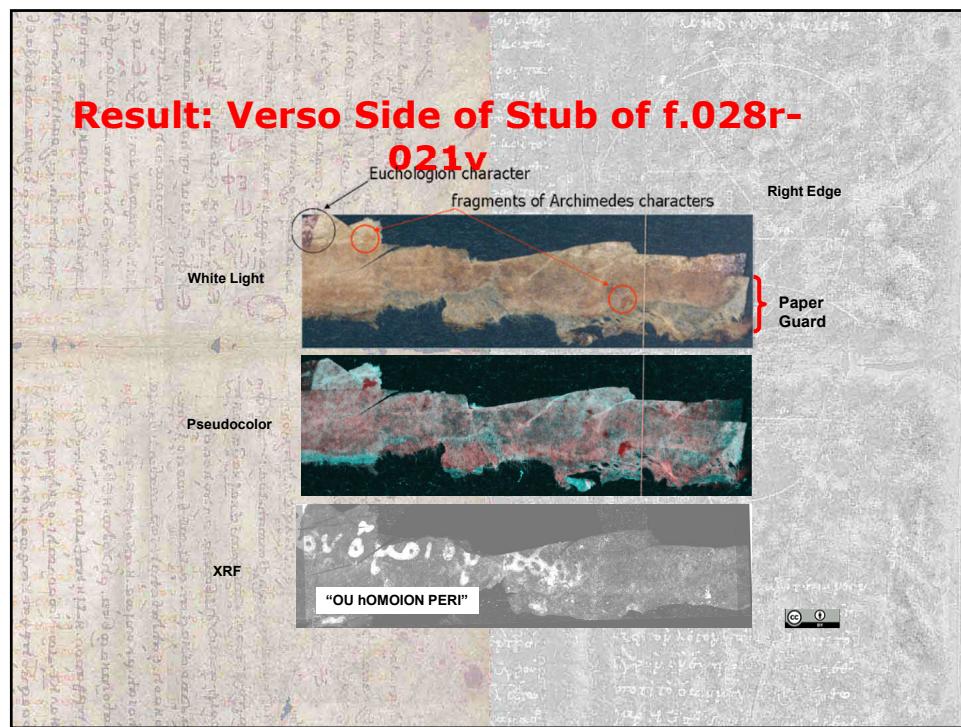
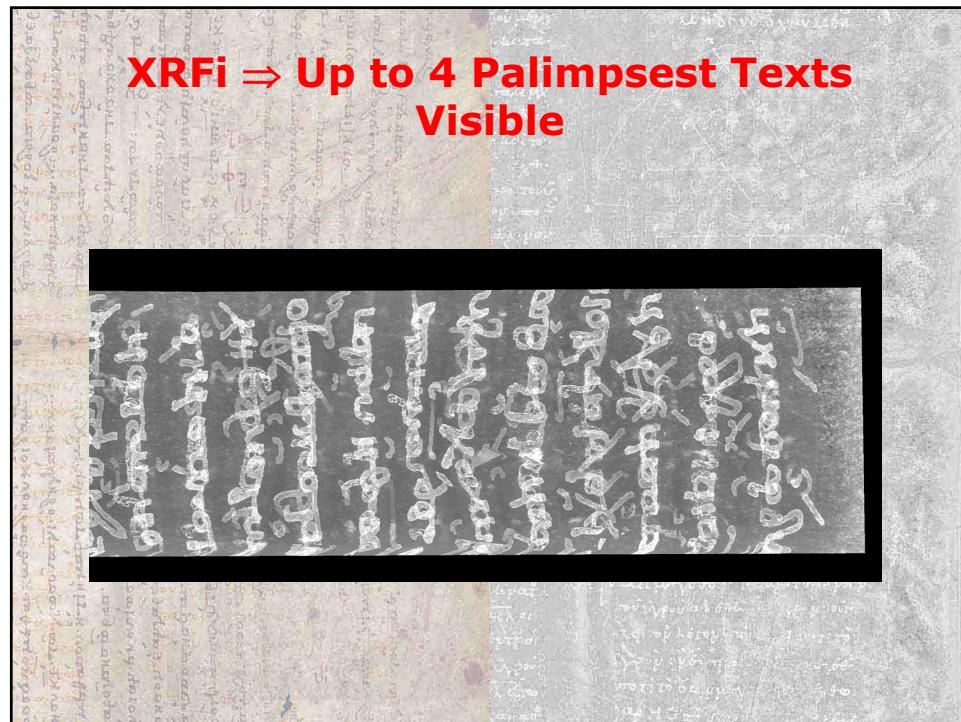


