NewEnergyUpdate

Wind Turbine Common Failures and Solutions



Experience is improving estimates of failure times for critical components such as bearings, said experts at the 3rd Annual Wind Optimization & Maintenance Canada conference.

For example, it is now possible to roughly predict failure occurrence rates and total cost of repair for four common wind drivetrain failures, affecting main shaft spherical and tapered roller bearings and gearbox high-speed and planet bearings.

"All the bearings have their strengths and weaknesses," said Richard Brooks, wind aftermarket manager at The Timken Company, which engineers and manufactures mechanical power transmission components.

Thus, main shaft spherical roller bearing (SRB) failures typically occur in less than 10 years and carry a high total cost because repairs usually require a crane removal and a shop-based rebuild.

On some turbine models, Brooks said, it may also be necessary to remove the gearbox. And gearboxes may be prone to consequential damage from excessive thrust following an SRB failure. Main shaft SRB damage usually falls into three categories, he noted.

These are micropitting, cage damage and edge loading, which Brooks said is more common in narrow, 230 Series bearings. As well as these direct forms of damage, it is common for SRB failures to generate debris which then affects the working of other components.

"Once you start to accumulate debris in the grease, it's going to stay there," Brooks commented.

Main shaft SRB damage is inherent in the design of the components and cannot be fully prevented. However, maintaining or exceeding the manufacturer's recommended quantities and frequencies for lubrication can help cut the chances of failure.

TECHNOLOGY	DESCRIPTION	BENEFITS	
Roller Finishing	Low Roughness, Isotropic Finish	Reduced Asperity Contact & Stress	
Roller Coating	WC/aC:H Coating 1 µm thick	Increased Wear Resistance, Increased Fatigue Life, Increased Debris Resistance.	
Internal Geometry	Roller/IR Conformity	Decreases Roller Stress, Reduces Potential Roller Skew Creates Favorable Traction	
Split Cage	Two-Piece Machined Brass Cage with no Guide Ring	Lowers Possible Operating Forces Removes source of debris generation	

Main shaft SRB enhancements. Source: Timken.

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Brooks said maintenance teams might also consider purging or flushing the main shaft lubrication every few years, depending on its condition.

Detecting failures in the first place would require looking at temperature trends, sampling the iron content in main shaft grease or tracking vibration data with special algorithms, he said.



Machines suffering from main shaft SRB failures could be treated with enhancements to the bearings or a redesign to replace SRBs with tapered roller bearings (TRBs), which can accept much greater thrust loads.

Enhancements may include roller finishing to reduce asperity, or the application of diamond-like coating to improve wear resistance.

Alternatively, replacing SRBs with TRBs could reduce the axial thrust into the gearbox by up to two thirds, increasing the gearbox's life span.

TRBs are already widely used in direct-drive turbines. In these, "you don't see many bearing failures," Brooks said, but when they occur "it's ridiculously expensive" because of the need for a crane and a shop-based rebuild.

Most direct-drive main shaft TRB damage stems from a loss of pre-load on the bearing, which is usually a manufacturing error, or from design-related cage problems.

This means direct-drive main shaft TRB problems are hard to prevent, other than by maintaining a well-lubricated environment.

TRB damage

Furthermore, using vibration methods to detect TRB faults "can be a real challenge because the generator creates a lot of noise that makes it difficult to do the analysis," Brooks said.

The best way to deal with direct-drive main shaft TRB challenges was highly turbine dependent, said Brooks, and usually required some action on the part of the equipment manufacturer.

Asset owners that do not have direct-drive machines will need to factor in failure rates from gearbox bearings. Planet carrier and low-speed position bearings have low occurrence rates but tend to be subject to thrust damage.

Planetary positions, meanwhile, have medium failure rates and often see debris and load damage. "Typically you're going to see your first failure in planet bearings around year seven," Brooks said.

The cost for repairing such failures is coming down as more work is done uptower, although some turbine models require main shaft removal, Brooks cautioned.

Another trend in planet bearings is for the components to be integrated, which helps reduce creeping and heavy or uneven loading. "The integrated taper bearing is the best design if it's done properly," Brooks said.

To detect gearbox planet bearing wastage, it can help to perform online oil testing and vibration analysis, he said.

And when considering repairs, it might pay to check on the overall condition of the gearbox in case it makes sense to overhaul the whole assembly rather than just addressing the bearing issues. Finally, high-speed bearings have high failure rates.

