

# **Harmonics and Intermodulation Distortion**

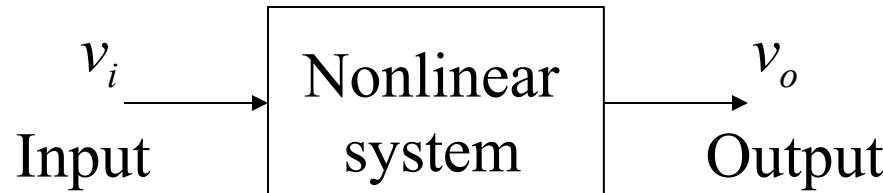
**EE 160 lecture**

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# Effects of a non-linearity



- In general, the output signal  $v_o$  of a non-linearity can be represented by a Taylor series:

$$v_o = a_0 + a_1 v_i + a_2 v_i^2 + a_3 v_i^3 + \dots \quad (1)$$

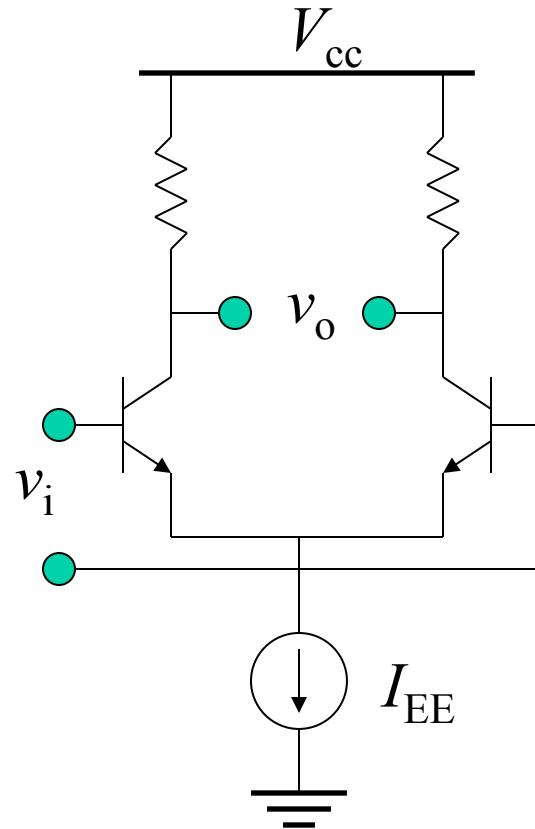
where  $v_i$  represents the input signal

- For simplicity, we will assume that  $a_0=0$  and

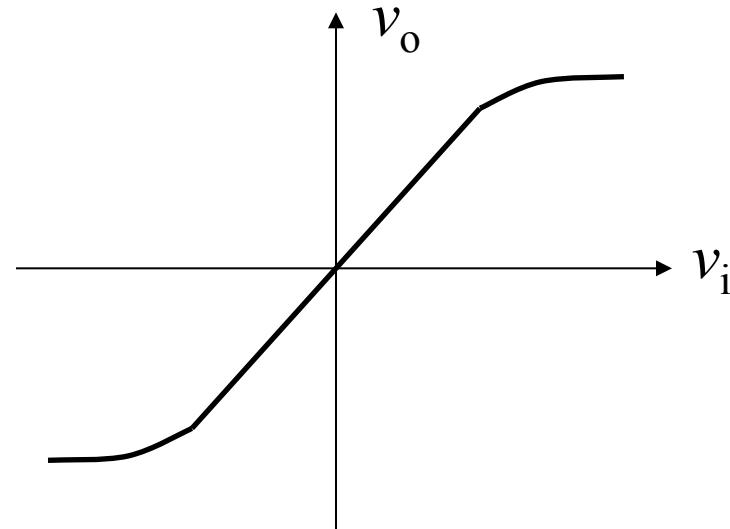
$$v_o \approx a_1 v_i + a_2 v_i^2 + a_3 v_i^3 \quad (2)$$

