

Modeling the Current and Future Ecosystem Services of Urban Tree Planting in Chicopee and Fall River, Massachusetts

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Mature urban tree canopy cover disrupts local effects of urban heat islands and provides ecosystem services such as energy savings through direct and indirect shading, pollution removal, storm runoff control, and carbon sequestration. This study addresses the gap in research regarding the value of energy savings provided by juvenile trees, how that value changes considering predicted tree growth and mortality by 2050, as well as the spatial distribution of ecosystem services. Using i-Tree Eco software, this study models the current and future ecosystem services provided to residents based on a juvenile tree inventory of 2,271 street and residential trees planted from 2014 through 2015 by the Greening the Gateway Cities Program (GGCP) in Chicopee and Fall River, MA. Juvenile trees planted by the DCR provided \$775 and \$1,512 (2018) in annual ecosystem service savings in Chicopee and Fall River while GGCP Trees modeled to mature conditions show exponentially increased total annual savings of \$2,294 and \$4,401 in Chicopee and Fall River (2050). A minimum of two to three trees per acre are required to reach maturity in ecosystem provisioning. Results of this study reinforce the importance of tree survivorship on sustaining the urban tree canopy to provide ecosystem services.

Keywords: Greening the Gateway Cities Program, Urban Heat Island, i-Tree Eco, urban forests, ecosystem services

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20
21

22 **Introduction**

23 Ecosystem services, defined as “the benefits that humans derive from nature” are a
24 major incentive for the establishment and continuation of tree planting programs as they
25 increase the general quality of life within cities (Berghöfer *et al.*, 2011 p. 1). To quantify the
26 ecosystem services provided in an urban environment, it is crucial to understand the intrinsic
27 value of each service provided, how it relates separate trees into a functional ecosystem, and
28 the impact that service has upon human health and society (Berghöfer *et al.*, 2011; Nowak,
29 2018). From this perspective, urban forests provide more value to a city in services than in
30 raw material (Lazaros *et al.*, 2010). While previous research has been conducted to estimate
31 the generalized and holistic value of urban forest benefits (Martin *et al.* 2011, Endreny *et al.*
32 2017), this study explores the spatial distribution of specific ecosystem services from service
33 providing units.

34 Within a sustainable urban environment, trees are one of the most important
35 components to the wellbeing of both human residents and natural systems (Nowak *et al.*, 2001;
36 Meineke *et al.*, 2016). The benefits provided by urban forests are dominantly seen in energy
37 savings through canopy shading and temperature control via evapotranspiration (Lee *et al.*,
38 2018), but also include aesthetic appeal, increased property values (McPherson *et al.*, 2007),
39 windbreaks and noise reduction, (Chen and Jim, 2008), storm water interception (Berland and
40 Hopton, 2014), carbon sequestration, and pollution mitigation (Scholz *et al.*, 2018). Continued
41 study of the social and environmental impact of urban forests validates the importance of both
42 urban trees and the organizations that plant and maintain them (Breger *et al.*, 2019; Nowak and
43 Dwyer, 2007).

44 Land in the US is predicted to continue rapidly urbanizing, increasing to approximately
45 392,400 km² by 2050, an area greater than the state of Montana, with associated environmental
46 stressors such as population density, imperviousness, and building intensity also greatly
47 increasing (Nowak and Walton, 2005; Gao and O'Neill, 2020). The land use change associated
48 with developed urban land is predominately converting forested land to impervious surfaces
49 (roadways, sidewalks, rooftops) that negatively impact the natural landscape through
50 modifications to surface reflectance, evapotranspiration, increased surface temperature levels,
51 as well as increasing urban air pollution and the impacts of stormwater runoff (Tu *et al.*, 2007;
52 Seto *et al.*, 2011; Nowak and Greenfield, 2018). Research by Nowak and Greenfield (2018)
53 found significant declines in tree cover in urban areas across the US, an approximately 1%
54 decrease from 2009 to 2014, and a decrease of 1.3% in Massachusetts over the same time
55 frame.

56 Urban air temperatures in the USA were found to be to 9-15 degrees Fahrenheit higher
57 than surrounding rural areas, as urban infrastructure absorbs heat and creates an urban heat
58 island (UHI) (Hardin and Jensen, 2007). As a result, affected urban residents can be found to
59 experience higher electricity usage of an approximate 2% per degree Fahrenheit temperature
60 increase (McPherson *et al.*, 1995). For context, Massachusetts residents pay a state average
61 energy bill of approximately \$94 per month, and \$1,128 annually, ranking the state below the
62 national average energy bill of \$107 per month (Electricity Local, 2019). Increased activity in
63 energy consumption levels, with higher levels of energy usage and heat emissions driving
64 higher urban particulate and carbon dioxide pollution levels, feed a positive feedback loop into
65 the warming effect of the UHI (Kikegawa *et al.*, 2006).

66 In 2014, the state of Massachusetts initiated an urban tree planting program which
67 focuses on twenty-six municipalities identified as “Gateway Cities”, a term that describes
68 struggling post-industrial cities that serve as gateways to the regional economy (About the
69 Gateway Cities n.d.). Gateway Cities are designated as having a population between 35,000
70 and 250,000, with an average household income and a bachelor’s degree attainment rate both
71 below the state’s average (Commonwealth of Massachusetts, 2016). The Greening the
72 Gateway Cities Program (GGCP) is managed by the Department of Conservation and
73 Recreation (DCR), with funding provided from the Department of Energy Resources (DOER)
74 and has a partnership with the Department of Housing and Community Development (DHCD).
75 The GGCP stands apart from most other planting programs, as it is both funded and managed
76 through state government agencies acting at the municipal level (Breger *et al.*, 2019). The
77 GGCP frames trees as green infrastructure (DCR, 2017) and has a goal of increasing canopy
78 cover by 5%–10% within select neighborhoods in order to reduce heating and cooling costs
79 for residents (Commonwealth of Massachusetts, 2017).

80 To achieve the GGCP goals of increased canopy coverage and utility savings, DCR
81 must judge the effectiveness of the GGCP in providing ecological benefits to urban residents
82 and understand the relationship between the provisioning of ecosystem services and juvenile
83 tree survivorship. To date, little research has been conducted regarding the different value of
84 urban forests between juvenile and predicted mature urban forest conditions (considering
85 growth and mortality) and the spatial distribution of ecosystem services provided (Andersson
86 *et al.*, 2015), creating doubt of the effectiveness of juvenile trees to provide significant benefits
87 to residents. Additionally, the GGCP has no information regarding the impacts of juvenile
88 urban trees on energy usage and utility costs. By modeling the current and future ecosystem

89 services provided by GGCP trees and how ecosystem services are distributed using the case
90 sites of Chicopee and Fall River, this study investigates the impact of the GGCP through the
91 energy savings and other ecological savings provided by urban trees to urban residents and
92 cities. The research questions addressed in this study are:

- 93 1. What is the value provided through juvenile tree ecosystem services and what are
94 the forecast ecosystem services provided in the year 2050?
- 95 2. How does this value change from 2018, considering predicted tree cohort mortality
96 (68%) by the year of 2050?
 - 97 a. The year 2050 was chosen for future condition modeling to allow for tree
98 growth and maturity across the juvenile GGCP trees.
 - 99 b. The predicted tree mortality by i-Tree Eco for Chicopee and Fall River in
100 2050 is approximately 68%.
- 101 3. How are the services distributed within GGCP planting zones in Chicopee and Fall
102 River, MA?