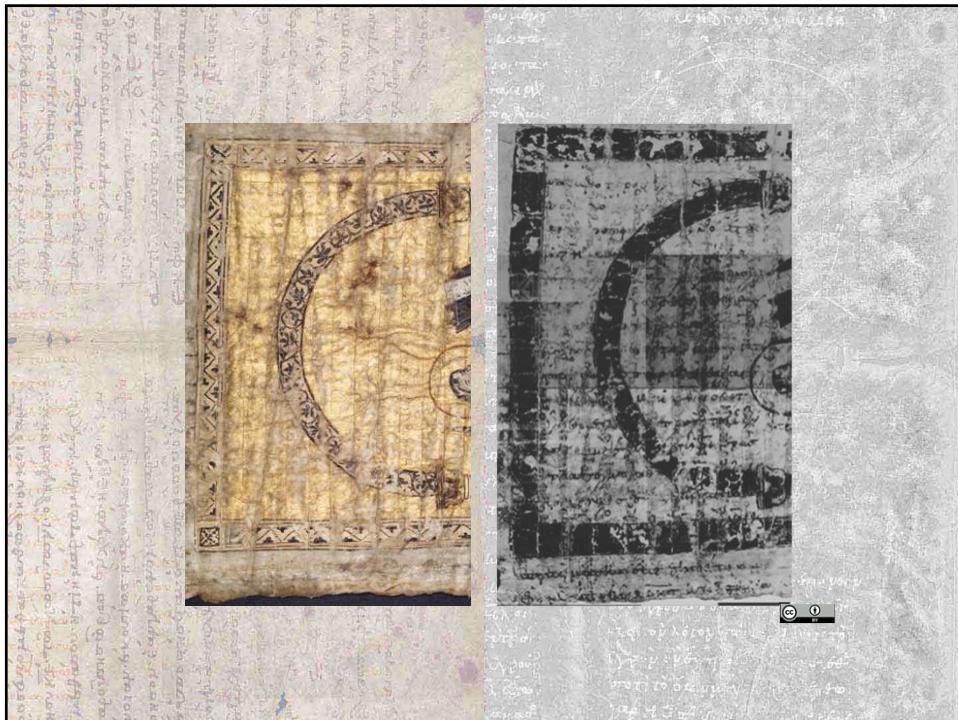
- Uwe Bergmann, Staff Scientist at *Stanford Synchrotron Radiation Laboratory ("SSRL")*
  - now at University of Wisconsin
  - SSRL is part of *Stanford Linear Accelerator Center ("SLAC")*





