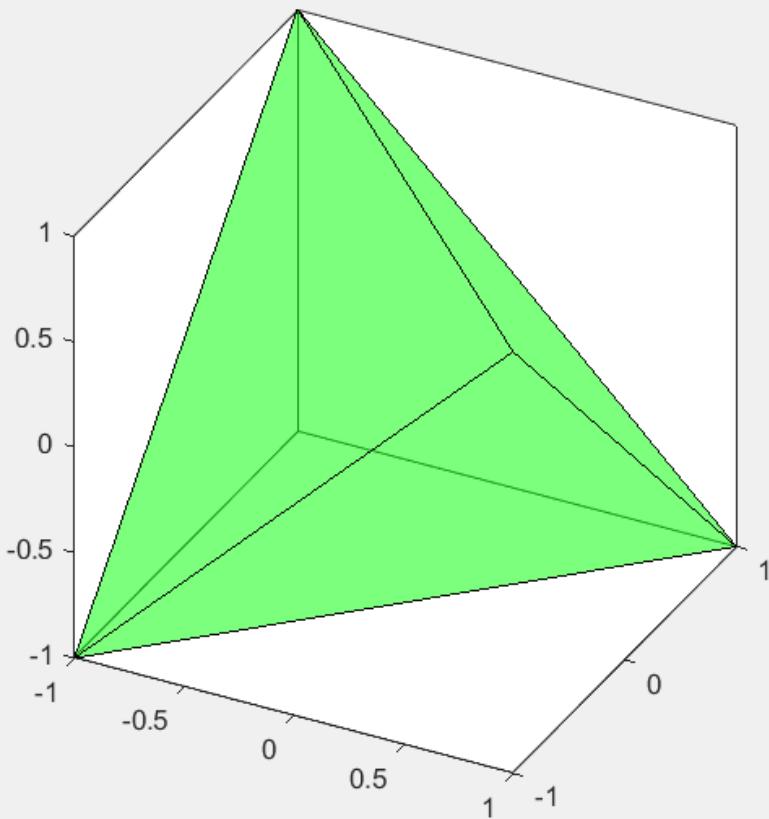
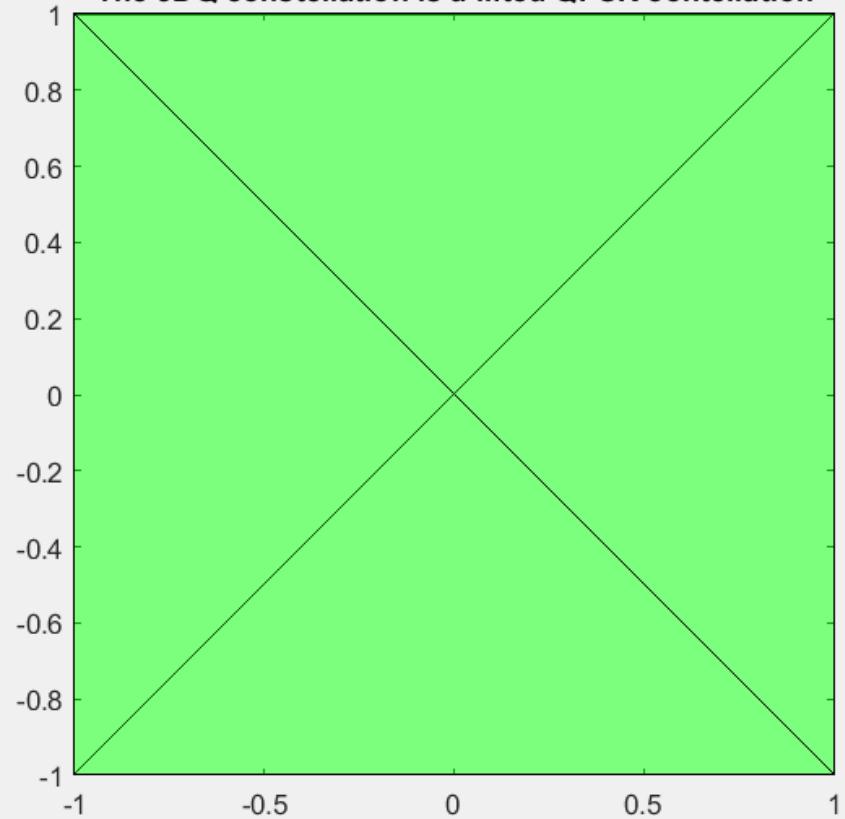


The 3DQ constellation: Vertices of a tetrahedron!