103

104 1.1 Economic Valuation

105 This study uses i-Tree Eco software to model the current (2018) and future (2050)
106 magnitude and extent of these ecosystem services provided by juvenile trees planted by the
107 Greening the Gateway City Program within the Massachusetts cities of Chicopee and Fall
108 River. Adapted from the Urban Forest Effects (UFORE) model developed by the U.S. Forest
109 Service (Nowak and Crane 2000), the i-Tree Eco software allows users to evaluate urban forest
110 structure and the value of monetary savings provided to communities in the form of ecosystem
111 services (i-Tree Eco n.d.).

112 The four ecosystem services examined in this study include energy savings, pollution
113 removal, avoided runoff, and gross carbon sequestration. The i-Tree Eco definitions for each
114 of these services are shown in Table 1 (I-Tree Eco, n.d.).

Ecosystem Service	i-Tree Eco Definition	i-Tree Eco Value
Energy Use	Energy use is the monetary value of increased or decreased energy costs as a result of a tree's cooling effect on residential building energy use.	This value is estimated based on the dollar value per MBTU or MWH.
Pollution Removal	Pollution removal is the monetary value associated with tree effects on atmospheric pollution.	This value is estimated based on the economic damages associated with increases in pollution emissions and/or the impact of air pollution on human health.
Avoided Runoff	Avoided runoff is the monetary value avoided because of rainfall interception by trees.	This value is estimated based on the economic damages associated with runoff and costs of stormwater control.
Gross Carbon Sequestration	Gross carbon sequestration is the monetary value associated with tree effects on atmospheric carbon.	This value is estimated based on the economic damages associated with increases in carbon or carbon dioxide emissions.

115 Table 1: This table describes each ecosystem service included in this study as defined and
116 valued by i-Tree Eco

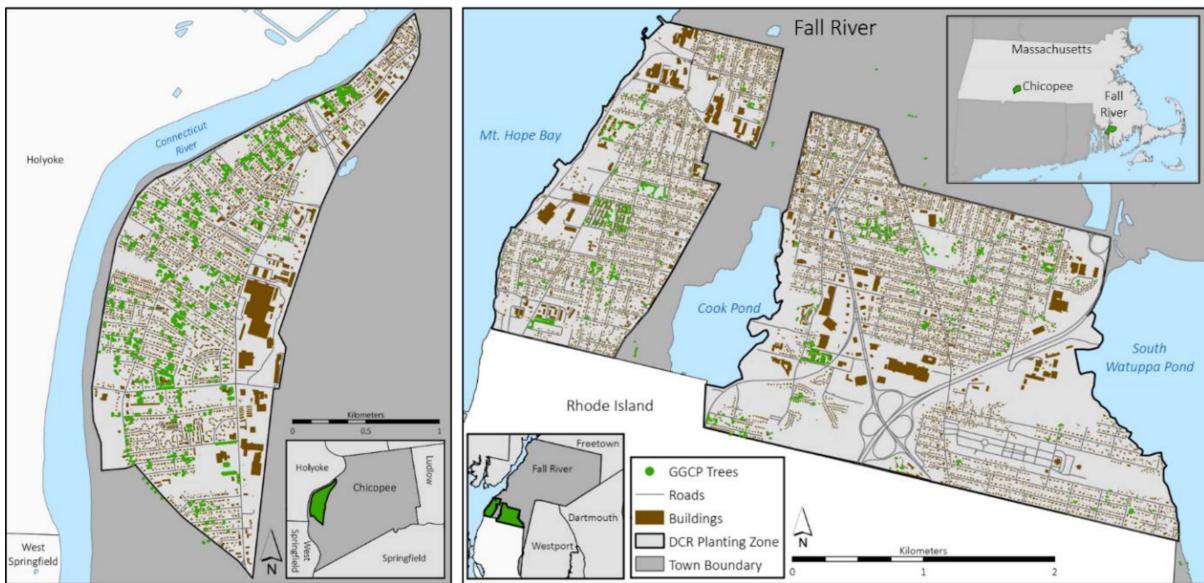
117 Previous studies have examined the utility of i-Tree Eco to model and analyze the
118 distribution of ecosystem services. One study conducted at Auburn University in Alabama,
119 modeling the ecosystem services of campus trees for pollution removal, carbon storage, and
120 carbon sequestration, concluded that i-Tree Eco was effective as an industry standard for urban
121 forest evaluation (Martin *et al.*, 2011). Endreny *et al.* (2017) recently used i-Tree Eco to
122 estimate the ecosystem services provided across London, UK, and other global megacities,
123 concluding that an estimated median value of \$505 million was being provided annually in
124 tree-based ecosystem services. Although these studies provide insight into the generalized
125 value of urban forests, they lack the visualization of the spatial distribution of ecosystem
126 services. Mapping the economic value of ecosystem services is fraught as the spatial scale must
127 be large enough to encompass the entire city while being small enough to be meaningful.

128 match the service providing unit (Nahuelhual et al., 2015). However, for sustainable resource
129 management and planning, it is necessary to spatially analyze the modeled value of ecosystem
130 services to gauge the spatial relationship between economic value and the tree locations in
131 relation to urban variables (Campbell et al., 2020).

132 **Study Area**

133 The extent of the study was confined to the GGCP planting zones established by the
134 DCR within the cities of Chicopee and Fall River, Massachusetts (see Figure 1). These planting
135 zones were chosen to mainly encompass environmental justice neighborhoods, which are
136 defined in Massachusetts as a block group with an annual median household income is equal
137 to or less than 65 percent of the statewide median (\$62,072 in 2010); or 25% or more of the
138 residents identify as a race other than white; or 25% or more of households have no one over
139 the age of 14 who speaks English only or very well (Commonwealth of Massachusetts, 2018).
140 The planting zones were chosen because they are predominately high in renter population and
141 low in existing urban tree canopy.

142



143 Figure 1: Study area maps of the Greening the Gateway City Program planting zones created
144 by the Department of Conservation and Recreation within the cities of Chicopee (left) and Fall
145 River (right).

146 The city of Chicopee is located within Hampden County, in the Pioneer Valley region
147 of the western half of Massachusetts. Chicopee has a population of 55,515 (U.S Census
148 Bureau, 2017) with a median household income of \$47,182 (Mosakowski Institute, 2016) and
149 an area of approximately 61.9 km^2 . The planting zone (3.44 km^2) created by the GGCP is
150 located along the western region of the city (See Figure 1) alongside the Connecticut River.
151 This planting zone area is currently 20.6% canopy cover, which is lower than the city-wide
152 percentage of 34.8%. In terms of impervious cover (roadways, parking lots, sidewalks, and
153 other asphalt surfaces) the Chicopee planting zone is 47% impervious cover, compared to the
154 city-wide percentage of 29.9%.

155 The city of Fall River is located within Bristol County, in the southeast region of
156 Massachusetts. Fall River has a population of 89,420 (U.S Census Bureau, 2017) with a median
157 household income of \$33,416 (Mosakowski Institute, 2016) and an area of approximately
158 104.4 km^2 . The GGCP planting zone (9.15 km^2) is located in the southern region of the city
159 along the Rhode Island border (See Figure 1), split into two areas to the east and west of Cook
160 Pond. This planting zone area is currently 23.8% canopy cover, compared to the city-wide
161 percentage of 55.9%. This large difference in percentage tree canopy is due to the difference
162 between the urban setting of the planting zone, and the Freetown-Fall River State Forest that
163 occupies a large area in the northern region of the city. The planting zone in Fall River is 44.7%
164 impervious cover, while the city-wide percentage is 18.5%.