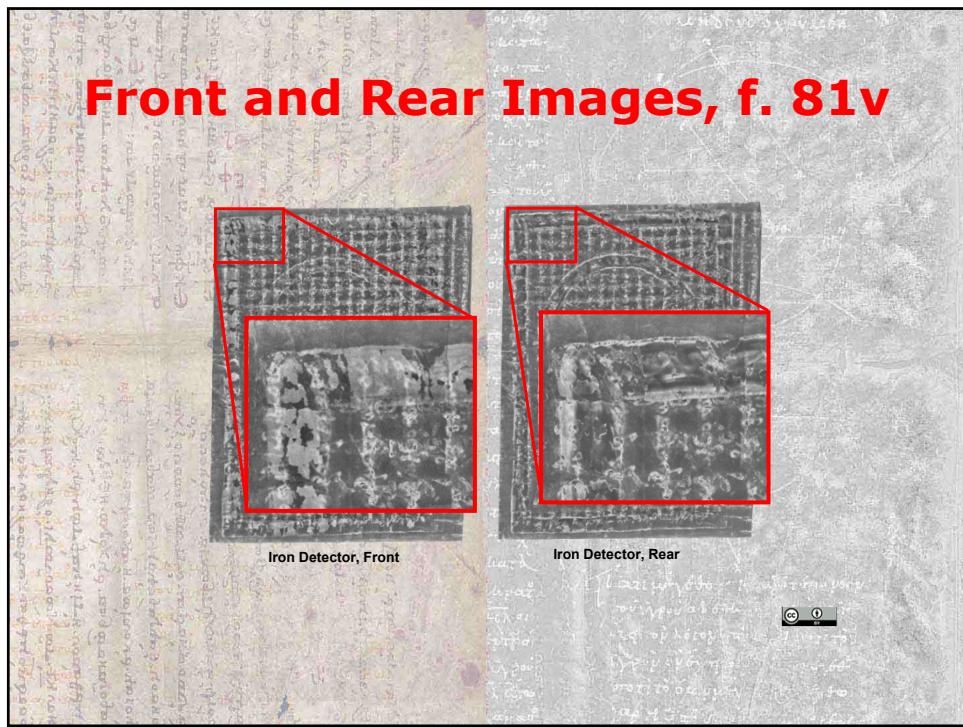
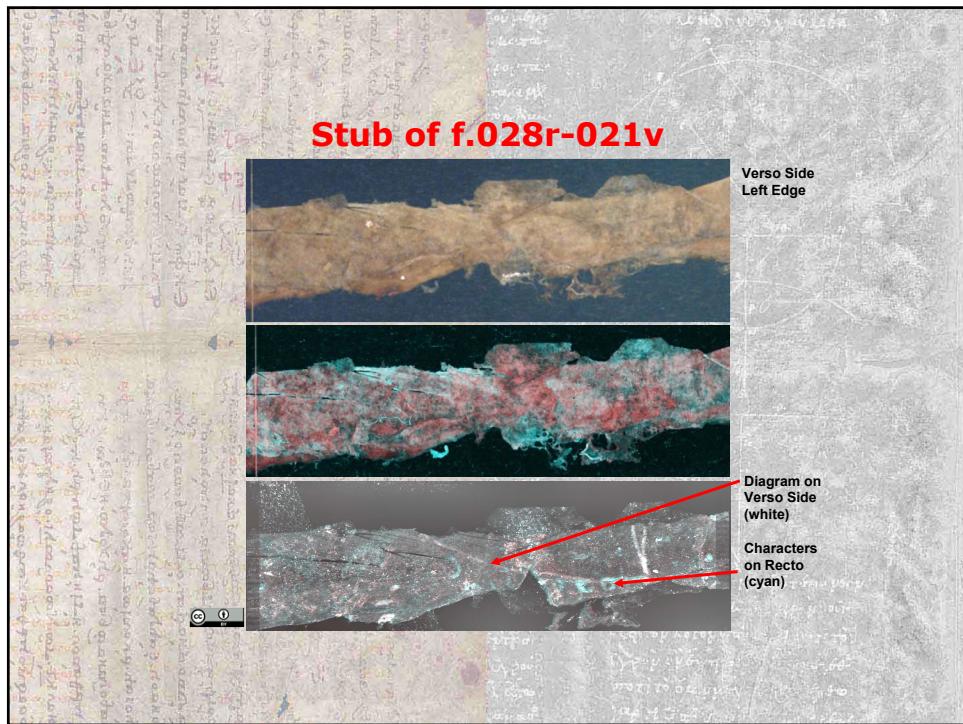