The 3DQ constellation is a lifted QPSK constellation



MATLAB script: "plot\_3DQ\_constellation.m"

Recall that the syndrome vector, computed from the received vector as  $\bar{s} = \bar{r}H^T$  is related to the error vector by the equation

$$\bar{s} = \bar{e}H^T, \quad (1)$$

where  $H$  is the parity-check matrix. Note that syndrome  $\bar{s}$  is an  $(n-k)$ -bit vector.

Eq. (1) above indicates that the syndrome is a function of the error vector, i.e., there is a one-to-one relation between the error vector  $\bar{e}$  and the syndrome  $\bar{s}$ .

## The Hamming bound

$$\bar{s} = \bar{e}H^T$$

“To correct up to  $t$  errors, the number of different syndrome values  $\bar{s}$  needs to be greater than or equal to the number of different error vectors  $\bar{e}$  with up to  $t$  ones.”

This gives the *Hamming bound*:

$$2^{n-k} \geq \sum_{i=0}^t \binom{n}{i}$$

For  $t = 1$ , or *single-error correcting codes*, the minimum number of different syndrome values is obtained as

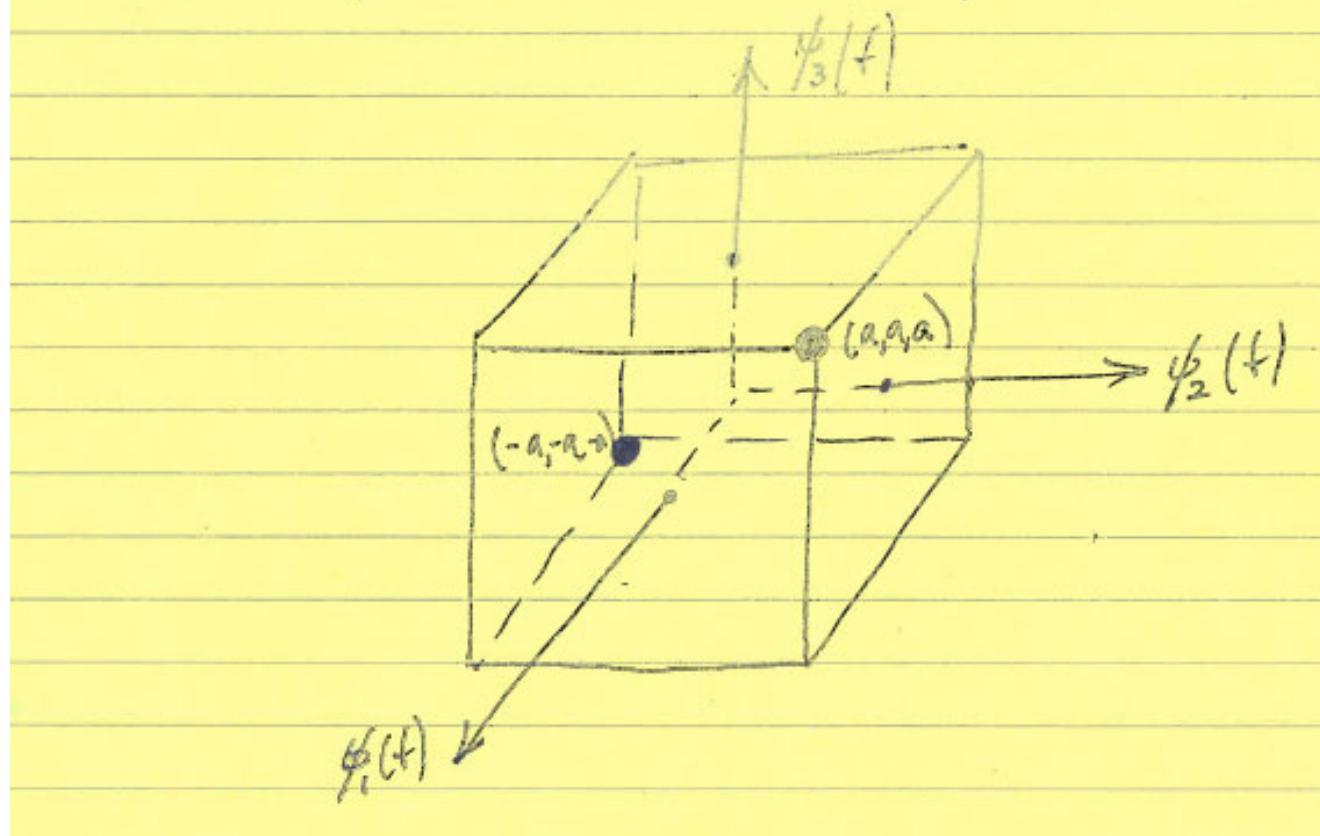
$$2^{n-k} = \sum_{i=0}^1 \binom{n}{i} = 1 + n \quad (2)$$