165 The GGCP prioritizes private resident requests for juvenile trees, as well as conducting
166 street and public tree plantings. The DCR conducts tree planting in spring and fall, engaging
167 local community members in planting efforts, employing a crew of laborers and forestry
168 assistants under the directive of the DCR (Berger et al., 2019). The tree plantings are conducted
169 in coordination with city and community organizations, involving groups such as the Valley
170 Opportunity Council in Chicopee and the Fall River Street Tree Planting Program in Fall River
171 to spread information regarding the GGCP and in coordinating juvenile tree management
172 efforts.

173 **Data Description and Methods**

174 The data used in this study was acquired from multiple sources in a variety of formats
175 (see Table 2). The primary data used throughout the i-Tree Eco modeling and analysis were
176 the DCR tree inventories, building outlines, and 2010 census block polygons.

Data Name	Description	Year
Department of Conservation and Recreation Tree Inventory	Health and locational metrics of 922 and 1,349 surveyed GGCP trees in Chicopee and Fall River, MA. Clark	2018
Building Structures (2-D)	2-dimensional roof outlines for all buildings larger than 150 sq. ft. Mass.gov	2017
Chicopee Weather and Pollution	Weather data from the Westover Metropolitan Airport. Chosen for proximity to Chicopee, MA. Westoverairport.com	2015
Fall River Weather and Pollution	Weather data from the New Bedford Regional Airport. Chosen for proximity to Fall River, MA. New Bedford-ma.gov/airport/	2015
2010 Census Block	Clusters of blocks within the same census tract that have the same first digit of their 4-digit census block number. Mass.gov	2017
Planting Zone Canopy Cover	Canopy cover within the DCR planting zones of Chicopee and Fall River, MA. Department of Conservation and Recreation	2014
Impervious Surface Cover	Statewide impervious surface cover clipped within the DCR planting zones of Chicopee and Fall River, MA. Mass.gov	2005
Socioeconomic Variables	Describes percent renter population, percent of population with a bachelor's degree, percent nonwhite, and median household income at the spatial scale of census block groups within Chicopee and Fall River, MA.	2015-2018

177
178 Table 2: Descriptions and sources of all data used throughout research and analysis.
179

180 *DCR Tree Inventory:*

181 The input variables for the i-Tree Eco model were informational metrics such as tree
 182 ID, species, DBH, land use of planting site, coordinates, tree native status, and a measure of
 183 tree vigor on a 1-5-point scale. This vigor scale is based on the protocol described by Roman
 184 *et al.* (2017), describing the quality of a tree canopy in relation to the percentage of dieback
 185 present. These surveys were conducted via in-person measurements in the summer of 2018 by
 186 the first, second, and third authors with the help of a group of undergraduates who were trained
 187 by the first author. These surveys were not inclusive of the entire population of DCR planted
 188 trees in either city, as researchers had to request access to measure trees on private property.
 189 Overall, 922 out of 951 trees in Chicopee and 1,349 out of 1,988 trees in Fall River were
 190 surveyed. Table 3 shows mean vigor scores, DBH values, and the percentage distribution of
 191 tree vigor across both city's tree populations. Tree inventory survey data based on dead or
 192 removed trees were not included in the i-Tree analysis conducted in this study, resulting in
 193 smaller input tree cohort populations in each city (Chicopee-824, Fall River-1,233). Model tree
 194 inventories representative of future conditions were created by random selection of surviving
 195 trees based on default mortality estimates projected by the i-Tree Eco Forecast module for
 196 2050 (261 trees in Chicopee and 385 trees in Fall River, 68.3% and 68.5% mortality
 197 respectively).

City	Inventory Sample Size	Mean Vigor	Mean DBH	Juvenile Tree Survivorship	Healthy (Vigor 1)	Slightly Unhealthy (Vigor 2)	Moderately Unhealthy (Vigor 3)	Severely Unhealthy (Vigor 4)	Dead (Vigor 5)	Unknown
Chicopee	922	1.21	1.22	846 (91.7%)	765 (83.0%)	58 (6.3%)	20 (2.2%)	3 (0.3%)	54 (5.9%)	22 (2.4%)
Fall River	1,349	1.24	1.48	1238 (91.7%)	1060 (78.6%)	123 (9.1%)	42 (3.1%)	13 (1.0%)	91 (6.7%)	20 (1.5%)

198
 199 Table 3: Tree inventory sample size, mean vigor scores, DBH values, survivorship, and the
 200 percentage distribution of tree vigor across Chicopee and Fall River.

201 *Tree-Building Interactions*

202 To estimate energy savings from building heating and cooling, i-Tree Eco requires tree-
203 building interaction data in the form of the distance and direction from each tree to the nearest
204 buildings. The i-Tree Eco threshold for tree distance from buildings to provide energy savings
205 is 60 feet. Building footprint data were used as an approximation of building location to
206 calculate the distance and direction from each tree point to the nearest building. The distances
207 to additional buildings from each tree were not calculated nor included in the i-Tree Eco model.

208 *Environmental and Socioeconomic Factors*

209 The canopy cover and impervious surface cover data from MassGIS (1993) were
210 converted to polygon layers. Following this, the percentage of canopy and impervious surface
211 cover was calculated within each census block by dividing the area of canopy cover and
212 impervious surface for each census block group and dividing by the total area. Socioeconomic
213 data from the 5-year American Community Survey (U.S. Census Bureau, 2019) was used as
214 defining factors for a Massachusetts gateway city: population, median household income and
215 educational attainment rates of a bachelor's degree. Other factors included from the Census
216 data was percent of the population that is nonwhite and renter population because these criteria
217 were used by the GGCP to create tree planting zones in environmental justice neighborhoods
218 and would benefit the most from decreased energy bills.

219 **Modeling Current and Future Ecosystem Services:**

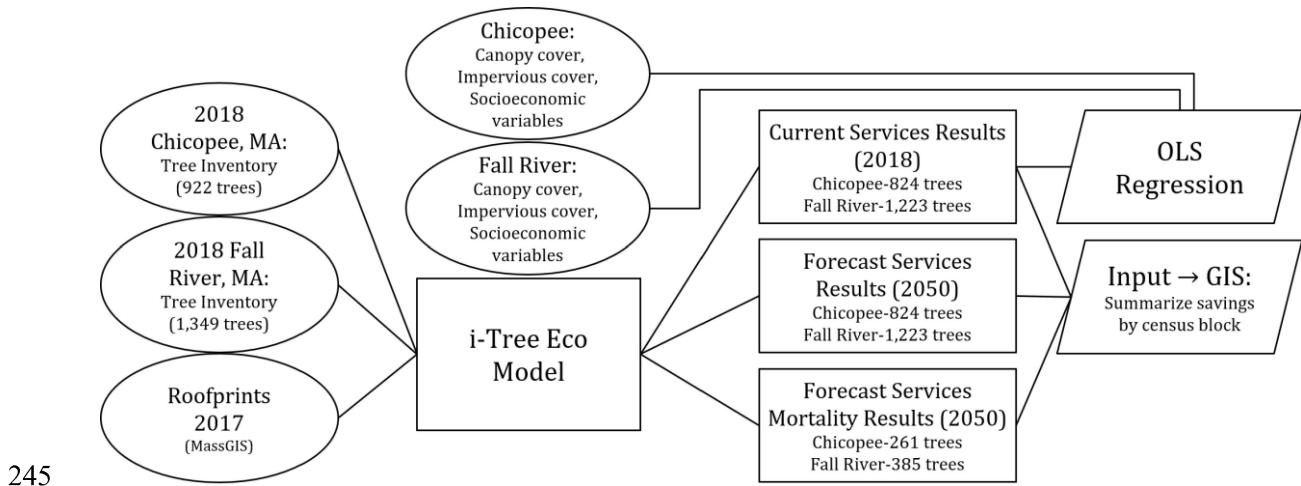
220 *Current services (2018)*

221 The tree inventory files for Chicopee and Fall River were input as separate projects into
222 i-Tree Eco as complete, un-stratified inventories, including species, DBH, land use, tree-

223 building interactions, canopy condition, and native status, providing the main foundation for i-
224 Tree Eco analysis following the protocol described by Singh (2017).

