# CSE 535: ASYNCHRONOUS SYSTEMS Byzantine Chain Replication Phase 1: Pseudo-code for HMAC Shuttle

# **Assumptions:**

- We assume that, at the start of the system, there is a configuration already in place. Every process will be given a set of configs directly like public-private keys of replicas, clients and olympus.
- 2) Each entity has the required public keys of other entities and its own public and private keys.
- 3) In case of client getting invalid result proof, we wait for correct responses by dropping the incorrect one. After receiving t + 1 incorrect responses, we consider it to be proof of misbehavior and send the reconfig request.
- 4) In case of replica getting invalid result proof or order proof, we consider it to be proof of misbehavior and send the reconfig request.

# **Message Types**

Below are the different messages that we will be using throughout the pseudo code. Each message is associated with it's getter function which will generate the message and return a new Message object. The getters bundle all the message data in a map(key-value pair) so that it can be easily retrieved by the receiver using the keys.

# i) <ORDER\_MESSAGE>

```
// Message sent from client to the head to request result of an operation
        {
               type: "order",
               sender id: id of the client
               reg id: id assigned by client to uniquely identify new request from
        retransmission.
               operation: operation requested by the client
        getOrderMessage(oper) : //this method returns a <ORDER_MESSAGE>
                //a variable incremented every time this function is called.
               // It maintains state throughout the execution
               req id++
               return Map(
                       "type": "order".
                       "sender id": this.ID // id of client object calling the method
                       "req id": req id,
                       "operation": oper
               )
```

.....

```
ii) <ORDER_SHUTTLE_MESSAGE>
// Message sent from replica to another replica to forward the order shuttle
        {
               type: "order shuttle"
               slot_no: slot-no
               operation: operation
               req_id : request id of the operation
               order_proof : order proof of the replica
               result: result of the operation obtained by this replica
               result proof: {} result proof of the operation
        }
        getOrderShuttleMessage(slot_no, oper, req_id, order_proof, res, res_proof)
        // this method returns the <ORDER_SHUTTLE_MESSAGE>
               return Map(
                       "type" : "order shuttle"
                       "slot no": slot-no
                       "operation": oper
                       "req id": req id
                       "order_proof" : order_ proof
                       "result" : res
                       "result proof": res proof
               )
iii) <RETRANSMISSION_MESSAGE>
// Message sent from client to replicas to request result of an operation that it had sent
earlier
// OR from replica to head to request to start a operation if replica doesn't find the req id in
their cache
        {
               type: "retransmit"
               sender_type: "client"/"replica" // used to identify who sent the request
               // denotes a client id if Sender type == client else represents a replica id
               sender id: id of the client/replica
               req_id : request if of the old message
               operation: operation to be executed
        getRetransmissionMessage(sender_type, req_id, oper)
        //this method returns the <RETRANSMISSION_MESSAGE>
               return Map(
                       "type": "reconfiguration",
                       "sender_type": sender_type,
                       "sender_id": this.id // calling object's id
                       "req id": req id,
                       "operation": oper
```

```
iv) < RESULT MESSAGE>
//Message sent from replica to replica in a result shuttle OR
// from replica to client in case of retransmission OR
// from tail to replica to pass the result and result_proof of the operation
               type: "result",
               sender_id: //id of the replica sending the message.
                req_id: //request id of the operation,
                operation: //operation sent by client
                result: //result of the operation,
                result_proof : {} //Every replica adds the hash of its result to the result_proof
        getResultMessage(req_id, operation, result, result_proof)
        //this method returns a <RESULT_MESSAGE>
                return map(
                       "type": "result",
                       "sender_id": this.id //calling replica's id
                       "req id": req id,
                       "operation": operation,
                       "result": result,
                       "result_proof": result_proof
               )
v) < ERROR_MESSAGE>
// Message sent by replica to client in case of timeout
               type: "error"
                sender_id: id of the replica
        getErrorMessage()
        //this method returns the <ERROR_MESSAGE>
                return Map(
                       "type": "error"
                       "sender_id": this.id // calling object's id
               )
```