For a single-bit error correcting code, an equivalent statement is that all the columns of  $H$  be different. This idea due to Hamming (1949) gives binary linear *Hamming codes*. With  $m=n-k$  equal to the number of redundant bits, from Eq. (2) *Hamming codes* have parameters:

Length:	$n = 2^m - 1$
Dimension:	$k = 2^m - 1 - m$
Minimum Hamming distance:	$d_{\min} = 3$

The smallest Hamming code is obtained with  $m=2$  and gives the binary linear (3,1,3) repetition code!

Another example:  $(3,1,3)$  Binary repetition code



Example : Binary repetition  $(3,1,3)$  code

$$G = \begin{pmatrix} 1 & 1 & 1 \end{pmatrix}, \quad H = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \end{pmatrix}$$

<u>Error patterns, <math>\bar{e}</math></u>	<u>Syndrome, <math>\bar{s} = \bar{e}H^T</math></u>
$(000)$	$(00)$
$(100)$	$(11)$
$\cdot \{010\}$	$(10)$
$(001)$	$(01)$

\* Example : If  $\bar{C} = (0 \ 0 \ 1)$  and  $\bar{F} = (1 \ 1 \ 0)$

then  $\bar{S} = \bar{F} \bar{H}^T$

$$= (1 \ 1 \ 0) \begin{pmatrix} 1 & 1 \\ 1 & 0 \\ 0 & 1 \end{pmatrix} = (0 \ 1)$$

$\longleftrightarrow \bar{e} = (0 \ 0 \ 1)$

From table

$$\therefore \hat{G} = \bar{r} \oplus \bar{e} = \begin{pmatrix} 1 & 1 & 0 \\ \oplus & (0 & 0 & 1) \end{pmatrix} = (1 \ 0 \ 1)$$

Hamming  $(7, 4, 3)$  code:

$$H = \left[ \begin{array}{cccc|cc} 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 & 1 \end{array} \right] = [P^T | I_3]$$

All nonzero column vectors of 3 bits

Therefore

$$G = [I_4 | P] = \left[ \begin{array}{cccc|ccc} 1 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{array} \right]$$

Suppose information bits  $\bar{B} = (0110)$ . Then

$$\bar{C} = (0110) \left[ \begin{array}{c|cc} G & = & (0100011) \\ \hline & + & (0010101) \end{array} \right] = \underline{\underline{(0110110)}}$$

Suppose error in 4th position, so that

$$\tilde{r} = (0 \ 1 \ 1 \underset{\substack{\uparrow \\ \text{error}}}{1} \ 1 \ 0)$$

- ① Compute syndrome:

$$\bar{s} = \tilde{r} H^T = (0 \ 1 \ 1 \ 1 \ 1 \ 0) \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \underline{\underline{(1 \ 1 \ 1)}}.$$

Homework:  
Write as equations/circuit

- ② This corresponds to the 4th column of  $H$ .  
 ↑ transpose of the  
 ∴ Error in 4th position.