225 *Projected services (2050)*

226 The i-Tree Eco Forecast module takes the structural estimates such as number of trees
227 and species composition produced by running the i-Tree Eco model and estimating the future
228 conditions of the tree inventory based on anticipated growth and to rates (i-Tree Eco n.d.).
229 Using this module, estimates of annual average DBH growth and total mortality rates were
230 produced from the 2018 i-Tree Eco projects for Chicopee and Fall River for projected tree
231 conditions in the year 2050. Given the thirty-two-year time span between 2018 and 2050,
232 considerable tree growth and value differences in ecosystem services can be modeled. The
233 defined annual mortality rate for the Forecast module was set to the default mortality rates in
234 i-Tree Eco based on the percent dieback of tree condition (0-49% dieback: 3.0% annual
235 mortality, sick trees with 50-74% dieback: 13.1% annual mortality, and dying trees with 75-
236 99% dieback: 50.0% annual mortality). The predicted tree cohort mortality for 2050 in
237 Chicopee and Fall River was modeled by i-Tree Eco at 68.3% and 68.5%, respectively. The
238 Forecast module allows for the inclusion of new tree plantings, which was not included for
239 modeling in this study. The projected DBH growth (5.16 inches in Chicopee, 6.99 inches in
240 Fall River) was then added to the original tree size metrics within both tree inventories
241 uniformly, creating new tree inventories approximating tree size metrics in 2050. The process
242 for modeling the projected ecosystem services in 2050 was identical to that of juvenile tree
243 ecosystem services. Following the completion of the i-Tree Eco analysis, 2050 savings were
244 limited to those provided by surviving trees based on tree mortality predictions.



245

246 Figure 2: Flowchart highlighting main data inputs and steps of analysis.

247 *i-Tree Eco Spatial Analysis*

248 The i-Tree Eco results for 2018 and 2050 ecosystem services explicitly outline the
 249 savings corresponding to the ecosystem services provided. Each result of ecosystem service
 250 savings (energy savings, pollution removal, avoided runoff, gross carbon sequestration) for
 251 both current and future condition models was joined by tree ID to the DCR tree locations within
 252 a GIS. The ecosystem service savings were aggregated by census blocks to gauge the spatial
 253 distribution of services provided by spatially joining the 2018 and 2050 savings to blocks
 254 within the DCR tree planting zones. To gauge the number of trees necessary to the most amount
 255 of energy savings, the average number of trees within the five census blocks receiving the
 256 highest amounts of energy savings was calculated.

257 An Ordinary Least Squares (OLS) regression tested the dependence of census block
 258 group energy, pollution, avoided runoff and carbon sequestration services against independent
 259 variables of percent canopy cover, impervious surface, percent renter, percent of population
 260 with a bachelor's degree, percent nonwhite, and median household income. Each regression
 261 included the 31 census block groups combined from Chicopee and Fall River. This was

262 necessary as the planting zones each only had 15 census block groups which limited the amount
263 of statistical analysis. While different cities, Chicopee and Fall River are both defined as
264 Gateway Cities by the commonwealth of Massachusetts and their planting zones were chosen
265 based on the lack of tree canopy cover and high renter populations making them comparable.

266 **Results**

267 *Current (2018) and projected (2050) services*

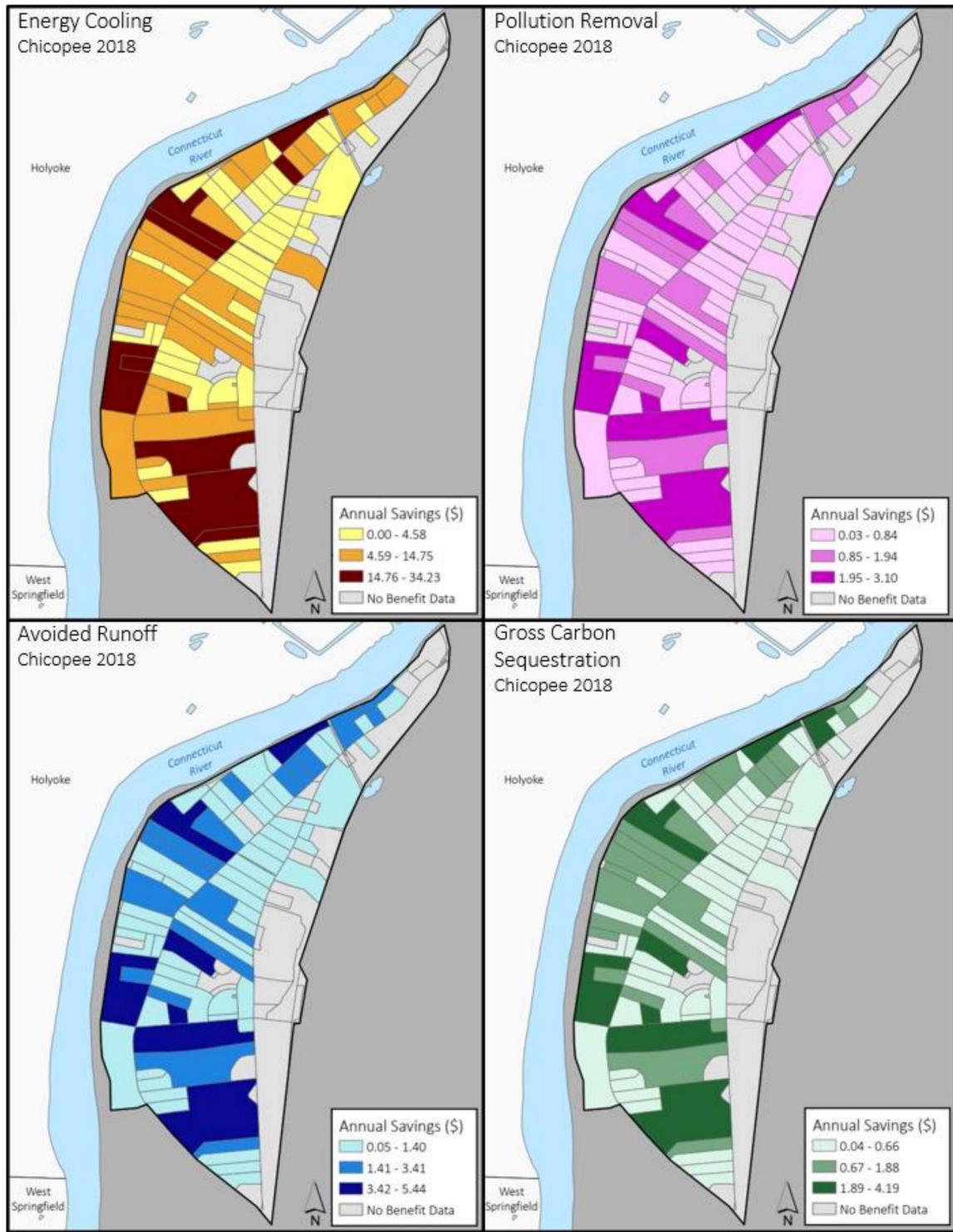
268 The value of annual ecosystem service savings provided by the GGCP trees in
269 Chicopee and Fall River are distributed between energy savings, pollution removal, runoff
270 control, and gross carbon sequestration (Table 4). Considering projected tree growth and
271 predicted tree mortality between 2018 and 2050, the total annual savings provided by GGCP
272 trees will have increased \$1,519 (296%) in Chicopee and \$2,889 (291%) in Fall River. To
273 gauge the average conditions within census blocks with the highest energy savings in both
274 cities, the average number trees of planted and energy savings accrued under current and future
275 conditions was explored. In Chicopee, the five census blocks providing the highest energy
276 savings in 2018 contained an average of 23 trees each collectively producing \$27 in annual
277 energy savings per census block. Considering tree mortality, these numbers decrease to an
278 average of 15 trees producing \$126 (467% increase) in annual energy savings per census block
279 in 2050. In Fall River, the five census blocks providing the highest energy savings in 2018
280 contained an average of 71 trees each collectively producing \$51 in annual energy savings per
281 block. Considering tree mortality, these numbers decrease to an average of 22 trees producing
282 \$203 (369% increase) in annual energy savings per block in 2050.