)

```
vi) < CONFIGURATION_REQUEST_MESSAGE>
// Message sent by client/replica to olympus to request for configuration
        {
               type: "configuration req"
               ID: // id of the client/replica
               sender_type : client/replica
        getConfigurationRequestMessage(sender type)
        // this method returns <CONFIGURATION_REQUEST_MESSAGE>
               return Map(
                      "type": "configuration req"
                      "ID": this.ID // calling object's ID
                      "sender_type": sender_type
               )
vii) < RECONFIGURATION_MESSAGE>
//Message sent from client/replica to olympus to request new configuration
// as the sender suspects faulty replica or error in the system
        {
               type: "reconfiguration"
               sender type: "client"/"replica" // used to identify who sent the request
               // denotes a client id if Sender type == client else represents a replica id
               sender id: id of the client/replica
               proof of misbehavior: //(result, result proof) tuple to be sent by the client,
if sender is replica, then this will be null
        getReconfigurationMessage(sender_type, result)
        //this method returns the <RECONFIGURATION_MESSAGE>
               return Map(
                       "type": "reconfiguration",
                       "sender type": sender type,
                       "sender_id": this.id // calling object's id
                      "proof of misbehavior": result
               )
```

------

```
viii) < CONFIGURATION_MESSAGE>
// Message sent by olympus to client/replica to send current configuration
              type: "configuration"
              cur_config : current configuration of the system
       getConfigurationMessage()
        //this method returns < CONFIGURATION MESSAGE>
              return Map(
                     "type": "configuration",
                     "cur config": olympus.cur config
              )
ix) <WEDGE_MESSAGE>
// Message sent by olympus to replicas to request for their history
              type: "wedge"
       getWedgeMessage() //this method returns the <WEDGE_MESSAGE>
               return Map(
                     "type": "wedge"
x) <WEDGED_MESSAGE>
// Message from replica to the olympus to send their history in response to
 <WEDGED_MESSAGE>
       {
              type: "wedged"
              Sender id: //id of the replica sending the message
              History: //history of the replica sending the message
              Checkpoint: //checkpoint of the replica sending the message
       getWedgedMessage() //this method returns the wedgedMessage
              return Map(
                     "type": "wedged",
                     "sender_id": this.id, //calling replica's id
                     "history": this.history, //calling replica's history
                     "checkpoint": this.checkpoint, //calling replica's checkpoint
              )
```

```
xi) < CHECKPOINT_MESSAGE>
// Message sent by replica's to other replicas when checkpointing proof is initiated by head
       {
              Type: "checkpointing request",
              ID: "id of the replica",
              History: a list of order histories from each replica,
              Hash: a hash of the history set from each replica as a checkpoint proof
       getCheckpointRequestMessage(history set, hash)
       // this method returns <CHECKPOINT_REQUEST_MESSAGE>
              return Map(
                     "History": history_set
                     "Hash": hash
              )
xii) < CHECKPOINT_COMPLETE_MESSAGE>
// Message sent by replica's to other replicas when checkpointing is completed from tail
and all replica's can delete their prefixes.
              Type: "checkpoint complete",
              ID: "id of the replica"
       getCheckpointCompleteMessage(id)
       // this method returns <CHECKPOINT_COMPLETE_MESSAGE>
       return Map(
              Type,
              ID = id
       )
xiii) <CATCHUP_MESSAGE>
// Message is send by olympus to quorum of replica
       {
              Type: "catchup",
              Operation: "Difference of operations -> (Longest History - current replica
history)"
       getCatchupMessage(operations)
              return Map(
              "type": "catchup",
              Operation: operations
       }
```

```
xiv) <CAUGHTUP_MESSAGE>
// Message is send by replica to olympus
             Type: "caughtup",
             Hash_state: "Hash of the state at replica"
      getCaughtupMessage(Hash_state)
             return Map(
                    "type": "caughtup",
                    "Hash state": Hash state
             )
xv) <GET_RUNNING_STATE_MESSAGE>
// Message is send by Olympus to replica in quorum
      {
             Type: "get running state message",
       getGetRunningStateMessage()
             return Map("Type": "get running state message")
xvi) < RUNNING_STATE_MESSAGE>
// Message is send from a replica to the olympus
      {
             Type: "running state message",
             RunningState: "Running state of the replica"
       getRunningStateMessage(running_state)
             return Map(
                    "Type": "running state message",
                    "RunningState": running_state
             )
```