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Planet bearings

Avoiding problems such as smearing, inclusion axial cracking or web etch area cracks is normally a matter maintaining good, water-free lubrication and carrying out online vibration analysis and oil sampling, according to Brooks.

In general, however, the typical cost of repairs is low since work can usually be performed up-tower. This is actually the case with a growing number of turbine failures, said Alex Vezina, technical manager at Delom Services.

"We all want to avoid taking a generator down," he said, because of cost of cranes, downtime and shop repairs.

To check whether an up-tower repair is feasible, though, it is important to first carry out a number of tests on ground and between-turns insulation, for instance with Megger ohmmeter polarization index, step voltage, dielectric discharge, Hi-Pot and surge tests.

Technicians should perform electrical tests to ground and then check inbetween turns, Vezina said.

Depending on the findings, it might be useful to carry out visual and borescope inspections inside the generator, perform a vibration analysis, check slip rings, brushes and brush holders, and clean out any contamination.

The clip-ring cabinet can be a significant cause of debris, Vezina said. "It will create carbon dust that will get almost everywhere in the turbine and create problems," he commented.

With the correct checks, however, it is now possible to carry out a wide of repair tasks up-tower, from mending rotor leads and unevenly worn slip rings to replacing leads, bus bars and insulators in the wake of an electrical explosion.

Enhancement	White Etch	Inclusions	Smearing
Steel Cleanliness	+	+++	
Black Oxide	+ (early stages)		++ (early stages)
'DLC' Coatings	+		+++
Case Carburized	+++	++	

High-speed bearing enhancements. Source: Timken.

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Some types of repairs remain beyond the scope of up-tower work, Vezina admitted. In one case, he said, a borescope inspection revealed worn windings on a generator. "With this type of failure there is nothing we can do in the uptower environment," he said.

Whether repairs are ultimately carried out up-tower or not, a key challenge for wind farm operators is to reduce the lead time for remediation, or the interval between a fault being detected and it being fixed.

"One of the things I've been asked several times is 'how much time do I have?" said Reynir Hilmisson, a lead global remote monitoring and diagnostic engineer for Brüel & Kjær Vibro, which provides condition monitoring systems (CMS) for the wind industry.

But defining that lead time "is not an easy thing," he said, for a number of reasons.

For example, sometimes CMS data can hint at the presence of a fault that is not apparent during a visual inspection. In such a case, there could be a delay in repairs while a second inspection is carried out.

Similarly, in some cases it might not be possible to carry out repairs because of weather conditions. Even with these external factors, though Hilmisson said it would repay asset owners to install CMS.

"A lot of people think it's too much hassle," he said, but "with one, we did in the installation in three months and the payback was in four months."



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TIMKEN

Lessons Learned: Common Wind Turbine Bearing Failures, Costs & Solutions

Presented by Richard Brooks Wind O&M Canada 2016



FOUR COMMON WIND DRIVETRAIN FAILURE MODES

- Main shaft Spherical Roller Bearing in Modular Turbines
- Main shaft Tapered Roller Bearing in Direct Drive Turbines
- Gearbox High Speed Bearing
- Gearbox Planet Bearing

- Not Covered Today:
 - Generator Bearing
 - Pitch Bearing



BEARING TYPE COMPARISON

- Spherical Roller Bearing
 - Radial Internal Clearance (RIC)
 - Line Contact (mostly)
 - +Radial Load
 - + Thrust Load <25%



- Cylindrical Roller
 Bearing
 - Radial Internal Clearance (RIC)
 - Line Contact
 - +Radial Load
 - Thrust Load (<10%)
 - -- Misalignment



CRB

- Tapered Roller Bearing
 - Can be Pre-Loaded
 - Line Contact
 - +Radial Load
 - ++ Thrust Load
 (100%+)