	City	Year (# trees)	Energy via cooling (Kwh/yr \$/year)		Pollution (oz/year \$/year)		Avoided runoff (ft³/yr \$/year)		Gross Carbon Sequestration (lb/yr \$/year)		
283	Chicopee	2018 (824)	3,638	544	505.1	61.74	1,578.9	105.54	745	64	775
		2050 (824)	40,342	6,030	2594.9	335.12	8,189.7	547.47	6,131.1	523.01	7,436
		2050 (261)	12,310	1,840	894.3	115.22	2,534.2	169.44	1,974.5	168.33	2,294
284	Fall River	2018 (1,223)	6,987	1,044	1,087.1	215.5	2,060.7	137.75	1,344	115	1,512
		2050 (1,223)	71,335	10,663	7,371.8	1,634.74	15,237.5	1,018.42	13,021	1,111	14,427
		2050 (385)	21,477	3,210	4,839.1	323.45	2,343.1	519.17	4,081	348	4,401

Table 4: Annual monetary values of ecosystem services provided within Chicopee and Fall River as modeled by i-Tree Eco across current (2018), and projected conditions (2050, 2050 with mortality).

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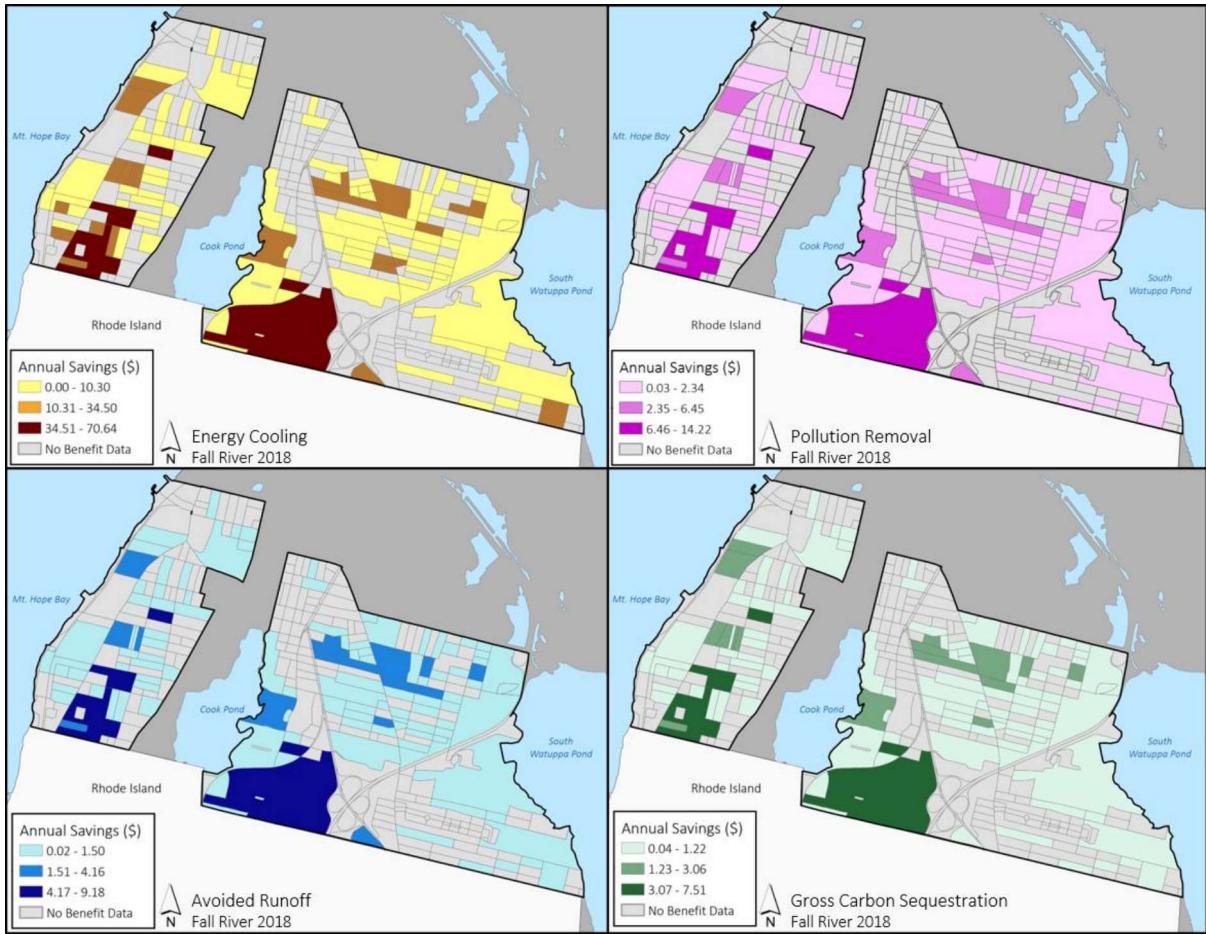
The models of current ecosystem services show the 824 trees in Chicopee and 1,223 trees in Fall River provide \$775 and \$1,512 respectively in total annual savings by juvenile GGCP trees. The value of annual energy savings provided by GGCP trees show the greatest increase across all ecosystem services modeled in each city, providing 70% (\$544) and 69% (\$1,044) of current savings. The aggregation of savings within census blocks show the blocks containing the most planted trees by the DCR receive highest value in energy savings and other ecosystem services. Visual spatial analysis in both cities show these blocks to primarily contain residential areas or housing authority complexes (see Figures 3 and 4). The Sunset Hill housing authority in Fall River (see Figure 5), which overlaps five census blocks containing ninety-one juvenile GGCP trees planted around the housing authority which cumulatively provide \$120 in annual energy savings in 2018.