#### **Common Methods**

i) H(message): hash function used to check the integrity of the message

ii) E(key, msg): encrypts message with the key

iii) De(key, encrypted\_msg): decrypts encrypted message using key

#### Pseudo-code for Client

# **Objects:**

i) client config: contains the client specific details

ID: unique id to identify the client private key: private key for client public key: public key for client

- ii) replicas public keys: list of public keys of all replicas
- iii) olympus public key: public key of olympus.
- iv) cur\_config : current config obtained from olympus that specifies the number of replicas, their order, head and tail of the current chain and quorum of replicas (correct representatives).

#### Methods:

i) executeOperation(op) : start a new transmission

Start timer

// gets current config from the olympus

send message(olympus, getConfigurationRequestMessage("client"))

Await for **<CONFIGURATION\_MESSAGE>** from the olympus.

order msg = getOrderMessage(op);

encrypted msg = E(replicas public keys(cur config.head), order msg)

Send encrypted msg to head

Start a seperate thread to check for new config and return to main thread

Await for **<RESULT\_MESSAGE>** response from the tail

decrypted res = De(private key, response)

if( isValidResponse(decrypted\_res) == false)

//response is invalid and is received from tail

if(decrypted res.sender id == cur config.tail)

//Send reconfig req message to Olympus

reconfig\_msg = getReconfigurationMessage(

"client", (result, result proof))

encrypted\_msg = E(olympus\_public\_key, reconfig\_msg)

Send encrypted\_msg to olympus

Await for **<CONFIGURATION\_MESSAGE>** from the olympus.

```
Restart the process
       //invalid response is not from tail
       else
               keep waiting as this was sent by a bogus replica
//start a retransmission
If timer expires before receiving response
       retrans msg = getRetransmissionMessage(
              "client", order msg.reg id, op)
       For each replica r
              encrypted msg r = E(replicas public keys[r], retrans msg)
              send encrypted msg r to replica r
       found response = false
       responses count = 0
       invalid responses = 0
       Await <RESULT_MESSAGE> i.e. response r from replica r
       // if we havent yet found the valid response
       // and received t+1 invalid responses,
       // then it constitutes proof of misbehavior
       while(found response == false &&
               responses count != cur config.replicas count &&
              Invalid responses <= cur config.failures handled + 1)
              )
              responses count++
              // check if the received reply is a valid result
              if(isValidResponse(response r, false))
                      found response = true
              Else
                      invalid responses++
       if(found response)
              Discard all responses
       // received all the responses but haven't found the valid response
       // In this case, we take reconfiguration from olympus
       // and restart process.
       // There can be four follow up cases after restarting:
       // case 1: There is no configuration and client will end up in here repeatedly
       // In this case, we assume that at some point, configuration will come
       // and it will eventually get the result and end the process.
       // case 2: olympus will change the reconfiguration
       // and eventually client will get the result
       else
              reconfig_msg = getReconfigurationMessage(
                             "client", (result,result_proof))
              encrypted msg = E(olympus public key, reconfig msg)
              Send encrypted_msg message to Olympus
```

# Await for **<CONFIGURATION\_MESSAGE>** from the olympus. Restart the process

return false

# Pseudo-code for Replicas

```
Objects:
i) replica config: contains the replica specific details
        ID: unique id to uniquely identify replicas
       private key: private key for replica
        public_key: public key for replica
ii) replicas_public_keys : list of public keys of all other replicas
iii) olympus public key: public key of olympus.
iv) cur config: current config obtained from olympus that specifies the number of replicas,
their order.
                head and tail of the current chain.
v) clients public keys: list of public keys of all clients
vi) History: list of all the order proofs of this replica and the replicas preceding it.
vii) Result cache: list of all the (reg id, operation, result, result proof) tuple
viii) cur mode: PENDING, ACTIVE or IMMUTABLE // cur mode of the replica
ix) persistent_order_no: This variable will specify the index upto which order statements,
the result shuttle has been received.
x) checkpoint:
        A list of checkpoint history.
xi) prev replia: id of prev replica in the chain, null for head
xii) next replica: id of next replica in the chain, null for tail
Methods:
i) getSlot()
        For i=presistent order no; i++
               If slot s at i is free
                       return s:
ii) isHead()
       // if current replica is head
        if (ID == cur config.head)
                return true
        return false
iii) isTail()
       // if current replica is tail
        if (ID == cur config.tail)
               return true
        return false
```