Write on board

# Example: Bipolar Differential Pair



$$v_o = RI_{EE} \tanh\left(\frac{v_i}{2V_T}\right)$$



Input-output characteristic

# Single sinusoidal input: Harmonics - I

- If the input is  $v_i = A \cos(2\pi f_0 t)$  then the *quadratic term* of (2) is

$$a_2 v_i^2 = a_2 A^2 \cos^2(2\pi f_0 t) = \frac{a_2 A^2}{2} [1 + \cos(4\pi f_0 t)]$$

giving the second harmonic term  $2\omega_0=4\pi f_0$  and a DC component

- *Cubic term* of (2):

$$\begin{aligned} a_3 v_i^3 &= a_3 A^3 \cos^3(2\pi f_0 t) \\ &= \frac{3a_3 A^3}{4} \cos(2\pi f_0 t) + \frac{a_3 A^3}{4} \cos(6\pi f_0 t) \end{aligned}$$

# Single sinusoidal input: Harmonics - II

- Finally, the total output voltage from expression (2) is

$$v_0(t) = \frac{a_2 A^2}{2} + \left( a_1 A + \frac{3a_3 A^3}{4} \right) \cos(2\pi f_0 t) + \frac{a_2 A^2}{2} \cos(4\pi f_0 t) + \frac{a_3 A^3}{4} \cos(6\pi f_0 t) \quad (3)$$

**FUNDAMENTAL**  
 $(\omega_0=2\pi f_0)$

**SECOND ORDER HARMONIC**  
 $(2\omega_0=4\pi f_0)$

**THIRD ORDER HARMONIC**  
 $(3\omega_0=6\pi f_0)$

- Matlab spectrum  
- Show spectrum in board

# Two observations on harmonics

- Even-order harmonics vanish ( $a_j=0$  for even integers  $j$ ) if the input-output characteristic has *odd symmetry*. A system with such nonlinearity is said to be *differential* or *balanced*.
- For small values of amplitude  $A$ , the  $n$ -th order harmonic grows approximately in proportion to  $A^n$ .

Write equation for amplifier

# Gain compression

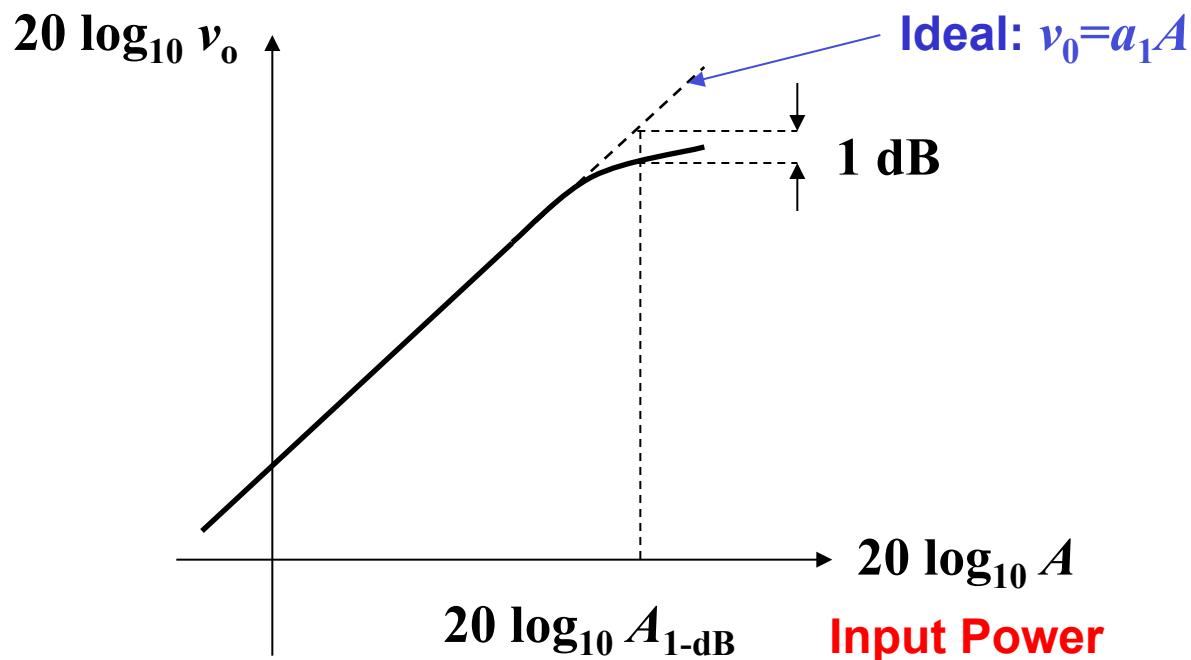
- *Small-signal* voltage gain is obtained under the assumption that harmonics are negligible.
  - For the differential pair:

$$v_o = \frac{R_{I_{EE}}}{2V_T} v_i$$

- As the input signal amplitude increases, the gain begins to change.
- The gain becomes a function of the input level and approaches zero for large input levels

# The 1-dB compression point – I

Output Power



From (3):

$$20 \log_{10} \left| a_1 + \frac{3a_3 A_{1-\text{dB}}^2}{4} \right| = 20 \log_{10} |a_1| - 1 \Rightarrow$$

$$A_{1-\text{dB}} = \sqrt{0.145 \left| \frac{a_1}{a_3} \right|}$$

# The 1-dB compression point – II

- The 1-dB compression point is a measure of the *maximum input range* of a circuit
- In front-end RF amplifiers, typical values range from **–25 to –20 dBm** (or 35.6 to 63.2 mV<sub>pp</sub> in 50 Ω systems)

Note: A dBm is a logarithmic measure of power with respect to 1 mW

$$1 \text{ dBm} = 10 \log_{10} \left( \frac{P}{1 \text{ mW}} \right)$$

# Two sinusoidal inputs: Intermodulation - I

- Two-tone test: the input is a *two-tone* signal:

$$v_i = A \cos(2\pi f_1 t) + B \cos(2\pi f_2 t) \quad (4)$$

- Quadratic term of (2):

$$\begin{aligned} a_2 v_i^2 &= a_2 [A \cos(2\pi f_1 t) + B \cos(2\pi f_2 t)]^2 \\ &= \frac{a_2(A^2 + B^2)}{2} + \frac{a_2 A^2}{2} \cos(4\pi f_1 t) + \frac{a_2 B^2}{2} \cos(4\pi f_2 t) \\ &\quad + a_2 AB \{ \cos[2\pi(f_1 + f_2)t] + \cos[2\pi(f_1 - f_2)t] \} \end{aligned} \quad (5)$$

# Two sinusoidal inputs: Intermodulation - II

- Cubic term of (2) is much more elaborated
  - Term due to *DC term* of (5) (with coefficient  $a_3$ ):

$$\frac{a_3(A^2 + B^2)}{2} + [A \cos(2\pi f_1 t) + B \cos(2\pi f_2 t)] \quad (6a)$$

- *Double frequency* terms of (5) (with coefficient  $a_3$ ):

$$\begin{aligned} & a_3 \left[ \frac{A^2}{2} \cos(4\pi f_1 t) + \frac{B^2}{2} \cos(4\pi f_2 t) \right] [A \cos(2\pi f_1 t) + B \cos(2\pi f_2 t)] \\ &= a_3 \left[ \frac{A^3}{2} \cos(4\pi f_1 t) \cos(2\pi f_1 t) \right] + a_3 \left[ \frac{B^3}{2} \cos(4\pi f_2 t) \cos(2\pi f_2 t) \right] \\ &+ a_3 \left[ \frac{A^2 B}{2} \cos(4\pi f_1 t) \cos(2\pi f_2 t) \right] + a_3 \left[ \frac{A B^2}{2} \cos(4\pi f_2 t) \cos(2\pi f_1 t) \right] \end{aligned} \quad (6b)$$