299

300 Figure 3: Distribution of ecosystem services (energy savings, pollution removal, avoided
301 storm runoff, gross carbon sequestration) provided by the measured GGCP trees summarized

302 by census block within the DCR Chicopee planting zone in the year of 2018. The sum value
303 of all monetary savings is \$775 annually.
304



305
306 Figure 4: Distribution of a suite of ecosystem services (energy savings, pollution removal,
307 avoided storm runoff, gross carbon sequestration) provided by the measured GGCP trees
308 summarized by census block within the DCR Fall River planting zones in the year of 2018.
309 The sum of all monetary savings is \$1,512 annually. The pinned location in the energy
310 cooling map designates the location of the Sunset Hill housing authority examined in this
311 study.

312



313

Figure 5: Sunset Hill housing authority located in the western planting zone within Fall River. This housing authority is made up of five census blocks (black outlines) and contains ninety-one GGCP trees that were assessed to be currently providing \$120 collectively in annual energy savings

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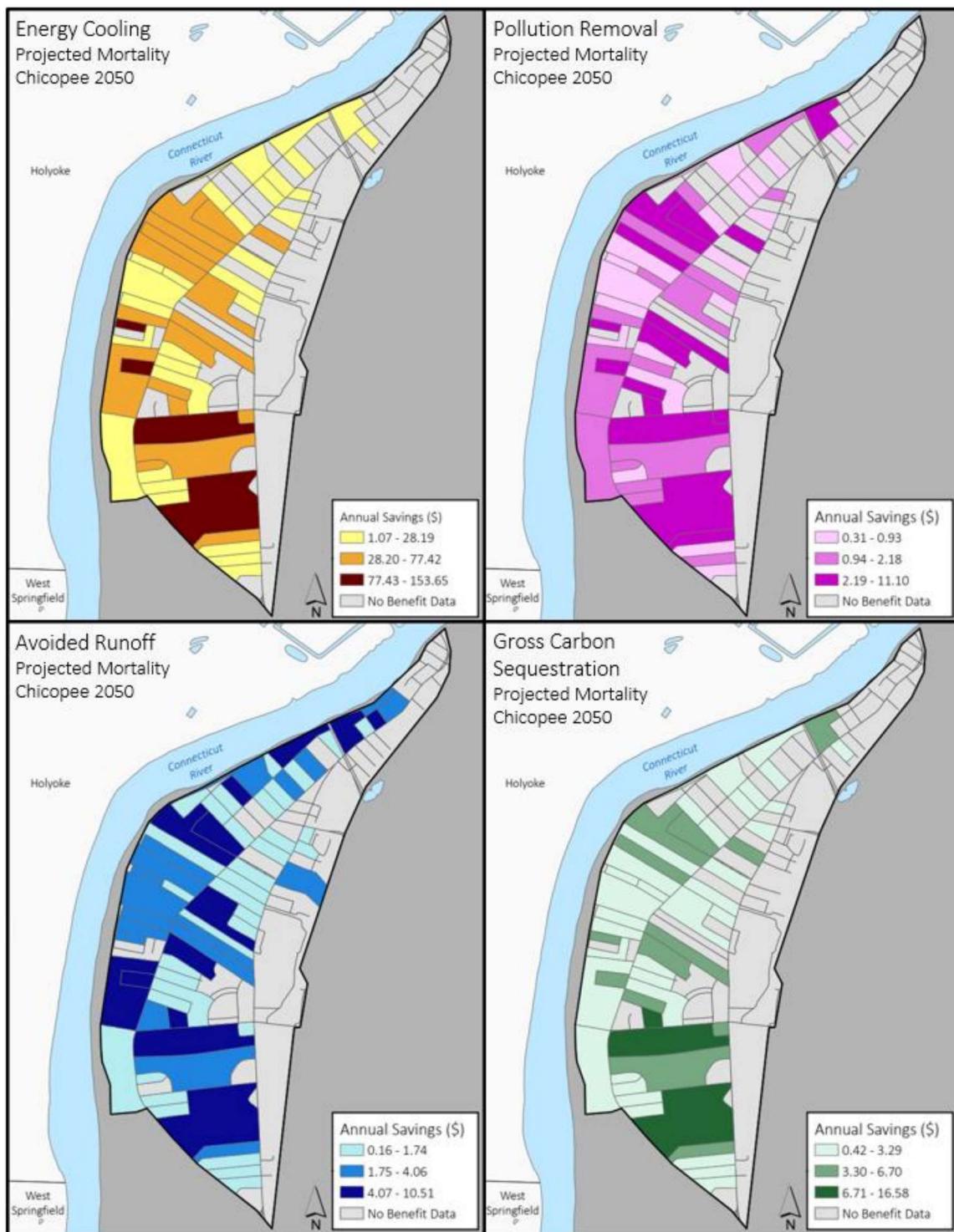
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The projected models of future ecosystem services show the randomly selected surviving 261 Chicopee and 385 Fall River GGCP trees to provide annual savings of \$2,294 and \$4,401 respectively in combined services. Of all the ecosystem services modeled in the study, energy savings show the greatest growth in value between 2018 and 2050 from \$544 to \$1840 (an increase of 338% to 80% of total savings) in Chicopee and \$1,044 to \$3,210 (an increase of 307% to 72% of total savings) in Fall River. Considering the loss of approximately 68% of initial trees due to modeled random mortality in both cities, the range in value

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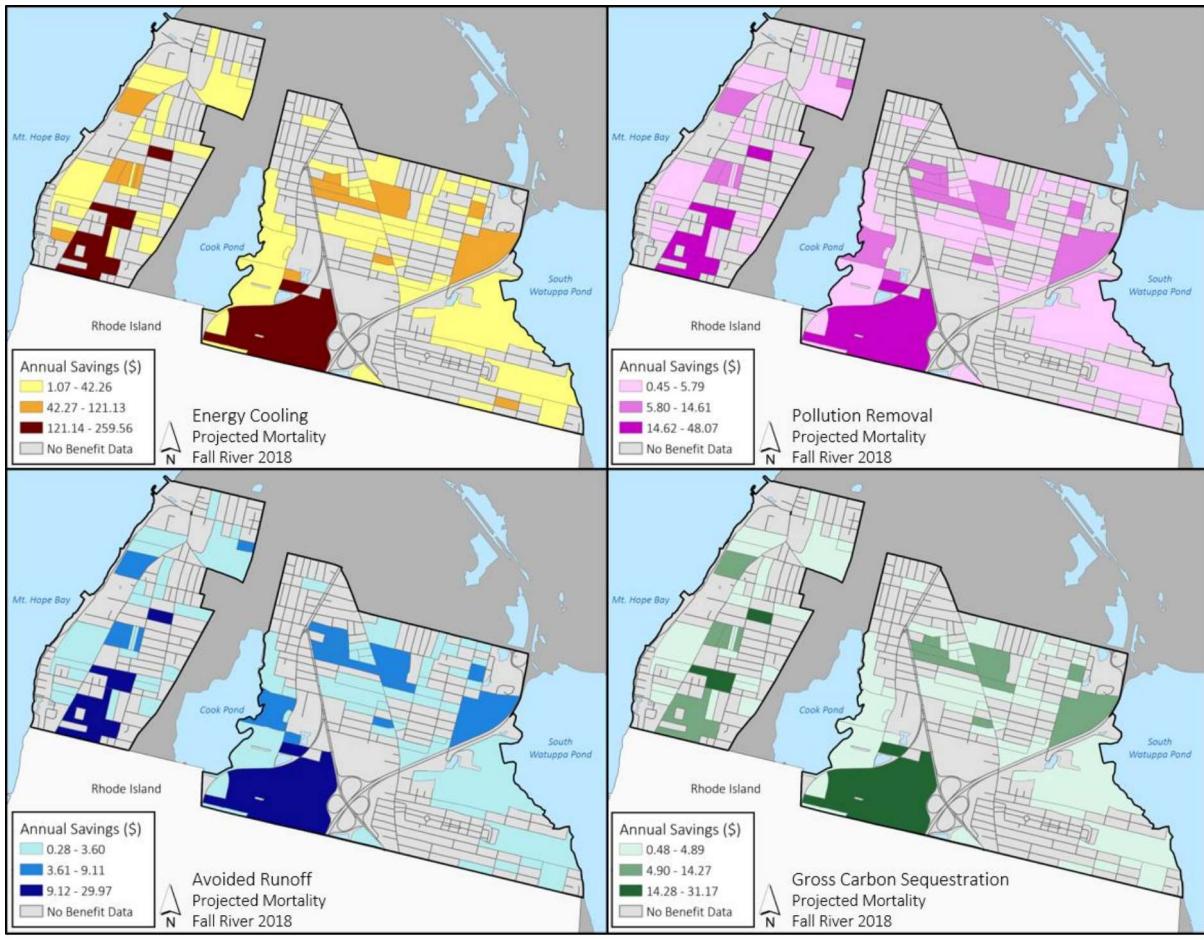
325 distribution of projected savings among census blocks is much wider in 2050 than in 2018,
326 with higher census block savings correlated with higher surviving tree numbers (see Figures 6
327 and 7). The Sunset Hill housing authority highlighted in figure 5 shows a 66% decrease in
328 GGCP tree numbers from ninety-one to thirty-one between 2018 and 2050 and shows an
329 increase in the combined annual energy savings from \$120 to \$316 (263%) (see Figure 8).