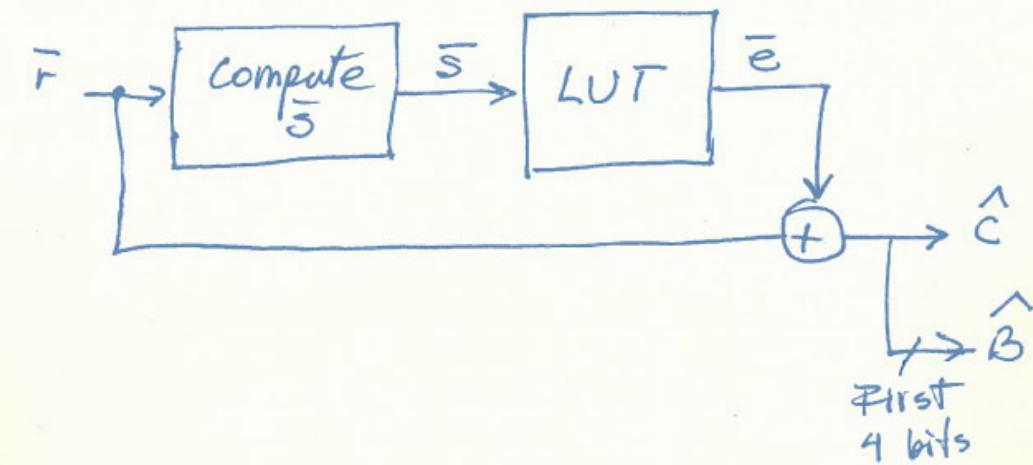
$$\hat{a} = (0 \ 1 \ 1 \underset{\substack{\uparrow \\ \text{Information} \\ \text{bits}}}{0} \ 1 \ 0) \rightarrow (0 \ 1 \ 1 \ 0) = \hat{b}$$

Look-up Table :

Syndrome $\bar{s}$	Error vector $\bar{e}$
0 0 0	0 0 0 0 0 0 0
1 1 0	1 0 0 0 0 0 0
0 1 1	0 1 0 0 0 0 0
1 0 1	0 0 1 0 0 0 0
1 1 1	0 0 0 1 0 0 0
1 0 0	0 0 0 0 1 0 0
0 1 0	0 0 0 0 0 1 0
0 0 1	0 0 0 0 0 0 1

$H^T \rightarrow$

HD decoder :



The log-likelihood (log of the conditional prob.)

$$\log(p(\bar{Y}|\bar{s})) = L(\bar{c})$$

can be written as

$$L(\bar{c}) = \sum_{i=1}^n s_i Y_i$$

$$\boxed{L(\bar{c}) = \sum_{i=1}^n m(c_i) Y_i}, \quad \xrightarrow{\text{correlation}}$$

where  $Y_i$  denote MF outputs

### Soft-decision decoding

choose as the most likely transmitted codeword  
 $\tilde{c} = \bar{B}G$ , the one that maximizes  $L(\bar{c})$

$$\textcircled{*} \quad p(\bar{Y}|\bar{s}) = \frac{1}{(\sqrt{\pi N_0})^n} \cdot e^{-\frac{1}{N_0} \sum_{i=1}^n (Y_i - s_i)^2}$$

$s_i = \pm \sqrt{E}$  for BPSK (polar)