# iv) processRequest():

//this will be the main method that waits and receives all the messages of the olympus.

Await for a message Decrypted\_msg = De(msg)

```
//Based on decrypted_msg .type, call appropriate function
      switch (decrypted_msg)
             case <ORDER MESSAGE> or <ORDER SHUTTLE MESSAGE> :
                    executeOrder(decrypted msg)
             case <RESULT_MESSAGE> : cacheResult(decrypted_msg)
             case < RETRANSMISSION MESSAGE > :
                    executeRetransmission(decrypted msg)
             case <WEDGE MESSAGE> : executeWedge(decrypted msg)
             case <INIT HIST MESSAGE> : executeInithist(decrypted msg)
             case <CHECKPOINT_MESSAGE>: executeCheckpointing(msg)
             case <CATCHUP MESSAGE>: executeCatchup(msq)
             case<GET_RUNNING_STATE_MESSAGE>:
                          executeGetRunningState(msg)
v) executeOrder(msg)
// irrespective of the mode of the cache, if the result is found in cache, send the result
from the cache
      If result for operation found in result cache
             return encrypted cache entry
// if replica is in immutable state, don't send anything and drop the msg.
      If mode == IMMUTABLE
             return
// if head, get the slot, generate the order statement and the order proof
      if (isHead() == true)
             s = getSlot()
             o = msg.operation
             req id = msg.req id
             order_stmt = (s, o)
             // every replica signs the statement with its private key
             order proof = signStatement(order stmt, replica)
      // for any other replica, message has all the details
      else
             s = msg.slot no
             o = msg.operation
             req id = msg.req id
             order_stmt = (s, o)
             order proof = msg.order proof
      // if valid order proof then make the result proof
      If isValidProof(msg.order, order proof) == false
             //Send reconfig_req message to Olympus
             reconfig msg = getReconfigurationMessage("replica", null)
             encrypted_msg = E(olympus_public_key, reconfig_msg)
             Send encrypted_msg to olympus
      else
             Perform operation o
```

```
order_proof.add(signStatement(order_stmt, replica))
       result = result of operation o
       Result stmt = signStatement(result)
       // if it's the head, then create a new result proof
       if (isHead() == true)
              Result proof = { Result stmt }
       else
              result proof.add(Result stmt)
       // if tail, end shuttle and send the result to client and the prev replica
       if(isTail() == true)
              res msg = getResultMessage(
                            req_id, o , result, result_proof)
              encrypted msg = E(clients public keys[msg.sender id],
                                    res msg)
              Send encrypted_msg to client
              encrypted msg = E(replicas public keys[prev replica],
                                    hashed msg)
              Send encrypteded_msg to prev_replica
              result cache.add((req id, s, o, result, result proof))
       // if any other replica, forward shuttle
       else
              shuttle msg = getOrderShuttleMessage(
                     s, o, req_id, order_proof, result, result_proof)
              encrypted msg =
                     E(replicas public keys[next replica], shuttle msg)
              Send encrypted msg to next replica
vi) executeRetransmission(msg):
       If (msg.req id, msg.operation) in result cache
              Get result from cache
              res msg = getResultMessage(
                     req_id, operation, result, result_proof)
              encrypted msg = E(clients public keys[msg.sender id],
                                    res msg)
              Send encrypted msg to client
       Else If mode == immutable
              error msg = getErrorMessage()
              encrypted_msg = E(clients_public_keys[msg.sender_id],
                                     error_msg)
              Send encrypted_msg to client
              return
      // Else if not head, forward request to the head and start timer
       Else if isHead == false
              Start timer
```

```
encrypted_msg = E(replicas_public_key[head], msg)
              Send encrypted msg to head
              If result_shuttle did not arrive before timer expires
                     err_msg = getErrorMessage()
                     encrypted_msg = E(clients_public_keys[msg.sender_id],
                                           err_msg)
                     Send encrypted_msg to client
                     return
       // do the following if replica is head
       else
              //if operation is found in history, wait for result shuttle
              if (msg.req_id, msg.operation) in history
                     Start timer
                     If result shuttle did not arrive before timer expires
                     err_msg = getErrorMessage()
                     encrypted msg = E(clients public keys[msg.sender id],