330

331 Figure 6: Distribution of a suite of ecosystem services (energy savings, pollution removal,
 332 avoided storm runoff, gross carbon sequestration) provided by the measured GGCP trees
 333 summarized by census block within the DCR Fall River planting zones in the year of 2050.
 334 The sum value of all monetary savings is \$2,294 annually.

335



336
 337 Figure 7: Distribution of a suite of ecosystem services (energy savings, pollution removal,
 338 avoided storm runoff, gross carbon sequestration) provided by the measured GGCP trees
 339 summarized by census block within the DCR Fall River planting zones in the year of 2050.
 340 The sum value of all monetary savings is \$4,401 annually.
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Figure 8: Sunset Hill housing authority in Fall River, reflecting the effects of tree mortality projected in the year 2050. This housing authority, made up of five census blocks, contains the surviving thirty-one GGCP trees that were assessed to be currently providing \$316 collectively in annual energy savings.

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i-Tree Eco Spatial Analysis

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The coefficients for each model are displayed in Table 6 to understand how the

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independent variables impacted ecosystem services. In the model for Avoided Runoff, the

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variable Percent Canopy (PC) was significant with a confidence above 99% in predicting

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where Avoided Runoff benefits would occur. PC was also significant to varying degrees in

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each of the other models as well, highlighting its importance. The positive coefficient shows

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that high Avoided Runoff benefits from tree planting are occurring in census block groups with

356 high existing tree canopy cover. The other significant variable at 99% confidence was Fall
357 River. The negative coefficient indicates that census blocks in Fall River were less likely to
358 see avoided stormwater benefits. This was also visible in the Carbon Sequestration and Energy
359 models but not in the Pollution model. On average between all models, 123 dollars of
360 ecosystem services were added for each additional percentage of tree canopy cover. Median
361 Household Income was also significant at 95% confidence in each model. While the
362 unstandardized coefficient is very low, the standardized coefficient reveals that Median
363 Household Income has a strong negative relationship with the ecosystem services in each
364 model. This shows that census blocks with lower income have significantly higher ecosystem
365 services than census blocks with higher income from the trees planted by the DCR. The other
366 socioeconomic variables such as Education (percent of population with a bachelor's degree)
367 and Percent Nonwhite are both significant at 90% confidence for the model of Avoided Runoff.
368 The coefficients indicate that ecosystem services from the newly planted trees are significantly
369 higher in census block groups with higher nonwhite populations and higher educational
370 attainment. Interestingly, there was no significant difference in Percent Renter or Percent
371 Impervious Surface in any of the models. This might be because the planting zones are chosen
372 based on their high renter populations and lack of tree canopy cover.

Avoided Runoff						Pollution					
	B (unstandardized)	Std. Error	Beta (standardized)	t-statistic	p-value		B (unstandardized)	Std. Error	Beta (standardized)	t-statistic	p-value
Education	0.371	0.207	0.429	1.792	0.086	*	0.486	0.278	0.495	1.749	0.094 *
Percent Nonwhite	0.280	0.146	0.459	1.916	0.068	*	0.417	0.196	0.602	2.124	0.045 **
Percent Renter	-0.079	0.088	-0.205	-0.904	0.376		-0.149	0.118	-0.341	-1.268	0.218
Median Household Income	0.000	0.000	-0.505	-2.375	0.026	**	0.000	0.000	-0.614	-2.441	0.023 **
Percent Canopy	60.167	13.738	0.703	4.380	0.000	***	47.244	18.435	0.486	2.563	0.017 **
Percent Impervious	3.855	8.633	0.100	0.447	0.659		-15.575	11.585	-0.357	-1.344	0.192
Fall River	-20.425	5.509	-1.303	-3.707	0.001	***	-9.853	7.393	-0.554	-1.333	0.196
Carbon Sequestration						Energy					
	B (unstandardized)	Std. Error	Beta (standardized)	t-statistic	p-value		B (unstandardized)	Std. Error	Beta (standardized)	t-statistic	p-value
Education	0.284	0.159	0.472	1.791	0.086	*	2.234	1.737	0.369	1.286	0.211
Percent Nonwhite	0.239	0.112	0.563	2.135	0.044	**	2.334	1.227	0.546	1.902	0.070 *
Percent Renter	-0.083	0.067	-0.309	-1.234	0.230		-0.531	0.734	-0.197	-0.724	0.477
Median Household Income	0.000	0.000	-0.635	-2.714	0.012	**	-0.002	0.001	-0.564	-2.213	0.037 **
Percent Canopy	37.958	10.513	0.637	3.611	0.001	***	348.198	115.162	0.581	3.024	0.006 ***
Percent Impervious	-2.529	6.606	-0.094	-0.383	0.705		-48.325	72.372	-0.179	-0.668	0.511
Fall River	-11.753	4.216	-1.078	-2.788	0.010	**	-91.978	46.186	-0.839	-1.991	0.058 *

373

374 Table 6: OLS regression results for each model of ecosystem services. The coefficients,
 375 standard errors, standardized coefficients, t-statistics and p-values are included. Each model
 376 found ecosystem services to be significantly higher in census block groups with higher tree
 377 canopy cover while none of the models indicated significant differences for percent renter
 378 population or percent impervious surface.

379

380 Discussion

381 The goal of this research was to investigate the value and distribution of ecosystem
 382 services provided by the 824 and 1,223 trees planted by the DCR in Chicopee and Fall River
 383 using i-Tree Eco. Ecosystem services were analyzed for current (2018) and future (2050)
 384 conditions, showing which services provide the highest annual savings provided and how those
 385 savings are distributed within the study areas. Results show juvenile trees planted by the DCR
 386 currently providing economic benefits of \$775 and \$1,512 per year in Chicopee and Fall River
 387 (2018). Trees modeled to mature conditions considering predicted mortality rates show

388 exponentially increased savings of \$2,294 (296%) and \$4,401 (291%) in Chicopee and Fall
389 River (2050). The most cost-effective ecosystem service is energy savings, providing 70% to
390 80% of total annual savings provided by GGCP trees in each city and year of analysis.
391 Additionally, energy savings experienced the greatest value growth over the thirty-two year
392 period of analysis, equaling increases of 338% and 307% in Chicopee and Fall River,
393 respectively.