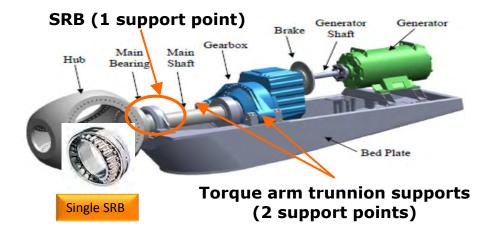
TRB



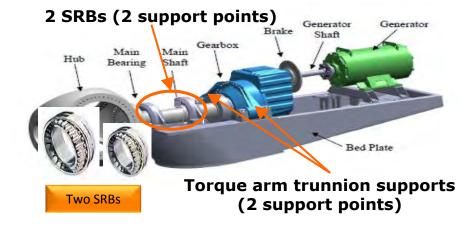
MAIN SHAFT - SPHERICAL ROLLER BEARING ARRANGEMENTS

3-Point Mount

4-Point Mount



Highest failure rate



Failures less common, but can happen on Downwind bearing

Main Shaft – Spherical Roller Bearing Failure Financial Impact

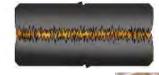
- Occurrence Rate: Medium
 - Varies by turbine & site conditions
 - Typical L10* \approx <10 years
- Total Cost: High
 - Requires crane & rebuild in a shop
 - Some turbine models also require gearbox removal
- Possible Consequential Damage:
 - Gearbox damage from excessive thrust



MAIN SHAFT SRB — OBSERVED DAMAGE

Micropitting











Edge Loading







Cage Damage









Debris Damage





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MAIN SHAFT SRB

PREVENTION

- Cannot be fully prevented: Inherent to the design
- Lubrication:
 - Follow or exceed OE recommended quantities and frequency
 - Consider a purge or flush after several years based on condition

DETECTION

- Temperature trend looking for park outliers
- Grease sampling for Iron content
- Vibration (may require special algorithms)



SOLUTIONS: MAIN SHAFT SRB

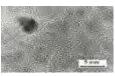
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Texturing



Coating





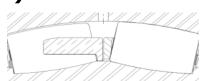


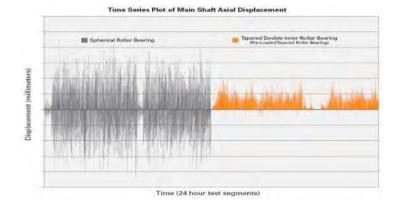
SOLUTIONS: MAIN SHAFT SRB

#2: RE-DESIGN - REPLACE WITH TRB

Spherical Roller Bearing (SRB)

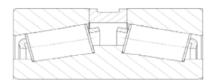
- Internal clearance
- Limited thrust capability
- Helpful with misalignment
- Not true rolling motion





Tapered Roller Bearing (TDI)

- Preloaded
- High thrust and radial capacity
- Must control misalignment
- True rolling motion



A reduction of axial thrust into gearbox by 67% will decrease stress.

This can increase bearing and gearbox life.

TIMKEN

Main shaft - Tapered Roller Bearings in Direct Drive





Taper plus CRB



Single Row Taper



Double Row Taper

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10¹⁰

Stronger. Commitment. Stronger. Value. Stronger. Worldwide. Stronger. Together. | Stronger. By Design.

MAIN SHAFT – TRBS IN DIRECT DRIVE FINANCIAL IMPACT

- Occurrence Rate: Low
 - Varies by turbine & site conditions
 - Typical L10* ≈ ? years
- Total Cost: High
 - Requires crane & may require rebuild in a shop
- Possible Consequential Damage:
 - Generator damage



MAIN SHAFT, DIRECT DRIVE TRBS— OBSERVED DAMAGE

- Damage from loss of Pre-Load
 - Typically caused by assembly



Often design related





TIMKEN

MAIN SHAFT, DIRECT DRIVE TRBS

PREVENTION

- Cannot be fully prevented: Inherent to the design
- Keep bolts tensions on some models
- Lubrication:
 - Follow or exceed OE recommended quantities and frequency

DETECTION

- Temperature trend looking for park outliers
- Grease sampling for Iron and Cage material content
- Check bolt tension on some models
- Vibration (very difficult due to generator interference)



SOLUTIONS

- Highly turbine dependent
 - Often includes new bearing design
- Usually an OE only solution



WIND GEARBOX BEARINGS

Planet Carrier & Low Speed Positions:

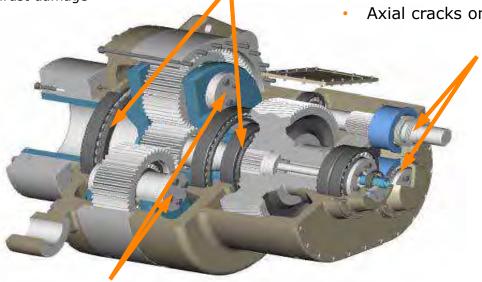
Low occurrence rate

Thrust damage

High Speed & Intermediate Results:

High occurrence rate

Axial cracks on inners

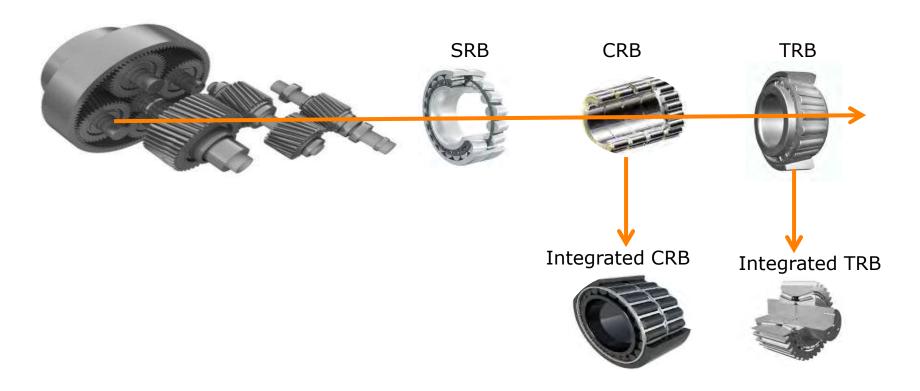


Planetary positions:

- Medium occurrence rate
- Debris & load damage

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PLANET BEARING ARRANGEMENTS



GEARBOX PLANET BEARING FINANCIAL IMPACT

- Occurrence Rate: Medium
 - Varies by turbine & Gearbox model
 - Typical L10* \approx 10 years
- Total Cost: Medium
 - May require crane & rebuild in a shop, but more being done up-tower
 - Some turbine models also require main shaft removal
- Possible Consequential Damage:
 - Damage to the rest of the gearbox from debris



PLANET BEARING - OBSERVED DAMAGE MODES

	Non-Integrated	Integrated
TRB	Debris damageCreeping Outer	Debris damage
CRB	Debris damageThrust loadHeavy / Uneven loadingSmearingCreeping outer	Debris damageThrust LoadingSmearing





GEARBOX PLANET BEARINGS

PREVENTION

Monitor main bearings for excessive wear

DETECTION

- Oil testing sampling for Iron content, online preferred
- Vibration analysis, online preferred
- Check position of torque arms in mountings



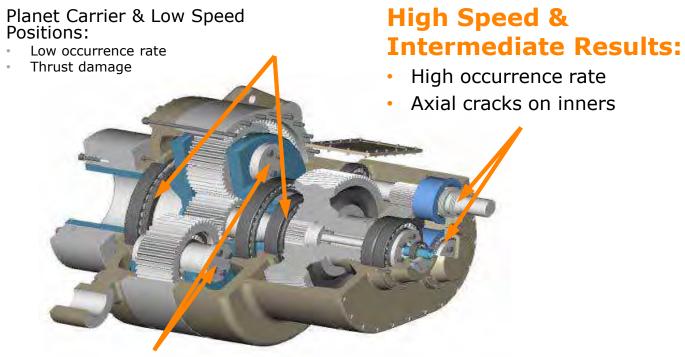
SOLUTIONS

- SRB
 - Replace with new design
- CRB
 - Case carburized
 - DLC / Black Oxide coating
 - Modified controlled clearances
- TRB
 - Case carburized
 - Integrated design





WIND GEARBOX BEARINGS

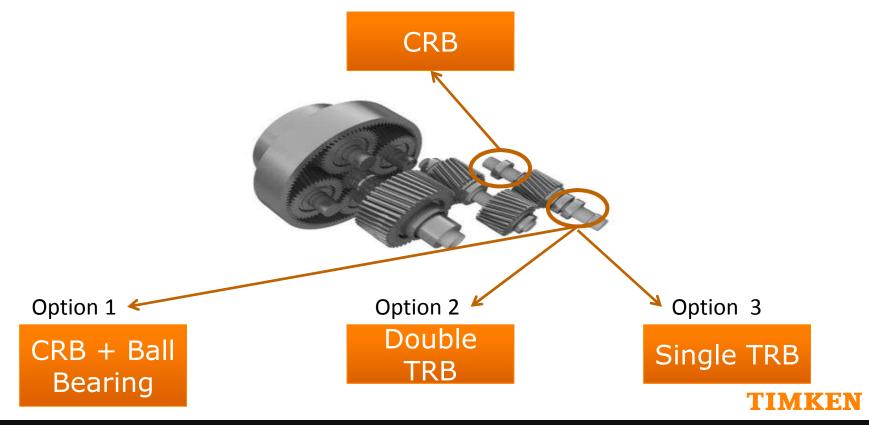


Planetary positions:

- Medium occurrence rate
- Debris & load damage

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HIGH SPEED AND INTERMEDIATE COMMON GEARBOX BEARING ARRANGEMENTS

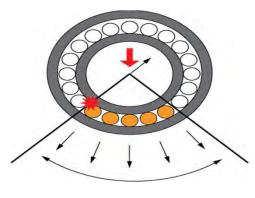


GEARBOX HIGH SPEED BEARING FINANCIAL IMPACT

- Occurrence Rate: High
 - Varies by turbine & Gearbox model
 - Typical L10* ≈ 5 years
- Total Cost: Low
 - Typically performed up-tower
- Possible Consequential Damage:
 - Damage to the rest of the gearbox from debris



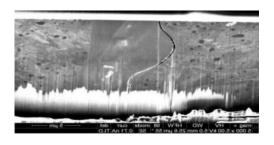
HIGH SPEED & INTERMEDIATE BEARINGS - OBSERVED DAMAGE MODES



Smearing



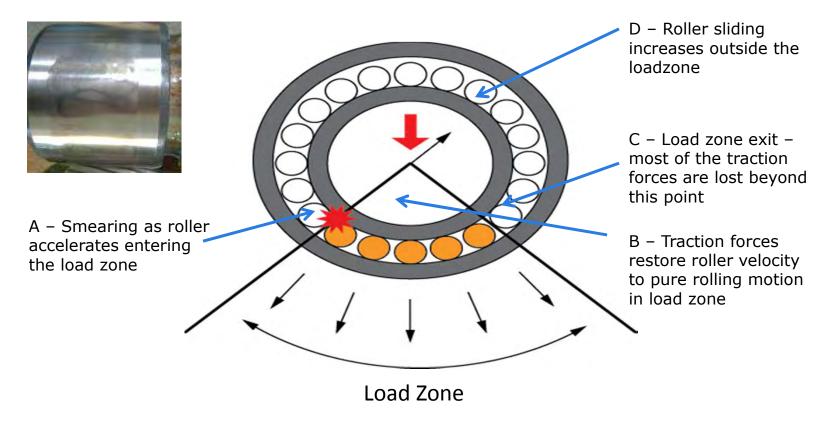
Inclusion Axial Cracking/Spalling



White Etch Area Axial Cracking/Flaking



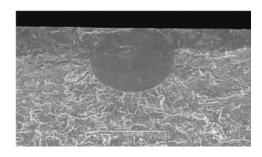
ROLLER SLIDING/SMEARING IN CRB

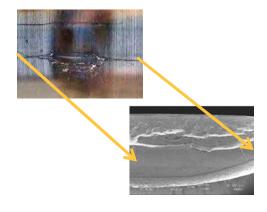


INCLUSION RELATED AXIAL CRACKING

- Caused by non-ferrous contaminants in the steel
- Commonly manifests as white etch butterflies
- Material transforms in the area of the inclusion
- Crack forms and prorogates to the surface or spalls



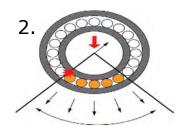


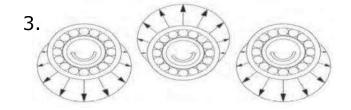


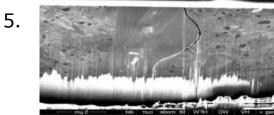


WEB ETCH AREAS CRACKS

- 1. Hoop stress from original press fit on shaft
- Tensile stresses from roller sliding & skewing
- Dynamic conditions begin the subsurface transformation 3.
- 4. Crack forms in the heard & brittle transformed area
- 5. Crack propagates to the surface





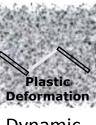


Plastic Deformation





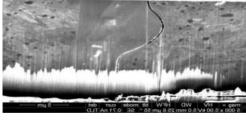
Normal Load



Dynamic **Event**



Load





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GEARBOX HIGH SPEED BEARING

PREVENTION

- Maintain good lubrication practices- avoid too much water
- Torque limiting devices?