                                           err msg)
                     Send encrypted_msg to client
                     Return
              // this operation seems unrecognized. So start entirely.
              else
                     Start timer
                     execute operation(o)
                     If result shuttle did not arrive before timer expires
                            err msg = getErrorMessage()
                            encrypted_msg = E(
                                           clients public keys[msg.sender id],
                                           err msg)
                            Send encrypted_msg to client
                            return
vii) cacheResult(msg)
If isValidProof(msg.result, msg. result proof) == false
       //Send reconfig_req message to Olympus
       reconfig msg = getReconfigurationMessage("replica", null)
       encrypted msg = E(olympus public key, reconfig msg)
       Send encrypted msg to olympus
       else
              Result_cache.add( [msg.req_id, msg.result, msg.result_proof] )
              Persistent order no++
              res_msg = getResultMessage(
                     reg id, operation, result, result proof)
              encrypted_msg = E(clients_public_keys[msg.sender_id],
```

```
res_msg)
              If timer is ON
                     send message(
                            client,
                            signStatement(encrypted_msg, replica)
                     )
                     Stop timer
              encrypted msg =
                     E(replicas_public_key[prev_replica], hashed_msg)
              send_message(
                     prev replica,
                     signStatement(encrypted msg, replica)
              )
viii) executeWedge()
       becomeImmutable()
       W = {this.History, this.checkpoint}
       w msg = getWedgeMessage(W)
       encrypted_msg = E(olympus_public_key, w_msg)
       Send encrypted msg to olympus
ix) executeInithist(msg)
              For each <s,o> from msg.history
                     If each<s,o> not in this.history
                            Perform operation o
              becomeActive()
x) signStatement(statement, replica)
       Return E(replica.private key, H(statement))
// This function will be running on a separate thread at head replica that will
periodically start checkpointing
xi) startCheckpointing()
       If replica == head
              History = []
              checkpoint history = { }
              last checkpoint = last checkpoint in History
              For each order beyond last checkpoint in History
                     checkpoint history.add(order)
              history[r] = checkpoint_history
              // Send message signStatement(statement, replica) to next_replica
              send_message(
                     next_replica,
                     getCheckpointRequestMessage(history, H(history))
              )
```

```
xii) executeCheckpointing(msg)
       Decrypted msg = De(msg, replica.private key)
       if(decrypted_msg == msg.hash)
              History = []
              checkpoint history = { }
              last_checkpoint = last checkpoint in History
              For each order beyond last checkpoint in History
                      msg.history[r].add(order)
              // if its tail, completed checkpoint proof is send back to all replicas
              // to remove the corresponding prefix of the history
              If replica == tail
                      statement.type = complete checkpointing
                      statement.history = msg.history
                      Send signStatement(statement, replica) to previous_replica
                      send message(
                             previous replica,
                             getCheckPointCompleteMessage(replica.id)
                      )
              Else
                      send message(
                      next replica,
                      getCheckpointRequestMessage(msg.history, H(msg.history))
              )
xiii) executeCatchup(msg)
       Decrypted msg = De(msg, replica.private key)
       Execute operations: Decrypted msg.operations
       Hash state - H(replica state)
       send message(olympus, getCaughtUpMessage(Hash state))
xiv) executeGetRunningState(msg)
       Decrypted msg = De(msg, replica.private key)
       send message(olympus, getRunningState(State S of replica))
xv) isValidProof(cur_stmt, proof)
// Every replica signs hash of it's order stmt or result with it's private key
// and adds it to the order proof and result proof respectively
// We can decrypt it using the public key of the replica
// and thus validate it against the hash of received order statement or the result.
// If any replica has a different value, then it constitutes as proof of misbehavior
       For i = 0 to (cur_config.replicas_count - 1)
              stmt = decrypt(replicas_public_keys[i], order_proof[i])
              if(order stmt!= cur stmt)
                      return false
```