394 Areas with higher numbers of planted trees in 2018 benefit from higher savings and
395 services provided in 2050. This may be due to the ability of a large initial tree population to
396 resist the negative impact of a high tree mortality (Roman, 2014). Based on these results, at
397 least two to three mature trees per acre were necessary to observe the highest values of energy
398 savings. This research, based on default i-Tree Eco mortality rates, recommends that
399 approximately three to ten trees be initially planted per acre to achieve a robust mature cohort.
400 Regarding the spatial autocorrelation of ecosystem services within the DCR planting zones,
401 residential areas and housing authority complexes containing high numbers of GGCP trees
402 showed the highest value of savings. The concentration of trees in certain census block groups
403 may be due to differences in planting presence by the DCR, information flow between residents
404 and organizations, or ease of planting permissions granted.

405 Based on the spatial analysis of services, the DCR is providing significantly (99%
406 confidence) more ecosystem services such as avoided runoff to census block groups with lower
407 income and larger nonwhite populations (Table 6). However, these are also communities with
408 higher existing tree canopy and higher educational attainment which indicates that it is difficult
409 to find available planting in communities with very low existing tree canopy cover. These

410 trends hold across all the models for other ecosystem services such as energy savings, carbon
411 sequestration and pollution reduction but these models have less explanatory power (Table 5).

Model	Adjusted R ²	p-value
Carbon Sequestration	0.350	0.014
Avoided Runoff	0.463	0.002
Pollution	0.249	0.052
Energy	0.229	0.065

412

413 Table 5: OLS regression results for each ecosystem service modeled in i-Tree Eco.
414 Ecosystem services were aggregated to the block group level where they were compared to
415 the same independent socioeconomic variables of education (percent of population with a
416 Bachelor's degree), percent nonwhite, percent renter, and median household income as well
417 as the biophysical variables percent canopy cover and percent impervious surface. The
418 variable Fall River was included to determine if there was a difference between Fall River
419 and Chicopee.

420

421 For the energy model, the low R-squared is probably because the level of energy
422 savings provided by urban tree cover can vary based on multiple factors not included in this
423 study, such as tree species, age, general health, size, tree orientation, and proximity to buildings
424 (Nowak and Dwyer 2007, Hauer et al. 2015). The energy savings provided by tree shade are
425 not linear with distance, as trees within a maximum distance provide more direct building
426 shade (Simpson 2002). While this is beneficial in the summer, recent research by Erker and
427 Townsend (2019) has shown energy saving benefit expectations may not be appropriate in cold
428 weather cities due to direct building shade by trees during the winter. The orientation of trees
429 to buildings can also affect the services provided, as trees planted to the east and west provide
430 higher energy savings due to higher exposure to sunlight, while trees planted to the south can
431 block winter sunlight and decrease energy savings (Hwang *et al.* 2015). Tree location relative
432 to the urban environment can be influential on the value of ecosystem services provided by
433 trees. Nowak and Dwyer (2007) found the combined services of shade and wind reduction by

434 trees oriented properly around a house to reduce energy costs by 20%-25% compared to the
435 same house without nearby trees. These findings suggest that careful planning of planting
436 locations is critical for energy savings and could be space for tree planting programs to offer
437 expertise.

438 The percentage of impervious surface was not a significant factor in any of the models,
439 likely due to the high percentages of impervious surface throughout the planting zones.
440 Increases in urban temperature are strongly linked to the buildup of impervious surfaces, while
441 the temperature and energy benefits provided by increased tree cover and shading are
442 especially important to disruption of UHI effects (Middle *et al.* 2015, Bodnaruk *et al.* 2017).

443 The tree surveys in Chicopee and Fall River identified higher percent juvenile
444 survivorship, 91.7%, than the model in i-Tree Eco, 68% (Table 3). Due to the limited time
445 frame of these findings, the default mortality rates within the Forecast module of i-Tree Eco
446 was relied on throughout this analysis. Considering the conservative prediction of tree
447 mortality rates by i-Tree Eco compared to survivorship measured in the tree survey of
448 Chicopee and Fall River, the estimated value of ecosystem services show in this research
449 should be considered as approximate underestimates of the potential value of GGCP trees. As
450 over 80% of juvenile trees surveyed were deemed to be very healthy after two to three years
451 (vigor 1 and 2), it is not unreasonable to expect higher survivorship over time (Table 3). The
452 predicted estimates of future tree mortality do not take tree stewardship and the expected lower
453 mortality rate into account (Roman *et al.* 2015), which has implications for calculating benefits
454 from tree planting programs that stress stewardship and care.

455 Additionally, as this research does not include the possibility of the DCR planting
456 additional trees in the model available within i-Tree Eco, the impact of tree mortality

457 significantly underestimates the potential services provided from a manually sustained tree
458 population. When mortality is not considered, the projected savings increase by three times the
459 value, generating thousands of dollars more savings in ecosystem services as modeled in 2050
460 (Table 4). This difference shows the importance of maintaining active tree planting and tree
461 care over time, as it ensures increasing levels of services provided in perpetuity by alleviating
462 the impact of high urban tree mortality (Roman, 2014). Monitoring and maintaining healthy
463 and functional urban tree cover and green spaces are priorities for urban planners and
464 governing organizations to effectively model ecosystem services (Roman, 2014; Lee *et al.*,
465 2015).

466 **Conclusion**

467 The juvenile tree cohorts planted by the DCR for the GGCP are providing important
468 ecosystem services within their respective planting zones and are projected to increase savings
469 provided to residents and cities as the trees mature. The largest single ecosystem service
470 provided is energy savings which were seen in both contemporary juvenile trees and projected
471 savings in 2050. The spatial analysis shows that it is possible to plant high densities of trees,
472 two to three trees per acre) in planting zones chosen for their high renter populations and high
473 percentage of impervious surface and that the most benefits occur in census block groups with
474 higher existing tree canopy. The projections are influenced by factors that are difficult to track
475 and model (planting expertise, stewardship, etc.) but have a high impact on survivorship, and
476 in this case, lead to conservative estimations. This study reinforces past ecosystem services
477 research while highlighting how values change when considering predicted tree growth and
478 mortality across time, as well as the spatial distribution of ecological savings.

479 This research exemplifies the effectiveness of cross-platform integration between
480 ecosystem service modeling in i-Tree Eco with the spatial analysis in GIS. This methodology
481 of spatial modeling of ecosystem services encourages the future use of i-Tree Eco analysis by
482 the DCR, GGCP, and other tree planting programs to monitor and manage the benefits
483 provided by urban forests.

484 Future research conducted in the investigation of ecosystem service value and
485 distribution provided by GGCP trees could include closer examination into the influence tree
486 mortality. More accurate predictions of mortality rate estimates could be calculated based on
487 known survivorship rates by conducting repeated tree surveys on GGCP trees. Additionally,
488 repeated health surveys within DCR planting zones would allow for the exploration of any
489 spatial or temporal patterns in the survivorship and vigor of planted trees.

490

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