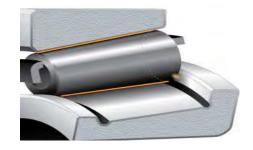
DETECTION

- Vibration analysis, online preferred
- Oil testing sampling for Iron content, online preferred



SOLUTIONS: HIGH SPEED BEARING

Enhancement	White Etch	Inclusions	Smearing
Steel Cleanliness	+	+++	
Black Oxide	+ (early stages)		++ (early stages)
'DLC' Coatings	+		+++
Case Carburized	+++	++	







TIMEN



3rd Annual Wind O&M Canada 2016

Lead-time to drivetrain component failure and the impact of your O&M strategy

Nov. 29-30, 2016





A SHORT INTRODUCTION TO BRÜEL & KJÆR VIBRO



- 60+ years of experience in Condition Monitoring
- Pioneers within the wind turbine monitoring industry
- First CMS solution installed in 1999
- Thousands of wind turbines monitored daily
- World-wide remote diagnostics coverage via centers in the US, China and Denmark





"LEAD-TIME" IN PREDICTIVE MAINTENANCE DEMYSTIFIED

WHY CONDITION MONITORING (CMS) FOR WIND TURBINES?

- 1. No catastrophic failures
- 2. More efficient use of cranes
- 3. Reduction of downtimes
- 4. AEP

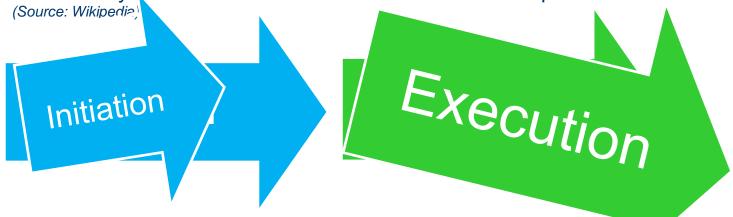






Lead-time =

"The latency between the initiation and execution of a process."



The <u>early detection</u> of a fault

The <u>replacement</u> of the dama component.



Lead-time to failure depends on

a) The component/failure mode.

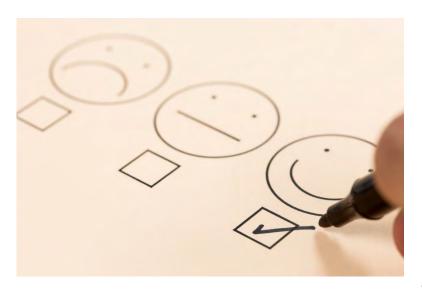
Example: Multi-stage gearbox





Lead-time to failure depends on

b) The quality of the service provider and the information they deliver





Case:



Windpark I – coming out of warranty; O&O "A"

Developing fault reported

Second inspection

Fault confirmed, replacement planned

Secondary damages and major repair due to delay in spare part delivery



Windpark II; past warranty; O&O "B"

Developing fault reported

Limited access to site

Replacement scheduled based on weather conditions, not on remaining life-time

9

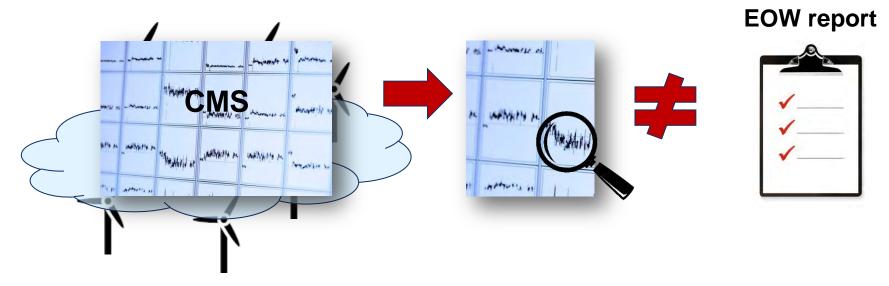
The planning after a fault has been detected early depends on the O&M strategy

"Lead-time" ≠ "Lead-time"!

EARLY FAULT DETECTION AND THE EYE OF THE BEHOLDER



Case:



CMS:

- Optimum O&M activities
- Critical input to the O&O's planning/scheduling process
- Fleet meets and exceeds its design life









MAINTENANCE STRATEGY MODELS



Owner & Operator

Initiation (Fault detection)

Execution (Maintenance & Repair)



Owner & Operator

Service provider A

Service provider B

Initiation (Fault detection)

Execution (Maintenance & Repair)



Service provider



Owner & Operator

Initiation (Fault detection)

Execution (Maintenance & Repair)



TAKE AWAYS & KEY LEARNINGS



When you are handed the keys by your OEM at the end of

warranty,

do you <u>really</u> know how your turbines are doing?