EE161

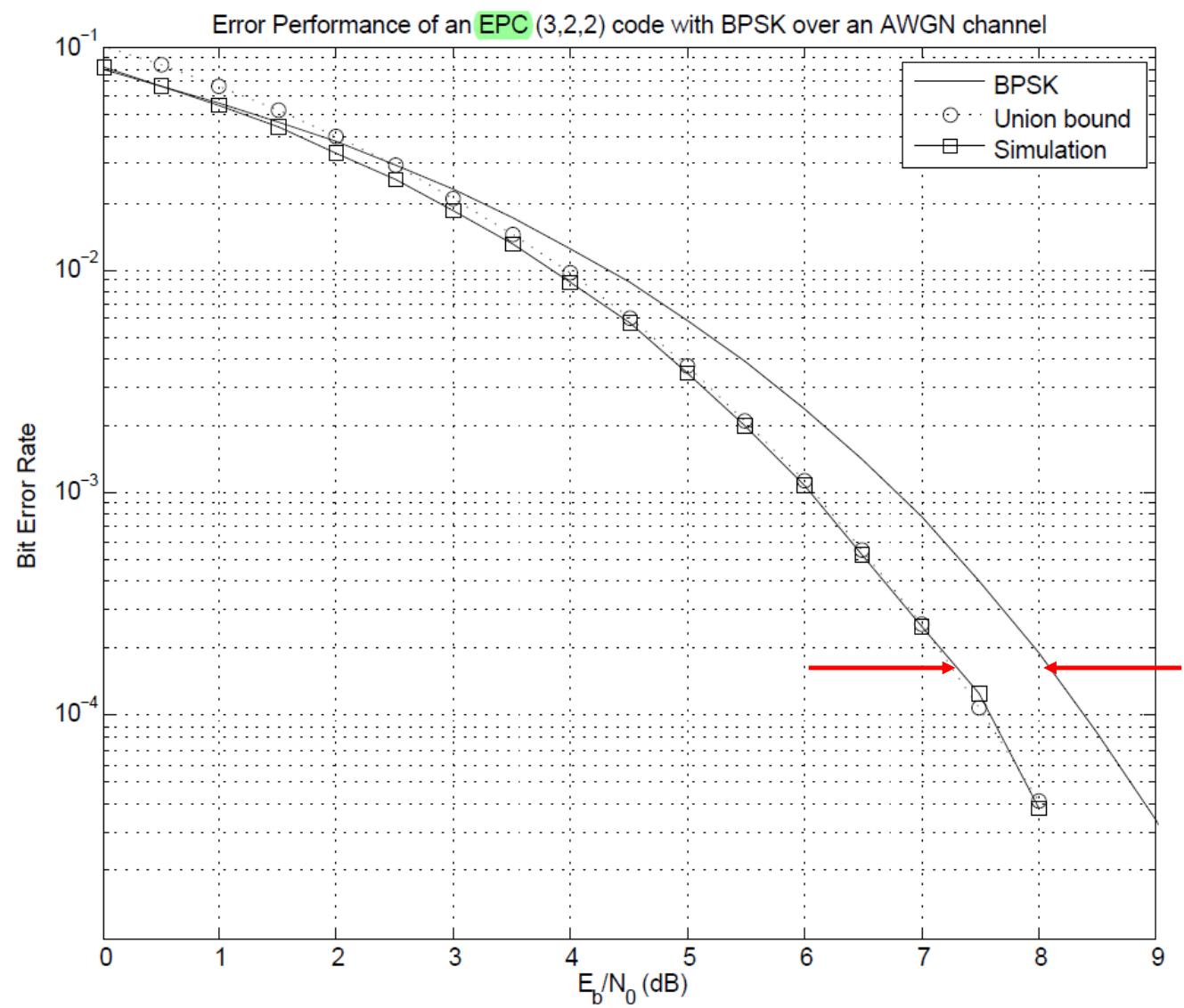
CORRELATIONS OF (3,2,2)  
EPC CODE

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Uncoded $B_1, B_2$	Coded $C_1, C_2, C_3$	$\bar{S}$	Correlations $P$	Map: $0 \rightarrow +1$ $1 \rightarrow -1$
0 0	0 0 0	a a a	$Y_1 + Y_2 + Y_3$	
0 1	0 + 1	a - a - a	$Y_1 - Y_2 - Y_3$	
+ 1	+ 1 0	0 - a - a a	$-Y_1 - Y_2 + Y_3$	
1 0	+ 0 1	- a a - a -	$-Y_1 + Y_2 - Y_3$	$a = \sqrt{E_s / 3}$

MAP:  $0 \rightarrow -\alpha$   
 $1 \rightarrow +\alpha$

$B_1, B_2$	$C_1, C_2, C_3$	$\bar{S}$	Correlations P
0 0	0 0 0	-a - a - a	$-Y_1 - Y_2 - Y_3$
0 1	0 + 1	-a + a + a	$-Y_1 + Y_2 + Y_3$
+ 1	+ 1 0	+ a - a - a	$+Y_1 + Y_2 - Y_3$
1 0	+ 0 1	+ a - a + a	$+Y_1 - Y_2 + Y_3$