# Two sinusoidal inputs: Intermodulation - III

- *Sum and difference terms* of (5) (with coefficient  $a_3$ ):

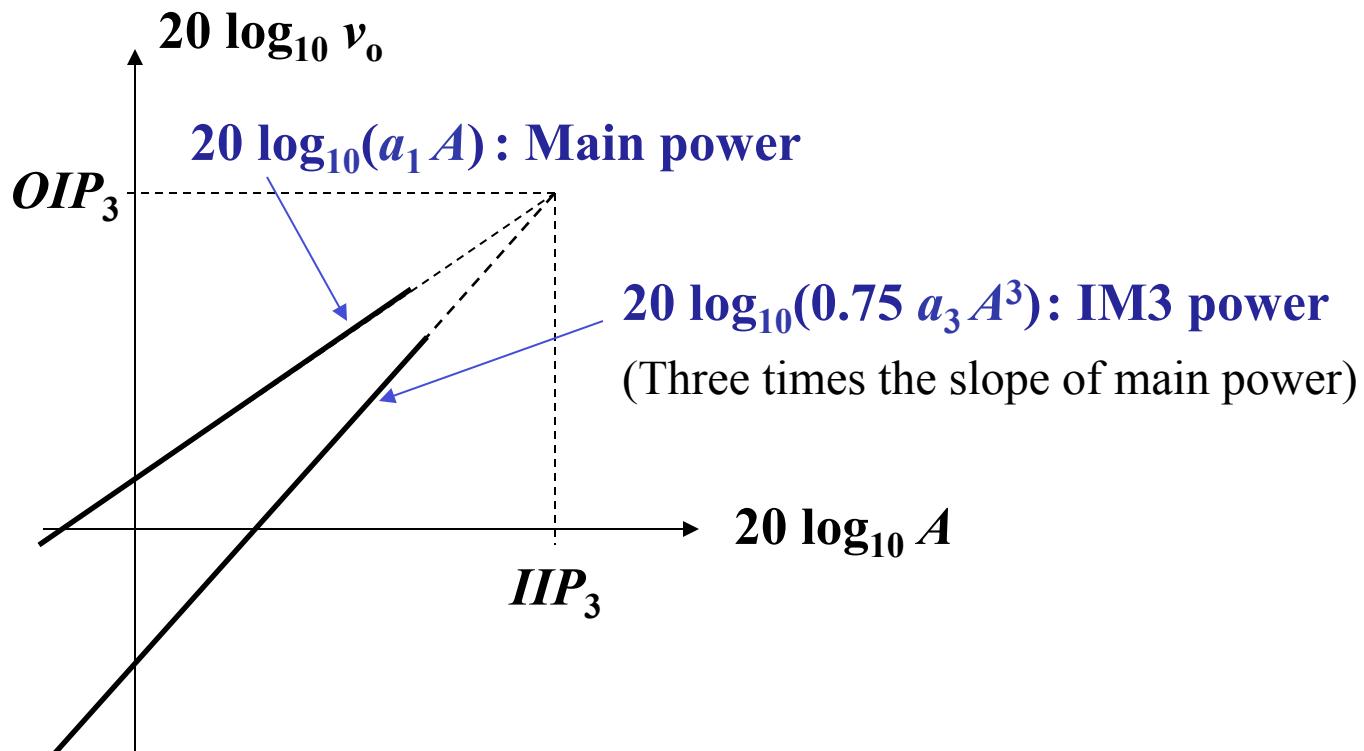
$$\begin{aligned} a_3 AB & \left[ \cos[2\pi(f_1 + f_2)t] + \cos[2\pi(f_1 - f_2)t] \right] [A \cos(2\pi f_1 t) + B \cos(2\pi f_2 t)] \\ &= a_3 A^2 B \left( \cos[2\pi(f_1 + f_2)t] \cos(2\pi f_1 t) \right) \\ &\quad + a_3 AB^3 \left( \cos[2\pi(f_1 + f_2)t] \cos(2\pi f_2 t) \right) \\ &\quad + a_3 A^2 B \left( \cos[2\pi(f_1 - f_2)t] \cos(2\pi f_1 t) \right) \\ &\quad + a_3 AB^3 \left( \cos[2\pi(f_1 - f_2)t] \cos(2\pi f_2 t) \right) \end{aligned} \tag{6c}$$