Are your service partners

- ☐ Independent (no conflict of interest)?
- ☐ Unbiased and provide unrestricted information?
- ☐ Available 24/7 and provide local support?
- ☐ An experienced team?

IT IS NOT ALL ABOUT PRICING BUT RATHER ABOUT PROTECTING YOUR INVESTMENT!

FINAL THOUGHTS

Lead-time to drivetrain component failure depends on:

- Your O&M strategy
- ☐ The quality of your partner(s) with respect to their product, skillset and proven record
- ☐ The knowledge you have about your assets and available resources!

CMS Benefits:

- ☐ Compelling value propositions to everyone (Owners & Operators, OEMs and service providers)
- Deployments can be made <u>easy</u>, bringing instant **business** value!
- ☐ The right CMS solution not only protects your assets, it guarantees a quick payback!



THANK YOU FOR YOUR ATTENTION



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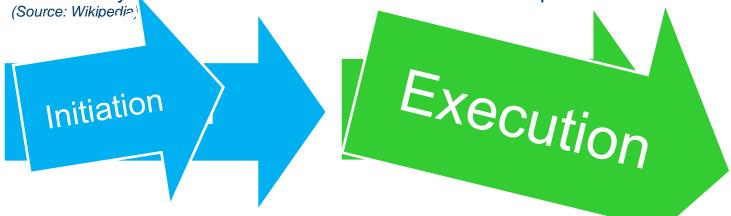






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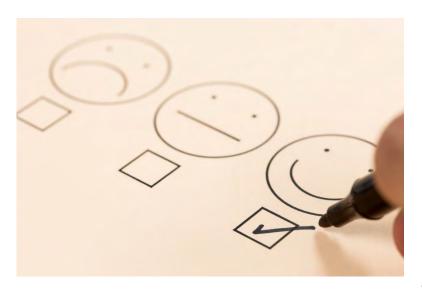
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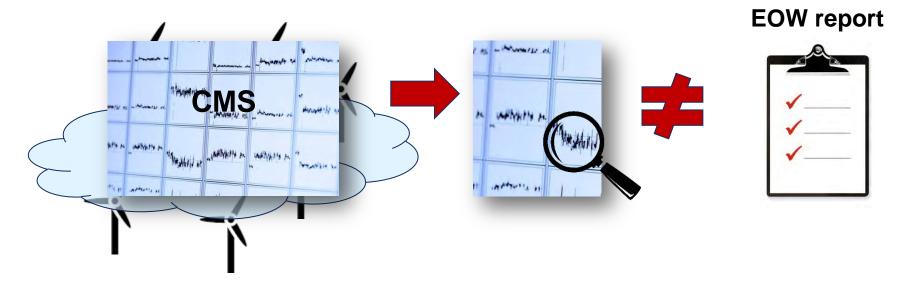
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MAINTENANCE STRATEGY MODELS



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Execution (Maintenance & Repair)



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Execution (Maintenance & Repair)



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THANK YOU FOR YOUR ATTENTION

DELOM (

Understanding Uptower Generator Diagnostics & Repairs



ALEXANDRE VÉZINA, P.ENG.
TECHNICAL MANAGER WIND DIVISION

DELON

AGENDA

- 1. WIND GENERATOR'S FABRICATION
- 2. ELECTRICAL TESTS
- 3. CASE STUDIES



2. Wind generator's fabrication

Stator

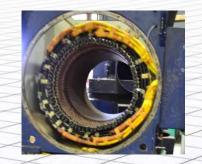










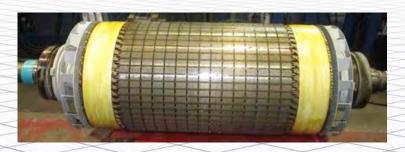


2. Wind generator's fabrication

<u>Rotor</u>











DELOM (

2. Wind generator's fabrication

Slip ring cabinet







3. Electrical tests

Ground insulation





Between turns insulation







3. Electrical tests

Ground insulation

- Megger
- Polarisation index (PI)
- Step Voltage (SV)
- Dielectric discharge (DD)
- ➤ Hi-Pot







Between turns insulation

- Winding resistance
- Winding impedance
- Surge





3. Electrical tests

How to determine if the generator can be repaired uptower?