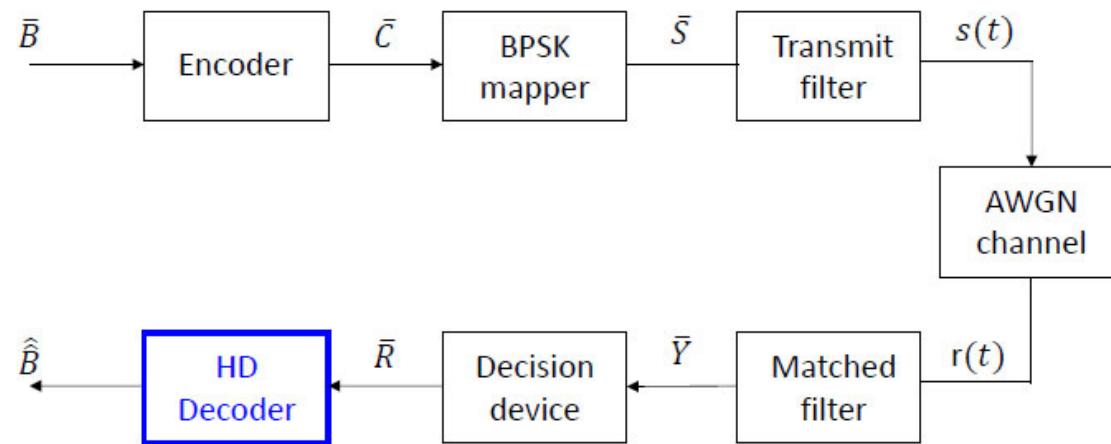


## Hard-decision (HD) decoding versus soft-decision (SD) decoding

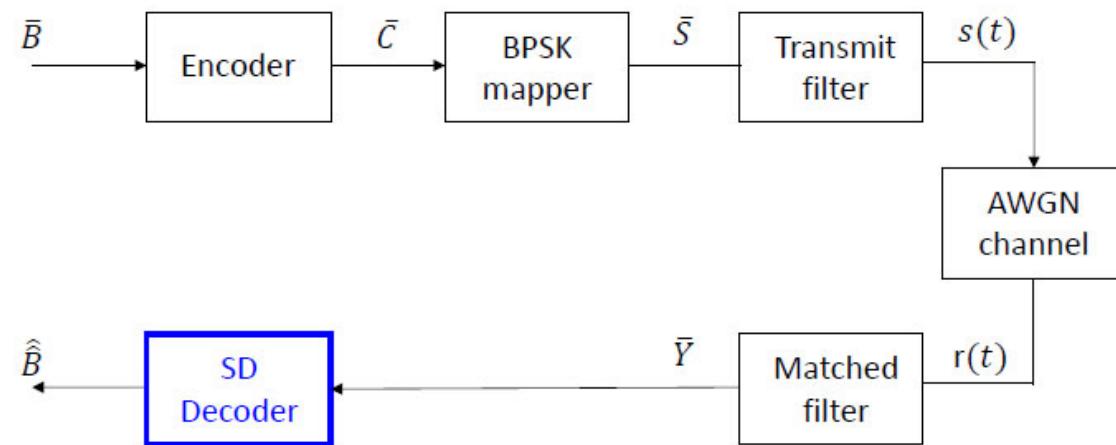
- HD decoding: Correct errors after ML estimation (decision device),  $R_k$
- SD decoding: Use of matched-filter outputs,  $Y_k$

**SD decoding performs better than HD decoding**, as the *combined likelihood of all coded bits* is used instead of bit-by-bit decisions