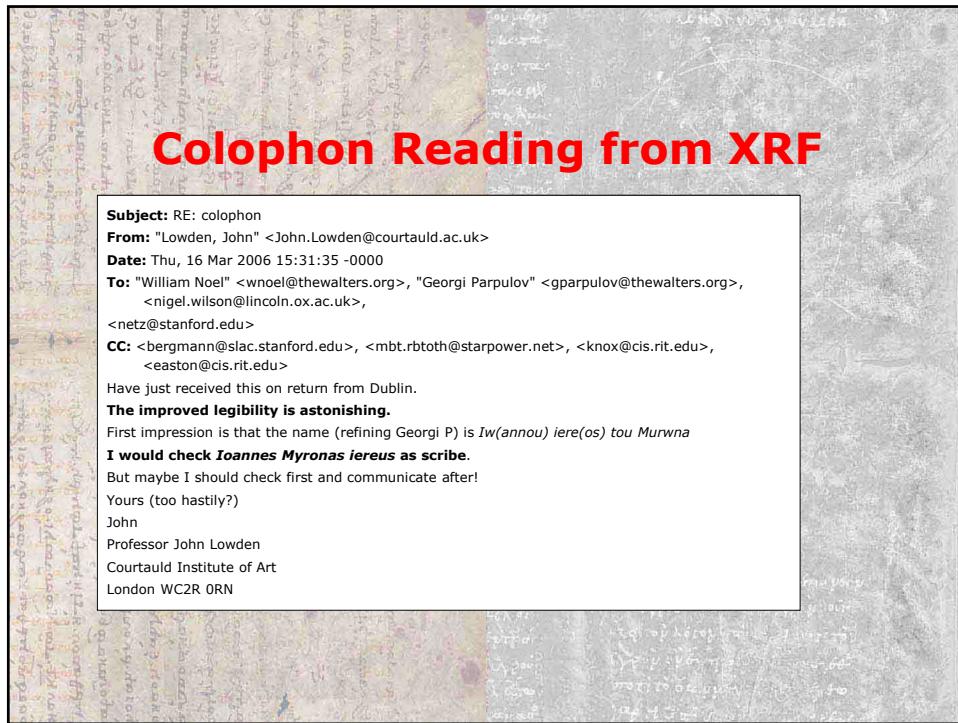
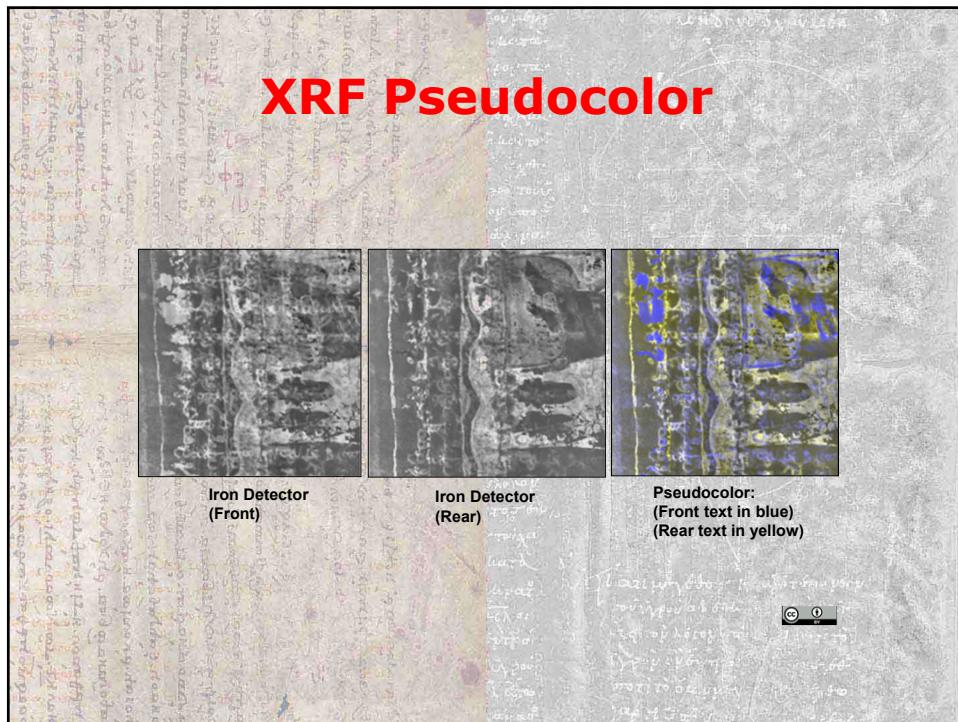




## Pseudocolor Rendering of XRF

- Signals from iron atoms measured by detectors in front and behind page
  - Signal “strengths” differ
  - Use difference to distinguish the two texts
- Blue: iron image measured by “front” detector
- Green and Red: iron image

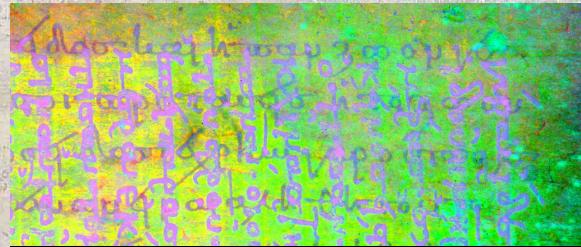




## XRF Imaging Assessment

- Essential to read text through forged paintings and on colophon of *Euchologion* in Archimedes Palimpsest
- Expense and utility constrained its use to special cases
- Now MUCH more common
  - Just used to identify ink constituents in Vinland map

## XRFi is NOT a Panacea



120v-121r (Aristotle 02r) XRF Iron Front  
120v-121r (Aristotle 02r) UV PCA + Hue Angle