- Lockout Tagout
- Perform electrical tests to ground
- Perform electrical tests in-between turns
- Depending on the findings:
 - Visual & borescope inspection inside the generator
 - Vibration analysis
 - Slip-ring, brushes and brush holders verification
 - Clean the contamination





4.1 Electrical failure on rotor

Inspection requested by a customer



- Wind turbine tripping during high wind / full production
- Generator's rotor failure suspected

4.1 Rotor leads upgrade

Electrical tests

Rotor winding	Results
Megger reading	150 ΜΩ
PI	2,3

Rotor winding	Impedance
L1 – L2	14,5 Ω
L2 – L3	14,0 Ω
L1 – L3	16,2 Ω

4.1 Rotor leads upgrade

Borescope inspection





<u>Anomalies found</u>: Rotor leads movement

Wye joint connexion heavily bent

4.1 Rotor leads upgrade

Electrical tests results

Rotor winding	Results
Megger reading	1250 MΩ
PI	3,2

Rotor winding	Impedance *
L1 – L2	16,0 Ω
L2 – L3	16,2 Ω
L1 – L3	16,0 Ω

Note: After replacing the bent rotor connexion

Solutions: Replace & solidify the rotor leads uptower Replace the Wye ring uptower

4.2 Failed rotor

<u>Inspection requested by a customer</u>



- Wind turbine will not start
- Generator's rotor failure suspected

4.2 Failed rotor

Borescope inspection



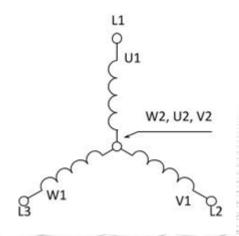


4.2 Failed rotor

Electrical tests

Rotor winding	Results
Megger reading	200 ΜΩ
PI	2,3

Rotor winding	Impedance
L1 – L2	24,6 Ω
L2 – L3	24,3 Ω
L1 – L3	24,8 Ω



VERDICT: Repairable uptower

4.3 Unevenly worn slip ring

Picture received from customer



Anomalies found

- Slip ring needed to be changed every 1-2 months
- Condition getting worst over time
- Uneven wear on the 3 rings and 3 brushes

4.3 Unevenly worn slip ring

Electrical verifications

Rotor winding	Results
Megger reading	> 5000 MΩ
PI	3,7

Stator winding	Results
Megger reading	> 5000 MΩ
PI	4,4

Rotor winding	Impedance
L1 – L2	16,8 Ω
L2 – L3	16,8 Ω
L1 – L3	17,0 Ω

Stator winding	Impedance
L1 – L2	1.52 Ω
L2 – L3	1.52 Ω
L1 – L3	1.52 Ω

No problem found on the generator windings

4.3 Unevenly worn slip ring

Mechanical verifications

ODE shaft runout	Results
Upon arrival	0,0030 in
After 24hr cooldown	0,0010 in
After 1000rpm no load run	0,0035 in



Solution: Solidify the stub shaft uptower

4.3 Unevenly worn slip ring

Final results



- Steady runout of < 0,001 in after repair</p>
- Back to normal brush wear after 1 year of operation

4.4 Short circuit in stator leads

Pictures received from customer



Anomalies found

Electrical explosion inside the stator connexion box

4.4 Short circuit in stator leads

Electrical verifications

Stator winding	Results
Megger reading	17 000 ΜΩ
PI	2.6

Stator winding	Impedance *
L1 – L2	0.75 Ω
L2 – L3	0.76 Ω
L1 – L3	0.76 Ω

Note: After cutting the damaged leads

Solution: Leads replacement uptower



4.4 Short circuit in stator leads

Final results



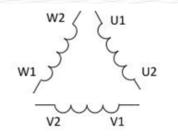
- 12 leads replaced and identified
- 1 bus bar and 6 insulators replaced
- Complete electrical tests after welding

4.5 Failed stator



Electrical tests received from customer

Stator winding	Megger reading
L1 - Ground	1,4 ΜΩ
L2 – Ground	1,5 ΜΩ
L3 – Ground	1,3 ΜΩ



4.5 Failed stator

Borescope inspection



VERDICT: Replace the generator and rewind the stator in shop

QUESTIONS?



THANK YOU!





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