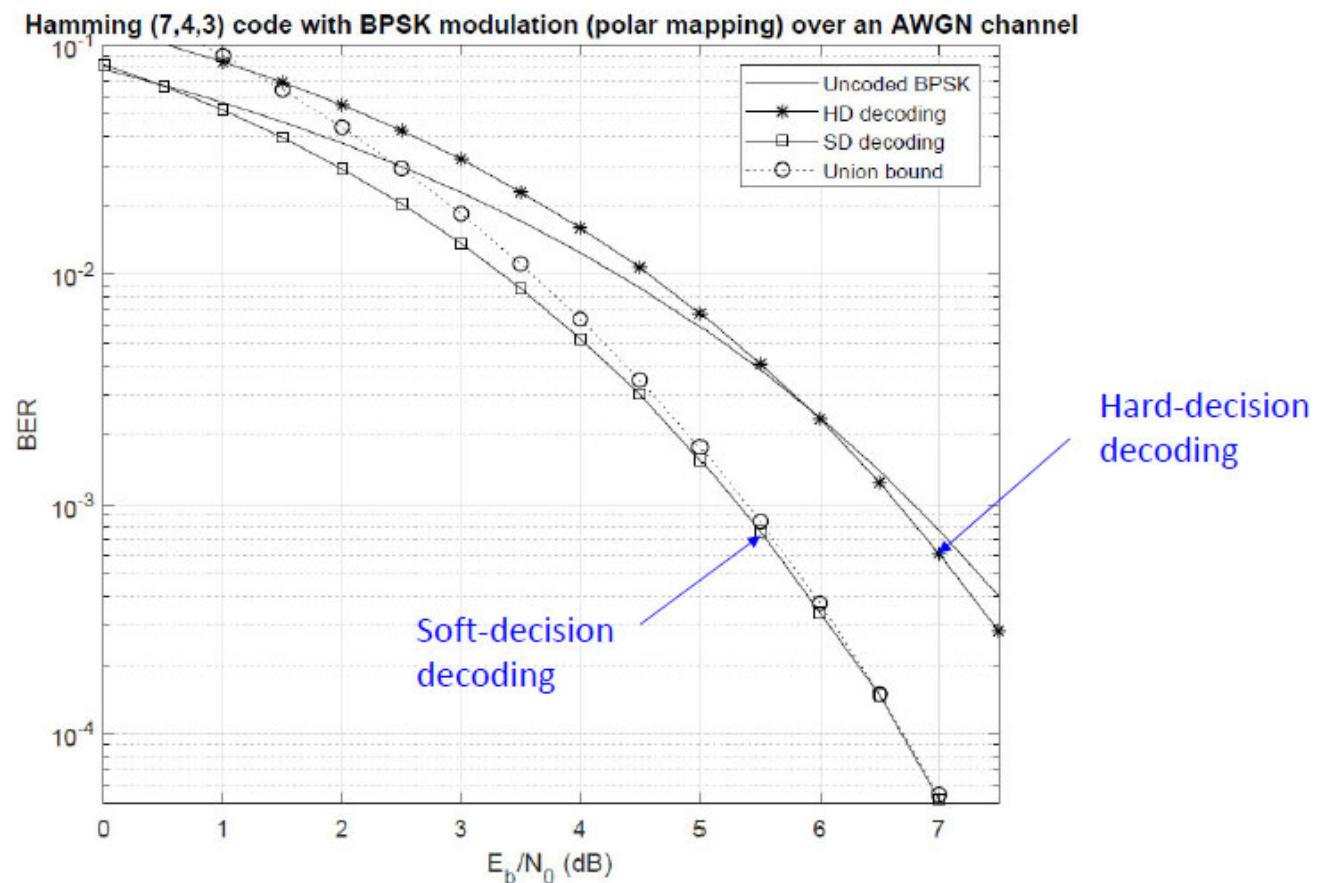
## Hard-decision decoding



## Soft-decision decoding



# Example: Binary Hamming (7,4,3) code



## Coding gain and “real” (effective) coding gain

Binary  $(n, k, d_{\min})$  codes with polar mapping over AWGN

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Modulated codeword :  $\bar{s} = (\pm a, \pm a, \dots, \pm a)$

$$= a \cdot ((-1)^{B_1}, (-1)^{B_2}, \dots, (-1)^{B_n})$$

Average symbol energy :  $n \cdot a^2 = E_s \Rightarrow a = \sqrt{E_s/n}$

Each codeword carries  $k$  bits. Therefore  $E_s = k \underbrace{E_b}_{\uparrow}$

or

$$a = \sqrt{\frac{k}{n} E_b}$$

The <sup>min. Euclidean</sup> distance between  $k$  codewords modulated is  $d_{\min}^E = \sqrt{4d_{\min} \cdot a^2}$   
*note, this is the pulse energy*

Finally, the pairwise error probability between modulated codewords is

$$P_2 = Q\left(\sqrt{d_{\min} \frac{k}{n} \frac{2E_b}{N_0}}\right) \\ = Q\left(\sqrt{\frac{d^2}{2N_0}}\right).$$

At high values of  $E_b/N_0$ , the average probability of a bit error

$$P_b \approx Q\left(d_{\min} \cdot \frac{k}{n} \cdot \frac{2E_b}{N_0}\right) = Q\left(\sqrt{R \cdot d \frac{2E_s}{N_0}}\right)$$

ASYMPTOTIC CODING GAIN = CG

Code	$d_{\min}$	$k/n$	CG (dB)
(3, 2, 2)	2	2/3	1.25
(4, 3, 2)	2	3/4	1.76
(7, 4, 3)	3	4/7	2.34

This asymptotic coding gain does not take into account the number of codewords at minimum distance,  $A_{\min}$ .

The loss due to this number is approx.  $0.2 \log_2(A_{\min})$  so that the coding gain can be better as

Real  
Coding  
Gain

$$\text{RCG} \approx \log_{10} \left( d_{\min} \cdot \frac{R}{n} \right) - 0.2 \log_2 (A_{\min})$$

(dB)

Code	RCG	$d_{\min}$
(3,2,2)	0.93	3
(4,3,2)	1.24	6
(7,4,3)	1.78	7