#### Pseudo-code for Olympus

```
Objects:
```

```
    i) olympus_config : contains the olympus specific details
        private_key : private key for olympus
        public_key : public key for olympus

ii) replicas_public_keys : list of public keys of all replicas

iii) replicas_private_keys : list of private keys of all replicas

iv) clients_public_keys : public keys of all client

vi) client_private_keys : private keys of all client

vii) caughtupMessageHash: save cryptographic hash of caughtup from quorum replica

iv) cur_config :
        config_id = sequence number of configuration
        failures_handled = t
        replicas_count = (2 * failures_handled +1)H
        replicas = {} //List of replica objects
        Head = //head of the chain
        tail = //tail of the chain
```

# **Methods:**

# i) processRequest():

//this will be the main method that waits and receives all the messages of the olympus.

```
case <WEDGED MESSAGE> : processWedged(decrypted msg)
                     case<CAUGHTUP_MESSAGE>:
                                  processCaughtUp(decrypted msg)
                    case <RUNNING_STATE_MESSAGE>:
                           processRunningStateMessage(msg)
ii) processReconfiguration(msg):
       if(msg.sender_type == client)
              if(validateReconfigurationRequest(msg))
                    For each replica r
                           Signed wedge =
                            E( replicas_public_keys[r], getWedgeMessage())
                           sendMessage( r, signed_wedge)
iii) processWedged(msg)
       isValidWedged(decrypted msg) == true
              verifyCheckpointProof(decrypted_msg.checkpoint)
              Save checkpoint
             W = []
             LH = \{\}
              new quorum = cur config.quorum
              if( decrypted msg.replica is in cur config.quorum )
                    isConsistentHistory(decypted msg.history)
                    If LH is not longest compared to decypted msg.history
                           LH = decypted msg.history
                    Add decypted msg.history in w
              Else
                    // Need to choose new quorum
                    new quorum = new Quorum();
                    if(w.size == cur config.quorum.size)
                    // construct history h by
                    For eachReplica r in cur config.quorum
                           send_message(r, getCatchupMessage(LH - W[r]))
                    // choosing longest order proof for each slot
                           cur config = new Config()
                           cur_config.history = h
                           cur config.quorum = new quorum
                           //before the inithist we need to call get running state
                           // for a replica in quorum
                           send_message(any_replica_in_quorum,
                    getGetRunningStateMessage())
iv) isConsistentHistory(history)
       For every pair of replicas r1 r2:
```

For each slot s

```
// same slots should have same operations
                     if(!History[r1][s] = History[r2][s])
                            Return false
       Return true;
v) processCaughtUpMessage(msg)
       caughtupMessageHash == ""
              caughtupMessageHash = msg.hash state
       else If msg.hash state != caughtupMessageHash
              Cur_config.quorum = new_quorum
vi) processRunningStateMessage(msg)
       Decrypted_msg = De(msg, privateKeyReplica)
       If H(Decrypted msg.RunningState) == caughtupMessageHash
              H = Add running State S in Inithist h
              For each replica r
                     sendMessage(r, H)
       Else
              send_message(other_replica_in_quorum, getGetRunningStateMessage())
vii) validateReconfigurationRequest(response)
// Every replica signs hash of it's result with it's private key
// and adds it to the result proof
// We can decrypt it using the public key of the replica
// and thus validate it against the hash of received result.
// If t + 1 values are correct, client accepts the result.
       incorrect res count = 0
       cur hash = H(response.result)
       for each result_hash in response_r.result_proof
              if(cur hash != result hash)
                     incorrect res count++
       if(incorrect_res_count >= cur_config.failures_handled +1)
              return true
       return false
```