- Equation (6b) includes frequencies (intermodulation products):  
 $\omega_1, \omega_2, 3\omega_1, 3\omega_2, 3\omega_1 \pm \omega_2, 3\omega_2 \pm \omega_1$
- Equation (6c) includes frequencies:  $\omega_1, \omega_2, 2\omega_1 - \omega_2, 2\omega_2 \pm \omega_1$

See Fig. 2.3 in note "02"RFsignals\_nonlinear\_amps.pdf"

# The third intercept point ( $IP_3$ )

- As the amplitude of the input sinusoidals  $A(=B)$  increases, the third order intermodulation (IM) products increase proportionally to  $A^3$



# Computation of IIP<sub>3</sub> and OIP<sub>3</sub> (part 1)

- Let the input be a sum of two equal-amplitude sinusoidals:

$$v_i(t) = A \cos(2\pi f_1 t) + A \cos(2\pi f_2 t)$$

- Then from (3), with  $a_2 = 0$ , we have

$$\begin{aligned} v_o(t) &= A \left( a_1 + \frac{9a_3 A^2}{4} \right) (\cos(2\pi f_1 t) + \cos(2\pi f_2 t)) \\ &\quad + \frac{3a_3 A^3}{4} \cos[2\pi(2f_1 - f_2)t] + \frac{3a_3 A^3}{4} \cos[2\pi(2f_2 - f_1)t] \end{aligned} \tag{7}$$

- We assumed that  $a_1 \gg \frac{9|a_3|A^2}{4}$  (small signal)

# Computation of IIP<sub>3</sub> and OIP<sub>3</sub> (part 2)

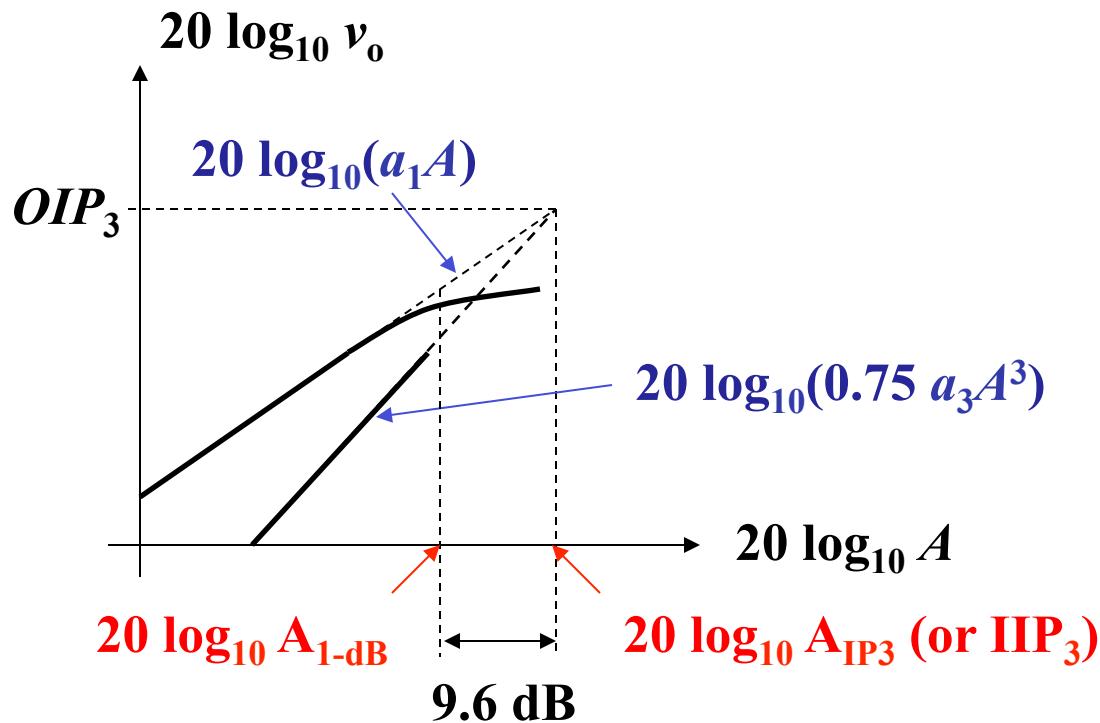
- The input level  $A_{\text{IP3}}$  for which the output (fundamental) components at  $f_1$  and  $f_2$  have the same amplitude as those (third order IM products) at  $2f_1-f_2$  and  $2f_2-f_1$  is

$$|a_1|A_{\text{IP3}} = \frac{3}{4}|a_3|A_{\text{IP3}}^3 \quad \Rightarrow \quad A_{\text{IP3}} = \sqrt{\frac{4}{3} \left| \frac{a_1}{a_3} \right|}$$

- Thus IIP<sub>3</sub> = 20 log<sub>10</sub>( $A_{\text{IP3}}$ ) and OIP<sub>3</sub> = 20 log<sub>10</sub>( $a_1 A_{\text{IP3}}$ )

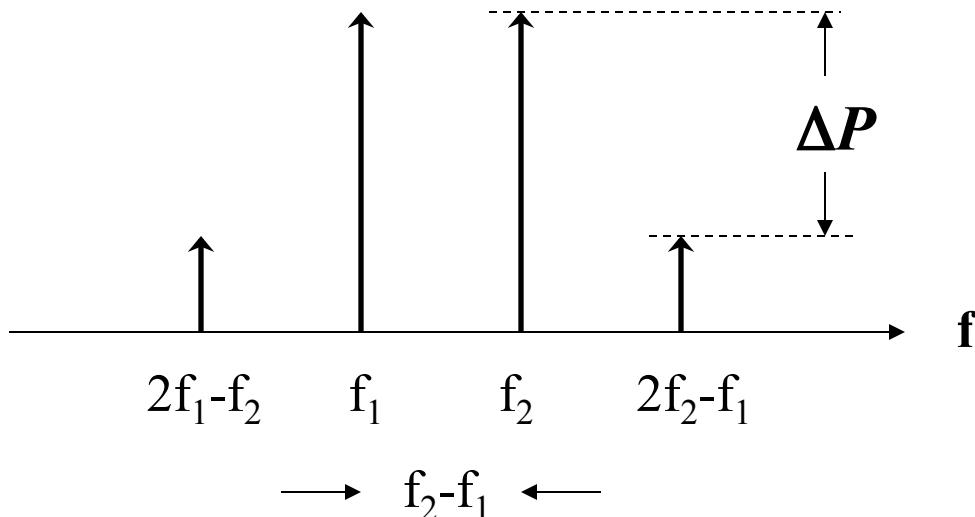
# Relationship between $A_{1-\text{dB}}$ and $A_{\text{IP}3}$

$$\sqrt{\frac{4}{3}}A_{1-\text{dB}} = \sqrt{0.145}A_{\text{IP}3} \Rightarrow \boxed{\frac{A_{1-\text{dB}}}{A_{\text{IP}3}} = 0.33 \quad (-9.6 \text{ dB})}$$



# The two-tone test

- From equation (7), if the difference between  $f_1$  and  $f_2$  is small then the third-order terms  $2f_1 - f_2$  and  $2f_2 - f_1$  appear close together:

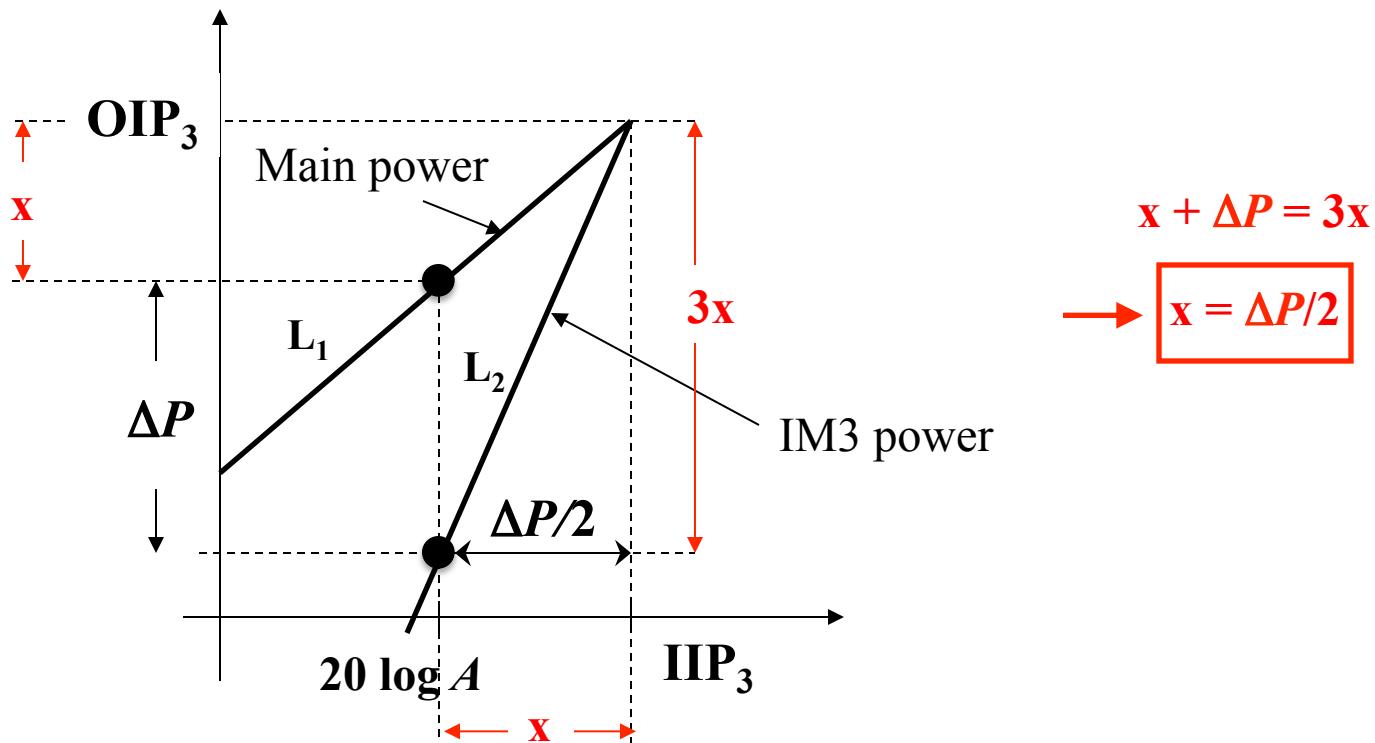


- This is useful in measuring the IIP3 in a laboratory

# The two-tone test (cont.)

- The  $IIP_3$  in dBm can then be approximated as (see figure)

$$IIP_3 \text{ (dBm)} = \frac{\Delta P}{2} \text{ (dB)} + 20 \log A \text{ (dBm)}$$



# Reference

- Section 2.1 of  
**B. Razavi, *RF Microelectronics*, Prentice Hall, 1998.**

**“02\_Book\_RF\_Razavi.pdf” in Canvas: Files/\_Lectures**